Legislation Meeting Agenda



148 Martine Avenue, 8th Floor White Plains, NY 10601

Committee Chair: Colin Smith

www.westchesterlegislators.com

Monday, March 18, 2024

1:00 PM

Committee Room

800 Michaelian Office Bldg.

CALL TO ORDER

Please note: Meetings of the Board of Legislators and its committees are held at the Michaelian Office Building, 148 Martine Avenue, White Plains, New York, 10601, and remotely via the WebEx video conferencing system. Legislators may participate in person or via Webex. Members of the public may attend meetings in person at any of its locations, or view it online on the Westchester County Legislature's website:

https://westchestercountyny.legistar.com/ This website also provides links to materials for all matters to be discussed at a given meeting.

Joint with Budget & Appropriations, Public Works & Transportation, and Parks & Environment committees

Legislator David Imamura will be participating remotely from 1 Richmond Hill, Irvington, NY 10533.

Legislator Emiljana Ulaj will be participating remotely from 520 White Plains Road, Tarrytown, NY 10591.

MINUTES APPROVAL

I. ITEMS FOR DISCUSSION

1. 2024-6 PH-Emergency Contracts

A RESOLUTION to set a Public Hearing on "A LOCAL LAW amending certain provisions of Section 167.171 of the Laws of Westchester County regarding emergency contracts." [Public Hearing set for ______, 2024 at _____, m.]. Local Law Intro 2024-7.

COMMITTEE REFERRAL: COMMITTEES ON BUDGET & APPROPRIATIONS AND LEGISLATION

Guest: Law Dept.: Tami S. Altschiller, Assistant Chief Deputy County Attorney

2. <u>2024-7</u> LOCAL LAW-Emergency Contracts

A LOCAL LAW amending certain provisions of Section 167.171 of the Laws of Westchester County regarding emergency contracts.

COMMITTEE REFERRAL: COMMITTEES ON BUDGET & APPROPRIATIONS AND LEGISLATION

Guest: Law Dept.: Tami S. Altschiller, Assistant Chief Deputy County Attorney

3. 2024-4 PH-Source Separation Law

A RESOLUTION to set a Public Hearing on "A LOCAL LAW amending Chapter 825 of the Laws of Westchester County entitled the 'Westchester County Source Separation Law' to improve recycling, reduction and waste programs in the County of Westchester. [Public Hearing set for _______, 2024 at _______, m.]. Local Law Intro 2024-5.

COMMITTEE REFERRAL: COMMITTEES ON LEGISLATION AND PARKS &

COMMITTEE REFERRAL: COMMITTEES ON LEGISLATION AND PARKS & ENVIRONMENT

Guests: Law Dept.: Stacey Dolgin-Kmetz, Chief Deputy County Attorney; DEF: Assistant Commissioner Melissa Rotini

4. <u>2024-5</u> LOCAL LAW-Source Separation Law

A LOCAL LAW amending Chapter 825 of the Laws of Westchester County entitled the "Westchester County Source Separation Law" to improve recycling, reduction and waste programs in the County of Westchester.

COMMITTEE REFERRAL: COMMITTEES ON LEGISLATION AND PARKS & ENVIRONMENT

Guests: Law Dept.: Stacey Dolgin-Kmetz, Chief Deputy County Attorney; DEF: Assistant Commissioner Melissa Rotini

- II. OTHER BUSINESS
- III. RECEIVE & FILE

ADJOURNMENT



January 9, 2024

Westchester County Board of Legislators 800 Michaelian Office Building 148 Martine Avenue White Plains, New York 10601

Dear Honorable Members of the Board of Legislators:

Transmitted herewith is a Local Law which, if adopted by your Honorable Board, would authorize the County of Westchester ("County") to amend certain provisions of Section 167.171 of the Laws of Westchester County ("LWC") regarding emergency contracts. The current law allows the County Executive to execute emergency contracts without competitive bidding as long as a written declaration of the emergency has been provided to the Board of Acquisition and Contract. This law was intended to cover emergency situations in the area of public works contracts, whereas purchase contracts are subject to the provisions of LWC Chapter 836 and under the jurisdiction of the Purchasing Agent. Recently there has been some confusion with the interpretation of Section 167.171(2) because as currently written it applies to both public works contracts and purchase contracts. In order to address this unintended consequence, Section 167.171(2) needs to be corrected by changing the law cited in that section from Section 161.11 to Section 161.11(3).

Additionally, as you know, supply chain problems emerged during COVID-19 lockdowns due to for instance, shifts in demand, labor shortages and precautionary inventory buildup. These issues have not subsided. As a result, there have been a number of instances where an emergency contract was entered into and the one-year term permitted by LWC §167.171(4) was insufficient to complete the work. The reason most given for this timing issue is that there have been contract delays attributable to supply chain issues that are prevalent throughout the construction industry with the biggest impact on delivery times for equipment and supplies. In multiple instances contractors are finding that extended lead times are required for parts and equipment and that there are unprecedented backlogs. In addition, there have been a number of instances where an emergency contract required more than one year to complete because an approval from an outside agency was required that delayed completion of the project, or unanticipated additional work arose during the emergency work, which additional work was necessary to complete the emergency work and such work delayed the completion of the project.

I have been advised that there is no similar time restriction under New York State law for emergency contract awards. Accordingly, the proposed Local Law will address this issue by changing the one-year term limit requirement to three years, and if additional time is necessary to

Telephone: (914) 995-2900

Office of the County Executive

Michaelian Office Building 148 Martine Avenue White Plains, New York 10601 complete the emergency work, any extension would be subject to the approval of the Board of Acquisition and Contract.

I have been advised that the attached Local Law is subject to a permissive referendum pursuant to LWC Section 209.171(2), because it seeks to change a provision of law relating to public bidding, purchases or contracts. Consequently, pursuant to LWC Section 209.181, the attached Local Law, if adopted, may not take effect until sixty (60) days after its adoption, assuming that within that time a petition protesting its adoption is not filed by the statutorily required number of qualified electors.

Accordingly, I most respectfully recommend your Honorable Board's favorable consideration of the attached Resolution authorizing a public hearing and Local Law.

Sincerely,

George Latimer County Executive

GL/TSA

HONORABLE BOARD OF LEGISLATORS THE COUNTY OF WESTCHESTER

Your Committee is in receipt of a communication from the County Executive recommending the enactment of a Local Law which, if adopted by your Honorable Board, would authorize the County of Westchester ("County") to amend certain provisions of Section 167.171 of the Laws of Westchester County ("LWC") regarding emergency contracts. The current law allows the County Executive to execute emergency contracts without competitive bidding as long as a written declaration of the emergency has been provided to the Board of Acquisition and Contract. This law was intended to cover emergency situations in the area of public works contracts, whereas purchase contracts are subject to the provisions of LWC Chapter 836 and under the jurisdiction of the Purchasing Agent. Recently there has been some confusion with the interpretation of Section 167.171(2) because as currently written it applies to both public works contracts and purchase contracts. In order to address this unintended consequence, Section 167.171(2) needs to be corrected by changing the law cited in that section from Section 161.11 to Section 161.11(3).

Additionally, as you know, supply chain problems emerged during COVID-19 lockdowns due to for instance, shifts in demand, labor shortages and precautionary inventory buildup. These issues have not subsided. As a result, there have been a number of instances where an emergency contract was entered into and the one-year term permitted by LWC §167.171(4) was insufficient to complete the work. The reason most given for this timing issue is that there have been contract delays attributable to supply chain issues that are prevalent throughout the construction industry with the biggest impact on delivery times for equipment and supplies. In multiple instances contractors are finding that extended lead times are required for

parts and equipment and that there are unprecedented backlogs. In addition, there have been a number of instances where an emergency contract required more than one year to complete because an approval from an outside agency was required that delayed completion of the project, or unanticipated additional work arose during the emergency work, which additional work was necessary to complete the emergency work and such work delayed the completion of the project.

Your Committee has been advised that there is no similar time restriction under New York State law for emergency contract awards. Accordingly, the proposed Local Law will address this issue by changing the one-year term limit requirement to three years, and if additional time is necessary to complete the emergency work, any extension would be subject to the approval of the Board of Acquisition and Contract.

The Department of Planning has advised that the proposed Local Law does not meet the definition of an "action" under the State Environmental Quality Review Act ("SEQRA"), and its implementing regulations, 6 NYCRR, Part 617. Please refer to the Memorandum from the Department of Planning dated January 8, 2024, which is on file with the clerk of your Honorable Board. As such, no environmental review is required. Your Committee concurs with this recommendation.

Your Committee has been advised that that the attached Local Law is subject to a permissive referendum pursuant to Section 209.171(2) of the Laws of Westchester County, because it changes a provision of law relating to public bidding, purchases or contracts.

Consequently, pursuant to Section 209.181 of the Laws of Westchester County, the attached Local Law, if adopted, may not take effect until sixty (60) days after its adoption, assuming that

within that time a petition protesting its adoption is not filed by the statutorily required number of qualified electors.

Your Committee is informed that an affirmative vote of the majority of the total

voting power of your Honorable Board is required before the County may adopt the attached

Local Law. In addition, also attached is a Resolution authorizing a Public Hearing as

required by LWC Section 209.141(4).

After review and careful consideration, your Committee recommends favorable action

upon the proposed Local Law.

Dated:

, 2024

White Plains, New York

COMMITTEE ON

C/tsa 1.4.24

7

FISCAL IMPACT STATEMENT

SUBJECT:	Amend LWC Section 167.171	X NO FISCAL IMPACT PROJECTED					
OPERATING BUDGET IMPACT To Be Completed by Submitting Department and Reviewed by Budget							
SECTION A - FUND							
X GENERAL FUND	AIRPORT FUND	SPECIAL DI	STRICTS FUND				
SECTION B - EXPENSES AND REVENUES							
Total Current Year Expense \$ -							
Total Current Year Re	venue \$ -						
Source of Funds (chec	k one): Current Appropriations	Transfer of	f Existing Appropriations				
Additional Appropriations Other (explain)							
Identify Accounts:							
		9-3					
Potential Related Ope	erating Budget Expenses:	Annual Amount					
Describe:	Amend certain provisions of Section 167	.171 of the Laws o	of Westchester				
County regarding	emergency contracts						
Potential Related Ope	erating Budget Revenues:	Annual Amount					
Describe:							
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Current Year:	o County and/or Impact on Department	operations:					
Current Year:		41. 477	15				
Next Four Years:	×	~	-				
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Prepared by:	Mark Medwid						
Title:	Associate Budget Director	Reviewed By:	Jan & Ans				
Department:	Budget		Budest Bireston				
	Duaget		Budget Director				

RESOLUTION NO. -2024

RESOLVED , that this Board hold a public hearing pursuant to Section 209.141(4) of						
the Laws of Westchester County on Local Law Intro. No 2024 entitled "A LOCAL LAW						
amending certain provisions of Section 167.171 of the Laws of Westchester County regarding						
emergency contracts." The public hearing will be held at p.m. on the day						
of, 2024 in the Chambers of the Board of Legislators, 8th Floor,						
Michaelian Office Building, White Plains, New York. The Clerk of the Board shall cause						
notice of the time and date of such hearing, and the method for submitting comments, to be						
published at least once in one or more newspapers published in the County of Westchester and						
selected by the Clerk of the Board for that purpose in the manner and time required by law.						

LOCAL LAW INTRO. NO. - 2024

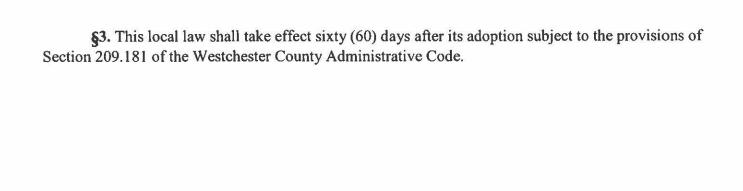
A LOCAL LAW amending certain provisions of Section 167.171 of the Laws of Westchester County regarding emergency contracts.

BE IT ENACTED by the County Board of the County of Westchester as follows:

Section 1. Section 167.171 of Chapter 167 of the Laws of Westchester County is hereby amended as follows:

Sec. 167.171. - Emergency appropriations and contracts.

- 1. The County Board, during a fiscal year, may make additional appropriations or increase existing appropriations to meet emergencies or unforeseen circumstances requiring action to protect the interests of the county and its citizens, the funds therefor to be provided from unappropriated revenues, if any, or made available pursuant to the Local Finance Law.
- 2. Notwithstanding the provisions of section 161.11(3) of this act, in an emergency the County Executive, with the approval of the Board of Acquisition and Contract, may execute a contract or contracts without receiving bids therefor.
- 3. No Resolution for the approval of an emergency contract may be voted upon unless and until the County Executive, or his duly authorized designee, submits a written declaration of the emergency to the members of the Board of Acquisition and Contract.
- 4. No emergency contract shall have a term in excess of one three years, and if additional time is necessary any such extension shall be subject to the approval of the Board of Acquisition and Contract.
- 5. For the purposes of this section, the term "emergency" shall mean a public emergency arising out of an accident or other unforeseen occurrence or condition whereby circumstances affecting public buildings, public property or the life, health, safety or property of the inhabitants of the County of Westchester, which would require immediate action which cannot await competitive bidding.
- §2. The Clerk of the Board shall cause a notice of this Local Law to be published at least once a week for two successive weeks, the first publication of which shall be had within ten days after such local law is adopted, in the official newspapers published in the County of Westchester, said notice to contain the number, date of adoption and a true copy of the Local Law, and a statement that this Local Law changes a provision of law relating to the sale, exchange or leasing of County property and is therefore subject to the provisions of Section 209.171(7) of the Westchester County Administrative Code providing for a permissive referendum.





George Latimer County Executive

January 11, 2024

Westchester County Board of Legislators 800 Michaelian Office Building 148 Martine Avenue White Plains, New York 10601

Dear Honorable Members of the Board of Legislators:

I respectfully request that your Honorable Board adopt the attached Local Law amending Chapter 825 of the Laws of Westchester County entitled the "Westchester County Source Separation Law" to improve recycling, reduction and waste programs in the County of Westchester. This proposed Local Law will: (1) require separate containers for waste and recyclables in public places; (2) specify and clarify that separate disposal is required for certain items in accordance with state law; (3) expand the language regarding food composting; (3) amend the list of mandatory curbside recyclables to include cartons; (4) require reporting by municipalities, haulers, and recyclers directly to the County Department of Environmental Facilities; and (5) clarify and revise language in certain sections and definitions to reflect actual County recycling operations.

The ease of recycling directly corresponds to increased compliance with recycling requirements. If a waste receptacle is available without a corresponding container for recyclables, an individual is more likely to dispose of the recyclable as waste. Although the current Source Separation Law requires nonresidential waste generators to separate recyclables for curbside collection, in practice and due to health concerns, separation of waste post disposal is extremely unlikely to occur.

In addition, recycling rules vary based upon location and hauler, meaning that without containers and proper signage, consumers may not be aware of proper recycling methods in stores and other businesses. Therefore, this amendment to the law will require separate containers for waste and recyclables in public places.

When recyclables are improperly disposed as waste at transfer stations or end use management facilities, there is no mechanism to remove the recyclables from the waste stream,

Office of the County Executive

Michaelian Office Building 148 Martine Avenue White Plains, New York 10601

Email: CE@westchestercountyny.gov

resulting in disposal where recycling may have been possible. Moreover, the number of fines issued to businesses and haulers for the comingling of recyclables with waste may be reduced where proper containers and signage are required and available to the public, due to consumers properly recycling in the first instance.

According to the County's 2019-2020 Food Waste Study, approximately 22% of residential waste is food waste, which can be managed through composting and/or digestion, rather than as household waste. Although the current Source Separation Law specifically assures residents that garden and yard waste may be composted at home, it is silent on home composting. This amendment specifically states that there is no prohibition against the private composting of food waste or participation in a program for food waste recycling.

The County Department of Environmental Facilities, Refuse & Recycling (Solid Waste) Division, as the State-designated Solid Waste Management Planning Agency for Refuse Disposal District #1, established a carton recycling program in 2016. Since that time, carton recycling has become the standard for residential collection programs in Westchester County and therefore the law was amended to specify that cartons are considered a mandatory curbside recyclable.

Your Committee recognizes that the County Department of Environmental Facilities, Refuse & Recycling (Solid Waste) Division gathers recycling and waste data from municipalities, haulers, and recyclers in order to prepare and submit reports required by the State and for program planning. The ability of the County Department of Environmental Facilities to gather data directly from municipalities, haulers, and recyclers as will be required under the proposed law, is imperative to comply with State law and to continue to improve recycling, reduction, and waste programs in the County. Certain materials, such as Lithium-Ion Batteries, Freon-containing devices, and mercury-containing items can be dangerous if disposed in household waste. State law already requires proper and safe disposal techniques for these items and the amendment being requested with respect to disposal of special wastes would make the County's law consistent with State law.

The intent of the amendments to Chapter 825 of the Laws of Westchester County is to increase recycling in the County to maintain and improve the health of the environment and benefit the health, safety, and welfare of County residents.

Based upon the foregoing, I believe the proposed legislation is in the best interest of the County and, therefore, recommend your favorable action on it.

Sincerel

George Latimer County Executive

GL/nn Attachments TO: BOARD OF LEGISLATORS COUNTY OF WESTCHESTER

and definitions to reflect actual operations.

Your Committee recommends adoption of "A LOCAL LAW amending the Laws of Westchester County by amending Chapter 825, commonly referred to as the "Source Separation Law" to: (1) require separate containers for waste and recyclables in public places; (2) specify and clarify separate disposal is required for certain items in accord with state law; (3) expand the language regarding food composting; (3) amend the list of mandatory curbside recyclables to include cartons; (4) require reporting by municipalities, haulers, and recyclers directly to the Department of Environmental Facilities; and (5) clarify and correct language in certain sections

Your Committee reports that this amendment was requested by the Department of Environmental Facilities, Refuse & Recycling (Solid Waste) Division, as the State-designated Solid Waste Management Planning Agency of the County, in connection with its continuing agency management of the existing Source Separation Law, to improve recycling within the County.

Your Committee acknowledges that as the State-designated Solid Waste Management Planning Agency, Department of Environmental Facilities, Refuse & Recycling (Solid Waste) Division gathers recycling and waste data from municipalities, haulers, and recyclers in order to prepare and submit reports required by the State and for program planning. The ability of the Department to gather data is imperative to comply with State law and to continue to improve recycling, reduction, and waste programs.

Your Committee is aware that the ease of recycling directly corresponds to increased compliance with recycling requirements. If a waste receptacle is available without a corresponding container for recyclables, an individual is more likely to dispose of the recyclable as waste. Although the current Source Separation Law requires nonresidential waste generators to separate recyclables for curbside collection, in practice and due to health concerns, separation of waste post disposal is extremely unlikely to occur.

Your Committee is also aware that recycling rules vary based upon location and hauler, meaning that without containers and proper signage, consumers may not be aware of proper recycling methods in stores and other businesses.

Your Committed acknowledges that when recyclables are improperly disposed as waste at transfer stations or end use management facilities, there is no mechanism to remove the recyclables from the waste stream, resulting in disposal where recycling may have been possible.

Your Committee recognizes that the number of fines issued to businesses and haulers for the comingling of recyclables with waste may be reduced where proper containers and signage are required and available to the public, due to consumers properly recycling in the first instance.

Your Committee further acknowledges that certain materials, such as Lithium-Ion Batteries, Freon-containing devices, and mercury-containing items can be dangerous if disposed in household waste. State law already requires proper and safe disposal techniques for these items

and the amendment being requested with respect to disposal of special wastes would make the County's law consistent with State law.

Your Committee is aware that according to the County's 2019-2020 Food Waste Study, approximately 22% of residential waste is food waste, which can be managed through composting and/or digestion, rather than as household waste. Although the current Source Separation Law specifically assures residents that garden and yard waste may be composted at home, it is silent on home composting. This amendment specifically states that there is no prohibition against the private composting of food waste or participation in a program for food waste recycling.

Your Committee acknowledges that the Department of Environmental Facilities, Refuse & Recycling (Solid Waste) Division, in its capacity as the management of the Refuse Disposal District #1 established a carton recycling program in 2016. Since that time, carton recycling has become the standard for residential collection programs in Westchester County.

Your Committee notes that the intent of this amendment is to maintain and improve the health of the environment and to benefit the health, safety, and welfare of County residents.

Your Committee is informed by the Department of Planning that the proposed amendment is not an "Action" as defined in 6 NYCRR Part 617, the implementing regulations of the New York State Environmental Quality Review Act ("SEQRA"). The County's Department of Planning has prepared a memorandum dated January 8, 2024, which is on file with the Clerk of the Board of Legislators. Your Committee concurs with the conclusion of that memorandum.

In light of all of the foregoing, your Committee recommends passage of this Local Law.

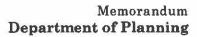
, 2024 White Plains, New York Dated:

COMMITTEE ON

MJR 05/31/2023(01/04/2024)

FISCAL IMPACT STATEMENT

SUBJECT:	Updates to Source Separation Law	X NO FISCAL IMPACT PROJECTED					
OPERATING BUDGET IMPACT To Be Completed by Submitting Department and Reviewed by Budget							
SECTION A - FUND							
GENERAL FUND	AIRPORT FUND	SPECIAL DISTRICTS FUND					
SECTION B - EXPENSES AND REVENUES							
Total Current Year Expense							
Total Current Year Re	venue \$ -	-					
Source of Funds (chec	ck one): Current Appropriations	Transfer of Existing Appropriations					
Additional Appropriations Other (explain)							
Identify Accounts:							
Potential Related Operating Budget Expenses: Annual Amount 0.00							
Describe:	This is for an amendments to the source	e separaation law,	no impact on the operating				
•							
Potential Related Ope	erating Budget Revenues:	Annual Amount	0				
Describe:							
	-						
Anticinated Savings to	o County and/or Impact on Department	Operations:					
Current Year:	N/A	. oporaciono					
Content (Con-	M/A						
Next Four Years:	N/A						
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*							
Prepared by:	Melissa-Jean Rotini						
Title:	Assistant Commissioner	Reviewed By:	Vinne /bs				
Department:	Environmental Facilities	_	Budget Director				
Date:	January 8, 2024	Date:	111124				
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TO:

George Latimer, County Executive

Kenneth Jenkins, Deputy County Executive

John Nonna, County Attorney

FROM:

David Kvinge, AICP, RLA, CFM

Assistant Commissioner

DATE:

January 8, 2024

SUBJECT:

ACTIVITIES NOT SUBJECT TO STATE ENVIRONMENTAL QUALITY

REVIEW

As required by the New York State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617 ("SEQR"), the Board of Legislators ("BOL") is the body that must assess the environmental significance of all actions that the BOL has discretion to approve, fund or directly undertake. The Planning Department has historically conducted the necessary environmental review for the BOL to undertake its responsibility under SEQR. Additionally, contracts going before the Board of Acquisition and Contracts ("BAC") must be reviewed for conformance with SEQR.

Pursuant to Section 617.2(b) of SEQR, "Actions" are defined as:

- (1) projects or physical activities, such as construction or other activities that may affect the environment by changing the use, appearance or condition of any natural resource or structure, that:
 - (i) are directly undertaken by an agency; or
 - (ii) involve funding by an agency; or
 - (iii) require one or more new or modified approvals from an agency or agencies;
- (2) agency planning and policy making activities that may affect the environment and commit the agency to a definite course of future decisions;
- (3) adoption of agency rules, regulations and procedures, including local laws, codes, ordinances, executive orders and resolutions that may affect the environment; and
- (4) any combinations of the above.

As has been done in prior years, the Planning Department, in an attempt to streamline the process for SEQR review and related document preparation for the BOL and BAC, has created a list of categories of activities that do not meet the definition of an "action" as defined in SEQR. This list (attached) references activities that are routine and which do not change the use, appearance or condition of any natural resource or structure, nor do they involve policies or regulations that may affect the environment. The creation of this list in no way eliminates the BOL's or BAC's responsibilities under SEQR. Rather, it establishes a workflow for items that are routine and do not, under the law, require environmental review.

Activities not Subject to SEQR January 8, 2024 Page 2

1

Accordingly, the Planning Department advises that no environmental review is required and no SEQR documentation is necessary for submission with BOL legislation or with resolutions or contracts requiring BAC approval regarding activities on the attached list.

County departments and agencies may reference this memorandum in the legislation in order to document compliance with SEQR for actions listed herein. This memorandum should be considered in effect until rescinded or replaced, with replacements typically occurring annually in mid-January. As such, this memorandum should be kept on file with the Clerk of the Board of Legislators. Legislation should include a statement similar to the following: "The proposed project does not meet the definition of an action under New York State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617. Please refer to the memorandum from the Department of Planning dated January 8, 2024, which is on file with the Clerk of the Board of Legislators."

This memorandum will be distributed to all Commissioners as part of County operations.

Please contact me if you have any questions.

Att.

cc: Malika Vanderberg, Clerk and Chief Administrative Officer to the Board of Legislators Joan McDonald, Director of Operations Andrew Ferris, Chief of Staff Steve Bass, Director of Intergovernmental Relations Paula Friedman, Assistant to the County Executive Stacey Dolgin-Kmetz, Chief Deputy County Attorney Tami Altschiller, Assistant Chief Deputy County Attorney Blanca Lopez, Commissioner, Department of Planning

<u>ACTIVITIES THAT DO NOT MEET THE DEFINITION OF AN "ACTION"</u> PURSUANT TO SEQR AND ARE, CONSEQUENTLY, NOT SUBJECT TO SEQR

1. BUDGETS AND AMENDMENTS

- Municipal budgets and amendments to them The budgeting process merely sets aside funds without a commitment to their expenditure. Operating expenditures are typically for government-related activities that would also not meet the definition of an action. Even the establishment of the Capital Budget is not subject to SEQR because many of the capital projects are usually not definitive enough with respect to potential impacts to be reviewable at the time the budget is adopted. However, any subsequent authorization, such as bonding, to undertake a particular capital project is an action that requires SEQR compliance before it may be approved.
- The transfer of funds within the County operating and capital budgets for the purpose of balancing accounts It is understood that these actions are purely budgetary, where accounts with excess funds are moved to accounts with existing or anticipated deficits. It is further understood that the activities covered by these accounts have either already occurred or been reviewed in accordance with SEQR, are Type II actions or actions that are not subject to SEQR, or are actions that will require future approval prior to being undertaken, at which time further SEQR review may be appropriate.
- Rescissions or reduction of bond acts to cancel unspent funds.

2. SERVICES

- Consultant services Contracts or agreements that provide for administrative services, training, reports for Boards and Commissions, but not including studies or design of physical improvements, which has been listed under SEQR as Type II.
- Social Services Actions or agreements that provide services to persons in need, such as employment assistance, family/domestic intervention and respite care.
- Youth services Actions or agreements that provide for youth services, such as a Resource Allocation Plan, Invest-in-Kids Program, after-school programs, camp programs and head-start programs.
- Senior programs & services Actions or agreements that provide for services to seniors, such as provision of information/education, home care, nutrition & transportation assistance, caregiver support, and acceptance of federal and state grants providing for such services (e.g., OAA Title III grants and NYSOFA grants, including CSE, CSI, CRC, EISEP, NYSTP, WIN & NSIP).
- Public Safety services programs that promote public safety, such as STOP-DWI and Police Night Out; intermunicipal agreements (IMAs) for shared training, equipment and response to emergencies, including E-911; acceptance and administration of grants for law enforcement programs (e.g., JAG).
- Fire services Fire district IMAs for shared training, equipment and response to emergencies.
- Legal services Contracts for outside counsel, litigation or associated monetary settlements and collections.

- Medical Services Contracts with medical providers for medical examinations, testing, vaccinations or medical treatment of County employees or the public.
- Mental Health Services Contracts with agencies to provide treatment, services or education related to mental health.

3. PERSONNEL MATTERS

- Actions related to employment or employees.
- Contracts for temporary staff assistance.
- Legislation pertaining to establishment and membership of boards and commissions.

4. FINANCES

- Tax Anticipation Notes.
- Bond acts to finance tax certiorari payments.
- Banking contracts/agreements for money management services.
- Mortgage tax receipts disbursements (County Clerk).
- Refinancing of affordable housing mortgages.
- Payment in Lieu of Taxes (PILOT) agreements.

5. LAWS

- New laws or amendments of existing laws that regulate the sale or use of products for the protection of public health.
- New laws or amendments of existing laws that regulate businesses for the protection of consumers.
- Pertaining to consumer protection, not including professional licensing, which have been classified as Type II.
- Pertaining to animal welfare, excluding regulations involving habitat management.
- Pertaining to public safety.
- Pertaining to taxation, such as establishment of new taxes or tax exemptions.
- Pertaining to establishment or modification of fees.
- Pertaining to notices, publications and record keeping.
- Pertaining to hiring or contracting procedures.
- Pertaining to the functioning of County government, such as term limits, board appointments, etc. that do not impact the environment.

6. MISCELLANEOUS

- Amendments to existing agreements for changes in name or consultants.
- Education/training programs, contracts for clinical instruction.
- Prisoner Transport IMAs.
- Tourism Promotion Agency designation.
- Software licenses.

- IMAs for temporary housing in existing facilities (homeless, inmate, troubled youths, domestic violence victims).
- Naming or renaming of streets, buildings, parks or other public facilities.

WCDP JAN 2024

RESOLUTION NO. ____ - 2024

RESOLVED, that this Board hold a public hearing pursuant to Section 209.141(4) of the Laws of Westchester County on Local Law Intro. No. ____ - 2024, entitled "A LOCAL LAW amending the Laws of Westchester County by amending Chapter 825 regarding source separation of recyclables from the waste stream. The public hearing will be held at __.m. on the _____ day of ______, 2024, in the Chambers of the Board of Legislators, 8th Floor, Michaelian Office Building, White Plains, New York. The Clerk of the Board shall cause notice of the time and date of such hearing to be published at least once in one or more newspapers published in the County of Westchester and selected by the Clerk of the Board for that purpose in the manner and time required by law.

LOCAL LAW INTRO. NO. -2023

A LOCAL LAW amending Chapter 825 of the Laws of Westchester County entitled the "Westchester County Source Separation Law" to improve recycling, reduction and waste programs in the County of Westchester.

BE IT ENACTED by the County Board of the County of Westchester as follows:

Section 1. Chapter 825 of the Laws of Westchester County is amended to read as follows:

Chapter 825

ARTICLE I. - SHORT TITLE AND STATEMENT OF PURPOSE

Sec. 825.01. - Short title.

This shall be known as the "Westchester County Source Separation Law."

Sec. 825.11. - Purposes.

The purpose of this chapter is to promote the general health, welfare, and safety of citizens of Westchester County, to protect the environment and to manage the solid waste stream in Westchester County. This legislation is intended to implement existing state solid waste management policy, as declared in Title 1 of Article 27 of the New York State Environmental Conservation Law, under which the County has been designated as the official planning unit for all the solid waste generated and collected within its borders. This Cehapter is an essential element of Westchester County's state-approved Ssolid Wwaste Mmanagement Pplan. The reporting requirements of this chapter will permit the County to have informational feedback to assess the effectiveness of its state-approved Ssolid Wwaste Mmanagement Pplan and to develop any necessary future solid waste management options. In addition, this Cehapter promotes is for

the purpose of reducing the need to dispose of <u>S</u>solid <u>W</u>waste generated in this County through incineration or landfilling by maximization of recycling and to comply with New York State General Municipal Law section 120-aa.

ARTICLE II. - APPLICABILITY

Sec. 825.20. - Applicability.

This chapter shall apply to every <u>W</u>waste <u>G</u>generator, <u>H</u>hauler, <u>R</u>recyclables <u>B</u>broker, and <u>M</u>municipality within the County of Westchester.

ARTICLE III. - DEFINITIONS

Sec. 825.30. - Definitions.

Unless otherwise expressly stated or unless the context or subject matter specifically requires a different meaning, the meanings of the following terms which are used in this chapter shall be as follows:

- 1. County shall means the County of Westchester.
- 2. Commissioner shall means the Commissioner of Environmental Facilities of the County of Westchester or their his/her duly authorized designeerepresentative.
- as means in the Westchester County Solid Waste Licensing Law.uncontaminated Solid Waste resulting from the construction, remodeling, repair, and/or demolition of structures and roads, and uncontaminated sSolid Wwaste consisting of vegetation resulting from land clearing and grubbing, utility line maintenance, and seasonal and storm related cleanup where such materials are unable to be processed as Yard Wasteexcluding vegetative waste. Such waste includes, but is not limited to, bricks, concrete, and other masonry materials, soil, rock, wood, wall coverings, plaster, drywall, plumbing fixtures, non-asbestos insulation, roofing shingles, asphaltic pavement, glass, plastics that are not sealed in a manner that conceals other wastes, electrical wiring and

components containing no hazardous liquids, and metals that are incidental to any of the above.

- 3.4. Department shall means the County of Westchester County Department of Environmental Facilities.
- 4.5. Electronic Waste shall means waste items, such as computers, computer peripherals, televisions, small scale servers, small electronic equipment, and wireless telephones, as further defined in New York State Environmental Conservation

 Law, Article 27, Title 26 and Article 27, Title 23*2.
- End of the County for disposal, storage, transfer, or processing.

 Hauler shall means any person, excluding municipalities, the County, and any County district, including, but not limited to, Refuse Disposal District No. 1 and all County sewer and water districts, who, for a fee or other consideration, collects, stores, transfers, transports, or disposes of Soolid www.aste, recyclables, or econstruction and Demolition demolition demolities that is generated or originated within the County or brought within the boundaries of the County for disposal, storage, transfer, or processing.
 - 6.7. Household Hazardous Wastes (HHW) are materials found in residential wastes that would be regulated as hazardous wastes if they were generated outside of a household. These household wastes can be flammable, toxic, corrosive, or reactive and can be dangerous if handled improperly.
- 7.8. Municipality shall mean any of the towns, villages, and cities located within Westchester County.
- **8.9. Person** shall mean any individual, firm, company, association, society, corporation, partnership, co-partnership, joint-stock company, trust, estate, governmental entity, or any other legal entity or legal representatives, agents, or assigns. The masculine gender shall include the feminine, the singular shall include the plural, where indicated by context.
- 9.10. Rechargeable Batteries shall mean any battery included in Article 27, Title 18 of the New York State Environmental Conservation Law, and any other law or regulation related to rechargeable battery recycling.

10.11. Recycle shall means any method, technique, or process utilized to separate, process, modify, convert, treat, or otherwise prepare solid waste so that its component materials or substances may be beneficially used or reused.

41.12. Recyclables shall means the following materials:

- (a) Newsprint: Newspapers as purchased, including any glossy inserts.
- (b) High-grade paper: Includes high quality paper such as letterhead, copier paper, typing paper, tablet sheets, computer printout paper, and all paper of similar quality. This term shall not include carbon paper, self_carbonizing paper, coated or glossy paper, envelopes with intact_windows or adhesive labels. Residential waste generators are permitted to commingle high-grade paper with newsprint; however, nonresidential waste generators are required to separate high-grade paper from newsprint. Glass: Glass jars, bottles, and containers of clear, green or amber (brown) color, used to store food or beverages only, which <a href="mailto:musshallto
- (c) Metals: All ferrous and nonferrous food and beverage containers, including steel, aluminum, and bimetal, which shall be empty and rinsed clean.
- (d) Bulk metals: Large metal fixtures and appliances, including white goods such as washing machines, refrigerators (after Freon has been removed), etc. This term excludes metal containers utilized to store flammable or volatile chemical materials, such as fuel tanks.
- (e) Plastics: All plastics with resin identification codes 1 through 7, including food, beverage, detergent, and shampoo containers and caps, which shall be empty and rinsed clean. This term excludes all plastic film (e.g., dry cleaning bags, and packaging materials), plastic bags, vinyl, all large rigid plastics (e.g., toys, pools, and furniture), non-coded small rigid plastics (e.g., toys, clothing hangers, tableware, and utensils), plastic foam materials (e.g., hot beverage cups, trays, and packaging materials), containers that held potentially hazardous materials (e.g.,

- motor oil, solvents, and pesticides), and building materials (e.g., piping, and bathroom and kitchen fixtures).
- (f) Yard Wwaste: Leaves collected during the fall only.
- (g) Vehicular Lead-Acid Vehicular bBatteries: Lead-acid batteries Batteries used in automobiles and heavy equipment as defined in New York State Environmental Conservation Law § 27-1701; excludes single use non-lithium ion household batteries (e.g., for flashlights, radios, cameras, etc.).
- (h) Used Mmotor Ooil: The type used in gasoline and diesel vehicle and equipment engines, delivered in an uncontaminated container, as defined in New York State Environmental Conservation Law § 23-2307.
- (i) Cardboard including corrugated cardboard containers, which must be cleaned of excessive amounts of contaminants such as adhesives, metals and plastics; cereal boxes, tissue boxes, paper towel rolls, or any other noncorrugated materials made from cardboard.
- (j) Cartons: Food or beverage container including gable-top containers, typically made
 of waxed paperboard or paperboard lined with plastic and/or aluminum, and
 typically used as a container for milk, juice, broth, and/or soup.
- Recyclables Bbroker shall means any person, excluding municipalities, the County, and any County district, including but not limited to Refuse Disposal District No. 1 and all County sewer and water districts, who, for a fee or other consideration, collects, picks up, separates, processes, markets, transports, stores or otherwise handles Recyclables exclusively, if those recyclables were generated or originated within the County or brought within the boundaries of the County for disposal, storage, transfer, or processing, excluding those persons who are required to accept beverage containers under § 27-1007 of the New York State Environmental Conservation Law or persons who redeem containers under said law, and those persons who are required to accept Uused Mmotor Ooil, and Lead-Acid vehicular batteries, paint, or any other material accepted free of charge for recycling under applicable state law, whether or not the State program includes a fee collected at the time of product purchase, provided that this

exclusion shall only apply to the aforementioned activities which that are governed by state or county law. A Recyclables Bbroker may collect, separate, process, store, transport, or otherwise handle Ssolid Wwaste contaminants that are collected with Recyclables, provided that the Recyclables Bbroker has taken reasonable precautions to prevent the introduction of such contaminants.

- broker who collects, transports, or stores <u>sSolid Wwaste</u> or <u>rRecyclables</u> shall keep source-separated <u>Recyclables</u> separate from <u>Ssolid Wwaste</u> during collection, transportation, and storage, except for <u>Recyclables</u> that are mixed with <u>sSolid Wwaste</u> in construction and demolition debris <u>orand</u> identifiable bagged <u>Recyclables</u> mixed with bagged <u>Ssolid Wwaste</u>, provided that <u>Recyclables</u> are later separated for recycling.
- 14.15. Solid <u>Wwaste shall</u> means all putrescible and nonputrescible materials or substances that are discarded or rejected as being spent, useless, worthless, or in excess to their owners at the time of such discard or rejection, including but not limited to garbage, refuse, commercial waste, rubbish, ashes, incinerator residue, and <u>Ceonstruction</u> and <u>Demolition dDebris</u>. "Solid waste" shall not be understood to include <u>Recyclables</u> as defined in this chapter.
- 15.16. Source Separation shall means the segregation of Recyclables from Solid wWaste at the point of waste generation for separate collection, sale, or other disposition.
- 16:17. Waste Generator shall means any person within Westchester County who produces or is responsible for sSolid wWaste or rRecyclables in Westchester County requiring disposal.
- 17.18. Residential wWaste gGenerator shall means a Wwaste Ggenerator who resides in a single- or multifamily dwelling within Westchester County, whose waste is generated from household functions, such as including, but not limited to cooking, or cleaning, etc.
- 18.19. Nonresidential wWaste Generator shall mean all waste generators other than Rresidential Wwaste gGenerators.

ARTICLE IV. - PROVISIONS APPLICABLE TO WASTE GENERATORS

Sec. 825.40. - Provisions applicable to wWaste Generators.

- 1. Every wwaste Generator in Westchester County shall be responsible for the source separation of sSolid wwaste and Recyclables at the point of generation. Waste gGenerators shall source-separate additional materials designated as Recyclables by a local Memunicipality pursuant to § 120-aa of the General Municipal Law, if that mmunicipality provides or causes to be provided collection of such materials for the Wwaste gGenerator, or a location within that Memunicipality for delivery of such materials by the wwaste Generator. With respect to Household Hazardous Materials, Electronic Waste, Lithium-Ion Batteries, Freon and/or Mercury Containing items, and any other material regulated by State law requiring special disposal, Waste Generators shall source separate those materials and ensure safe and proper disposal. Waste Generators shall not be required to source-separate Recyclables contained in Ceonstruction and Delemolition dDebris, provided that such debris is brought to a facility where Recyclables can be separated from the nonrecyclable Seolid Wwaste. All waste generators shall commence source separation on September 1, 1992.
- 2. Each Wwaste generator shall provide for the removal of those separated Recyclables which that the Wwaste Generator is required to source-separate pursuant to subsection labove from the property on which they are generated either through service provided by a Mmunicipality, by a Hhauler or a Recyclables bencher, or by taking these materials directly to a Recyclables transfer, storage, or processing location. Materials that are subject to State, County, or Local Law allowing for recycling free of charge (whether or not a fee is assessed at the time of product purchase or by tax) shall be Used motor oil shall be delivered by private individuals to appropriate those designated recycling locations, service stations required to accept this material free of charge in accordance with New York State Environmental Conservation Law § 23-2307. Used vehicular batteries shall be delivered by private individuals to retailers who sell such batteries and who are required to accept such batteries for recycling free of charge in accordance with New York State Environmental Conservation Law § 27-1701 or to sorap recycling facilities which accept this type of used battery for recycling.

- 3. Each waste generator shall be required to prepare those <u>Rrecyclables which that</u> the <u>Wwaste Generator</u> is required to source-separate pursuant to subsection 1. above in the manner prescribed in the definition of <u>rRecyclables</u> in section 825.30 of this chapter, or if no particular manner of preparation is specified for a specific <u>rRecyclable</u> material in said definition of <u>Rrecyclables</u>, then according to any ordinance, regulation, or rule of the <u>County Refuse Disposal District</u>, or the entity providing <u>Recyclables collection services to that waste generator</u>, or if such collection services are provided by a hauler or recyclables broker, then according to the directions of the hauler or recyclables broker. If a <u>Wwaste Generator</u> utilizes direct haul, <u>Rrecyclables shall</u> be prepared in the manner prescribed by the <u>Rrecyclables transfer</u>, storage or processing facility to which the <u>Wwaste Generator</u> delivers such materials.
- 4. Every <u>W</u>waste <u>G</u>generator shall be obligated to <u>e</u>insure that those <u>R</u>recyclables <u>which that</u> the <u>w</u>Waste <u>G</u>generator is required to source-separate pursuant to subsection 1. above are placed in the location designated for <u>R</u>recyclables collection by the <u>Hauler municipality in which the waste generator is located</u>. If no such ordinance exists or is applicable, but the waste generator utilizes a hauler or <u>r</u> or <u>R</u>ecyclables <u>b</u>Broker to collecting its <u>Solid Waste and R</u>recyclables, then the waste generator shall place its recyclables in any location designated by the hauler or recyclables broker for recyclables collection.
- 5. In the case of multi-tenant buildings, the owner of such building is responsible to provide the following: appropriate container(s) to hold source-separated materials for the entire building separate from the container(s) where the building's Solid Wwaste is stored; a mechanism for disposal of source-separated Recyclables, unless mMunicipal collection is provided; and an educational program for tenants on the manner in which source-separated materials are to be prepared for collection.
- 6. Nothing in this chapter shall be construed to prohibit private composting of <u>food</u>, garden, and yard waste by a <u>Wwaste gGenerator</u> on the <u>wWaste gGenerator</u>'s own property, or to engage in a County, municipal, or private recycling program for those materials.
- 7. Exemption: wWaste gGenerators who are unable to comply with the requirements of this section for good cause shown (e.g., old age, or mental or physical infirmity, etc.).
 Exemptions shall be granted solely at the discretion of the Ceommissioner. A person who

applies for an exemption may be required by the Ceommissioner to supply documentation of the reason(s) supporting the application. Said person shall be required to simultaneously file a copy of the request for exemption, along with all supporting documentation submitted to the Ceommissioner with the Memunicipality in which the said person is located. The municipality shall have the right to submit written objections to the Ceommissioner regarding a request for exemption by a person within that municipality's jurisdiction within twenty (20) days of receipt of its copy of an exemption request. The eCommissioner shall consider a municipality's objections prior to making ahis determination. The Ceommissioner shall advise the municipality of histhe determination when rendered. The eCommissioner shall maintain and regularly update a list of such exempt www.aste Geometers, which shall be made available to the officials charged with enforcing the terms of this chapter.

Sec. 825.41. - Mercury <u>Delisposal pProhibitions and Source sSeparation</u>.

(a) Definitions.

- i. Authorized Recycling Ffacility shall mean any of the recycling facility licensed and or permitted to operate in Westchester County pursuant to all applicable laws, rules and regulationsies on the list of authorized facilities furnished by the Department, the County Department of Health or the County Department of Weights and MeasureConsumer Protection.
- ii. Manometer or mMercury gGauge shall mean an instrument containing mercury used to measure the pressure of gas.
- iii. Mercury bBarometer shall means a mercury-containing instrument used to measure atmospheric (or barometric) pressure.
- iv. Mercury <u>T</u>thermometer <u>shall</u> means a non-digital instrument containing mercury that is used to measure temperature.

- v. Mercury <u>T</u>thermostat <u>shall</u> means a non-electronic device, containing one or more mercury tilt switches, that regulates temperature in an enclosed area by controlling heating, cooling or ventilation equipment.
- vi. Silent wWall Sswitch shall means a mercury-containing light switch, manufactured prior to 1991, mounted on a wall which does not make an audible "click" sound when activated.
- vii. Household <u>Hazardousehemical</u> <u>Wwaste Ceollection Pprogram</u> shall mean any municipal program designed for the purpose of collecting source separated products, <u>including those</u> containing mercury, for proper disposal apart from the conventional waste stream, <u>whether permanent or periodic</u>, <u>including but not limited to the Household Material Recovery Facility (H-MRF)</u>.
- (b) Mercury <u>D</u>disposal <u>P</u>prohibitions. A person may not knowingly dispose of a <u>M</u>mercury <u>B</u>barometer, <u>M</u>mercury <u>G</u>gauge or <u>M</u>manometer, <u>M</u>mercury <u>T</u>thermometer, <u>M</u>mercury <u>T</u>thermostat or <u>S</u>silent <u>W</u>wall <u>S</u>switch, in:
 - i. Solid wWaste;
 - ii. Wastewater disposal systems, including home septic systems; or
 - iii. A landfill, incinerator, waste-to-energy facility, or other solid waste disposal facility, except an <u>aA</u>uthorized <u>Rrecycling Ffacility</u> or a <u>Hhousehold Hazardousehemical Wwaste Ceollection Pprogram.</u>

(c) Source Separation.

- i. Every <u>wWaste Generator</u> in Westchester County shall separate <u>mMercury</u>
 <u>Bbarometers, Mmercury Generator</u> or <u>Mmanometers, mercury Mercury</u>
 <u>thermometers Thermometers, mercury Mercury thermostats Thermostats, and or silent Silent wall wall switches Switches from solid Wwaste at the point of generation.</u>
- ii. After proper separation of <u>M</u>mercury barometers, <u>M</u>mercury <u>G</u>gauges or <u>M</u>manometers, <u>M</u>mercury <u>T</u>thermometers, <u>M</u>mercury <u>T</u>thermostats or <u>S</u>silent <u>W</u>wall <u>S</u>switches, each person who discards that waste shall deliver that waste to an <u>Authorized #Recycling F</u>facility that is legally authorized and permitted to accept

that waste pursuant to this section. Residential <u>Wwaste Generators may</u> additionally deliver that waste to a <u>Hhousehold Hazardousehemical Wwaste</u> <u>Ceollection Perogram</u>.

iii. _The Department shall make available a regularly updated listing of authorized recycling facilities on the official website of the County.

Sec. 825.45. - Unauthorized Confiscation of Certain Recyclables.

Except for authorized employees or agents of a mMunicipality; or authorized employees or agents of Hhaulers or Recyclables bBrokers licensed by the Westchester County Solid Waste Commission utilized by a Wwaste Generator to collect Recyclables pursuant to a written service contract required by section 826-a.402 of the Laws of Westchester County, it shall be unlawful for any person to remove and transport by motor vehicle any amount of Recyclable paper, cardboard, and/or commingled plastic/glass/metals/cartons that have been placed in the location designated for Recyclables collection for collection or removal by such Mmunicipality or licensed Hhauler or Recyclables bBroker. This section shall not apply to individuals whothe removed or transport of tems intended to be re-used by the individual for personal, non-commercial, use.: (1) cardboard, if for personal use; and (2) bulk metals or other types of used household/consumer goods.

ARTICLE V. - PROVISIONS APPLICABLE TO HAULERS AND RECYCLABLES BROKERS

Sec. 825.50. - Provisions applicable to <u>H</u>haulers and <u>Rrecyclables hBrokers</u>.

- Haulers and #Recyclables bBrokers shall provide regular, reliable, and separate collection of
 #Recyclables to any customer to whom they provide #Recyclables collection services.
- 2. Haulers and <u>R</u>recyclables <u>b</u>Brokers shall deliver any <u>R</u>recyclables that they have collected or picked up to a <u>R</u>recyclables transfer, storage, or processing facility. In the event that a market for a particular <u>r</u>Recyclable or class of <u>r</u>Recyclables collapses or that delivery to a transfer, storage, or processing facility would create a severe economic hardship to a <u>H</u>hauler or

FRecyclables Bbroker, the eCommissioner may, in his sole discretion, grant a temporary waiver to the requirements of this subsection, only upon a written application for waiver from the Hhauler or Recyclables bBroker setting forth with specificity the facts and reasons in support of such application. Waivers shall be for a specific period of time and shall be rescinded earlier, if the Ceommissioner, in his sole discretion, determines that the reasons for granting the waiver no longer exist.

3. Each Hauler and Recyclables Broker shall furnish an annual report regarding its collection activities by the deadline provided by the Department. Such report shall be on the form provided by the Department and shall include, but not be limited to: quantities of all Solid Waste and/or Recyclables hauled in the preceding calendar year and the end disposal location of the material. Notwithstanding the foregoing, each Municipality within Refuse Disposal District #1 shall provide written notification to the Department of any changes to the hauling plan for the Municipality.

ARTICLE VI. - PROVISIONS APPLICABLE TO MUNICIPALITIES

Sec. 825.60. - Provisions <u>Aapplicable to mMunicipalities.</u>

- 1. For the purposes of fulfilling its responsibilities as the designated "planning unit" under the authority of New York State Environmental Conservation Law § 27-0107, the County must shall coordinate and analyze the recycling efforts of all the mMunicipalities within its borders to see ensure that the recycling goals for the entire County, as set forth in the County's state approved—sSolid Wwaste mManagement pPlan, are met. The Commissioner shall prepare an annual report on the recycling programs of Westchester's municipalities, based on information obtained under the reporting requirements of this section and any other relevant information available to him, to assess the effectiveness of the Mmunicipalities' recycling programs in meeting the County's state approved solid waste management plan recycling goals and to suggest any measures that may need to be taken, if the plan's recycling goals are not being met.
- 2. Each <u>M</u>municipality within the County shall provide, or cause to be provided, the regular, reliable, and separate collection of <u>R</u>recyclables from those <u>W</u>waste <u>gG</u>enerators to which the <u>M</u>municipality provides, or causes to be provided, <u>S</u>solid <u>W</u>waste collection services.

- 3. Each such mMunicipality shall furnish a response to the request for an annual report regarding its collection activities by the deadline provided by the Department. At minimum, each Municipality shall provide information related to the types and quantities of Recyclables collected and recycled, including yard waste, during the prior year; whether the materials were collected through municipal or contract collection; the tonnages of each recyclable material delivered to each particular recyclables broker or other market for recyclables. Notwithstanding the foregoing, each Municipality within Refuse Disposal District #1 shall not be required to report the tonnages of those recyclables which are being delivered to a Refuse Disposal District #1 Facility. In addition, each Municipality shall provide written notification to the Commissioner or a his designee of any changes to the hauling plan for the Municipality, the commissioner with a plan to provide, or cause to be provided, such separate collection and recycling of recyclables, on a form to be provided by the commissioner. Such plan shall include, but not be limited to:
- 4. If upon review of the annual reports submitted by the Municipalities, the Commissioner; in his sole discretion, determines that the waste management and/or recycling percentages for the District and/or County fall below the goals of the County's Solid Waste Management Plan and/or the State waste management goals, a Municipality or Municipalities may be required to submit a plan for increasing participation in recycling efforts and/or addressing waste management goals. The Department shall review plans submitted and work with the Municipality to assist in education and participation rates.
- (a) A written schedule for the collection and delivery of recyclables, including frequency of collection, and the identification of the marketplace for collected recyclables;
- (b) A separate and distinct section setting forth a plan for the collection and disposition of recyclable yard waste;
- (c) A report on reduction and reuse techniques to be implemented by the municipality, including public education efforts.
- All such plans shall be submitted to the commissioner for his review and use in the manner set forth herein. Municipalities shall submit their plans to the commissioner by September 30, 1992. The commissioner shall transmit the required form for municipal plans to each municipality in the County by certified mail, return receipt requested.

- Each municipality within the County shall furnish annual recycling reports to the commissioner documenting the types and quantities of recyclables which were collected and recycled by that municipality either through municipal or contract collection in the previous year and identifying the tonnages of each recyclable material delivered to each particular recyclables broker or other market for recyclables. There shall be a separate section in the report for yard waste that was collected and recycled in the prior year. In addition, after a municipality's recycling goals are set pursuant to subsection 5.a. of this section, subsequent annual recycling reports shall contain a municipal recycling plan update that addresses the municipality's progress or lack of progress in meeting its annual recycling goals, and any revisions to its municipal recycling plan necessary to achieve its annual recycling goals that the municipality intends to make and the proposed timetable for implementing any such revisions. The annual recycling report must be submitted on or before March 1 of each year. The commissioner shall provide forms for these reports to each municipality on or before January 15 of each year. Municipalities utilizing the County's materials recovery facility are exempt from reporting on the tennages of those recyclables which are being delivered by the municipality to said facility. However, any municipality utilizing the materials recovery facility, who is not meeting its recycling goals set pursuant to subsection 5.a. of this section, shall still be required to address how it intends to improve the collection rate of materials delivered to the materials recovery facility in its recycling plan update.
- 4.— For the purposes of this section, municipalities shall not be required to plan for or report on the recycling of used motor oil and vehicular batteries.
- 5. With respect to the plans and reports filed pursuant to subsections 2. and 3. above, the role of the commissioner shall be as follows:
 - a. Commencing with the date of adoption of this chapter and concluding no later than the end-of calendar year 1993, the commissioner shall review, evaluate and comment upon the municipal plans and annual reports that he receives during this period and shall establish annual recycling goals for each municipality that shall be substantially in accordance with the recycling goals set forth in the County's state-approved solid waste management plan for the planning period covered by the County's state-approved solid waste management plan. The commissioner shall confer with and accept comment from

each municipality prior to setting the municipality's annual recycling goals. A municipality's recycling plan goals may only be revised if necessitated by a state-approved amendment to the County's solid waste management plan. Enforcement of such revised goals pursuant to subsection 5.e. below shall not occur until a municipality has been given a reasonable time to amend and implement its recycling plan to meet such revised goals.

- In the event that the annual recycling reports filed by municipalities in 1994, pursuant to subsection 3: above, indicate that a given municipality has not reached its past recycling goals set pursuant to subsection 5.a. above, then by June 1, 1994, the commissioner shall review, evaluate and comment upon said municipality's annual recycling report for the purpose of assisting said municipality in reaching its annual recycling goals. By August 1, 1994, such nencomplying municipalities shall advise the commissioner in writing of the revisions to its recycling plan which it has implemented or intends to implement to achieve compliance with its annual recycling goals and a proposed timetable for implementation, unless the municipality presents evidence to the commissioner demonstrating current compliance with its recycling goals and that it can reasonably be expected to meet its future recycling goals. The revisions to a noncomplying municipality's recycling plan shall be reasonably designed to bring that municipality into compliance with its past annual recycling goals and also shall be reasonably designed to meet its future annual recycling goals. If a noncomplying municipality either fails to submit such a revised plan to the commissioner or fails to demonstrate to the commissioner that it is now in compliance with its municipal recycling goals and can reasonably be expected to meet its future recycling goals, then, by September 1 of that year, the commissioner may find that municipality in violation of this subsection 5.b., subject to the procedures of section 825.80 of this chapter.
- e. In the event that the annual recycling reports filed by municipalities in or after the year 1995, pursuant to subsection 3. above, indicate that a given municipality is not meeting its annual recycling goals and has not implemented measures reasonably designed to reach its recycling goals, then, by June 1 of that year, the commissioner shall review, evaluate and comment upon said noncomplying municipality's annual recycling report and any municipal recycling plan revisions contained in said report. By August 1 of that year, the noncomplying municipality shall submit a plan to the commissioner reasonably designed to reach its recycling goals,

unless the municipality presents evidence to the commissioner demonstrating current compliance with its recycling goals and that it can reasonably be expected to meet its future recycling goals. By October 1 of that year, the commissioner and the noncomplying municipality must concur upon said noncomplying municipality's plan to achieve compliance, unless the commissioner has determined that evidence submitted by said municipality demonstrates current compliance with its recycling goals and the reasonable expectation that this municipality will meet its future annual recycling goals on or before September 1 of that year. However, in the event that the commissioner does not issue such a determination of compliance and the commissioner and the noncomplying municipality fail to agree upon such a revised recycling plan by October 1 of that year, then the commissioner may find the municipality to be in violation of this subsection, subject to the procedures set forth in section 825.80 of this chapter, if the commissioner either demonstrates that the municipality's recycling plan is not reasonably designed to achieve compliance with that municipality's current and future recycling goals established pursuant to subsection 5.a. of this section or that the municipality has not taken the necessary steps to implement its recycling plan and if the commissioner also demonstrates that one or both of these factors is the primary cause for the municipality's failure to meet its recycling goals.

ARTICLE VII. - NONRESIDENTIAL WASTE GENERATOR PLAN REQUIREMENTS Sec. 825.70. - Nonresidential <u>W</u>waste <u>G</u>enerator <u>P</u>plans.

- 1. Except for Mmunicipalities, all Mnonresidential Wwaste Generators who own a or operate a building or buildings commonly containing more than 100 employees, patients, or students during a 24-hour period, including, but not limited to commercial establishments, institutions, and school districts ("Reporting Mnonresidential Wwaste Generators"), shall be required to establish a Source Separation Plan that includes provide the following plan to the commissioner:
- (a) A plan to provide for Seource eSeparation of Recyclables; and

- (b) A written schedule for the collection by or delivery of <u>S</u>source-<u>S</u>separated <u>R</u>recyclables, which shall include identification of the <u>Hauler</u>, <u>R</u>recyclables <u>B</u>broker or market, collection mechanism, and anticipated volumes of materials.
- 2. The commissioner shall provide the required form for such plan to all reporting nonresidential generators.
 - 23. Reporting nonresidential waste generators shall submit their current source separation plan to the commissioner no later than October 1, 2008. New reporting nonresidential waste generators must submit their plan within six (6) months of the commencement of operations in Westehester County. All reporting Nnonresidential Wwaste Generators have a continuing obligation to update such plan as necessary and submit to the plan to the Department upon request. of the Department, every three (3) years. The Ceommissioner also has the authority to require an update of the plan as she or she deemeds necessary.
 - 34. In the event that a commercial establishment, institution, or school district utilizes more than one location, only one Source Separation Plan plan need be developed submitted in accordance with subsection 3 above, provided that such plan identifies activities at each location, unless such location's Source Separation Planplan is prepared by a building owner pursuant to subsection 5. below, in which case only the owner's name need be identified.
 - 45. In the event that a commercial establishment, institution, or school district is located within a multi-tenant building and there are over 100 employees in such building, it shall be the responsibility of the owner of such building to comply with this section.

Sec. 825.70-A.- Nonresidential Waste Generator Source Separation.

1. Every Nonresidential Waste Generator with areas open to the public or visitors shall have separate disposal receptacles for Solid Waste and for Recycling that are clearly marked and identifiable. All such separate disposal receptacles shall be placed in close proximity next to each other and shall be available on each floor, in each area, and in any food service area accessible to the public and/or visitors.

- 2. The separate disposal receptacles for Recycling shall be either single stream (pulp/paper and comingled/bottles/cans/cartons together) or dual stream (separate disposal receptacles for pulp/paper and separate disposal receptacles for comingled bottles/can/cartons), based upon the Nonresidential Waste Generator's Source Separation Plan to manage recyclables.
- 4.3. Nonresidential Waste Generators may determine the size, shape, material, and color of any separate disposal receptacle, so long as the receptacles are clearly marked and identifiable so as to avoid the improper mixing of Solid Waste and Recyclables. The Department shall make available to any Nonresidential Waste Generator signage files for the clear demarcation of the separate disposal receptacles.
- 2.4. Nonresidential Waste Generators (their lessee, assigns, operators, managers, etc.) shall comply with reasonable requests for access made by the Department or its Solid Waste Inspectors during the performance of inspections of areas referenced in this section.

ARTICLE VIII. - PENALTIES AND ENFORCEMENT

Sec. 825.80. - Penalties and enforcement.

- Any person found guilty in a court of competent jurisdiction of failure to comply with any
 applicable provisions of this eChapter or with any emergency regulation of the
 Ceommissioner duly issued under this Cehapter shall be guilty of an offense punishable as
 follows:
 - a. For the first violation, a warning or a fine up to \$100.00;
 - b. For the second violation, a fine of up to \$500.00;
 - c. For the third violation, a fine of up to \$750.00;
 - d. For the fourth and succeeding violations, a fine of up to \$1,000.00.
- 2. In lieu of, or in addition to, the criminal enforcement provisions and penalties of subsection 1- above, each such compliance violation shall be subject to a civil penalty as follows:

- a. For the first violation, a warning or a fine up to \$100.00;
- b. For the second violation, a warning or a fine of up to \$500.00;
- c. For the third violation, a warning or a fine of up to \$750.00;
- d. For the fourth and succeeding violations, a warning or a fine of up to \$1,000.00.
 Each day of a continuing violation shall constitute a separate violation and shall be subject to a separate fine and/or civil penalty.
- 3. In addition to any other penalties prescribed in this section, the County Attorney may maintain an action in a court of competent jurisdiction to compel compliance with or restrain by injunction any violation of the provisions of this Cehapter or of the regulations of the Ceommissioner duly issued pursuant to this Cehapter.
- 4. Except for warnings, which may be issued by any police officer or duly appointed County or municipal enforcement officer, the civil penalties recited in subsection 2- of this section shall only be imposed either by a court of competent jurisdiction or by the Ceommissioner, without first resorting to the courts, pursuant to the following administrative hearing procedure:
 - a. Upon the issuance of a notice of violation and hearing, the <u>Ceommissioner shall</u> cause to be held a hearing before a hearing officer selected by the <u>Ceommissioner</u>, unless a person charged with such violation admits liability by returning the notice of violation with payment of the proposed penalty and by signing the admission of liability on said notice.
 - b. A formal hearing shall be on due and adequate notice to the party concerned and shall be set down for a date certain. A notice of violation and hearing shall be served by the <u>Ceommissioner upon the alleged violator by certified mail, return receipt requested or by personal service. "Personal service" shall be defined as set forth in the New York State Civil Practice Law and Rules.</u>
 - c. A notice of violation and hearing shall include notification of the following:
 - i. The time and place of the hearing;
 - ii. A list of all alleged violations complained of, with specific reference to the provisions and sections of the law, rule, or regulation involved, and a summary of the alleged facts supporting each alleged violation;

- iii. The respondent's right to present evidence;
- iv. The respondent's right to examine and cross-examine witnesses;
- v. The respondent's right to be represented by counsel;
- vi. That <u>a</u> respondent's failure to appear shall constitute a default by the respondent, and that the hearing may proceed in the respondent's absence and a determination made based solely upon evidence submitted by the <u>Ceommissioner</u>; and
- vii. That <u>a</u> respondent may waive <u>theirhis or her</u> right to such hearing by signing an admission of liability on the notice of violation and hearing and by remitting payment of the assessed penalty.
- d. The hearing officer may grant an adjournment upon request of any party to the proceeding, provided that an adjournment shall not be for an indefinite period of time, but shall be set down for a date certain.
 - If an adjournment is requested in advance of the hearing date, such request shall be presented to the hearing officer, in writing, and shall specify the reason for such request.
 - ii. In considering an application for adjournment of a hearing, the hearing officer shall consider whether the purpose of the hearing will be affected or defeated by the granting of such adjournment.
- e. To aid in the administration of this chapter, the Ceommissioner, or any hearing officer designated by the Commissionerhim or her in a particular proceeding, may issue subpoenas in the Ceommissioner's name requiring the attendance and giving of testimony by witnesses and the production of books, papers and other evidence for any hearing or proceeding conducted under this section. Service of such subpoena(s), enforcement of obedience thereto, and punishment for disobedience thereof, shall be had as and in the manner provided by the New York State Civil Practice Law and Rules relating to the enforcement of any subpoena issued by a board or committee.
 - i. It shall be the responsibility of the party requesting the issuance of a subpoena to effect service thereof.

- ii. The hearing officer may add a party to the proceeding upon due and adequate notice to both the party to be added and the parties named in the proceedings.
- f. On the return date of a hearing, the hearing officer shall note the appearances of the persons attending the hearing. Witnesses shall be sworn and testimony shall be recorded either by a certified stenographer or by use of an electronic recording device. Prior to the commencement of the hearing, the hearing officer may conduct a settlement conference.

 Should the Department and the respondent reach a settlement of which the hearing officer approves, the hearing officer shall dispense with the hearing and shall issue a report and recommendation to the Commissioner that the settlement be approved.
- g. All hearings shall be open to the public. Testimony shall be transcribed upon the request of any interested party. The party requesting the transcript shall pay the costs and expenses in connection therewith.
- h. The hearing officer shall not be bound by the strict rules of evidence in the conduct of a hearing, but the his or her findings of fact shall be founded upon a fair preponderance of the evidence presented at the hearing. The hearing officer shall admit and consider any evidence of mitigation offered by the alleged violator.
- After the conclusion of a formal hearing, the hearing officer shall prepare and issue a
 report containing a summary of the evidence, findings of fact, conclusions of law and
 recommendations(s) to the Ceommissioner.
- j. The recommendations of the hearing officer may include, but shall not be limited to the appropriate penalty in the event the Ceommissioner finds a violation has occurred, or the submission of a recommendation that the Department enter into a stipulation of settlement upon agreement by all parties to the proceeding be incorporated in a commissioner's decision and order.
- k. Upon the conclusion of a formal hearing and after consideration of the hearing officer's report and recommendations and any evidence of mitigation, the Ceommissioner shall make a decision based on a fair preponderance of the evidence and shall execute an order carrying such decision into effect, or issue a stipulation of settlement upon agreement of all parties to the proceeding.

- I. The order of the eCommissioner may include, but shall not be limited to, the assessment of civil penalties, as provided by this chapter; the issuance of the approval of a stipulation of settlement, which has been agreed to by all parties to the proceeding and which shall include, but not be limited to, a plan and schedule to remedy the condition which that caused the violation, if such measures are necessary and appropriate to correct the violation, and suspended penalties.
- m. —If the Ceommissioner determines that the hearing record is not sufficient to make a final determination, the Ceommissioner may direct a rehearing or require the taking of additional evidence and may rescind or affirm, in whole or in part, a prior determination after such hearing.
- n. The Ceommissioner shall cause to be served upon the respondent, copies of the hearing officer's report and the Ceommissioner's final determination and order or Stipulation of Settlement. Service shall be made in the manner prescribed for the service of a notice of hearing.
- 5. Where any violation of this chapter causes an expense to the County or to a mMunicipality enforcing this chapter pursuant to subsection 6. bBelow, such expense may, in the discretion of the Ceommissioner, be separately collected by a civil suit against the violator, brought by the County Attorney in the name of the County in a court of competent jurisdiction.
- 6. Municipalities may enforce this <u>Cehapter</u> within their jurisdiction against <u>W</u>waste <u>Generators</u>, <u>H</u>haulers and <u>R</u>recyclables <u>B</u>brokers. A <u>M</u>municipality that chooses to enforce this <u>Cehapter shall designate in writing to the Commissioner the a local enforcement official</u>, who shall have all the powers of the <u>Ceommissioner and the County Attorney</u> related to enforcement under this section, and shall provide <u>quarterly</u> reports of <u>all enforcement activity</u>. If a <u>M</u>municipality enforces a violation of this <u>Cehapter and undertakes the entire enforcement of a particular offense and/or civil violation of this chapter, then 100 percent of the fines collected in that enforcement proceeding shall be retained by the enforcing <u>M</u>municipality. Municipalities may not enforce this <u>Cehapter against any person against whom the County has already proceeded <u>against</u> for the same or substantially similar violation or violations.</u></u>

ARTICLE IX. - EMERGENCY RULEMAKING AUTHORITY AND PROCEDURES

Sec. 825.90. - Emergency rulemaking authority and procedures.

- 1. In the event of an emergency which affects the life, safety, health, environment, or welfare of the citizens of this County and circumstances require prompt action to remedy the emergency, the Ceommissioner may promulgate emergency regulations without the approval of the Board of Legislators, which shall be published in their full text immediately on the Department's website, in one or more newspapers of general circulation designated by the Clerk of the Board of Legislators for this purpose and filed with the Clerk of the Board of Legislators. An emergency regulation shall expire at either the end of the emergency or 45 days after publication, whichever comes first. An emergency regulation may only be extended beyond 45 days with the approval of the majority of the Board of Legislators.
- A compilation of all emergency regulations promulgated pursuant to this section shall be maintained in the office of the <u>Commissioner</u> and shall be available for inspection by any interested party during regular business hours.

ARTICLE X. - ADMINISTRATION

Sec. 825.101. - Administration.

The Westchester County Source Separation Law shall be administered and enforced by the Ceommissioner. Enforcement by Memunicipalities is also authorized under the limitations set forth in section 825.80, subsection 6, of this Cehapter.

ARTICLE XI. - SEVERABILITY

Sec. 825.110. - Severability.

If any clause, sentence, paragraph, subdivision, section or part of this chapter or the application to any person or circumstance be adjudged by any court of competent jurisdiction invalid or unconstitutional, that order or judgment will not affect, impair or invalidate the

remainder thereof but will be confined in its operation to the clause, sentence, paragraph, subdivision, section or part of this chapter, or its application to the person or circumstance directly involved in the controversy in which that order or judgment is rendered.

§2. This Local Law shall take effect immediately.

Sdk/Mjr-7/14/23

LOCAL LAW INTRO. NO.

-2024

A LOCAL LAW amending Chapter 825 of the Laws of Westchester County entitled the "Westchester County Source Separation Law" to improve recycling, reduction and waste programs in the County of Westchester.

BE IT ENACTED by the County Board of the County of Westchester as follows:

Section 1. Chapter 825 of the Laws of Westchester County is amended to read as follows:

Chapter 825

ARTICLE I. - SHORT TITLE AND STATEMENT OF PURPOSE

Sec. 825.01. - Short title.

This shall be known as the "Westchester County Source Separation Law."

Sec. 825.11. - Purposes.

The purpose of this chapter is to promote the general health, welfare, and safety of citizens of Westchester County, to protect the environment and to manage the solid waste stream in Westchester County. This legislation is intended to implement existing state solid waste management policy, as declared in Title 1 of Article 27 of the New York State Environmental Conservation Law, under which the County has been designated as the official planning unit for all the solid waste generated and collected within its borders. This Cehapter is an essential element of Westchester County's state-approved-Ssolid Wwaste Mmanagement Pplan. The reporting requirements of this chapter will permit the County to have informational feedback to assess the effectiveness of its state-approved-Ssolid Wwaste Mmanagement Pplan and to develop any necessary future solid waste management options. In addition, this Cehapter promotes is for

the purpose of reducing the need to dispose of <u>S</u>solid <u>W</u>waste generated in this County through incineration or landfilling by maximization of recycling and to comply with New York State General Municipal Law section 120-aa.

ARTICLE II. - APPLICABILITY

Sec. 825.20. - Applicability.

This chapter shall apply to every <u>W</u>waste <u>G</u>generator, <u>H</u>hauler, <u>R</u>recyclables <u>B</u>broker, and <u>M</u>municipality within the County of Westchester.

ARTICLE III. - DEFINITIONS

Sec. 825.30. - Definitions.

Unless otherwise expressly stated or unless the context or subject matter specifically requires a different meaning, the meanings of the following terms which are used in this chapter shall be as follows:

- 1. **County** shall means the County of Westchester.
- 2. Commissioner shall means the Commissioner of Environmental Facilities of the County of Westchester or their his/her duly authorized designeer expresentative.
- 2. Construction and dDemolition dDebris or (C&D) has the same meaning as means in the Westchester County Solid Waste Licensing Law.uncontaminated Solid Wwaste resulting from the construction, remodeling, repair, and/or demolition of structures and roads, and uncontaminated sSolid Wwaste consisting of vegetation resulting from land clearing and grubbing, utility line maintenance, and seasonal and storm-related cleanup where such materials are unable to be processed as Yard Wasteexcluding vegetative waste. Such waste includes, but is not limited to, bricks, concrete, and other masonry materials, soil, rock, wood, wall coverings, plaster, drywall, plumbing fixtures, non-asbestos insulation, roofing shingles, asphaltic pavement, glass, plastics that are not sealed in a manner that conceals other wastes, electrical wiring and

components containing no hazardous liquids, and metals that are incidental to any of the above.

- 3.4. **Department** shall means the County of Westchester County Department of Environmental Facilities.
- 4.5. Electronic Waste shall means waste items, such as computers, computer peripherals, televisions, small scale servers, small electronic equipment, and wireless telephones, as further defined in New York State Environmental Conservation

 Law, Article 27, Title 26 and Article 27, Title 23*2.
- 5.6. Food Waste shall mean all food-based putrescible materials or substances that are discarded or rejected as being spent, useless, worthless or in excess to the owners at the time of such discard or rejection.
 - 7. Food Scrap shall mean all inedible food, trimmings from the preparation of food, food-soiled paper, edible food that is not donated, and food processing waste. Food Scrap does not include used cooking oil, yellow grease, or any food which is subject to a recall or seizure due to the presence of pathogens.
- 6.8. Hauler shall means any person, excluding municipalities, the County, and any County district, including, but not limited to, Refuse Disposal District No. 1 and all County sewer and water districts, who, for a fee or other consideration, collects, stores, transfers, transports, or disposes of Soolid wWaste, Recyclables, or eConstruction and Demolition dDebris that is generated or originated within the County or brought within the boundaries of the County for disposal, storage, transfer, or processing.
 - 7.9. Household Hazardous Wastes (HHW) are materials found in residential wastes that would be regulated as hazardous wastes if they were generated outside of a household. These household wastes can be flammable, toxic, corrosive, or reactive and can be dangerous if handled improperly.
- 8.10. Municipality shall mean any of the towns, villages, and cities located within Westchester County.
- 9.11. **Person** shall mean any individual, firm, company, association, society, corporation, partnership, co-partnership, joint-stock company, trust, estate, governmental

entity, or any other legal entity or legal representatives, agents, or assigns. The masculine gender shall include the feminine, the singular shall include the plural, where indicated by context.

- 10.12. Rechargeable Batteries shall mean any battery included in Article 27, Title 18 of the New York State Environmental Conservation Law, and any other law or regulation related to rechargeable battery recycling.
- 11.13. Recycle shall means any method, technique, or process utilized to separate, process, modify, convert, treat, or otherwise prepare solid waste so that its component materials or substances may be beneficially used or reused.
- 12.14. Recyclables shall means the following materials:
 - (a) Newsprint: Newspapers as purchased, including any glossy inserts.
 - (b) High-grade paper: Includes high quality paper such as letterhead, copier paper, typing paper, tablet sheets, computer printout paper, and all paper of similar quality. This term shall not include carbon paper, self-carbonizing paper, coated or glossy paper, envelopes with <a href="introduced-
 - (c) Metals: All ferrous and nonferrous food and beverage containers, including steel, aluminum, and bimetal, which shall be empty and rinsed clean.
 - (d) Bulk metals: Large metal fixtures and appliances, including white goods such as washing machines, refrigerators (after Freon has been removed), etc. This term excludes metal containers utilized to store flammable or volatile chemical materials, such as fuel tanks.
 - (e) Plastics: All plastics with resin identification codes 1 through 7, including food, beverage, detergent, and shampoo containers and caps, which shall be empty and

rinsed clean. This term excludes all plastic film (e.g., dry cleaning bags, and packaging materials), plastic bags, vinyl, all large rigid plastics (e.g., toys, pools, and furniture), non-coded small rigid plastics (e.g., toys, clothing hangers, tableware, and utensils), plastic foam materials (e.g., hot beverage cups, trays, and packaging materials), containers that held potentially hazardous materials (e.g., motor oil, solvents, and pesticides), and building materials (e.g., piping, and bathroom and kitchen fixtures).

- (f) Yard <u>W</u>waste: Leaves collected during the fall only.
- (g) Vehicular Lead-Acid Vehicular bBatteries: Lead-acid batteries Batteries used in automobiles and heavy equipment as defined in New York State Environmental Conservation Law § 27-1701; excludes single use non-lithium ion household batteries (e.g., for flashlights, radios, cameras, etc.).
- (h) Used Mmotor Ooil: The type used in gasoline and diesel vehicle and equipment engines, delivered in an uncontaminated container, as defined in New York State Environmental Conservation Law § 23-2307.
- (i) Cardboard including corrugated cardboard containers, which must be cleaned of excessive amounts of contaminants such as adhesives, metals and plastics; cereal boxes, tissue boxes, paper towel rolls, or any other noncorrugated materials made from cardboard.
- (j) Cartons: Food or beverage container including gable-top containers, typically made of waxed paperboard or paperboard lined with plastic and/or aluminum, and typically used as a container for milk, juice, broth, and/or soup.
- Recyclables Bbroker shall means any person, excluding municipalities, the County, and any County district, including but not limited to Refuse Disposal District No. 1 and all County sewer and water districts, who, for a fee or other consideration, collects, picks up, separates, processes, markets, transports, stores or otherwise handles Recyclables exclusively, if those recyclables were generated or originated within the County or brought within the boundaries of the County for disposal, storage, transfer, or processing, excluding those persons who are required to accept beverage containers under

- § 27-1007 of the New York State Environmental Conservation Law or persons who redeem containers under said law, and those persons who are required to accept <u>U</u>used <u>M</u>motor <u>O</u>eil, <u>and Lead-Acid vV</u>ehicular <u>bBatteries</u>, <u>paint</u>, or any other material <u>accepted</u> free of charge for recycling under applicable state law, <u>whether or not the State program includes a fee collected at the time of product purchase</u>, provided that this exclusion shall only apply to <u>the aforementioned</u> activities <u>which that</u> are governed by state <u>or county</u> law. A <u>Rrecyclables Bbroker may collect</u>, separate, process, store, transport, or otherwise handle <u>Ssolid Wwaste contaminants</u> that are collected with <u>Rrecyclables</u>, provided that the <u>Rrecyclables Bbroker has taken reasonable precautions to prevent the introduction of such contaminants.</u>
- 14.16. Separate Ceollection shall means that any municipality, hauler, or recyclables broker who collects, transports, or stores sSolid Wwaste or FRecyclables shall keep source-separated Recyclables separate from Ssolid Wwaste during collection, transportation, and storage, except for Recyclables that are mixed with sSolid Wwaste in construction and demolition debris orand identifiable bagged Recyclables mixed with bagged Ssolid Wwaste, provided that Recyclables are later separated for recycling.
- 15.17. Solid Wwaste shall means all putrescible and nonputrescible materials or substances that are discarded or rejected as being spent, useless, worthless, or in excess to their owners at the time of such discard or rejection, including but not limited to garbage, refuse, commercial waste, rubbish, ashes, incinerator residue, and Ceonstruction and Deemolition dDebris. "Solid waste" shall not be understood to include Recyclables as defined in this chapter.
- 16.18. Source Separation shall means the segregation of Recyclables from Solid wWaste at the point of waste generation for separate collection, sale, or other disposition.
- 17:19. Waste Generator shall means any person within Westchester County who produces or is responsible for sSolid wWaste or πRecyclables in Westchester County requiring disposal.

- 18.20. Residential wWaste gGenerator shall means a Wwaste Ggenerator who resides in a single- or multifamily dwelling within Westchester County, whose waste is generated from household functions, such as including, but not limited to cooking, or cleaning, etc.
- 19.21. Nonresidential wWaste Generator shall mean all waste generators other than Residential Wwaste gGenerators.

16. Food waste means all food based putrescible materials or substances that are discarded or rejected as being spent, useless, worthless or in excess to the owners at the time of such discard or rejection.

ARTICLE IV. - PROVISIONS APPLICABLE TO WASTE GENERATORS

Sec. 825.40. - Provisions applicable to wWaste Ggenerators.

- 1. Every wwaste Generator in Westchester County shall be responsible for the source separation of solid wwaste and Recyclables at the point of generation. Waste generators shall source-separate additional materials designated as Recyclables by a local Memunicipality pursuant to § 120-aa of the General Municipal Law, if that mwaste generator, or a location within that Memunicipality for delivery of such materials by the wwaste generator. With respect to Household Hazardous Materials, Electronic Waste, Lithium-Ion Batteries, Freon and/or Mercury Containing items, and any other material regulated by State law requiring special disposal, Waste Generators shall source separate those materials and ensure safe and proper disposal. Waste Generators shall not be required to source-separate Recyclables contained in Ceonstruction and Demolition dDebris, provided that such debris is brought to a facility where Recyclables can be separated from the nonrecyclable Solid Wwaste. All-waste generators shall commence source separation on September 1, 1992.
- 2. Each <u>Wwaste gGenerator</u> shall provide for the removal of those separated <u>Rrecyclables</u> which that the <u>Wwaste Generator</u> is required to source-separate pursuant to subsection 1- above from the property on which they are generated either through service provided by a <u>Mmunicipality</u>, by a <u>Hhauler</u> or a <u>Rrecyclables bBroker</u>, or by taking these materials directly to a <u>Rrecyclables</u> transfer, storage, or processing location. <u>Materials that are subject to State</u>, County, or <u>Local Law allowing for recycling free of charge (whether or the contraction).</u>

not a fee is assessed at the time of product purchase or by tax) shall be Used motor oil shall be delivered by private individuals to appropriate those designated-recycling locations, service stations required to accept this material free of charge in accordance with New York State Environmental Conservation Law § 23-2307. Used vehicular batteries shall be delivered by private individuals to retailers who sell such batteries and who are required to accept such batteries for recycling free of charge in accordance with New York State Environmental Conservation Law § 27-1701 or to scrap recycling facilities which accept this type of used battery for recycling.

- 3. Each waste generator shall be required to prepare those Recyclables which that the Wwaste Generator is required to source-separate pursuant to subsection 1. above in the manner prescribed in the definition of Recyclables in section 825.30 of this chapter, or if no particular manner of preparation is specified for a specific Recyclable material in said definition of Recyclables, then according to any ordinance, regulation, or rule of the County Refuse Disposal District, or the entity providing Recyclables collection services municipality that provides recyclables collection services to that waste generator, or if such collection services are provided by a hauler or recyclables broker, then according to the directions of the hauler or recyclables broker. If a Wwaste Generator utilizes direct haul, Recyclables shall be prepared in the manner prescribed by the Recyclables transfer, storage or processing facility to which the Wwaste Generator delivers such materials.
- 4. Every <u>W</u>waste <u>G</u>generator shall be obligated to <u>e</u>insure that those <u>R</u>recyclables <u>which that</u> the <u>w</u>Waste <u>G</u>generator is required to source-separate pursuant to subsection 1. above are placed in the location designated for <u>R</u>recyclables collection by the <u>Hauler municipality in</u> which the waste generator is located. If no such ordinance exists or is applicable, but the waste generator utilizes a hauler or r or <u>R</u>ecyclables <u>bBroker to-collecting</u> its <u>Solid Waste and R</u>recyclables, then the waste generator shall place its recyclables in any location designated by the hauler or recyclables broker for recyclables collection.
- 5. In the case of multi-tenant buildings, the owner of such building is responsible to provide the following: appropriate container(s) to hold source-separated materials for the entire building separate from the container(s) where the building's <u>S</u>solid <u>W</u>waste is stored; a mechanism for disposal of source-separated <u>R</u>recyclables, unless <u>mM</u>unicipal collection is

- provided; and an educational program for tenants on the manner in which source-separated materials are to be prepared for collection.
- 6. Nothing in this chapter shall be construed to prohibit private composting of <u>food</u>, garden, and yard waste by a <u>W</u>waste <u>gGenerator</u> on the <u>wWaste gGenerator</u>'s own property, or to engage in a County, municipal, or private recycling program for those materials.
- 7. Exemption: wWaste gGenerators who are unable to comply with the requirements of this section for good cause shown (e.g., old age, or mental or physical infirmity, etc.). Exemptions shall be granted solely at the discretion of the Ceommissioner. A person who applies for an exemption may be required by the Ceommissioner to supply documentation of the reason(s) supporting the application. Said person shall be required to simultaneously file a copy of the request for exemption, along with all supporting documentation submitted to the Ceommissioner with the Memunicipality in which the said person is located. The municipality shall have the right to submit written objections to the Ceommissioner regarding a request for exemption by a person within that municipality's jurisdiction within twenty (20) days of receipt of its copy of an exemption request. The eCommissioner shall consider a municipality's objections prior to making ahis determination. The Ceommissioner shall advise the municipality of histhe determination when rendered. The eCommissioner shall maintain and regularly update a list of such exempt wWaste Generators, which shall be made available to the officials charged with enforcing the terms of this chapter.

Sec. 825.41. - Mercury <u>Delisposal pProhibitions and Source sSeparation</u>.

(a) Definitions.

i. Authorized Recycling Ffacility shall mean any of the recycling facility licensed and or permitted to operate in Westchester County pursuant to all applicable laws, rules and regulationsies on the list of authorized facilities furnished by the Department, the County Department of Health or the County Department of Weights and MeasureConsumer Protection.

- ii. Manometer or mMercury gGauge shall mean an instrument containing mercury used to measure the pressure of gas.
- iii. Mercury bBarometer shall means a mercury-containing instrument used to measure atmospheric (or barometric) pressure.
- iv. Mercury <u>T</u>thermometer <u>shall</u> means a non-digital instrument containing mercury that is used to measure temperature.
- v. Mercury <u>T</u>thermostat <u>shall</u> means a non-electronic device, containing one or more mercury tilt switches, that regulates temperature in an enclosed area by controlling heating, cooling or ventilation equipment.
- vi. Silent wWall Sswitch shall means a mercury-containing light switch, manufactured prior to 1991, mounted on a wall which does not make an audible "click" sound when activated.
- vii. Household <u>Hazardousehemical Wwaste Ceollection Pprogram</u> shall mean any municipal program designed for the purpose of collecting source separated products, <u>including those</u> containing mercury, for proper disposal apart from the conventional waste stream, <u>whether permanent or periodic</u>, <u>including but not limited to the Household Material Recovery Facility (H-MRF)</u>.
- (b) Mercury <u>D</u>disposal <u>P</u>prohibitions. A person may not knowingly dispose of a <u>M</u>mercury <u>B</u>barometer, <u>M</u>mercury <u>G</u>gauge or <u>M</u>manometer, <u>M</u>mercury <u>T</u>thermometer, <u>M</u>mercury <u>T</u>thermostat or <u>S</u>silent <u>W</u>wall <u>S</u>switch, in:
 - i. Solid wWaste;
 - ii. Wastewater disposal systems, including home septic systems; or
 - iii. A landfill, incinerator, waste-to-energy facility, or other solid waste disposal facility, except an <u>aA</u>uthorized <u>Recycling Ffacility</u> or a <u>Hhousehold Hazardousehemical Wwaste Ceollection Pprogram.</u>
- (c) Source Separation.
 - i. Every <u>wWaste Generator</u> in Westchester County shall separate <u>mMercury</u> <u>Bbarometers</u>, <u>Mercury Generator</u> or <u>Mercury Mercury</u>

- thermometers Thermometers, mercury thermostats Thermostats, and or silent Silent wall Wall switches from sSolid Wwaste at the point of generation.
- ii. After proper separation of Memercury barometers, Memercury Ggauges or Memanometers, Memercury Thermometers, Memercury Thermostats or Silent Weall Sewitches, each person who discards that waste shall deliver that waste to an Authorized Recycling Ffacility that is legally authorized and permitted to accept that waste pursuant to this section. Residential Weaste Ggenerators may additionally deliver that waste to a Hhousehold Hazardousehemical Weaste Ceollection Perogram.
- iii. _The Department shall make available a regularly updated listing of authorized recycling facilities on the official website of the County.

Sec. 825.45. - Unauthorized Confiscation of Certain Recyclables.

Except for authorized employees or agents of a mMunicipality; or authorized employees or agents of Haulers or Recyclables bBrokers licensed by the Westchester County Solid Waste Commission utilized by a Wwaste Generator to collect Recyclables pursuant to a written service contract required by section 826-a.402 of the Laws of Westchester County, it shall be unlawful for any person to remove and transport by motor vehicle any amount of Recyclable paper, cardboard, and/or commingled plastic/glass/metals/cartons that have been placed in the location designated for Recyclables collection for collection or removal by such Mmunicipality or licensed Hhauler or Recyclables bBroker. This section shall not apply to individuals whothe removeal or transport of tems intended to be re-used by the individual for personal, non-commercial, use.: (1) cardboard, if for personal use; and (2) bulk metals or other types of used household/consumer goods.

ARTICLE V. - PROVISIONS APPLICABLE TO HAULERS AND RECYCLABLES BROKERS

Sec. 825.50. - Provisions applicable to Hhaulers and Rrecyclables bBrokers.

- Haulers and <u>#Recyclables bBrokers</u> shall provide regular, reliable, and separate collection of <u>#Recyclables</u> to any customer to whom they provide <u>#Recyclables</u> collection services.
- 2. Haulers and Recyclables bBrokers shall deliver any Recyclables that they have collected or picked up to a Recyclables transfer, storage, or processing facility. In the event that a market for a particular recyclable or class of recyclables collapses or that delivery to a transfer, storage, or processing facility would create a severe economic hardship to a Hhauler or recyclables Bbroker, the eCommissioner may, in his sole discretion, grant a temporary waiver to the requirements of this subsection, only upon a written application for waiver from the Hhauler or Recyclables bBroker setting forth with specificity the facts and reasons in support of such application. Waivers shall be for a specific period of time and shall be rescinded earlier, if the Ceommissioner, in his sole discretion, determines that the reasons for granting the waiver no longer exist.
- 3. Each Hauler and Recyclables Broker shall furnish an annual report regarding its collection activities by the deadline provided by the Department. Such report shall be on the form provided by the Department and shall include, but not be limited to: quantities of all Solid Waste and/or Recyclables hauled in the preceding calendar year and the end disposal location of the material. Notwithstanding the foregoing, each Municipality within Refuse Disposal District #1 shall provide written notification to the Department of any changes to the hauling plan for the Municipality.

ARTICLE VI. - PROVISIONS APPLICABLE TO MUNICIPALITIES

Sec. 825.60. - Provisions Applicable to mMunicipalities.

1. For the purposes of fulfilling its responsibilities as the designated "planning unit" under the authority of New York State Environmental Conservation Law § 27-0107, the County must shall coordinate and analyze the recycling efforts of all the mMunicipalities within its borders to see ensure that the recycling goals for the entire County, as set forth in the County's state-approved sSolid Wwaste mManagement pPlan, are met. The Commissioner shall prepare an annual report on the recycling programs of Westchester's municipalities, based on information obtained under the reporting requirements of this

- section and any other relevant information available to him, to assess the effectiveness of the Mmunicipalities' recycling programs in meeting the County's state approved solid waste management plan recycling goals and to suggest any measures that may need to be taken, if the plan's recycling goals are not being met.
- 2. Each Memunicipality within the County shall provide, or cause to be provided, the regular, reliable, and separate collection of Recyclables from those Wwaste gGenerators to which the Memunicipality provides, or causes to be provided, Ssolid Wwaste collection services.
- 3. Each such mMunicipality shall furnish a response to the request for an annual report regarding its collection activities by the deadline provided by the Department. At minimum, each Municipality shall provide information related to the types and quantities of Recyclables collected and recycled, including yard waste, during the prior year; whether the materials were collected through municipal or contract collection; the tonnages of each recyclable material delivered to each particular recyclables broker or other market for recyclables. Notwithstanding the foregoing, each Municipality within Refuse Disposal District #1 shall not be required to report the tonnages of those recyclables which are being delivered to a Refuse Disposal District #1 Facility. In addition, each Municipality shall provide written notification to the Commissioner or a his designee of any changes to the hauling plan for the Municipality, the commissioner with a plan to provide, or cause to be provided, such separate collection and recycling of recyclables, on a form to be provided by the commissioner. Such plan shall include, but not be limited to:
- 4. If upon review of the annual reports submitted by the Municipalities, the Commissioner, in his sole discretion, determines that the waste management and/or recycling percentages for the District and/or County fall below the goals of the County's Solid Waste Management Plan and/or the State waste management goals, a Municipality or Municipalities may be required to submit a plan for increasing participation in recycling efforts and/or addressing waste management goals. The Department shall review plans submitted and work with the Municipality to assist in education and participation rates.
- (a) A written schedule for the collection and delivery of recyclables, including frequency of collection, and the identification of the marketplace for collected recyclables;

- (b) A separate and distinct section setting forth a plan for the collection and disposition of recyclable yard waste;
- (c) A report on reduction and reuse techniques to be implemented by the municipality, including public education efforts.
- All such plans shall be submitted to the commissioner for his review and use in the manner set forth herein. Municipalities shall submit their plans to the commissioner by September 30, 1992. The commissioner shall transmit the required form for municipal plans to each municipality in the County by certified mail, return receipt requested.
- Each municipality within the County shall furnish annual recycling reports to the commissioner documenting the types and quantities of recyclables which were collected and recycled by that municipality either through municipal or contract collection in the previous year and identifying the tonnages of each recyclable material delivered to each particular recyclables broker or other market for recyclables. There shall be a separate section in the report for yard waste that was collected and recycled in the prior year. In addition, after a municipality's recycling goals are set pursuant to subsection 5.a. of this section, subsequent annual recycling reports shall contain a municipal recycling plan update that addresses the municipality's progress or lack of progress in meeting its annual recycling goals, and any revisions to its municipal recycling plan necessary to achieve its annual recycling goals that the municipality intends to make and the proposed timetable for implementing any such revisions. The annual recycling report must be submitted on or before March 1 of each year. The commissioner shall provide forms for these reports to each municipality on or before January 15 of each year. Municipalities utilizing the County's materials recovery facility are exempt from reporting on the tonnages of those recyclables which are being delivered by the municipality to said facility. However, any municipality utilizing the materials recovery facility, who is not meeting its recycling goals set pursuant to subsection 5.a. of this section, shall still be required to address how it intends to improve the collection rate of materials delivered to the materials recovery facility in its recycling plan update.
- 4. For the purposes of this section, municipalities shall not be required to plan for or report on the recycling of used motor oil and vehicular batteries.

- 5. With respect to the plans and reports filed pursuant to subsections 2. and 3. above, the role of the commissioner shall be as follows:
 - a. Commencing with the date of adoption of this chapter and concluding no later than the end of calendar year 1993, the commissioner shall review, evaluate and comment upon the municipal plans and annual reports that he receives during this period and shall establish annual recycling goals for each municipality that shall be substantially in accordance with the recycling goals set forth in the County's state approved solid waste management plan for the planning period covered by the County's state approved solid waste management plan. The commissioner shall confer with and accept comment from each municipality prior to setting the municipality's annual recycling goals. A municipality's recycling plan goals may only be revised if necessitated by a state approved amendment to the County's solid waste management plan. Enforcement of such revised goals pursuant to subsection 5.c. below shall not occur until a municipality has been given a reasonable time to amend and implement its recycling plan to meet such revised goals.
- In the event that the annual recycling reports filed by municipalities in 1994, pursuant to subsection 3, above, indicate that a given municipality has not reached its past recycling goals set pursuant to subsection 5.a. above, then by June 1, 1994, the commissioner shall review, evaluate and comment upon said municipality's annual recycling report for the purpose of assisting said municipality in reaching its annual recycling goals. By August 1, 1994, such noncomplying municipalities shall advise the commissioner in writing of the revisions to its recycling plan which it has implemented or intends to implement to achieve compliance with its annual recycling goals and a proposed timetable for implementation, unless the municipality presents evidence to the commissioner-demonstrating current compliance with its recycling goals and that it can reasonably be expected to meet its future recycling goals. The revisions to a noncomplying municipality's recycling plan shall be reasonably designed to bring that municipality into compliance with its past annual recycling goals and also shall be reasonably designed to meet its future annual recycling goals. If a noncomplying municipality either fails to submit such a revised plan to the commissioner or fails to demonstrate to the commissioner that it is now in compliance with its municipal recycling goals and can reasonably be expected to meet its future recycling goals, then, by September 1

- of that year, the commissioner may find that municipality in violation of this subsection 5.b., subject to the procedures of section 825.80 of this chapter.
- c. In the event that the annual recycling reports filed by municipalities in or after the year 1995, pursuant to subsection 3. above, indicate that a given municipality is not meeting its annual recycling goals and has not implemented measures reasonably designed to reach its recycling goals, then, by June 1 of that year, the commissioner shall review, evaluate and comment upon said noncomplying municipality's annual recycling report and any municipal recycling plan revisions contained in said report. By August 1 of that year, the noncomplying municipality shall submit a plan to the commissioner reasonably designed to reach its recycling goals, unless the municipality presents evidence to the commissioner demonstrating current compliance with its recycling goals and that it can reasonably be expected to meet its future recycling goals. By October 1 of that year, the commissioner and the noncomplying municipality must concur upon said noncomplying municipality's plan to achieve compliance, unless the commissioner has determined that evidence submitted by said municipality demonstrates current compliance with its recycling goals and the reasonable expectation that this municipality will meet its future annual recycling goals on or before September 1 of that year. However, in the event that the commissioner does not issue such a determination of compliance and the commissioner and the noncomplying municipality fail to agree upon such a revised recycling plan by October 1 of that year, then the commissioner may find the municipality to be in violation of this subsection, subject to the procedures set forth in section 825.80 of this chapter, if the commissioner either demonstrates that the municipality's recycling plan is not reasonably designed to achieve compliance with that municipality's current and future recycling goals established pursuant to subsection 5.a. of this section or that the municipality has not taken the necessary steps to implement its recycling plan and if the commissioner also demonstrates that one or both of these factors is the primary cause for the municipality's failure to meet its recycling goals.

ARTICLE VII. - NONRESIDENTIAL WASTE GENERATOR PLAN REQUIREMENTS

Sec. 825.70. - Nonresidential Wwaste Generator Pplans.

- 1. Except for Mmunicipalities, all Nnonresidential Wwaste Generators who own a or operate a building or buildings commonly containing more than 100 employees, patients, or students during a 24-hour period, including, but not limited to commercial establishments, institutions, and school districts ("Rreporting Nnonresidential Wwaste Generators"), shall be required to establish a Source Separation Plan that includes provide the following plan to the commissioner:
- (a) A plan to provide for Source sSeparation of Rrecyclables; and
- (b) A written schedule for the collection by or delivery of <u>S</u>source-<u>S</u>separated <u>R</u>recyclables, which shall include identification of the <u>Hauler</u>, <u>R</u>recyclables <u>B</u>broker or market, collection mechanism, and anticipated volumes of materials.
- The commissioner shall provide the required form for such plan to all reporting nonresidential generators.
 - 23. Reporting nonresidential waste generators shall submit their current source separation plan to the commissioner no later than October 1, 2008. New reporting nonresidential waste generators must submit their plan within six (6) months of the commencement of operations in Westchester County. All reporting Nnonresidential Wwaste Generators have a continuing obligation to update such plan as necessary and submit to the plan to the Department upon request. of the Department: every three (3) years. The Ceommissioner also has the authority to require an update of the plan as s/he or she deemeds necessary.
 - 34. In the event that a commercial establishment, institution, or school district utilizes more than one location, only one Source Separation Plan plan need be developed submitted in accordance with subsection 3 above, provided that such plan identifies activities at each location, unless such location's Source Separation Planplan is prepared by a building owner pursuant to subsection 5. below, in which case only the owner's name need be identified.
 - 45. In the event that a commercial establishment, institution, or school district is located within a multi-tenant building and there are over 100 employees in such building, it shall be the responsibility of the owner of such building to comply with this section.

Sec. 825.70-A.- Nonresidential Waste Generator Source Separation.

- 1. Every Nonresidential Waste Generator with areas open to the public or visitors shall have separate disposal receptacles for Solid Waste and for Recycling that are clearly marked and identifiable. All such separate disposal receptacles shall be placed in close proximity next-to each other and shall be available on each floor, in each area, and in any food service area accessible to the public and/or visitors.
- 2. The separate disposal receptacles for Recycling shall be either single stream (pulp/paper and comingled/bottles/cans/cartons together) or dual stream (separate disposal receptacles for pulp/paper and separate disposal receptacles for comingled bottles/can/cartons), based upon the Nonresidential Waste Generator's Source Separation Plan to manage recyclables.
- 4.3. Nonresidential Waste Generators may determine the size, shape, material, and color of any separate disposal receptacle, so long as the receptacles are clearly marked and identifiable so as to avoid the improper mixing of Solid Waste and Recyclables. The Department shall make available to any Nonresidential Waste Generator signage files for the clear demarcation of the separate disposal receptacles.
- 2.4. Nonresidential Waste Generators (their lessee, assigns, operators, managers, etc.) shall comply with reasonable requests for access made by the Department or its Solid Waste Inspectors during the performance of inspections of areas referenced in this section.

ARTICLE VIII. - PENALTIES AND ENFORCEMENT

Sec. 825.80. - Penalties and enforcement.

- 1. Any person found guilty in a court of competent jurisdiction of failure to comply with any applicable provisions of this eChapter or with any emergency regulation of the Ceommissioner duly issued under this Cehapter shall be guilty of an offense punishable as follows:
 - a. For the first violation, a warning or a fine up to \$100.00;
 - b. For the second violation, a fine of up to \$500.00;

- c. For the third violation, a fine of up to \$750.00;
- d. For the fourth and succeeding violations, a fine of up to \$1,000.00.
- 2. In lieu of, or in addition to, the criminal enforcement provisions and penalties of subsection 1- above, each such compliance violation shall be subject to a civil penalty as follows:
 - a. For the first violation, a warning or a fine up to \$100.00;
 - b. For the second violation, a warning or a fine of up to \$500.00;
 - c. For the third violation, a warning or a fine of up to \$750.00;
 - d. For the fourth and succeeding violations, a warning or a fine of up to \$1,000.00.
 Each day of a continuing violation shall constitute a separate violation and shall be subject to a separate fine and/or civil penalty.
- 3. In addition to any other penalties prescribed in this section, the County Attorney may maintain an action in a court of competent jurisdiction to compel compliance with or restrain by injunction any violation of the provisions of this Cehapter or of the regulations of the Ceommissioner duly issued pursuant to this Cehapter.
- 4. Except for warnings, which may be issued by any police officer or duly appointed County or municipal enforcement officer, the civil penalties recited in subsection 2- of this section shall only be imposed either by a court of competent jurisdiction or by the Ceommissioner, without first resorting to the courts, pursuant to the following administrative hearing procedure:
 - a. Upon the issuance of a notice of violation and hearing, the Ceommissioner shall cause to be held a hearing before a hearing officer selected by the Ceommissioner, unless a person charged with such violation admits liability by returning the notice of violation with payment of the proposed penalty and by signing the admission of liability on said notice.
 - b. A formal hearing shall be on due and adequate notice to the party concerned and shall be set down for a date certain. A notice of violation and hearing shall be served by the <u>Ceommissioner upon the alleged violator by certified mail, return receipt requested or by personal service. "Personal service" shall be defined as set forth in the New York State Civil Practice Law and Rules.</u>

- c. A notice of violation and hearing shall include notification of the following:
 - i. The time and place of the hearing;
 - ii. A list of all alleged violations complained of, with specific reference to the provisions and sections of the law, rule, or regulation involved, and a summary of the alleged facts supporting each alleged violation;
 - iii. The respondent's right to present evidence;
 - iv. The respondent's right to examine and cross-examine witnesses;
 - v. The respondent's right to be represented by counsel;
 - vi. That <u>a</u> respondent's failure to appear shall constitute a default by the respondent, and that the hearing may proceed in the respondent's absence and a determination made based solely upon evidence submitted by the <u>Ceommissioner</u>; and
 - vii. That a respondent may waive their his or her right to such hearing by signing an admission of liability on the notice of violation and hearing and by remitting payment of the assessed penalty.
- d. The hearing officer may grant an adjournment upon request of any party to the proceeding, provided that an adjournment shall not be for an indefinite period of time, but shall be set down for a date certain.
 - If an adjournment is requested in advance of the hearing date, such request shall be presented to the hearing officer, in writing, and shall specify the reason for such request.
 - ii. In considering an application for adjournment of a hearing, the hearing officer shall consider whether the purpose of the hearing will be affected or defeated by the granting of such adjournment.
- e. To aid in the administration of this chapter, the Ceommissioner, or any hearing officer designated by the Commissionerhim or her in a particular proceeding, may issue subpoenas in the Ceommissioner's name requiring the attendance and giving of testimony by witnesses and the production of books, papers and other evidence for any hearing or proceeding conducted under this section. Service of such subpoena(s), enforcement of

obedience thereto, and punishment for disobedience thereof, shall be had as and in the manner provided by the New York State Civil Practice Law and Rules relating to the enforcement of any subpoena issued by a board or committee.

- It shall be the responsibility of the party requesting the issuance of a subpoena to effect service thereof.
- ii. The hearing officer may add a party to the proceeding upon due and adequate notice to both the party to be added and the parties named in the proceedings.
- f. On the return date of a hearing, the hearing officer shall note the appearances of the persons attending the hearing. Witnesses shall be sworn and testimony shall be recorded either by a certified stenographer or by use of an electronic recording device. Prior to the commencement of the hearing, the hearing officer may conduct a settlement conference.

 Should the Department and the respondent reach a settlement of which the hearing officer approves, the hearing officer shall dispense with the hearing and shall issue a report and recommendation to the Commissioner that the settlement be approved.
- g. All hearings shall be open to the public. Testimony shall be transcribed upon the request of any interested party. The party requesting the transcript shall pay the costs and expenses in connection therewith.
- h. The hearing officer shall not be bound by the strict rules of evidence in the conduct of a hearing, but the his or her findings of fact shall be founded upon a fair preponderance of the evidence presented at the hearing. The hearing officer shall admit and consider any evidence of mitigation offered by the alleged violator.
- i. After the conclusion of a formal hearing, the hearing officer shall prepare and issue a report containing a summary of the evidence, findings of fact, conclusions of law and recommendations(s) to the Ceommissioner.
- j. The recommendations of the hearing officer may include, but shall not be limited to the appropriate penalty in the event the Ceommissioner finds a violation has occurred, or the submission of a recommendation that the Department enter into a stipulation of settlement upon agreement by all parties to the proceeding be incorporated in a commissioner's decision and order.

- k. Upon the conclusion of a formal hearing and after consideration of the hearing officer's report and recommendations and any evidence of mitigation, the Ceommissioner shall make a decision based on a fair preponderance of the evidence and shall execute an order carrying such decision into effect, or issue a stipulation of settlement upon agreement of all parties to the proceeding.
- l. The order of the eCommissioner may include, but shall not be limited to, the assessment of civil penalties, as provided by this chapter; the issuance of the approval of a stipulation of settlement, which has been agreed to by all parties to the proceeding and which shall include, but not be limited to, a plan and schedule to remedy the condition which that caused the violation, if such measures are necessary and appropriate to correct the violation,; and suspended penalties.
- m. —If the Ceommissioner determines that the hearing record is not sufficient to make a final determination, the Ceommissioner may direct a rehearing or require the taking of additional evidence and may rescind or affirm, in whole or in part, a prior determination after such hearing.
- n. The <u>Ceommissioner shall cause to be served upon the respondent, copies of the hearing officer's report and the <u>Ceommissioner's final determination and order or Stipulation of Settlement</u>. Service shall be made in the manner prescribed for the service of a notice of hearing.</u>
- 5. Where any violation of this chapter causes an expense to the County or to a mMunicipality enforcing this chapter pursuant to subsection 6. bBelow, such expense may, in the discretion of the County Science, be separately collected by a civil suit against the violator, brought by the County Attorney in the name of the County in a court of competent jurisdiction.
- 6. Municipalities may enforce this <u>Cehapter</u> within their jurisdiction against <u>W</u>waste <u>Generators</u>, <u>Hhaulers and Recyclables Bbrokers</u>. A <u>M</u>municipality that chooses to enforce this <u>Cehapter shall designate in writing to the Commissioner the a local enforcement official</u>, who shall have all the powers of the <u>Ceommissioner and the County Attorney</u> related to enforcement under this section, and shall provide <u>quarterly</u> reports of <u>all enforcement activity</u>. If a <u>M</u>municipality enforces a violation of this <u>Cehapter and undertakes the entire enforcement of a particular offense and/or civil violation of this chapter, then 100 percent of the fines</u>

collected in that enforcement proceeding shall be retained by the enforcing Mmunicipality. Municipalities may not enforce this Cehapter against any person against whom the County has already proceeded against for the same or substantially similar violation or violations.

ARTICLE IX. - EMERGENCY RULEMAKING AUTHORITY AND PROCEDURES

Sec. 825.90. - Emergency rulemaking authority and procedures.

- 1. In the event of an emergency which affects the life, safety, health, environment, or welfare of the citizens of this County and circumstances require prompt action to remedy the emergency, the Ceommissioner may promulgate emergency regulations without the approval of the Board of Legislators, which shall be published in their full text immediately on the Department's website, in one or more newspapers of general circulation designated by the Clerk of the Board of Legislators for this purpose and filed with the Clerk of the Board of Legislators. An emergency regulation shall expire at either the end of the emergency or 45 days after publication, whichever comes first. An emergency regulation may only be extended beyond 45 days with the approval of the majority of the Board of Legislators.
- A compilation of all emergency regulations promulgated pursuant to this section shall be maintained in the office of the <u>Ceommissioner</u> and shall be available for inspection by any interested party during regular business hours.

ARTICLE X. - ADMINISTRATION

Sec. 825.101. - Administration.

The Westchester County Source Separation Law shall be administered and enforced by the Ceommissioner. Enforcement by Mmunicipalities is also authorized under the limitations set forth in section 825.80, subsection 6-, of this Cehapter.

ARTICLE XI. - SEVERABILITY

Sec. 825.110. - Severability.

If any clause, sentence, paragraph, subdivision, section or part of this chapter or the application to any person or circumstance be adjudged by any court of competent jurisdiction invalid or unconstitutional, that order or judgment will not affect, impair or invalidate the remainder thereof but will be confined in its operation to the clause, sentence, paragraph, subdivision, section or part of this chapter, or its application to the person or circumstance directly involved in the controversy in which that order or judgment is rendered.

§2. This Local Law shall take effect immediately.

Sdk/Mjr-7/14/23(2/2024)

LOCAL LAW INTRO. NO. -2024

A LOCAL LAW amending Chapter 825 of the Laws of Westchester County entitled the "Westchester County Source Separation Law" to improve recycling, reduction and waste programs in the County of Westchester.

BE IT ENACTED by the County Board of the County of Westchester as follows:

Section 1. Chapter 825 of the Laws of Westchester County is amended to read as follows:

Chapter 825

ARTICLE I. - SHORT TITLE AND STATEMENT OF PURPOSE

Sec. 825.01. - Short title.

This shall be known as the "Westchester County Source Separation Law."

Sec. 825.11. - Purposes.

The purpose of this chapter is to promote the general health, welfare, and safety of citizens of Westchester County, to protect the environment and to manage the solid waste stream in Westchester County. This legislation is intended to implement existing state solid waste management policy, as declared in Title 1 of Article 27 of the New York State Environmental Conservation Law, under which the County has been designated as the official planning unit for all the solid waste generated and collected within its borders. This Cehapter is an essential element of Westchester County's state approved Ssolid Wwaste Mmanagement Pplan. The reporting requirements of this chapter will permit the County to have informational feedback to assess the effectiveness of its state approved Ssolid Wwaste Mmanagement Pplan and to develop any necessary future solid waste management options. In addition, this Cehapter promotes is for

the purpose of reducing the need to dispose of <u>S</u>solid <u>W</u>waste generated in this County through incineration or landfilling-by maximization of recycling and to comply with New York State General Municipal Law section 120-aa.

ARTICLE II. - APPLICABILITY

Sec. 825.20. - Applicability.

This chapter shall apply to every \underline{W} waste \underline{G} generator, \underline{H} hauler, \underline{R} recyclables \underline{B} broker, and \underline{M} municipality within the County of Westchester.

ARTICLE III. - DEFINITIONS

Sec. 825.30. - Definitions.

Unless otherwise expressly stated or unless the context or subject matter specifically requires a different meaning, the meanings of the following terms which are used in this chapter shall be as follows:

- 1. **County** shall means the County of Westchester.
- 2. **Commissioner** shall means the Commissioner of Environmental Facilities of the County of Westchester or their his/her duly authorized designeer epresentative.
- Construction and dDemolition dDebris or (C&D) has the same meaning as means in the Westchester County Solid Waste Licensing Law.uncontaminated Solid Waste resulting from the construction, remodeling, repair, and/or demolition of structures and roads, and uncontaminated sSolid Wwaste consisting of vegetation resulting from land clearing and grubbing, utility line maintenance, and seasonal and storm-related cleanup where such materials are unable to be processed as Yard Wasteexcluding vegetative waste. Such waste includes, but is not limited to, bricks, concrete, and other masonry materials, soil, rock, wood, wall coverings, plaster, drywall, plumbing fixtures, non-asbestos insulation, roofing shingles, asphaltic pavement, glass, plastics that are not sealed in a manner that conceals other wastes, electrical wiring and

components containing no hazardous liquids, and metals that are incidental to any of the above.

- 3.4. **Department** shall means the County of Westchester County Department of Environmental Facilities.
- 4.5. Electronic Waste shall means waste items, such as computers, computer peripherals, televisions, small scale servers, small electronic equipment, and wireless telephones, as further defined in New York State Environmental Conservation Law, Article 27, Title 26 and Article 27, Title 23*2.
 - 5.6. Food Scrap shall mean all inedible food, trimmings from the preparation of food, food-soiled paper, edible food that is not donated, and food processing waste. Food Scrap does not include used cooking oil, yellow grease, or any food which is subject to a recall or seizure due to the presence of pathogens.
 - 7. **Food Waste** shall mean all food-based putrescible materials or substances that are discarded or rejected as being spent, useless, worthless or in excess to the owners at the time of such discard or rejection.
- 6.8. Hauler shall means any person, excluding municipalities, the County, and any County district, including, but not limited to, Refuse Disposal District No. 1 and all County sewer and water districts, who, for a fee or other consideration, collects, stores, transfers, transports, or disposes of Seolid wWaste, rRecyclables, or eConstruction and Demolition dDebris that is generated or originated within the County or brought within the boundaries of the County for disposal, storage, transfer, or processing.
 - 7.9. Household Hazardous Wastes (HHW) are materials found in residential wastes that would be regulated as hazardous wastes if they were generated outside of a household. These household wastes can be flammable, toxic, corrosive, or reactive and can be dangerous if handled improperly.
- 8.10. **Municipality** shall mean <u>any of</u> the towns, villages, and cities located within Westchester County.
- 9.11. **Person** shall mean any individual, firm, company, association, society, corporation, partnership, co-partnership, joint-stock company, trust, estate, governmental

entity, or any other legal entity or legal representatives, agents, or assigns. The masculine gender shall include the feminine, the singular shall include the plural, where indicated by context.

- 10.12. **Rechargeable Batteries** shall mean any battery included in Article 27, Title 18 of the New York State Environmental Conservation Law, and any other law or regulation related to rechargeable battery recycling.
- 11.13. **Recycle** shall means any method, technique, or process utilized to separate, process, modify, convert, treat, or otherwise prepare solid waste so that its component materials or substances may be beneficially used or reused.
- 12.14. **Recyclables** shall means the following materials:
 - (a) Newsprint: Newspapers as purchased, including any glossy inserts.
 - (b) High-grade paper: Includes high quality paper such as letterhead, copier paper, typing paper, tablet sheets, computer printout paper, and all paper of similar quality. This term shall not include carbon paper, self_carbonizing paper, coated or glossy paper, envelopes with <u>intact_windows</u> or adhesive labels. Residential waste generators are permitted to commingle high-grade paper with newsprint; however, nonresidential waste generators are required to separate high-grade paper from newsprint.
 - (c) Glass: Glass jars, bottles, and containers of clear, green or amber (brown) color, used to store food or beverages only, which musshallt be empty and rinsed clean. This term excludes ceramics, window or automobile glass, mirrors, and light bulbs.
 - (d) Metals: All ferrous and nonferrous food and beverage containers, including steel, aluminum, and bimetal, which shall be empty and rinsed clean.
 - (e) Bulk metals: Large metal fixtures and appliances, including white goods such as washing machines, refrigerators (after Freon has been removed), etc. This term excludes metal containers utilized to store flammable or volatile chemical materials, such as fuel tanks.
 - (f) Plastics: All plastics with resin identification codes 1 through 7, including food, beverage, detergent, and shampoo containers and caps, which shall be empty and

rinsed clean. This term excludes all plastic film (e.g., dry cleaning bags, and packaging materials), plastic bags, vinyl, all large rigid plastics (e.g., toys, pools, and furniture), non-coded small rigid plastics (e.g., toys, clothing hangers, tableware, and utensils), plastic foam materials (e.g., hot beverage cups, trays, and packaging materials), containers that held potentially hazardous materials (e.g., motor oil, solvents, and pesticides), and building materials (e.g., piping, and bathroom and kitchen fixtures).

- (g) Yard <u>W</u>waste: Leaves collected during the fall only.
- (h) Vehicular Lead-Acid Vehicular bBatteries: Lead-acid batteries used in automobiles and heavy equipment as defined in New York State Environmental Conservation Law § 27-1701; excludes single use non-lithium ion household batteries (e.g., for flashlights, radios, cameras, etc.).
- (i) Used <u>M</u>motor <u>O</u>oil: The type used in gasoline and diesel vehicle and equipment engines, delivered in an uncontaminated container, as defined in New York State Environmental Conservation Law § 23-2307.
- (j) Cardboard including corrugated cardboard containers, which must be cleaned of excessive amounts of contaminants such as adhesives, metals and plastics; cereal boxes, tissue boxes, paper towel rolls, or any other noncorrugated materials made from cardboard.
- (j) Cartons: Food or beverage container including gable-top containers, typically made of waxed paperboard or paperboard lined with plastic and/or aluminum, and typically used as a container for milk, juice, broth, and/or soup.
- Recyclables Bbroker shall means any person, excluding municipalities, the County, and any County district, including but not limited to Refuse Disposal District No. 1 and all County sewer and water districts, who, for a fee or other consideration, collects, picks up, separates, processes, markets, transports, stores or otherwise handles Recyclables exclusively, if those recyclables were generated or originated within the County or brought within the boundaries of the County for disposal, storage, transfer, or processing, excluding those persons who are required to accept beverage containers under

- § 27-1007 of the New York State Environmental Conservation Law or persons who redeem containers under said law, and those persons who are required to accept <u>U</u>used <u>M</u>motor <u>O</u>oil, <u>and Lead-Acid vV</u>ehicular <u>bB</u>atteries, <u>paint</u>, or any other material <u>accepted</u> -free of charge for recycling under applicable state law, <u>whether or not the State program includes a fee collected at the time of product purchase</u>, provided that this exclusion shall only apply to <u>the aforementioned</u> activities <u>which that</u> are governed by state <u>or county</u> law. A <u>R</u>recyclables <u>B</u>broker may collect, separate, process, store, transport, or otherwise handle <u>S</u>solid <u>W</u>waste contaminants that are collected with <u>R</u>recyclables, provided that the <u>R</u>recyclables <u>B</u>broker has taken reasonable precautions to prevent the introduction of such contaminants.
- 14.16. Separate Ceollection shall means that any municipality, hauler, or recyclables broker who collects, transports, or stores sSolid Wwaste or FRecyclables shall keep source-separated Recyclables separate from Ssolid Wwaste during collection, transportation, and storage, except for Recyclables that are mixed with sSolid Wwaste in construction and demolition debris orand identifiable bagged Recyclables mixed with bagged Ssolid Wwaste, provided that Recyclables are later separated for recycling.
- 15.17. Solid <u>W</u>waste <u>shall</u> means all putrescible and nonputrescible materials or substances that are discarded or rejected as being spent, useless, worthless, or in excess to their owners at the time of such discard or rejection, including but not limited to garbage, refuse, commercial waste, rubbish, ashes, incinerator residue, and <u>C</u>eonstruction and <u>D</u>emolition <u>d</u>Debris. "Solid waste" shall not be understood to include <u>R</u>recyclables as defined in this chapter.
- 16.18. Source Separation shall means the segregation of <u>FRecyclables</u> from Seolid <u>WWaste</u> at the point of <u>waste</u> generation for separate collection, sale, or other disposition.
- 17.19. Waste <u>Generator shall means</u> any person <u>within Westchester County</u> who produces or is responsible for <u>sSolid wWaste</u> or <u>rRecyclables in Westchester County</u> requiring disposal.

- 18.20. **Residential wWaste gGenerator** shall means a <u>Wwaste Ggenerator</u> who resides in a single- or multifamily dwelling within Westchester County, whose waste is generated from household functions, such as including, but not limited to cooking, or cleaning, etc.
- 19.21. Nonresidential wWaste Generator shall mean all waste generators other than Residential Wwaste generators.

16. Food waste means all food-based putrescible materials or substances that are discarded or rejected as being spent, useless, worthless or in excess to the owners at the time of such discard or rejection.

ARTICLE IV. - PROVISIONS APPLICABLE TO WASTE GENERATORS

Sec. 825.40. - Provisions applicable to wWaste Generators.

- 1. Every <u>wW</u>aste <u>Generator</u> in Westchester County shall be responsible for the source separation of <u>sSolid</u> <u>wW</u>aste and <u>R</u>recyclables at the point of generation. Waste <u>gG</u>enerators shall source-separate additional materials designated as <u>R</u>recyclables by a local <u>M</u>municipality pursuant to § 120-aa of the General Municipal Law, if that <u>mM</u>unicipality provides or causes to be provided collection of such materials for the <u>W</u>waste <u>gG</u>enerator, or a location within that <u>M</u>municipality for delivery of such materials by the <u>wW</u>aste <u>Generator</u>. <u>With respect to Household Hazardous Materials, Electronic Waste, Lithium-Ion Batteries, Freon and/or Mercury Containing items, and any other material regulated by State law requiring special disposal, Waste Generators shall source separate those materials and ensure safe and proper disposal. Waste <u>Generators shall source</u> separate to source-separate <u>R</u>recyclables contained in <u>C</u>eonstruction and <u>D</u>demolition <u>dD</u>ebris, provided that such debris is brought to a facility where <u>R</u>recyclables can be separated from the nonrecyclable <u>S</u>solid <u>W</u>waste. <u>All waste generators shall commence source separation on September 1, 1992.</u></u>
- 2. Each <u>W</u>waste <u>gG</u>enerator shall provide for the removal of those separated <u>R</u>recyclables which that the <u>W</u>waste <u>G</u>generator is required to source-separate pursuant to subsection 1- above from the property on which they are generated either through service provided by a <u>M</u>municipality, by a <u>H</u>hauler or a <u>R</u>recyclables <u>bB</u>roker, or by taking these materials directly to a <u>R</u>recyclables transfer, storage, or processing location. <u>Materials that are subject to State, County, or Local Law allowing for recycling free of charge (whether or</u>

not a fee is assessed at the time of product purchase or by tax) shall be Used motor oil shall be delivered by private individuals to appropriate those designated recycling locations. service stations required to accept this material free of charge in accordance with New York State Environmental Conservation Law § 23-2307. Used vehicular batteries shall be delivered by private individuals to retailers who sell such batteries and who are required to accept such batteries for recycling free of charge in accordance with New York State Environmental Conservation Law § 27-1701 or to scrap recycling facilities which accept this type of used battery for recycling.

- 3. Each waste generator shall be required to prepare those <u>R</u>*recyclables <u>which</u> that the <u>W</u>*waste <u>G</u>*generator is required to source-separate pursuant to subsection 1. above in the manner prescribed in the definition of <u>r</u>Recyclables in section 825.30 of this chapter, or if no particular manner of preparation is specified for a specific <u>r</u>Recyclable material in said definition of <u>R</u>*recyclables, then according to any ordinance, regulation, or rule of the <u>County Refuse Disposal District</u>, or the entity providing <u>Recyclables collection services to that waste generator</u>, or if such collection services are provided by a hauler or recyclables broker, then according to the directions of the hauler or recyclables broker. If a <u>W</u>*waste <u>G</u>*generator utilizes direct haul, <u>R</u>*recyclables shall be prepared in the manner prescribed by the <u>R</u>*recyclables transfer, storage or processing facility to which the <u>W</u>*waste <u>G</u>*generator delivers such materials.
- 4. Every <u>W</u>waste <u>G</u>generator shall be obligated to <u>e</u>insure that those <u>R</u>recyclables <u>which that</u> the <u>w</u>Waste <u>G</u>generator is required to source-separate pursuant to subsection 1. above are placed in the location designated for <u>R</u>recyclables collection by the <u>Hauler municipality in</u> which the waste generator is located. If no such ordinance exists or is applicable, but the waste generator utilizes a hauler or <u>r</u> or <u>R</u>ecyclables <u>b</u>Broker to collecting its <u>Solid Waste and R</u>recyclables, then the waste generator shall place its recyclables in any location designated by the hauler or recyclables broker for recyclables collection.
- 5. In the case of multi-tenant buildings, the owner of such building is responsible to provide the following: appropriate container(s) to hold source-separated materials for the entire building separate from the container(s) where the building's <u>S</u>solid <u>W</u>waste is stored; a mechanism for disposal of source-separated <u>R</u>recyclables, unless <u>mM</u>unicipal collection is

- provided; and an educational program for tenants on the manner in which source-separated materials are to be prepared for collection.
- 6. Nothing in this chapter shall be construed to prohibit private composting of <u>food</u>, garden, and yard waste by a <u>W</u>waste <u>gG</u>enerator on the <u>wW</u>aste <u>gG</u>enerator's own property, or to engage in a County, municipal, or private recycling program for those materials.
- 7. Exemption: www.aste gGenerators who are unable to comply with the requirements of this section for good cause shown (e.g., old age, or mental or physical infirmity, etc.). Exemptions shall be granted solely at the discretion of the Geommissioner. A person who applies for an exemption may be required by the Geommissioner to supply documentation of the reason(s) supporting the application. Said person shall be required to simultaneously file a copy of the request for exemption, along with all supporting documentation submitted to the Geommissioner with the Memunicipality in which the said person is located. The memunicipality shall have the right to submit written objections to the Geommissioner regarding a request for exemption by a person within that memunicipality's jurisdiction within twenty (20) days of receipt of its copy of an exemption request. The eCommissioner shall consider a memunicipality's objections prior to making ahis determination. The Geommissioner shall advise the memunicipality of histhe determination when rendered. The eCommissioner shall maintain and regularly update a list of such exempt www.aste Generators, which shall be made available to the officials charged with enforcing the terms of this chapter.

Sec. 825.41. - Mercury <u>Delisposal pProhibitions and Source sSeparation</u>.

(a) Definitions.

i. Authorized <u>Recycling Efacility</u> shall mean any of the recycling facility licensed and or permitted to operate in Westchester County pursuant to all applicable laws, rules and regulationsies on the list of authorized facilities furnished by the Department, the County Department of Health or the County Department of Weights and MeasureConsumer Protection.

- ii. **Manometer or mMercury gGauge** shall mean an instrument containing mercury used to measure the pressure of gas.
- iii. **Mercury bBarometer** <u>shall</u> means a mercury-containing instrument used to measure atmospheric (or barometric) pressure.
- iv. **Mercury <u>T</u>thermometer** <u>shall</u> means a non-digital instrument containing mercury that is used to measure temperature.
- v. **Mercury <u>T</u>thermostat** <u>shall</u> means a non-electronic device, containing one or more mercury tilt switches, that regulates temperature in an enclosed area by controlling heating, cooling or ventilation equipment.
- vi. **Silent wWall Switch** shall means a mercury-containing light switch, manufactured prior to 1991, mounted on a wall which does not make an audible "click" sound when activated.
- vii. Household <u>Hazardousehemical</u> <u>W</u>waste <u>Ceollection</u> <u>P</u>program shall mean any municipal program designed for the purpose of collecting source separated products, <u>including those</u> containing mercury, for proper disposal apart from the conventional waste stream, <u>whether permanent or periodic</u>, <u>including but not limited to the Household Material Recovery Facility (H-MRF)</u>.
- (b) Mercury <u>D</u>disposal <u>P</u>prohibitions. A person may not knowingly dispose of a <u>M</u>mercury <u>B</u>barometer, <u>M</u>mercury <u>G</u>gauge or <u>M</u>manometer, <u>M</u>mercury <u>T</u>thermometer, <u>M</u>mercury <u>T</u>thermostat or <u>S</u>silent <u>W</u>wall <u>S</u>switch, in:
 - i. Solid wWaste;
 - ii. Wastewater disposal systems, including home septic systems; or
 - iii. A landfill, incinerator, waste-to-energy facility, or other solid waste disposal facility, except an <u>aA</u>uthorized <u>R</u>recycling <u>F</u>facility or a <u>H</u>household <u>Hazardousehemical</u> <u>W</u>waste <u>Ce</u>ollection <u>P</u>program.
- (c) Source Sseparation.
 - i. Every <u>wW</u>aste <u>G</u>generator in Westchester County shall separate <u>mM</u>ercury <u>B</u>barometers, <u>M</u>mercury <u>G</u>gauges or <u>M</u>manometers, <u>mercury Mercury</u>

thermometers Thermometers, mercury Mercury thermostats Thermostats, and or silent Silent wall switches Switches from sSolid Wwaste at the point of generation.

- Mmanometers, Mmercury Tthermometers, Mmercury Tthermostats or Seilent Wwall Sewitches, each person who discards that waste shall deliver that waste to an Authorized Feecycling Feacility that is legally authorized and permitted to accept that waste pursuant to this section. Residential Wwaste Generators may additionally deliver that waste to a Hhousehold Hazardousehemical Wwaste Ceollection Pprogram.
- iii. <u>The Department shall make available a regularly updated listing of authorized recycling facilities on the official website of the County.</u>

Sec. 825.45. - Unauthorized Confiscation of Certain Recyclables.

Except for authorized employees or agents of a mMunicipality; or authorized employees or agents of Hhaulers or fRecyclables bBrokers licensed by the Westchester County Solid Waste Commission utilized by a Wwaste Ggenerator to collect fRecyclables pursuant to a written service contract required by section 826-a.402 of the Laws of Westchester County, it shall be unlawful for any person to remove and transport by motor vehicle any amount of fRecyclable paper, cardboard, and/or commingled plastic/glass/metals/cartons that have been placed in the location designated for Recyclables collection for collection or removal by such Mmunicipality or licensed Hhauler or Recyclables bBroker. This section shall not apply to individuals whothe removed or transport of tems intended to be re-used by the individual for personal, non-commercial, use.: (1) cardboard, if for personal use; and (2) bulk metals or other types of used household/consumer goods.

ARTICLE V. - PROVISIONS APPLICABLE TO HAULERS AND RECYCLABLES BROKERS

Sec. 825.50. - Provisions applicable to <u>H</u>haulers and <u>R</u>recyclables <u>b</u>Brokers.

- 1. Haulers and $\underline{+}\underline{R}$ ecyclables $\underline{+}\underline{B}$ rokers shall provide regular, reliable, and separate collection of $\underline{+}\underline{R}$ ecyclables to any customer to whom they provide $\underline{+}\underline{R}$ ecyclables collection services.
- 2. Haulers and Recyclables bBrokers shall deliver any Recyclables that they have collected or picked up to a Recyclables transfer, storage, or processing facility. In the event that a market for a particular recyclable or class of recyclables collapses or that delivery to a transfer, storage, or processing facility would create a severe economic hardship to a Hhauler or recyclables Bbroker, the eCommissioner may, in his sole discretion, grant a temporary waiver to the requirements of this subsection, only upon a written application for waiver from the Hhauler or Recyclables bBroker setting forth with specificity the facts and reasons in support of such application. Waivers shall be for a specific period of time and shall be rescinded earlier, if the Ceommissioner, in his sole discretion, determines that the reasons for granting the waiver no longer exist.
- 3. Each Hauler and Recyclables Broker shall furnish an annual report regarding its collection activities by the deadline provided by the Department. Such report shall be on the form provided by the Department and shall include, but not be limited to: quantities of all Solid Waste and/or Recyclables hauled in the preceding calendar year and the end disposal location of the material. Notwithstanding the foregoing, each Municipality within Refuse Disposal District #1 shall provide written notification to the Department of any changes to the hauling plan for the Municipality.

ARTICLE VI. - PROVISIONS APPLICABLE TO MUNICIPALITIES

Sec. 825.60. - Provisions <u>Aapplicable to mMunicipalities.</u>

1. For the purposes of fulfilling its responsibilities as the designated "planning unit" under the authority of New York State Environmental Conservation Law § 27-0107, the County must shall coordinate and analyze the recycling efforts of all the mMunicipalities within its borders to see ensure that the recycling goals for the entire County, as set forth in the County's state-approved sSolid Wwaste mManagement pPlan, are met. The Commissioner shall prepare an annual report on the recycling programs of Westchester's municipalities, based on information obtained under the reporting requirements of this section and any other relevant information

- available to him, to assess the effectiveness of the <u>M</u>municipalities' recycling programs in meeting the County's state approved solid waste management plan recycling goals and to suggest any measures that may need to be taken, if the plan's recycling goals are not being met.
- 2. Each <u>M</u>municipality within the County shall provide, or cause to be provided, the regular, reliable, and separate collection of <u>R</u>recyclables from those <u>W</u>waste <u>gG</u>enerators to which the Mmunicipality provides, or causes to be provided, Ssolid Wwaste collection services.
 - (a) A written schedule for the collection and delivery of recyclables, including frequency of collection, and the identification of the marketplace for collected recyclables;
 - (b) A separate and distinct section setting forth a plan for the collection and disposition of recyclable yard waste;
 - (c) A report on reduction and reuse techniques to be implemented by the municipality, including public education efforts.
 - All such plans shall be submitted to the commissioner for his review and use in the manner set forth herein. Municipalities shall submit their plans to the commissioner by September 30, 1992. The commissioner shall transmit the required form for municipal plans to each municipality in the County by certified mail, return receipt requested.
- 3. Each such mMunicipality shall furnish a response to the request for an annual report regarding its collection activities by the deadline provided by the Department. At minimum, each Municipality shall provide information related to the types and quantities of Recyclables collected and recycled, including yard waste, during the prior year; whether the materials were collected through municipal or contract collection; the tonnages of each recyclable material delivered to each particular recyclables broker or other market for recyclables. Notwithstanding the foregoing, each Municipality within Refuse Disposal District #1 shall not be required to report the tonnages of those recyclables which are being delivered to a Refuse Disposal District #1 Facility. In addition, each Municipality shall provide written notification to the Commissioner or a his-designee of any changes to the hauling plan for the Municipality, the commissioner with a plan to provide, or cause to be provided, such separate

- collection and recycling of recyclables, on a form to be provided by the commissioner. Such plan shall include, but not be limited to:
- 4. If upon review of the annual reports submitted by the Municipalities, the Commissioner, in his sole discretion, determines that the waste management and/or recycling percentages for the District and/or County fall below the goals of the County's Solid Waste Management Plan and/or the State waste management goals, a Municipality or Municipalities may be required to submit a plan for increasing participation in recycling efforts and/or addressing waste management goals. The Department shall review plans submitted and work with the Municipality to assist in education and participation rates.
- 3. Each municipality within the County shall furnish annual recycling reports to the commissioner documenting the types and quantities of recyclables which were collected and recycled by that municipality either through municipal or contract collection in the previous year and identifying the tonnages of each recyclable material delivered to each particular recyclables broker or other market for recyclables. There shall be a separate section in the report for yard waste that was collected and recycled in the prior year. In addition, after a municipality's recycling goals are set pursuant to subsection 5.a. of this section, subsequent annual recycling reports shall contain a municipal recycling plan update that addresses the municipality's progress or lack of progress in meeting its annual recycling goals, and any revisions to its municipal recycling plan necessary to achieve its annual recycling goals that the municipality intends to make and the proposed timetable for implementing any such revisions. The annual recycling report must be submitted on or before March 1 of each year. The commissioner shall provide forms for these reports to each municipality on or before January 15 of each year. Municipalities utilizing the County's materials recovery facility are exempt from reporting on the tonnages of those recyclables which are being delivered by the municipality to said facility. However, any municipality utilizing the materials recovery facility, who is not meeting its recycling goals set pursuant to subsection 5.a. of this section, shall still be required to address how it intends to improve the collection rate of materials delivered to the materials recovery facility in its recycling plan update.
- 4. __For the purposes of this section, municipalities shall not be required to plan for or report on the recycling of used motor oil and vehicular batteries.

- 5. With respect to the plans and reports filed pursuant to subsections 2. and 3. above, the role of the commissioner shall be as follows:
 - a. Commencing with the date of adoption of this chapter and concluding no later than the end of calendar year 1993, the commissioner shall review, evaluate and comment upon the municipal plans and annual reports that he receives during this period and shall establish annual recycling goals for each municipality that shall be substantially in accordance with the recycling goals set forth in the County's state approved solid waste management plan for the planning period covered by the County's state approved solid waste management plan. The commissioner shall confer with and accept comment from each municipality prior to setting the municipality's annual recycling goals. A municipality's recycling plan goals may only be revised if necessitated by a state-approved amendment to the County's solid waste management plan. Enforcement of such revised goals pursuant to subsection 5.c. below shall not occur until a municipality has been given a reasonable time to amend and implement its recycling plan to meet such revised goals.
- In the event that the annual recycling reports filed by municipalities in 1994, pursuant to subsection 3. above, indicate that a given municipality has not reached its past recycling goals set pursuant to subsection 5.a. above, then by June 1, 1994, the commissioner shall review, evaluate and comment upon said municipality's annual recycling report for the purpose of assisting said municipality in reaching its annual recycling goals. By August 1, 1994, such noncomplying municipalities shall advise the commissioner in writing of the revisions to its recycling plan which it has implemented or intends to implement to achieve compliance with its annual recycling goals and a proposed timetable for implementation, unless the municipality presents evidence to the commissioner demonstrating current compliance with its recycling goals and that it can reasonably be expected to meet its future recycling goals. The revisions to a noncomplying municipality's recycling plan shall be reasonably designed to bring that municipality into compliance with its past annual recycling goals and also shall be reasonably designed to meet its future annual recycling goals. If a noncomplying municipality either fails to submit such a revised plan to the commissioner or fails to demonstrate to the commissioner that it is now in compliance with its municipal recycling goals and can reasonably be expected to meet its future recycling goals, then, by September 1

- of that year, the commissioner may find that municipality in violation of this subsection 5.b., subject to the procedures of section 825.80 of this chapter.
- c. In the event that the annual recycling reports filed by municipalities in or after the year 1995, pursuant to subsection 3. above, indicate that a given municipality is not meeting its annual recycling goals and has not implemented measures reasonably designed to reach its recycling goals, then, by June 1 of that year, the commissioner shall review, evaluate and comment upon said noncomplying municipality's annual recycling report and any municipal recycling plan revisions contained in said report. By August 1 of that year, the noncomplying municipality shall submit a plan to the commissioner reasonably designed to reach its recycling goals, unless the municipality presents evidence to the commissioner demonstrating current compliance with its recycling goals and that it can reasonably be expected to meet its future recycling goals. By October 1 of that year, the commissioner and the noncomplying municipality must concur upon said noncomplying municipality's plan to achieve compliance, unless the commissioner has determined that evidence submitted by said municipality demonstrates current compliance with its recycling goals and the reasonable expectation that this municipality will meet its future annual recycling goals on or before September 1 of that year. However, in the event that the commissioner does not issue such a determination of compliance and the commissioner and the noncomplying municipality fail to agree upon such a revised recycling plan by October 1 of that year, then the commissioner may find the municipality to be in violation of this subsection, subject to the procedures set forth in section 825.80 of this chapter, if the commissioner either demonstrates that the municipality's recycling plan is not reasonably designed to achieve compliance with that municipality's current and future recycling goals established pursuant to subsection 5.a. of this section or that the municipality has not taken the necessary steps to implement its recycling plan and if the commissioner also demonstrates that one or both of these factors is the primary cause for the municipality's failure to meet its recycling goals.

ARTICLE VII. - NONRESIDENTIAL WASTE GENERATOR PLAN REQUIREMENTS

Sec. 825.70. - Nonresidential Wwaste Ggenerator Pplans.

- 1. Except for <u>M</u>municipalities, all <u>N</u>nonresidential <u>W</u>waste <u>G</u>generators who own <u>a or operate</u> <u>a</u> building or buildings commonly containing more than 100 employees, patients, or students during a 24-hour period, including, but not limited to commercial establishments, institutions, and school districts ("<u>R</u>reporting <u>N</u>nonresidential <u>W</u>waste <u>G</u>generators"), shall be required to <u>establish a Source Separation Plan that includes provide the following plan to the commissioner:</u>
- (a) A plan to provide for Ssource sSeparation of Rrecyclables; and
- (b) A written schedule for the collection by or delivery of <u>S</u>source-<u>S</u>separated <u>R</u>recyclables, which shall include identification of the <u>Hauler</u>, <u>R</u>recyclables <u>B</u>broker or market, collection mechanism, and anticipated volumes of materials.
- 2. The commissioner shall provide the required form for such plan to all reporting nonresidential generators.
 - 23. Reporting nonresidential waste generators shall submit their current source separation plan to the commissioner no later than October 1, 2008. New reporting nonresidential waste generators must submit their plan within six (6) months of the commencement of operations in Westchester County. All reporting Nnonresidential Wwaste Generators have a continuing obligation to update such plan as necessary and submit to the plan to the Department upon request. of the Department: every three (3) years. The Ceommissioner also has the authority to require an update of the plan as she deemeds necessary.
 - 34. In the event that a commercial establishment, institution, or school district utilizes more than one location, only one Source Separation Plan plan need be developed submitted in accordance with subsection 3 above, provided that such plan identifies activities at each location, unless such location's Source Separation Planplan is prepared by a building owner pursuant to subsection 5. below, in which case only the owner's name need be identified.
 - 45. In the event that a commercial establishment, institution, or school district is located within a multi-tenant building and there are over 100 employees in such building, it shall be the responsibility of the owner of such building to comply with this section.

Sec. 825.70-A.-Nonresidential Waste Generator Source Separation.

- 1. Every Nonresidential Waste Generator with areas open to the public or visitors shall have separate disposal receptacles for Solid Waste and for Recycling that are clearly marked and identifiable. All such separate disposal receptacles shall be placed in close proximity to each other and shall be available on each floor, in each area, and in any food service area accessible to the public and/or visitors.
- 2. The separate disposal receptacles for Recycling shall be either single stream (pulp/paper and comingled/bottles/cans/cartons together) or dual stream (separate disposal receptacles for pulp/paper and separate disposal receptacles for comingled bottles/can/cartons), based upon the Nonresidential Waste Generator's Source Separation Plan to manage recyclables.
- 3. Nonresidential Waste Generators may determine the size, shape, material, and color of any separate disposal receptacle, so long as the receptacles are clearly marked and identifiable so as to avoid the improper mixing of Solid Waste and Recyclables. The Department shall make available to any Nonresidential Waste Generator signage files for the clear demarcation of the separate disposal receptacles.
- 4. Nonresidential Waste Generators (their lessee, assigns, operators, managers, etc.) shall comply with reasonable requests for access made by the Department or its Solid Waste Inspectors during the performance of inspections of areas referenced in this section.

ARTICLE VIII. - PENALTIES AND ENFORCEMENT

Sec. 825.80. - Penalties and enforcement.

- Any person found guilty in a court of competent jurisdiction of failure to comply with any
 applicable provisions of this eChapter or with any emergency regulation of the
 Ceommissioner duly issued under this Cehapter shall be guilty of an offense punishable as
 follows:
 - a. For the first violation, a warning or a fine up to \$100.00;
 - b. For the second violation, a fine of up to \$500.00;

- c. For the third violation, a fine of up to \$750.00;
- d. For the fourth and succeeding violations, a fine of up to \$1,000.00.
- 2. In lieu of, or in addition to, the criminal enforcement provisions and penalties of subsection 1- above, each such compliance violation shall be subject to a civil penalty as follows:
 - a. For the first violation, a warning or a fine up to \$100.00;
 - b. For the second violation, a warning or a fine of up to \$500.00;
 - c. For the third violation, a warning or a fine of up to \$750.00;
 - d. For the fourth and succeeding violations, a warning or a fine of up to \$1,000.00.
 Each day of a continuing violation shall constitute a separate violation and shall be subject to a separate fine and/or civil penalty.
- 3. In addition to any other penalties prescribed in this section, the County Attorney may maintain an action in a court of competent jurisdiction to compel compliance with or restrain by injunction any violation of the provisions of this Cehapter or of the regulations of the Ceommissioner duly issued pursuant to this Cehapter.
- 4. Except for warnings, which may be issued by any police officer or duly appointed County or municipal enforcement officer, the civil penalties recited in subsection 2- of this section shall only be imposed either by a court of competent jurisdiction or by the Ceommissioner, without first resorting to the courts, pursuant to the following administrative hearing procedure:
 - a. Upon the issuance of a notice of violation and hearing, the <u>Ceommissioner shall</u> cause to be held a hearing before a hearing officer selected by the <u>Ceommissioner</u>, unless a person charged with such violation admits liability by returning the notice of violation with payment of the proposed penalty and by signing the admission of liability on said notice.
 - b. A formal hearing shall be on due and adequate notice to the party concerned and shall be set down for a date certain. A notice of violation and hearing shall be served by the <u>Ceommissioner upon the alleged violator by certified mail, return receipt requested or by personal service. "Personal service" shall be defined as set forth in the New York State Civil Practice Law and Rules.</u>

- c. A notice of violation and hearing shall include notification of the following:
 - i. The time and place of the hearing;
 - ii. A list of all alleged violations complained of, with specific reference to the provisions and sections of the law, rule, or regulation involved, and a summary of the alleged facts supporting each alleged violation;
 - iii. The respondent's right to present evidence;
 - iv. The respondent's right to examine and cross-examine witnesses;
 - v. The respondent's right to be represented by counsel;
 - vi. That <u>a</u> respondent's failure to appear shall constitute a default by the respondent, and that the hearing may proceed in the respondent's absence and a determination made based solely upon evidence submitted by the Ceommissioner; and
 - vii. That <u>a respondent</u> may waive <u>theirhis or her</u> right to such hearing by signing an admission of liability on the notice of violation and hearing and by remitting payment of the assessed penalty.
- d. The hearing officer may grant an adjournment upon request of any party to the proceeding, provided that an adjournment shall not be for an indefinite period of time, but shall be set down for a date certain.
 - If an adjournment is requested in advance of the hearing date, such request shall be
 presented to the hearing officer, in writing, and shall specify the reason for such
 request.
 - ii. In considering an application for adjournment of a hearing, the hearing officer shall consider whether the purpose of the hearing will be affected or defeated by the granting of such adjournment.
- e. To aid in the administration of this chapter, the <u>Ceommissioner</u>, or any hearing officer designated by <u>the Commissionerhim or her</u> in a particular proceeding, may issue subpoenas in the <u>Ceommissioner's name requiring the attendance and giving of testimony by witnesses and the production of books, papers and other evidence for any hearing or proceeding conducted under this section. Service of such subpoena(s), enforcement of</u>

obedience thereto, and punishment for disobedience thereof, shall be had as and in the manner provided by the New York State Civil Practice Law and Rules relating to the enforcement of any subpoena issued by a board or committee.

- i. It shall be the responsibility of the party requesting the issuance of a subpoena to effect service thereof.
- ii. The hearing officer may add a party to the proceeding upon due and adequate notice to both the party to be added and the parties named in the proceedings.
- f. On the return date of a hearing, the hearing officer shall note the appearances of the persons attending the hearing. Witnesses shall be sworn and testimony shall be recorded either by a certified stenographer or by use of an electronic recording device. Prior to the commencement of the hearing, the hearing officer may conduct a settlement conference.

 Should the Department and the respondent reach a settlement of which the hearing officer approves, the hearing officer shall dispense with the hearing and shall issue a report and recommendation to the Commissioner that the settlement be approved.
- g. All hearings shall be open to the public. Testimony shall be transcribed upon the request of any interested party. The party requesting the transcript shall pay the costs and expenses in connection therewith.
- h. The hearing officer shall not be bound by the strict rules of evidence in the conduct of a hearing, but the his or her findings of fact shall be founded upon a fair preponderance of the evidence presented at the hearing. The hearing officer shall admit and consider any evidence of mitigation offered by the alleged violator.
- i. After the conclusion of a formal hearing, the hearing officer shall prepare and issue a report containing a summary of the evidence, findings of fact, conclusions of law and recommendations(s) to the <u>Ceommissioner</u>.
- j. The recommendations of the hearing officer may include, but shall not be limited to the appropriate penalty in the event the <u>Ceommissioner finds</u> a violation has occurred, or the submission of a recommendation that <u>the Department enter into</u> a stipulation of settlement <u>upon agreement by all parties to the proceedingbe incorporated in a commissioner's decision and order.</u>

- k. Upon the conclusion of a formal hearing and after consideration of the hearing officer's report and recommendations and any evidence of mitigation, the <u>Ceommissioner shall</u> make a decision based on a fair preponderance of the evidence and shall execute an order carrying such decision into effect, or issue a stipulation of settlement upon agreement of all parties to the proceeding.
- 1. The order of the eCommissioner may include, but shall not be limited to, the assessment of civil penalties, as provided by this chapter; the issuance of the approval of a stipulation of settlement, which has been agreed to by all parties to the proceeding and which shall include, but not be limited to, a plan and schedule to remedy the condition which that caused the violation, if such measures are necessary and appropriate to correct the violation; and suspended penalties.
- m. —If the <u>C</u>eommissioner determines that the hearing record is not sufficient to make a final determination, the <u>C</u>eommissioner may direct a rehearing or require the taking of additional evidence and may rescind or affirm, in whole or in part, a prior determination after such hearing.
- n. The <u>Ceommissioner shall cause to be served upon the respondent, copies of the hearing officer's report and the <u>Ceommissioner's final determination and order or Stipulation of Settlement</u>. Service shall be made in the manner prescribed for the service of a notice of hearing.</u>
- 5. Where any violation of this chapter causes an expense to the County or to a mMunicipality enforcing this chapter pursuant to subsection 6- bBelow, such expense may, in the discretion of the Ceommissioner, be separately collected by a civil suit against the violator, brought by the County Attorney in the name of the County in a court of competent jurisdiction.
- 6. Municipalities may enforce this <u>Cehapter</u> within their jurisdiction against <u>W</u>waste <u>G</u>generators, <u>Hh</u>aulers and <u>R</u>recyclables <u>B</u>brokers. A <u>M</u>municipality that chooses to enforce this <u>Cehapter shall designate in writing to the Commissioner the a local enforcement official, who shall have all the powers of the <u>C</u>eommissioner and the <u>County Attorney</u> related to enforcement under this section, and shall provide <u>quarterly</u> reports of <u>all enforcement activity</u>. If a <u>M</u>municipality enforces a violation of this <u>C</u>ehapter and undertakes the entire enforcement of a particular offense and/or civil violation of this chapter, then 100 percent of the fines</u>

collected in that enforcement proceeding shall be retained by the enforcing <u>M</u>municipality. Municipalities may not enforce this <u>C</u>ehapter against any person against whom the County has already proceeded <u>against</u> for the same or substantially similar violation or violations.

ARTICLE IX. - EMERGENCY RULEMAKING AUTHORITY AND PROCEDURES

Sec. 825.90. - Emergency rulemaking authority and procedures.

- 1. In the event of an emergency which affects the life, safety, health, environment, or welfare of the citizens of this County and circumstances require prompt action to remedy the emergency, the Ceommissioner may promulgate emergency regulations without the approval of the Board of Legislators, which shall be published in their full text immediately on the Department's website, in one or more newspapers of general circulation designated by the Clerk of the Board of Legislators for this purpose and filed with the Clerk of the Board of Legislators. An emergency regulation shall expire at either the end of the emergency or 45 days after publication, whichever comes first. An emergency regulation may only be extended beyond 45 days with the approval of the majority of the Board of Legislators.
- 2. A compilation of all emergency regulations promulgated pursuant to this section shall be maintained in the office of the Ceommissioner and shall be available for inspection by any interested party during regular business hours.

ARTICLE X. - ADMINISTRATION

Sec. 825.101. - Administration.

The Westchester County Source Separation Law shall be administered and enforced by the <u>Ceommissioner</u>. Enforcement by <u>M</u>municipalities is also authorized under the limitations set forth in section 825.80, subsection 6-, of this <u>Cehapter</u>.

ARTICLE XI. - SEVERABILITY

Sec. 825.110. - Severability.

If any provision of this chapter or application to any person or circumstance is held invalid, such invalidity shall not affect other provisions or applications of this chapter which can be given effect without regard to the invalid provision or application and to this end the provisions of this chapter are declared to be severable.

If any clause, sentence, paragraph, subdivision, section or part of this chapter or the application to any person or circumstance be adjudged by any court of competent jurisdiction invalid or unconstitutional, that order or judgment will not affect, impair or invalidate the remainder thereof but will be confined in its operation to the clause, sentence, paragraph, subdivision, section or part of this chapter, or its application to the person or circumstance directly involved in the controversy in which that order or judgment is rendered.

§2. This Local Law shall take effect immediately.

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