

# Board of Legislators

## Meeting Agenda



800 Michaelian Office Bldg.  
148 Martine Avenue, 8th Floor  
White Plains, NY 10601  
[www.westchesterlegislators.com](http://www.westchesterlegislators.com)

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**Monday, October 7, 2024**

**7:00 PM**

**Legislative Chambers**

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### Regular Meeting

## CALENDAR 19 (CONSENT)

Please note: Meetings of the Board of Legislators and its committees are held at the Michaelian Office Building, 148 Martine Avenue, White Plains, New York, 10601, and remotely via the WebEx video conferencing system. Legislators may participate in person or via Webex. Members of the public may attend meetings in person at any of its locations, or view it online on the Westchester County Legislature's website: <https://westchestercountyny.legistar.com/> This website also provides links to materials for all matters to be discussed at a given meeting.

Legislator Judah Holstein will be participating remotely from Beth El Synagogue Center, 1324 North Avenue, New Rochelle, New York, 10804.

Legislator Shanae Williams will be participating remotely from 1 Wild Turkey Way, Hamburg, New Jersey, 07149.

Legislator David Tubiolo will be participating remotely from 7550 Cherbourg Street, Fort Jackson, South Carolina, 29207.

## CALL TO ORDER

## MINUTES APPROVAL

September 30, 2024, at 7pm, Regular Meeting

## PUBLIC COMMENT

Speakers\_\_\_\_\_

## PUBLIC HEARING

## UNFINISHED BUSINESS

### 1. [2024-386](#) LOCAL LAW-Pistol License Fees

A LOCAL LAW to amend the Laws of Westchester County by adding a new Chapter 527-a relating to fees charged for a license to carry a pistol or revolver, purchase or take possession



of a semiautomatic rifle, and amend or renew such license.

**SUBMITTED BY: COMMITTEES ON BUDGET & APPROPRIATIONS AND LEGISLATION**

Please note: This item was held over from the September 30, 2024, Regular Meeting and appears here as a an item of Unfinished Business for ACTION.

Local Law Intro No. 386 - 2024

VOTE \_\_\_\_\_

## I. COMMUNICATIONS

### A. COUNTY EXECUTIVE

1. [2024-538](#) **APPT-Youth Board-McGibbon**

A RESOLUTION appointing Eloise McGibbon as a member of the Westchester County Youth Board for a term August 8, 2024 to December 31, 2026.

**COMMITTEE REFERRAL: COMMITTEE ON APPOINTMENTS**

2. [2024-539](#) **REAPPT-Parks, Recreation & Conservation Board- Neale, Jr.**

A RESOLUTION reappointing J. Henry Neale, Jr., as a member of the Westchester County Parks, Recreation and Conservation Board, effectively retroactively January 1, 2024 to December 31, 2026.

**COMMITTEE REFERRAL: COMMITTEE ON APPOINTMENTS**

**SI. 3. [2024-540](#) **BOND ACT-BCR63-Post 2 Entrance Gate Booth****

A BOND ACT authorizing the issuance of ONE HUNDRED TWENTY-FIVE THOUSAND (\$125,000) DOLLARS in bonds of Westchester County to finance Capital Project BCR63 - Post 2 Entrance Gate Booth.

**COMMITTEE REFERRAL: COMMITTEES ON BUDGET & APPROPRIATIONS, PUBLIC WORKS & TRANSPORTATION AND PUBLIC SAFETY**

**SI. 4. [2024-541](#) **BOND ACT(Amended)-RB04J-Tuckahoe Road Bridge Over Bronx River Parkway, Yonkers****

A BOND ACT (Amended) authorizing the issuance of an additional THREE MILLION, EIGHT HUNDRED SEVENTY-FIVE THOUSAND (\$3,875,000) DOLLARS in bonds of Westchester County, making the total amount FOUR MILLION, FOUR HUNDRED THOUSAND (\$4,400,000) DOLLARS, to finance Capital Project RB04J - Tuckahoe Road Bridge Over Bronx River Parkway, Yonkers.

**COMMITTEE REFERRAL: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION**

**SI. 5. [2024-544](#) **PH-Enter into Lease Agreement-MG MARTINE SPE LLC-11 Martine Avenue, White Plains****

A RESOLUTION to set a Public Hearing on "A LOCAL LAW authorizing the County of Westchester to enter into a lease agreement with MG MARTINE SPE LLC, or the owner of

record, for approximately 57,266 square feet of space comprised of the contiguous 12th, 14th and 15th floors in the building located at 11 Martine Avenue, White Plains, New York, which includes the ground floor entry lobby of the building that exclusively serves such floors, plus 4,000 square feet gross rentable storage space, along with 229 reserved parking spaces in the parking garage adjacent to the Building, inclusive of 40 electric vehicle charging stations allocated for use by County employees and invitees, for use by the Department of Health". [Public Hearing set for \_\_\_\_\_, 2024 at \_\_\_\_\_ .m.]. LOCAL LAW INTRO: 2024-545.

**COMMITTEE REFERRAL: COMMITTEE ON BUDGET & APPROPRIATIONS**

**SI. 6. [2024-545](#)      LOCAL LAW-Enter into Lease Agreement-MG MARTINE SPE LLC-11 Martine Avenue, White Plains**

A LOCAL LAW authorizing the County of Westchester to enter into a lease agreement with MG MARTINE SPE LLC, or the owner of record, for approximately 57,266 square feet of space comprised of the contiguous 12th, 14th and 15th floors in the building located at 11 Martine Avenue, White Plains, New York, which includes the ground floor entry lobby of the building that exclusively serves such floors, plus 4,000 square feet gross rentable storage space, along with 229 reserved parking spaces in the parking garage adjacent to the Building, inclusive of 40 electric vehicle charging stations allocated for use by County employees and invitees, for use by the Department of Health.

**COMMITTEE REFERRAL: COMMITTEE ON BUDGET & APPROPRIATIONS**

**SI. 7. [2024-548](#)      BOND ACT(Amended)-RBR07-Bronx River Pathway Reconstruction**

A BOND ACT (Amended) to authorize the removal of EIGHT HUNDRED THOUSAND (\$800,000) DOLLARS allocable to Capital Project RBR07 - Bronx River Pathway Reconstruction and to decrease the estimated maximum amount of bonds authorized to TWELVE MILLION, EIGHT HUNDRED FIFTY THOUSAND (\$12,850,000) DOLLARS.

**COMMITTEE REFERRAL: COMMITTEES ON BUDGET & APPROPRIATIONS, PARKS & ENVIRONMENT AND PUBLIC WORKS & TRANSPORTATION**

**SI. 8. [2024-549](#)      BOND ACT(Consolidated)-RBR07-Bronx River Pathway Reconstruction**

A BOND ACT (Consolidated) authorizing the issuance of EIGHT MILLION, SEVEN HUNDRED THIRTY THOUSAND (\$8,730,000) DOLLARS in bonds of Westchester County to finance Capital Project RBR07 - Bronx River Pathway Reconstruction.

**COMMITTEE REFERRAL: COMMITTEES ON BUDGET & APPROPRIATIONS, PARKS & ENVIRONMENT AND PUBLIC WORKS & TRANSPORTATION**

**SI. 9. [2024-550](#)      BOND ACT-RGI06-Glen Island Castle Rehabilitation**

A BOND ACT authorizing the issuance of ONE MILLION (\$1,000,000) DOLLARS in bonds of Westchester County to finance Capital Project RG 106.

**COMMITTEE REFERRAL: COMMITTEES ON BUDGET & APPROPRIATIONS, PARKS & ENVIRONMENT AND PUBLIC WORKS & TRANSPORTATION**

**SI. 10.2024-551      ENV RES-SY085-Sewer System Rehab.-Yonkers Jt. Plant Districts**

AN ENVIRONMENTAL RESOLUTION determining that there will be no significant impact on the environment from Capital Project SY085 - Sewer System Rehabilitation - Yonkers Jt. Plant Districts.

**COMMITTEE REFERRAL: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION**

**SI. 11.2024-552      BOND ACT-SY085-Sewer System Rehab.-Yonkers Jt. Plant Districts**

A BOND ACT authorizing the issuance of SIX MILLION (\$6,000,000) DOLLARS in bonds of Westchester County to finance Capital Project SY085 - Sewer System Rehabilitation - Yonkers Jt. Plant Districts.

**COMMITTEE REFERRAL: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION**

**SI. 12.2024-546      PH-Amendments to the Westchester County Plumbing Licensing Law**

A RESOLUTION to set a Public Hearing on "A LOCAL LAW amending Chapter 277, Article XV of the Laws of Westchester County relating to the Westchester County plumbing licensing law." [Public Hearing set for \_\_\_\_\_, 2024 at \_\_\_\_\_ .m.]. LOCAL LAW INTRO: 2024-547.

**COMMITTEE REFERRAL: COMMITTEES ON LEGISLATION AND ECONOMIC DEVELOPMENT**

**SI. 13.2024-547      LOCAL LAW-Amendments to the Westchester County Plumbing Licensing Law**

A LOCAL LAW amending Chapter 277, Article XV of the Laws of Westchester County relating to the Westchester County plumbing licensing law.

**COMMITTEE REFERRAL: COMMITTEES ON LEGISLATION AND ECONOMIC DEVELOPMENT**

**B. COUNTY ATTORNEY****C. LEGISLATORS****D. OTHERS****II. NOTICES & PETITIONS****SI. 1. 2024-542      CLERK OF THE BOARD - Declaration of Emergency Situation**

Forwarded by the Clerk of the Board - written declaration of emergency concerning the

replacement of one of the boilers at the Westchester County Center.

**FOR INFORMATIONAL PURPOSES ONLY - NO COMMITTEE REFERRAL NECESSARY**

### III. STANDING COMMITTEES

1. [2024-441](#) **APPT-Youth Board-Hopwood**

A RESOLUTION appointing Harmony Hopwood as a member of the Westchester County Youth Board for the term May 28, 2024 to December 31, 2026.

**SUBMITTED BY: COMMITTEE ON APPOINTMENTS**

RESOLUTION \_\_\_\_\_ - 2024 VOTE \_\_\_\_\_

2. [2024-442](#) **APPT-Board of Ethics-Vetrone**

A RESOLUTION appointing Louis J. Vetrone as a member of the Westchester County Board of Ethics for the term July 25, 2024 to December 31, 2028.

**SUBMITTED BY: COMMITTEE ON APPOINTMENTS**

RESOLUTION \_\_\_\_\_ - 2024 VOTE \_\_\_\_\_

3. [2024-444](#) **APPT-Human Rights Commission-Hofflich**

A RESOLUTION appointing Lisa Hofflich as a member of the Westchester County Human Rights Commission for the term April 2, 2024 to December 31, 2026.

**SUBMITTED BY: COMMITTEE ON APPOINTMENTS**

RESOLUTION \_\_\_\_\_ - 2024 VOTE \_\_\_\_\_

4. [2024-446](#) **REAPPT-Airport Advisory Board-Woolley, Jr.**

A RESOLUTION reappointing John Woolley, Jr. as a member of the Westchester County Airport Advisory Board for the term July 15, 2024 to December 31, 2026.

**SUBMITTED BY: COMMITTEE ON APPOINTMENTS**

RESOLUTION \_\_\_\_\_ - 2024 VOTE \_\_\_\_\_

5. [2024-459](#) **APPT-Hispanic Advisory Board-Alzate**

A RESOLUTION appointing Juliana Alzate as a member of the Westchester County Hispanic Advisory Board for the term July 23, 2024 to December 31, 2025.

**SUBMITTED BY: COMMITTEE ON APPOINTMENTS**

RESOLUTION \_\_\_\_\_ - 2024 VOTE \_\_\_\_\_

6. [2024-462](#) **APPT-African American Advisory Board-Cole**

A RESOLUTION appointing Joyce Cole as a member of the Westchester County African American Advisory Board for the term August 8, 2024 to December 31, 2024.

**SUBMITTED BY: COMMITTEE ON APPOINTMENTS**

RESOLUTION \_\_\_\_\_ - 2024 VOTE \_\_\_\_\_

**7. [2024-463](#) APPT-Tax Commission-Husselbee**

A RESOLUTION appointing James Husselbee as a member of the Westchester County Tax Commission for the term August 8, 2024 to December 31, 2028.

**SUBMITTED BY: COMMITTEE ON APPOINTMENTS**

RESOLUTION \_\_\_\_\_ - 2024 VOTE \_\_\_\_\_

**8. [2024-464](#) APPT-Housing Opportunity Commission-Williamson**

A RESOLUTION appointing Eric Williamson as an at-large member of the Westchester County Housing Opportunity Commission for the term July 15, 2024 to December 31, 2026.

**SUBMITTED BY: COMMITTEE ON APPOINTMENTS**

RESOLUTION \_\_\_\_\_ - 2024 VOTE \_\_\_\_\_

**SI. 9. [2024-473](#) PH - Amend Ground Lease Agreement with White Plains Aviation Partners**

A RESOLUTION to set a Public Hearing on "A LOCAL LAW authorizing the County of Westchester to amend a ground lease agreement with White Plains Aviation Partners, LLC D/B/A Million Air White Plains for space at Westchester County Airport." [Public Hearing set for \_\_\_\_\_, 2024 at \_\_\_\_\_ .m.]. LOCAL LAW INTRO: 2024-475.

**SUBMITTED BY: COMMITTEES ON LEGISLATION, BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION**

RESOLUTION \_\_\_\_\_ - 2024 VOTE \_\_\_\_\_

**SI. 10. [2024-502](#) CBA-A0134-HVAC Upgrades, Terminal Building**

AN ACT amending the 2024 County Capital Budget Appropriations for Capital Project A0134 HVAC UPGRADES, TERMINAL BUILDING.

**SUBMITTED BY: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION**

ACT \_\_\_\_\_ - 2024 VOTE \_\_\_\_\_

**SI. 11. [2024-503](#) BOND ACT-A0134-HVAC Upgrades, Terminal Building**

A BOND ACT authorizing the issuance of ONE MILLION, FOUR HUNDRED THOUSAND (\$1,400,000) DOLLARS in bonds of Westchester County to finance Capital Project A0134 - HVAC Upgrades, Terminal Building.

**SUBMITTED BY: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION**

BOND ACT \_\_\_\_\_ - 2024

VOTE \_\_\_\_\_

**SI. 12.2024-511**      **National Night Out - Village of Tuckahoe**

AN ACT authorizing the County of Westchester to enter into an inter-municipal agreement with the Village of Tuckahoe, acting by and through its Police Department, pursuant to which the Village of Tuckahoe will provide its National Night Out program within the period from January 1, 2024 through December 31, 2024 for a total amount not to exceed TWO THOUSAND (\$2,000) DOLLARS.

***SUBMITTED BY: COMMITTEES BUDGET & APPROPRIATIONS AND PUBLIC SAFETY***

ACT \_\_\_\_\_ - 2024

VOTE \_\_\_\_\_

**SI. 13.2024-512**      **PH - Road Construction**

A RESOLUTION to set a Public Hearing on "A LOCAL LAW amending Chapter 813 of the Laws of Westchester County relating to road construction." [Public Hearing set for \_\_\_\_\_, 2024 at \_\_\_\_\_ .m.]. LOCAL LAW INTRO: 2024 - 513.

***SUBMITTED BY: COMMITTEES ON LEGISLATION AND PUBLIC WORKS & TRANSPORTATION***

RESOLUTION \_\_\_\_\_ - 2024

VOTE \_\_\_\_\_

**SI. 14.2024-516**      **ENV RES-60 South Kensico Avenue, White Plains**

AN ENVIRONMENTAL RESOLUTION determining that there will be no significant impact on the environment from the real property acquisition and conveyance of 60 South Kensico Avenue in the City of White Plains.

***SUBMITTED BY: COMMITTEES ON BUDGET & APPROPRIATIONS AND HOUSING & PLANNING***

RESOLUTION \_\_\_\_\_ - 2024

VOTE \_\_\_\_\_

**SI. 15.2024-517**      **BOND ACT-BPL30-60 South Kensico Avenue, White Plains**

A BOND ACT authorizing the issuance of ONE MILLION, THIRTY THOUSAND (\$1,030,000) DOLLARS in bonds of Westchester County to finance Capital Project BPL30 & Land Acquisition Act - 60 South Kensico Avenue, White Plains.

***SUBMITTED BY: COMMITTEES ON BUDGET & APPROPRIATIONS AND HOUSING & PLANNING***

BOND ACT \_\_\_\_\_ - 2024

VOTE \_\_\_\_\_

**SI. 16.2024-518**      **ACT-Land Acquisition-60 South Kensico Avenue, White Plains**

AN ACT authorizing the County of Westchester to purchase approximately +/- 0.198 acres (8,625 square feet) of real property located at 60 South Kensico Avenue in the City of White Plains and to subsequently convey said property, and to further authorize the County to grant and accept any property rights necessary in furtherance thereof, for the purpose of creating 12 affordable rental units that will affirmatively further fair housing and remain affordable for a period of not less than fifty (50) years.

**SUBMITTED BY: COMMITTEES ON BUDGET & APPROPRIATIONS AND HOUSING & PLANNING**

ACT \_\_\_\_\_ - 2024

VOTE \_\_\_\_\_

[SI. 17.2024-519](#)

**BOND ACT(Amended)-SY028-Yonkers Joint Treatment Plant-Evaluation of Plant Electric & Lighting Systems**

A BOND ACT (Amended) authorizing the issuance of an additional FOUR MILLION (\$4,000,000) DOLLARS, making the total amount SIX MILLION (\$6,000,000) DOLLARS, in bonds of Westchester County to finance Capital Project SY028 - Yonkers Joint Treatment Plant - Evaluation of Plant Electric & Lighting Systems.

**SUBMITTED BY: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION**

BOND ACT \_\_\_\_\_ - 2024

VOTE \_\_\_\_\_

[SI. 18.2024-525](#)

**CBA-BIT50-AMS & CGI Applications and RAC Upgrade**

AN ACT amending the 2024 County Capital Budget Appropriations for Capital Project BIT50 - AMS & CGI Applications and RAC Upgrade.

**SUBMITTED BY: COMMITTEES ON BUDGET & APPROPRIATIONS, INFORMATION TECHNOLOGY & CYBERSECURITY AND PUBLIC WORKS & TRANSPORTATION**

ACT \_\_\_\_\_ - 2024

VOTE \_\_\_\_\_

[SI. 19.2024-526](#)

**BOND ACT-BIT50-AMS & CGI Applications and RAC Upgrade**

A BOND ACT authorizing the issuance of SIX HUNDRED TWENTY-FIVE THOUSAND (\$625,000) DOLLARS in bonds of Westchester County to finance Capital Project BIT50 - AMS & CGI Applications and RAC Upgrade.

**SUBMITTED BY: COMMITTEES ON BUDGET & APPROPRIATIONS, INFORMATION TECHNOLOGY & CYBERSECURITY AND PUBLIC WORKS & TRANSPORTATION**

BOND ACT \_\_\_\_\_ - 2024

VOTE \_\_\_\_\_

[SI. 20.2024-527](#)

**CBA-BIT63-Declaration of Independence Protective Exhibit Encasement**

AN ACT amending the 2024 County Capital Budget Appropriations for Capital Project BIT63 -



Declaration of Independence Protective Exhibit Encasement.

***SUBMITTED BY: COMMITTEES ON BUDGET & APPROPRIATIONS, INFORMATION TECHNOLOGY & CYBERSECURITY AND PUBLIC WORKS & TRANSPORTATION***

ACT \_\_\_\_\_ - 2024

VOTE \_\_\_\_\_

**SI. 21.2024-528      BOND ACT-BIT63-Declaration of Independence Protective Exhibit Encasement**

A BOND ACT authorizing the issuance of FIVE HUNDRED TWENTY-FIVE THOUSAND (\$525,000) DOLLARS in bonds of Westchester County to finance Capital Project BIT63 - Declaration of Independence Protective Exhibit Encasement.

***SUBMITTED BY: COMMITTEES ON BUDGET & APPROPRIATIONS, INFORMATION TECHNOLOGY & CYBERSECURITY AND PUBLIC WORKS & TRANSPORTATION***

BOND ACT \_\_\_\_\_ - 2024

VOTE \_\_\_\_\_

**SI. 22.2024-529      BOND ACT-SM023-Mamaroneck WRRF Headworks Rehabilitation**

A BOND ACT authorizing the issuance of FIVE HUNDRED THOUSAND (\$500,000) DOLLARS in bonds of Westchester County to finance Capital Project SM023 - Mamaroneck Wastewater Resource Recovery Facility (WRRF) Headworks Rehabilitation.

***SUBMITTED BY: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION***

BOND ACT \_\_\_\_\_ - 2024

VOTE \_\_\_\_\_

**SI. 23.2024-530      BOND ACT-SOS31-Ossining WRRF Plant Wide Systems Upgrades**

A BOND ACT authorizing the issuance of FIVE HUNDRED THOUSAND (\$500,000) DOLLARS in bonds of Westchester County to finance Capital Project SOS31 - Ossining Wastewater Resource Recovery Facility (WRRF) Plant Wide Systems Upgrades.

***SUBMITTED BY: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION***

BOND ACT \_\_\_\_\_ - 2024

VOTE \_\_\_\_\_

**SI. 24.2024-531      BOND ACT-SNR21-New Rochelle WRRF Boiler and Grit Systems Replacement**

A BOND ACT authorizing the issuance of THIRTEEN MILLION, TWO HUNDRED THOUSAND (\$13,200,000) DOLLARS in bonds of Westchester County to finance Capital Project SNR21 - New Rochelle Wastewater Resource Recovery Facility (WRRF) Boiler and Grit Systems Replacement.

***SUBMITTED BY: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION***



BOND ACT \_\_\_\_\_ - 2024

VOTE \_\_\_\_\_

**SI. 25.2024-532      BOND ACT-BES24-Replacement of Smoke House Building**

A BOND ACT authorizing the issuance of TWO MILLION (\$2,000,000) DOLLARS in bonds of Westchester County to finance Capital Project BES24 - Replacement of Smoke House Building.

***SUBMITTED BY: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION***

BOND ACT \_\_\_\_\_ - 2024

VOTE \_\_\_\_\_

**SI. 26.2024-533      IMA-Easement to Install Water Main on New King Street-North Castle**

AN ACT authorizing the County of Westchester to enter into an inter-municipal agreement with the Town of North Castle and acquire an easement from the Town to allow the County to install and maintain a water main on New King Street to address per-and-polyfluoroalkyl substances found in the wells of certain privately owned properties.

***SUBMITTED BY: COMMITTEES ON BUDGET & APPROPRIATIONS, PUBLIC WORKS & TRANSPORTATION AND HUMAN SERVICES***

ACT \_\_\_\_\_ - 2024

VOTE \_\_\_\_\_

**IV. SPECIAL ORDERS****MOTIONS, RESOLUTIONS & CALL OF THE DISTRICTS****SI. 1. 2024-537      MEMORIAL RESOLUTIONS 15-2024**

HON. MARGARET A. CUNZIO: Janice Marie Sanders, Gary P. Malunis, Kenneth Hasko

DAVID J. TUBIOLO: Peggy Mahedy

**ADJOURNMENT**

Next Meeting: October 21, 2024 at 7pm.

TO: HONORABLE BOARD OF LEGISLATORS  
COUNTY OF WESTCHESTER

Your Committee is in receipt of a communication from the County Executive requesting the adoption of the attached "A LOCAL LAW to amend the Laws of Westchester County by adding a new Chapter 527-a relating to fees charged for a license to carry a pistol or revolver, purchase or take possession of a semiautomatic rifle, and amend or renew such license."

Your Committee is aware that the fees charged by counties for new pistol licenses are regulated by New York State Penal Law subdivision 14 of section 400.00. Pursuant to this New York State law, Westchester's pistol license applications have been capped at \$10 per application, while the cost to perform the necessary work on an application is significantly higher and has been generally estimated at roughly \$300. These fees have not increased in decades and the County has essentially been operating the firearms licensing program at a significant annual loss of over \$300,000. As a result, Westchester's taxpayers are subsidizing the bureaucratic costs incurred by those seeking firearms licenses. Although Westchester has been obligated to abide by the New York State cap, comparable counties, such as Nassau County as well as New York City, have been provided with the authority to charge fees in line with the cost of providing the licensing service. These localities have higher licensing fees, allowing them to cover a larger share of this cost, placing a lighter burden on their taxpayers. For example, Nassau County charges \$200 for pistol permit applications and New York City charges \$340. Moreover, Rockland County charges \$150 per application (which includes an \$88.25 fee for a required background check), which is also higher than the County's current application fee.

Your Committee is advised that, on September 15, 2023, the Governor signed into law an amendment to subdivision 14 of section 400.00 of the New York State Penal Law, to allow the County, through this Board, to fix the fees to be charged for a license to carry or possess a pistol or revolver or to purchase or take possession of a semiautomatic rifle and to provide for disposition of such fees.

Your Committee is further advised that this proposed Local Law, if adopted, would set the fees for a license to possess or carry a pistol or revolver, to purchase or take possession of a semiautomatic rifle or to renew a pistol license at \$175 (if concurrent applications are made only the single highest fee shall be collected), to amend a restriction on a pistol license at \$125, and for any other amendment to a pistol license at \$25.00. Any other firearms licensing fees including but not limited to duplicate licenses, license transfers between counties and waivers of license fees for retired police officers or retired correction officers shall be set in compliance with New York State law. Moreover, all fees collected under this proposed Local Law would be paid into the general fund of the County.

Your Committee notes that the County has analyzed the costs of processing pistol licenses and has established fees that are more proportionate to the actual licensing costs. Subsequently, the recommended fees in this proposed Local Law will reduce costs for taxpayers, improve the gun permitting procedure, and also expedite the process by which these fees may be amended as appropriate in the future.




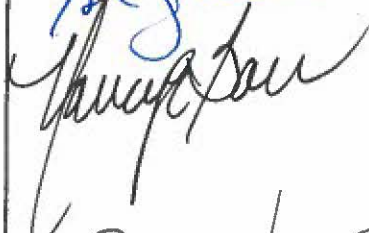

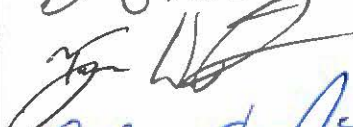

Your Committee is informed that the proposed project does not meet the definition of an action under New York State Environmental Quality Review Act ("SEQRA") and its implementing regulations 6 NYCRR Part 617. Please refer to the memorandum from the Department of Planning, dated January 8, 2024, which is on file with the Clerk of the Board of Legislators. Your Committee concurs in this conclusion.

Based on the foregoing, your Committee recommends the passage of this Local Law.

Dated: September 4, 2024  
White Plains, New York


Legislation

Budget & Appropriations

COMMITTEE ON

Dated: September 4, 2024  
White Plains, New York

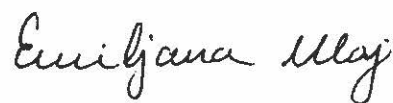
***The following members attended the meeting remotely and approved this item out of Committee with an affirmative vote. Their electronic signature was authorized and is below***

Committee(s) on:

**Budget & Appropriations**

Handwritten signature of John H. Holstein in cursive script.

**Legislation**

Handwritten signature of Emiliana Ulag in cursive script.

## FISCAL IMPACT STATEMENT

**SUBJECT: Pistol License Fees**

☐ NO FISCAL IMPACT PROJECTED

### OPERATING BUDGET IMPACT

**To Be Completed by Submitting Department and Reviewed by Budget**

**SECTION A - FUND**

☒ GENERAL FUND

☐ AIRPORT FUND☐ SPECIAL DISTRICTS FUND

## SECTION B - EXPENSES AND REVENUES

<b>Total Current Year Expense</b>	<b>\$</b>	<b>-</b>
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<b>Total Current Year Revenue</b>	<b>\$</b>	<b>266,028</b>
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**Source of Funds** (check one): ☐ Current Appropriations ☐ Transfer of Existing Appropriations

☐ Additional Appropriations ☐ Other (explain)

**Identify Accounts:** 101-21-0300-9127

<b>Potential Related Operating Budget Expenses:</b>	<b>Annual Amount</b>
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**Describe:** \_\_\_\_\_

<b>Potential Related Operating Budget Revenues:</b>	Annual Amount	\$634,800
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**Describe:** Annual increase in pistol permit revenue for New, Renewal and Restriction  
Change/Amendment.

**Anticipated Savings to County and/or Impact on Department Operations:**

**Current Year:**

**Next Four Years:** Estimated increase to revenue over the next four years (2025 - 2028)  
is \$2,539,200.

**Prepared by:** Christina Rampata

**Title:** Deputy Budget Director

**Department:** Budget

**Date:** July 9, 2024

Reviewed By: Nancy Adams

### Budget Director

Date: 7/10/24

A LOCAL LAW to amend the Laws of Westchester County by adding a new Chapter 527-a relating to fees charged for a license to carry a pistol or revolver, purchase or take possession of a semiautomatic rifle, and amend or renew such license.

**BE IT ENACTED** by the County Board of Legislators of the County of Westchester as follows:

Section 1. A new Chapter 527-a is hereby added to the Laws of Westchester County to read as follows:

**Chapter 527-a**

**FEES CHARGED FOR A LICENSE TO CARRY A PISTOL OR REVOLVER, OR  
TO PURCHASE OR TAKE POSSESSION OF A SEMIAUTOMATIC RIFLE IN  
THE COUNTY OF WESTCHESTER**

**Sec. 527-a.01 Fees.**

a. The County firearms licensing officer shall collect fees related to firearms licensing pursuant to the provisions of article 400 of the New York State Penal law.

b. The fees to obtain a license to carry or possess a pistol or revolver, to purchase or take possession of a semiautomatic rifle or to renew a license to carry or possess a pistol or revolver in the County shall be one hundred and seventy-five (\$175.00) dollars. If concurrent applications are made only the single highest fee shall be collected. Such fees provided for in this subdivision shall be in addition to the fees charged for a background check and fingerprints which are required to obtain a license pursuant to this Chapter.

c. The fee to amend a restriction on a pistol license shall be one hundred and twenty-five (\$125.00) dollars and the fee for any other amendment to a pistol license shall be twenty-five (\$25.00) dollars.

d. Any other firearms licensing fees including but not limited to duplicate licenses, license transfers between counties and waivers of license fees shall be set in compliance with New York State law.

**Sec. 527-a.11 Disposition of Fees.**

All fees collected under this chapter shall be paid into the treasury of the County and shall be credited to and deposited in the general fund of the County.

Section 2. This Local Law shall take effect immediately.



George Latimer  
County Executive

WHEREAS, a vacancy exists in the membership of the Westchester County Youth Board:

NOW, THEREFORE, I, George Latimer, County Executive of Westchester County, in accordance with the terms and provisions of the Westchester County Charter, appoint Eloise McGibbon, 125 Pelhamdale Avenue, Pelham, New York as a member of the Westchester County Youth Board, for the term August 8, 2024 to December 31, 2026.

Given under my hand  
and seal this 8<sup>th</sup> day  
of August, 2024.

  
George Latimer  
County Executive

Office of the County Executive

Michaelian Office Building  
148 Martine Avenue  
White Plains, New York 10601

Email: CE@westchestergov.com  
Telephone: (914)995-2900

westchestergov.com



George Latimer  
County Executive

August 8, 2024

Ms. Eloise McGibbon  
125 Pelhamdale Ave  
Pelham, NY 10803

Dear Ms. McGibbon,

It is my pleasure to appoint you to serve as a member of the Westchester County Youth Advisory Board effective today, Thursday, August 8, 2024. This appointment is for a term to expire on December 31, 2026.

Your appointment is subject to confirmation by the Westchester County Board of Legislators, but your service begins immediately. You must complete the attached Oath of Office within 30 days, either by appearing at the County Clerk's office or mailing the signed and notarized form to our office.

When you have filed your Oath of Office, a Resolution to confirm your appointment will be submitted to the County Board of Legislators. As part of the confirmation process, you may be called before the Board to be interviewed. Please contact Susan Weisman at (914) 995-2753 for the date, place, and time of the board's upcoming meeting for your participation.

Pursuant to Local Law, as a member of a Westchester County Board and/or Commission, you are responsible for adhering to the requirements of our Code of Ethics.

Warmest wishes for a successful tenure.

Very Truly Yours,

George Latimer  
Westchester County Executive

GL/ts

cc: Honorable Board of Legislators  
Ernest McFadden, Director, WC Youth Bureau  
Joan McDonald, Director of Operations

Office of the County Executive

Michaelian Office Building  
148 Martine Avenue  
White Plains, New York 10601

Email: [ce@westchestergov.com](mailto:ce@westchestergov.com)  
Telephone: (914)995-2900

[westchestergov.com](http://westchestergov.com)

# Eloise McGibbon

eloisemcgibbon@gmail.com

Pelham, NY

***Pelham Memorial High School*** - Rising Junior

## **WORK AND VOLUNTEER EXPERIENCE**

***Pelham Together Youth Council*** - Member

Fall 2023 - present

- Helped plan numerous club activities and community events that promote unification of Pelham community and importance of mental health awareness
- Planned Pelham Together Overnight, which fundraised and brought together the community to emphasize the prevalence and importance of mental health issues

***NYU Langone Radiation Oncology Lab*** - Volunteer Researcher

Summer 2024

- Reviewed extensive literature about novel radiation oncology glioblastoma research such as tumor treating fields and boron neutron capture therapy
- Assisted in culturing 60+ cell lines, passaged and grew cells
- Created graphs, charts, and histograms for displaying data for research paper

***Greenwich Hospital*** - Junior Volunteer

Summer 2023 & 2024

- Was responsible for patient transport for discharges and intakes
- Visited patients, handed out magazines, brought service dogs around to them
- Attended presentations by different healthcare professionals about their career paths

***Universal Moms*** - After School Instructor

2022 - current

- Supervises 10-15 kids at a time with co-instructor
- Designs engaging curriculum for each class

***Tig & Peach*** - Front Desk Assistant

2023 - current

- Greets and checks in families
- Opens up store, makes sure that play area stays clean and organized

## **EXTRACURRICULARS & AWARDS**

- Member, Medical Career Club
- Summer Participant, Girls Who Code
- Volunteer, Cancer Kids First
- Member, JV Girls Tennis Team
- Lifting Up Westchester Essay Competition, Honorable Mention
- Pelham Memorial High School Honor Roll, 2022-2023 and 2023-2024

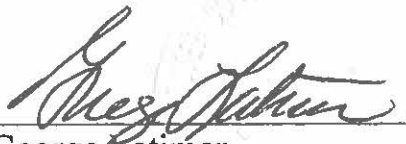


George Latimer  
County Executive

WHEREAS, the term of J. Henry Neale, Jr., as a member of the Westchester County Parks, Recreation and Conservation Board, has expired:

NOW, THEREFORE, I, George Latimer, County Executive of Westchester County, in accordance with the terms and provisions of the Westchester County Charter, reappoint J. Henry Neale, Jr., 2307 Kendal Way, Sleepy Hollow, New York as a member of the Westchester County Parks, Recreation and Conservation Board, effective retroactively January 1, 2024 to December 31, 2026.

Given under my hand  
and seal this 2<sup>nd</sup> day  
of August, 2024.

  
George Latimer  
County Executive

Office of the County Executive

Michaelian Office Building  
148 Martine Avenue  
White Plains, New York 10601

Email: CE@westchestergov.com  
Telephone: (914)995-2900

westchestergov.com

George Latimer  
County Executive

August 2, 2024

Mr. J. Henry Neale, Jr.  
2307 Kendal Way  
Sleepy Hollow, NY 10591

Dear Mr. Neale,

It is my pleasure to reappoint you to serve as a member of the Westchester County Parks, Recreation and Conservation Advisory Board, effective retroactively to January 1, 2024. This appointment is for a term to expire on December 31, 2026.

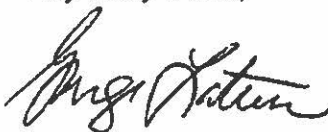
Your reappointment is subject to confirmation by the Westchester County Board of Legislators, but your service begins immediately. You must complete the attached Oath of Office within 30 days, either by appearing at the County Clerk's office or mailing the signed and notarized form to our office.

When you have filed your Oath of Office, a Resolution to confirm your reappointment will be submitted to the County Board of Legislators. As part of the confirmation process, you may be called before the Board to be interviewed.

Pursuant to Local Law, as a member of a Westchester County Board and/or Commission, you are responsible for adhering to the requirements of our Code of Ethics, which includes the filing of a financial disclosure statement with the County Board of Ethics.

Warmest wishes for a successful tenure.

Very Truly Yours,



George Latimer  
Westchester County Executive

GL/ts

cc: Honorable Board of Legislators  
Kathy O'Connor, Commissioner, Parks & Recreation Dept.  
Joan McDonald, Director of Operations

Office of the County Executive

Michaelian Office Building  
148 Martine Avenue  
White Plains, New York 10601

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# Westchester County Executive Branch - Member Attendance

## Parks, Recreation, and Conservation Board

Member	Jan 18, 2024	Feb 15, 2024	Mar 21, 2024
Nancy Barr	P		
Sobeida Cruz	A	P	P
Pamela Dubitsky	A	P	P
Charlene Indelicato	P	P	P
J. Henry Neale, Jr.	P	P	P
Hugh Greechan			
Vishnu Patel	P	P	P
Joel Seligman	P	P	P
Martin Kamarck	P	P	A
Debra Clay	P	P	P
Martin Rogowsky	P	P	P
Blanca Lopez			
Benjamin Boykin			P
David Tubiolo			

Present:	8	9	9
Absent:	2	0	1
Excused:	0	0	0

- \* P = Present
- \* A = Absent
- \* E = Excused
- \* C = Canceled

# Attendance Report - 2024

Apr 18, 2024	TOTALS
	100.0%
A	50.0%
P	75.0%
P	100.0%
P	100.0%
	0.0%
P	100.0%
A	75.0%
P	75.0%
A	75.0%
P	100.0%
	0.0%
P	100.0%
	0.0%

7 84.62%  
3 15.38%  
0 0.0%





October 3, 2024

TO: Hon. Vedat Gashi, Chair  
Hon. Jose Alvarado, Vice Chair  
Hon. Tyrae Woodson-Samuels, Majority Leader  
Hon. Margaret Cunzio, Minority Leader

FROM: George Latimer   
Westchester County Executive

RE: Message Requesting Immediate Consideration: **Bond Act – BCR63 –  
Post 2 Entrance Gate Booth.**

---

This will confirm my request that the Board of Legislators allow submission of the referenced communication to be submitted to the Board of Legislators October 7, 2024 Agenda.

Transmitted herewith for your review and approval is a bond act ("Bond Act") which, if adopted, would authorize the County of Westchester ("County") to issue bonds in the amount of \$125,000 to finance the following capital project: BCR63.

Therefore, since this communication is of the utmost importance, it is respectfully submitted that the County Board of Legislators accepts this submission for October 7, 2024 "blue sheet" calendar.

Thank you for your prompt attention to this matter.



George Latimer  
County Executive

September 27, 2024

Westchester County Board of Legislators  
800 Michaelian Office Building  
White Plains, New York 10601

Dear Members of the Board of Legislators:

Transmitted herewith for your review and approval is a bond act ("Bond Act") which, if adopted, would authorize the County of Westchester ("County") to issue bonds in the amount of \$125,000 to finance the following capital project:

BCR63 – Post 2 Entrance Gate Booth ("BCR63").

The Bond Act, in the amount of \$125,000, would finance the cost of design associated with the replacement of the existing Post 2 Entrance Gate Booth at the Westchester County Jail in Valhalla.

The Department of Correction ("Department") has advised that the existing Post 2 Entrance Gate Booth (the "Gate Booth") has reached the end of its useful life and is in need of replacement. The new Gate Booth will provide crucial 'Ballistic Level 3' protection from hand firearms and assault weapons. It will also provide required ballistic protection at doors, windows and other exterior penetrations. The current Gate Booth has been retro-fitted with ballistic film on the windows, but the walls and doors do not have ballistic resistance. The new Gate Booth will also address the deteriorated state of the existing steel floor that has rusted so extensively that numerous steel plate patches have been secured in several places to re-integrate the floor. Additionally, there are worn materials, rusting structure, a problematic bathroom, and poor insulation, heat and air conditioning.

Following bonding authorization, design will be scheduled and is estimated to take (3) months to complete and will be performed by in house staff. Construction is estimated to take (2) months to complete and will commence after award and execution of construction contracts, subject to your Honorable Board's further approval of construction funding.

Based on the importance of this project to the County, favorable action on the proposed Bond Act is respectfully requested.

Sincerely,

A handwritten signature in blue ink, appearing to read "George Latimer", written over a horizontal line.

George Latimer  
Westchester County Executive

GL/JKS/jpg/nn

**HONORABLE BOARD OF LEGISLATORS  
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmittal from the County Executive recommending approval by the County of Westchester (“County”) of a bond act (“Bond Act”) in the amount of \$125,000 to finance capital project BCR63 – Post 2 Entrance Gate Booth (“BCR63”). The Bond Act, which was prepared by the law firm Hawkins Delafield & Wood, LLP, will finance the cost of design associated with the replacement of the existing Post 2 Entrance Gate Booth at the Westchester County Jail in Valhalla.

The Department of Correction (“Department”) has advised that the existing Post 2 Entrance Gate Booth (the “Gate Booth”) has reached the end of its useful life and is in need of replacement. The new Gate Booth will provide crucial ‘Ballistic Level 3’ protection from hand firearms and assault weapons. It will also provide required ballistic protection at doors, windows and other exterior penetrations. The current Gate Booth has been retro-fitted with ballistic film on the windows, but the walls and doors do not have ballistic resistance. The new Gate Booth will also address the deteriorated state of the existing steel floor that has rusted so extensively that numerous steel plate patches have been secured in several places to re-integrate the floor. Additionally, there are worn materials, rusting structure, a problematic bathroom, and poor insulation, heat and air conditioning.

Following bonding authorization, design will be scheduled and is estimated to take (3) months to complete and will be performed by in house staff. Construction is estimated to take (2) months to complete and will commence after award and execution of construction contracts, subject to your Honorable Board’s further approval of construction funding.

The Planning Department has advised your Committee that based on its review, the above-referenced capital project may be classified as a Type “II” action pursuant to the State Environmental Quality Review Act (“SEQR”) and its implementing regulations, 6 NYCRR Part 617. Therefore, no environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this recommendation.

It should be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to adopt the Bond Act. Your Committee recommends the adoption of the proposed Bond Act.

Dated: \_\_\_\_\_, 2024  
White Plains, New York

**COMMITTEE ON**  
c/jpg/9-06-2024



# FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: BCR63

☐ NO FISCAL IMPACT PROJECTED

## SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☒ GENERAL FUND

☐ AIRPORT FUND

☐ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☒ Current Appropriations

☐ Capital Budget Amendment

## SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 125,000 PPU 5 Anticipated Interest Rate 2.37%

Anticipated Annual Cost (Principal and Interest): \$ 27,072

Total Debt Service (Annual Cost x Term): \$ 135,361

Finance Department: maab 10-2-24

## SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): None

Potential Related Revenues (Annual): None

Anticipated savings to County and/or impact of department operations

(describe in detail for current and next four years):

Replacement of the existing booth will increase energy efficiencies and facilitate operations.

## SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded: 1

Prepared by: William Fallon

Title: Director of Admin. Services

Department: Correction

Date: 10/2/24


Reviewed By: 

Budget Director

Date: 10/2/24

10/2/24

TO: Michelle Greenbaum, Senior Assistant County Attorney  
Jeffrey Goldman, Senior Assistant County Attorney  
Carla Chaves, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM   
Assistant Commissioner

DATE: September 25, 2024

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:  
BCR63 POST 2 ENTRANCE GATE BOOTH**

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**PROJECT/ACTION:** Per Capital Project Fact Sheet as approved by the Planning Department on 09-03-2024 (Unique ID: 2661)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(27):** conducting concurrent environmental, engineering, economic, feasibility and other studies and preliminary planning and budgetary processes necessary to the formulation of a proposal for action, provided those activities do not commit the agency to commence, engage in or approve such action.

---

**COMMENTS:** The current request is for design only.

DSK/dvw

cc: Andrew Ferris, Chief of Staff  
Paula Friedman, Assistant to the County Executive  
Lawrence Soule, Budget Director  
Tami Altschiller, Assistant Chief Deputy County Attorney  
Dianne Vanadia, Associate Budget Director  
Robert Abbamont, Director of Operations, Department of Public Works & Transportation  
Susan Darling, Chief Planner  
Michael Lipkin, Associate Planner  
Claudia Maxwell, Principal Environmental Planner

ACT NO. -20\_\_

BOND ACT AUTHORIZING THE ISSUANCE OF \$125,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF PREPARATION OF SURVEYS, PRELIMINARY AND DETAILED PLANS, SPECIFICATIONS AND ESTIMATES NECESSARY FOR PLANNING THE REPLACEMENT OF THE EXISTING POST 2 ENTRANCE BOOTH FOR THE DEPARTMENT OF CORRECTIONS; STATING THE ESTIMATED TOTAL COST THEREOF IS \$125,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$125,000 BONDS HEREIN AUTHORIZED; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted , 20\_\_)

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER, NEW YORK (by the affirmative vote of not less than two-thirds of the voting strength of said Board), AS FOLLOWS:

Section 1. Pursuant to the provisions of the Local Finance Law, constituting Chapter 33-a of the Consolidated Laws of the State of New York (the "Law"), the Westchester County Administrative Code, being Chapter 852 of the Laws of 1948, as amended, to the provisions of other laws applicable thereto, \$125,000 bonds of the County, or so much thereof as may be necessary, are hereby authorized to be issued to finance the cost of preparation of surveys, preliminary and detailed plans, specifications and estimates necessary for planning the replacement of the existing Post 2 entrance booth for the Department of Corrections; all as set forth in the



County's Current Year Capital Budget, as amended. To the extent that the details set forth in this act are inconsistent with any details set forth in the Current Year Capital Budget of the County, such Budget shall be deemed and is hereby amended. The estimated total cost of said object or purpose, including preliminary costs and costs incidental thereto and the financing thereof is \$125,000. The plan of financing includes the issuance of \$125,000 bonds herein authorized, and any bond anticipation notes issued in anticipation of the sale of such bonds, and the levy of a tax to pay the principal of and interest on said bonds.

Section 2. The period of probable usefulness of the object or purpose for which said \$125,000 bonds authorized by this Act are to be issued, within the limitations of Section 11.00 a. 62 (2<sup>nd</sup>) of the Law, is five (5) years;

Section 3. Current funds are not required to be provided as a down payment pursuant to Section 107.00 d. 9. of the Law prior to issuance of the bonds authorized herein, or any bond anticipation notes issued in anticipation of the sale of such bonds. The County intends to finance, on an interim basis, the costs or a portion of the costs of said improvements for which bonds are herein authorized, which costs are reasonably expected to be reimbursed with the proceeds of debt to be incurred by the County, pursuant to this Act, in the total amount of \$125,000. This Act is a declaration of official intent adopted pursuant to the requirements of Treasury Regulation Section 1.150-2.

Section 4. The estimate of \$125,000 as the estimated total cost of the aforesaid object or purpose is hereby approved.

Section 5. Subject to the provisions of this Act and of the Law, and pursuant to the provisions of §30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals thereof, and of §§50.00, 56.00 to 60.00 and 168.00 of said Law, the powers and

duties of the County Board of Legislators relative to authorizing the issuance of any notes in anticipation of the sale of the bonds herein authorized, or the renewals thereof, relative to providing for substantially level or declining annual debt service, relative to prescribing the terms, form and contents and as to the sale and issuance of the respective amounts of bonds herein authorized, and of any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and relative to executing agreements for credit enhancement, are hereby delegated to the Commissioner of Finance of the County, as the chief fiscal officer of the County.

Section 6. Each of the bonds authorized by this Act and any bond anticipation notes issued in anticipation of the sale thereof shall contain the recital of validity prescribed by §52.00 of said Local Finance Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County of Westchester, payable as to both principal and interest by general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and provision shall be made annually in the budgets of the County by appropriation for (a) the amortization and redemption of the notes and bonds to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 7. The validity of the bonds authorized by this Act and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

(a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or

(b) the provisions of law which should be complied with at the date of the publication of this Act or a summary hereof, are not substantially complied with, and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or

(c) such obligations are authorized in violation of the provisions of the Constitution.

Section 8. This Act shall take effect in accordance with Section 107.71 of the Westchester County Charter.

\* \* \*

STATE OF NEW YORK                    )  
  : ss.:  
COUNTY OF NEW YORK                )

I HEREBY CERTIFY that I have compared the foregoing Act No. -20\_\_ with the original on file in my office, and that the same is a correct transcript therefrom and of the whole of the said original Act, which was duly adopted by the County Board of Legislators of the County of Westchester on \_\_\_\_\_, 20\_\_ and approved by the County Executive on \_\_\_\_\_, 20\_\_.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said County Board of Legislators this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_.

(SEAL)

The Clerk and Chief Administrative Office of the  
County Board of Legislators County of  
Westchester, New York

## LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on \_\_\_\_\_, 20\_\_ and approved by the County Executive on \_\_\_\_\_, 20\_\_ and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the amended Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. \_\_\_\_\_-20\_\_

BOND ACT AUTHORIZING THE ISSUANCE OF \$125,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF PREPARATION OF SURVEYS, PRELIMINARY AND DETAILED PLANS, SPECIFICATIONS AND ESTIMATES NECESSARY FOR PLANNING THE REPLACEMENT OF THE EXISTING POST 2 ENTRANCE BOOTH FOR THE DEPARTMENT OF CORRECTIONS; STATING THE ESTIMATED TOTAL COST THEREOF IS \$125,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$125,000 BONDS HEREIN AUTHORIZED; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS (adopted on \_\_\_\_\_, 20\_\_)

object or purpose: to finance the cost of preparation of surveys, preliminary and detailed plans, specifications and estimates necessary for planning the replacement of the existing Post 2 entrance booth for the Department of Corrections; all as set forth in the County's Current Year Capital Budget, as amended.

amount of obligations to be issued:

and period of probable usefulness: \$125,000; five (5) years

Dated: \_\_\_\_\_, 20\_\_  
White Plains, New York

\_\_\_\_\_  
Clerk and Chief Administrative Officer of the County Board  
of Legislators of the County of Westchester, New York

## CAPITAL PROJECT FACT SHEET

<b>Project ID:*</b> BCR63	<input type="checkbox"/> CBA	<b>Fact Sheet Date:*</b> 08-27-2024
<b>Fact Sheet Year:*</b> 2024	<b>Project Title:*</b> POST 2 ENTRANCE GATE BOOTH	<b>Legislative District ID:</b> 3,
<b>Category*</b> BUILDINGS, LAND & MISCELLANEOUS	<b>Department:*</b> CORRECTION	<b>CP Unique ID:</b> 2661

### Overall Project Description

This project funds the replacement of Post 2 building with new prefabricated building including associated electrical work and site work and reconfiguration of the existing entrance road.

- |   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> Best Management Practices | <input checked="" type="checkbox"/> Energy Efficiencies | <input checked="" type="checkbox"/> Infrastructure |
| <input checked="" type="checkbox"/> Life Safety               | <input type="checkbox"/> Project Labor Agreement        | <input type="checkbox"/> Revenue                   |
| <input checked="" type="checkbox"/> Security                  | <input type="checkbox"/> Other                          |  |

### FIVE-YEAR CAPITAL PROGRAM (in thousands)

	Estimated Ultimate Total Cost	Appropriated	2024	2025	2026	2027	2028	Under Review
<b>Gross</b>	1,900	250	1,650	0	0	0	0	0
<b>Less Non-County Shares</b>	0	0	0	0	0	0	0	0
<b>Net</b>	1,900	250	1,650	0	0	0	0	0

**Expended/Obligated Amount (in thousands) as of :** 0

**Current Bond Description:** Bonding is requested for design in order to replace the existing Post 2 entrance booth.

#### Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	125,000
Cash:	0
<b>Total:</b>	<b>\$ 125,000</b>

#### SEQR Classification:

TYPE II

#### Amount Requested:

125,000

#### Expected Design Work Provider:

- |  |                                     |   |
|--|-------------------------------------|---|
| <input checked="" type="checkbox"/> County Staff | <input type="checkbox"/> Consultant | <input type="checkbox"/> Not Applicable |
|--|-------------------------------------|---|

#### Comments:

#### Energy Efficiencies:

ENERGY EFFICIENT LED LIGHTING WILL BE USED.

#### Appropriation History:

Year	Amount	Description
2023	250,000	DESIGN
2024	1,650,000	CONSTRUCTION

#### Total Appropriation History:

1,900,000

#### Total Financing History:

0

**Recommended By:**

**Department of Planning**  
MLLL

**Date**  
09-03-2024

**Department of Public Works**  
RJB4

**Date**  
09-03-2024

**Budget Department**  
DEV9

**Date**  
09-04-2024

**Requesting Department**  
WPF4

**Date**  
09-05-2024

## POST 2 ENTRANCE GATE BOOTH ( BCR63 )

**User Department :** Correction

**Managing Department(s) :** Correction ; Public Works ;

**Estimated Completion Date:** TBD

**Planning Board Recommendation:** Project approved in concept but subject to subsequent staff review.

### **FIVE YEAR CAPITAL PROGRAM (in thousands)**

	Est Ult Cost	Appropriated	Exp / Obl	2024	2025	2026	2027	2028	Under Review
Gross	1,900	250		1,650					
Non County Share									
Total	1,900	250		1,650					

### **Project Description**

This project funds the replacement of Post 2 building with new prefabricated building including associated electrical work and site work and reconfiguration of the existing entrance road.

### **Current Year Description**

The current year request funds construction.

### **Current Year Financing Plan**

Year	Bonds	Cash	Non County Shares	Total
2024	1,650,000			1,650,000

### **Impact on Operating Budget**

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

### **Appropriation History**

Year	Amount	Description	Status
2023	250,000	Design	AWAITING BOND AUTHORIZATION
Total	250,000		


### **Prior Appropriations**

	Appropriated	Collected	Uncollected
Bond Proceeds	250,000		250,000
Total	250,000		250,000



October 3, 2024

TO: Hon. Vedat Gashi, Chair  
Hon. Jose Alvarado, Vice Chair  
Hon. Tyrae Woodson-Samuels, Majority Leader  
Hon. Margaret Cunzio, Minority Leader

FROM: George Latimer   
Westchester County Executive

RE: Message Requesting Immediate Consideration: **Bond Act (Amended) –  
RB04J – Tuckahoe Road Bridge Over Bronx River Parkway,  
Yonkers.**

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This will confirm my request that the Board of Legislators allow submission of the referenced communication to be submitted to the Board of Legislators October 7, 2024 Agenda.

Transmitted herewith for your review and approval is an amended bond act (the “Amended Bond Act”) which, if adopted, would authorize the County of Westchester (the “County”) to issue an additional \$3,875,000 in bonds of the County to finance the following capital project: RB04J.

Therefore, since this communication is of the utmost importance, it is respectfully submitted that the County Board of Legislators accepts this submission for October 7, 2024 “blue sheet” calendar.

Thank you for your prompt attention to this matter.



George Latimer  
County Executive

September 27, 2024

Westchester County Board of Legislators  
800 Michaelian Office Building  
White Plains, New York 10601

Dear Members of the Board of Legislators:

Transmitted herewith for your review and approval is an amended bond act (the "Amended Bond Act") which, if adopted, would authorize the County of Westchester (the "County") to issue an additional \$3,875,000 in bonds of the County to finance the following capital project:

RB04J – Tuckahoe Road Bridge Over Bronx River Parkway, Yonkers (BIN 3348000) ("RB04J").

The Amended Bond Act, in the total amount of \$4,400,000, which includes \$525,000 in previously authorized bonds of the County, would finance the cost of construction and construction management associated with the rehabilitation of the Tuckahoe Road Bridge in Yonkers. The project will address the deterioration of the existing arch, asphalt approach resurfacing and waterproofing of the top of the concrete arch, roadway slab and sidewalks, and associated work.

The Department of Public Works and Transportation (the "Department") has advised that this bridge, which was built in 1922 and has an annual daily traffic count ("AADT") of 7,340, is in need of rehabilitation to maintain a safe roadway for the traveling public. In 2023 the New York State Department of Transportation gave the bridge a condition rating of 4.33 and the bridge has continued to deteriorate. This rating system, using a scale of 1 ("hazardous") through 7 ("new"), is a weighted average of the condition of an evaluated bridge. A rating below 5 indicates that a bridge requires work and delay of this work could result in the continued deterioration of the bridge.

Design is currently underway using outside consultants and is expected to be completed by the fourth quarter of 2024. It is anticipated that construction will take approximately eighteen (18) months to complete and will begin after award and execution of the construction contracts.

It should be noted that your Honorable Board has previously authorized the County to issue bonds for a prior component of project RB04J as follows: Bond Act No. 151-2023 in the amount of \$525,000, which funded design services for the rehabilitation of the bridge. No bonds have been issued under Bond Act No. 151-2023. Accordingly, authority is now requested to amend Bond Act No. 151-2023 to increase the initial amount authorized by \$3,875,000, from \$525,000 to \$4,400,000, to revise the scope of Bond Act No. 151-2023 to include construction and construction management services, and to increase the period of probable usefulness of said bonds.

Office of the County Executive  
Michaelian Office Building  
148 Martine Avenue  
White Plains, New York 10601

Telephone: (914)995-2900 E-mail: [ceo@westchestergov.com](mailto:ceo@westchestergov.com)

Based on the importance of this project to the County, favorable action on the proposed Amended Bond Act is respectfully requested.

Sincerely,

A handwritten signature in blue ink, appearing to read "George Latimer", written over a faint circular stamp.

George Latimer  
Westchester County Executive

GL/HJG/RA/jpg

**HONORABLE BOARD OF LEGISLATORS  
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmittal from the County Executive recommending approval by the County of Westchester (“County”) of an amended bond act (the “Amended Bond Act”) in the total amount of \$4,400,000, which includes \$525,000 in previously authorized bonds of the County, to finance capital project RB04J – Tuckahoe Road Bridge Over Bronx River Parkway, Yonkers (BIN 3348000) (“RB04J”). The Amended Bond Act, which was prepared by the law firm Hawkins Delafield & Wood, LLP, will finance the cost of construction and construction management associated with the rehabilitation of the Tuckahoe Road Bridge in Yonkers. The project will address the deterioration of the existing arch, asphalt approach resurfacing and waterproofing of the top of the concrete arch, roadway slab and sidewalks, and associated work.

The Department of Public Works and Transportation (the “Department”) has advised that this bridge, which was built in 1922 and has an annual daily traffic count (“AADT”) of 7,340, is in need of rehabilitation to maintain a safe roadway for the traveling public. In 2023 the New York State Department of Transportation gave the bridge a condition rating of 4.33 and the bridge has continued to deteriorate. This rating system, using a scale of 1 (“hazardous”) through 7 (“new”), is a weighted average of the condition of an evaluated bridge. A rating below 5 indicates that a bridge requires work and delay of this work could result in the continued deterioration of the bridge.

Design is currently underway using outside consultants and is expected to be completed by the fourth quarter of 2024. It is anticipated that construction will take approximately eighteen (18) months to complete and will begin after award and execution of the construction contracts.

Your Committee notes that that your Honorable Board has previously authorized the County to issue bonds for a prior component of project RB04J as follows: Bond Act No. 151-2023 in the amount of \$525,000, which funded design services for the rehabilitation of the bridge. No bonds have been issued under Bond Act No. 151-2023. Accordingly, authority is now requested to amend Bond Act No. 151-2023 to increase the initial amount authorized by \$3,875,000, from \$525,000 to \$4,400,000, to revise the scope of Bond Act No. 151-2023 to include construction and construction management services, and to increase the period of probable usefulness of said bonds.

The Planning Department has advised your Committee that based on its review, the above-referenced capital project may be classified as a Type “II” action pursuant to the State Environmental Quality Review Act (“SEQR”) and its implementing regulations, 6 NYCRR Part 617. Therefore, no environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this recommendation.

It should be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to adopt the Amended Bond Act. Your Committee recommends the adoption of the proposed Bond Act.

Dated: \_\_\_\_\_, 2024  
White Plains, New York

**COMMITTEE ON**

c/jpg/9-09-24



# FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: RB04J

☐ NO FISCAL IMPACT PROJECTED

## SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☒ GENERAL FUND

☐ AIRPORT FUND

☐ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☒ Current Appropriations

☐ Capital Budget Amendment

## SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 4,400,000 PPU 20 Anticipated Interest Rate 2.98%

Anticipated Annual Cost (Principal and Interest): \$ 292,056

Total Debt Service (Annual Cost x Term): \$ 5,841,114

Finance Department: maab 10-2-24

## SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations  
(describe in detail for current and next four years):

## SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded: 48

Prepared by: Robert Abbamont

Title: Director of Operations (Capital)

Department: Public Works/Transportation

Date: 10/2/24

Reviewed By: 

Budget Director

Date: 10/2/24

TO: Michelle Greenbaum, Senior Assistant County Attorney  
Jeffrey Goldman, Senior Assistant County Attorney  
Carla Chaves, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM  
Assistant Commissioner



DATE: September 25, 2024

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:  
RB04J TUCKAHOE ROAD BRIDGE OVER BRONX RIVER PARKWAY,  
YONKERS (BIN 3348000)**

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**PROJECT/ACTION:** Per Capital Project Fact Sheet as approved by the Planning Department on  
09-04-2024 (Unique ID: 2633)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(2):** replacement, rehabilitation or reconstruction of a structure or facility, in kind, on the same site, including upgrading buildings to meet building, energy, or fire codes unless such action meets or exceeds any of the thresholds in section 617.4 of this Part;
- **617.5(c)(5):** repaving of existing highways not involving the addition of new travel lanes.

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**COMMENTS:** None.

DSK/cnm

cc: Andrew Ferris, Chief of Staff  
Paula Friedman, Assistant to the County Executive  
Lawrence Soule, Budget Director  
Tami Altschiller, Assistant Chief Deputy County Attorney  
Dianne Vanadia, Associate Budget Director  
Robert Abbamont, Director of Operations, Department of Public Works & Transportation  
Susan Darling, Chief Planner  
Claudia Maxwell, Principal Environmental Planner  
Michael Lipkin, Associate Planner

ACT NO. -20\_\_\_\_\_

BOND ACT OF THE COUNTY OF WESTCHESTER, NEW YORK, AMENDING THE BOND ACT ADOPTED AUGUST 7, 2023, IN RELATION TO THE REHABILITATION OF THE TUCKAHOE ROAD BRIDGE OVER THE BRONX RIVER PARKWAY IN THE CITY OF YONKERS, AT THE MAXIMUM ESTIMATED COST OF \$4,400,000. (Adopted \_\_\_\_\_, 20\_\_\_\_\_).

WHEREAS, this Board has heretofore duly authorized the issuance of \$525,000 bonds to finance the cost of preparation of surveys, preliminary and detailed plans, specifications and estimates necessary for planning the rehabilitation of the Tuckahoe Road Bridge over the Bronx River Parkway in the City of Yonkers, pursuant to Act No. 151-2023 duly adopted on August 7, 2023; and

WHEREAS, it is now appropriate to authorize such improvements, and it is necessary to increase the amount of bonds to be issued and the appropriation for such project for estimated cost of such improvement;

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER, NEW YORK (by the affirmative vote of not less than two-thirds of the voting strength of said Board), AS FOLLOWS:

**Section (A).** The bond act duly adopted by this Board on August 7, 2023, entitled:

“ACT NO. 151-2023



BOND ACT AUTHORIZING THE ISSUANCE OF \$525,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF PREPARATION OF SURVEYS, PRELIMINARY AND DETAILED PLANS, SPECIFICATIONS AND ESTIMATES NECESSARY FOR PLANNING THE REHABILITATION OF THE TUCKAHOE ROAD BRIDGE OVER THE BRONX RIVER PARKWAY IN THE CITY OF YONKERS; STATING THE ESTIMATED TOTAL COST THEREOF IS \$525,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$525,000 BONDS HEREIN AUTHORIZED; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS.”

is hereby amended to read as follows:

BOND ACT AUTHORIZING THE ISSUANCE OF \$4,400,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE REHABILITATION OF THE TUCKAHOE ROAD BRIDGE OVER THE BRONX RIVER PARKWAY IN THE CITY OF YONKERS, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$4,400,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$4,400,000 BONDS HEREIN AUTHORIZED; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted \_\_\_\_\_, 20\_\_\_\_)

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER, NEW YORK (by the affirmative vote of not less than two-thirds of the voting strength of said Board), AS FOLLOWS:

Section 1. Pursuant to the provisions of the Local Finance Law, constituting Chapter 33-a of the Consolidated Laws of the State of New York (the “Law”), the Westchester

County Administrative Code, being Chapter 852 of the Laws of 1948, as amended, and to the provisions of other laws applicable thereto; \$4,400,000 bonds of the County, or so much thereof as may be necessary, are hereby authorized to be issued to finance the planning, construction and construction management in connection with the rehabilitation of the Tuckahoe Road Bridge over the Bronx River Parkway in the City of Yonkers, including addressing the deterioration of the existing arch, asphalt approach resurfacing and waterproofing of the top of the concrete arch, roadway slab and sidewalks, and associated work, all as set forth in the County's Current Year Capital Budget, as amended. To the extent that the details set forth in this act are inconsistent with any details set forth in the Current Year Capital Budget of the County, such Budget shall be deemed and is hereby amended. The estimated maximum cost of said specific object or purpose, including preliminary costs and costs incidental thereto and the financing thereof is \$4,400,000. The plan of financing includes the issuance of \$4,400,000 bonds herein authorized; and any bond anticipation notes issued in anticipation of the sale of such bonds and the levy of a tax to pay the principal of and interest on said bonds and notes.

Section 2. The period of probable usefulness of the specific object or purpose for which said \$4,400,000 bonds authorized by this Act are to be issued, within the limitations of Section 11.00 a. 10 of the Law, is twenty (20) years;

Section 3. Current funds are not required to be provided as a down payment pursuant to Section 107.00 d. 9. of the Law prior to issuance of the bonds authorized herein, or any bond anticipation notes issued in anticipation of the sale of such bonds. The County intends to finance, on an interim basis, the costs or a portion of the costs of said improvements for which bonds are herein authorized, which costs are reasonably expected to be reimbursed with the proceeds of debt to be incurred by the County, pursuant to this Act, in the maximum amount of

\$4,400,000. This Act is a declaration of official intent adopted pursuant to the requirements of Treasury Regulation Section 1.150-2.

Section 4. The estimate of \$4,400,000 as the estimated maximum cost of the aforesaid specific object or purpose is hereby approved.

Section 5. Subject to the provisions of this Act and of the Law, and pursuant to the provisions of §30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals thereof, and of §§50.00, 56.00 to 60.00 and 168.00 of said Law, the powers and duties of the County Board of Legislators relative to authorizing the issuance of any notes in anticipation of the sale of the bonds herein authorized, or the renewals thereof, relative to providing for substantially level or declining annual debt service, relative to prescribing the terms, form and contents and as to the sale and issuance of the respective amounts of bonds herein authorized, and of any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and relative to executing agreements for credit enhancement, are hereby delegated to the Commissioner of Finance of the County, as the chief fiscal officer of the County.

Section 6. Each of the bonds authorized by this Act and any bond anticipation notes issued in anticipation of the sale thereof shall contain the recital of validity prescribed by §52.00 of said Local Finance Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County of Westchester, payable as to both principal and interest by general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and provision shall be made annually in the budgets of the County by

appropriation for (a) the amortization and redemption of the notes and bonds to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 7. The validity of the bonds authorized by this Act and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

(a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or

(b) the provisions of law which should be complied with at the date of the publication of this Act or a summary hereof, are not substantially complied with,

and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or

(c) such obligations are authorized in violation of the provisions of the Constitution.

Section 8. This Act shall take effect in accordance with Section 107.71 of the Westchester County Charter.

**Section (B).** The amendment of the bond act set forth in Section (A) of this act shall in no way affect the validity of the liabilities incurred, obligations issued, or action taken pursuant to said bond act, and all such liabilities incurred, obligations issued, or action taken shall be deemed to have been incurred, issued or taken pursuant to said bond act, as so amended.

**Section (C).** This Act shall take effect in accordance with Section 107.71 of the Westchester County Charter.

\* \* \*

STATE OF NEW YORK                    )  
  :    ss.:  
COUNTY OF NEW YORK                )

I HEREBY CERTIFY that I have compared the foregoing Act No. -20\_\_\_\_\_ with the original on file in my office, and that the same is a correct transcript therefrom and of the whole of the said original Act, which was duly adopted by the County Board of Legislators of the County of Westchester on \_\_\_\_\_, 20\_\_\_\_\_ and approved by the County Executive on \_\_\_\_\_, 20\_\_\_\_\_.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said County Board of Legislators this    day of       , 20\_\_\_\_\_.

(SEAL)

The Clerk and Chief Administrative Office of the  
County Board of Legislators County of  
Westchester, New York

## LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on August 7, 2023 and amended on \_\_\_\_\_, 20\_\_\_\_ and approved, as amended, by the County Executive on \_\_\_\_\_, 20\_\_\_\_ and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the amended Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. \_\_\_\_\_-20\_\_\_\_\_

**BOND ACT AUTHORIZING THE ISSUANCE OF \$4,400,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE REHABILITATION OF THE TUCKAHOE ROAD BRIDGE OVER THE BRONX RIVER PARKWAY IN THE CITY OF YONKERS, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$4,400,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$4,400,000 BONDS HEREIN AUTHORIZED; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (adopted on August 7, 2023 and amended on \_\_\_\_\_, 20\_\_\_\_)**

object or purpose: to finance the planning, construction and construction management in connection with the rehabilitation of the Tuckahoe Road Bridge over the Bronx River Parkway in the City of Yonkers, including addressing the deterioration of the existing arch, asphalt approach resurfacing and waterproofing of the top of the concrete arch, roadway slab and sidewalks, and associated work, all as set forth in the County's Current Year Capital Budget, as amended.

amount of obligations to be issued:

and period of probable usefulness: \$4,400,000; twenty (20) years

Dated: \_\_\_\_\_, 20\_\_\_\_  
White Plains, New York

\_\_\_\_\_  
Clerk and Chief Administrative Officer of the County  
Board of Legislators of the County of Westchester, New  
York

## CAPITAL PROJECT FACT SHEET

<b>Project ID:*</b> RB04J	<input type="checkbox"/> CBA	<b>Fact Sheet Date:*</b> 08-21-2024
<b>Fact Sheet Year:*</b> 2024	<b>Project Title:*</b> TUCKAHOE ROAD BRIDGE OVER BRONX RIVER PARKWAY, YONKERS (BIN 3348000)	<b>Legislative District ID:</b> 10, 15,
<b>Category*</b> ROADS & BRIDGES	<b>Department:*</b> PUBLIC WORKS	<b>CP Unique ID:</b> 2633

### Overall Project Description

This project will fund the rehabilitation of the existing structure. The structure was built in 1922 and is 48' long by 65' wide.

- |   |  |  |
|---|--|--|
| <input checked="" type="checkbox"/> Best Management Practices | <input type="checkbox"/> Energy Efficiencies     | <input checked="" type="checkbox"/> Infrastructure |
| <input checked="" type="checkbox"/> Life Safety               | <input type="checkbox"/> Project Labor Agreement | <input type="checkbox"/> Revenue                   |
| <input type="checkbox"/> Security                             | <input type="checkbox"/> Other                   |  |

### FIVE-YEAR CAPITAL PROGRAM (in thousands)

	Estimated Ultimate Total Cost	Appropriated	2024	2025	2026	2027	2028	Under Review
<b>Gross</b>	4,400	900	3,500	0	0	0	0	0
<b>Less Non-County Shares</b>	0	0	0	0	0	0	0	0
<b>Net</b>	4,400	900	3,500	0	0	0	0	0

**Expended/Obligated Amount (in thousands) as of :** 23

**Current Bond Description:** Funding is requested for construction and construction management associated with the rehabilitation of the bridge including the addressing the deterioration of the existing arch, asphalt approach resurfacing and waterproofing of the top of the concrete arch, roadway slab and sidewalks, and associated work.

### Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	3,875,000
Cash:	0
<b>Total:</b>	<b>\$ 3,875,000</b>

### SEQR Classification:

TYPE II

### Amount Requested:

3,875,000

### Expected Design Work Provider:

- ☐ County Staff
 ☒ Consultant
 ☐ Not Applicable

### Comments:

Bridge Condition Rating: 4.33 (2023); AADT: 7,340

### Energy Efficiencies:

### Appropriation History:

Year	Amount	Description
2023	900,000	DESIGN AND CONSTRUCTION MANAGEMENT
2024	3,500,000	CONSTRUCTION

### Total Appropriation History:

4,400,000



**Financing History:**

Year	Bond Act #	Amount	Issued	Description
23	151	525,000		0 TUCKAHOE ROAD BRIDGE OVER BRP YONKERS (BIN 3348000)

**Total Financing History:**

525,000

**Recommended By:****Department of Planning**  
MLLL**Date**  
09-04-2024**Department of Public Works**  
RJB4**Date**  
09-05-2024**Budget Department**  
DEV9**Date**  
09-07-2024**Requesting Department**  
RJB4**Date**  
09-07-2024

# TUCKAHOE ROAD BRIDGE OVER BRONX RIVER PARKWAY, YONKERS (BIN 3348000) ( RB04J )

**User Department :** Public Works

**Managing Department(s) :** Public Works ;

**Estimated Completion Date:** TBD

**Planning Board Recommendation:** Project has historical implications. Project approved in concept but subject to subsequent staff review.

## **FIVE YEAR CAPITAL PROGRAM (in thousands)**

	Est Ult Cost	Appropriated	Exp / Obl	2024	2025	2026	2027	2028	Under Review
Gross	4,400	900	23	3,500					
Non County Share									
Total	4,400	900	23	3,500					

## **Project Description**

This project will fund the rehabilitation of the existing structure. The structure was built in 1922 and is 48' long by 65' wide.

## **Current Year Description**

The current year request funds construction.

## **Current Year Financing Plan**

Year	Bonds	Cash	Non County Shares	Total
2024	3,500,000			3,500,000

## **Impact on Operating Budget**

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

## **Appropriation History**

Year	Amount	Description	Status
2023	900,000	Design and construction management	\$525,000 DESIGN; \$375,000 AWAITING BOND AUTHORIZATION
Total	900,000		

## **Prior Appropriations**


	Appropriated	Collected	Uncollected
Bond Proceeds	900,000		900,000
Total	900,000		900,000

## **Bonds Authorized**

Bond Act	Amount	Date Sold	Amount Sold	Balance
151 23	525,000			525,000
Total	525,000			525,000

October 4, 2024

TO: Hon. Vedat Gashi, Chair  
Hon. Jose Alvarado, Vice Chair  
Hon. Tyrae Woodson-Samuels, Majority Leader  
Hon. Margaret Cunzio, Minority Leader

FROM: George Latimer   
Westchester County Executive

RE: Message Requesting Immediate Consideration: **Local Law – Enter into Lease Agreement – MG MARTINE SPE LLC.**

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This will confirm my request that the Board of Legislators allow submission of the referenced communication to be submitted to the Board of Legislators October 7, 2024 Agenda.

Transmitted herewith for your consideration is a proposed Local Law, which, if adopted by your Honorable Board, would authorize the County of Westchester (the “County”) to enter into a lease agreement (“Lease”) with MG MARTINE SPE LLC (the “Landlord”), in order to lease approximately 57,266 square feet of space comprised of the contiguous 12<sup>th</sup>, 14<sup>th</sup> and 15<sup>th</sup> floors on the building (“Building”) located at 11 Martine Avenue, White Plains.

Therefore, since this communication is of the utmost importance, it is respectfully submitted that the County Board of Legislators accepts this submission for October 7, 2024 “blue sheet” calendar.

Thank you for your prompt attention to this matter.



George Latimer  
Westchester County Executive

October 3, 2024

Westchester County Board of Legislators  
800 Michaelian Office Building  
White Plains, New York 10601

Dear Honorable Members of the Board of Legislators:

Transmitted herewith for your consideration is a proposed Local Law, which, if adopted by your Honorable Board, would authorize the County of Westchester (the "County") to enter into a lease agreement ("Lease") with MG MARTINE SPE LLC (the "Landlord"), in order to lease approximately 57,266 square feet of space comprised of the contiguous 12<sup>th</sup>, 14<sup>th</sup> and 15<sup>th</sup> floors on the building ("Building") located at 11 Martine Avenue, White Plains, New York, which includes the ground floor entry lobby of the Building that exclusively serves such floors, plus 4,000 square feet gross rentable storage space (2,000 square feet in the garage area, and another 2,000 square feet to be determined by the parties) along with 229 reserved parking spaces in the parking garage adjacent to the Building, inclusive of 40 electric vehicle charging stations, allocated for use by County employees and invitees (collectively the "Leased Premises" or "Premises"), for general office use or any uses ancillary to the County's business and for any other lawful purposes.

The Leased Premises will be occupied by the County's Department of Health, and will replace space currently being leased by the County at 145 Huguenot Avenue, New Rochelle and at 10 County Center, White Plains, and other space occupied by the Department of Health at County property such as the County Airport and property located at 25 Moore Avenue, Mount Kisco.

The initial term of the Lease will be for a period of eleven (11) years and four (4) months (the "Initial Term"), with the County having the option to extend the Initial Term by two (2) additional five year periods (collectively, the "Extended Terms"), upon advance written notice to the Landlord. The Lease will commence on the date the Landlord's Work (as defined below) is "Substantially Complete," e.g.: the date the County's authorized representative provides written notice of acceptance of the Premises, with Landlord's Work completed, subject to minor details of construction or decoration that do not adversely affect the County's ability to occupy the Premises (the "Commencement Date").

The fixed basic rent for the Initial Term and each of the Extended Terms, will be paid in equal monthly installments, as set forth below noting that there is a 2.5% annual increase over the rent paid during the immediately preceding year ("Fixed Basic Rent"):

<b>Initial Term</b>	<b>Annual Rate</b>	<b>Monthly Installments</b>	<b>Annual Per Sq. Ft. Rent</b>
Month 1 through Month 12	\$1,775,246.00	\$147,937.17	\$31.00
Month 13 through Month 24	\$1,819,627.15	\$151,635.60	\$31.78
Month 25 through Month 36	\$1,865,117.83	\$155,426.49	\$32.57
Month 37 through Month 48	\$1,911,745.78	\$159,312.15	\$33.38
Month 49 through Month 60	\$1,959,539.43	\$163,294.95	\$34.22
Month 61 through Month 72	\$2,008,527.92	\$167,377.33	\$35.07
Month 73 through Month 84	\$2,058,741.12	\$171,561.76	\$35.95
Month 85 through Month 96	\$2,110,209.65	\$175,850.80	\$36.85
Month 97 through Month 108	\$2,162,964.89	\$180,247.07	\$37.77
Month 109 through Month 120	\$2,217,039.01	\$184,753.25	\$38.71
Month 121 through Month 132	\$2,272,464.99	\$189,372.06	\$39.68
Month 133 through Month 136	\$2,329,276.62	\$194,106.38	\$40.67
<b>First Extended Term</b>	<b>Annual Rate</b>	<b>Monthly Installments</b>	<b>Annual Per Sq. Ft. Rent</b>
Month 137 through Month 148	\$2,329,276.62	\$194,106.38	\$40.67
Month 149 through Month 160	\$2,387,508.54	\$198,959.04	\$41.69
Month 161 through Month 172	\$2,447,196.25	\$203,933.02	\$42.73
Month 173 through Month 184	\$2,508,376.16	\$209,031.35	\$43.80
Month 185 through Month 196	\$2,571,085.56	\$214,257.13	\$44.93
<b>Second Extended Term</b>	<b>Annual Rate</b>	<b>Monthly Installments</b>	<b>Annual Per Sq. Ft. Rent</b>
Month 197 through Month 208	\$2,635,362.70	\$219,613.56	\$46.05
Month 209 through Month 220	\$2,701,246.77	\$225,103.90	\$47.20
Month 221 through Month 232	\$2,768,777.94	\$230,731.50	\$48.38
Month 233 through Month 244	\$2,837,997.40	\$236,499.78	\$49.59
Month 245 through Month 256	\$2,908,947.34	\$242,412.28	\$50.83

I have been advised that the Landlord has agreed to a rent concession to be applied to the Fixed Basic Rent for the first 16 months of the Initial Term in an amount of \$17,513.15 per month. The County agrees to pay the monthly installment of Fixed Basic Rent and Parking Space charge due in respect of the first full calendar month following the Commencement Date, within 30 days from the Effective Date. In addition, if the Commencement Date is not the first day of a month, the County will pay the prorated monthly installment of Fixed Basic Rent and Parking Space charge due with respect to such partial month, within 15 days from the Commencement Date.

Pursuant to the Lease, in addition to the above Fixed Basic Rent, the County will pay as additional rent ("Additional Rent") all other operating costs, expenses and fees, including utility costs, such as electricity, water, sewer, gas, heating, ventilating, air conditioning and other utilities, and including applicable fuel surcharges and sales or use taxes related thereto, parking fees, operating costs including, personal property taxes, if applicable, maintenance fees, maintenance and repair costs, tools and other equipment, trash removal, lawn care, snow removal, all fire and other insurance costs, of the Building, sidewalks, and certain, but not all "Related Facilities" that benefit the Building, as well as applicable real estate taxes. The County will pay 100% of the



operating costs attributable to Commercial Portion of the Building, which is comprised of the entirety of the Premises, and will pay 21.96% of the operating costs attributable to both the Commercial Portion and Residential Portion of the Building, based on the total square footage of the Premises as it relates to the total square footage of the Building (i.e.,  $57,266/260,628 \times 100$ ).

In addition, pursuant to the Lease, the County will pay an Annual Parking Fee of \$137,400.00, which reflects the monthly cost of \$50.00 per month for each parking space (229 in total, inclusive of 40 electric vehicle charging stations ("Parking Spaces"), subject to a 2.5% annual increase over the immediately preceding year. With respect to the parking spaces, the County has the right to increase or reduce the number of Parking Spaces, in which event the Annual Parking Fee will be adjusted accordingly. The Parking Spaces will be reserved for the County's exclusive use and will be identified with either signage or the use of a color coding system. The garage will be open 24 hours per day seven days per week. The County shall have exclusive access to 40 electric vehicle charging stations, and only be responsible for the electricity charges in connection therewith. Landlord will maintain and repair the 40 electric vehicle charging stations, at its sole cost and expense, during the Term of the Lease. In addition, the County shall have the right, to relinquish up to twenty percent (20%) of the required electric vehicle charging stations, for use by other tenants or invitees of the Building, provided the County is not required to pay for the electricity charges related thereto and the County may revoke its decision to relinquish certain electric vehicle charging stations at any time, after the giving of notice to the Landlord of such election.

The Landlord will renovate the Leased Premises in accordance with the final plans approved by the County and the work letter attached to the Lease as Exhibit C ("Work Letter"), which annexes the County's Work Letter prepared by Perkins – Eastman, setting forth the County's minimum requirements and specifications (the "Landlord's Work"). The Landlord is required to commence the Landlord's Work no later than thirty (30) days from receipt of all necessary approvals and proceed with diligence to complete such work as soon as reasonably possible, subject to Tenant's Delay and Force Majeure (as defined in the Lease), which 270-day period may be extended by the number of days corresponding to Tenant's Delay and Force Majeure. In the event the Landlord fails to complete the Landlord's Work within 270 days following the execution of the Lease by both parties, Landlord will pay the County a rent credit equivalent to two (2) gross days for each and every day of delay following said 270-day period in completing the Landlord's Work, subject to Tenant's Delays and Force Majeure, provided, however, in the event the Landlord's Work is not completed within 365 days following the date the Lease is executed by the parties and approved by the County Attorney ("Effective Date"), due to no fault of the County, the County will have the right to either terminate the Lease or to complete Landlord's Work, at Landlord's sole cost and expense, without any further obligations or liability whatsoever under the Lease, except with respect to those obligations that survive the earlier termination of the Lease.

Following completion of Landlord's Work, Landlord will promptly deliver the Premises to the County in good operating order in compliance with the Work Letter, the approved final plans, and the Lease. Landlord further represents and warrants to the County, as of the Commencement Date, that Landlord has no actual knowledge of any violation of applicable building codes, regulations, or ordinances with regard to the subject real property, which includes the Building,

the land beneath it, and adjoining parking areas, sidewalks, driveways, landscaping and land, or any part thereof.

Pursuant to the Lease, the Landlord will operate the Building consistent with a "Class A Building" in Westchester County, and will maintain two (2) full time personnel to professionally manage the daily operations of the Premises. The Landlord will provide security for the Building comprised of a manned security station within the parking garage between the hours of Monday through Friday 7:00 am through 10:00 pm, a roving security patrol and Closed-Circuit Television (CCTV) monitors (2 in the lobby and 13 in the parking garage at street level, entrances/exits loading dock and stairwells). The County may take any additional security measures, including installation of security equipment or otherwise to provide supplemental security to the Premises, including the lobby that services exclusively the Premises.

The Landlord will operate, maintain and repair the structural or non-structural elements of the Building, including the Building's roof and all other structural elements of the Building, all Common Facilities, the parking garage, all Building systems, including, but not limited to HVAC, mechanical, ventilating, heating, electrical, lighting, plumbing, fire and life safety systems serving the Premises and/or the Building during the Term of the Lease, in compliance with all applicable laws. Any capital improvements completed by the Landlord at the Premises during the Term of the Lease will be at Landlord's sole cost and expense and will not be included as Additional Rent, unless same is due to the County's gross negligence or willful misconduct, in which event the County will be responsible for such capital expense.

The County, at its sole cost and expense, will make all repairs and replacements, as and when necessary, to the Premises and Tenant's personal property and any non-structural alterations, including, without limitation, any non-standard-office improvements made or performed by or on behalf of the County. However, at the County's election and sole cost and expense, upon notice, the Landlord will perform necessary routine maintenance in the Premises, including replacing light bulbs and ballast, replacing damaged ceiling, restroom or floor tiles and fixtures, repairing or replacing toilets, sinks and pipes, as necessary for the County's intended use of the Premises.

In addition, pursuant to the Lease, all County's employees located at the Premises will be entitled to use the Fitness Center in the nearby building located at 50 Main Street, White Plains, NY ("50 Main St. Building") at no charge, on a first come first served basis. The County will also have the right to use the Executive Dining Room, Board Room and Lecture Hall located in the 50 Main St. Building at no charge, subject to availability, and Landlord will not have the right to cancel or reschedule County's room reservations at any such facilities to accommodate other tenants or visitors. Use of the foregoing facilities will be subject to such rules and regulations as may be in place at any time and from time to time so long as they are also applicable to tenants of the 50 Main St. Building.

The County may not assign the Lease or sublet the Premises, without Landlord's consent and subject to certain conditions, including the payment of a \$2,500 administrative fee for each request for consent, provided the County may assign the Lease or sublet all or any portion of the Premises without Landlord's consent to any department or office of the County directly or indirectly

controlling or controlled by the County or under common control with the County, provided such transfer is for a valid business purpose and not principally for the purpose of transferring the leasehold for a profit or to a shell entity and further provided that (1) the County remains fully and jointly and severally liable for all obligations of the County under the Lease unless such assignee assumes in writing in an instrument reasonably acceptable to both parties, all of the obligations of the County under this Lease, and a copy of such assumption agreement is delivered to the Landlord, and (2) the County will provide Landlord with not less than 30 days prior written notice of any such intended assignment or sublease.

It should be noted that the County will also have a right of first offer should the Landlord decide to sell the Leased Premises during the Lease Term, if exercised within thirty (30) days of receipt of notice from the Landlord, subject to all necessary legal approvals.

I have been advised that pursuant to Section 104.11(5)(e) of the Laws of Westchester County, authorization of the proposed Lease requires passage of a Local Law. Also attached is a Resolution authorizing a Public Hearing as required by §209.141(4) of the Laws of Westchester County.

Based upon the foregoing, I believe that the proposed Lease is in the best interest of the County. Therefore, I recommend the favorable action of your Honorable Board on the annexed proposed legislation.

Very truly yours,



George Latimer  
Westchester County Executive



**HONORABLE BOARD OF LEGISLATORS  
THE COUNTY OF WESTCHESTER**

Your Committee is in receipt of a communication from the County Executive recommending this Honorable Board adopt a Local Law to authorize the County of Westchester (the “County”) to enter into a to enter into a lease agreement (“Lease”) with MG MARTINE SPE LLC (the “Landlord”), in order to lease approximately 57,266 square feet of space comprised of the contiguous 12<sup>th</sup>, 14<sup>th</sup> and 15<sup>th</sup> floors on the building (“Building”) located at 11 Martine Avenue, White Plains, New York, which includes the ground floor entry lobby of the Building that exclusively serves such floors, plus 4,000 square feet gross rentable storage space (2,000 square feet in the garage area, and another 2,000 square feet to be determined by the parties) along with 229 reserved parking spaces in the parking garage adjacent to the Building, inclusive of 40 electric vehicle charging stations, allocated for use by County employees and invitees (collectively the “Leased Premises” or “Premises”), for general office use or any uses ancillary to the County’s business and for any other lawful purposes.

Your Committee is advised that the Leased Premises will be occupied by the County’s Department of Health, and will replace space currently being leased by the County at 145 Huguenot Avenue, New Rochelle and at 10 County Center, White Plains, and other space occupied by the Department of Health at County property such as the County Airport and property located at 25 Moore Avenue, Mount Kisco.

Your Committee is advised that the initial term of the Lease will be for a period of eleven (11) years and four (4) months (the “Initial Term”), with the County having the option to extend the Initial Term by two (2) additional five year periods (collectively, the “Extended Terms”), upon advance written notice to the Landlord. The Lease will commence on the date the Landlord’s Work (as defined below) is “Substantially Complete,” e.g.: the date the County’s authorized representative provides written notice of acceptance of the Premises, with Landlord’s Work completed, subject to minor details of construction or decoration that do not adversely affect the County’s ability to occupy the Premises (the “Commencement Date”).

Your Committee is also advised that the fixed basic rent for the Initial Term and each of the Extended Terms, will be paid in equal monthly installments, as set forth below noting that there is a 2.5% annual increase over the rent paid during the immediately preceding year ("Fixed Basic Rent"):

<b>Initial Term</b>	<b>Annual Rate</b>	<b>Monthly Installments</b>	<b>Annual Per Sq. Ft. Rent</b>
Month 1 through Month 12	\$1,775,246.00	\$147,937.17	\$31.00
Month 13 through Month 24	\$1,819,627.15	\$151,635.60	\$31.78
Month 25 through Month 36	\$1,865,117.83	\$155,426.49	\$32.57
Month 37 through Month 48	\$1,911,745.78	\$159,312.15	\$33.38
Month 49 through Month 60	\$1,959,539.43	\$163,294.95	\$34.22
Month 61 through Month 72	\$2,008,527.92	\$167,377.33	\$35.07
Month 73 through Month 84	\$2,058,741.12	\$171,561.76	\$35.95
Month 85 through Month 96	\$2,110,209.65	\$175,850.80	\$36.85
Month 97 through Month 108	\$2,162,964.89	\$180,247.07	\$37.77
Month 109 through Month 120	\$2,217,039.01	\$184,753.25	\$38.71
Month 121 through Month 132	\$2,272,464.99	\$189,372.06	\$39.68
Month 133 through Month 136	\$2,329,276.62	\$194,106.38	\$40.67
<b>First Extended Term</b>	<b>Annual Rate</b>	<b>Monthly Installments</b>	<b>Annual Per Sq. Ft. Rent</b>
Month 137 through Month 148	\$2,329,276.62	\$194,106.38	\$40.67
Month 149 through Month 160	\$2,387,508.54	\$198,959.04	\$41.69
Month 161 through Month 172	\$2,447,196.25	\$203,933.02	\$42.73
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Month 233 through Month 244	\$2,837,997.40	\$236,499.78	\$49.59
Month 245 through Month 256	\$2,908,947.34	\$242,412.28	\$50.83

Your Committee is further advised that the Landlord has agreed to a rent concession to be applied to the Fixed Basic Rent for the first 16 months of the Initial Term in an amount of \$17,513.15 per month. The County agrees to pay the monthly installment of Fixed Basic Rent and Parking Space charge due in respect of the first full calendar month following the Commencement Date, within 30 days from the Effective Date. In addition, if the Commencement Date is not the first day of a month, the County will pay the prorated monthly installment of Fixed

Basic Rent and Parking Space charge due with respect to such partial month, within 15 days from the Commencement Date.

Pursuant to the Lease, in addition to the above Fixed Basic Rent, the County will pay as additional rent (“Additional Rent”) all other operating costs, expenses and fees, including utility costs, such as electricity, water, sewer, gas, heating, ventilating, air conditioning and other utilities, and including applicable fuel surcharges and sales or use taxes related thereto, parking fees, operating costs including, personal property taxes, if applicable, maintenance fees, maintenance and repair costs, tools and other equipment, trash removal, lawn care, snow removal, all fire and other insurance costs, of the Building, sidewalks, and certain, but not all “Related Facilities” that benefit the Building, as well as applicable real estate taxes. The County will pay 100% of the operating costs attributable to Commercial Portion of the Building, which is comprised of the entirety of the Premises, and will pay 21.96% of the operating costs attributable to both the Commercial Portion and Residential Portion of the Building, based on the total square footage of the Premises as it relates to the total square footage of the Building (i.e.,  $57,266/260,628 \times 100$ ).

In addition, your Committee is advised that the County will pay an Annual Parking Fee of \$137,400.00, which reflects the monthly cost of \$50.00 per month for each parking space (229 in total, inclusive of 40 electric vehicles charging stations (“Parking Spaces”), subject to a 2.5% annual increase over the immediately preceding year. With respect to the parking spaces, the County has the right to increase or reduce the number of Parking Spaces, in which event the Annual Parking Fee will be adjusted accordingly. The Parking Spaces will be reserved for the County’s exclusive use and will be identified with either signage or the use of a color coding system. The garage will be open 24 hours per day seven days per week. The County shall have exclusive access to 40 electric vehicle charging stations, and only be responsible for the electricity charges in connection therewith. Landlord will maintain and repair the 40 electric vehicle charging stations, at its sole cost and expense, during the Term of the Lease. In addition, the County shall have the right, to relinquish up to twenty percent (20%) of the required electric vehicle charging stations, for use by other tenants or invitees of the Building, provided the County is not required to pay for the electricity charges related thereto and the County may revoke its decision to relinquish certain electric vehicles charging stations at any time, after the giving of notice to the Landlord of such election.

Your Committee is further advised that the Landlord will renovate the Leased Premises in accordance with the final plans approved by the County and the work letter attached to the Lease as Exhibit C (“Work Letter”), which annexes the County’s Work Letter prepared by Perkins – Eastman, setting forth the County’s minimum requirements and specifications (the “Landlord’s Work”). The Landlord is required to commence the Landlord’s Work no later than thirty (30) days from receipt of all necessary approvals and proceed with diligence to complete such work as soon as reasonably possible, subject to Tenant’s Delay and Force Majeure (as defined in the Lease), which 270-day period may be extended by the number of days corresponding to Tenant’s Delay and Force Majeure. In the event the Landlord fails to complete the Landlord’s Work within 270 days following the execution of the Lease by both parties, Landlord will pay the County a rent credit equivalent to two (2) gross days for each and every day of delay following said 270-day period in completing the Landlord’s Work, subject to Tenant’s Delays and Force Majeure, provided, however, in the event the Landlord’s Work is not completed within 365 days following the date the Lease is executed by the parties and approved by the County Attorney (“Effective Date”), due to no fault of the County, the County will have the right to either terminate the Lease or to complete Landlord’s Work, at Landlord’s sole cost and expense, without any further obligations or liability whatsoever under the Lease, except with respect to those obligations that survive the earlier termination of the Lease.

Following completion of Landlord’s Work, Landlord will promptly deliver the Premises to the County in good operating order in compliance with the Work Letter, the approved final plans, and the Lease. Landlord further represents and warrants to the County, as of the Commencement Date, that Landlord has no actual knowledge of any violation of applicable building codes, regulations, or ordinances with regard to the subject real property, which includes the Building, the land beneath it, and adjoining parking areas, sidewalks, driveways, landscaping and land, or any part thereof.

Your Committee is also advised that the Landlord will operate the Building consistent with a “Class A Building” in Westchester County, and will maintain two (2) full time personnel to professionally manage the daily operations of the Premises. The Landlord will provide security for the Building comprised of a manned security station within the parking garage between the hours of Monday through Friday 7:00 am through 10:00 pm, a roving security patrol and Closed-

Circuit Television (CCTV) monitors (2 in the lobby and 13 in the parking garage at street level, entrances/exits loading dock and stairwells). The County may take any additional security measures, including installation of security equipment or otherwise to provide supplemental security to the Premises, including the lobby that services exclusively the Premises.

Your Committee is further advised that the Landlord will operate, maintain and repair the structural or non-structural elements of the Building, including the Building's roof and all other structural elements of the Building, all Common Facilities, the parking garage, all Building systems, including, but not limited to HVAC, mechanical, ventilating, heating, electrical, lighting, plumbing, fire and life safety systems serving the Premises and/or the Building during the Term of the Lease, in compliance with all applicable laws. Any capital improvements completed by the Landlord at the Premises during the Term of the Lease will be at Landlord's sole cost and expense and will not be included as Additional Rent, unless same is due to the County's gross negligence or willful misconduct, in which event the County will be responsible for such capital expense.

Your Committee is also advised that the County, at its sole cost and expense, will make all repairs and replacements, as and when necessary, to the Premises and Tenant's personal property and any non-structural alterations, including, without limitation, any non-standard-office improvements made or performed by or on behalf of the County. However, at the County's election and sole cost and expense, upon notice, the Landlord will perform necessary routine maintenance in the Premises, including replacing light bulbs and ballast, replacing damaged ceiling, restroom or floor tiles and fixtures, repairing or replacing toilets, sinks and pipes, as necessary for the County's intended use of the Premises.

Your Committee is advised that, all County's employees located at the Premises will be entitled to use the Fitness Center in the nearby building located at 50 Main Street, White Plains, NY ("50 Main St. Building") at no charge, on a first come first served basis. The County will also have the right to use the Executive Dining Room, Board Room and Lecture Hall located in the 50 Main St. Building at no charge, subject to availability, and Landlord will not have the right to cancel or reschedule County's room reservations at any such facilities to accommodate other tenants or visitors. Use of the foregoing facilities will be subject to such rules and regulations as

may be in place at any time and from time to time so long as they are also applicable to tenants of the 50 Main St. Building.

Lastly, the County may not assign the Lease or sublet the Premises, without Landlord's consent and subject to certain conditions, including the payment of a \$2,500 administrative fee for each request for consent, provided the County may assign the Lease or sublet all or any portion of the Premises without Landlord's consent to any department or office of the County directly or indirectly controlling or controlled by the County or under common control with the County, provided such transfer is for a valid business purpose and not principally for the purpose of transferring the leasehold for a profit or to a shell entity and further provided that (1) the County remains fully and jointly and severally liable for all obligations of the County under the Lease unless such assignee assumes in writing in an instrument reasonably acceptable to both parties, all of the obligations of the County under this Lease, and a copy of such assumption agreement is delivered to the Landlord, and (2) the County will provide Landlord with not less than 30 days prior written notice of any such intended assignment or sublease.

It should be noted that the County will also have a right of first offer should the Landlord decide to sell the Leased Premises during the Lease Term, if exercised within thirty (30) days of receipt of notice from the Landlord, subject to all necessary legal approvals.

The Department of Planning has advised your Committee that based on its review, the authorization of the proposed Lease may be classified as a Type "II" action pursuant to the State Environmental Quality Review Act and its implementing regulations, 6 NYCRR Part 617 ("SEQR"). Therefore, no environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this recommendation.

Your Committee is advised that pursuant to Section 104.11(5)(e) of the Laws of Westchester County, leases of the property of others for County purposes for terms exceeding ten (10) years may be made only by local law adopted by an affirmative vote of two-thirds of all members of the Board of Legislators. Prior to taking any action on the proposed Local Law, this Honorable Board must hold a public hearing pursuant to 209.141(4) of the Laws of Westchester County, and a resolution providing for the hearing is annexed hereto.



Upon careful consideration, your Committee finds the proposed Lease to be in the County's best interests as it provides for an adequate space for the Department of Health to more efficiently conduct its business and operations, and therefore your Committee recommends approval of the proposed Local Law.

Dated: \_\_\_\_\_, 2024

White Plains, New York

COMMITTEE ON

DOH/11 Martine Avenue Lease Leg.cmc.10.03.2024

# FISCAL IMPACT STATEMENT

SUBJECT: 11 Martine Ave

☐ NO FISCAL IMPACT PROJECTED

## OPERATING BUDGET IMPACT

To Be Completed by Submitting Department and Reviewed by Budget

### SECTION A - FUND

☒ GENERAL FUND

☐ AIRPORT FUND

☐ SPECIAL DISTRICTS FUND

### SECTION B - EXPENSES AND REVENUES

Total Current Year Expense \$ -

Total Current Year Revenue \$ -

Source of Funds (check one): ☒ Current Appropriations ☐ Transfer of Existing Appropriations

☐ Additional Appropriations

☐ Other (explain)

Identify Accounts: 101-46-3300-3338-4320-GGDS

Potential Related Operating Budget Expenses: Annual Amount \$2,000,000

Describe: 2025: \$4,134,520 includes: Build out, moving, Rent, Electricity & Parking.

Potential Related Operating Budget Revenues: Annual Amount

Describe: None

Anticipated Savings to County and/or Impact on Department Operations:

Current Year: None

Next Four Years: Rent @ 145 Huguenot St. ~\$900,000 annually

Prepared by: Anthony Finateri

Title: Director of Administrative Services

Department: Public Works & Transportation

Date: October 2, 2024

Reviewed By: 

Budget Director

Date: 10/3/24



TO: Carla Chaves, Senior Assistant County Attorney  
Department of Law

FROM: David S. Kvinge, AICP, RLA, CFM  
Assistant Commissioner



DATE: October 3, 2024

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR LEASE OF  
11 MARTINE AVENUE, WHITE PLAINS FOR DEPARTMENT OF  
HEALTH**

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**PROJECT/ACTION:** Lease of approximately 57,226 square feet of office and lobby space at 11 Martine Avenue in the City of White Plains for use by the County Department of Health. The County will occupy the 12<sup>th</sup>, 14<sup>th</sup> and 15<sup>th</sup> floors. The lease will include approximately 4,000 square feet of storage space and 229 parking spaces, including 40 with EV charging stations. The term will be 11 years and 4 months with two 5-year renewal options. Under the lease agreement, the landlord will renovate these floors to meet the department's needs. This will allow the Department of Health to consolidate a few of its offices which are currently in multiple locations and municipalities.

**With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no environmental review is required because the project/action may be classified as a TYPE II action pursuant to section(s):**

- **617.5(c)(2):** replacement, rehabilitation or reconstruction of a structure or facility, in kind, on the same site, including upgrading buildings to meet building, energy, or fire codes unless such action meets or exceeds any of the thresholds in section 617.4 of this Part:
- **617.5(c)(26):** routine or continuing agency administration and management, not including new programs or major reordering of priorities that may affect the environment.

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**COMMENTS:** Renovations will be internal. The first set of offices being planned for relocation is presently in leased space at 145 Huguenot Street in New Rochelle. The transition will take approximately one year, providing ample time for the office space being vacated to be remarketed.

DSK/cnm

cc: Andrew Ferris, Chief of Staff  
Paula Friedman, Assistant to the County Executive  
Tami Altschiller, Assistant Chief Deputy County Attorney  
Christopher Steers, Director of Countywide Administrative Services  
Claudia Maxwell, Principal Environmental Planner

**RESOLUTION NO.                    - 2024**

RESOLVED, that this Board hold a public hearing pursuant to Section 209.141(4) of the Laws of Westchester County on Local Law Intro. No.                    -2024 entitled “A LOCAL LAW authorizing the County of Westchester to enter into a lease agreement with MG MARTINE SPE LLC, for approximately 57,266 square feet of space comprised of comprised of the contiguous 12<sup>th</sup>, 14<sup>th</sup> and 15<sup>th</sup> floors on the building located at 11 Martine Avenue, White Plains, New York, which includes the ground floor entry lobby of the Building that exclusively serves such floors, plus 4,000 square feet gross rentable storage space, along with 229 reserved parking spaces in the parking garage adjacent to the Building, inclusive of 40 electric vehicle charging stations allocated for use by County employees and invitees, for use by the Department of Health.” The public hearing will be held at        m. on the        day of        , 2024, in the Chambers of the Board of Legislators, 8th Floor, Michaelian Office Building, White Plains, New York. The Clerk of the Board shall cause notice of the time and date of such hearing to be published at least once in one or more newspapers published in the County of Westchester and selected by the Clerk of the Board for that purpose in the manner and time required by law.

Dated:                    , 2024  
                  White Plains, New York

**LOCAL LAW INTRO NO. 2024 - \_\_\_\_\_**

**A Local Law** authorizing the County of Westchester to enter into a lease agreement with MG MARTINE SPE LLC, or the owner of record, for approximately 57,266 square feet of space comprised of the contiguous 12<sup>th</sup>, 14<sup>th</sup> and 15<sup>th</sup> floors in the building located at 11 Martine Avenue, White Plains, New York, which includes the ground floor entry lobby of the building that exclusively serves such floors, plus 4,000 square feet gross rentable storage space, along with 229 reserved parking spaces in the parking garage adjacent to the Building, inclusive of 40 electric vehicle charging stations allocated for use by County employees and invitees, for use by the Department of Health.

**BE IT ENACTED** by the County Board of the County of Westchester as follows:

**Section 1.** The County of Westchester (the “County”) is hereby authorized to enter into a lease agreement (“Lease”) with MG MARTINE SPE LLC (the “Landlord”), for the leasing of approximately 57,266 square feet of space comprised of the contiguous 12<sup>th</sup>, 14<sup>th</sup> and 15<sup>th</sup> floors in the building (“Building”) located at 11 Martine Avenue, White Plains, New York, which includes the ground floor entry lobby of the Building that exclusively serves such floors, plus 4,000 square feet gross rentable storage space (2,000 square feet in the garage area, and another 2,000 square feet to be determined by the parties) along with 229 reserved parking spaces in the parking garage adjacent to the Building, inclusive of 40 electric vehicle charging stations, allocated for use by County employees and invitees (collectively the “Leased Premises” or “Premises”), substantially similar to the form of agreement annexed hereto and made a part hereof.

**§2.** The Leased Premises shall be occupied by the Department of Health for general office use or any uses ancillary to the County’s business and for any lawful purpose.

**§3.** The initial term of the Lease shall be for a period of eleven (11) years and four (4) months (the “Initial Term”), with the County having the option to extend the Initial Term by two (2) additional five year periods, upon advance written notice to the Landlord (collectively, the “Extended Terms”). The Lease Commencement Date shall be the date the Landlord Substantially Completes the work required to enable the County to occupy the Premises.

**§4.** The County shall pay the fixed basic rent for the Initial Term and each of the Extended Terms, in equal monthly installments, as set forth below noting that there is a 2.5% annual increase over the rent paid during the immediately preceding year (“Fixed Basic Rent”):

<b>Initial Term</b>	<b>Annual Rate</b>	<b>Monthly Installments</b>	<b>Annual Per Sq. Ft. Rent</b>
Month 1 through Month 12	\$1,775,246.00	\$147,937.17	\$31.00
Month 13 through Month 24	\$1,819,627.15	\$151,635.60	\$31.78
Month 25 through Month 36	\$1,865,117.83	\$155,426.49	\$32.57
Month 37 through Month 48	\$1,911,745.78	\$159,312.15	\$33.38
Month 49 through Month 60	\$1,959,539.43	\$163,294.95	\$34.22
Month 61 through Month 72	\$2,008,527.92	\$167,377.33	\$35.07
Month 73 through Month 84	\$2,058,741.12	\$171,561.76	\$35.95
Month 85 through Month 96	\$2,110,209.65	\$175,850.80	\$36.85
Month 97 through Month 108	\$2,162,964.89	\$180,247.07	\$37.77
Month 109 through Month 120	\$2,217,039.01	\$184,753.25	\$38.71
Month 121 through Month 132	\$2,272,464.99	\$189,372.06	\$39.68
Month 133 through Month 136	\$2,329,276.62	\$194,106.38	\$40.67
<b>First Extended Term</b>	<b>Annual Rate</b>	<b>Monthly Installments</b>	<b>Annual Per Sq. Ft. Rent</b>
Month 137 through Month 148	\$2,329,276.62	\$194,106.38	\$40.67
Month 149 through Month 160	\$2,387,508.54	\$198,959.04	\$41.69
Month 161 through Month 172	\$2,447,196.25	\$203,933.02	\$42.73
Month 173 through Month 184	\$2,508,376.16	\$209,031.35	\$43.80
Month 185 through Month 196	\$2,571,085.56	\$214,257.13	\$44.93
<b>Second Extended Term</b>	<b>Annual Rate</b>	<b>Monthly Installments</b>	<b>Annual Per Sq. Ft. Rent</b>
Month 197 through Month 208	\$2,635,362.70	\$219,613.56	\$46.05
Month 209 through Month 220	\$2,701,246.77	\$225,103.90	\$47.20
Month 221 through Month 232	\$2,768,777.94	\$230,731.50	\$48.38
Month 233 through Month 244	\$2,837,997.40	\$236,499.78	\$49.59
Month 245 through Month 256	\$2,908,947.34	\$242,412.28	\$50.83

§5. The Landlord shall abate the fixed basic rent during the first 16 months of the Initial Term in the amount of \$17,513.15 per month.

§6. The County shall also pay as additional rent ("Additional Rent") all other operating costs, expenses and fees, including utility costs, such as electricity, water, sewer, gas, heating, ventilating, air conditioning and other utilities, and including applicable fuel surcharges and sales or use taxes related thereto, parking fees, operating costs including, personal property taxes, if applicable, maintenance fees, maintenance and repair costs, tools and other equipment, trash removal, lawn care, snow removal, all fire and other insurance costs, of the Building, sidewalks, and certain, but not all "Related Facilities" that benefit the Building, as well as applicable real estate taxes. The County will pay 100% of the operating costs attributable to Commercial Portion of the Building, which is comprised of the entirety of the Premises, and will pay 21.96% of the operating costs attributable to both the Commercial Portion and Residential Portion of the Building, based on the total square footage of the Premises as it relates to the total square footage of the Building (i.e., 57,266/260,628 x 100).

**§7.** The County shall pay an Annual Parking Fee of \$137,400.00, which reflects the monthly cost of \$50.00 per month for each parking space (229 in total, inclusive of 40 electric vehicle charging stations), subject to a 2.5% annual increase over the immediately preceding year. The County shall have exclusive access to 40 electric vehicle charging stations, and only be responsible for the electricity charges in connection therewith.

**§8.** This Local Law shall take effect immediately.

**AGREEMENT OF LEASE**

**Between**

**MG MARTINE SPE LLC,**

**as Landlord,**

**and**

**THE COUNTY OF WESTCHESTER,**

**as Tenant**

**Building:**

**11 Martine Avenue  
White Plains, New York 10606**



THIS LEASE is made as of the \_\_\_\_ day of \_\_\_\_\_, 202\_\_\_\_ (“Effective Date”) between **MG MARTINE SPE LLC**, a Delaware limited liability company, whose address is c/o Ginsburg Development Companies, LLC, 100 Summit Lake Drive, Valhalla, New York 10595 (“**Landlord**”) and **THE COUNTY OF WESTCHESTER**, a New York municipal corporation, acting by and through its Department of Health, having an address in the Michaelian Office Building at 148 Martine Avenue, White Plains, New York 10601 (“**Tenant**”). This Lease consists of the following Basic Lease Provisions and Definitions, the General Conditions and the Exhibits. The Basic Lease Provisions and Definitions are referred to in this Lease as the “**Basic Lease Provisions**.”

### **BASIC LEASE PROVISIONS**

1. **BASE PERIOD COSTS** means the following:

- (a) Base Operating Costs: Operating Costs incurred during the Base Operating Year.
- (b) Base Real Estate Taxes: Real Estate Taxes incurred during the Base Tax Year.
- (c) Base Insurance Costs: Insurance Costs incurred during the Base Operating Year.
- (d) Base Utility and Energy Costs: Utility and Energy Costs incurred during the Base Operating Year.

2. **BUILDING** means 11 Martine Avenue, White Plains, New York 10606.

3. **BASE OPERATING YEAR** means the first twelve (12) months commencing on the Commencement Date.

4. **BASE TAX YEAR** means, with respect to County Real Estate Taxes, the first twelve (12) months commencing on the Effective Date and, with respect to City and School Real Estate Taxes, the period July 1, 2025 through and including June 30, 2026.

5. **COMMENCEMENT DATE** shall be the date the Landlord’s Work is Substantially Complete as defined and set forth in Section 5(c) of this Lease, which Landlord anticipates will occur on April 1, 2025.

6. **PREMISES** means the contiguous twelfth (12<sup>th</sup>), fourteenth (14<sup>th</sup>) and fifteenth (15<sup>th</sup>) floors of the Building and the ground floor entry lobby of the Building that exclusively services such floors, which, collectively, comprises approximately 57,266 gross rentable square feet of the Building in a layout as approximately shown on Exhibit A to this Lease plus additional 4,000 gross rentable square feet of storage space, subject to Tenant’s final space plan, provided however the location of 2,000 square feet of storage space has been accepted by Tenant and is more particularly depicted on Exhibit A. The foregoing notwithstanding, after substantial completion of Landlord’s Work, Landlord shall measure the Premises in accordance with ANSI/BOMA Z65.1-2017 Multiple Load Factor Method for Measuring Floor Area in Office Buildings and shall notify Tenant in writing of the actual gross rental square feet in the Premises. Any loss factor utilized in determining rentable square feet shall not exceed Westchester County Office building market standards. Upon the measurement for the Premises pursuant to this Section, if the measured gross rentable square feet is less than 57,266 gross rentable square feet, said measured gross rentable square feet shall then become the gross rentable square feet of the Premises, effective as of the date of the Commencement Date, in which case the Fixed Basic Rent and any other terms or conditions in the Lease that are based on gross rentable square feet in the Premises, including, without limitation, any Additional Rent, shall be adjusted downward to reflect such measured gross rentable square feet in the Premises. Tenant shall promptly execute and deliver to Landlord any amendment to this Lease provided by Landlord that incorporates the foregoing. If needed, additional storage space, beyond the 4,000 square feet storage space indicated above, shall be provided in the garage at no charge to Tenant upon mutual agreement as to location and size, subject to availability of unused space.

7. **TERM** means the period of time beginning on the Commencement Date and ending on the Expiration Date. The “**Initial Term**” means eleven (11) years and four (4) months beginning on the Commencement Date. The Tenant, at its sole option, shall have the option to extend the term of this Lease for up to two (2) additional five-year terms (individually “**Extended Term**”), in accordance with the terms set forth in Section 9.

8. **EXPIRATION DATE** means 11:59 p.m. on the last day of the Initial Term or any applicable Extension Term. The foregoing notwithstanding, if the Commencement Date is other than the first day of a calendar month, the Lease shall expire on the last day of the calendar month in which the Expiration Date occurs, unless sooner terminated pursuant to the provisions hereof.

9. **FIXED BASIC RENT** means the following:

<b>Initial Term</b>	<b>Annual Rate</b>	<b>Monthly Installments</b>	<b>Annual Per Sq. Ft. Rent</b>
Month 1 through Month 12	\$1,775,246.00	\$147,937.17	\$31.00
Month 13 through Month 24	\$1,819,627.15	\$151,635.60	\$31.78
Month 25 through Month 36	\$1,865,117.83	\$155,426.49	\$32.57
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Month 133 through Month 136	\$2,329,276.62	\$194,106.38	\$40.67
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Month 185 through Month 196	\$2,571,085.56	\$214,257.13	\$44.93
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Month 221 through Month 232	\$2,768,777.94	\$230,731.50	\$48.38
Month 233 through Month 244	\$2,837,997.40	\$236,499.78	\$49.59
Month 245 through Month 256	\$2,908,947.34	\$242,412.28	\$50.83

If the Commencement Date is other than the first day of a calendar month, then the Monthly Installment of Fixed Basic Rent payable by Tenant for such month shall be prorated at the same rental rate payable for the first (1<sup>st</sup>) Monthly Installment listed above, and "Month 1" of the rent grid set forth above shall be deemed to be the first full calendar month following immediately thereafter. Notwithstanding anything hereinabove to the contrary, provided the Lease is in full force and effect and Tenant is not in default hereunder beyond any applicable notice and cure periods, Tenant, commencing with Month 1 of the above rent grid and for each Month thereafter during the Initial Term hereof, shall receive from Landlord a credit in the amount of \$17,513.15 to be applied to each Monthly Installment of Fixed Basic Rent as and when due hereunder (the "Rent Concession"), to reflect the Landlord's rent concession in an amount equal to sixteen (16) months of Fixed Based Rent for the benefit of the Tenant. Tenant shall pay each Monthly Installment of Fixed Basic Rent, less the Rent Concession applicable thereto, as and when due hereunder. The Rent Concession shall not apply to any other sums, including, without limitation, any electric charges and parking fees, Operating Costs or Real Estate Taxes, which shall be paid by Tenant, if applicable, as and when due under the Lease.

10. **ELECTRICITY** shall be furnished to the Premises and paid for by Tenant in accordance with, and subject to, the terms and provisions of Exhibit G attached hereto and made a part hereof.

11. **HVAC-AFTER HOURS CHARGE** is \$52.62 per hour, before 8:00 AM and after 6:00 PM, Monday through Friday, \$74.72 per hour, before 8:00 AM and after 1:00 PM on Saturdays, \$96.82 per hour on Sundays and \$121.02 per hour on holidays. The HVAC After-Hours Charge will not apply to any other holidays not listed on Exhibit E or to any Saturdays as long as Tenant notifies Landlord on or prior to 3:00 on the Friday before any given Saturday, during which Tenant offices are open to conduct normal business operations. The HVAC After-Hours Charge is subject



to reasonable increase from time to time to reflect the increase in the cost of providing such After-Hours HVAC service, upon submission of supporting documentation to Tenant evidencing such increase.

12. **LANDLORD'S BROKER** means RM Friedland, 440 Mamaroneck Avenue, Harrison, NY 10528.

13. **NOTICE ADDRESSES** shall mean the following:

**If to Tenant:**

Westchester County Department of Health  
11 Martine Avenue  
White Plains, NY 10606  
Attention: Commissioner

**With copies to:**

Westchester County Attorney  
148 Martine Avenue, 6<sup>th</sup> Floor  
White Plains, NY 10601

And to:

Director of Countywide Administrative Services and Real Estate  
Office of the County Executive  
148 Martine Avenue, 9<sup>th</sup> Floor  
White Plains, NY 10601  
Attention: Christopher Steers

**If to Landlord:**

c/o Ginsburg Development Companies, LLC  
100 Summit Lake Drive  
Valhalla, NY 10595  
Attention: Douglas Ramsay

**With copies to:**

Ginsburg & Redmond, P.C.  
245 Saw Mill River Road  
Hawthorne, NY 10532  
Attention: Mark D. Ginsburg, Esq.

And to:

Cuddy & Feder LLP  
445 Hamilton Avenue, 14<sup>th</sup> Floor  
White Plains, New York 10601  
Attention: Thomai Natsoulis, Esq.

14. **PARKING SPACES** means a total of Two Hundred Twenty-Nine (229) reserved parking spaces, inclusive of forty (40) EV Charging Stations.

15. **ANNUAL PARKING FEE** means ONE HUNDRED THIRTY-SEVEN THOUSAND FOUR HUNDRED AND 00/100 DOLLARS (\$137,400.00), which reflects the monthly cost of \$50.00 per month for each parking space. The Annual Parking Fee for each Lease Year after the first Lease Year shall be the sum of the Annual Parking Fee for the immediately preceding Lease Year and an amount equal to two and one-half percent (2.5%) of the Annual Parking Fee for the immediately preceding Lease Year.

16. **Intentionally deleted.**

17. **SECURITY DEPOSIT** means an amount equal ZERO AND 00/100 DOLLARS (\$0.00). No Security Deposit is required under this Lease.

18. **TENANT'S BROKER** means Jones Lang LaSalle Americas, Inc., 330 Madison Avenue, New York, New York 10017.
19. **TENANT'S ACCESS** means seven (7) days per week, twenty-four (24) hours per day access to the Premises.

**DEFINITIONS**

1. **ADDITIONAL RENT** means all money, charges, costs, expenses and fees, other than the Fixed Basic Rent, payable by Tenant to Landlord under this Lease, including, but not limited to, the monies payable by Tenant to Landlord pursuant to Exhibits F and G of this Lease.
2. **WESTCHESTER COUNTY HOLIDAYS** means the holidays shown on Exhibit E.
3. **BUILDING HOURS** means Monday through Friday, 8:00 a.m. to 6:00 p.m., but excluding Westchester County Holidays.
4. **COMMERCIAL PORTION** means the portion of the Building comprising the Premises and any and all areas, parts, equipment and systems of the Building that are part of and/or serve the Premises or any part thereof, as well as any other portions of the Building that are reasonably attributable to any of the foregoing.
5. **COMMON FACILITIES** means and includes the elevator(s); fire stairs; public hallways; public lavatories; all other general Building components, facilities and fixtures that service or are available to the commercial portion, or both the commercial and residential portions, of the Building; air conditioning mechanical rooms; fan rooms; janitors' closets; electrical and telephone closets serving the commercial portion, or both the commercial and residential portions, of the Building; elevator shafts and machine rooms; flues; stacks; pipe shafts and vertical ducts with their enclosing walls; and structural components of the commercial portion, or both the commercial and residential portions, of the Building.

Whenever the word "includes" or "including" is used in this Lease, it means "includes but is not limited to" and "including but not limited to," respectively.

6. **DEFICIENCY** means the difference between (i) Fixed Basic Rent and Additional Rent for the period which otherwise would have constituted the unexpired portion of the Term, and (ii) the net amount, if any, of Rent collected under any reletting effected pursuant to the provisions of this Lease for any part of such period (after first deducting from such rents all reasonable, out-of-pocket expenses incurred by Landlord in connection with the termination of this Lease, Landlord's re-entry upon the Premises and such reletting, including repossession costs, brokerage commissions, reasonable attorneys' fees and disbursements, and necessary repair costs).

7. **EXHIBITS** are the following:

Exhibit A	Location Plan of Premises
Exhibit B	Rules and Regulations
Exhibit C	Work Letter
Exhibit D	Cleaning Services
Exhibit E	Westchester County Holidays
Exhibit F	Tax and Operating Cost Rider
Exhibit G	Electricity Rider
Exhibit H	HVAC Air Quality Specifications
Exhibit I	Flood History Disclosure Form
Exhibit J	Westchester County Board of Legislators and Board of Acquisition and Contracts approvals
Exhibit K	Landlord's Insurance

The Exhibits are attached at the back of this Lease and are a part of this Lease.

8. **LEGAL REQUIREMENTS** means all present and future laws and ordinances of federal, state, municipal and county governments, and rules, regulations, orders and directives of departments, subdivisions, bureaus, agencies or offices of such governments, or any other governmental, public or quasi-public authorities having jurisdiction over the Building, and the directions of any public officer pursuant to law.

9. **INSURANCE REQUIREMENTS** means rules, regulations, orders and other requirements of the New York Board of Fire Underwriters or the New York Fire Insurance Rating Organization or any other similar body performing the same or similar functions and having jurisdiction or cognizance of the Real Property, Building or Premises.
10. **OTHERS IN INTEREST** means MG Westchester GP, Ginsburg Development Companies, LLC, GDC Management, Inc., and their respective affiliates, subsidiaries, designees and agents and the members, managers, shareholders, officers, directors, partners and principals of the foregoing, and any Superior Lessor and any Mortgagee.
11. **PRIME** means the so-called annual prime rate of interest established and quoted by The Wall Street Journal (or its successor), from time to time, but in no event greater than the highest lawful rate from time to time in effect.
12. **PERMITTED USE** means general office use consistent with a first class office building or any uses ancillary to Tenant's business and for any other lawful purposes.
13. **REAL PROPERTY** means the Building, the land upon which the Building stands, together with adjoining parking areas, sidewalks, driveways, landscaping and land.
14. **RELATED FACILITIES** means those improvements constructed upon or adjacent to the Real Property, in the City of White Plains, for the use and benefit of 50 Main Street and 1-11 Martine Avenue and/or 25 Martine Avenue, including, but not limited to, plazas, conference center, cafeteria, fitness center, garage, decks, mall passageways and bridges (if any) and all entranceways and roadways connected thereto.
15. **RENT** shall mean Fixed Basic Rent and Additional Rent.
16. **RESIDENTIAL PORTION** means all areas, portions, equipment and systems of the Building that are not included in, or reasonably attributable to, the Commercial Portion of the Building.
17. **STATE** means the State of New York.
18. **TENANT DELAY** means each of the following:
- (a) Tenant's failure to comply with the following time-line:
    - i. Tenant shall, no later than forty-five (45) calendar days following receipt of the initial draft of the preliminary construction drawings, review and provide comments (if any) to Landlord. Thereafter, Tenant shall review and provide comments (if any) to Landlord with respect to any revisions to the initial construction drawings within ten (10) business days after receiving such revisions;
  - (b) Tenant's failure to furnish interior finish specifications (i.e., paint colors, carpet selection, etc.) within thirty (30) days of Landlord's written request for same;
  - (d) Tenant's request for materials, finishes or installations other than Landlord's Building Standard (as hereinafter defined);
  - (e) Any changes by Tenant in or to the Landlord's Work following Tenant's approval of final plans, except if such changes are required in order for Landlord's Work to comply with the Work Letter or the final plans approved by Tenant, and provided Landlord can substantiate with supporting documentation or evidence that the length of the delay caused by Tenant's Delay adversely affected the timely completion of Landlord's Work; and/or
  - (f) Any act or omission of Tenant which materially delays the Landlord's Work, provided Landlord can substantiate with supporting documentation or evidence the length of the delay caused by such act or omission of Tenant adversely affected the timely completion of Landlord's Work.

19. **EFFECTIVE DATE**: means the date the Lease is signed by both parties and approved by the Office of the County Attorney

-- End of Basic Lease Provisions and Definitions --

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## **GENERAL CONDITIONS**

### **SECTION 1** **LEASE**

Landlord has leased the Premises to Tenant for the Term, subject to the terms and provisions hereof, together with the right to utilize in common with others, the, elevators, parking areas and other public portions of the Real Property and commercial portion of the Building. Nothing herein contained shall be construed as a grant or demise to Tenant of the roof or exterior walls of the Building, of the space between the drop ceiling and floor or roof above, and below the floor of, the Premises, and/or of any parking or other areas adjacent to the Building, except that Tenant may use space on the roof of the Building for Tenant's installation, operation and maintenance of satellite dishes, antennas, communication equipment and other systems, including, but not limited, to an emergency generator, supporting Tenant's business and operations at the Premises, at no additional rent, fee or charge to Tenant, at locations reasonably agreed by the parties, in accordance with all applicable laws, rules and regulations and municipal codes, provided that: (a) at Landlord's election, but, in any event at Tenant's sole cost, either Landlord or Tenant shall maintain such equipment in good working order and condition; (b) the installation and operation of such equipment does not damage the roof of the Building or void any roof warranty; and (c) at the expiration or earlier termination of the Term, at Tenant's election, but, in any event at Tenant's sole cost, either Landlord or Tenant shall remove same from the roof of the Building and restore the roof to the condition existing prior to such installation, subject to reasonable wear and tear.

### **SECTION 2** **RENT**

Commencing on the Commencement Date, Tenant will pay Landlord: (A) the Fixed Basic Rent when due, in lawful money of the United States, without notice or demand and, subject to the Rent Concession, without abatement, deduction or set-off, except as otherwise expressly set forth herein, at the Monthly Installments set forth in the Basic Lease Provisions in advance on the first day of each month, at Landlord's address set forth in the first paragraph of this Lease, or at such other place as Landlord may designate in writing; except that: (i) the monthly installment of Fixed Basic Rent and Parking Space charge due in respect of the first full calendar month following the Commencement Date shall be paid within thirty (30) days from execution hereof; and (ii) the prorated monthly installment of Fixed Basic Rent and Parking Space charge due in respect of the partial calendar month, if any, between the Commencement Date and the first day of the first calendar month following the Commencement Date shall be paid within fifteen (15) days from the Commencement Date; and (B) Additional Rent consisting of all other sums of money as and when the same become due and payable by Tenant to Landlord hereunder (for default in payment of which Landlord shall have the same remedies as for a default in payment of Fixed Basic Rent). At Landlord's option, upon notice to Tenant, Tenant will pay the Fixed Basic Rent and Additional Rent by electronic transfer. Except as expressly provided herein, all Additional Rent not otherwise set forth on the Tax and Operating Costs Rider annexed hereto shall be due and payable thirty (30) days following demand therefor. In the event the Commencement Date shall be a date other than the first day of a calendar month, the first monthly installment of Basic Fixed Rent due after the Commencement Date shall be pro-rated accordingly.

### **SECTION 3** **USE AND OCCUPANCY**

(a) Tenant will use the Premises solely for the Permitted Use and other related and/or ancillary uses. Tenant shall have the right to use vendors of its choice to cater functions within the Premises provided Tenant and such vendors comply with the terms of this Lease, including the rules and regulations. Tenant shall also have the right to install vending machines and typical small pantry microwave ovens for use by Tenant and Tenant's employees and invitees within the Premises, provided that same shall be used in accordance with the terms of this Lease. Anything in this Lease to the contrary notwithstanding, Tenant shall not at any time operate an office suite business in the Premises or any part thereof. For the purpose of this subparagraph, the term "**office suite business**" shall mean offering furnished office units of space with or without any related office services, including, without limitation, telephone answering, photocopying, facsimile, package handling and secretarial services office suite business.

(b) Tenant shall not permit the Premises to be used in any manner which would in any way (i) violate any of the provisions of any lease or mortgage to which this Lease is subordinate, (ii) violate the certificate of occupancy for the Premises or the Building or any laws or requirements of public authorities, (iii) make void or voidable any fire or liability insurance policy then in force with respect to the Building, (iv) constitute a public or private nuisance, (v) discharge objectionable fumes, vapors or odors into the Building's heating, ventilating and air conditioning systems, or (vi) impair or interfere with any of the Building services or the proper and economic heating, air conditioning, cleaning or other servicing of the Building or the Premises or impair or interfere with the use of any other areas of the Building.

(c) Tenant shall not place any load upon any floor of the Premises which exceeds the load for which it was designed and which is allowed by certificate, rule regulation, permit or law.

(d) If any government license or permit, other than a certificate of occupancy, shall be required for the lawful conduct of Tenant's business in the Premises, or any part thereof and if failure to secure such license or permit would in any way affect Landlord, then Tenant, at its expense, shall procure and thereafter maintain such license or permit and submit the same for inspection by Landlord. Tenant shall comply with the terms and conditions of each such license or permit, but the failure to procure and maintain same shall not affect Tenant's obligations hereunder.

#### **SECTION 4** **CARE AND REPAIR OF PREMISES**

(a) Landlord shall manage, maintain and operate the Building consistent with a "Class A Building" in Westchester County. Landlord shall maintain two (2) full time personnel who will professionally manage the daily operations of the Real Property and Related Facilities in compliance with the highest standards, applicable laws, rules and regulations, ordinances, directives, covenants, easements and restrictions of record, permits, building codes and the requirements of the Americans with Disabilities Act or any applicable fire insurance underwriter or rating bureau, now in effect or which may hereafter come into effect. The Landlord shall, at its own cost and expense, provide security to the Real Property comprised of a manned security station within the parking garage between the hours of Monday through Friday 7:00 am through 10:00 pm, a roving security patrol and Closed-Circuit Television (CCTV) monitors (2 in the lobby and 13 in the parking garage at street level, entrances/exits loading dock and stairwells), consistent with its existing practices, and any other security measures Landlord may reasonably determine from time to time to ensure a clean, safe, secure and well-maintained environment for all its tenants. Notwithstanding the foregoing to the contrary, it is hereby understood by the parties that Tenant, at Tenant's cost, may take any additional security measures, including installation of security equipment or otherwise to provide supplemental security to the Premises, including the lobby that services exclusively the Premises.

(b) Landlord represents, covenants and warrants that the roof and all other structural elements of the Building, the Premises, all Common Facilities, the parking garage, all Building systems, including, but not limited to HVAC, mechanical, ventilating, heating, electrical, lighting, plumbing, fire and life safety systems serving the Premises and/or the Building are in good repair and condition as of the Commencement Date and have a useful life extending beyond the Extension Terms.

(c) The Landlord shall, as part of Operating Costs, operate and maintain the Real Property, including the Building's roof and all other structural elements of the Building (including windows, window frames, doors, door frames, storefronts, etc.), the parking garage, the Common Facilities and all Building systems including, but not limited to HVAC, mechanical, ventilating, heating, electrical, lighting, plumbing, fire and life safety systems serving the Premises and/or the Building in good order and condition, in compliance with all Legal Requirements and this Lease. In the event the Real Property, including the Building's roof and all other structural elements of the Building, all Common Facilities, the parking garage, all Building systems, including, but not limited to HVAC, mechanical, ventilating, heating, electrical, lighting, plumbing, fire and life safety systems serving the Premises and/or the Building require maintenance or repairs during the Term, Landlord, shall, as part of



Operating Costs, maintain or repair said structural or non-structural elements. It is hereby understood and acknowledged by the Landlord that any capital improvements completed by the Landlord at the Real Property during the Term of the Lease shall be at Landlord's sole cost and expense and shall not be included as Operating Costs, unless same is due to Tenant's gross negligence or willful misconduct, in which event Tenant will be responsible for such capital expense.

(d) Landlord shall permit Tenant's authorized representative to conduct a Building Condition Assessment prior to the Commencement Date, in order to assess any physical deficiencies, defects, violations, code compliance issues with respect to the structural elements of the Building, the Premises, all Common Facilities, the parking garage and all Building systems. The Landlord agrees to repair, at its own cost and expense, any deficiencies, defects, violations, issues that would materially interfere with Tenant's ability to access and use the Premises for its intended purpose within a scheduled program approved by Landlord and Tenant.

(e) Intentionally deleted.

(f) Tenant will not commit any act that damages the Premises or Building and will take good care of the Premises, and will comply with all Legal Requirements affecting the Premises or the Tenant's use and/or occupancy of the Premises. Tenant, at Tenant's sole cost and expense, shall make all repairs and replacements, as and when necessary, to the Premises and Tenant's personal property and any non-structural alterations, including, without limitation, any non-standard-office improvements (as defined herein) made or performed by or on behalf of Tenant. The foregoing to the contrary, it is hereby understood and acknowledge by the parties that the Landlord's Work does not constitute non-standard-office improvements for the purposes herein. Landlord will make all necessary repairs to the Common Facilities including, without limitation, the portion thereof as may be located in the Premises such as, for example structural elements of the Building. The cost of repairs to the Common Facilities will be included in Operating Costs, except where the repair has been made necessary by misuse or neglect by Tenant or Tenant's agents, employees, contractors, invitees, visitors or licensees (collectively, "**Tenant's Agents**"), in which event Landlord will nevertheless make the repair but Tenant will pay to Landlord, as Additional Rent within thirty (30) days of written notice thereof, the cost incurred by Landlord to complete such repairs. Except as to any repair made necessary by misuse or neglect by Tenant or any of Tenant's Agents, only the pro-rated percentage of the cost of repairs to Common Facilities that serve both the Residential Portion and Commercial Portion of the Building, will be included in Operating Costs. Notwithstanding the foregoing herein, at Tenant's election and at its sole cost and expense, upon Tenant's reasonable request, Landlord agrees to perform certain necessary routine maintenance in the Premises, including, replacing light bulbs and ballast, replacing damaged ceiling, restroom or floor tiles and fixtures, repairing or replacing toilets, sinks and pipes, as necessary for the Tenant's intended use of the Premises.

(g) Except during the hours when the HVAC-After Hours Charge is due or otherwise waived (unless such charge is paid in accordance with the terms of this Lease) and during Westchester County Holidays, Landlord shall operate the HVAC systems in accordance with minimum cooling requirements for similar commercial spaces as the Premises and Tenant's minimum air quality standards and specifications set forth in Exhibit H, and otherwise in compliance with all laws, rules and regulations regarding same, including design specifications of the systems and energy conservations requirements of all governmental authorities and this Lease. Tenant shall be able to control the HVAC unit(s) by means of one or more thermostats to be located in each floor of the Premises.

(h) In addition to any supplemental HVAC systems required to be installed by Landlord pursuant to the Work Letter set forth in Exhibit C, Tenant may request Landlord to install, repair, maintain and operate seven (7) days per week, twenty-four (24) hours per day additional or supplemental HVAC systems, appliances and equipment serving the Premises, at Tenant's sole cost and expense (except for hook-up, or connection charges, which Landlord shall not charge) and, in such event: (i) such supplemental equipment will be located in a place mutually agreed upon between Landlord and Tenant and in compliance with all applicable laws, rules and municipal codes; (ii) and at the expiration or earlier termination of the Term, at Tenant's election, but, in any event at Tenant's sole cost, either Landlord or Tenant shall remove same from the Premises and restore same to the condition existing prior

to such installation, subject to reasonable wear and tear. Landlord does not recommend the installation or operation of a dishwasher within the Premises given their inherent risks; therefore, in the event Tenant installs a dishwasher, Landlord will, at Tenant's request and at Tenant's sole cost and expense, install and maintain a leak sensor and auto shut off valve equipment. Tenant assumes full risk and responsibility for the installation and operation of a dishwasher in the Premises and agrees to indemnify, release and hold harmless, Landlord, its agents, employees, contractors, tenants, occupants and invitees from any and all claims, liabilities, injuries, losses, damages, or expenses of whatever nature or kind, that in any way arise from the dishwasher, including, but not limited to, any and all claims concerning leaks, mildew, mold or mold-like infestation within the Premises and/or Building. In furtherance of the foregoing, such indemnification shall include but not be limited to, any claims by Landlord with respect to damage to the Common Facilities of the Building, as well as claims by other tenants of the Building for damage to the premises occupied by such other tenants and the personal property located therein, resulting from the installation and operation of the dishwasher or resulting from any leak or other malfunctioning of the dishwasher resulting from the installation or operation of the dishwasher following the date of this Lease. In the event that, in the sole and exclusive opinion of Landlord or as may be required by legal requirements, remediation of any mildew, mold or mold-like infestation in the Premises and/or the Building is required, Landlord shall make all necessary repairs to the Premises and/or the Building, as the case may be, at Tenant's sole and exclusive cost and expense. Landlord assumes no responsibility whatsoever for Tenant's installation and use of a dishwasher and Tenant hereby agrees to assume all responsibility, costs and expenses in connection with Tenant's use of a dishwasher, including any and all maintenance, repairs or replacements to the dishwasher. Landlord shall provide cleaning services to the Premises as set forth in this Lease, however, Landlord shall not be responsible for running, emptying or cleaning the dishwasher.

## **SECTION 5**

### **CONDITION OF PREMISES; DELIVERY; LANDLORD'S WORK**

(a) Landlord agrees that, prior to the Commencement Date, it will perform at Landlord's sole cost work in the Premises in accordance with, and to the extent provided in, Exhibit C of this Lease (the "**Work Letter**"). The work to be performed by Landlord as provided in the Work Letter, which shall include also renovated and operational restrooms in floors 14<sup>th</sup> and 15<sup>th</sup>, using similar design and material standards as used in the recent renovation of the 12<sup>th</sup> floor restrooms, is herein referred to as "**Landlord's Work**". The Landlord shall commence the Landlord's Work no later than thirty (30) days from receipt of all necessary approvals and proceed with diligence to complete such work as soon as reasonably possible, subject to Tenant's Delay and Force Majeure, in accordance with all laws, codes, rules and regulations applicable thereto, the final plans and specifications approved by Tenant, the Work Letter and all other applicable provisions of this Lease. Except as otherwise expressly provided in this Lease, Landlord shall not be obligated to perform any other work in or to the Premises other than Landlord's Work.

(b) The Landlord represents, warrants and covenants to the Tenant that (i) the representations made in Paragraph "FOURTEEN," subparagraph (b) with respect to the new refinancing of the Real Property with Anticipated Mortgagee (as defined therein) are true and accurate and a material element of this Lease; and (ii) in the event such refinancing with the Anticipated Mortgagee does not occur within thirty (30) days from the Effective Date, the Landlord has sufficient funds readily available to commence the Landlord's Work no later than thirty (30) days from receipt of all necessary approvals and proceed with diligence to complete such work as soon as reasonably possible, subject to Tenant's Delay and Force Majeure, in accordance with the approved plans, the Work Letter and all applicable laws, subject to Tenant's Delay and Force Majeure.

(c) Intentionally deleted.

(d) In addition, within sixty (60) days from the Effective Date, Landlord shall, at Landlord's expense, obtain and deliver to Tenant copies of all permits, approvals and authorizations of any Governmental Authority to perform the Landlord's Work, as reasonably required by Tenant.

(e) Following completion of Landlord's Work, Landlord shall promptly deliver the Premises to Tenant in good operating order in compliance with the Work Letter, the approved final plans, and this Lease. Landlord further represents and warrants to Tenant, as of the Commencement Date, that Landlord has no actual knowledge of any claim having been made by any governmental agency that a violation of applicable building codes, regulations, or ordinances exists with regard to the Real Property, or any part thereof.

(f) In addition, within ninety (90) days following completion of Landlord's Work, Landlord shall, at Landlord's expense, obtain and deliver to Tenant copies of all final approvals, certificates and copies of "as-built" plans and specifications signed by a licensed professional as reasonably required by Tenant

(g) Landlord agrees that, in the event the Landlord has not completed the Landlord's Work in accordance with the Work Letter, all laws, codes, rules and regulations applicable thereto, the final plans and specifications approved by Tenant and all other applicable provisions of this Lease, within two hundred and seventy (270) days following the Effective Date, which 270 period may be extended by the number of days corresponding to the Tenant's Delay and/or Force Majeure, Landlord shall pay Tenant a rent credit equivalent to two (2) gross days for each and every day of delay following said 270-day period in completing the Landlord's Work, provided, however, in the event the Landlord's Work is not completed within 365 days following the Effective Date, which 270 period may be extended by the number of days corresponding to the Tenant's Delay and/or Force Majeure, Tenant shall have the right to either terminate the Lease or to complete Landlord's Work in accordance with the Work Letter and the approved final plans, at Landlord's sole cost and expense, without any further liability whatsoever, except with respect to those obligations that survive the earlier termination of the Lease. It is understood and agreed by and between the parties that the representations set forth in this paragraph are a material element of this Lease.

(h) Landlord's Work shall be construed "Substantially Complete" and the Term shall commence, when Tenant's authorized representative has provided written notice of acceptance of the Premises, with Landlord's Work completed, subject to minor details of construction or decoration that do not adversely affect Tenant's ability to occupy the Premises. Tenant may request that Landlord delivers to Tenant all applicable building department and fire department inspection signoffs including a new certificate of occupancy for the Premises, an air balancing report certified by Landlord's engineer and a certification from Landlord's engineer that the electric meters or submeters have been correctly installed and are in good working order, prior to accepting the Premises.

(i) Within thirty (30) after the Premises is Substantially Complete, Tenant will identify and list any portion of Landlord's Work which does not conform to the Work Letter attached hereto (the "**Punch List**"). The Punch list shall be approved in writing by Landlord, in its sole, but reasonable, discretion, within ten (10) days of Landlord's receipt of said Punch List, and thereafter, Landlord shall use reasonable efforts to commence the performance of the items on such Punch List within thirty (30) days after Tenant receives from Landlord its written approval of said Punch List, and proceed with reasonable diligence in the completion thereof. In addition, the parties (or anyone having rights under or through Tenant or Landlord) shall coordinate efforts in order to permit Tenant to occupy all or a portion of the Premises for the purpose of installing any necessary systems, equipment, wiring and other appurtenant equipment necessary for the conduct of Tenant's business at the Premises (provided Tenant will not conduct any daily routine business during such period) while Landlord completes the Landlord's Work, and such use shall not constitute occupancy of all or any part of the Premises by Tenant for the purposes herein, provided however, (x) in no event shall Landlord provide a period less than thirty (30) days prior to the estimated date the Premises will be Substantially Complete for Tenant to complete the necessary systems and wiring installation work, and (y) in no event shall Tenant's contractors and subcontractors adversely affect the Landlord in its efforts to timely complete the Landlord's Work, or adversely interfere with any other tenant, guest or visitor of the Building.

(j) Notwithstanding anything contained in this Lease to the contrary, including, without limitation, Section 5 in the Basic Lease Provisions, except as set forth in subparagraph (c), if Landlord is delayed in delivering possession of the Premises to Tenant due to a Tenant Delay or other reason attributable to Tenant, this Lease will not be void or voidable, nor will Landlord be liable to Tenant for any loss or damage resulting therefrom, but in that event, the



Term will commence on the earlier of: (i) the date Landlord delivers possession of the Premises to Tenant, provided the Premises(ii) the date Landlord would have delivered possession of the Premises to Tenant but for any reason attributable to Tenant including, without limitation, any Tenant Delay or (iii) the date Tenant occupies, uses or takes possession of, all or any part of the Premises.

(k) When the Commencement Date has been determined, Landlord and Tenant shall, upon the request of either of them, execute and deliver to each other duplicate originals of a Commencement Date statement prepared by Landlord which shall specify the Commencement, Rent and Expiration Dates of the Term. Upon execution and delivery of the Commencement Date statement it shall be deemed a part of this Lease. Any failure of Tenant to execute such statement shall not affect Landlord's determination of the Commencement Date, and such statement shall be deemed approved and accepted if not received back by Landlord, or objected to by written notice to Landlord, within thirty (30) days of submission by Landlord.

## **SECTION 6**

### **ALTERATIONS, ADDITIONS OR IMPROVEMENTS**

(a) Tenant shall make no alterations, additions, installations or improvements or other changes in or to the Premises of any nature (collectively, "**Tenant Alterations**") without Landlord's prior written consent, which Landlord's consent shall not be unreasonably withheld, conditioned or delayed except that Landlord's written consent shall not be required for those Tenant Alterations that are aesthetic or cosmetic in nature, or that do not affect any part of the Building outside the Premises, affect any structural element of the Building, or affect any Building system, and do not cost more than \$250,000.00 within any consecutive six (6) month period.

(b) All Tenant Alterations shall be performed, at Tenant's expense, by Tenant's contractors and subcontractors, in a professional manner using materials of first class quality, in compliance with this Lease, all Legal Requirements and Tenant's Plans (as hereinafter defined) and in a manner that will not materially interfere with the business of Landlord or any other tenant or occupant of the Building. Landlord's consent to any Tenant Alterations, shall be upon such reasonable conditions as Landlord may impose and such consent shall not be unreasonably withheld or delayed. Landlord or its agent shall have the right to inspect the Premises to confirm that any Tenant Alterations are being performed in accordance with the terms hereof. Landlord's review, inspection and/or approval of any Tenant Alterations, including, without limitation, any plans, specifications and/or documents in connection therewith, shall not be construed as a representation, warranty or statement by Landlord that any work to be performed by Tenant in the Premises is in compliance with applicable Legal Requirements or is otherwise properly designed or efficacious for Tenant's intended purpose or constitute an assumption of any liability whatsoever on the part of Landlord for their accuracy, all of which shall be the sole responsibility of Tenant.

(c) Tenant, before making any Tenant Alterations, shall, at Tenant's expense, (i) deliver to Landlord, detailed plans and specifications for any Tenant Alterations in form reasonably satisfactory to Landlord prepared and certified by a registered architect or licensed engineer, and suitable for filing with any applicable governmental, public or quasi-public authorities having jurisdiction over such Tenant Alterations (each a "**Governmental Authority**"), if filing is required by applicable Legal Requirements ("**Tenant's Plans**"), (ii) obtain Landlord's written approval of Tenant's Plans, as more particularly detailed in subparagraph (d) below, (iii) obtain (and deliver to Landlord copies of) all permits, approvals, certificates and authorizations required by any Governmental Authority, (iv) obtain (and deliver to Landlord evidence in form reasonably acceptable to Landlord) worker's compensation insurance (covering all persons to be employed by Tenant, and all contractors and subcontractors performing any Tenant Alterations), commercial general liability insurance and Builder's risk insurance (issued on a completed value basis), in form, with companies, for periods and in amounts reasonably required by Landlord, naming Landlord, Landlord's managing agent, if any, and any Mortgagee as additional insureds, or in the alternative to such insurance requirements, provide evidence of self-insured status. It is hereby understood and acknowledged by Landlord that Tenant shall not reimburse Landlord for any out-of-pocket expenses incurred by Landlord in connection with Landlord's review of Tenant's Plans and inspection of any Tenant Alterations, including outside experts and

professionals retained by Landlord for that purpose. Following the completion of any Tenant Alterations, Tenant shall, at Tenant's expense, obtain and deliver to Landlord copies of all final approvals, certificates and authorizations of any Governmental Authority required upon the completion of such Tenant Alterations and "as-built" plans and specifications for such Tenant Alterations prepared, as reasonably required by Landlord.

(d) Landlord shall within ten (10) days of receipt of Tenant's Plans approve or disapprove same. In the event Landlord does not approve or disapprove the Tenant's Plans within said ten (10) day period, Tenant shall provide a second written notice to Landlord requesting approval of said Tenant's Plans. If Landlord fails to approve or disapprove the Tenant's Plans within three (3) business days, the Tenant's Plans shall be deemed approved by Landlord.

(e) Landlord shall cooperate with Tenant in all reasonable respects in connection with the approval and completion of Tenants' Alterations, including executing any required permit or government application(s) and forms prior to reviewing and approving Tenant's Plans, in order to assist Tenant to complete the Tenant's Alterations in an expedited manner.

(f) Tenant shall perform the Tenant's Alterations during normal business hours, Monday through Friday, from 8:00 am to 6:00 pm EST.

(g) Tenant shall supervise its contractors, subcontractors or other workers in the Premises, in connection with any Tenant Alterations, to ensure that such contractors, subcontractors or other workers do not unreasonably interfere or cause conflict with other contractors, subcontractors or workers in the Building. Tenant shall have sole responsibility for management and oversight of any Tenant Alterations and shall contract directly with contractors for all such work, including, but not limited, to engineers and architects, provided such contractors have been approved in writing by Landlord, which approval shall not be unreasonably withheld, conditioned or delayed.

(h) If, in connection with any Tenant Alteration or any other act or omission of Tenant or Tenant's employees, agents or contractors, any mechanic's lien, financing statement or other lien or violation is filed against Landlord, or any part of the Premises, the Building, or Tenant Alterations, Tenant shall, at Tenant's expense, have it removed by bonding or otherwise within sixty (60) days thereafter. If Tenant shall fail to remove any lien within such 60-day period, Landlord may discharge such lien by payment, bonding or otherwise in Landlord's discretion, without any investigation as to, and regardless of whether Tenant may dispute, the validity thereof, and Tenant, upon demand, shall promptly reimburse Landlord for all costs, including, without limitation, attorneys' fees, incurred in connection therewith.

(i) All alterations, installations, additions and improvements, including, without limitation, all fixtures and all paneling, partitions, railings and like installations, installed in the Premises at any time, either by Tenant or by Landlord on Tenant's behalf, shall, upon installation, become the property of Landlord and shall remain upon and be surrendered with the Premises unless Landlord, by notice to Tenant no later than ninety (90) days prior to the Expiration Date (or thirty (30) days prior to any earlier termination of the Lease, if applicable), elects to relinquish Landlord's right thereto and to have them removed by Tenant, in which event the same shall be removed from the Premises by Tenant prior to the expiration of this Lease, at Tenant's expense. Not later than the last day of the Term, Tenant will, at Tenant's expense, remove from the Building all of Tenant's Property (as hereinafter defined) and those alterations, installations, additions and improvements, which Landlord has elected by notice to Tenant not to relinquish as Landlord's property, including all moveable furniture, trade fixtures (other than built-in cabinet work), moveable partitions, telephone, computer, data and antenna wiring, cabling and related conduit (to the extent said wiring, cabling, and conduits are not behind walls or sheetrock, above ceilings, or below flooring) and the like. Tenant, immediately and at its expense, shall repair all injury done by or in connection with the installation or removal of said property, alterations, improvements, wiring and the like; cap or terminate all telephone, computer and data connections at service entry panels in accordance with Legal Requirements; and surrender the Premises in as good condition as they were at the beginning of the Term, except for reasonable wear and tear and casualty excepted. Notwithstanding anything contained herein to the contrary, Tenant shall remove all installations that are "**non-standard office improvements**". For purposes hereof, "non-

standard office improvements” shall mean raised flooring, interior staircases, vaults, elevators, modifications to the Building’s utility and mechanical systems and unusual configuration for first class office space. All property permitted or required to be removed by Tenant at the end of the Term remaining at the Premises more than thirty (30) days following the expiration or earlier termination of the Lease shall be deemed abandoned and may be retained or disposed of by Landlord at Tenant’s expense and as Landlord, in its sole discretion, shall determine without any accountability or liability whatsoever to Tenant, and Tenant hereby releases Landlord from all claims for loss or damage to such property arising out of such retention or disposition thereof.

(j) If Tenant shall request the consent or approval of Landlord to the making of any alterations or to any other thing, and Landlord shall seek and pay a separate fee for the opinion of Landlord’s counsel, architect, engineer or other representative, professional or agent as to the form or substance thereof, Tenant shall pay Landlord, as Additional Rent, within thirty (30) days after demand, all reasonable costs and expenses of Landlord incurred in connection therewith, provided, however, that Tenant shall not be obligated to reimburse Landlord for any costs and expenses of Landlord in reviewing plans and specifications and performing inspections with respect to any Tenant’s Alterations.

(k) In carrying out any Tenant Alterations, Tenant shall comply with the provisions of this Section 6 inclusive, and shall perform same in accordance with the final plans and specifications approved by Landlord therefor and all other applicable provisions of this Lease. If Tenant shall fail to comply with the foregoing, Landlord may, at its election, in addition to all other rights and/or remedies available to it under this Lease or at law or in equity (i) direct Tenant to immediately cease and desist all further work. In the event the Tenant does not cease work and address any issues or violations claimed by Landlord within a reasonable period of time, Landlord may, upon thirty (30) days’ notice to Tenant, perform the work or installation(s) required of Tenant, at Tenant’s sole but reasonable cost and expense, together with a sum equal to five percent (5%) for overhead due and payable as Additional Rent on demand, if Tenant does not cease.

(l) Landlord shall not impose any additional charges or fees for connecting Tenant’s supplemental air-conditioning equipment, sprinklers and other systems in connection with Tenant’s Alterations.

(m) Tenant shall indemnify and hold harmless Landlord from and against any and all claims, losses, damages, liabilities, costs, expenses and suits whatsoever made or asserted against Landlord or any affiliate of Landlord or any officer, director, shareholder, member, manager, principal, employee, agent or representative of Landlord or such affiliate in connection with or by reason of any Tenant’s Alterations and/or any breach of any obligation of Tenant contained in this Section 6, including, without limitation, from and against any and all bills for labor performed and/or equipment, fixtures and materials furnished to Tenant and any and all liens or claims therefor against Landlord or against the Premises or the Building. The foregoing indemnity shall survive the expiration or earlier termination of this Lease.

## **SECTION 7**

### **ASSIGNMENT AND SUBLEASE**

Tenant will not, by operation of law or otherwise, mortgage, pledge, assign or otherwise transfer this Lease or sublet all or any portion of the Premises in any manner except as specifically provided for in this Section 7:

(a) Tenant may assign this Lease or sublet the whole or any portion of the Premises, subject to Landlord’s prior written consent, which consent will not be unreasonably withheld, conditioned or delayed, pursuant to the following terms and conditions and provided the proposed occupancy is in keeping with that of a first-class office building:

(i) Tenant will provide to Landlord the name, address, nature of the business and evidence of the financial condition of the proposed assignee or sublessee;

(ii) The assignee will assume, by written instrument reasonably acceptable to Landlord and Tenant, all of the obligations of the Tenant under this Lease, and a copy of such



assumption agreement will be furnished to Landlord within thirty (30) days of its execution, in which event Tenant shall be released from its obligations to Landlord under this Lease, and Landlord shall have the right to look solely to the assignee for the full performance of the terms under this Lease. No further assignment of this Lease or subletting of all or any part of the Premises will be permitted;

(iii) Each sublease shall be subject and subordinate to this Lease and to the matters to which this Lease is or will be subordinate, each sublessee's rights will be no greater than those of Tenant, and in the event of default by Tenant under this Lease, Landlord may, at its option, have such sublessee attorn to Landlord provided, however, in such case Landlord will not (i) be liable for any previous act or omission of Tenant under such sublease or, (ii) be subject to any offset not expressly provided for in this Lease or by any previous prepayment of more than one month's rent. Any sublease shall terminate automatically upon the expiration or, unless Landlord elects to have such sublessee attorn to Landlord as aforesaid, termination of the Lease. Each sublease shall expressly include all of the foregoing, although inclusion in any sublease shall not be necessary to give full force and effect to any of the terms and conditions hereof. A copy of each such sublease will be furnished to Landlord within ten (10) days of its execution;

(iv) The acceptance by Landlord of any rent from the assignee or from any subtenant or the failure of Landlord to insist upon strict performance of any of the terms, conditions and covenants of this Lease will not release either Tenant or any assignee assuming this Lease from the Tenant's obligations set forth in this Lease, unless and until Landlord has received a copy of the assumption agreement from assignee;

(v) The proposed assignee or subtenant is not then an occupant of any part of the Building or any other building then owned by Landlord or its affiliates within a five-mile radius of the Building;

(vi) The proposed assignee or subtenant is not an entity or a person or an affiliate of an entity with whom Landlord is or has been, within the preceding six (6) month period, negotiating to lease space in the Building;

(vii) There will not be more than one (1) subtenant in the Premises;

(viii) There shall be no advertisement, public communication or listing of the availability of the Premises for subletting without the prior written consent of Landlord, which shall not be unreasonably withheld; it being specifically understood that it shall not be unreasonable for Landlord to deny its consent if any advertisement or public communication shall list the rental rate in any way or shall adversely reflect on the dignity, character or prestige of the Building;

(ix) Tenant will pay Landlord a TWO THOUSAND FIVE HUNDRED AND 00/100 DOLLAR (\$2,500.00) administrative fee for each request for consent to any sublet or assignment simultaneously with Tenant's request for consent to a specific sublet or assignment;

(x) No assignment or subletting shall be to a person or entity which, in Landlord's reasonable judgment, has a financial standing, is of a character, is engaged in business, is of a reputation, or proposes to use the Premises in a manner, not in keeping with the standards in such respects of the other tenancies of the Building;

(xi) Any part of the term of any such sublease or any renewal or extension thereof, which shall extend beyond a date one (1) day prior to the expiration or earlier termination of the term, shall be a nullity; and

(xii) The proposed assignee or subtenant will use the Premises for the Permitted Use only.

(b) Any transfer by operation of law or otherwise, of Tenant's interest in this Lease, shall be deemed an assignment of this lease within the meaning of this Section 7 and shall not be valid and binding upon Landlord.

(c) If this Lease shall be assigned, whether or not in violation of the provisions of this Lease, Landlord may (but need not) collect rent from the assignee. If the Premises or any part thereof be sublet or occupied by anyone other than Tenant, Landlord may (but need not), after default by Tenant and expiration of Tenant's time to cure such default, collect rent from the subtenant or occupant. In either event, Landlord may apply the net amount collected to the



rents herein reserved, but no such assignment, subletting, occupancy or collection shall be deemed waiver of the requirements hereof, or the acceptance of the assignee, subtenant or occupant as Tenant hereunder, or a release of Tenant from the further performance by Tenant of Tenant's obligation under this Lease.

(d) Notwithstanding anything contained in this Lease to the contrary, so long as Tenant is not in default of any of the terms, covenants, conditions, provisions and agreements of this Lease, beyond any applicable cure periods, Tenant may assign this Lease or sublet all or any portion of the Premises without Landlord's consent to any other department or office of the Tenant directly or indirectly controlling or controlled by Tenant or under common control with Tenant (a "**Permitted Transfer**") provided that any such transfer is for a valid business purpose and not principally for the purpose of transferring the leasehold for a profit or to a shell entity and further provided that (1) the Tenant named herein shall remain fully and jointly and severally liable for all obligations of the Tenant under this Lease unless such assignee or transferee assumes, by written instrument reasonably acceptable to Landlord and Tenant, all of the obligations of the Tenant under this Lease, and a copy of such assumption agreement has been furnished to Landlord, in which event the Tenant shall be released from all obligations and liability hereunder, and (2) Tenant shall provide Landlord with not less than thirty (30) days prior written notice of any such intended assignment or sublease. Any such assignment or sublease shall otherwise remain subject to the terms and conditions of this Section. Any other assignment or subleasing of Tenant's interest under this Lease will be subject to Landlord's approval, which approval will not be unreasonably withheld, conditioned or delayed.

(e) Except as specifically set forth above, if any portion of the Premises or of Tenant's interest in this Lease is acquired by any other person or entity, whether by assignment, mortgage, sublease, transfer, operation of law or act of the Tenant, or if Tenant pledges its interest in this Lease or in any security deposit required hereunder, Tenant will be in default.

(f) Each permitted assignee or transferee shall assume and be deemed to have assumed this Lease and shall be and remain liable, for the payment of the Fixed Basic Rent and Additional Rent, and for the due performance of all the terms, covenants, conditions and agreements herein contained on Tenant's part to be performed for the Term of this Lease. The liability of Tenant named herein and any immediate and remote successor in interest of Tenant (by assignment or otherwise), and the due performance of the obligations of this Lease on Tenant's part to be performed or observed, shall not in any way be discharged, released, or impaired by any: (a) agreement that modifies any of the rights or obligations of the parties under this Lease; (b) stipulation that extends the time within which an obligation under this Lease is to be performed; (c) waiver of the performance of an obligation required under this Lease; or (d) failure to enforce any of the obligations set forth in this Lease, unless such assignee or transferee assumes, by written instrument reasonably acceptable to Landlord and Tenant, all of the obligations of the Tenant under this Lease, and a copy of such assumption agreement has been furnished to Landlord.

## **SECTION 8**

### **COMPLIANCE WITH RULES AND REGULATIONS**

Tenant will observe and comply with the rules and regulations set forth in Exhibit B and with such further reasonable rules and regulations as Landlord or the maintenance association (of which Landlord is a member and which relates to the operation and maintenance of the Related Facilities) may prescribe from time to time.

## **SECTION 9**

### **DAMAGES TO BUILDING**

(a) If the Premises and/or access thereto, or any part thereof, shall be damaged by fire or other cause, Tenant shall give immediate notice thereof to Landlord, and this Lease shall continue in full force and effect except as hereinafter set forth. In such event, Landlord shall, subject to compliance with the provisions of any Mortgage or Superior Lease, adjustment of claims and receipt of insurance proceeds, and to its rights under the succeeding provisions of this Section 9, repair the damage and restore and rebuild the Premises with reasonable diligence as nearly as may be practicable to its condition immediately prior to such damage (excluding, however, any Tenant Property and/or improvements owned or made by Tenant,

including, without limitation, any Tenant Alterations, which shall be the Tenant's responsibility to repair and/or restore).

(b) If the Premises shall be partially or totally damaged or rendered partially or totally untenable by fire or other cause without the fault or neglect of Tenant, Tenant's employees, agents, contractors, visitors or licensees, then, until such repairs are made, Landlord shall repair and /or rebuild same at its own expense with reasonable diligence and the Rent shall be apportioned according to the part of the Premises which is usable by Tenant and shall be abated from the date of such damage to the date the damage shall be substantially repaired to the same condition as required under Section 5 for the occurrence of the Commencement Date.

(c) If the Premises are totally or substantially damaged or are rendered wholly or substantially untenable by fire or other cause, and/or if Landlord shall decide not to restore or not to rebuild the same, or if the Building shall be so damaged that Landlord shall decide to demolish it or not to rebuild it (whether or not the Premises have been damaged), then in any of such events either party may, within one hundred twenty (120) days after the occurrence of such casualty, give the other notice of such decision, and thereupon the Term of this Lease shall expire upon the date set forth in such notice as fully and completely as if such date were the Expiration Date of this Lease. Tenant shall then forthwith quit, surrender and vacate the Premises. Such termination and surrender shall be without prejudice to Landlord's rights and remedies against Tenant under the Lease provisions in effect prior to such termination, and the Rent reserved hereunder shall be apportioned as of the date of such casualty pursuant to Section 9(b).

(d) Unless either party shall serve a termination notice as provided for in Section 9(c) above, Landlord shall make the repairs and restorations as above-described, with all reasonable expedition subject to delays due to adjustment of insurance claims and Force Majeure, and the Rent shall be apportioned according to the part of the Premises which is usable by Tenant and shall be abated from the date of such damage to the date the damage shall be substantially repaired to the same condition as required under Section 5. If Landlord has not substantially completed the making of the required repairs and restored and rebuilt the Premises and/or access thereto (other than to the extent resulting from Tenant's acts or omissions) within twelve (12) months from the date of such damage or destruction and such additional time as Landlord shall be delayed due to adjustment of insurance or Force Majeure, then within thirty (30) days thereafter, Tenant may terminate this Lease by notice to Landlord. No damages, compensation or claims shall be payable by Landlord for delay, inconvenience, loss of business or annoyance arising from any repair or restoration of any portion of the Premises or of the Building.

(e) The words "restoration" and "restore" as used in this Section 9 will include repairs.

(f) The provisions of this Section shall be considered an express agreement governing any case of damage or destruction of the Premises by fire or other casualty, and Section 227 of the Real Property Law of the State of New York, providing for such a contingency in the absence of an express agreement, and any other law of like import, now or hereafter in force, shall have no application in such case.

(g) Notwithstanding any of the foregoing provisions of this Section, if Landlord or the any Superior Lessor or Mortgagor shall be unable to collect all of the insurance proceeds applicable to damage or destruction of the Premises or the Building by reason of the sole negligent action or inaction on the part of Tenant or any of its employees, agents or contractors, then, without prejudice to any other remedies which may be available against Tenant, the abatement of Tenant's rent provided for in this Section shall not be effective to the extent of the uncollected insurance proceeds.

## **SECTION 10**

### **EMINENT DOMAIN**

If Tenant's use of the Premises is materially affected due to the taking by eminent domain of (a) the Premises or any part thereof; or (b) any other part of the Building; then, in either event, this Lease will terminate on the date when title vests pursuant to such taking. The Fixed Basic Rent, and any Additional Rent, will be apportioned as of such termination date and any Fixed Basic Rent or

Additional Rent paid for any period beyond said date, will be repaid to Tenant. Tenant will not be entitled to any part of the award for such taking or any payment in lieu thereof, but Tenant may file a separate claim for any taking of fixtures and improvements owned by Tenant which have not become the Landlord's property, and for moving expenses, provided the same will, in no way, affect or diminish Landlord's award. In the event of a partial taking which does not effect a termination of this Lease but does deprive Tenant of the use of a portion of the Premises, Tenant will either be entitled to terminate this Lease or to receive an abatement or an equitable reduction in Fixed Basic Rent, depending on the period for which and the extent to which the Premises are not reasonably usable for general office use. In such event, Landlord shall, at its own cost and expense, make all necessary repairs or alterations to the Building and/or the Premises for Tenant's intended use of the Premises.

## **SECTION 11**

### **REMEDIES ON DEFAULT**

(a) If Tenant defaults in the payment of Fixed Basic Rent or any Additional Rent or in the performance of any of the other material covenants and conditions of this Lease or permits the Premises to become deserted, abandoned or vacated for a period of six (6) months, subject to Force Majeure, Landlord may give Tenant notice of such default. Tenant shall cure any Fixed Basic Rent or Additional Rent default within ten (10) business days or other default within forty-five (45) calendar days after the giving of such notice (or, if such other default is of such nature that it cannot be completely cured within such period, and the continuance of such default during any cure period will not subject Landlord to the risk of criminal liability or termination of any superior lease or foreclosure of any superior mortgage, Tenant may commence such curing within such forty-five (45) calendar days and thereafter continuously proceed with reasonable diligence and in good faith to cure such default). In the event of two (2) or more monetary defaults by Tenant under this Lease within the same consecutive twelve (12) month period, or other breach by Tenant of a material term or provision under this Lease, which have not been cured within the applicable grace or cure periods, then Landlord may terminate this Lease upon not less than thirty (30) days' notice to Tenant, and on the date specified in such notice the Term of this Lease and Tenant's right of possession of the Premises will terminate, and Landlord may exercise any and all rights and remedies available to it under this Lease, at law or in equity.

(b) If Landlord defaults in the performance of any provisions, covenants and conditions of this Lease or its obligations under it, Tenant may give Landlord written notice of such default or breach of the Lease. Landlord shall cure such default within thirty (30) days after the giving of such notice by Tenant (or if such default is of such nature that it cannot be completely cured within such period, and the continuance such default during any cure period will not subject Tenant to the risk of criminal liability or termination of any superior lease or foreclosure of any superior mortgage, Landlord may commence such curing within such thirty (30) calendar days and thereafter continuously proceed with reasonable diligence and in good faith to cure such default). In the event Landlord does not cure such default within aforesaid cure periods, Tenant may have all the rights and remedies available under this Lease, at law or in equity, including, but not limited, the right to terminate this Lease upon thirty (30) days' written notice to Landlord, or cure the Landlord's default, pursuant to subparagraph (c) below. Each right and remedy of Tenant provided for in this Lease shall be cumulative and shall be in addition to every other right or remedy provided for in this Lease or now or hereafter existing at law or in equity or otherwise, and the exercise by Tenant of any one or more of the rights or remedies provided for in this Lease or now or hereafter existing at law or in equity or otherwise shall not preclude the simultaneous or later exercise by Tenant of any or all other rights or remedies.

(c) In the event of a default by either party with respect to a material provision of this Agreement, which is not cured within any applicable notice and cure periods, without limiting the non-defaulting party in the exercise of any right or remedy which the non-defaulting party may have by reason of such default, the non-defaulting party may pursue any remedy now or hereafter available to the non-defaulting Party under the laws or judicial decisions of the state in which the Premises are located, including (but without obligation to do so), without waiving such default, perform the defaulting party's duty or obligation on the defaulting party's behalf; provided, however, each party shall use reasonable efforts to mitigate its damages in connection with an uncured default by the other party. The costs and expenses of any such performance by the non-defaulting party, including (without being limited to) counsel fees, shall be due and payable by the defaulting party upon receipt of an invoice therefor, provided such costs are reasonable and the non-defaulting party provides the necessary supporting documentation. If the defaulting party does not pay the non-defaulting party the full undisputed amount within thirty (30) days of its



receipt of an invoice setting forth the amount due from the defaulting party together with supporting documentation, the non-defaulting party may offset the full undisputed amount due against all fees due and owing to the defaulting party until the full undisputed amount is fully reimbursed to the non-defaulting party, together with interest thereon at Prime plus three (3%) percent per annum. The foregoing to the contrary, in the event Tenant cures a default from Landlord, in no event shall Tenant deduct more than an amount equal to fifty percent (50%) of each installment of Fixed Basic Rent until repaid in full or if the remaining months of the Term will not permit a full recoupment, Tenant may deduct said amount from any installment of Fixed Basic Rent by increasing the aforesaid percentage over the number of months remaining in the balance of the Term sufficient to be fully repaid by the Expiration Date. Notwithstanding anything to the contrary in the foregoing, to the extent any repair or maintenance must be performed within the premises of any other tenant in the Building, Tenant shall provide Landlord with notice by e-mail to dramsay@gdcllc.com and/or clynch@gdcllc.com or to such other recipients as Landlord may designate in writing that Tenant will need access thereto and listing Tenant's contractors that will perform the required work. In the event Landlord fails to coordinate such access with affected tenant and either by authorize Tenant's contractors or use Landlord's contractors to perform the required work, to the reasonable satisfaction of Tenant, within ten (10) business days after said notice, Landlord's approval shall be deemed approved and Tenant may, but is not obligated to, proceed to complete the work provided it obtains such tenant's consent and Tenant's obligation to pay Fixed Basic Rent will then be abated proportionately with the degree to which Tenant's use of the Premises is materially and adversely impaired, up to an amount not to exceed fifty (50%) percent of the amount of each installment of Fixed Basic Rent until such time as Landlord complies with its repair and maintenance obligations under this Lease. In no event will Tenant have the right to enter another tenant's premises for any other reason without Landlord's consent.

(d) If at or before the Commencement Date or at any time during the Term there shall be filed against either party in any court pursuant to any statute either of the United States or of any state a petition in bankruptcy or insolvency or for reorganization or for the appointment of a receiver or trustee of all or a portion of such party's assets, and within sixty (60) days thereafter such party fails to secure a discharge thereof, or if such party shall make an assignment for the benefit of creditors or petition for or enter into an arrangement or composition with creditors, or takes advantage of any statute relating to bankruptcy, this Lease shall, thereupon, upon notice from the non-defaulting party be canceled and terminated, if permitted by such statutes. In the event of any such cancellation and termination, this Lease shall terminate (whether or not the Term shall theretofore have commenced) with the same force and effect as if that day were the Expiration Date, but such party shall remain liable for damages as provided in this Lease. In addition to the other rights and remedies available to Landlord by virtue of any other provision of this Lease or by virtue of any statute or rule of law, Landlord may retain as liquidated damages any rent, the Security Deposit, if any, and/ or any other monies received by it from Tenant or others on behalf of Tenant.

(e) Anything in this Lease to the contrary notwithstanding, and without limiting any of Landlord's rights and remedies hereunder, at law and/or in equity, in the event that the Lease is terminated as a result of a default by Tenant, as set forth in subparagraph (a), beyond any applicable notice and cure period, Tenant then shall promptly reimburse Landlord upon demand for the amount (amortized over the Term), if applicable, of (i) any abatement of rent during the Rent Concession Period (ii) any broker commissions paid by Landlord in connection with this Lease and any extension of the Term, (iii) the cost of the Work (as hereinafter defined) and (iv) any allowance or credits given to Tenant, upon submission of an invoice together with supporting documentation.

## **SECTION 12**

### **RE-ENTRY AND REMEDIES ON TENANT'S DEFAULT**

(a) If this Lease terminates pursuant to the terms of Section 11, subparagraph (a), then and in any of such events Landlord may, upon notice, re-enter the Premises, and dispossess Tenant and/or the legal representative of Tenant or other occupant(s) of the Premises by summary proceedings, ejectment, or otherwise, and remove their effects and hold the Premises as if this Lease had not been made.

(b) In case of re-entry by Landlord, due to an earlier termination of this Lease, and whether by summary proceedings or otherwise, Landlord or its agents and legal representatives shall exercise commercially reasonable efforts to relet the Premises as the agent of

Tenant, and receive the rent therefor, at such rent as Landlord is able to negotiate, provided however Landlord uses commercially reasonable efforts to relet the Premises at market rates used for similar premises located within the same geographic region and such other terms as shall be satisfactory to the Landlord, in its sole but reasonable discretion, and all rights of Tenant to repossess the Premises shall be forfeited. Any such reletting may be of the entire Premises or any part thereof, either in the name of Landlord or otherwise, for a term or terms, which may at Landlord's option be less than or in excess of the period which would otherwise have constituted the balance of the Term and may provide for rent concessions or free rent provided such concessions serve to mitigate Tenant's damages. Such re-entry or reletting, or both, by Landlord shall not operate to release Tenant from paying the difference between any Fixed Based Rent and Additional Rent owed by Tenant for the remainder of the then applicable term and the rent and other amounts actually received by Landlord from the new tenant(s) leasing all or a portion of the Premises. For the purposes of reletting, Landlord shall be authorized to make such repairs or alterations in or to the Premises as Landlord shall deem reasonably necessary to restore the same to the original condition, except for normal wear and tear. Tenant shall be liable to Landlord for the cost of such repairs and all reasonable expenses of such reletting, including, but not limited to, reasonable attorney's fees and brokerage fees. Tenant shall not be entitled to any surplus accruing as a result of any such reletting.

(c) In the event of a breach or threatened breach by Tenant of any of the covenants or provisions hereof, Landlord shall have the right of injunction and the right to invoke any remedy allowed at law or in equity as if re-entry, summary proceedings and other remedies were not provided for herein. Mention in this Lease of any particular remedy shall not preclude Landlord from any other remedy at law or in equity.

(d) Each right and remedy of Landlord provided for in this Lease shall be cumulative and shall be in addition to every other right or remedy provided for in this Lease or now or hereafter existing at law or in equity or otherwise, and the exercise by Landlord of any one or more of the rights or remedies provided for in this Lease or now or hereafter existing at law or in equity or otherwise shall not preclude the simultaneous or later exercise by Landlord of any or all other rights or remedies.

(e) Landlord reserves the right, without liability to Tenant and without constituting any claim of constructive eviction, to suspend furnishing or rendering to Tenant any property, material, labor, utility or other service, wherever Landlord is obligated to furnish or render the same at the expense of Tenant, in the event that (but only for so long as) Tenant is in arrears in paying Landlord therefor, beyond any applicable cure periods.

### **SECTION 13** **DEFICIENCY**

(a) If as a result of any Tenant default which has not been cured within the applicable cure period, hereunder (i) this Lease and the Term shall end, or (ii) if Landlord shall re-enter the Premises as herein provided, then, in any of such events:

(i) Tenant shall pay to Landlord all Rent payable under this Lease by Tenant to Landlord up to the Expiration Date to the date of re-entry upon the Premises by Landlord, as the case may be;

(ii) Landlord shall be entitled to retain all monies, if any, paid by Tenant to Landlord, whether as prepaid Rent, the Security Deposit (if any) or otherwise, and to draw upon any Letter of Credit or other security deposited by Tenant hereunder and retain the proceeds thereof, which monies, to the extent not otherwise applied to amounts due and owing to Landlord, shall be credited by Landlord against any damages payable by Tenant to Landlord;

(iii) Tenant shall pay to Landlord, in monthly installments, on the days specified in this Lease for payment of installments of Fixed Basic Rent, any Deficiency; it being understood that Landlord shall be entitled to recover the Deficiency from Tenant each month as the same shall arise, and no suit to collect the amount of the Deficiency for any month shall prejudice Landlord's right to collect the Deficiency for any subsequent month by a similar proceeding; and

(iv) In the alternative, Tenant shall pay to Landlord, on demand, in lieu of any further Deficiency and as liquidated and agreed final damages, a sum equal to the amount by which

the Rent for the period which otherwise would have constituted the unexpired portion of the Term (assuming Additional Rent during such period to be the same as had been payable for the year immediately preceding such termination or re-entry, increased in each succeeding year by three percent (3%) (on a compounded basis)) less the aggregate amount of Deficiencies theretofore collected by Landlord for the same period.

(b) Nothing herein contained shall be construed to limit or preclude recovery by Landlord against Tenant of any sums or damages to which, in addition to the damages particularly provided above, Landlord may lawfully be entitled by reason of any default hereunder or otherwise on the part of Tenant. In any case where Tenant has defaulted and Landlord has recovered possession of the Premises or terminated this Lease or Tenant's right to possession, Tenant's obligation to pay Landlord all the Fixed Basic Rent and Additional Rent up to and including the Expiration Date will not be discharged or otherwise affected. Landlord will have all rights and remedies available to Landlord at law and in equity by reason of Tenant's default, and may periodically sue to collect the accrued obligations of the Tenant together with interest at Prime plus three (3%) percent per annum from the date owed to the date paid, but in no event greater than the maximum rate of interest permitted by law.

#### **SECTION 14** **QUIET ENJOYMENT/SUBORDINATION**

(a) Landlord covenants that if, and so long as, Tenant pays all of the Rent due under this Lease and keeps, observes and performs each and every term, covenant, agreement, condition and provision of this Lease on Tenant's part to be kept, observed and performed, Tenant may peaceably and quietly enjoy the Premises without hindrance or molestation by Landlord or by any other person lawfully claiming through or under the same.

(b) This Lease, and all rights of Tenant hereunder, are and shall be (i) subject and subordinate in all respects to all present ground leases, over-riding leases and underlying leases and/or grants of term affecting the Premises and/or the Building in whole or in part now existing (each a "**Superior Lease**" and, collectively, "**Superior Leases**") and (ii) subject to all present mortgages, building loan agreements and other security interests, which affect the Premises and/or the Building and/or any Superior Leases (each a "**Mortgage**" and, collectively, "**Mortgages**"), and all advances under any Mortgage, whether or not the Superior Leases or Mortgages shall also cover other lands and/or buildings. The foregoing shall extend to each and every advance made or hereafter to be made under any existing Mortgage, and to all renewals, modifications, replacements and extensions of any Superior Lease and/or Mortgage and spreaders, consolidations and modifications of any such Mortgage. Notwithstanding the foregoing, if Landlord does not obtain financing from the Anticipated Mortgagee on or before December 1, 2024 ("December 1<sup>st</sup> Date"), then Landlord shall use commercially reasonable efforts, at its sole cost (not including Tenant's legal fees), to provide a form of Non-Disturbance Agreement, in recordable form, from the lessor under any existing Superior Lease (the "**Superior Lessor**") and/or the holder of any existing Mortgage (the "**Mortgagee**"), on such terms as are reasonably acceptable to Tenant and to such Superior Lessor or Mortgagee within thirty (30) days from the December 1<sup>st</sup> Date, and shall diligently proceed to obtain such agreement for the benefit of Tenant. Landlord has advised Tenant that Landlord is in the process of obtaining a mortgage loan from an affiliate of Benefit Street Partners (the "**Anticipated Mortgagee**") and is expecting to obtain said mortgage loan on, before or around the Effective Date. Landlord represents to Tenant that the Anticipated Mortgagee has received the final draft of this Lease and has not raised any objections to any of the terms set forth herein. Further, Landlord represents that, under the terms of the loan documents to be executed by Landlord in connection with the mortgage made in favor of the Anticipated Mortgagee, Landlord shall deposit one hundred percent of the cost to complete Landlord's Work and pay any commissions owed to Landlord's Broker and the Tenant's Broker in one or more accounts to be controlled by the Anticipated Mortgagee, which funds, subject to Anticipated Mortgagee's rights under the loan documents in the event of a default thereunder, will be used to pay for Landlord's Work and any commissions owed to Landlord's Broker and the Tenant's Broker. Notwithstanding the foregoing, Landlord shall, at its sole cost (not including Tenant's legal fees), provide a Non-Disturbance Agreement, in recordable form on such terms as are acceptable to Tenant and Anticipated Mortgagee on or prior to the Effective Date, which the Tenant will hold in escrow until it receives confirmation from the Landlord that it received financing from the Anticipated Mortgagee. Landlord recognizes that the foregoing representations are a material element of this Lease and any breach thereof will be deemed a material breach under this Lease, and may entitle



the Tenant to the rights and remedies set forth in Section 11 hereof, including the right to terminate the Agreement, without further obligation or liability whatsoever.

(c) This Lease may be subordinate to any future Superior Lessor or Mortgagee or any other future holder of an interest over all or any part of the Real Property, which from time to time may encumber the all or a portion of the Real Property, provided, however, as a condition precedent to Tenant subordinating its interests in this Lease to any such future Superior Lessor or Mortgagee or any other future holder of an interest over all or any part of the Real Property, Landlord obtains a Subordination, Non-Disturbance and Attornment Agreement for the Tenant's benefit in form reasonably acceptable to Tenant and such Superior Lessor or Mortgagee.

(d) Tenant shall take no steps to terminate this Lease without giving written notice to any Superior Lessor or Mortgagee or future lender, lessor or designee of Landlord, and a reasonable opportunity to cure (without such Superior Lessor or Mortgagee being obligated to cure), any default on the part of Landlord under this Lease.

## **SECTION 15**

### **SECURITY DEPOSIT**

Tenant is not required to deposit with Landlord any Security Deposit or other security for the performance of Tenant's obligations under this Lease.

## **SECTION 16**

### **INTENTIONALLY OMITTED**

## **SECTION 17**

### **LIENS**

Tenant will not permit any lien or other encumbrance to be filed as a result of any act or omission (or alleged act or omission) of Tenant. Tenant will, within sixty (60) days after notice from Landlord, discharge or satisfy by bonding or otherwise any liens filed against Landlord or all or any portion of the Real Property as a result of any such act or omission, including any lien or encumbrance arising from contract or tort claims.

## **SECTION 18**

### **RIGHT TO INSPECT AND REPAIR**

Landlord or its designees may enter the Premises (but will not be obligated to do so) at any reasonable time on reasonable advance notice to Tenant (except that no notice need be given in case of emergency as reasonably determined by Landlord) for the purpose of: (i) inspection; (ii) performance of any work or the making of any repairs, replacements or additions in, to, on and about the Premises or the Building, as Landlord deems necessary or desirable; (iii) showing the Premises to prospective purchasers, lenders and/or tenants or (iv) for any other reasonable purpose. Tenant will provide Landlord or its designees free and unfettered access to any mechanical or utility rooms, conduits, risers or the like located within the Premises. Landlord or any prospective tenant shall have the right to enter the space to perform inspections, surveys, measurements or such other reasonable activities as may be necessary to prepare the Premises for occupancy by the succeeding tenant. Tenant will have no claims, including claims for interruption of Tenant's business, or cause of action against Landlord by reason of entry for such purposes provided Landlord acted to reduce or minimize any disruption or adverse interference with Tenant's business operations. Landlord shall be allowed to take all material into and upon the Premises that may be required for the operation, maintenance, repair or alteration above mentioned without the same constituting an eviction of Tenant in whole or in part, and the rent reserved shall in no way abate, except as otherwise provided in this Lease, while said operation, maintenance, repair or alteration are being made.

## **SECTION 19**

### **SERVICES TO BE PROVIDED BY LANDLORD**

(a) Landlord will, as an Operating Cost, furnish to the Premises (i) electricity for normal lighting and ordinary office machines at Tenant's expense as provided herein, (ii) during Building Hours, HVAC required for the reasonable use and occupancy of the Premises, (iii) public elevator service in the Building during Building Hours and have available at least one elevator for tenants' use at all times, (iv) hot and cold water for normal lavatory pantry, drinking and office



cleaning purposes; but if Tenant requires, uses or consumes water for any other purpose, Landlord may install, at Tenant's expense, a meter or meters or other means to measure Tenant's water consumption and Tenant shall reimburse Landlord for the cost of all water consumed as measured by said meter or meters or as otherwise measured, and (v) janitorial service (as set forth in Exhibit D), all in a manner comparable to that of similar buildings in the area. In addition, Landlord shall, as an Operating Cost, provide Common Facilities lighting at the Real Property during Building Hours and for such additional hours as, in Landlord's reasonable judgment, is necessary or desirable to insure proper operation of the Real Property.

(b) Tenant will be entitled to make use of HVAC beyond the Building Hours, at Tenant's sole cost and expense, provided Tenant has notified Landlord by 3:00 p.m. on the day that Tenant will require said overtime use if said overtime use is required on any weekday, and by 3:00 p.m. on Friday for Saturday and/or Sunday overtime use. Tenant will pay Landlord the HVAC After Hours Charge (as defined in the Basic Lease Provisions) for HVAC beyond the Building Hours. There is a four (4) hour minimum HVAC After Hours Charge for Saturdays and Sundays. Notwithstanding the foregoing to the contrary, the parties agree that if Tenant notifies Landlord on or before 3:00 on Friday before any given Saturday, there shall be no HVAC charges for such Saturday charged to the Tenant.

(c) Any damage caused to the HVAC equipment, appliances or appurtenances as a result of the negligence of, or careless operation of the same by, Tenant or its agents, servants, employees, licensees, invitees, or visitors shall be repaired by Landlord, and the cost and expense thereof shall be paid by Tenant, as additional rent, within thirty (30) days after being billed therefor.

(d) Landlord reserves the right, without any liability to Tenant, to interrupt, curtail or suspend the services required to be furnished by Landlord under this Lease when the necessity therefor arises by reason of accident, emergency, mechanical breakdown, or when required by any law, order or regulation of any federal, state, county or municipal authority, or by reason of Force Majeure. Landlord shall exercise all commercially reasonable diligence to eliminate the cause of stoppage and to effect restoration of service and shall give Tenant reasonable notice, whenever practicable, of the commencement and anticipated duration of such stoppage. No diminution or abatement of rent or other compensation shall be claimed by Tenant as a result therefrom, nor shall this Lease or any of the obligations of Tenant be affected or reduced by reason of such interruption, curtailment or suspension, provided Landlord proceeds with diligence to address any such emergency or mandatory stoppage event. In the event the interruption of any service is an Essential Service (as defined below), the interruption is not the result of Force Majeure or any act or omission of Tenant, and the interruption continues for five (5) consecutive business days, then, as its sole remedy, Tenant shall be entitled to an abatement of pro-rated Fixed Basic Rent due for each day of such ongoing default or interruption commencing as of the sixth (6<sup>th</sup>) business day after the interruption or curtailment and ending on the earlier of (x) the date Tenant reoccupies any portion of the Premises that was so affected, and (y) the date on which such condition is substantially remedied. "**Essential Service**" shall mean any service which, if not provided, shall (1) effectively deny access to the Premises, (2) threaten the health or safety of any occupants of the Premises, (3) prevents or materially and adversely restrict the usage of more than twenty-five percent (25%) of the Premises for the ordinary conduct of Tenant's business.

(e) Tenant shall not clean from the outside, or allow to be cleaned from the outside by anyone acting for or on behalf of Tenant, any window in the Premises. Notwithstanding anything in this Section to the contrary, upon Tenant's request, Tenant shall pay to Landlord on demand the costs incurred by Landlord for (a) cleaning work in the Premises or the Building required because of (i) misuse or neglect on the part of Tenant or its employees, agents, contractors, licensees or visitors, (ii) use of portions of the Premises for preparation, serving or consumption of food or beverages, reproducing operations, private lavatories or toilets or other special purposes requiring greater or more difficult cleaning work than office area, (iii) interior glass surfaces, and/or (iv) non-Building Standard materials or finishes installed by Tenant or at its request, requiring greater or more difficult care than if Building Standard, and (b) removal from the Premises and the Building of (i) any refuse and rubbish of Tenant as shall exceed that normally accumulated daily in the routine or ordinary business office occupancy and (ii) all of the refuse and rubbish of Tenant's machines and the refuse and rubbish of any eating facilities requiring special handling (known as "wet garbage"). Landlord and its cleaning contractor and their employees shall have after-hours access to the Premises and the use of Tenant's light, power and water in the Premises as may be reasonably required for the purpose of cleaning the Premises.

## **SECTION 20**

### **TENANT'S ESTOPPEL**

Tenant agrees, at any time, and from time to time, upon not less than twenty (20) days' prior notice by Landlord, to execute, acknowledge and deliver without cost or expense to Landlord, a statement in writing addressed to the party requesting same (i) certifying that this Lease is unmodified and in full force and effect (or, if there have been modifications, that the same is in full force and effect as modified and stating the modifications), (ii) certifying the dates to which the Fixed Basic Rent, Additional Rent and other charges have been paid, the amount of the Security Deposit (if any), and any amount(s) due from Landlord to Tenant for any construction work, (iii) stating whether or not to the best knowledge of Tenant, there exists any default in the performance of any covenant, agreement, term, provision or condition contained in this Lease, and if so, specifying each such default of which Tenant may have knowledge, and (iv) certifying as to any other matter as Landlord shall reasonably request; it being intended that any such statement delivered pursuant hereto may be relied upon by the party requesting same and by any other person with whom Landlord may be dealing. If Tenant fails to execute any such instrument within said twenty (20) day period, (a) such failure shall constitute a material default by Tenant under this Lease and, in such event, Tenant agrees to pay as liquidated damages, in addition to all other remedies available to Landlord, an amount equal to \$100 per day for each day that Tenant fails to deliver such certificate to Landlord after the expiration of such twenty (20) day period and (b) Tenant irrevocably appoints Landlord as its attorney-in-fact, in Tenant's name, to execute such instrument.

## **SECTION 21**

### **HOLDOVER TENANCY**

Tenant agrees that it must surrender possession of the Premises to Landlord on the Expiration Date or earlier termination of the Term. Tenant agrees to indemnify and hold Landlord harmless from and against all liabilities, obligations, damages, penalties, claims, costs, charges and expenses, including attorneys' fees, resulting from any delay by Tenant in so surrendering the Premises, including any claims made by any succeeding tenant based on such delay. Tenant agrees that if possession of the Premises is not surrendered to Landlord on the Expiration Date or earlier termination of the Term, then Tenant agrees to pay Landlord as liquidated damages for each month and for any portion of a month during which Tenant holds over in the Premises after the Expiration Date or earlier termination of the Term, a sum or sums equal to the following: (a) for the first and second months (or portions thereof) of any such holdover 100% of the average Fixed Basic Rent and Additional Rent which was payable per month under this Lease during the last three months of the Term and (b) for the third month and each month thereafter (or portions thereof) 125% of the average Fixed Basic Rent and Additional Rent which was payable per month under this Lease during the last three months of the Term. Such liquidated damages shall not limit Tenant's indemnification obligation set forth above, including, without limitation, with respect to claims made by any succeeding tenant based on Tenant's failure or refusal to surrender the Premises to Landlord on the Expiration Date or sooner termination of the Term. Nothing contained herein shall be deemed to authorize Tenant to remain in occupancy of the Premises after the Expiration Date or sooner termination of the Term and, in the event of any such holdover, Landlord may pursue any and all remedies to which it may be entitled under this Lease, at law or in equity.

## **SECTION 22**

### **OVERDUE RENT CHARGE/INTEREST**

(a) Any other amount owed by Tenant to Landlord which is not paid when due will bear interest at the lesser of (i) the rate of two percent (2%) per month from the due date of such amount, or (ii) maximum legal interest rate permitted by law. The payment of interest on such amounts will not extend the due date of any amount owed.

## **SECTION 23**

### **INSURANCE**

(a) Tenant's Insurance. On or before the Commencement Date or Tenant's prior entry into the Premises, to the extent applicable, Tenant will obtain and have in full force and effect, insurance coverage as follows:

(i) Provided Tenant is the tenant entity set forth on Page "i" of this Lease, and Tenant shall be an agency or political subdivision of the State of New York or the County of

Westchester, Tenant may, in lieu of procuring and maintaining the aforementioned insurance, elect to obtain such insurance through a program of self-insurance, in accordance with Local Law 6-1986 and Chapter 295 of the Laws of Westchester County.

(ii) If the Tenant changes from a self-insurance program to a traditional insurance program then the Tenant at its expense, shall maintain throughout the Term the following types of insurance: (a) Commercial General Liability Insurance covering claims for bodily injury, death and property damage occurring upon, in, or about the Premises; such insurance shall afford coverage of not less than \$4,000,000.00 combined, single limit for bodily injury, death and property damage and shall indicate that the Landlord and Others in Interest are additional insureds; there shall be added to or included within said liability insurance all other coverages as may be usual for Tenant's use of the Premises; said insurance shall be written in a primary policy not contributing with, or in excess of, insurance that Landlord and Others in Interest may have and shall include coverage on an "occurrence basis" rather than a "claims made" basis; (b) commercial auto liability insurance providing bodily injury and property damage coverage on an occurrence basis at a combined single limit of not less than \$3,000,000; (c) "all risk" property insurance on all of Tenant's Property, including contents and trade fixtures; (d) workers' compensation and employer's liability as required by law; (e) disability benefits liability as required by law; (f) owners' and contractors' protective liability coverage in an amount not less than \$2,000,000 during the performance by or on behalf of Tenant of any work under this Lease, until completion thereof; and (g) insurance covering in full interruption of Tenant's business for a minimum of twelve (12) months. All policies obtained by Tenant will be issued by carriers having ratings in Best's Insurance Guide ("Best") of A and VIII, or better (or equivalent rating by a comparable rating agency if Best no longer exists) and licensed in the State. The general liability policies must be endorsed to be primary and noncontributing with the policies of Landlord being excess, secondary and noncontributing and shall contain an endorsement stating no policy will be canceled, nonrenewed or materially modified without thirty (30) days' prior written notice by the insurance carrier to Landlord (the "**Cancellation Endorsement**"). If the forms of policies, endorsements, certificates, or evidence of insurance required by this Section 23 are superseded or discontinued, Landlord may require other equivalent or better forms. Evidence of the insurance coverage required to be maintained by Tenant, represented by certificates of insurance issued by the insurance carrier, must be furnished to Landlord prior to Tenant occupying the Premises and at least thirty (30) days prior to the expiration of current policies. Copies of all endorsements required by this Section 23 must accompany the certificates delivered to Landlord. The certificates will state the amounts of all deductibles and self-insured retentions and the Cancellation Endorsement. If requested in writing by Landlord, Tenant will provide to Landlord a certified copy of any or all insurance policies or endorsements required by this Section 23. The insurance described in clauses (a), (b) and (f) hereof shall indicate that the Landlord and Others in Interest are additional insureds.

(b) Landlord's Insurance. Landlord agrees to procure and maintain throughout the Term insurance naming the Tenant as additional insured, insurance that satisfies the Insurance Requirements and otherwise as provided and described in Exhibit K attached hereto and made a part hereof, as well as other insurance covering such other risks as Landlord may from time to time determine in its reasonable judgment, including, without limitation (if Landlord so elects), insurance against earthquake, terrorism, flood and rental loss.

(c) Tenant will not do or allow anything to be done on the Premises which will increase the rate of fire insurance on the Building from that of a general office building. If any use of the Premises by Tenant results in an increase in the fire insurance rate(s) for the Building, Tenant will pay Landlord, as Additional Rent, any resulting increase in premiums. Tenant's insurance obligations set forth in Section 23 (a) (i) above shall continue in effect throughout the Term and after the Term as long as Tenant, or anyone claiming by, through or under Tenant, occupies all or any part of the Premises.

(d) Landlord and Tenant hereby waive all claims and release each other and each other's employees, agents, customers and invitees from any and all liability for any loss, damage or injury to property occurring in, on, about or to the Premises or the Building by reason of fire or other casualty, regardless of cause, including the negligence of Landlord or Tenant and their respective employees, agents, customers and invitees, and agree that the property insurance carried by either of them will contain a clause whereby the insurer waives its right of subrogation against the other party. Each party to this Lease will give to its insurance company notice of the provisions of this Section 23(c) and have such insurance policies properly endorsed, if necessary, to prevent the invalidation of such insurance by



reason of the provisions of this Section 23(c). Each party shall bear the risk of its own deductibles. Landlord and Tenant acknowledge that the insurance requirements of this Lease reflect their mutual recognition and agreement that each party will look to its own insurance and that each can best insure against loss to its property and business no matter what the cause. If Tenant fails to maintain insurance for loss including, without limitation, business interruption, Tenant shall be deemed to have released Landlord for all loss or damage which would have been covered if Tenant had so insured.

(e) Landlord will at all times during the Term, as an Operating Cost, carry a policy of insurance which insures the Building, including the Premises and the Work, if any, against loss or damage by fire or other casualty (namely, the perils against which insurance is afforded by a standard fire insurance policy); provided, however, that Landlord will not be responsible for, and will not be obligated to insure against, any loss of or damage to any personal property or trade fixtures of Tenant or any alterations which Tenant may make to the Premises or any loss suffered by Tenant due to business interruption. All insurance maintained by Landlord pursuant to this Section 23(d) may be effected by blanket insurance policies.

(f) Tenant acknowledges that Landlord will not carry insurance on Tenant's Property and agrees that Landlord will not be obligated to repair any damage thereto or replace the same.

(g) The limits of all insurance provided under this Section 23 shall not limit Tenant's liability to Landlord under this Lease. If Tenant fails to maintain insurance or decides to self-insure for any loss including, without limitation, business interruption, Tenant releases Landlord and Others in Interest for all loss or damage which could have been covered if Tenant had so insured.

#### **SECTION 24** **SURRENDER**

Upon the expiration or earlier termination of this Lease, Tenant shall quit and surrender the Premises in good order and condition, ordinary wear and tear and damage by fire or other casualty, the elements and any cause beyond Tenant's control excepted.

#### **SECTION 25** **WAIVERS**

(a) The failure of Landlord to seek redress for violation of, or to insist upon the strict performance of, any covenant or condition of this Lease, or any of the Rules and Regulations set forth or hereafter adopted by Landlord, shall not prevent a subsequent act, which would have originally constituted a violation, from having all the force and effect of an original violation. The receipt by Landlord of rent with knowledge of the breach of any covenant of this Lease shall not be deemed a waiver of such breach. No provision of this Lease shall be deemed to have been waived by Landlord, unless such waiver be in writing signed by Landlord. No payment by Tenant or receipt by Landlord of a lesser amount than the monthly rent herein stipulated shall be deemed to be other than on account of the earliest stipulated Rent, nor shall any endorsement or statement on any check or any letter accompanying any check or payment as rent be deemed an accord and satisfaction, and Landlord may accept such check or payment without prejudice to Landlord's right to recover the balance of such Rent or pursue any other remedy in this Lease provided or otherwise. No act by Landlord or its agent shall be deemed an acceptance of a surrender of the Premises and no agreement to accept such surrender shall be enforceable unless in writing and signed by Landlord. No employee of Landlord or its agent shall have any power to accept the keys to the Premises and the delivery of the keys shall not operate as a termination of this Lease or surrender of the Premises.

(b) To the extent permitted by applicable law, Landlord and Tenant hereby waive trial by jury in any action, proceeding or counterclaim brought by either against the other on any matter whatsoever arising out of or in any way connected with this Lease, the relationship of Landlord and Tenant, or Tenant's use or occupancy of the Premises, or any emergency or other statutory remedy with respect thereto.

## **SECTION 26**

### **TENANT'S PROPERTY**

(a) All of Tenant's Property shall be removed by Tenant, at its sole cost and expense, upon the expiration or sooner termination of this Lease. In case of material damage by reason of such removal, Tenant shall restore the Premises to good order and condition. All property permitted or required to be removed by Tenant at the end of the Term remaining in the Premises sixty (60) days following the expiration or earlier termination of the Lease shall be deemed abandoned and may be retained or disposed of by Landlord at Tenant's expense and as Landlord, in its sole discretion, shall determine without any accountability or liability whatsoever to Tenant, and Tenant hereby releases Landlord from all claims for loss or damage to such property arising out of such retention or disposition thereof.

(b) For purposes of this Lease, "**Tenant's Property**" means all Tenant's trade fixtures, furniture, furnishings and equipment including, without limitation, computer and communications equipment and facilities (excluding any electric meter and related wiring) whether or not attached to or built into the Premises, which are installed by or for the account of Tenant (excluding any work performed by Landlord at Landlord's cost and expense), and which can be removed without material damage to the Premises or the Building. The foregoing notwithstanding, Tenant may but shall not be required to remove cabling or wiring and any Tenant's Property which cannot be removed without material damage to the Premises or the Building.

## **SECTION 27**

### **CHANGES TO PREMISES/BUILDING.**

(a) Tenant shall permit Landlord to install, use and maintain pipes and conduits in and through the Premises and unless such installations reduce the gross rentable square footage in the Premises in excess of one (1%) percent, there shall be no adjustment in the Rent. Where access doors are required for mechanical trades in or adjacent to the Premises, Landlord shall furnish and install such access doors and confine their location wherever practical to closets, coat rooms, toilet rooms, corridors, and kitchen or pantry rooms. Landlord and Tenant shall cooperate with each other in the location of Landlord's and Tenant's facilities requiring such access doors.

(b) Landlord shall have the right at any time without thereby creating an actual or constructive eviction or incurring any liability to Tenant therefor, to change the arrangements or location of such of the following as are not contained within the Premises or any part thereof: entrances, passageways, doors and doorways, corridors, stairs, toilets, and other like public or service portions of the Building or the Real Property, as well as to make such changes in or to the entrance doors to the Premises, without any adjustment in the Rent due hereunder from Tenant.

## **SECTION 28**

### **LIABILITY/ INDEMNITY**

(a) In addition to, and not in limitation of the insurance provisions contained in Section 23, and to the extent permitted by applicable laws, except for the amount, if any, of damage contributed by, or resulting from the negligence of the other party, each party shall defend, indemnify and save the other harmless against any and all claims of liability or loss, obligations, damages, costs and expenses, including reasonable attorneys' fees, from personal injury or property damage resulting from or arising out of its own negligence or the negligent acts or omissions of its employees, agents, contractors and licensees with respect to this Lease. The indemnified party: (i) shall promptly provide the indemnifying party with written notice of any claim, demand, lawsuit for which it seeks indemnification pursuant to this paragraph and provide the indemnifying party with copies of any demands, notices, summonses, or legal papers received in connection with such claim, demand, lawsuit, or the like; (ii) shall not settle any such claim, demand, lawsuit, or the like without the prior written consent of the indemnifying party; and (iii) shall cooperate with the indemnifying party, at no cost to the indemnified party, in the defense of the claim, demand, lawsuit, or the like. A delay in notice shall not relieve the indemnifying party of its indemnity obligation, except to the extent the indemnifying party can show it was prejudiced by the delay, and the indemnifying party shall not be liable for any settlement or litigation expenses incurred before the time when notice is

given. The indemnifying party shall not settle any claim, demand, lawsuit or the like without the prior written consent of the indemnified party, which shall not be unreasonably withheld, delayed or conditioned.

(b) Except as expressly otherwise provided in this Lease, Landlord shall have no liability to Tenant by reason of any inconvenience, annoyance, interruption or injury to business arising from Landlord or any tenant making any repairs or alterations or performing maintenance services, whether or not Landlord is required or permitted by this Lease or by law to make such repairs or alterations or to perform such services in or to any portion of the Real Property, Building or Premises, or in or to the fixtures, equipment or appurtenances of the Building or the Premises.

(c) Tenant shall look solely to the estate and interest of Landlord, its successors and assigns, in the Real Property and Building (or the proceeds thereof) for the collection of a judgment (or other judicial process) requiring the payment of damages or money by Landlord in the event of any default by Landlord hereunder, and no other property or assets of Landlord (or if Landlord is a partnership of any partner of Landlord) shall be subject to levy, execution or other enforcement procedure for the satisfaction of Tenant's remedies under or with respect to either this Lease, the relationship of Landlord and Tenant hereunder or Tenant's use and occupancy of the Premises.

(d) This Section 28 shall survive the expiration or earlier termination of this Lease.

## **SECTION 29**

### **BROKER**

The parties represent and warrant to each other that no broker brought about this transaction other than Tenant's Broker and Landlord's Broker and each party agrees to indemnify and hold the other harmless from any and all claims of any broker(s) with whom such party has dealt arising out of or in connection with the negotiations of or entering into of this Lease by Tenant and/or Landlord. Landlord agrees to pay Tenant's Broker and Landlord's Broker a commission pursuant to separate agreements. It is understood and agreed by and between the parties hereto that the representations set forth in this paragraph are a material element of this Lease. This Section shall survive expiration or earlier termination of this Lease.

## **SECTION 30**

### **NOTICES**

Any notice by either party to the other shall be in writing and shall be deemed to have been duly given only if (i) delivered personally or (ii) sent by registered mail or certified mail return receipt requested in a postage paid envelope or (iii) sent by nationally recognized overnight delivery service for next business day delivery, if to Tenant, to the addresses set forth above in Section 13, which shall include copies to the Westchester County Attorney and the Director of Countywide Administrative Services and Real Estate as set forth above in Section 13; if to Landlord, to the Landlord's address as set forth above in Section 13, with a copy to Landlord's counsel as set forth above; or, to either at such other address as Tenant or Landlord, respectively, may designate in writing in accordance herewith. Notice shall be deemed to have been duly given, if delivered personally, on delivery thereof, if mailed, upon the third (3<sup>rd</sup>) business day after the mailing thereof or if sent by overnight delivery service, the next business day.

## **SECTION 31**

### **AUTHORITY**

The signatories on behalf of Tenant represent and warrant that they are authorized to execute this Lease, and if Tenant is a corporation or other Entity, Tenant will, within twenty (20) days of Landlord's request, provide Landlord with a resolution confirming the authorization. Each party represents and warrants to the other (i) that neither it nor any person or entity that directly owns a ten percent (10%) or greater equity interest in such party nor any of its officers, directors or managing members is a person or entity with whom U.S. persons or entities are restricted from doing business under regulations of the Office of Foreign Asset Control ("OFAC") of the Department of the Treasury



(including those named on OFAC's Specially Designated Nationals and Blocked Persons List) or under any statute, executive order (including Executive Order 13224 signed on September 23, 2001 (the "**Executive Order**") and entitled "Blocking Property and Prohibiting Transactions with Persons Who Commit, Threaten to Commit, or Support Terrorism"), or other governmental action, (ii) that such party and Others in Interest's activities do not violate the International Money Laundering Abatement and Financial Anti-Terrorism Act of 2001 or the regulations or orders promulgated thereunder (as amended from time to time, the "**Money Laundering Act**"), and (iii) that throughout the Term each party will comply with the Executive Order and the Money Laundering Act.

## **SECTION 32**

### **PARKING SPACES**

(a) Tenant's occupancy of the Premises will include the exclusive right to use 229 designated Parking Spaces set forth in the Basic Lease Provisions, including 40 Electric Vehicle Charging Stations, for parking of automobiles in the garage located on the Real Property, as more particularly depicted on Exhibit A. Such Parking Spaces shall be considered a part of the Premises.

(b) In connection with the use of such reserved Parking Spaces, Tenant shall have a non-exclusive right of access over the entrance(s) and exit(s) to and from the garage. Tenant shall observe all regulations adopted by Landlord or any other operator of the garage in connection with the operation of the garage.

(c) Tenant's rights in and to the reserved Parking Spaces shall be exclusive. Tenant's reserved Parking Spaces shall be identified with either signage or the use of a color coding system. Tenant and its invitees shall not at any time park any oversized trucks or delivery vehicles in the garage. The garage will be accessible to Tenant's employees and invitees twenty-four (24) hours per day, seven (7) days per week. Nothing herein contained shall restrict other portions of the garage from being made available for use by the general public.

(d) The Parking Spaces, all other parking areas and the roadways and driveways used in connection therewith by Tenant and its invitees shall be used at their own risk, and Landlord shall not be liable for loss or damage to any vehicle or its contents, resulting from theft, collision, vandalism or any other cause. Landlord shall have no obligation to provide a guard or other personnel or device to patrol, monitor, guard, or secure any parking area, and if Landlord does provide such personnel or device Landlord shall have the right to terminate or withdraw such personnel or device at any time. Landlord shall have no liability for any acts or omissions of such personnel or device in failing to prevent such theft, vandalism, or loss or damage by other cause.

(e) There shall be no overnight parking except in that portion, if any, of the garage designated for such purpose by Landlord, in Landlord's sole discretion. Landlord shall not be required to make such designation. Any designation so made, may be rescinded at any time. Tenant shall cause its employees and invitees to remove their vehicles from the garage at the end of the working day, if there shall be no overnight parking area, or to place their cars in the overnight parking area, if designated. If any vehicles owned by Tenant shall remain in the garage overnight, except in the designated overnight parking area, if any, all costs or liabilities incurred by Landlord due to the presence of such vehicles and/or in removing such vehicles in order to effectuate cleaning, maintenance or operation of the garage, or any damage resulting to said vehicles or to Landlord's equipment or equipment owned by others, by reason of the presence or removal of said vehicles, shall be paid by Tenant to Landlord, as additional rent.

(f) Commencing on the Commencement Date, Tenant shall pay Landlord, in consideration for the parking privilege set forth in this Section 32, the Annual Parking Fee set forth in the Basic Lease Provisions.

(g) The Annual Parking Fee required to be paid by Tenant pursuant to Section 32(f) shall be paid in monthly installments, in advance, on the first day of each calendar month during the Term, together with and in the same manner as the Fixed Basic Rent.

(h) Tenant upon not less than thirty (30) days written notice to Landlord, may elect from time to time during the Term hereof to increase or decrease the number of Parking

Spaces set forth in the Basic Lease Provisions to be used in accordance with the terms hereof, in which case the Annual Parking Fee shall be adjusted downward accordingly by the then current monthly rate for each Parking Space that is surrendered and upward by such rate for each Parking Space that is added. Tenant's reduction of Parking Spaces or use of such additional Parking Spaces shall be subject to the execution of a separate agreement to memorialize the addition or reduction of the elected number of Parking Spaces and increasing or decreasing the Monthly Parking Fee.

(i) Tenant shall have exclusive access to 40 electric car charging stations, provided that Tenant shall be solely responsible for the electricity charges in connection therewith. It is hereby understood that Landlord shall maintain and repair the 40 electric car charging stations, at its sole cost and expense during the Term of this Lease. Tenant shall have the right, at Tenant's sole option, to relinquish up to twenty percent (20%) of the required electric car charging stations, for use by other tenants or invitees of the Building, provided Tenant is not required to pay for the electricity charges related thereto. Such election may be withdrawn by Tenant at any time, after the giving of notice to Landlord of such election.

(j) Landlord reserves the right from time to time to: (i) restrict parking by tenants, their officers, agents, employees, customers and invitees, to designated areas; (ii) discontinue, restrict or temporarily suspend use of all, or any portion of, the parking areas for such period of time as may be necessary in Landlord's sole discretion, to perform maintenance or repairs; (iii) limit the parking of vans, limousines and other large vehicles to specified areas; and (iv) institute control mechanisms and systems in order to regulate the use of the common parking area.

### SECTION 33 HAZARDOUS SUBSTANCES

(a) Notwithstanding any contrary provisions of this Lease whatsoever, including, without limitation, those pertaining to use and Permitted Use, Tenant shall not use, or permit the use of the Premises or the Real Property so as to create or result in, directly or indirectly, (a) any sudden or gradual spill, leak, discharge, escape, seepage, infiltration, abandonment, dumping, disposal or storage of any hazardous or industrial waste, substance or contamination, effluent, sewage, pollution or other detrimental or deleterious material or substance (including without limitation asbestos), or the disposal, storage or abandonment on the Real Property of any material, tank or container holding or contaminated by any of the foregoing or residues thereof, or the installation of any material or product containing or composed of any of the foregoing, in, on, from, under or above the Real Property (the foregoing occurrences being hereinafter collectively called "**Environmental Hazard**"), unless such Environmental Hazards are caused or exacerbated by the specific activities of Landlord or its employees, contractors, agents or tenants and/or were in existence at the Premises and/or the Real Property prior to Tenant's use of the Premises and/or the Real Property, or (b) any violation, or state of facts or condition which would result in a violation, of any federal, state or local statute, law, code, rule, regulation or order applicable to any Environmental Hazard (the foregoing being hereinafter collectively called "**Legal Violation**"). To the extent permitted by law, in the event of the violation of the foregoing by Tenant, in addition to all other rights and remedies of Landlord under this Lease, regardless of when the existence of the Environmental Hazard or Legal Violation is determined, and whether during the Term or after the Expiration Date, Tenant shall, immediately upon notice from Landlord, at Tenant's sole cost and expense, at Landlord's option, either (i) take all action necessary to test, identify and monitor the Environmental Hazard and to remove the Environmental Hazard from the Real Property and dispose of the same and restore the Real Property to the condition existing prior to such Environmental Hazard or Legal Violation, and/or to remedy any Legal Violation, all in accordance with applicable federal, state and local statutes, laws, codes, rules, regulations or orders; or (ii) reimburse Landlord for all reasonable costs and expenses incurred by Landlord (including, without limitation, for engineering or environmental consultant or laboratory services) in testing, investigating, identifying and monitoring the Environmental Hazard and in removing and disposing of the Environmental Hazard and in restoring the Real Property, and/or in remedying any Legal Violation, and Tenant shall defend, indemnify and save harmless Landlord and Others in Interest against and from all liabilities, obligations, damages, penalties, claims, costs, charges and expenses, including without limitation reasonable architects' and attorneys' fees and disbursements which may be imposed upon or incurred by or asserted against Landlord and

Others in Interest, whether by any governmental authority, Tenant or other third party, by reason of any violation or alleged violation of any of the foregoing provisions of this Section.

(b) Landlord will be responsible for all obligations of compliance with any and all environmental and industrial hygiene laws, including any regulations, guidelines, standards, or policies of any governmental authorities regulating or imposing standards of liability or standards of conduct with regard to any Environmental Hazard or other environmental or industrial hygiene conditions or concerns as may now or at any time hereafter be in effect at the Building and/or the Real Property, that are or were in any way related to activity now conducted in, on, or in any way related to the Building or the Real Property, unless such Environmental Hazard or conditions or concerns are caused by the specific activities or negligence of Tenant, Tenant's employees, contractors or agents in the Premises or elsewhere at the Real Property. To the extent an Environmental Hazard exists, in connection with the foregoing, Landlord, shall, at its sole cost and expense, take all action necessary to test, identify and monitor any such Environmental Hazard or environmental or industrial hygiene conditions or concerns and to remove and/ or dispose of such Environmental Hazard or other environmental or industrial hygiene conditions or concerns from the Real Property and dispose of the same and restore the Real Property to the condition existing prior to such any environmental or industrial hygiene conditions or concerns, and/or to remedy any Legal Violation (as defined above), all in accordance with applicable federal, state and local statutes, laws, codes, rules, regulations or orders and Landlord shall defend, indemnify and save harmless Tenant, its agents, representatives, officers, shareholders, directors, employees and others in interest, its successors and assigns against and from all liabilities, obligations, losses, damages, actions, penalties, claims, costs, charges and expenses, including without limitation reasonable architects, consultants and attorneys' fees and disbursements or expenses of any nature whatsoever, which may be imposed upon or incurred by or asserted against Tenant and others in interest, whether by any governmental authority, Landlord or other third party, by reason of any violation or alleged violation of any of the foregoing provisions of this Section by Landlord or arising out of any past or future spillage, release, discharge, disposal, or placement in or upon the air, soil or water in, under or upon the Premises and/or the Real Property of any Environmental Hazard by anyone other than Tenant during the term of the Lease.

(c) This Section 33 shall survive the expiration or earlier termination of this Lease.

#### **SECTION 34**

##### **SIGNAGE**

Tenant shall have the right, at its own cost and expense, to erect, place and/or maintain its name, logos, other signage and/or notices(s) within the Premises, on the walls of elevator lobbies servicing the Premises, at the entrance doors servicing the Premises, as well as on existing or future building monument signs(s) and/or lobby directories, the subject matter, type, design, number, location and elevation of such signs, and whether lighted or unlighted as reasonably determined by Tenant. In addition, Tenant, shall have the right, at its own cost and expense, to erect or request Landlord to erect, place and/or maintain its name, logo and/or signage on the exterior Building façade, as Tenant may request, in compliance with all laws, rules and regulations and all governmental authorities. Any such name, logo, sign and/or notice shall be of such size, type, design, color, content and style, and whether lighted or unlighted as Tenant shall determine as reasonably approved by Landlord. Landlord shall, at Tenant's sole cost and expense, obtain or cooperate with Tenant to obtain all necessary permits and licenses for the installation of such signage, and maintain such signage in good condition throughout the term of the Lease.

#### **SECTION 35**

##### **ACCESS/SECURITY**

Tenant, subject to Force Majeure, shall have access to the Premises, twenty-four (24) hours per day, seven (7) days per week pursuant to procedures established by Landlord (but Landlord shall have no obligation to Tenant to remove any snow, ice or other obstructions except on business days during normal Building Hours). Common area lights and parking lot lights (as of sunset) shall be illuminated during normal Building Hours set forth herein. Landlord shall impose, temporarily from time to time, or permanently, upon Tenants' request, such security procedures as Tenant may deem



appropriate in its sole but reasonable discretion. This provision shall not impose upon Landlord any obligation to provide any services set forth herein beyond normal Building Hours, except for security services. Tenant shall have the right to install, at its sole expense, any and all additional and supplemental security systems it deems necessary in the Premises, in the ground floor lobby exclusively serving the Premises, on each of the three floors comprising the Premises and in the stairwells to and between such floors, provided: (a) Landlord shall be given a fob or other means to allow for access in accordance with the terms hereof and (b) such system shall be installed in accordance with the provisions of Section 6 hereof. Tenant shall be solely responsible for providing, at Tenant's sole cost, any and all personnel to staff the ground floor lobby exclusively serving the Premises and any and all security personnel for the Premises.

### **SECTION 36** **FLOOD HISTORY DISCLOSURE FORM**

Tenant acknowledges receipt of the Flood History Disclosure Form attached hereto as Exhibit I and made a part hereof. Tenant shall sign the attached Flood History Disclosure Form where indicated and shall return the same to Landlord with Tenant's execution and delivery of the Lease. Landlord shall sign the Flood History Disclosure Form and return a fully executed copy of the same to Tenant with, and at such time as Landlord shall delivery, a fully executed copy of the Lease to Tenant.

### **SECTION 37** **RIGHT OF FIRST OFFER**

(a) If Landlord, in its sole discretion, intends to lease additional premises at the 50 Main St. Building (as defined herein), during the Initial Term or any Extension Term to a third party, and provided that (i) this Lease shall be in full force and effect, (ii) Tenant is not then in default under the Lease beyond any applicable notice and cure periods, (iii) Tenant shall be in occupancy of the entire Premises, and (iv) the Tenant originally named herein shall not have assigned its interest in the Lease or sublet all or any part of the Premises (except in connection with a Permitted Transfer), Tenant then shall have a right of first refusal to lease such premises on the terms and conditions at which Landlord proposes to lease said premises to a third party. Landlord shall give Tenant written notice of such intent to lease and shall indicate the terms and conditions (upon which Landlord intends to lease said premises to an unrelated third party. Tenant thereafter shall have thirty (30) days to elect in writing to lease the contiguous premises **TIME SHALL BE OF THE ESSENCE WITH RESPECT TO ALL TIME PERIODS SET FORTH IN THIS SECTION 37**. If Tenant does not elect to lease the adjacent premises within the 45-day period specified, then Landlord shall be free to lease the adjacent premises to such third party pursuant to the proposed terms, and Tenant shall have no further rights under this Section as if had not been included in this Lease.

(b) If Landlord, in its sole discretion, intends to sell the Premises (as opposed to the entire Building, in which case this Section 37 shall not apply) during the Initial Term or any Extension Term to a third party, and provided that (i) this Lease shall be in full force and effect, (ii) Tenant is not then in default under the Lease and has not any time been in default under the Lease beyond any applicable notice and cure periods, (iii) no event or condition exists that, with notice and/or expiration of any grace period, would constitute a default by Tenant under the Lease, (iv) Tenant shall be in occupancy of the entire Premises, and (v) the Tenant originally named herein shall not have assigned its interest in the Lease or sublet all or any part of the Premises (except in connection with a Permitted Transfer), Tenant then shall have a right of first offer to purchase the Premises (the "**ROFO Option**") on the terms and conditions at which Landlord proposes to sell the Premises to a third party. Landlord shall give Tenant written notice of such intent to sell and shall indicate the terms and conditions (including the sale price) upon which Landlord intends to sell the Premises to an unrelated third party. Tenant thereafter shall have thirty (30) days to elect in writing to purchase the Premises and to execute a purchase and sale agreement with respect thereto and shall have an additional sixty (60) days to close on the acquisition of the Premises on the terms and conditions set forth in the notice provided by Landlord to Tenant; provided that prior to the execution of a binding purchase and sale agreement, Landlord shall retain the right to elect not to sell the Premises or to sell the entire Building, in which case any election by Tenant to purchase the Premises shall be null and void. **TIME SHALL BE OF THE ESSENCE WITH RESPECT TO ALL TIME PERIODS SET FORTH IN THIS SECTION 37**. If Tenant does not elect to purchase the Premises or does not close on the acquisition of the Premises within

the respective time periods specified, then Landlord shall be free to sell the Premises to any third party pursuant to such terms as Landlord shall determine in its sole discretion, and Tenant shall have no further rights under this Section as if had not been included in this Lease. If the price at which Landlord intends to sell the Premises to a third party, however, is less than 95% of the price set forth in the notice provided by Landlord to Tenant, then Landlord shall again offer Tenant the right to acquire the Premises upon the same terms and conditions, provided that Tenant shall have sixty (60) days thereafter to complete the acquisition at such price, terms and conditions.

(c) If the Lease or Tenant's right to possession of the Premises shall terminate in any manner whatsoever before Tenant shall exercise any option set forth in this section 37, or if Tenant shall have assigned (other than pursuant to a Permitted Transfer) or subleased its right to possess all or any portion of, the Premises, or Tenant shall be in default under the Lease beyond any applicable notice or cure period, then immediately upon such termination, sublease, or assignment or the expiration of such notice or cure period, the rights applicable to Tenant under this section 37 shall simultaneously terminate and become null and void and Tenant shall have no further rights under this Section as if it had never been included in this Lease. Under no circumstances whatsoever shall a subtenant under a sublease or any other occupant of all or any part of the Premises or any assignee of this Lease that is not pursuant to a Permitted Transfer or any successor to the interest of Tenant by reason of any action under the Bankruptcy Code, or by any public officer, custodian, receiver, United States Trustee, trustee or liquidator of Tenant or substantially all of Tenant's property, have any right to exercise the rights granted in Section 37 of this Lease. If Tenant shall have exercised any options in accordance with Section 37 hereof, such exercise (at Landlord's sole option) shall be deemed withdrawn if, at any time after the giving of notice of such election and prior to Tenant's acquisition of the Premises or space at 50 Main St. Building, Tenant shall sublease all or any part of the Premises or assign Tenant's interest in this Lease other than pursuant to a Permitted Transfer or be in default under the Lease beyond any applicable notice or cure period and, in such case, Tenant shall have no further rights under this Section as if it had never been included in this Lease. In addition, Tenant shall have absolutely no rights under this Section in connection with, any of the following: (i) any and all transfers of all or any portion of the Premises, or any interest therein, by means of judicial foreclosure, trustee's sale, deed in lieu of foreclosure or similar conveyance, (ii) any and all transfers or conveyances of any ownership interests in Landlord or any of the parties or entities comprising Landlord (including without limitation transfers of partnership interests, membership interests, and shares of common and/or preferred stock), (iii) any and all transfers of tenancy-in-common interests in the Premises by Landlord to, or by and among, the parties or entities comprising Landlord, (iv) the creation of any liens, encumbrances or security interests or the transfer of any interest in the Premises for security purposes, (v) any transfer by operation of law, (vi) any transfer to or from, and/or lease with, any industrial development agency or authority or similar agency or authority established under New York law, and (v) the transfer of all or any portion of the Premises, or any interest in the Premises, to any Affiliate of Landlord or any partner, member or shareholder of Landlord. As used in this Section, the term "Affiliate" shall mean: (i) any natural person, partnership, corporation, limited liability company and/or other legal entity directly or indirectly controlling, controlled by, or under common control with, Landlord; or (iv) any officer, director, member or manager of Landlord.

### **SECTION 38**

#### **TITLE AND OTHER REPRESENTATIONS**

Landlord hereby represents, warrants and covenants that it has good and sufficient title to and/or interest in the Real Property and has the right to receive rental payments from Tenant, that there are no title encumbrances that would affect Tenant's interest under this Lease or otherwise affect the use of the Premises for Tenant's intended use or the proper operation of Tenant's business at the Premises. Landlord further represents, covenants and warrants that it provided all the necessary documentation to evidence Landlord's title to the Real Property and allow Tenant to effect all rental and other fee payments under this Lease and to provide any updated rental documentation, as reasonably required by Tenant.

**SECTION 39**  
**INTENTIONALLY DELETED**

**SECTION 40**  
**INTENTIONALLY DELETED**

**SECTION 41**  
**MISCELLANEOUS**

(a) If any of the provisions of this Lease, or the application of such provisions, will be invalid or unenforceable, the remainder of this Lease will not be affected, and this Lease will be valid and enforceable to the fullest extent permitted by law.

(b) The submission of this Lease for examination does not constitute a reservation of, or option for, the Premises, and this Lease is submitted to Tenant for signature with the understanding that it will not bind Landlord unless and until it has been executed by Landlord and delivered to Tenant or Tenant's attorney or agent and until the holder of any mortgage will have unconditionally approved this Lease, to the reasonable satisfaction of Landlord, if such approval is required under the terms of such mortgage. Lender's approval shall be deemed given upon execution of a non-disturbance and attornment agreement by such lender.

(c) No representations or promises will be binding on the parties to this Lease except those representations and promises expressly contained in the Lease.

(d) The Section headings in this Lease are intended for convenience only and will not be taken into consideration in any construction or interpretation of this Lease or any of its provisions.

(e) Force Majeure means and includes those situations beyond either party's reasonable control, including acts of God; strikes; inclement weather or, where applicable, the passage of time while waiting for an adjustment of insurance proceeds. Any time limits required to be met by either party hereunder, whether specifically made subject to Force Majeure or not, except those related to the surrender of the Premises by the end of the Term or payment of Fixed Basic Rent or Additional Rent, will, unless specifically stated to the contrary elsewhere in this Lease, be automatically extended by the number of days by which any required performance is delayed due to Force Majeure.

(f) Tenant consents to the receipt of electronic messages from Landlord or its affiliates.

(g) The provisions of this Lease will apply to, bind and inure to the benefit of Landlord and its respective heirs, successors, legal representatives and assigns. The term "Landlord" as used in this Lease means only the owner or a master lessee of the Building or the condominium unit of which the Premises form a part, so that in the event of any sale of the Building or of any master lease thereof, the Landlord named herein will be and hereby is entirely freed and relieved of all covenants and obligations of Landlord under this Lease accruing after such sale, provided the purchaser or the new master lessee of the Building has assumed and agreed to carry out any and all covenants and obligations of Landlord accruing under this Lease after such sale in writing.

(h) Landlord reserves the right to temporarily alter Tenant's ingress and egress to the Building or make any change in operating conditions to restrict pedestrian, vehicular or delivery ingress and egress to a particular location, or at any time close temporarily any Common Facilities to make repairs or changes therein or to effect construction, repairs or changes within the Building, or to discourage non-tenant parking, and may do such other acts in and to the Common Facilities as in Landlord's sole but reasonable judgment may be desirable to improve their convenience.

(i) This Lease will be governed by the laws of the State (without the application of any conflict of laws principles), and any action or proceeding in connection with this Lease shall be decided in the courts of the State. In addition, the parties hereby agree that for any cause of action arising out of this Agreement shall be brought in the County of Westchester.

(j) Intentionally deleted.



(k) Tenant agrees not to disclose the terms, covenants, conditions or other facts with respect to this Lease, including the Fixed Basic Rent and Additional Rent, to any person, corporation, partnership, association, newspaper, periodical or other entity, except to Tenant's accountants or attorneys (who shall also be required to keep the terms of this Lease confidential) or as required by law. This non-disclosure and confidentiality agreement will be binding upon Tenant without limitation as to time, and a breach of this Section will constitute a material breach under this Lease. Furthermore, any inspection and/or audit Tenant is permitted to perform pursuant to this Lease shall be subject to Tenant and/or Tenant's Certified Public Accounting firm executing a confidentiality agreement reasonably acceptable to Landlord prior to the commencement of any such inspection and/or audit. In addition, Tenant's employees, contractors, etc. shall keep any of the terms and conditions of this Lease and any future inspections and/or audits, including any billing statements and/or any backup supporting those statements, confidential.

(l) Notwithstanding anything to the contrary contained in this Lease, in no event will Landlord or Tenant be liable to the other for the payment of consequential, punitive or speculative damages.

(m) If this Lease is signed by more than one party, their obligations shall be joint and several, and the release of any one such tenants shall not release any other of such tenants.

(n) This Lease may be executed in multiple counterparts, each of which, when assembled to include an original signature for each party contemplated to sign this Lease, will constitute a complete and fully executed original. All such fully executed counterparts will collectively constitute a single Lease agreement.

(o) Each party agrees that it will not raise or assert as a defense to any obligation under this Lease, or make any claim that this Lease is invalid or unenforceable, due to any failure of this document to comply with ministerial requirements, including requirements for corporate seals, attestations, witnesses, notarizations or other similar requirements, and each party hereby waives the right to assert any such defense or make any claim of invalidity or unenforceability due to any of the foregoing.

(p) All employees of Tenant located at the Premises will be entitled to use the Fitness Center in the building (the "**50 Main St. Building**") located at 50 Main Street, White Plains, New York at no charge, on a first come first served basis. Tenant also will have the right to use the Executive Dining Room, Board Room and Lecture Hall located in the 50 Main St. Building at no charge, subject to availability, provided however Landlord shall not have the right to cancel or reschedule Tenant's room reservations at any such facilities to accommodate other tenants or visitors. Use of the foregoing facilities shall be subject to such rules and regulations as may be in place at any time and from time to time so long as they are also applicable to tenants of the 50 Main St. Building.

(q) Each employee of Tenant located at the Premises will be eligible for a 15% credit (the "**Rent Credit**") to be applied to the base rent of any apartment he or she rents at the residential apartment building located at either 1 Martine Avenue, White Plains, New York or at 34 South Lexington Avenue, White Plains, New York (a/k/a The Metro). Any such employee wishing to use the Rent Credit, however, must independently qualify to lease an apartment at either of such buildings pursuant to the then current building's review and approval process. This provision shall not automatically entitle any such employee to rent an apartment at either building. In addition, in the event that two (2) or more of Tenant's employees wish to rent the same apartment at either of the foregoing buildings, the Rent Credit of only one employee may be applied against the base rent of such apartment.

(r) This Lease and the obligations of the parties hereunder are subject to the provisions of Section 362(3) of the New York County Law and the case law applicable to such section.

(s) This Lease Agreement shall be deemed executory only to the extent of money appropriated and allocated by the County of Westchester for the performance of the terms hereof, and no liability under this Agreement shall be incurred by the County of Westchester beyond moneys available for the purposes s hereof. Notwithstanding the foregoing, the Tenant will do all things lawfully within its power to obtain, maintain and properly request and pursue funds from which payments under this Lease may be made, including (i) making provisions

for such payment to the extent necessary on each annual budget submitted for the purpose of obtaining funding, and (ii) using reasonable efforts to have such portion of the budget approved. In the event of reduction in money so appropriated, the Tenant shall make reasonable efforts to obtain funds from other sources, if available.

(t) Landlord agrees to execute a Memorandum of this Lease, in form and substance satisfactory to Landlord, which will be held in escrow by Tenant until the December 1<sup>st</sup> Date. In the event a subordination and non-disturbance agreement between Tenant and Anticipated Mortgagee or a non-disturbance agreement with Mortgagee is not delivered as required under this Lease, then Tenant may record the Memorandum of Lease with the appropriate recording officer after the December 1<sup>st</sup> Date.

(u) This Lease has been approved by the Board of Legislators of the County of Westchester on the \_\_\_\_ day of \_\_\_\_\_, 2024, by Local Law and by the County Board of Acquisition and Contract by Resolution approved on the \_\_\_\_ day of \_\_\_\_\_, 2024. The Local Law and Resolution are both attached hereto and made a part hereof as Exhibit J.

(v) This Lease shall not be enforceable until signed by both parties and approved by the Office of the County Attorney.

[SIGNATURES ON NEXT PAGE]

THE PARTIES to this Lease have executed and delivered this Lease as of the date set forth above.

LANDLORD:

MG MARTINE SPE LLC

By: \_\_\_\_\_  
Name:  
Title:

TENANT:

THE COUNTY OF WESTCHESTER

By: \_\_\_\_\_  
Name:  
Title:

Approved:

\_\_\_\_\_  
Senior Assistant County Attorney  
The County of Westchester  
Westchester County DOH Lease(6165644.3).cmc 10.02.2024

ACKNOWLEDGMENT

STATE OF NEW YORK        )  
                                      ) ss.:  
COUNTY OF                 )

On the \_\_\_\_\_ day of \_\_\_\_\_ in the year 20\_\_ before me, the undersigned, personally appeared \_\_\_\_\_, personally known to me or proved to me on the basis of satisfactory evidence to be the individual(s) whose name(s) is (are) subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their capacity(ies), and that by his/her/their signature(s) on the instrument, the individual(s), or the person upon behalf of which the individual(s) acted, executed the instrument.

Date: \_\_\_\_\_

\_\_\_\_\_

Notary Public

**CERTIFICATE OF AUTHORITY**

(LIMITED LIABILITY COMPANY)

I, \_\_\_\_\_,  
(member or manager other than person executing the agreement)

certify that I am a \_\_\_\_\_ of \_\_\_\_\_  
(member/manager) (Name of Limited Liability Company)

(the "LLC") duly organized under the Laws of the State of \_\_\_\_\_; that  
(Name of State)

\_\_\_\_\_ who signed said Agreement on behalf of the LLC  
(Person Executing Agreement)

was, at the time of execution, a manager of the LLC; that said Agreement was duly signed for and on behalf of said LLC and as the act of said LLC for the purposes therein mentioned.

\_\_\_\_\_  
(Signature)

STATE OF NEW YORK )  
COUNTY OF \_\_\_\_\_ ) ss.:

(a) On the \_\_\_\_\_ day of \_\_\_\_\_ in the year 20\_\_ before me, the undersigned, a Notary Public in and for said State, \_\_\_\_\_ personally appeared, personally known to me or proved to me on the basis of satisfactory evidence to be the member/manager described in and who executed the above certificate, who being by me duly sworn did depose and say that he/she resides at \_\_\_\_\_, and he/she is a member/manager of said LLC; that he/she is duly authorized to execute said certificate on behalf of said LLC, and that he/she signed his/her name thereto pursuant to such authority.

Date: \_\_\_\_\_

\_\_\_\_\_  
Notary Public



**EXHIBIT A**  
**LOCATION PLAN OF PREMISES**

## **EXHIBIT B**

### **RULES AND REGULATIONS**

1. **OBSTRUCTION OF PASSAGEWAYS:** Tenant will not: (i) obstruct the sidewalks, entrance(s), passages, courts, elevators, vestibules, stairways, corridors and other public parts of the Building or the Related Facilities (including, the walkways and parking areas located thereon), or (ii) interfere with the ability of Landlord and other tenants to use and enjoy any of these areas, and (iii) use them for any purpose other than ingress and egress.
2. **WINDOWS:** Tenant will not cover or obstruct windows in the Premises. No bottles, parcels or other articles will be placed on the window sills, in the halls, or in any other part of the Building other than the Premises. No article will be thrown out of the doors or windows of the Premises.
3. **PROJECTIONS FROM BUILDING:** No awnings, air-conditioning units or other fixtures will be attached to the outside walls or the window sills of the Building or otherwise affixed so as to project from the Building, without the prior reasonable written consent of Landlord.
4. **SIGNS:** Tenant will not affix any sign or lettering to any part of the outside of the Premises, or any part of the inside of the Premises so as to be visible from the outside of the Premises, without the prior reasonable written consent of Landlord. However, Tenant will have the right to place its name on any door leading into the Premises, the size, color and style thereof to be subject to the Landlord's approval, which shall not be unreasonably withheld, conditioned or delayed.
5. **FLOOR COVERING:** Tenant will not lay linoleum or other similar floor covering so that the same will come in direct contact with the floor of the Premises. If linoleum or other similar floor covering is desired to be used, an interlining of builder's deadening felt will first be fixed to the floor by a paste or other material that may easily be removed with water. The use of cement or other similar adhesive material for this purpose is expressly prohibited.
6. **INTERFERENCE WITH OCCUPANTS OF BUILDING:** Tenant will not make, or permit to be made, any unseemly or disturbing noises or odors and will not interfere with other tenants or those having business with them. Tenant will keep all mechanical apparatus in the Premises free of vibration and noise which may be transmitted beyond the limits of the Premises.
7. **LOCK KEYS:** No additional locks or bolts of any kind will be placed on any of the doors or windows by Tenant. Tenant will, on the expiration or earlier termination of Tenant's tenancy, deliver to Landlord all keys to any space within the Building either furnished to or otherwise procured by Tenant, and in the event of the loss of any keys furnished, Tenant will pay to Landlord the cost thereof. Tenant, before closing and leaving the Premises, will ensure that all windows are closed and entrance doors locked. Nothing in this Section 7 will be deemed to prohibit Tenant from installing a security system within the Premises, provided: (1) Tenant obtains Landlord's consent which will not be unreasonably withheld or delayed; (2) Tenant supplies Landlord with copies of the plans and specifications of the system; (3) such installation will not damage the Building or any Common Facilities; (4) all costs of installation and removal (if required by Landlord) will be borne solely by Tenant; and (5) Landlord is afforded the security code or other means of access to the Premises for purposes permitted under the Lease.
8. **CONTRACTORS:** Tenant will not enter into any contract of any kind with any supplier of towels, water, toilet articles, waxing, rug shampooing, venetian blind washing, furniture polishing, lamp servicing, cleaning of electrical fixtures, removal of waste paper, rubbish or garbage, or other like service, nor will Tenant install or cause to be installed any machine of any kind (other than customary office equipment) in the Premises, other portions of the Building or the Real Property without the prior written consent of the Landlord, which shall not be unreasonably withheld, conditioned or delayed. Tenant will not employ any persons other than Landlord's janitors for the purpose of cleaning the Premises without the prior

written consent of Landlord which consent shall not be unreasonably withheld, conditioned or delayed. Landlord shall be responsible to Tenant for any loss of property from the Premises, however occurring, or for any damage to the effects of Tenant by such janitors or any of its employees, or by any other person or any other cause.

9. **PROHIBITED ON PREMISES:** Tenant will not conduct, or permit any other person to conduct, any auction upon the Premises, nor will Tenant manufacture or store, or permit others to manufacture or store, goods, wares or merchandise upon the Premises, without the prior written approval of Landlord, not to be unreasonably conditioned or withheld, except the storage in customary amounts of ordinary office supplies to be used by Tenant in the conduct of its business. Tenant will not permit the Premises to be used for gambling. Tenant will not permit any portion of the Premises to be occupied as an office for a public stenographer or typewriter, or for the manufacture or sale of intoxicating beverages, narcotics, tobacco in any form or as a barber or manicure shop or for any medical use, including medical testing on humans or animals. Canvassing, soliciting and peddling at the Real Property are prohibited, and Tenant will cooperate to prevent the same. No bicycles, vehicles or animals of any kind will be brought into or kept in or about the Real Property, except guide dogs.
10. **PLUMBING, ELECTRIC AND TELEPHONE WORK:** Plumbing facilities will not be used for any purpose other than those for which they were constructed; and no sweepings, rubbish, ashes, newspaper or other substances of any kind will be thrown into them. Waste and excessive or unusual amounts of electricity or water use is prohibited. When electric or communications wiring of any kind is introduced, it must be connected as directed by Landlord, and no stringing or cutting of wires will be allowed, except by prior written consent of Landlord, which shall not be unreasonably withheld, conditioned or delayed, and will be done by contractors reasonably approved by Landlord.
11. **MOVEMENT OF FURNITURE, FREIGHT OR BULKY MATTER:** Tenant shall have twenty-four (24) hours a day access to freight elevators and loading docks, at no additional charge to the Tenant, provided, however, the carrying in or out of freight, furniture or bulky matter of any description must take place after advance notice to the manager of the Building. The persons employed by Tenant for such work must provide liability insurance reasonably satisfactory to Landlord. There will not be used in the Building or Premises, either by Tenant or by others, any hand trucks except those equipped with rubber tires and side guards, and no hand trucks will be allowed in the elevators without the reasonable consent of the superintendent of the Building.
12. **SAFES AND OTHER HEAVY EQUIPMENT:** Landlord reserves the right to prescribe the weight and position of all safes and other heavy equipment so as to distribute their weight properly and to prevent any unsafe condition from arising. Tenant will not place a load upon any floor of the Premises exceeding the floor load per square foot area which it was designed to carry or which is allowed by law.
13. **ADVERTISING:** Landlord may prohibit any advertising by Tenant which in Landlord's reasonable opinion tends to impair the reputation of the Building or its desirability as a building for offices, and upon written notice from Landlord, Tenant will refrain from or discontinue such advertising.
14. **NON-OBSERVANCE OR VIOLATION OF RULES BY OTHER TENANTS:** Landlord will not be responsible to Tenant for non-observance or violation of any of these rules and regulations by any other tenant.
15. **BUILDING ACCESS:** Tenant shall be solely responsible for all persons who enter the Building through the ground floor lobby serving the Premises during Building Hours and at all other times.
16. **RESERVATION OF RIGHTS:** Landlord reserves to itself any and all rights not granted to Tenant hereunder, including the following:
  - a) the exclusive right to the use of the name of the Building for all purposes, except that Tenant may use the name as its business address and for no other purposes;

- b) the right to change the name or address of the Building, without incurring any liability to Tenant for doing so;
  - c) the right to install and maintain signs on the exterior of the Building;
  - d) the exclusive right to use and/or allow others to use the roof of the Building;
  - e) intentionally deleted; and
  - f) the right to grant to anyone the right to conduct any particular business or undertaking in the Building.
17. **AGREEMENT EXECUTORY.** This Agreement and the obligations of the parties hereunder are subject to the provisions of Section 362(3) of the New York County Law and the case law applicable to such section.
18. **ENFORCEABILITY.** This Agreement shall not be enforceable until signed by both parties and approved by the Office of the County Attorney.

-- END --

## **EXHIBIT C**

### **WORK LETTER**

THE COUNTY OF WESTCHESTER (“**Tenant**”) and MG MARTINE SPE LLC (“**Landlord**”) are executing a written lease (“**Lease**”), covering 57,266 gross rentable square feet in the building at 11 Martine Avenue, White Plains, New York, as more particularly described in the Lease (“**Premises**”).

With respect to the construction work being conducted in or about the Premises, each party agrees to be bound by the approval and actions of their respective construction representatives. Unless changed by written notification, the parties designate the following individuals as their respective construction representatives:

FOR LANDLORD:  
Chris Lynch - clynch@gdcllc.com

FOR TENANT:

To induce Tenant to enter into the Lease (which is hereby incorporated by reference) and in consideration of the covenants contained in this Work Letter (this “**Work Letter**”), Landlord and Tenant agree as follows:

1. Landlord’s architect has prepared for Tenant’s review and approval of preliminary plans depicting the location and layout of the Premises shown on Exhibit A attached to the Lease (the “**Plan**”), which plans have been approved by Tenant, pursuant to the terms of the Lease. The Landlord’s Work must include all work required pursuant to the Tenant’s Work Letter dated September 28, 2023 attached hereto as Exhibit 1, Tenant’s final space plan, the approved final plans, which will not deviate in any material way from the Plan, with respect to the Premises location and layout, and the Work Letter, and provide detailed specifications regarding materials and design standards to be used for the renovation of the restrooms in Floors 14<sup>th</sup> and 15<sup>th</sup>. The renovation of the restrooms in Floors 14<sup>th</sup> and 15<sup>th</sup> shall conform with the design standards and materials utilized by the Landlord in the renovation of the restroom(s) in Floor 12<sup>th</sup>.
2. Landlord will file the Plan and any other necessary plans with the appropriate governmental agencies, if required. This Lease is expressly conditioned upon Landlord obtaining a building permit from the appropriate government official for the Work (as hereinafter defined), if required.
3. Landlord agrees, at its expense and without charge to Tenant (unless otherwise provided), to do the Work in the Premises as shown on the approved Plan described above and in Exhibit B attached hereto, which will be referred to as the “**Work**” in the following provisions of this Work Letter. For purposes of this Work Letter and the Lease, “**Building Standard**” will mean the type and grade of material, equipment and/or device designated by Landlord as standard for the Building. All items are Building Standard unless otherwise noted. All Work shall be performed by Landlord in a good and workmanlike manner in compliance with applicable law.
4. Landlord agrees to provide a construction schedule and progress reports to Tenant on completion of the Work during the construction process. Landlord shall hold weekly construction meetings that Tenant’s authorized representatives may attend. Tenant’s authorized representatives shall have the right to inspect the progress of construction from time to time. Landlord and Tenant shall conduct a final inspection of the Premises to determine any punch list items that must be completed by Landlord, prior to the Premises being considered Substantially Complete.
5. The installation or wiring of telephone and computer (data) outlets is not part of the Work. Tenant will bear the responsibility to provide its own telephone and data systems, as well as furniture, furnishing and equipment at Tenant’s sole cost and expense.
6. Changes in the Work, if necessary or requested by the Tenant and agreed to by Landlord, will be accomplished after the execution of the Lease and this Work Letter, and without



invalidating any part of the Lease or Work Letter, by written agreement between Landlord and Tenant (referred to as a “**Change Order**”). Each Change Order will be prepared by Landlord and signed by both Tenant and Landlord stating their agreement on all of the following:

- (a) The scope of the change in the Work;
  - (b) The cost of the change;
  - (c) The manner in which the cost will be paid; and
  - (d) Each and every Change Order will be signed by Landlord’s and Tenant’s respective construction representatives. In no event will any Change Order(s) be permitted without such authorizations. A 5% supervision fee plus 5% overhead charge will be added to the cost of any Change Order and to the cost of any other work to be performed by Landlord in the Premises after Landlord’s completion of the Work. If Tenant fails to approve any such Change Order within ten (10) days, it will be deemed disapproved in all respects by Tenant, and Landlord will not be authorized to proceed on it and Landlord shall continue with the Work as originally set forth in this Work Letter. Any increase in the cost of the Work or the change in the Work stated in a Change Order which results from Tenant’s failure to timely approve and return said Change Order will be paid by Tenant. Tenant agrees to pay Landlord the cost of any Change Order upon receipt of an invoice for the Change Order.
7. If Tenant elects to use any architect, whether or not suggested by Landlord, such architect shall be solely the Tenant’s agent with respect to the plans, specifications and the Work. If any change is made after completion of schematic drawings and prior to completion of final construction documents which result in a Change Order and additional costs, such costs will be the responsibility of the Tenant. For the avoidance of doubt, Landlord, at its expense, will engage an architect to prepare the plans and specifications for the Work, initial test-fits, and construction drawings. Landlord shall not be required to revise the Plans more than two (2) times to accommodate any Tenant requested changes.
8. The terms contained in the Lease (which includes all Exhibits to the Lease) constitute Landlord’s agreement with Tenant with respect to the Work.
9. Except as set forth in the last sentence of this paragraph, all Work within the Premises will become the property of Landlord upon installation. No refund, credit or, unless otherwise directed in writing by Landlord in accordance with the Lease, removal of any Work will be permitted at the expiration or earlier termination of the Lease. Items installed that are not integrated in any way with the Work (e.g., furniture and other trade fixtures) become the property of Tenant upon installation.
10. Landlord may permit Tenant and its agents to enter, as licensees only, the Premises prior to the date on which Landlord reasonably anticipates the Commencement Date to occur so that Tenant may install low voltage wiring, computer wiring, furniture, fixtures and equipment at the same time Landlord’s contractors are working in the Premises. The foregoing license to enter prior to the Commencement Date shall not be construed as occupancy of the Premises by Tenant, however, is conditioned upon:
- (a) Tenant’s general contractors, workmen and mechanics working in harmony and not interfering with the labor employed by Landlord, Landlord’s mechanics or contractors or by any other tenant or occupant of the Building or their general contractors, mechanics or contractors, if any;
  - (b) Tenant providing Landlord with evidence of Tenant’s contractors and subcontractors carrying such worker’s compensation insurance as required by law, commercial general liability and property insurance in amounts no less than the amounts set forth in Section 23 of the Lease. If at any time any disharmony or interference occurs by virtue of, directly or indirectly, the presence of Tenant or its contractors, workmen or mechanics in the Building, Landlord shall give forty-eight

(48) hours written notice to Tenant and within twenty-four (24) hours Tenant shall resolve any dispute so that the tenor of the construction process and the operation of the Building is returned to that which existed prior to Landlord's notice. Such entry will be deemed controlled by all of the terms, covenants, provisions and conditions of the Lease. Landlord will not be liable in any way for any injury, loss or damage which may occur to any of Tenant's decorations or installations made prior to the Commencement Date, the same being solely at Tenant's risk, except if caused by the gross negligence or willful misconduct of Landlord;

- (c) Intentionally omitted; and
  - (d) Such other condition or conditions as Landlord may reasonably impose.
11. No part of the Premises will be deemed unavailable for occupancy by Tenant, nor will any work which the Landlord is obligated to perform in such part of the Premises be deemed incomplete for the purpose of any adjustment of Fixed Basic Rent payable under the Lease, if minor details of construction, decoration or mechanical adjustments exist and the non-completion of such details does not materially interfere with the Tenant's use of such part of the Premises.
  12. This Work Letter is based on the materials and layouts set forth or referenced in this Work Letter. Any change to the materials and layout will require a recalculation of construction costs and any increases in costs shall be Tenant's responsibility. Such recalculation will not negate any other Section or provision of this Lease.
  13. All sums payable by Tenant to Landlord in connection with this Work Letter and any other work to be performed by Landlord within the Premises and billable to Tenant will be deemed Additional Rent.

-- END --

**EXHIBIT 1 TO WORK LETTER**

## **EXHIBIT D**

### **CLEANING SERVICES**

#### **12<sup>TH</sup>, 14<sup>TH</sup> and 15<sup>TH</sup> FLOORS**

The following services will be provided daily, except during Westchester County Holidays:

1. Vacuum clean all carpeted areas.
2. Sweep and dust mop all non-carpeted areas. Wet mop whenever necessary.
3. All office furniture such as desks, chairs, files, filing cabinets, etc. will be dusted with a clean treated dust cloth whenever necessary and only in surfaces clear of Tenant's personal property including but not limited to plants.
4. Empty wastepaper baskets and remove waste to designated areas.
5. All vertical surfaces within arm's reach will be spot cleaned to remove finger marks and smudges. Baseboard and window sills are to be spot cleaned whenever necessary.
6. All cleaning of cafeterias, vending areas, kitchen facilities and restrooms exclusively serving the Premises. Tenant may make necessary arrangements for cleaning these areas directly with Landlord's cleaning maintenance company.
7. Cleaning services will be performed Monday through Friday only
8. No cleaning service is provided on Saturday, Sunday and Westchester County Holidays.
9. Cartons or refuse in excess of that which can be placed in wastebaskets will not be removed. Tenant is responsible to place such unusual refuse in a Landlord designated trash dumpster.
10. Cleaning maintenance company will neither remove nor clean tea, coffee cups or similar containers. If such liquids are spilled in wastebaskets, the wastebaskets will be emptied but not otherwise cleaned. Landlord will not be responsible for any stained carpet caused from liquids leaking or spilling from Tenant's wastebaskets.
11. Glass entrance doors will be cleaned daily. Interior glass doors or glass partitions are excluded. Tenant may make arrangements for cleaning interior glass doors and partitions with Landlord's cleaning maintenance company.

#### **LOBBY AND COMMON AREAS**

The following services will be provided daily, except during Westchester County Holidays:

1. Vacuum all carpeting in entrance lobbies, outdoor mats and all corridors.
2. Wash glass doors in entrance lobby with a clean damp cloth and dry towel.
3. Sweep and/or wet mop all resilient tile flooring. Clean hard surface floors such as quarry tile, etc.
4. Wash, clean and disinfect water fountains.
5. Clean all elevator cabs and stairwells.
6. Lavatories -- Men and Women.
  - a. Floors in all lavatories will be wet mopped with a germicidal detergent to ensure a clean and germ free surface.

- b. Wash and polish all mirrors, shelves, bright work including any piping and toilet seats.
  - c. Wash and disinfect wash basins and sinks using a germicidal detergent.
  - d. Wash and disinfect toilet bowls and urinals.
  - e. Keep lavatory partitions, tiled walls, dispensers and receptacles in a clean condition using a germicidal detergent when necessary.
  - f. Empty and sanitize sanitary disposal receptacles.
  - g. Fill toilet tissue holders, towel dispensers and soap dispensers. Refills to be supplied by Landlord or its cleaning contractor.
- 7. Clean all air ventilation grill work in ceilings, whenever necessary.
  - 8. Lobby and Common Area cleaning services will be performed Monday through Friday only.
  - 9. No Lobby or Common Area cleaning service will be provided on Saturday, Sunday and Westchester County Holidays.
  - 10. Notwithstanding anything contained in this Lease to the contrary, the cleaning service furnished by Landlord shall not apply to the collection and removal of any medical waste. Tenant shall be solely responsible, and, at its sole cost and expense, shall directly contract with a medical waste company, for the removal of all of Tenant's medical waste in accordance with applicable Legal Requirements.

-- END --



**EXHIBIT E**

**WESTCHESTER COUNTY HOLIDAYS**

**BUILDING CLOSED**

\* NEW YEAR'S DAY \*

\* MARTIN LUTHER KING'S DAY \*

\* PRESIDENT'S DAY \*

\* MEMORIAL DAY \*

\* JUNETEENTH \*

\* INDEPENDENCE DAY \*

\* LABOR DAY \*

\* COLUMBUS DAY \*

\* VETERAN'S DAY \*

\* THANKSGIVING DAY \*

\* THANKSGIVING FRIDAY \*

\* CHRISTMAS DAY \*

-- END --

## EXHIBIT F

### TAX AND OPERATING COST RIDER

Tenant will pay in addition to the Fixed Basic Rent provided in this Lease, Additional Rent to cover Tenant's proportionate percentage ("**Tenant's Percentage**") of the increased cost to Landlord, which the parties acknowledge and agree that (i) with respect to Operating Costs attributable solely to the Commercial Portion is 100% and (ii) with respect to Operating Costs attributable to both the Commercial Portion and Residential Portion of the Building is 21.96% for all categories enumerated in this Exhibit, over the "**Base Period Costs**" for these categories. It is understood by the parties that the Tenant's Percentage is calculated based on the ratio between the total square footage of the Premises and the total square footage of the Building and that the Commercial Portion of the Building comprises the Premises (i.e., 57,266/260,628 x 100).

a. **Operating Cost Escalation** -- If the Operating Costs (defined below) incurred for the Premises for any Lease Year or Partial Lease Year during the Term will be greater than the Base Operating Costs (reduced proportionately to correspond to the duration of periods less than a Lease Year), then Tenant will pay to Landlord, as Additional Rent, all such excess Operating Costs ("**Excess Operating Costs**"). Operating Costs will include by way of illustration and not limitation: personal property taxes, if applicable; management fees; labor costs up to onsite property manager level, including all wages and salaries; social security and other taxes which may be levied against Landlord upon such wages and salaries; supplies; repairs and maintenance; maintenance and service contracts; painting; wall and window washing; tools and equipment (which are not required to be capitalized for federal income tax purposes); trash removal; lawn care; snow removal; all fire and other insurance costs, together with any deductibles (to the extent not separately charged to Tenant under Insurance Costs set forth in subsection (d) below), utility costs, including any applicable fuel surcharges and sales or use taxes, incurred for water, sewer and gas incurred by Landlord in connection with its operation and maintenance of the Commercial Portion of the Building. In addition Operating Costs will also include any costs incurred for portions of the Building attributable to both the Commercial Portion and Residential Portion of the Building and all other parts of the Real Property and the costs and expenses incurred in connection with the operation, maintenance and repair of the Related Facilities and allocated to the Real Property; maintenance and repair of the common elements of the condominium (if any) of which the Premises is a part; and all other items properly constituting direct operating costs according to industry standard accounting practices (collectively referred to as the "**Operating Costs**" in this Lease); but not including the following City Square costs in the calculation of Operating Costs: (i) the "Central Park" located in the center of the City Square, the parking garage, the second floor amenities in the 50 Main Street Building, including the Fitness Center, the Golf Simulator, the cafeteria, the Executive Board, the Dining Rooms, and the Lecture Hall), and not including depreciation of Building or equipment; interest; income or excess profits taxes; costs of maintaining the Landlord's corporate existence; franchise taxes; any expenditures required to be capitalized for federal income tax purposes, unless said expenditures are for the purpose of reducing Operating Costs at the Real Property, or those which under generally applied real estate practice and/or generally accepted accounting principles are expensed or regarded as deferred expenses or are required under any Legal Requirement, in which event the costs thereof shall be included. Any such included costs shall be amortized over the useful life of aforesaid improvement(s).

b. **Utility and Energy Cost Escalation** -- If the utility and energy costs, including any fuel surcharges or adjustments with respect thereto, incurred for water, sewer, gas, electric, heating, ventilating, air conditioning and any other utilities for the Commercial Portion of the Building and any portions of the Building attributable to both the Commercial Portion and Residential Portion of the Building, including all leased and leasable areas (not separately billed or metered within the Building), and Common Facilities electric, lighting, water, sewer and any other utilities for the Building and other portions of the Real Property (collectively, "**Utility and Energy Costs**"), for any Lease Year or Partial Lease Year during the Term will be greater than the Base Utility and Energy Costs (reduced proportionately to correspond to the duration of periods less than a Lease Year), then Tenant shall pay to Landlord, as Additional Rent, all such excess Utility and Energy Costs.

c. **Tax Escalation** -- If the Real Estate Taxes for the Real Property for any Lease Year or Partial Lease Year during the Lease Term will be greater than the Base Real Estate Taxes

(reduced proportionately to correspond to the duration of periods less than a Lease Year), then Tenant will pay to Landlord as Additional Rent, if applicable, Tenant's Percentage of all such excess Real Estate Taxes, ("**Excess Real Estate Taxes**").

As used in this Lease, "**Real Estate Taxes**" mean the property taxes and assessments imposed upon the Building and other portions of the Real Property, or upon the rent payable to the Landlord, including, but not limited to, real estate, city, county, village, school and transit taxes, or taxes, assessments, or charges levied, imposed or assessed against the Real Property by any taxing authority, whether general or specific, ordinary or extraordinary, foreseen or unforeseen.

Landlord, will have the exclusive right, but not the obligation, to contest or appeal any Real Estate Tax assessment levied on all or any part of the Real Property. While proceedings contesting or appealing the assessment for the Base Year or any Lease Year are pending, the computation and payment of Taxes will be based upon the original assessment for the years in question. Upon resolution of any such contest or appeal (as a result of settlement, final determination, legal proceedings or otherwise), Tenant shall pay, as Additional Rent, Tenant's Percentage of the costs and expenses of such contest or appeal, provided Landlord submits supporting documentation evidencing such costs and expenses, and any prior payments made by Tenant for any Lease Year after the Base Year shall be recalculated and Tenant shall pay to Landlord any deficiency between the payments previously paid by Tenant and the amount due as a result of such recalculation. If Base Real Estate Taxes are reduced, Tenant shall not be entitled to receive a Tax refund for the Base Year or any reduction of Fixed Basic Rent payable under the Lease. If during the Term Landlord shall obtain a refund for any Lease Year after the Base Year, Landlord shall credit to Tenant's account, Tenant's Percentage of any refund (but not more than the payment made by Tenant for the year in question), net of all costs and expenses incurred by Landlord including, without limitation, legal, appraisal and consulting fees.

d. **Insurance Cost Escalation** -- If the Insurance Costs for the Real Property for any Lease Year or Partial Lease during the Term will be greater than the Base Insurance Costs (reduced proportionately to correspond to the duration of periods less than a Lease Year), Tenant will pay to Landlord, as Additional Rent for each Lease Year or Partial Lease Year, Tenant's Percentage of such excess Insurance Costs, Year ("**Excess Real Estate Taxes**"). As used in the Lease, "**Insurance Costs**" means all fire and other insurance costs, together with any deductibles, incurred by Landlord in connection with its operation and maintenance of the Real Property for any Lease Year or Partial Lease Year during the Term

e. **Lease Year** -- As used in this Lease, Lease Year will mean a calendar year. Any portion of the Term which is less than a Lease Year, that is, from the Commencement Date through the following December 31, and from the last January 1 falling within the Term to the end of the Term, will be deemed a "**Partial Lease Year**". Any reference in this Lease to a Lease Year will, unless the context clearly indicates otherwise, be deemed to be a reference to a Partial Lease Year if the period in question involves a Partial Lease Year.

f. **Payment** -- Prior to each Lease Year, Landlord will give Tenant an estimate of amounts payable under this Rider for such Lease Year or Partial Lease Year. By the first day of each month during such Lease Year or Partial Lease Year, Tenant will pay Landlord one-twelfth (1/12th) of the estimated amount. If, however, the estimate is not given before such Lease Year or Partial Lease Year begins, Tenant will continue to pay by the first day of each month on the basis of last year's estimate, if any, until the month after the new estimate is given. As soon as practicable after each Lease Year or Partial Lease Year ends, Landlord will give Tenant a statement (the "**Statement**") showing the actual amounts payable by Tenant under this Rider for such Lease Year. If the Statement shows that the actual amount Tenant owes for such Lease Year or Partial Lease Year is less than the estimated amount paid by Tenant during such Lease Year or Partial Lease Year, Landlord, at Tenant's option, will either return the difference or credit the difference against the next succeeding payment(s) of Additional Rent. If the Statement shows that the actual amount Tenant owes is more than the estimated Additional Rent paid by Tenant during such Lease Year or Partial Lease Year, Tenant will pay the difference, within thirty (30) days after the Statement is delivered to Tenant, with supporting documentation.

g. **Books and Reports** -- Landlord will maintain books of account which, provided that Tenant has not breached this Lease, beyond any applicable cure periods, will be open to Tenant and its representatives at all reasonable times so that Tenant can determine that such Operating

and Real Estate Tax Costs have, in fact, been paid or incurred. Tenant's representatives will mean only (i) Tenant's employees or (ii) a Certified Public Accounting firm, and neither Tenant's employees nor any Certified Public Accounting firm will be permitted to perform such inspection and/or audit on a contingency basis or for any other tenant in the Building. At Landlord's request, Tenant and/or Tenant's Certified Public Accounting firm will execute a confidentiality agreement reasonably acceptable to Landlord prior to any examination of Landlord's books and records. In the event Tenant disputes any one or more of such charges, Tenant will attempt to resolve such dispute with Landlord, provided that if such dispute is not satisfactorily settled between Landlord and Tenant within thirty (30) days, then upon request of either party, the dispute will be referred to an independent certified public accountant to be mutually agreed upon to arbitrate the dispute and if such an accountant cannot be agreed upon, the American Arbitration Association may be utilized to select an arbitrator, provided the decision to utilize the American Arbitration Association is mutually agreed by the parties, in which event, the decision on the dispute by such arbitrator will be final and binding upon both parties, who will jointly share any cost of such arbitration.

h. **Right of Review** -- Once Landlord has finally determined the Operating, or Real Estate Tax Costs at the expiration of a Lease Year, then as to the item so established, Tenant will only be entitled to dispute such charge for a period of twelve (12) months after such charge is billed to Tenant and Tenant receives supporting documentation provided by the Landlord detailing the breakdown of all the Base Year Costs, and Tenant specifically waives any right to dispute any such charge any time after the expiration of said twelve (12) month period.

Notwithstanding anything hereinabove to the contrary, it is understood and agreed that the maximum amount of Tenant's Percentage of Controllable Excess Operating Costs (as hereinafter defined) payable in any Lease Year shall not exceed one hundred three percent (103%) of Tenant's Percentage of Controllable Excess Operating Costs paid or payable for the immediately preceding Lease Year (the "**Controllable Excess Operating Costs Cap**"). The foregoing Controllable Excess Operating Costs Cap shall apply on the total of Controllable Excess Operating Costs and not on a line item basis. The term "**Controllable Excess Operating Costs**" shall mean all Operating Costs other than Real Estate Taxes, Utility and Energy Cost and Insurance Costs, snow and ice removal, costs of complying with governmental regulations, employment costs **up to the Building management level**, based upon the minimum wage (including benefits), any expense increase arising from the unionization of any service rendered to the Building, management fees (capped at 3% of gross revenues collected in connection with the Building) and any other items out of Landlord's reasonable control, including, but not limited to: market-wide labor rate increases due to extraordinary circumstances, including boycotts and strikes, utility rate increases due to extraordinary circumstances, including conservation surcharges, boycotts, embargos or other shortages.

– END –



## EXHIBIT G

### ELECTRICITY AND GENERATOR RIDER

**ELECTRICITY**: On and immediately after the Commencement Date, electricity shall be supplied to the Premises in accordance with the provisions hereof in such reasonable quantities as may be required by Tenant to service Tenant's standard lighting and ordinary office equipment installed at the Premises as of the Commencement Date. Landlord shall furnish to Tenant the necessary wattage and electricity feeders for Tenant to use the Premises and operate all equipment installed therein, which wattage shall be no less than 6 watts per usable square foot, demand load (exclusive of base building HVAC and lighting).

(a) Intentionally deleted.

(b) Tenant shall purchase from Landlord, or from a meter company supplying electricity to the building or as reasonably designated by Landlord, all electricity consumed in the Premises and shall pay to Landlord or the meter company, as Additional Rent, the amounts for electricity consumed (the "**Electricity Rent**") determined by a meter or meters (measuring both consumption and demand) and related equipment installed (or, if existing, retrofitted) by Landlord in accordance with Landlord's specifications at Landlord's expense. Tenant, at its expense, shall at all times keep the meter and related equipment in good working order and repair. Tenant shall purchase the electricity from Landlord or the meter company at the actual rates ("**Landlord's Rate**") paid by Landlord to the utility company furnishing electricity to the Premises.

(c) It is hereby understood by the parties that the installation of a meter or sub-meter by Landlord is part of Landlord's Work, and shall be operational on the Commencement Date.

(d) Landlord's failure during the Term of the Lease to prepare and deliver any statement or bill hereunder, or Landlord's failure to make any demand hereunder, shall not in any way be deemed to be a waiver of, or cause Landlord to forfeit or surrender, its rights to collect any amount of Additional Rent that may become due pursuant to the terms hereof. Tenant's liability for any amounts due hereunder shall survive the expiration or sooner termination of the Term of the Lease.

(e) In the event that, at any time during the Term, it is not permissible for Landlord to furnish electricity on a sub-metering basis pursuant to the terms hereof, Landlord shall, at its cost, install a direct meter for the benefit of the Tenant.

(f) Tenant shall not make any electrical installation, alterations, additions or changes to the electrical equipment or appliances in the Premises without the prior written consent of Landlord in each instance, not to be unreasonably withheld, conditioned or delayed. Tenant shall comply with the rules and regulations applicable to the service, equipment, wiring and requirements of Landlord and of the utility company supplying electricity to the Building. Tenant covenants and agrees that its use of electricity in the Premises shall not exceed the capacity of existing feeders to the Building or the risers or wiring installations therein and Tenant shall not use any electrical equipment that, in Landlord's reasonable judgment, will overload such installations or interfere with the use thereof by other tenants in the Building. If, at any time during the Term, Tenant desires to install in the Premises equipment which would not be considered ordinary office equipment, including, but not limited to, items such as other heat or cooling intensive electrically operated equipment, Tenant shall submit to Landlord a list indicating the specific type of additional equipment, and the number, type and model of each item of equipment to be installed, as well as the manufacturer's electrical rating associated with same. If Landlord consents to the installation of such additional equipment in Landlord's sole but reasonable discretion, Landlord, at Tenant's cost and expense, prior to, and as a condition of Landlord's consent to, or simultaneously with or subsequent to, the installation thereof, may cause an electrical engineering consultant to make a survey of such additional equipment in accordance with the provisions of this subparagraph (e). Landlord reserves the right to inspect the Premises to insure compliance with this provision.

(g) Landlord will not be liable in any way to Tenant for any loss, damage or expense which Tenant may sustain or incur as a result of any failure, defect or change in the quantity or character of electrical energy available for redistribution to the Premises pursuant to this Exhibit I,



nor for any interruption in the supply, unless due to the negligence of Landlord, and Tenant agrees that such supply may be temporarily interrupted for inspections, repairs and replacements and in emergencies. In no event will Landlord be liable for any business interruption suffered by Tenant, unless due to Landlord's gross negligence or willful misconduct. Notwithstanding the foregoing to the contrary, Landlord will exercise best efforts to address any electricity failure or defect and to minimize any disruption or interruption to Tenant's business and operations. In addition, in the event of a scheduled Building electrical shutdown, Landlord shall provide at least thirty (30) days prior written notice to Tenant of such scheduled shutdown and minimize any disruption or interference with Tenant's use of the Premises.

(h) Tenant's failure or refusal, for any reason, to utilize the electrical energy provided by Landlord, shall not entitle Tenant to any abatement or diminution of Fixed Basic Rent or Additional Rent, or otherwise relieve Tenant from any obligations under the Lease.

(i) Landlord, at Landlord's expense, will furnish and install all replacement lighting tubes, lamps, ballasts, starters and bulbs required in the Premises.

(j) Tenant's use of electrical service in excess of Building Hours will, at Landlord's reasonable election, be cause for a resurveying of the Premises at Tenant's expense.

**GENERATOR:** The Landlord shall, at its own cost and expense, maintain any existing or future back-up generator servicing the Building's live safety systems in good condition and repair, in compliance with all Legal Requirements affecting the Building and the Premises and/or the Tenant's use and/or occupancy of the Premises, provided, however that if a generator does not currently exist, Landlord will have no obligation to install a generator.

– END –

**EXHIBIT H**  
**TENANT HVAC AIR QUALITY SPECIFICATIONS**

*Tenant's HVAC Air Quality Specifications are set forth in Exhibit 1 annexed to Exhibit C of this Lease.*

**EXHIBIT I**  
**WESTCHESTER COUNTY**  
**FLOOD HISTORY DISCLOSURE FORM**

Pursuant to Chapter 581 of the Laws of Westchester County, this form is required to be completed and presented to each prospective tenant for both residential and commercial leaseholds and sublets in Westchester County. See reverse for instructions.

Property Street Address: 11 Martine Avenue

Municipality: White Plains State: NY Zip: 10606

Tax ID: Section: 125 Block: 74 Lot: 5-3

Property Owner: MG Martine SPE LLC

Contact Name: David Paniccia

Contact Phone: 917-817-7411

Contact Email: dpaniccia@gdcilc.com

Flood Insurance Rate Map (FIRM) Panel #: 96119C

FIRM Zone: X Is the property located in a Special Flood Hazard Area? Yes ☐ No ☒

Within the past ten years, has the property been subject to flooding? Yes ☐ No ☒

If yes, describe any flood events and flood damage over the past ten years, including the approximate height the water reached: None.

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Describe any efforts that you have undertaken as a property owner to reduce flood risk: \_\_\_\_\_

- We raised the grade such that our first floor was above the FEMA flood elevation

- Where necessary we elevated utilities for the building

- Waterproofing materials were used on all areas that were below grade level such as basements and underground garages

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By signing below, I certify that I have received this Flood History Disclosure Form

Tenant Name (print): \_\_\_\_\_

Tenant Signature: \_\_\_\_\_

MG Martine SPE LLC

Owner Signature: By: \_\_\_\_\_ Date: \_\_\_\_\_

## HOW TO FIND THE TAX ID NUMBER FOR THE PROPERTY

Go to the Westchester Tax Parcel Viewer online map at <https://giswww.westchestergov.com/taxmaps/>. Enter the municipality in which the property is located. Enter the property address.

## HOW TO DETERMINE THE FEMA MAP PANEL AND DESIGNATED FLOOD ZONE FOR THE PROPERTY

Go to <https://msc.fema.gov/portal/home> and enter the property address. Special Flood Hazard Areas are in the 100-year floodplain (the 1% annual chance flood) and include zone VE (coastal flood areas) and zones A and AE (inland flood areas). The 500-year floodplain (the 0.2% annual chance flood) should also be noted on the form, but the Special Flood Hazard box should be checked "no." Areas labeled X are areas of moderate flood risk and should also be noted on the form and the box checked "no." If you have any questions, contact the Westchester County Department of Planning at (914) 995-4400.

## HOW TO DESCRIBE FLOOD HISTORY

Regardless of whether the property is located in a Special Flood Hazard Area on the Flood Insurance Rate Maps as described above, please describe any and all flood events associated with the building or property in the past ten years. This includes damages to the property or building, if the electricity or water service to the building needed to be shut off, and whether tenants needed to be displaced so that repairs could be made. This also includes the extent to which parking areas and/or separate storage areas on the property are subject to flooding. Property owners are also required to provide notification of where the water line was estimated on the premises.

## DESCRIBE EFFORTS TO REDUCE FLOOD RISK

For properties that are subject to flooding, please describe any efforts that you have taken to reduce the risks and damage associated with flooding. These can include purchasing flood insurance, installing backflow prevention valves, providing emergency lighting and emergency egress as well as more substantive issues as described in guidance documents such as [https://www.fema.gov/sites/default/files/2020-07/fema\\_P1037\\_reducing\\_flood\\_risk\\_residential\\_buildings\\_cannot\\_be\\_elevated\\_2015.pdf](https://www.fema.gov/sites/default/files/2020-07/fema_P1037_reducing_flood_risk_residential_buildings_cannot_be_elevated_2015.pdf).

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**NOTICE TO RENTERS:** This form is intended to provide you with information concerning flood risk associated with the property you may rent. The form itself does not protect you from losses associated with flooding. It is up to you to protect your personal property. Following are some options to consider.

**Purchase Insurance:** Flooding is the leading cause of natural disaster risk to health and property in the United States. On average, about 40% of all flood insurance claims come from outside high-risk flood areas. Your landlord's flood insurance will protect the building you rent in, but not your personal belongings from flood damage. A standard renter's insurance policy does not typically cover flooding. Low-cost renter's insurance, also called contents-only coverage, can start at \$100 a year and potentially protect you from thousands of dollars in flood damages. Learn more about how to get a contents-only policy from a local insurance agent.

**Be Prepared:** The first step in being prepared is understanding your risk. This form is intended to notify you of flood risk associated with the property you rent. You should understand this risk and take appropriate measures to reduce your risk and protect yourself and your belongings. Prepare a kit with flashlights, bottled water and other emergency supplies. Before a storm, make sure the batteries and other supplies are fresh and adequate. If you have special needs or functional disabilities, please consider registering with the County's special needs registry at <https://emergencyservices.westchestergov.com/information-and-alerts/special-needs-flyers>. This information will be provided to local first responders and emergency planners. For more information on how to prepare for flooding, visit <https://emergencyservices.westchestergov.com/severe-weather/flood-awareness>.

**EXHIBIT J**

**WESTCHESTER COUNTY**  
**BOARD OF LEGISLATORS**  
**AND**  
**BOARD OF ACQUISITION AND CONTRACTS**  
**APPROVALS**

Exhibit J

5816736.v2  
6165644.v3  
6203968.v2  
6207384.v2  
6208925.v2



## **EXHIBIT K**

### **STANDARD INSURANCE PROVISIONS** **(Landlord)**

1. Prior to commencing work, and throughout the term of the Agreement, the Landlord shall obtain at its own cost and expense the required insurance as delineated below from insurance companies licensed in the State of New York, carrying a Best's financial rating of A or better. Landlord shall provide evidence of such insurance to the County of Westchester ("County"), either by providing a copy of policies and/or certificates as may be required and approved by the Director of Risk Management of the County ("Director"). The policies or certificates thereof shall provide that ten (10) days prior to cancellation or material change in the policy, notices of same shall be given to the Director either by overnight mail or personal delivery for all of the following stated insurance policies. All notices shall name the Landlord and identify the Agreement.

If at any time any of the policies required herein shall be or become unsatisfactory to the Director, as to form or substance, or if a company issuing any such policy shall be or become unsatisfactory to the Director, the Landlord shall upon notice to that effect from the County, promptly obtain a new policy, and submit the policy or the certificate as requested by the Director to the Office of Risk Management of the County for approval by the Director. Upon failure of the Landlord to furnish, deliver and maintain such insurance, the Agreement, at the election of the County, may be declared suspended, discontinued or terminated.

Failure of the Landlord to take out, maintain, or the taking out or maintenance of any required insurance, shall not relieve the Landlord from any liability under the Agreement, nor shall the insurance requirements be construed to conflict with or otherwise limit the contractual obligations of the Landlord concerning indemnification.

All property losses shall be made payable to the "County of Westchester" and adjusted with the appropriate County personnel.

In the event that claims, for which the County may be liable, in excess of the insured amounts provided herein are filed by reason of Landlord's negligent acts or omissions under the Agreement or by virtue of the provisions of the labor law or other statute or any other reason, the amount of excess of such claims or any portion thereof, may be withheld from payment due or to become due the Landlord until such time as the Landlord shall furnish such additional security covering such claims in form satisfactory to the Director.

In the event of any loss, if the Landlord maintains broader coverage and/or higher limits than the minimums identified herein, the County shall be entitled to the broader coverage and/or higher limits maintained by the Landlord. Any available insurance proceeds in excess of the specified minimum limits of insurance and coverage shall be available to the County.

2 The Landlord shall provide proof of the following coverage (if additional coverage is required for a specific agreement, those requirements will be described in the Agreement):

- a) Workers' Compensation and Employer's Liability. Certificate form C-105.2 or State Fund Insurance Company form U-26.3 is required for proof of compliance with the New York State Workers' Compensation Law. State Workers' Compensation Board form DB-120.1 is required for proof of compliance with the New York State Disability Benefits Law. Location of operation shall be "All locations in Westchester County, New York."

Where an applicant claims to not be required to carry either a Workers' Compensation Policy or Disability Benefits Policy, or both, the employer must complete NYS form CE-

Exhibit J

5816736.v2  
6165644.v3  
6203968.v2  
6207384.v2  
6208925.v2

If the employer is self-insured for Workers' Compensation, he/she should present a certificate from the New York State Worker's Compensation Board evidencing that fact (Either SI-12, Certificate of Workers' Compensation Self-Insurance, or GSI-105.2, Certificate of Participation in Workers' Compensation Group Self-Insurance).

- b) Commercial General Liability Insurance with a combined single limit of \$1,000,000 (c.s.1) per occurrence and a \$2,000,000 aggregate limit naming the "County of Westchester" as an additional insured on a primary and non-contributory basis. This insurance shall include the following coverages:

- i. Premises - Operations.
- ii. Broad Form Contractual.
- iii. Independent Contractor and Sub-Contractor.
- iv. Products and Completed Operations.

- c) Commercial Umbrella/Excess Insurance: \$2,000,000 each Occurrence and Aggregate naming the "County of Westchester" as additional insured, written on a "follow the form" basis.

NOTE: Additional insured status shall be provided by standard or other endorsement that extends coverage to the County of Westchester for both on-going and completed operations.

- d) Automobile Liability Insurance with a minimum limit of liability per occurrence of \$1,000,000 for bodily injury and a minimum limit of \$100,000 per occurrence for property damage or a combined single limit of \$1,000,000 unless otherwise indicated in the contract specifications. This insurance shall include for bodily injury and property damage the following coverages and name the "County of Westchester" as additional insured:

- (i) Owned automobiles.
- (ii) Hired automobiles.
- (iii) Non-owned automobiles.

3. "All Risks" Property Insurance, covering all risks of physical amounts, in commercially reasonable amounts to protect the Real Property and Related Facilities.

4. All policies of the Landlord shall be endorsed to contain the following clauses:

(a) Insurers shall have no right to recovery or subrogation against the County (including its employees and other agents and agencies), it being the intention of the parties that the insurance policies so effected shall protect both parties and be primary coverage for any and all losses covered by the above-described insurance.


(b) The clause "other insurance provisions" in a policy in which the County is named as an insured, shall not apply to the County.

(c) The insurance companies issuing the policy or policies shall have no recourse against the County (including its agents and agencies as aforesaid) for payment of any premiums or for assessments under any form of policy.

(d) Any and all deductibles in the above described insurance policies shall be assumed by and be for the account of, and at the sole risk of, the Landlord.

October 4, 2024

TO: Hon. Vedat Gashi, Chair  
Hon. Jose Alvarado, Vice Chair  
Hon. Tyrae Woodson-Samuels, Majority Leader  
Hon. Margaret Cunzio, Minority Leader

FROM: George Latimer   
Westchester County Executive

RE: Message Requesting Immediate Consideration: **2 Bond Acts - RBR07 – Bronx River Pathway Reconstruction.**

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This will confirm my request that the Board of Legislators allow submission of the referenced communication to be submitted to the Board of Legislators October 7, 2024 Agenda.

Transmitted herewith for your consideration are two Bonds Acts for Capital Project RBR07; Amended and Consolidated.

Therefore, since this communication is of the utmost importance, it is respectfully submitted that the County Board of Legislators accepts this submission for October 7, 2024 "blue sheet" calendar.

Thank you for your prompt attention to this matter.



George Latimer  
County Executive

October 4, 2024

Westchester County Board of Legislators  
800 Michaelian Office Building  
White Plains, New York 10601

Dear Members of the Board of Legislators:

Transmitted herewith for your review and approval are two bond acts ("Bond Acts") of the County of Westchester ("County") as follows:

(1) a bond act to amend, in part, prior Bond Act No. 248-2023 to remove an \$800,000 authorization allocable to Capital Project RBR07 – Bronx River Pathway Reconstruction ("RBR07"), and to decrease the estimated maximum amount of bonds authorized to \$12,850,000 ("Amending Bond Act"); and

(2) a bond act authorizing the issuance of bonds in the amount of \$8,730,000 to finance the cost of design, construction and construction management of improvements to the Bronx River Reservation pathway and related infrastructure from the Kensico Dam Plaza to Green Acres Avenue, including rehabilitation and improvements to all pathway infrastructure including pathway pavement, footbridges, railings, stone walls, site furnishings, signage, pavement markings, grading, drainage, planting and other associated site work in connection with RBR07. This \$8,730,000 proposed Bond Act represents an increase in the amount of \$7,930,000 for construction costs and includes the \$800,000 previously authorized for RBR07 in 219-2021, as amended by Bond Act 248-2023 ("Consolidated Bond Act").

The Amending Bond Act is required to remove design bonding authorizations related to RBR07 so that those authorizations may be included in the Consolidated Bond Act for RBR07.

The Department of Parks, Recreation and Conservation ("Department") has advised that according to a recent recreation preference survey, walking and bicycling continue to rank high among the top recreation activities enjoyed by Westchester County residents. The Bronx River Pathway, being the most heavily used pathway in the parks system, plays a critical role in supporting these activities. To maintain its popularity and usability, the Department is addressing the deterioration of older sections as part of a multi-year phased program aimed at rehabilitating the pathway.

Design is currently being undertaken by in-house staff and consultants and is expected to be completed by the end of the fourth quarter of 2024. It is estimated that construction will take eighteen months to complete and will begin after the award and execution of the construction contracts.

Office of the County Executive

Michaelian Office Building  
148 Martine Avenue  
White Plains, New York 10601

Email: [CE@westchestercountyny.gov](mailto:CE@westchestercountyny.gov)  
Telephone: (914)995-2900

It should be noted that your Honorable Board has previously authorized the County to issue bonds to finance RBR07 as indicated in the annexed fact sheet.

Based on the importance of this project to the County, favorable action on the proposed Consolidated Bond Act and Amended Bond Act is respectfully requested.

Sincerely,



George Latimer  
Westchester County Executive

Attachments



**HONORABLE BOARD OF LEGISLATORS  
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of an amended bond act (“Amending Bond Act”) of the County of Westchester (“County”) authorizing amendment to prior Bond Act, in part, prior Bond Act No. 248-2023 to remove an \$800,000 authorization allocable to Capital Project RBR07 – Bronx River Pathway Reconstruction (“RBR07”), and to decrease the estimated maximum amount of bonds authorized to \$12,850,000. The Bond Act was prepared by the law firm Hawkins, Delafield & Wood.

The Amending Bond Act is required to remove design bonding authorizations related to RBR07 so that those authorizations may be included in the Consolidated Bond Act for RBR07.

The Department of Parks, Recreation and Conservation (“Department”) has advised that according to a recent recreation preference survey, walking and bicycling continue to rank high among the top recreation activities enjoyed by Westchester County residents. The Bronx River Pathway, being the most heavily used pathway in the parks system, plays a critical role in supporting these activities. To maintain its popularity and usability, the Department is addressing the deterioration of older sections as part of a multi-year phased program aimed at rehabilitating the pathway.

Design is currently being undertaken by in-house staff and consultants and is expected to be completed by the end of the fourth quarter of 2024. It is estimated that construction will take eighteen months to complete and will begin after the award and execution of the construction contracts.

It should be noted that your Honorable Board has previously authorized the County to issue bonds to finance RBR07 as indicated in the annexed fact sheet.

The Planning Department has advised your Committee that based on its review, RBR07 may be classified as a Type “II” action pursuant to the State Environmental Quality Review Act (“SEQR”) and its implementing regulations, 6 NYCRR Part 617. Therefore, no environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this recommendation.

It should be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to adopt the Consolidated Bond Act. Your Committee recommends the adoption of the proposed Consolidated Bond Act.

Dated: \_\_\_\_\_, 20\_\_\_\_.  
White Plains, New York

**COMMITTEE ON**

C:MG 9-6-24

# FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: RBR07

☐ NO FISCAL IMPACT PROJECTED

## SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☒ GENERAL FUND

☐ AIRPORT FUND

☐ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☒ Current Appropriations

☐ Capital Budget Amendment

AMEND BA 248-2023 PULL OUT \$800,000 PERTINENT TO RBR07

## SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal    \$                    12,850,000                    PPU    5                    Anticipated Interest Rate    2.34%

Anticipated Annual Cost (Principal and Interest):                    \$    2,780,766

Total Debt Service (Annual Cost x Term):                    \$    13,903,829

Finance Department:                    maab 10-4-24

## SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual):                    \$                    -

Potential Related Revenues (Annual):                    \$                    -

Anticipated savings to County and/or impact of department operations  
(describe in detail for current and next four years):

\_\_\_\_\_  
\_\_\_\_\_

## SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded:                    95

Prepared by:                    Dianne Vanadia

Title:                    Associate Budget Director

Department:                    Budget

Date:                    10/4/24

Reviewed By:

*[Signature]*  
10/4/24


*[Signature]*

Budget Director

Date:

10/4/24

TO: Michelle Greenbaum, Senior Assistant County Attorney  
Jeffrey Goldman, Senior Assistant County Attorney  
Carla Chaves, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM   
Assistant Commissioner

DATE: September 18, 2024

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:  
RBR07 BRONX RIVER PATHWAY RECONSTRUCTION**

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**PROJECT/ACTION:** Per Capital Project Fact Sheet as approved by the Planning Department on  
09-04-2024 (Unique ID: 2683)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(2):** replacement, rehabilitation or reconstruction of a structure or facility, in kind, on the same site, including upgrading buildings to meet building, energy, or fire codes unless such action meets or exceeds any of the thresholds in section 617.4 of this Part;
  - **617.5(c)(8):** maintenance of existing landscaping or natural growth;
  - **617.5(c)(9):** construction or expansion of a primary or accessory/appurtenant, nonresidential structure or facility involving less than 4,000 square feet of gross floor area and not involving a change in zoning or a use variance and consistent with local land use controls, but not radio communication or microwave transmission facilities.
- 

**COMMENTS:** The project involves rehabilitation or in-kind replacement of the existing trailway and infrastructure with the exception of one pedestrian bridge that will be relocated approximately 200 feet to the north to relieve current bicycle/pedestrian conflicts. The project will include new site furniture (i.e., benches) and signage to improve the recreational experience, as well as restoring culverts and swales to maintain a clear pathway. Landscape maintenance may include the removal of hazardous trees in proximity of the pathway as needed for public safety, as well as the addition of native plantings. Disturbance will not exceed 5 acres. Overall this project will serve to prevent erosion, bolster native habitat, and enhance public recreation.

DSK/CNM

cc: Andrew Ferris, Chief of Staff  
Paula Friedman, Assistant to the County Executive  
Lawrence Soule, Budget Director  
Tami Altschiller, Assistant Chief Deputy County Attorney  
Kathleen O'Connor, Commissioner of Parks, Recreation and Conservation  
Blanca P. Lopez, Commissioner of Planning  
Peter Tartaglia, First Deputy Commissioner of Parks, Recreation and Conservation  
Dianne Vanadia, Associate Budget Director  
Robert Lopane, Program Coordinator, Department of Public Works & Transportation  
Susan Darling, Chief Planner  
Michael Lipkin, Associate Planner  
Claudia Maxwell, Principal Environmental Planner



REFERENCE BPL36  
T0073  
T0047  
RCC17  
B015E  
RB180  
RB04A  
BCR50  
BCR62

ACT NO. -20\_\_\_\_\_

BOND ACT OF THE COUNTY OF WESTCHESTER, NEW YORK, AMENDING ACT 219-2021 ADOPTED DECEMBER 7, 2021, AS AMEDNED BY ACT 248/2023 ADOPTED DECEMBER 11, 2023, IN RELATION TO THE PREPARATION OF SURVEYS, PRELIMINARY AND DETAILED PLANS, SPECIFICATIONS AND ESTIMATES NECESSARY FOR PLANNING VARIOUS CAPITAL PURPOSES IN AND FOR THE COUNTY, AT THE MAXIMUM ESTIMATED COST OF \$16,150,000. (Adopted \_\_\_\_\_, 20\_\_\_\_\_).

WHEREAS, this Board has heretofore duly authorized the issuance of \$13,700,000 bonds to finance the cost of preparation of surveys, preliminary and detailed plans, specifications and estimates necessary for various capital purposes in and for the County, pursuant to Act No. 219-2021 duly adopted on December 7, 2021 (the “Bond Act 219-2021”); and

WHEREAS, \$50,000 of such amount was authorized for the preparation of surveys, preliminary and detailed plans, specifications and estimates necessary for the replacement of the County Center roof and associated building renovations; and

WHEREAS, such \$50,000 was subsequently authorized to be issued pursuant to a subsequent Bond Act and Bond Act 219-2021 was amended to reduce the amount of bonds authorized to be issued by such \$50,000 pursuant to Bond Act 248/2023 duly adopted on December 11, 2023; and

WHEREAS, the Bond Act 219-2021 also authorized the issuance of \$800,000 bonds to finance the cost of preparation of surveys, preliminary and detailed plans, specifications and estimates necessary for the reconstruction of the Bronx River Pathway; and

WHEREAS, such \$800,000 has been or will be authorized pursuant to another Bond Act authorizing the planning, construction and construction management associated with the reconstruction of the Bronx River Pathway and that it is necessary to reduce the amount of bonds Authorized pursuant to Bond Act 219-2021 as amended by Bond Act 248/2023, now therefore

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER, NEW YORK (by the affirmative vote of not less than two-thirds of the voting strength of said Board), AS FOLLOWS:

SECTION (A) The bond act duly adopted by this Board on December 7, 2021 and amended on December 11, 2023, entitled:

“ACT NO. 248/2023

BOND ACT AUTHORIZING THE ISSUANCE OF \$13,650,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE A PORTION OF THE COST OF PREPARATION OF SURVEYS, PRELIMINARY AND DETAILED PLANS, SPECIFICATIONS AND ESTIMATES NECESSARY FOR PLANNING VARIOUS CAPITAL PURPOSES IN AND FOR THE COUNTY; STATING THE ESTIMATED TOTAL COST THEREOF IS \$16,950,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE EXPENDITURE OF \$3,300,000 GRANT FUNDS EXPECTED TO BE RECEIVED AND THE ISSUANCE OF \$13,650,000 BONDS HEREIN AUTHORIZED; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS

is hereby amended and consolidated to read as follows:

BOND ACT AUTHORIZING THE ISSUANCE OF \$12,850,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE A PORTION OF THE COST OF PREPARATION OF SURVEYS, PRELIMINARY AND DETAILED PLANS, SPECIFICATIONS AND ESTIMATES NECESSARY FOR PLANNING

VARIOUS CAPITAL PURPOSES IN AND FOR THE COUNTY; STATING THE ESTIMATED TOTAL COST THEREOF IS \$16,150,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE EXPENDITURE OF \$3,300,000 GRANT FUNDS EXPECTED TO BE RECEIVED AND THE ISSUANCE OF \$12,850,000 BONDS HEREIN AUTHORIZED; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS.

(Adopted \_\_\_\_\_, 20\_\_\_\_)

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER, NEW YORK (by the affirmative vote of not less than two-thirds of the voting strength of said Board), AS FOLLOWS:

Section 1. Pursuant to the provisions of the Local Finance Law, constituting Chapter 33-a of the Consolidated Laws of the State of New York (the "Law"), the Westchester County Administrative Code, being Chapter 852 of the Laws of 1948, as amended, and to the provisions of other laws applicable thereto, \$12,850,000 bonds of the County, or so much thereof as may be necessary, are hereby authorized to be issued to finance a portion of the cost of preparation of surveys, preliminary and detailed plans, specifications and estimates necessary for planning various capital purposes in and for the County, to wit: campus wide site improvements at the Valhalla Complex, the electrification of the County's two mass transit bus garages, design and engineering services for the replacement of the HVAC system at the Cerrato maintenance facility, design for the restoration and modification of the County Center, design associated with the replacement of chillers, ice storage system and related work at the Michaelian Office Building, design associated with the rehabilitation of approximately 5.57 miles of Central Avenue from the Bronx/Westchester line to the NYS Thruway bridge and the rehabilitation of the Central Avenue access ramps to and from Palmer Road, a distance of approximately 0.38 miles, study and

preliminary design to determine options and associated costs for the replacement of the Broad Street viaduct over the Bronx River Parkway, design for a stand-alone building to replace the existing infirmary at the County Jail, and design to rehabilitate or replace elevators for the Corrections Department; all as set forth in the County's Current Year Capital Budget, as amended. To the extent that the details set forth in this act are inconsistent with any details set forth in the Current Year Capital Budget of the County, such Budget shall be deemed and is hereby amended. The estimated total cost of said class of objects or purposes, including preliminary costs and costs incidental thereto and the financing thereof is \$16,150,000. The plan of financing includes the expenditure of \$3,000,000 expected to be received from ConEd and \$300,000 expected to be received from the New York Power Authority (collectively, the "Grant Funds") and the issuance of \$12,850,000 bonds herein authorized, and any bond anticipation notes issued in anticipation of the sale of such bonds, and the levy of a tax to pay the principal of and interest on said bonds.

Section 2. The period of probable usefulness of the class of objects or purposes for which said \$12,850,000 bonds authorized by this Act are to be issued, within the limitations of Section 11.00 a. 62 (2<sup>nd</sup>) of the Law, is five (5) years;

Section 3. Current funds are not required to be provided as a down payment pursuant to Section 107.00 d. 9. of the Law prior to issuance of the bonds authorized herein, or any bond anticipation notes issued in anticipation of the sale of such bonds. The County intends to finance, on an interim basis, the costs or a portion of the costs of said improvements for which bonds are herein authorized, which costs are reasonably expected to be reimbursed with the proceeds of debt to be incurred by the County, pursuant to this Act, in the total amount of \$12,850,000. This Act is a declaration of official intent adopted pursuant to the requirements of Treasury Regulation Section 1.150-2.

Section 4. The estimate of \$16,150,000 as the estimated total cost of the aforesaid class of objects or purposes is hereby approved.

Section 5. Subject to the provisions of this Act and of the Law, and pursuant to the provisions of §30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals thereof, and of §§50.00, 56.00 to 60.00 and 168.00 of said Law, the powers and duties of the County Board of Legislators relative to authorizing the issuance of any notes in anticipation of the sale of the bonds herein authorized, or the renewals thereof, relative to providing for substantially level or declining annual debt service, relative to prescribing the terms, form and contents and as to the sale and issuance of the respective amounts of bonds herein authorized, and of any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and relative to executing agreements for credit enhancement, are hereby delegated to the Commissioner of Finance of the County, as the chief fiscal officer of the County.

Section 6. Each of the bonds authorized by this Act and any bond anticipation notes issued in anticipation of the sale thereof shall contain the recital of validity prescribed by §52.00 of said Local Finance Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County of Westchester, payable as to both principal and interest by general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and provision shall be made annually in the budgets of the County by appropriation for (a) the amortization and redemption of the notes and bonds to mature in such year and (b) the payment of interest to be due and payable in such year.



Section 7. The validity of the bonds authorized by this Act and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

(a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or

(b) the provisions of law which should be complied with at the date of the publication of this Act or a summary hereof, are not substantially complied with,

and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or

(c) such obligations are authorized in violation of the provisions of the Constitution.

Section 8. This Act shall take effect not earlier than January 1, 2024 and in accordance with Section 107.71 of the Westchester County Charter.

SECTION (B) The amendment of the bond act set forth in Section (A) of this act shall in no way affect the validity of the liabilities incurred, obligations issued, or action taken pursuant to said bond act, and all such liabilities incurred, obligations issued, or action taken shall be deemed to have been incurred, issued or taken pursuant to said bond act, as so amended.

SECTION (C) This Act shall take effect not earlier than January 1, 2024 and in accordance with Section 107.71 of the Westchester County Charter.

\* \* \*

STATE OF NEW YORK                    )  
  : ss.:  
COUNTY OF NEW YORK                )

I HEREBY CERTIFY that I have compared the foregoing Act No. -20\_\_\_\_\_ with the original on file in my office, and that the same is a correct transcript therefrom and of the whole of the said original Act, which was duly adopted by the County Board of Legislators of the County of Westchester on \_\_\_\_\_, 20\_\_\_\_\_ and approved by the County Executive on \_\_\_\_\_, 20\_\_\_\_\_.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said County Board of Legislators this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

(SEAL)

The Clerk and Chief Administrative Office of the  
County Board of Legislators County of Westchester,  
New York

## LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on December 7, 2021 and amended on December 11, 2023 and amended on \_\_\_\_\_, 20\_\_\_\_ and approved, as amended, by the County Executive on \_\_\_\_\_, 20\_\_\_\_ and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the amended Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. \_\_\_\_\_-20\_\_\_\_\_

BOND ACT AUTHORIZING THE ISSUANCE OF \$12,850,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE A PORTION OF THE COST OF PREPARATION OF SURVEYS, PRELIMINARY AND DETAILED PLANS, SPECIFICATIONS AND ESTIMATES NECESSARY FOR PLANNING VARIOUS CAPITAL PURPOSES IN AND FOR THE COUNTY; STATING THE ESTIMATED TOTAL COST THEREOF IS \$16,150,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE EXPENDITURE OF \$3,300,000 GRANT FUNDS EXPECTED TO BE RECEIVED AND THE ISSUANCE OF \$12,850,000 BONDS HEREIN AUTHORIZED; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (adopted on December 7, 2021 and amended on December 11, 2023 and amended on \_\_\_\_\_, 20\_\_\_\_)

object or purpose: to finance a portion of the cost of preparation of surveys, preliminary and detailed plans, specifications and estimates necessary for planning various capital purposes in and for the County, to wit: campus wide site improvements at the Valhalla Complex, the electrification of the County's two mass transit bus garages, design and engineering services for the replacement of the HVAC system at the Cerrato maintenance facility, design for the restoration and modification of the County Center, design associated with the replacement of chillers, ice storage system and related work at the Michaelian Office Building, design associated with the rehabilitation of approximately 5.57 miles of Central Avenue from the Bronx/Westchester line to the NYS Thruway bridge and the rehabilitation of the Central Avenue access ramps to and from Palmer Road, a distance of approximately 0.38 miles, study and preliminary design to determine options and associated costs for the replacement of the Broad Street viaduct over the Bronx River Parkway, design for a stand-alone building to replace the existing infirmary at the County Jail, and design to rehabilitate or replace elevators for the Corrections Department; all as set forth in the County's Current Year Capital Budget, as amended.

amount of obligations to be issued:

and period of probable usefulness: \$12,850,000; five (5) years

Dated: \_\_\_\_\_, 20\_\_\_\_  
White Plains, New York

\_\_\_\_\_  
Clerk and Chief Administrative Officer of the County Board of  
Legislators of the County of Westchester, New York

**HONORABLE BOARD OF LEGISLATORS  
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of an amended bond act (“Consolidated Bond Act”) of the County of Westchester (“County”) authorizing the issuance of bonds in the amount of \$8,730,000 to finance the cost of design, construction and construction management of improvements to the Bronx River Reservation pathway and related infrastructure from the Kensico Dam Plaza to Green Acres Avenue, including rehabilitation and improvements to all pathway infrastructure including pathway pavement, footbridges, railings, stone walls, site furnishings, signage, pavement markings, grading, drainage, planting and other associated site work in connection with Capital Project RBR07 – Bronx River Pathway Reconstruction (“RBR07”).

This \$8,730,000 proposed Bond Act was prepared by the law firm Hawkins Delafield & Wood and represents an increase in the amount of \$7,930,000 for construction costs and includes \$800,000 previously authorized for RBR07 in 219-2021, as amended by Bond Act 248-2023.

The Department of Parks, Recreation and Conservation (“Department”) has advised that according to a recent recreation preference survey, walking and bicycling continue to rank high among the top recreation activities enjoyed by Westchester County residents. The Bronx River Pathway, being the most heavily used pathway in the parks system, plays a critical role in supporting these activities. To maintain its popularity and usability, the Department is addressing the deterioration of older sections as part of a multi-year phased program aimed at rehabilitating the pathway.

Design is currently being undertaken by in-house staff and consultants and is expected to be completed by the end of the fourth quarter of 2024. It is estimated that construction will take eighteen months to complete and will begin after the award and execution of the construction contracts.

It should be noted that your Honorable Board has previously authorized the County to issue bonds to finance RBR07 as indicated in the annexed fact sheet.

The Planning Department has advised your Committee that based on its review, RBR07 may be classified as a Type “II” action pursuant to the State Environmental Quality Review Act (“SEQR”) and its



implementing regulations, 6 NYCRR Part 617. Therefore, no environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this recommendation.

It should be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to adopt the Consolidated Bond Act. Your Committee recommends the adoption of the proposed Consolidated Bond Act.

Dated: \_\_\_\_\_, 20\_\_\_\_.  
White Plains, New York

**COMMITTEE ON**

C:MG 9-6-24

# FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: RBR07

☐ NO FISCAL IMPACT PROJECTED

## SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☒ GENERAL FUND

☐ AIRPORT FUND

☐ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☒ Current Appropriations

☐ Capital Budget Amendment

## SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 8,730,000 PPU 15 Anticipated Interest Rate 2.68%

Anticipated Annual Cost (Principal and Interest): \$ 714,494

Total Debt Service (Annual Cost x Term): \$ 10,717,414

Finance Department: maab 10-4-24

## SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations  
(describe in detail for current and next four years):

\_\_\_\_\_  
\_\_\_\_\_

## SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded: 95

Prepared by: Dianne Vanadia

Title: Associate Budget Director

Department: Budget

Date: 10/4/24


Reviewed By: 

CO 10/4/24  
DVID/24

Budget Director

Date: 10/4/24

TO: Michelle Greenbaum, Senior Assistant County Attorney  
Jeffrey Goldman, Senior Assistant County Attorney  
Carla Chaves, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM   
Assistant Commissioner

DATE: September 18, 2024

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:  
RBR07 BRONX RIVER PATHWAY RECONSTRUCTION**

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**PROJECT/ACTION:** Per Capital Project Fact Sheet as approved by the Planning Department on 09-04-2024 (Unique ID: 2683)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(2):** replacement, rehabilitation or reconstruction of a structure or facility, in kind, on the same site, including upgrading buildings to meet building, energy, or fire codes unless such action meets or exceeds any of the thresholds in section 617.4 of this Part;
  - **617.5(c)(8):** maintenance of existing landscaping or natural growth;
  - **617.5(c)(9):** construction or expansion of a primary or accessory/appurtenant, nonresidential structure or facility involving less than 4,000 square feet of gross floor area and not involving a change in zoning or a use variance and consistent with local land use controls, but not radio communication or microwave transmission facilities.
- 

**COMMENTS:** The project involves rehabilitation or in-kind replacement of the existing railway and infrastructure with the exception of one pedestrian bridge that will be relocated approximately 200 feet to the north to relieve current bicycle/pedestrian conflicts. The project will include new site furniture (i.e., benches) and signage to improve the recreational experience, as well as restoring culverts and swales to maintain a clear pathway. Landscape maintenance may include the removal of hazardous trees in proximity of the pathway as needed for public safety, as well as the addition of native plantings. Disturbance will not exceed 5 acres. Overall this project will serve to prevent erosion, bolster native habitat, and enhance public recreation.

DSK/CNM

cc: Andrew Ferris, Chief of Staff  
Paula Friedman, Assistant to the County Executive  
Lawrence Soule, Budget Director  
Tami Altschiller, Assistant Chief Deputy County Attorney  
Kathleen O'Connor, Commissioner of Parks, Recreation and Conservation  
Blanca P. Lopez, Commissioner of Planning  
Peter Tartaglia, First Deputy Commissioner of Parks, Recreation and Conservation  
Dianne Vanadia, Associate Budget Director  
Robert Lopane, Program Coordinator, Department of Public Works & Transportation  
Susan Darling, Chief Planner  
Michael Lipkin, Associate Planner  
Claudia Maxwell, Principal Environmental Planner

ACT NO. -20 \_\_\_\_\_

BOND ACT AUTHORIZING THE ISSUANCE OF \$8,730,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF THE CONSTRUCTION OF IMPROVEMENTS TO THE BRONX RIVER RESERVATION PATHWAY; STATING THE ESTIMATED TOTAL COST THEREOF IS \$8,730,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$8,730,000 BONDS HEREIN AUTHORIZED; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS (Adopted \_\_\_\_\_, 20\_\_\_\_).

WHEREAS, this Board has heretofore duly authorized the issuance of \$800,000 bonds to finance the cost of preparation of surveys, preliminary and detailed plans, specifications and estimates necessary for the reconstruction of the Bronx River Pathway, pursuant to Act No. 219-2021 duly adopted on December 7, 2021, as amended by Bond Act 248-2023 duly adopted on December 11, 2023; and

WHEREAS, it is now appropriate to authorize such purposes, and it is necessary to increase the amount of bonds to be issued and the appropriation for such project for estimated cost of such improvement;

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER, NEW YORK (by the affirmative vote of not less than two-thirds of the voting strength of said Board), AS FOLLOWS:

Section 1. Pursuant to the provisions of the Local Finance Law, constituting Chapter 33-a of the Consolidated Laws of the State of New York (the "Law"), the Westchester



County Administrative Code, being Chapter 852 of the Laws of 1948, as amended, and to the provisions of other laws applicable thereto; \$8,730,000 bonds of the County, or so much thereof as may be necessary, are hereby authorized to be issued to finance the cost of the planning, construction and construction management of improvements to the Bronx River Reservation pathway and related infrastructure from the Kensico Dam Plaza to Green Acres Avenue, including rehabilitation and improvements to all pathway infrastructure including pathway pavement, footbridges, railings, stone walls, site furnishings, signage, pavement markings, grading, drainage, planting and other associated site work, all as set forth in the County's Current Year Capital Budget, as amended. To the extent that the details set forth in this act are inconsistent with any details set forth in the Current Year Capital Budget of the County, such Budget shall be deemed and is hereby amended. The estimated total cost of said object or purpose, including preliminary costs and costs incidental thereto and the financing thereof is \$8,730,000. The plan of financing includes the issuance of \$8,730,000 bonds herein authorized; and any bond anticipation notes issued in anticipation of the sale of such bonds and the levy of a tax to pay the principal of and interest on said bonds and notes.

Section 2. The period of probable usefulness of the object or purpose for which said \$8,730,000 bonds authorized by this Act are to be issued, within the limitations of Section 11.00 a. 19(c) of the Law, is fifteen (15) years;

Section 3. Current funds are not required to be provided as a down payment pursuant to Section 107.00 d. 9. of the Law prior to issuance of the bonds authorized herein, or any bond anticipation notes issued in anticipation of the sale of such bonds. The County intends to finance, on an interim basis, the costs or a portion of the costs of said improvements for which bonds are herein authorized, which costs are reasonably expected to be reimbursed with the

proceeds of debt to be incurred by the County, pursuant to this Act, in the maximum amount of \$8,730,000. This Act is a declaration of official intent adopted pursuant to the requirements of Treasury Regulation Section 1.150-2.

Section 4. The estimate of \$8,730,000 as the estimated total cost of the aforesaid object or purpose is hereby approved.

Section 5. Subject to the provisions of this Act and of the Law, and pursuant to the provisions of §30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals thereof, and of §§50.00, 56.00 to 60.00 and 168.00 of said Law, the powers and duties of the County Board of Legislators relative to authorizing the issuance of any notes in anticipation of the sale of the bonds herein authorized, or the renewals thereof, relative to providing for substantially level or declining annual debt service, relative to prescribing the terms, form and contents and as to the sale and issuance of the respective amounts of bonds herein authorized, and of any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and relative to executing agreements for credit enhancement, are hereby delegated to the Commissioner of Finance of the County, as the chief fiscal officer of the County.

Section 6. Each of the bonds authorized by this Act and any bond anticipation notes issued in anticipation of the sale thereof shall contain the recital of validity prescribed by §52.00 of said Local Finance Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County of Westchester, payable as to both principal and interest by general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and provision shall be made annually in the budgets of the County by appropriation

for (a) the amortization and redemption of the notes and bonds to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 7. The validity of the bonds authorized by this Act and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

(a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or

(b) the provisions of law which should be complied with at the date of the publication of this Act or a summary hereof, are not substantially complied with,

and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or

(c) such obligations are authorized in violation of the provisions of the Constitution.

Section 8. This Act shall take effect not earlier than January 1, 2024 and in accordance with Section 107.71 of the Westchester County Charter.

\* \* \*

STATE OF NEW YORK )

: ss.:

COUNTY OF NEW YORK )

I HEREBY CERTIFY that I have compared the foregoing Act No. -20\_\_\_\_ with the original on file in my office, and that the same is a correct transcript therefrom and of the whole of the said original Act, which was duly adopted by the County Board of Legislators of the County of Westchester on , 20\_\_\_\_ and approved by the County Executive on , 20\_\_\_\_.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said County Board of Legislators this day of , 20\_\_\_\_.

The Clerk and Chief Administrative Office of the  
County Board of Legislators County of Westchester,  
New York

(SEAL)

## LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on \_\_\_\_\_, 20\_\_\_\_ and approved by the County Executive on \_\_\_\_\_, 20\_\_\_\_ and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. \_\_\_\_\_-20\_\_\_\_\_

BOND ACT AUTHORIZING THE ISSUANCE OF \$8,730,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF THE CONSTRUCTION OF IMPROVEMENTS TO THE BRONX RIVER RESERVATION PATHWAY; STATING THE ESTIMATED TOTAL COST THEREOF IS \$8,730,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$8,730,000 BONDS HEREIN AUTHORIZED; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (adopted on \_\_\_\_\_, 20\_\_\_\_)

object or purpose: to finance the cost of the planning, construction and construction management of improvements to the Bronx River Reservation pathway and related infrastructure from the Kensico Dam Plaza to Green Acres Avenue, including rehabilitation and improvements to all pathway infrastructure including pathway pavement, footbridges, railings, stone walls, site furnishings, signage, pavement markings, grading, drainage, planting and other associated site work, all as set forth in the County's Current Year Capital Budget, as amended.

amount of obligations to be issued:

and period of probable usefulness: \$8,730,000; fifteen (15) years

Dated: \_\_\_\_\_, 20\_\_\_\_\_

White Plains, New York

\_\_\_\_\_  
Clerk and Chief Administrative Officer of the County Board  
of Legislators of the County of Westchester, New York



## CAPITAL PROJECT FACT SHEET

<b>Project ID:*</b> <b>RBR07</b>	<input type="checkbox"/> <b>CBA</b>	<b>Fact Sheet Date:*</b> 08-29-2024
<b>Fact Sheet Year:*</b> 2024	<b>Project Title:*</b> BRONX RIVER PATHWAY RECONSTRUCTION	<b>Legislative District ID:</b> 3, 10, 5,
<b>Category*</b> RECREATION FACILITIES	<b>Department:*</b> PARKS, RECREATION & CONSERVATION	<b>CP Unique ID:</b> 2683

### Overall Project Description

This project will reconstruct approximately 10 miles of asphalt pathway from Kensico Dam Plaza to Greenacres Avenue and Crane Road to Scout Field. The project will also include reconstruction of just over 1 mile of stone dust pathway, pedestrian footbridge renovations, crosswalk improvements, fencing and guiderail improvements, culvert replacement, swale construction, stone masonry, signage, benches and associated sitework and landscaping.

- |   |  |  |
|---|--|--|
| <input checked="" type="checkbox"/> Best Management Practices | <input type="checkbox"/> Energy Efficiencies     | <input checked="" type="checkbox"/> Infrastructure |
| <input checked="" type="checkbox"/> Life Safety               | <input type="checkbox"/> Project Labor Agreement | <input type="checkbox"/> Revenue                   |
| <input type="checkbox"/> Security                             | <input type="checkbox"/> Other                   |  |

### FIVE-YEAR CAPITAL PROGRAM (in thousands)

	Estimated Ultimate Total Cost	Appropriated	2024	2025	2026	2027	2028	Under Review
<b>Gross</b>	16,100	1,800	6,930	7,370	0	0	0	0
<b>Less Non-County Shares</b>	0	0	0	0	0	0	0	0
<b>Net</b>	16,100	1,800	6,930	7,370	0	0	0	0

**Expended/Obligated Amount (in thousands) as of :** 435

**Current Bond Description:** This request will fund construction and construction management of improvements to the Bronx River Reservation pathway and related infrastructure from the Kensico Dam Plaza to Green Acres Avenue. The work includes rehabilitation and improvements to all pathway infrastructure including pathway pavement, footbridges, railings, stone walls, site furnishings, signage, pavement markings, grading, drainage, planting and other associated site work.

#### Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	7,930,000
Cash:	0
<b>Total:</b>	<b>\$ 7,930,000</b>

### SEQR Classification:

TYPE II

### Amount Requested:

7,930,000

### Expected Design Work Provider:

- |  |  |   |
|--|--|---|
| <input checked="" type="checkbox"/> County Staff | <input checked="" type="checkbox"/> Consultant | <input type="checkbox"/> Not Applicable |
|--|--|---|

### Comments:

### Energy Efficiencies:

**Appropriation History:**

Year	Amount	Description
2022	1,800,000	DESIGN, CONSTRUCTION AND CONSTRUCTION MANAGEMENT
2024	6,930,000	FUNDS PHASE 1 CONSTRUCTION

**Total Appropriation History:**

8,730,000

**Financing History:**

Year	Bond Act #	Amount	Issued	Description
2023	248	800,000	0	BRONX RIVER PATHWAY RECONSTRUCTION

**Total Financing History:**

800,000

**Recommended By:****Department of Planning**

MLLL

**Date**

09-04-2024

**Department of Public Works**

RJB4

**Date**

09-04-2024

**Budget Department**

DEV9

**Date**

09-04-2024

**Requesting Department**

RCL3

**Date**

09-06-2024

## BRONX RIVER PATHWAY RECONSTRUCTION ( RBR07 )

**User Department :** Parks, Recreation & Conservation

**Managing Department(s) :** Parks, Recreation & Conservation ; Public Works ;

**Estimated Completion Date:** TBD

**Planning Board Recommendation:** Project has historical implications. Project approved in concept but subject to subsequent staff review.

### **FIVE YEAR CAPITAL PROGRAM (in thousands)**

	Est Ult Cost	Appropriated	Exp / Obl	2024	2025	2026	2027	2028	Under Review
Gross	16,100	1,800	435	6,930	7,370				
Non County Share									
Total	16,100	1,800	435	6,930	7,370				

### **Project Description**

This project will reconstruct approximately 10 miles of asphalt pathway from Kensico Dam Plaza to Greenacres Avenue and Crane Road to Scout Field. The project will also include reconstruction of just over 1 mile of stone dust pathway, pedestrian footbridge renovations, crosswalk improvements, fencing and guiderail improvements, culvert replacement, swale construction, stone masonry, signage, benches and associated sitework and landscaping.

### **Current Year Description**

The current year request funds Phase 1 construction.

### **Current Year Financing Plan**

Year	Bonds	Cash	Non County Shares	Total
2024	6,930,000			6,930,000

### **Impact on Operating Budget**

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

### **Appropriation History**

Year	Amount	Description	Status
2022	1,800,000	Design, construction and construction management	DESIGN
Total	1,800,000		

### **Prior Appropriations**


	Appropriated	Collected	Uncollected
Bond Proceeds	1,800,000		1,800,000
Total	1,800,000		1,800,000

### **Bonds Authorized**

Bond Act	Amount	Date Sold	Amount Sold	Balance
219 21	800,000			800,000
Total	800,000			800,000

October 4, 2024

TO: Hon. Vedat Gashi, Chair  
Hon. Jose Alvarado, Vice Chair  
Hon. Tyrae Woodson-Samuels, Majority Leader  
Hon. Margaret Cunzio, Minority Leader

FROM: George Latimer   
Westchester County Executive

RE: Message Requesting Immediate Consideration: **Bond Act – RGI06 –  
Glen Island Castle Rehabilitation.**

---

This will confirm my request that the Board of Legislators allow submission of the referenced communication to be submitted to the Board of Legislators October 7, 2024 Agenda.

Transmitted herewith for your review and approval is a bond act ("Bond Act") which, if adopted, would authorize the County of Westchester ("County") to issue bonds in the amount of \$1,000,000 to finance the following capital project: RGI06.

Therefore, since this communication is of the utmost importance, it is respectfully submitted that the County Board of Legislators accepts this submission for October 7, 2024 "blue sheet" calendar.

Thank you for your prompt attention to this matter.



George Latimer  
County Executive

October 7, 2024

Westchester County Board of Legislators  
800 Michaelian Office Building  
White Plains, New York 10601

Dear Honorable Members of the Board of Legislators:

Transmitted herewith for your review and approval is a bond act ("Bond Act") which, if adopted, would authorize the County of Westchester ("County") to issue bonds in the amount of \$1,000,000 to finance the following capital project:

RGI06 – Glen Island Castle Rehabilitation ("RGI06").

The Bond Act, in the amount of \$1,000,000, would finance the cost of planning for improvements to Glen Island Castles; including rehabilitation of roof and flashing, masonry repair, structural stabilization, flooring systems, doors, windows, ceiling and roof framing, interior walls, and a feasibility study to explore possibilities for the future re-use of Castle #2.

The Department of Parks, Recreation and Conservation ("Department") has advised that the existing castles at Glen Island Park date back to the late 1800s and are historically significant and integral to the park's charm. Stabilizing and rehabilitating these iconic structures is essential to preserve their legacy for current and future visitors.

Following bonding authorization, design will be scheduled and is anticipated to take twelve months to complete. It is anticipated that the design work will be completed by a consultant. It is estimated that construction will take twelve months to complete and will begin after award and execution of the construction contracts.

Based on the importance of this project to the County, favorable action on the proposed Bond Act is respectfully requested.

Sincerely,

A handwritten signature in blue ink, appearing to read "George Latimer", written over a horizontal line.

George Latimer  
Westchester County Executive



**HONORABLE BOARD OF LEGISLATORS  
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmittal from the County Executive recommending approval by the County of Westchester (“County”) of a bond act (“Bond Act”) in the amount of \$1,000,000 to finance capital project RGI06 – Glen Island Castle Rehabilitation (“RGI06”). The Bond Act, which was prepared by the law firm Harris Beach, PLLC, will finance the cost of planning for improvements to Glen Island Castles; including rehabilitation of roof and flashing, masonry repair, structural stabilization, flooring systems, doors, windows, ceiling and roof framing, interior walls, and a feasibility study to explore possibilities for the future re-use of Castle #2.

The Department of Parks, Recreation and Conservation (“Department”) has advised that the existing castles at Glen Island Park date back to the late 1800s and are historically significant and integral to the park's charm. Stabilizing and rehabilitating these iconic structures is essential to preserve their legacy for current and future visitors.

Following bonding authorization, design will be scheduled and is anticipated to take twelve months to complete. It is anticipated that the design work will be completed by a consultant. It is estimated that construction will take twelve months to complete and will begin after award and execution of the construction contracts.

The Planning Department has advised your Committee that based on its review, RGI06 may be classified as a Type “II” action pursuant to the State Environmental Quality Review Act (“SEQR”) and its implementing regulations, 6 NYCRR Part 617. Therefore, no environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this recommendation.

It should be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to adopt the Bond Act. Your Committee recommends the adoption of the proposed Bond Act.

Dated: \_\_\_\_\_, 20\_\_\_\_  
White Plains, New York

**COMMITTEE ON**

# FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: RG106

☐ NO FISCAL IMPACT PROJECTED

## SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☒ GENERAL FUND

☐ AIRPORT FUND

☐ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☒ Current Appropriations

☐ Capital Budget Amendment

## SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 1,000,000 PPU 5 Anticipated Interest Rate 2.34%

Anticipated Annual Cost (Principal and Interest): \$ 216,420

Total Debt Service (Annual Cost x Term): \$ 1,082,101

Finance Department: maab 10-4-24

## SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations  
(describe in detail for current and next four years):

\_\_\_\_\_  
\_\_\_\_\_

## SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded: 11

Prepared by: Dianne Vanadia

Title: Associate Budget Director

Department: Budget

Date: 10/4/24


Reviewed By: 

*DU 10/6/24  
CR 10/4/24*

Budget Director

Date: 10/4/24

TO: Michelle Greenbaum, Senior Assistant County Attorney  
Jeffrey Goldman, Senior Assistant County Attorney  
Carla Chaves, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM   
Assistant Commissioner

DATE: September 18, 2024

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:  
RGI06 GLEN ISLAND CASTLE REHABILITATION**

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**PROJECT/ACTION:** Per Capital Project Fact Sheet as approved by the Planning Department on  
09-04-2024 (Unique ID: 2684)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(27):** conducting concurrent environmental, engineering, economic, feasibility and other studies and preliminary planning and budgetary processes necessary to the formulation of a proposal for action, provided those activities do not commit the agency to commence, engage in or approve such action.

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**COMMENTS:** The current request is for design only.

DSK/CNM

cc: Andrew Ferris, Chief of Staff  
Paula Friedman, Assistant to the County Executive  
Lawrence Soule, Budget Director  
Tami Altschiller, Assistant Chief Deputy County Attorney  
Kathleen O'Connor, Commissioner of Parks, Recreation and Conservation  
Peter Tartaglia, First Deputy Commissioner of Parks, Recreation and Conservation  
Dianne Vanadia, Associate Budget Director  
Robert Lopane, Program Coordinator, Department of Public Works & Transportation  
Susan Darling, Chief Planner  
Michael Lipkin, Associate Planner  
Claudia Maxwell, Principal Environmental Planner

ACT NO. -20\_\_

BOND ACT AUTHORIZING THE ISSUANCE OF \$1,000,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF PLANNING FOR THE IMPROVEMENTS TO GLEN ISLAND CASTLES; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$1,000,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$1,000,000 BONDS HEREIN AUTHORIZED TO FINANCE SUCH COST; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted , 20\_\_)

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER (the "County"), NEW YORK (by the affirmative vote of not less than two-thirds of the voting strength of said Board), AS FOLLOWS:

Section 1. Pursuant to the provisions of the Local Finance Law, constituting Chapter 33-a of the Consolidated Laws of the State of New York (the "Law"), the Westchester County Administrative Code, being Chapter 852 of the Laws of 1948, as amended, to the provisions of other laws applicable thereto, \$1,000,000 bonds of the County, or so much thereof as may be necessary, are hereby authorized to be issued to finance the cost of planning for improvements to Glen Island Castles; including rehabilitation of roof and flashing, masonry repair, structural stabilization, flooring systems, doors, windows, ceiling and roof framing, interior walls, and a feasibility study to explore possibilities for the future re-use of Castle #2; all as set forth in



the County's current year Capital Budget, as amended. To the extent that the details set forth in this act are inconsistent with any details set forth in the current year Capital Budget of the County, such Budget shall be deemed and is hereby amended. The estimated maximum cost of said objects or purposes, including preliminary costs and costs incidental thereto and the financing thereof is \$1,000,000. The plan of financing includes the issuance of \$1,000,000 bonds herein authorized, and any bond anticipation notes issued in anticipation of the sale of such bonds, and the levy of a tax to pay the principal of and interest on said bonds.

Section 2. The period of probable usefulness applicable to the specific object or purpose for which bonds authorized by this resolution is to be issued within the limitations of Section 11.00 a. 62(2<sup>ND</sup>) of the Law, is five (5) years.

Section 3. Current funds are not required to be provided as a down payment pursuant to Section 107.00 d. 9. of the Law prior to issuance of the bonds authorized herein, or any bond anticipation notes issued in anticipation of the sale of such bonds. The County intends to finance, on an interim basis, the costs or a portion of the costs of said improvements for which bonds are herein authorized, which costs are reasonably expected to be reimbursed with the proceeds of debt to be incurred by the County, pursuant to this Act, in the maximum amount of \$1,000,000. This Act is a declaration of official intent adopted pursuant to the requirements of Treasury Regulation Section 1.150-2.

Section 4. Subject to the provisions of this Act and of the Law, and pursuant to the provisions of §30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals thereof, and of §§50.00, 56.00 to 60.00 and 168.00 of said Law, the powers and

duties of the County Board of Legislators relative to authorizing the issuance of any notes in anticipation of the sale of the bonds herein authorized, or the renewals thereof, relative to providing for substantially level or declining annual debt service, relative to prescribing the terms, form and contents and as to the sale and issuance of the respective amounts of bonds herein authorized, and of any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and relative to executing agreements for credit enhancement, are hereby delegated to the Commissioner of Finance of the County, as the chief fiscal officer of the County.

Section 5. Each of the bonds authorized by this Act and any bond anticipation notes issued in anticipation of the sale thereof shall contain the recital of validity prescribed by §52.00 of said Local Finance Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County of Westchester, payable as to both principal and interest by general tax upon all the taxable real property. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and provision shall be made annually in the budgets of the County by appropriation for (a) the amortization and redemption of the notes and bonds to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 6. The validity of the bonds authorized by this Act and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

(a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or

(b) the provisions of law which should be complied with at the date of the publication of this Act or a summary hereof, are not substantially complied with, and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or

(c) such obligations are authorized in violation of the provisions of the Constitution.

Section 7. This Act shall take effect in accordance with Section 107.71 of the Westchester County Charter.

\* \* \*

STATE OF NEW YORK                    )  
  : ss.:  
COUNTY OF WESTCHESTER        )

I HEREBY CERTIFY that I have compared the foregoing Act No. -20\_\_ with the original on file in my office, and that the same is a correct transcript therefrom and of the whole of the said original Act, which was duly adopted by the County Board of Legislators of the County of Westchester on                   , 20\_\_ and approved by the County Executive on                   , 20\_\_.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said County Board of Legislators this       day of                   , 20\_\_.

(SEAL)

The Clerk and Chief Administrative Officer of the  
County Board of Legislators  
County of Westchester, New York

## LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on \_\_\_\_\_, 20\_\_ and approved by the County Executive on \_\_\_\_\_, 20\_\_ and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. \_\_\_\_\_-20\_\_

BOND ACT AUTHORIZING THE ISSUANCE OF \$1,000,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF PLANNING FOR THE IMPROVEMENTS TO GLEN ISLAND CASTLES; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$1,000,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$1,000,000 BONDS HEREIN AUTHORIZED TO FINANCE SUCH COST; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted \_\_\_\_\_, 20\_\_)

object or purpose: to finance the cost of planning for the improvements to Glen Island Castles; including rehabilitation of roof and flashing, masonry repair, structural stabilization, flooring systems, doors, windows, ceiling and roof framing, interior walls, and a feasibility study to explore possibilities for the future re-use of Castle #2; all as set forth in the County's current year Capital Budget, as amended.

amount of obligations to be issued:

and period of probable usefulness: \$1,000,000; five (5) years

Dated: \_\_\_\_\_, 20\_\_  
White Plains, New York

\_\_\_\_\_  
Clerk and Chief Administrative Officer of the County  
Board of Legislators of the County of Westchester, New York



## CAPITAL PROJECT FACT SHEET

<b>Project ID:*</b> RGI06	<input type="checkbox"/> CBA	<b>Fact Sheet Date:*</b> 08-29-2024
<b>Fact Sheet Year:*</b> 2024	<b>Project Title:*</b> GLEN ISLAND CASTLE REHABILITATION	<b>Legislative District ID:</b> 11,
<b>Category*</b> RECREATION FACILITIES	<b>Department:*</b> PARKS, RECREATION & CONSERVATION	<b>CP Unique ID:</b> 2684

### Overall Project Description

This project funds the rehabilitation of the two castles at Glen Island Park.

- |   |  |  |
|---|--|--|
| <input checked="" type="checkbox"/> Best Management Practices | <input type="checkbox"/> Energy Efficiencies     | <input checked="" type="checkbox"/> Infrastructure |
| <input checked="" type="checkbox"/> Life Safety               | <input type="checkbox"/> Project Labor Agreement | <input type="checkbox"/> Revenue                   |
| <input type="checkbox"/> Security                             | <input type="checkbox"/> Other                   |  |

### FIVE-YEAR CAPITAL PROGRAM (in thousands)

	Estimated Ultimate Total Cost	Appropriated	2024	2025	2026	2027	2028	Under Review
<b>Gross</b>	5,000	5,000	0	0	0	0	0	0
<b>Less Non-County Shares</b>	0	0	0	0	0	0	0	0
<b>Net</b>	5,000	5,000	0	0	0	0	0	0

**Expended/Obligated Amount (in thousands) as of :** 0

**Current Bond Description:** The current bond authorization request will fund the design of improvements to the Glen Island Castles including rehabilitation of roof and flashing, masonry repair, structural stabilization, flooring systems, doors, windows, ceiling and roof framing, interior walls and the project will also include a feasibility study to explore possibilities for the future re-use of Castle #2.

### Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	1,000,000
Cash:	0
<b>Total:</b>	<b>\$ 1,000,000</b>

### SEQR Classification:

TYPE II

### Amount Requested:

1,000,000

### Expected Design Work Provider:

- ☐ County Staff
 ☒ Consultant
 ☐ Not Applicable

### Comments:

### Energy Efficiencies:

### Appropriation History:

Year	Amount	Description
2022	5,000,000	DESIGN, CONSTRUCTION AND CONSTRUCTION MANAGEMENT

### Total Appropriation History:

5,000,000

### Total Financing History:

0

**Recommended By:**

**Department of Planning**

MLLL

**Date**

09-04-2024

**Department of Public Works**

RJB4

**Date**

09-04-2024

**Budget Department**

DEV9

**Date**

09-07-2024

**Requesting Department**

RCL3

**Date**

09-16-2024

## GLEN ISLAND CASTLE REHABILITATION ( RGI06 )

**User Department :** Parks, Recreation & Conservation

**Managing Department(s) :** Parks, Recreation & Conservation ; Public Works ;

**Estimated Completion Date:** TBD

**Planning Board Recommendation:** Project has historical implications. Project approved in concept but subject to subsequent staff review.

### **FIVE YEAR CAPITAL PROGRAM (in thousands)**

	Est Ult Cost	Appropriated	Exp / Obl	2024	2025	2026	2027	2028	Under Review
Gross	5,000	5,000							
Non County Share									
Total	5,000	5,000							

### **Project Description**

This project funds the rehabilitation of the two castles at Glen Island Park.

### **Current Year Description**

There is no current year request.

### **Impact on Operating Budget**

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

### **Appropriation History**


Year	Amount	Description	Status
2022	5,000,000	Design, construction and construction management	AWAITING BOND AUTHORIZATION
Total	5,000,000		

### **Prior Appropriations**

	Appropriated	Collected	Uncollected
Bond Proceeds	5,000,000		5,000,000
Total	5,000,000		5,000,000

October 4, 2024

TO: Hon. Vedat Gashi, Chair  
Hon. Jose Alvarado, Vice Chair  
Hon. Tyrae Woodson-Samuels, Majority Leader  
Hon. Margaret Cunzio, Minority Leader

FROM: George Latimer   
Westchester County Executive

RE: Message Requesting Immediate Consideration: **Bond Act – SY085 –  
Sewer System Rehabilitation – Yonkers Jt. Plant Districts.**

---

This will confirm my request that the Board of Legislators allow submission of the referenced communication to be submitted to the Board of Legislators October 7, 2024 Agenda.

Transmitted herewith for your review and approval is a bond act ("Bond Act") which, if adopted, would authorize the County of Westchester ("County") to issue bonds in the amount of \$6,000,000 to finance the following capital project: SY085.

Therefore, since this communication is of the utmost importance, it is respectfully submitted that the County Board of Legislators accepts this submission for October 7, 2024 "blue sheet" calendar.

Thank you for your prompt attention to this matter.



George Latimer  
County Executive

October 7, 2024

Westchester County Board of Legislators  
800 Michaelian Office Building  
White Plains, New York 10601

Dear Honorable Members of the Board of Legislators:

Transmitted herewith for your review and approval is a bond act ("Bond Act") which, if adopted, would authorize the County of Westchester ("County") to issue bonds in the amount of \$6,000,000 to finance the following capital project:

SY085 – Sewer System Rehabilitation – Yonkers Jt. Plant Districts ("SY085").

The Bond Act, in the amount of \$6,000,000, would finance the cost of construction associated with the rehabilitation of various County-owned sanitary sewer lines, including soil stabilization and reburial of exposed sewer pipes incidental thereto, installation of rip-rap, gabions, additional soil and earthwork, including incidental expenses.

The Department of Environmental Facilities ("Department") has advised that the rehabilitation of the Department's collection system is necessary under the state mandated CMOM (Capacity, Management, Operation and Maintenance) program. The Department is required to keep its equipment in a state of good repair in order to continue to adequately protect the environment.

Design is currently being undertaken by consultants and is expected to be completed by the end of the fourth quarter of 2024. It is estimated that construction will take twelve months to complete and will begin after award and execution of the construction contracts.

It should be noted that your Honorable Board has previously authorized the County to issue bonds in connection with SY085 as indicated in the annexed fact sheet.

Based on the importance of this project to the County, favorable action on the proposed Bond Act is respectfully requested.

Sincerely,

A handwritten signature in blue ink, appearing to read "George Latimer", written over a light blue horizontal line.

George Latimer  
Westchester County Executive



**HONORABLE BOARD OF LEGISLATORS  
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmittal from the County Executive recommending approval by the County of Westchester (“County”) of a bond act (“Bond Act”) in the amount of \$6,000,000 to finance capital project SY085 – Sewer System Rehabilitation – Yonkers Jt. Plant Districts (“SY085”). The Bond Act, which was prepared by the law firm Norton Rose Fulbright, will finance the cost of construction associated with the rehabilitation of various County-owned sanitary sewer lines, including soil stabilization and reburial of exposed sewer pipes incidental thereto, installation of rip-rap, gabions, additional soil and earthwork, including incidental expenses.

The Department of Environmental Facilities (“Department”) has advised that the rehabilitation of the Department’s collection system is necessary under the state mandated CMOM (Capacity, Management, Operation and Maintenance) program. The Department is required to keep its equipment in a state of good repair in order to continue to adequately protect the environment.

Design is currently being undertaken by consultants and is expected to be completed by the end of the fourth quarter of 2024. It is estimated that construction will take twelve months to complete and will begin after award and execution of the construction contracts.

It should be noted that your Honorable Board has previously authorized the County to issue bonds in connection with SY085 as indicated in the annexed fact sheet.

The Planning Department has advised your Committee that based on its review, SY085 has been classified as a “Type I” action under the State Environmental Quality Review Act (“SEQR”). A Resolution, and proposed Negative Declaration, along with an Environmental Assessment Form, prepared by the Planning Department, are attached to assist your Honorable Board in complying with SEQR. Upon review, your Committee concludes that the proposed action will not have any significant impact on the environment and recommends the adoption of the Resolution adopting the Negative Declaration. As you know, a determination of significance must be made prior to enacting the aforementioned Bond Act.

It should be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to adopt the Bond Act. Your Committee recommends the adoption of the proposed Bond Act.

Dated: \_\_\_\_\_, 20\_\_\_\_  
White Plains, New York

c mg 2-14-24

**COMMITTEE ON**

# FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: SY085

☐ NO FISCAL IMPACT PROJECTED

## SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☐ GENERAL FUND

☐ AIRPORT FUND

☒ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☒ Current Appropriations

☐ Capital Budget Amendment

## SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 6,000,000 PPU 30 Anticipated Interest Rate 3.29%

Anticipated Annual Cost (Principal and Interest): \$ 305,192

Total Debt Service (Annual Cost x Term): \$ 9,155,760

Finance Department: maab 10-4-24

## SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations

(describe in detail for current and next four years):

\_\_\_\_\_  
\_\_\_\_\_

## SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded: 65

Prepared by: Dianne Vandia

Title: Associate Budget Director

Department: Budget

Date: 10/1/24

Reviewed By: 

10/14/24  
CO 10/14/24  
Budget Director

Date: 10/14/24

**Full Environmental Assessment Form**  
**Part 1 - Project and Setting**

**Instructions for Completing Part 1**

**Part 1 is to be completed by the applicant or project sponsor.** Responses become part of the application for approval or funding, are subject to public review, and may be subject to further verification.

Complete Part 1 based on information currently available. If additional research or investigation would be needed to fully respond to any item, please answer as thoroughly as possible based on current information; indicate whether missing information does not exist, or is not reasonably available to the sponsor; and, when possible, generally describe work or studies which would be necessary to update or fully develop that information.

Applicants/sponsors must complete all items in Sections A & B. In Sections C, D & E, most items contain an initial question that must be answered either "Yes" or "No". If the answer to the initial question is "Yes", complete the sub-questions that follow. If the answer to the initial question is "No", proceed to the next question. Section F allows the project sponsor to identify and attach any additional information. Section G requires the name and signature of the applicant or project sponsor to verify that the information contained in Part 1 is accurate and complete.

**A. Project and Applicant/Sponsor Information.**

Name of Action or Project: Stabilization of the North Yonkers Trunk Sewer (SY085)		
Project Location (describe, and attach a general location map): The North Yonkers Trunk Sewer is located along the east bank of the Hudson River in Dobbs Ferry, Hastings, Yonkers within Westchester County NY.		
Brief Description of Proposed Action (include purpose or need): This project will stabilize the North Yonkers trunk sewer at multiple points. Scope of work includes the following actions at 8 separate sites located along the 7.7-mile trunk sewer alignment: installation of filter fabric and stone/rip-rap to cover exposed areas of the sewer line, regrading of the upslope to reduce overburden to the pipeline, installation of gabion walls or berms to further stabilize where needed, and repair or replacement of manholes. The project will necessitate tree removals both within the sewer easement as well as on several private properties bordering the sewer line for access. The proposed project would include obtaining temporary access permission and negotiating new permanent right of way easements for improvement areas. The project is needed due to the physical condition of the slopes and area around the trunk sewer and manholes, which has been significantly eroded and/or has experienced significant slope movement, which has exposed and destabilized the pipeline and manhole structures in multiple locations. The erosion and slope movement issues had existed prior to recent severe precipitation events experienced in the area, which significantly exacerbated the issue, required emergency, temporary repairs and compounded the need for the permanent solution described by this project. Note: Project sites names have retained the legacy "area numbers" and are not consecutively numbered in the project plans or descriptions.		
Name of Applicant/Sponsor: County of Westchester		Telephone: 914-995-2000 E-Mail:
Address: 148 Martine Avenue		
City/PO: White Plains	State: NY	Zip Code: 10601
Project Contact (if not same as sponsor; give name and title/role): David S. Kvinge, Assistant Commissioner, Westchester County Planning Department		Telephone: 914-995-4400 E-Mail: dsk2@westchestercountyny.gov
Address: 148 Martine Avenue		
City/PO: White Plains	State: NY	Zip Code: 10601
Property Owner (if not same as sponsor):		Telephone: E-Mail:
Address:		
City/PO:	State:	Zip Code:

## B. Government Approvals

B. Government Approvals, Funding, or Sponsorship. ("Funding" includes grants, loans, tax relief, and any other forms of financial assistance.)		
Government Entity	If Yes: Identify Agency and Approval(s) Required	Application Date (Actual or projected)
a. City Council, Town Board, <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No or Village Board of Trustees	Village of Dobbs Ferry (easement)	
b. City, Town or Village <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Planning Board or Commission		
c. City, Town or <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Village Zoning Board of Appeals		
d. Other local agencies <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
e. County agencies <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Westchester Board of Legislators (funding)	
f. Regional agencies <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Metropolitan Transportation Authority (MTA) and Metro-North Railroad (MNR) - Entry Permit	
g. State agencies <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	NYS DEC, NYS DOS, NYS OPRHP (various) NYS Environmental Facilities Corp (funding)	
h. Federal agencies <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
i. Coastal Resources.		
i. Is the project site within a Coastal Area, or the waterfront area of a Designated Inland Waterway?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
ii. Is the project site located in a community with an approved Local Waterfront Revitalization Program?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
iii. Is the project site within a Coastal Erosion Hazard Area?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

## C. Planning and Zoning

<b>C.1. Planning and zoning actions.</b>	
Will administrative or legislative adoption, or amendment of a plan, local law, ordinance, rule or regulation be the only approval(s) which must be granted to enable the proposed action to proceed? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<ul style="list-style-type: none"> <li>• If Yes, complete sections C, F and G.</li> <li>• If No, proceed to question C.2 and complete all remaining sections and questions in Part 1</li> </ul>	
<b>C.2. Adopted land use plans.</b>	
a. Do any municipally- adopted (city, town, village or county) comprehensive land use plan(s) include the site where the proposed action would be located? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
If Yes, does the comprehensive plan include specific recommendations for the site where the proposed action would be located? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
b. Is the site of the proposed action within any local or regional special planning district (for example: Greenway; Brownfield Opportunity Area (BOA); designated State or Federal heritage area; watershed management plan; or other?) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
If Yes, identify the plan(s):	
All three municipalities are part of the Hudson River Valley Greenway. Dobbs Ferry also has an approved Local Waterfront Revitalization Program.	
c. Is the proposed action located wholly or partially within an area listed in an adopted municipal open space plan, or an adopted municipal farmland protection plan? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
If Yes, identify the plan(s):	
Dobbs Ferry Vision Plan (2010), Village of Hastings-on-Hudson Comprehensive Plan (2011).	
Westchester RiverWalk (2003)	



### C.3. Zoning

a. Is the site of the proposed action located in a municipality with an adopted zoning law or ordinance. ☒ Yes ☐ No

If Yes, what is the zoning classification(s) including any applicable overlay district?

Dobbs Ferry: OS (Open Space), EI (Educational/Institutional); Hastings: MR-2.5 (Multi-family), R-10 & R-20 (One-family residences); Yonkers: A (Elevator Apts)

b. Is the use permitted or allowed by a special or conditional use permit? ☐ Yes ☒ No

c. Is a zoning change requested as part of the proposed action? ☐ Yes ☒ No

If Yes,

i. What is the proposed new zoning for the site? \_\_\_\_\_

### C.4. Existing community services.

a. In what school district is the project site located? Dobbs Ferry School District, Hastings School District, Yonkers School District \_\_\_\_\_

b. What police or other public protection forces serve the project site?

Dobbs Ferry P.D., Hastings-On-Hudson P.D., Yonkers P.D. \_\_\_\_\_

c. Which fire protection and emergency medical services serve the project site?

Dobbs Ferry FD/VAC, Hastings FD/Ambulance Corps, Yonkers FD/Empress EMS. \_\_\_\_\_

d. What parks serve the project site?

N/A \_\_\_\_\_

### D. Project Details

#### D.1. Proposed and Potential Development

a. What is the general nature of the proposed action (e.g., residential, industrial, commercial, recreational; if mixed, include all components)? The proposed action is the stabilization of an existing trunk sewer line that is located on a slope adjacent to and parallel to MTA railroad tracks. Actions are limited to the site preparation and slope stabilization activities.

b. a. Total acreage of the site of the proposed action? 6.5(temp access) acres

b. Total acreage to be physically disturbed? 0.77 (work area) acres

c. Total acreage (project site and any contiguous properties) owned or controlled by the applicant or project sponsor? 7.7mi (sewer line) acres

c. Is the proposed action an expansion of an existing project or use? ☐ Yes ☒ No

i. If Yes, what is the approximate percentage of the proposed expansion and identify the units (e.g., acres, miles, housing units, square feet)? % \_\_\_\_\_ Units: \_\_\_\_\_

d. Is the proposed action a subdivision, or does it include a subdivision? ☐ Yes ☒ No

If Yes,

i. Purpose or type of subdivision? (e.g., residential, industrial, commercial; if mixed, specify types) \_\_\_\_\_

ii. Is a cluster/conservation layout proposed? ☐ Yes ☐ No

iii. Number of lots proposed? \_\_\_\_\_

iv. Minimum and maximum proposed lot sizes? Minimum \_\_\_\_\_ Maximum \_\_\_\_\_

e. Will the proposed action be constructed in multiple phases? ☐ Yes ☒ No

i. If No, anticipated period of construction: 12 months

ii. If Yes:

- Total number of phases anticipated \_\_\_\_\_

- Anticipated commencement date of phase 1 (including demolition) \_\_\_\_\_ month \_\_\_\_\_ year

- Anticipated completion date of final phase \_\_\_\_\_ month \_\_\_\_\_ year

- Generally describe connections or relationships among phases, including any contingencies where progress of one phase may determine timing or duration of future phases: \_\_\_\_\_

f. Does the project include new residential uses? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>			
If Yes, show numbers of units proposed.			
	<u>One Family</u>	<u>Two Family</u>	<u>Three Family</u>
	<u>Multiple Family (four or more)</u>		
Initial Phase	_____	_____	_____
At completion	_____	_____	_____
of all phases	_____	_____	_____

g. Does the proposed action include new non-residential construction (including expansions)? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>	
If Yes,	
i. Total number of structures _____	
ii. Dimensions (in feet) of largest proposed structure: _____ height; _____ width; and _____ length	
iii. Approximate extent of building space to be heated or cooled: _____ square feet	

h. Does the proposed action include construction or other activities that will result in the impoundment of any liquids, such as creation of a water supply, reservoir, pond, lake, waste lagoon or other storage? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>	
If Yes,	
i. Purpose of the impoundment: _____	
ii. If a water impoundment, the principal source of the water: <span style="float: right;"><input type="checkbox"/> Ground water <input type="checkbox"/> Surface water streams <input type="checkbox"/> Other specify: _____</span>	
iii. If other than water, identify the type of impounded/contained liquids and their source. _____	
iv. Approximate size of the proposed impoundment. Volume: _____ million gallons; surface area: _____ acres	
v. Dimensions of the proposed dam or impounding structure: _____ height; _____ length	
vi. Construction method/materials for the proposed dam or impounding structure (e.g., earth fill, rock, wood, concrete): _____	

**D.2. Project Operations**

a. Does the proposed action include any excavation, mining, or dredging, during construction, operations, or both? (Not including general site preparation, grading or installation of utilities or foundations where all excavated materials will remain onsite) <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>	
If Yes:	
i. What is the purpose of the excavation or dredging? <u>Work limited to site preparation and grading for utility stabilization. Material remains onsite.</u>	
ii. How much material (including rock, earth, sediments, etc.) is proposed to be removed from the site?	
<ul style="list-style-type: none"> <li>• Volume (specify tons or cubic yards): _____</li> <li>• Over what duration of time? _____</li> </ul>	
iii. Describe nature and characteristics of materials to be excavated or dredged, and plans to use, manage or dispose of them. <u>All constructions materials will remain onsite.</u>	
iv. Will there be onsite dewatering or processing of excavated materials? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>	
If yes, describe. _____	
v. What is the total area to be dredged or excavated? _____ acres	
vi. What is the maximum area to be worked at any one time? _____ acres	
vii. What would be the maximum depth of excavation or dredging? _____ feet	
viii. Will the excavation require blasting? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>	
ix. Summarize site reclamation goals and plan: _____	
<u>All area affected by the work shall be restored to a condition equal to or better than the condition existing prior to commencing construction.</u>	

b. Would the proposed action cause or result in alteration of, increase or decrease in size of, or encroachment into any existing wetland, waterbody, shoreline, beach or adjacent area? <span style="float: right;"><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</span>	
If Yes:	
i. Identify the wetland or waterbody which would be affected (by name, water index number, wetland map number or geographic description): <u>An unnamed, unclassified stream is located within part of Area 5 work area. The stream is not mapped in DEC's environmental resource mapper. The stream has a small catchment area, in the vicinity of the Graham School / N.Y. Orphan Asylum.</u>	

ii. Describe how the proposed action would affect that waterbody or wetland, e.g. excavation, fill, placement of structures, or alteration of channels, banks and shorelines. Indicate extent of activities, alterations and additions in square feet or acres:  
Slope rehabilitation and stabilization activities are proposed along a portion the unclassified stream bank. Activities would include a gabion of approximately 10 linear feet and filter fabric with trap rock overlay of less than approximately 20 linear feet along the stream bank.

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iii. Will the proposed action cause or result in disturbance to bottom sediments? ☐ Yes ☒ No  
 If Yes, describe: bottom sediments would not be disturbed or would be minimal.

iv. Will the proposed action cause or result in the destruction or removal of aquatic vegetation? ☐ Yes ☒ No  
 If Yes:

- acres of aquatic vegetation proposed to be removed: \_\_\_\_\_
- expected acreage of aquatic vegetation remaining after project completion: \_\_\_\_\_
- purpose of proposed removal (e.g. beach clearing, invasive species control, boat access): \_\_\_\_\_
- proposed method of plant removal: \_\_\_\_\_
- if chemical/herbicide treatment will be used, specify product(s): \_\_\_\_\_

v. Describe any proposed reclamation/mitigation following disturbance: \_\_\_\_\_  
Mitigation along the stream bank will be consistent with NYS Standards and Specifications for Erosion and Sediment Control (Blue Book).

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c. Will the proposed action use, or create a new demand for water? ☐ Yes ☒ No  
 If Yes:

i. Total anticipated water usage/demand per day: \_\_\_\_\_ gallons/day

ii. Will the proposed action obtain water from an existing public water supply? ☐ Yes ☐ No  
 If Yes:

- Name of district or service area: \_\_\_\_\_
- Does the existing public water supply have capacity to serve the proposal? ☐ Yes ☐ No
- Is the project site in the existing district? ☐ Yes ☐ No
- Is expansion of the district needed? ☐ Yes ☐ No
- Do existing lines serve the project site? ☐ Yes ☐ No

iii. Will line extension within an existing district be necessary to supply the project? ☐ Yes ☐ No  
 If Yes:

- Describe extensions or capacity expansions proposed to serve this project: \_\_\_\_\_
- Source(s) of supply for the district: \_\_\_\_\_

iv. Is a new water supply district or service area proposed to be formed to serve the project site? ☐ Yes ☐ No  
 If Yes:

- Applicant/sponsor for new district: \_\_\_\_\_
- Date application submitted or anticipated: \_\_\_\_\_
- Proposed source(s) of supply for new district: \_\_\_\_\_

v. If a public water supply will not be used, describe plans to provide water supply for the project: \_\_\_\_\_

vi. If water supply will be from wells (public or private), what is the maximum pumping capacity: \_\_\_\_\_ gallons/minute.

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d. Will the proposed action generate liquid wastes? ☐ Yes ☒ No  
 If Yes:

i. Total anticipated liquid waste generation per day: \_\_\_\_\_ gallons/day

ii. Nature of liquid wastes to be generated (e.g., sanitary wastewater, industrial; if combination, describe all components and approximate volumes or proportions of each): \_\_\_\_\_

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iii. Will the proposed action use any existing public wastewater treatment facilities? ☐ Yes ☐ No  
 If Yes:

- Name of wastewater treatment plant to be used: \_\_\_\_\_
- Name of district: \_\_\_\_\_
- Does the existing wastewater treatment plant have capacity to serve the project? ☐ Yes ☐ No
- Is the project site in the existing district? ☐ Yes ☐ No
- Is expansion of the district needed? ☐ Yes ☐ No

<ul style="list-style-type: none"> <li>• Do existing sewer lines serve the project site? _____</li> <li>• Will a line extension within an existing district be necessary to serve the project? _____</li> </ul> <p>If Yes:</p> <ul style="list-style-type: none"> <li>• Describe extensions or capacity expansions proposed to serve this project: _____</li> </ul>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No	
<p>iv. Will a new wastewater (sewage) treatment district be formed to serve the project site? _____</p> <p>If Yes:</p> <ul style="list-style-type: none"> <li>• Applicant/sponsor for new district: _____</li> <li>• Date application submitted or anticipated: _____</li> <li>• What is the receiving water for the wastewater discharge? _____</li> </ul>	<input type="checkbox"/> Yes <input type="checkbox"/> No	
<p>v. If public facilities will not be used, describe plans to provide wastewater treatment for the project, including specifying proposed receiving water (name and classification if surface discharge or describe subsurface disposal plans): _____</p>		
<p>vi. Describe any plans or designs to capture, recycle or reuse liquid waste: _____</p>		
<p>e. Will the proposed action disturb more than one acre and create stormwater runoff, either from new point sources (i.e. ditches, pipes, swales, curbs, gutters or other concentrated flows of stormwater) or non-point source (i.e. sheet flow) during construction or post construction? _____</p> <p>If Yes:</p> <p>i. How much impervious surface will the project create in relation to total size of project parcel?</p> <p style="margin-left: 40px;"> <input type="text"/> 0 Square feet or <input type="text"/> acres (impervious surface)  <input type="text"/> N/A Square feet or <input type="text"/> acres (parcel size)         </p> <p>ii. Describe types of new point sources. <u>N/A</u></p> <p>iii. Where will the stormwater runoff be directed (i.e. on-site stormwater management facility/structures, adjacent properties, groundwater, on-site surface water or off-site surface waters)? _____</p> <p>Stormwater runoff will be controlled during construction in accordance with the latest edition of the NYS Standards and Specifications for Erosion and Sediment Control. No new permanent redirection or concentration of stormwater will be created.</p> <ul style="list-style-type: none"> <li>• If to surface waters, identify receiving water bodies or wetlands: <u>Hudson River</u></li> <li>• Will stormwater runoff flow to adjacent properties? _____</li> </ul>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<p>iv. Does the proposed plan minimize impervious surfaces, use pervious materials or collect and re-use stormwater? _____</p> <p>f. Does the proposed action include, or will it use on-site, one or more sources of air emissions, including fuel combustion, waste incineration, or other processes or operations? _____</p> <p>If Yes, identify:</p> <p>i. Mobile sources during project operations (e.g., heavy equipment, fleet or delivery vehicles)</p> <p><u>Heavy Equipment including construction vehicles and mobile generators</u></p> <p>ii. Stationary sources during construction (e.g., power generation, structural heating, batch plant, crushers)</p> <p><u>N/A</u></p> <p>iii. Stationary sources during operations (e.g., process emissions, large boilers, electric generation)</p> <p><u>N/A</u></p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<p>g. Will any air emission sources named in D.2.f (above), require a NY State Air Registration, Air Facility Permit, or Federal Clean Air Act Title IV or Title V Permit? _____</p> <p>If Yes:</p> <p>i. Is the project site located in an Air quality non-attainment area? (Area routinely or periodically fails to meet ambient air quality standards for all or some parts of the year) _____</p> <p>ii. In addition to emissions as calculated in the application, the project will generate:</p> <ul style="list-style-type: none"> <li>• <input type="text"/> Tons/year (short tons) of Carbon Dioxide (CO<sub>2</sub>)</li> <li>• <input type="text"/> Tons/year (short tons) of Nitrous Oxide (N<sub>2</sub>O)</li> <li>• <input type="text"/> Tons/year (short tons) of Perfluorocarbons (PFCs)</li> <li>• <input type="text"/> Tons/year (short tons) of Sulfur Hexafluoride (SF<sub>6</sub>)</li> <li>• <input type="text"/> Tons/year (short tons) of Carbon Dioxide equivalent of Hydrofluorocarbons (HFCs)</li> <li>• <input type="text"/> Tons/year (short tons) of Hazardous Air Pollutants (HAPs)</li> </ul>		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No

h. Will the proposed action generate or emit methane (including, but not limited to, sewage treatment plants, landfills, composting facilities)? ☐ Yes ☒ No

If Yes:

i. Estimate methane generation in tons/year (metric): \_\_\_\_\_

ii. Describe any methane capture, control or elimination measures included in project design (e.g., combustion to generate heat or electricity, flaring): \_\_\_\_\_

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i. Will the proposed action result in the release of air pollutants from open-air operations or processes, such as quarry or landfill operations? ☐ Yes ☒ No

If Yes: Describe operations and nature of emissions (e.g., diesel exhaust, rock particulates/dust):  
(Machine and vehicle exhaust during construction; no emissions post-construction "during operation.") \_\_\_\_\_

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j. Will the proposed action result in a substantial increase in traffic above present levels or generate substantial new demand for transportation facilities or services? ☐ Yes ☒ No

If Yes:

i. When is the peak traffic expected (Check all that apply): ☐ Morning ☐ Evening ☐ Weekend  
☐ Randomly between hours of \_\_\_\_\_ to \_\_\_\_\_

ii. For commercial activities only, projected number of truck trips/day and type (e.g., semi trailers and dump trucks): \_\_\_\_\_

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iii. Parking spaces: Existing \_\_\_\_\_ Proposed \_\_\_\_\_ Net increase/decrease \_\_\_\_\_

iv. Does the proposed action include any shared use parking? ☐ Yes ☐ No

v. If the proposed action includes any modification of existing roads, creation of new roads or change in existing access, describe:  
Temporary, private access and haul roads will be constructed

vi. Are public/private transportation service(s) or facilities available within 1/2 mile of the proposed site? ☐ Yes ☐ No

vii. Will the proposed action include access to public transportation or accommodations for use of hybrid, electric or other alternative fueled vehicles? ☐ Yes ☐ No

viii. Will the proposed action include plans for pedestrian or bicycle accommodations for connections to existing pedestrian or bicycle routes? ☐ Yes ☐ No

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k. Will the proposed action (for commercial or industrial projects only) generate new or additional demand for energy? ☐ Yes ☒ No

If Yes:

i. Estimate annual electricity demand during operation of the proposed action: \_\_\_\_\_

ii. Anticipated sources/suppliers of electricity for the project (e.g., on-site combustion, on-site renewable, via grid/local utility, or other): \_\_\_\_\_

iii. Will the proposed action require a new, or an upgrade, to an existing substation? ☐ Yes ☐ No

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l. Hours of operation. Answer all items which apply.

i. During Construction:	ii. During Operations:
• Monday - Friday: _____ 7:00 am - 5:00 pm	• Monday - Friday: _____ N/A
• Saturday: _____	• Saturday: _____
• Sunday: _____	• Sunday: _____
• Holidays: _____	• Holidays: _____

<p>m. Will the proposed action produce noise that will exceed existing ambient noise levels during construction, operation, or both? <span style="float: right;"><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</span></p> <p>If yes:</p> <p>i. Provide details including sources, time of day and duration:</p> <p>Heavy equipment and vehicles will be operating during normal hours, which may increase ambient noise levels</p>	
<p>ii. Will the proposed action remove existing natural barriers that could act as a noise barrier or screen? <span style="float: right;"><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</span></p> <p>Describe: The proposed action includes the removal of trees, some of which may serve as a natural noise barrier or screen</p>	
<p>n. Will the proposed action have outdoor lighting? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span></p> <p>If yes:</p> <p>i. Describe source(s), location(s), height of fixture(s), direction/aim, and proximity to nearest occupied structures:</p>	
<p>ii. Will proposed action remove existing natural barriers that could act as a light barrier or screen? <span style="float: right;"><input type="checkbox"/> Yes <input type="checkbox"/> No</span></p> <p>Describe:</p>	
<p>o. Does the proposed action have the potential to produce odors for more than one hour per day? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span></p> <p>If Yes, describe possible sources, potential frequency and duration of odor emissions, and proximity to nearest occupied structures:</p>	
<p>p. Will the proposed action include any bulk storage of petroleum (combined capacity of over 1,100 gallons) or chemical products 185 gallons in above ground storage or any amount in underground storage? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span></p> <p>If Yes:</p> <p>i. Product(s) to be stored</p> <p>ii. Volume(s) per unit time (e.g., month, year)</p> <p>iii. Generally, describe the proposed storage facilities:</p>	
<p>q. Will the proposed action (commercial, industrial and recreational projects only) use pesticides (i.e., herbicides, insecticides) during construction or operation? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span></p> <p>If Yes:</p> <p>i. Describe proposed treatment(s):</p>	
<p>ii. Will the proposed action use Integrated Pest Management Practices? <span style="float: right;"><input type="checkbox"/> Yes <input type="checkbox"/> No</span></p>	
<p>r. Will the proposed action (commercial or industrial projects only) involve or require the management or disposal of solid waste (excluding hazardous materials)? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span></p> <p>If Yes:</p> <p>i. Describe any solid waste(s) to be generated during construction or operation of the facility:</p> <ul style="list-style-type: none"> <li>• Construction: tons per (unit of time)</li> <li>• Operation : tons per (unit of time)</li> </ul> <p>ii. Describe any proposals for on-site minimization, recycling or reuse of materials to avoid disposal as solid waste:</p> <ul style="list-style-type: none"> <li>• Construction:</li> <li>• Operation:</li> </ul> <p>iii. Proposed disposal methods/facilities for solid waste generated on-site:</p> <ul style="list-style-type: none"> <li>• Construction:</li> <li>• Operation:</li> </ul>	



s. Does the proposed action include construction or modification of a solid waste management facility? ☐ Yes ☒ No

If Yes:

i. Type of management or handling of waste proposed for the site (e.g., recycling or transfer station, composting, landfill, or other disposal activities): \_\_\_\_\_

ii. Anticipated rate of disposal/processing:

- \_\_\_\_\_ Tons/month, if transfer or other non-combustion/thermal treatment, or
- \_\_\_\_\_ Tons/hour, if combustion or thermal treatment

iii. If landfill, anticipated site life: \_\_\_\_\_ years

t. Will the proposed action at the site involve the commercial generation, treatment, storage, or disposal of hazardous waste? ☐ Yes ☒ No

If Yes:

i. Name(s) of all hazardous wastes or constituents to be generated, handled or managed at facility: \_\_\_\_\_

ii. Generally describe processes or activities involving hazardous wastes or constituents: \_\_\_\_\_

iii. Specify amount to be handled or generated \_\_\_\_\_ tons/month

iv. Describe any proposals for on-site minimization, recycling or reuse of hazardous constituents: \_\_\_\_\_

v. Will any hazardous wastes be disposed at an existing offsite hazardous waste facility? ☐ Yes ☐ No

If Yes: provide name and location of facility: \_\_\_\_\_

If No: describe proposed management of any hazardous wastes which will not be sent to a hazardous waste facility: \_\_\_\_\_

#### E. Site and Setting of Proposed Action

**E.1. Land uses on and surrounding the project site**

a. Existing land uses.

i. Check all uses that occur on, adjoining and near the project site.

☐ Urban ☐ Industrial ☐ Commercial ☒ Residential (suburban) ☒ Rural (non-farm)

☒ Forest ☐ Agriculture ☐ Aquatic ☒ Other (specify): Transportation/Institutional

ii. If mix of uses, generally describe:

The project area is located at 8 separate sites along the 7.7 mile North Yonkers trunk sewer alignment located on a slope adjacent to and parallel to the MTA Metro North train tracks.

b. Land uses and covertypes on the project site.

Land use or Covertype	Current Acreage	Acreage After Project Completion	Change (Acres +/-)
• Roads, buildings, and other paved or impervious surfaces			
• Forested	4.5	2.9	-1.6
• Meadows, grasslands or brushlands (non-agricultural, including abandoned agricultural)	2	3	+1
• Agricultural (includes active orchards, field, greenhouse etc.)			
• Surface water features (lakes, ponds, streams, rivers, etc.)			
• Wetlands (freshwater or tidal)			
• Non-vegetated (bare rock, earth or fill)	0.018	0.618	+0.6
• Other Describe: _____			

c. Is the project site presently used by members of the community for public recreation? ☐ Yes ☒ No  
i. If Yes: explain: \_\_\_\_\_

d. Are there any facilities serving children, the elderly, people with disabilities (e.g., schools, hospitals, licensed day care centers, or group homes) within 1500 feet of the project site? ☒ Yes ☐ No  
If Yes,  
i. Identify Facilities:  
(Area 1) Days of Wonder Childcare program; (Area 2, 3, 3A) St. Cabrini Nursing Home, Clark Academy; (Area 4) Temple Beth Shalom Nursery School; (Area 5) MLK Jr HS, Ziccolella ES/MS, Little Leaf Nursery LLC, Andrus on Hudson Nursing Home; (Area 7) The Charter School of Educational Excellence

e. Does the project site contain an existing dam? ☐ Yes ☒ No  
If Yes:  
i. Dimensions of the dam and impoundment:  
• Dam height: \_\_\_\_\_ feet  
• Dam length: \_\_\_\_\_ feet  
• Surface area: \_\_\_\_\_ acres  
• Volume impounded: \_\_\_\_\_ gallons OR acre-feet  
ii. Dam's existing hazard classification: \_\_\_\_\_  
iii. Provide date and summarize results of last inspection: \_\_\_\_\_  
\_\_\_\_\_

f. Has the project site ever been used as a municipal, commercial or industrial solid waste management facility, or does the project site adjoin property which is now, or was at one time, used as a solid waste management facility? ☐ Yes ☒ No  
If Yes:  
i. Has the facility been formally closed? ☐ Yes ☐ No  
• If yes, cite sources/documentation: \_\_\_\_\_  
ii. Describe the location of the project site relative to the boundaries of the solid waste management facility: \_\_\_\_\_  
\_\_\_\_\_

iii. Describe any development constraints due to the prior solid waste activities: \_\_\_\_\_  
\_\_\_\_\_

g. Have hazardous wastes been generated, treated and/or disposed of at the site, or does the project site adjoin property which is now or was at one time used to commercially treat, store and/or dispose of hazardous waste? ☐ Yes ☒ No  
If Yes:  
i. Describe waste(s) handled and waste management activities, including approximate time when activities occurred: \_\_\_\_\_  
\_\_\_\_\_

h. Potential contamination history. Has there been a reported spill at the proposed project site, or have any remedial actions been conducted at or adjacent to the proposed site? ☒ Yes ☐ No  
If Yes:  
i. Is any portion of the site listed on the NYSDEC Spills Incidents database or Environmental Site Remediation database? Check all that apply: ☐ Yes ☐ No  
☒ Yes – Spills Incidents database Provide DEC ID number(s): See Attachment, Spill Summary  
☐ Yes – Environmental Site Remediation database Provide DEC ID number(s): \_\_\_\_\_  
☐ Neither database  
ii. If site has been subject of RCRA corrective activities, describe control measures: \_\_\_\_\_  
\_\_\_\_\_

iii. Is the project within 2000 feet of any site in the NYSDEC Environmental Site Remediation database? ☒ Yes ☐ No  
If yes, provide DEC ID number(s): (Area 1) V00628, 546031; (Areas 2,3, 3A, 4) 546031; (Area 5) 360015, 360015A, 546031 (Area ) B00200,  
iv. If yes to (i), (ii) or (iii) above, describe current status of site(s):  
(Area 7 Cont.) 360051, C360190, C360227, C360051, C360150, C360100, 546031; (Area 8) B00200, 360051, C360227, C360051, C360100, 546031.  
See Attachment, Site Remediation Status Summary

v. Is the project site subject to an institutional control limiting property uses? ☒ Yes ☐ No

- If yes, DEC site ID number: N/A
- Describe the type of institutional control (e.g., deed restriction or easement): Permanent right-of-way property easements
- Describe any use limitations: Access to site is limited to permanent right-of-way agreements. Temporary ROW access agreements and
- Describe any engineering controls: (cont.) permanent ROW adjustment necessary for rehabilitation work have been proposed.
- Will the project affect the institutional or engineering controls in place? ☐ Yes ☒ No
- Explain: \_\_\_\_\_

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**E.2. Natural Resources On or Near Project Site**

a. What is the average depth to bedrock on the project site? Greater than 7 feet

b. Are there bedrock outcroppings on the project site? ☐ Yes ☒ No  
If Yes, what proportion of the site is comprised of bedrock outcroppings? \_\_\_\_\_ %

c. Predominant soil type(s) present on project site:

RhE - Riverhead loam	80 %
Uf - Urban land	15 %
Uc - Udorthents, wet	5 %

d. What is the average depth to the water table on the project site? Average: +/- 10 feet

e. Drainage status of project site soils: ☒ Well Drained: 95 % of site  
☒ Moderately Well Drained: 5 % of site  
☐ Poorly Drained: \_\_\_\_\_ % of site

f. Approximate proportion of proposed action site with slopes: ☒ 0-10%: +/- 5 % of site  
☒ 10-15%: ~ 20 % of site  
☒ 15% or greater: ~ 75 % of site

g. Are there any unique geologic features on the project site? ☐ Yes ☒ No  
If Yes, describe: \_\_\_\_\_

---

h. Surface water features.

i. Does any portion of the project site contain wetlands or other waterbodies (including streams, rivers, ponds or lakes)? ☐ Yes ☒ No

ii. Do any wetlands or other waterbodies adjoin the project site? ☒ Yes ☐ No

If Yes to either i or ii, continue. If No, skip to E.2.i.

iii. Are any of the wetlands or waterbodies within or adjoining the project site regulated by any federal, state or local agency? ☒ Yes ☐ No

iv. For each identified regulated wetland and waterbody on the project site, provide the following information:

- Streams: Name Hudson River, Portion 2b; Unnamed Stream, Area 5 Classification SB, unclassified
- Lakes or Ponds: Name \_\_\_\_\_ Classification \_\_\_\_\_
- Wetlands: Name \_\_\_\_\_ Approximate Size \_\_\_\_\_
- Wetland No. (if regulated by DEC) \_\_\_\_\_

v. Are any of the above water bodies listed in the most recent compilation of NYS water quality-impaired waterbodies? ☒ Yes ☐ No  
If yes, name of impaired water body/bodies and basis for listing as impaired: Hudson River, Portion 2b, Class SB, PCBs and other toxins

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i. Is the project site in a designated Floodway? ☐ Yes ☒ No

j. Is the project site in the 100-year Floodplain? (Area 5 only) ☒ Yes ☐ No

k. Is the project site in the 500-year Floodplain? (Areas 1 & 5) ☒ Yes ☐ No

l. Is the project site located over, or immediately adjoining, a primary, principal or sole source aquifer? ☒ Yes ☐ No  
If Yes:  
i. Name of aquifer: Area 1 only - Principal aquifer, not primary, Lacustrine or Eolian type.

m. Identify the predominant wildlife species that occupy or use the project site: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;">           Many areas are on disturbed sites or            adjacent to development and may host            wildlife common to urban areas.         </div> <div style="width: 45%;">           Wooded upland adjacent to Hudson            River may host raptors (i.e., Bald Eagle,            Osprey, Turkey vulture).         </div> </div>	
n. Does the project site contain a designated significant natural community? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> If Yes: i. Describe the habitat/community (composition, function, and basis for designation): _____ ii. Source(s) of description or evaluation: _____ iii. Extent of community/habitat: • Currently: _____ acres • Following completion of project as proposed: _____ acres • Gain or loss (indicate + or -): _____ acres	
o. Does project site contain any species of plant or animal that is listed by the federal government or NYS as endangered or threatened, or does it contain any areas identified as habitat for an endangered or threatened species? <span style="float: right;"><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</span> If Yes: i. Species and listing (endangered or threatened): _____ Atlantic Sturgeon (endangered/protected) and Shortnose Sturgeon (endangered) reside in the Hudson River, which lies to the west of the project sites.	
p. Does the project site contain any species of plant or animal that is listed by NYS as rare, or as a species of special concern? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> If Yes: i. Species and listing: _____	
q. Is the project site or adjoining area currently used for hunting, trapping, fishing or shell fishing? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> If yes, give a brief description of how the proposed action may affect that use: _____	
<b>E.3. Designated Public Resources On or Near Project Site</b>	
a. Is the project site, or any portion of it, located in a designated agricultural district certified pursuant to Agriculture and Markets Law, Article 25-AA, Section 303 and 304? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> If Yes, provide county plus district name/number: _____	
b. Are agricultural lands consisting of highly productive soils present? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> i. If Yes: acreage(s) on project site? _____ ii. Source(s) of soil rating(s): _____	
c. Does the project site contain all or part of, or is it substantially contiguous to, a registered National Natural Landmark? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> If Yes: i. Nature of the natural landmark: <input type="checkbox"/> Biological Community <input type="checkbox"/> Geological Feature ii. Provide brief description of landmark, including values behind designation and approximate size/extent: _____	
d. Is the project site located in or does it adjoin a state listed Critical Environmental Area? <span style="float: right;"><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</span> If Yes: i. CEA name: Hudson River ii. Basis for designation: Exceptional or unique character iii. Designating agency and date: Agency: Westchester County, Date: 1-31-90	

e. Does the project site contain, or is it substantially contiguous to, a building, archaeological site, or district which is listed on the National or State Register of Historic Places, or that has been determined by the Commissioner of the NYS Office of Parks, Recreation and Historic Preservation to be eligible for listing on the State Register of Historic Places? ☒ Yes ☐ No

If Yes:

i. Nature of historic/archaeological resource: ☐ Archaeological Site ☒ Historic Building or District

ii. Name: (Area 2) Hyatt-Livingston House; (Area 3, #A) St. Christopher Union Free School Complex; (Area 5) Old Croton Aqueduct;

iii. Brief description of attributes on which listing is based:  
 Cont. (Area 8) Trevor, John Bond, House, New York Central & Hudson River Railroad Power Station

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f. Is the project site, or any portion of it, located in or adjacent to an area designated as sensitive for archaeological sites on the NY State Historic Preservation Office (SHPO) archaeological site inventory? ☒ Yes ☐ No

---

g. Have additional archaeological or historic site(s) or resources been identified on the project site? ☐ Yes ☒ No

If Yes:

i. Describe possible resource(s): \_\_\_\_\_

ii. Basis for identification: \_\_\_\_\_

---

h. Is the project site within five miles of any officially designated and publicly accessible federal, state, or local scenic or aesthetic resource? ☐ Yes ☒ No

If Yes:

i. Identify resource: \_\_\_\_\_

ii. Nature of, or basis for, designation (e.g., established highway overlook, state or local park, state historic trail or scenic byway, etc.): \_\_\_\_\_

iii. Distance between project and resource: \_\_\_\_\_ miles.

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i. Is the project site located within a designated river corridor under the Wild, Scenic and Recreational Rivers Program 6 NYCRR 666? ☐ Yes ☒ No

If Yes:

i. Identify the name of the river and its designation: \_\_\_\_\_

ii. Is the activity consistent with development restrictions contained in 6NYCRR Part 666? ☐ Yes ☐ No

#### F. Additional Information

Attach any additional information which may be needed to clarify your project.

If you have identified any adverse impacts which could be associated with your proposal, please describe those impacts plus any measures which you propose to avoid or minimize them.

#### G. Verification

I certify that the information provided is true to the best of my knowledge.

Applicant/Sponsor Name County of Westchester

Date September 12, 2023 (Rev. 9/26/24)

Signature 

Title Assistant Commissioner



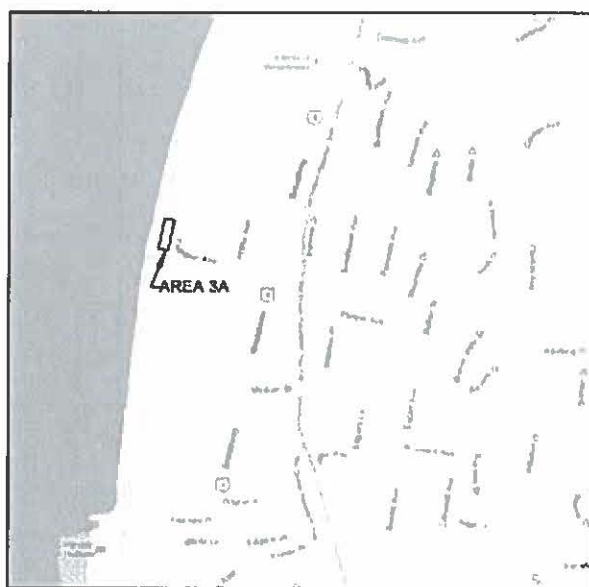




AREA 1 LOCATION MAP  
DOBBS FERRY, NY  
(NTS)



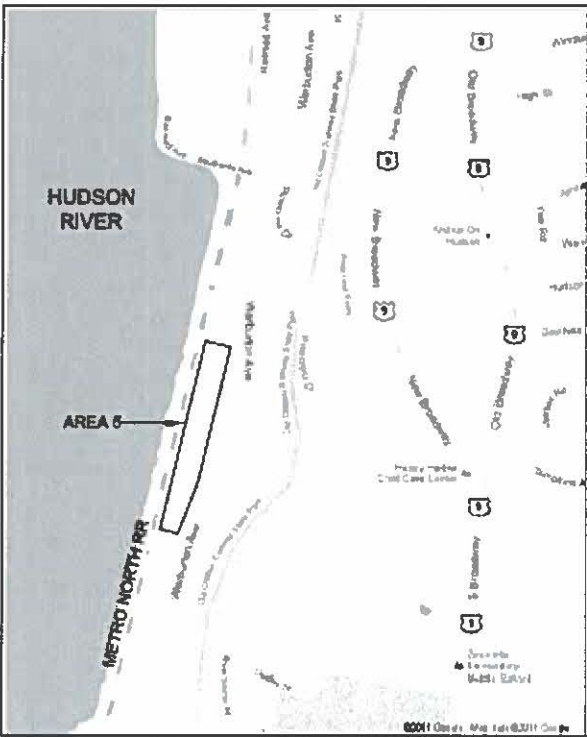
AREA 2 AND AREA 3  
LOCATION MAP  
DOBBS FERRY, NY  
(NTS)



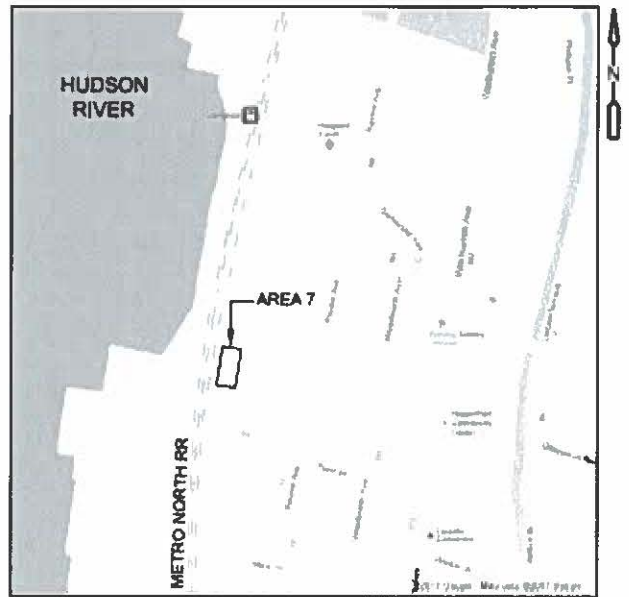
AREA 3A LOCATION MAP  
DOBBS FERRY, NY  
(NTS)



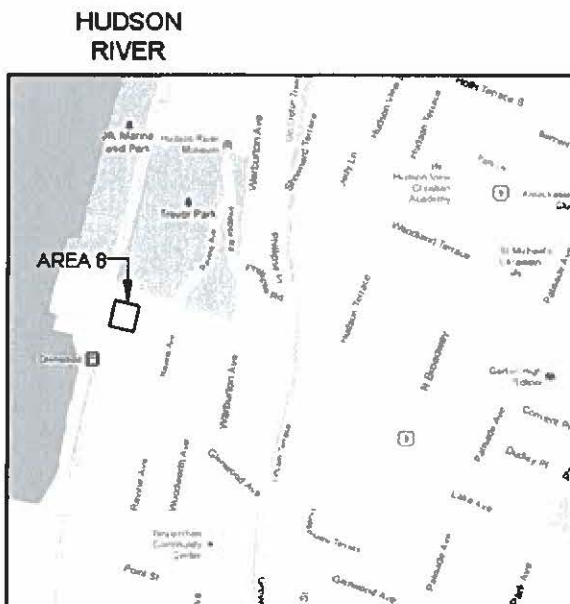
AREA 4 LOCATION MAP  
HASTINGS, NY  
(NTS)



AREA 5 LOCATION MAP  
HASTINGS, NY  
(NTS)



AREA 7 LOCATION MAP  
YONKERS, NY  
(NTS)



AREA 8 LOCATION MAP  
YONKERS, NY  
(NTS)

**Yonkers Sewer System Rehabilitation – Stabilization of the North Yonkers Trunk Sewer**

**Potential Contamination History (FEAF Question E.h.i)**

<b>Identified, Adjacent Spill Incidents in DEC Database Search (1978-Present)</b>			
<b>Area 1</b>	<b>Area 2, 3, 3A</b>	<b>Area 4</b>	<b>Area 7</b>
Spill Number 411166, Spill Date 01/13/2005, 164 PALISADE STREET, #2 fuel oil, unknown amount, Closed Date 01/14/2005	Spill Number 0502721, Spill Date 06/06/2005, 61 COLONIAL AVE, #2 fuel oil, unknown amount, Closed Date 10/11/2005	Spill Number 0406413, Spill Date 09/11/2001, FLOWER AVE/NORTH BROADWAY, dielectric fluid, 1 gal., Closed Date 09/13/2004	Spill Number 0008308, Spill Date 10/16/2000, 95 RAVINE AVE, #6 fuel oil, unknown amount, Closed Date 03/03/2003
Spill Number 2000618, Spill Date 08/01/2020, 164 PALISADE STREET, #2 fuel oil, unknown amount, Closed Date 10/05/2020	Spill Number 0814183, Spill Date 04/16/2008, BROADWAY AND COLONIAL AVE, dielectric fluid, unknown amount, Closed Date 11/10/2009	Spill Number 0808083, Spill Date 10/15/2008, 21 FLOWER AVE, #2 fuel oil, unknown amount, Closed Date 04/02/2009	Spill Number 9306223, Spill Date 07/01/1993, POINT STREET, unknown petroleum, unknown amount, Closed Date 08/23/1993
	Spill Number 1404001, Spill Date 07/14/2014, 68 COLONIAL AVE, #2 fuel oil, unknown amount, Closed Date 09/16/2014	Spill Number 0810935, Spill Date 12/31/2008, 21 FLOWER AVE, #2 fuel oil, unknown amount, Closed Date 04/07/2009	Spill Number 0403747, Spill Date 07/08/2004, 1 POINT STREET, #6 fuel oil, unknown amount, Closed Date 04/14/2006
	Spill Number 2004618, Spill Date 08/20/2020, 68 COLONIAL AVE, #2 fuel oil, unknown amount, Closed Date 08/25/2020	Spill Number 1801534, Spill Date 05/10/2018, 15 MINTURN STREET, #2 fuel oil, unknown amount, Closed Date 04/07/2009	Spill Number 0605210, Spill Date 08/04/2006, 1 POINT STREET, unknown petroleum, unknown amount, Closed Date 06/16/2009

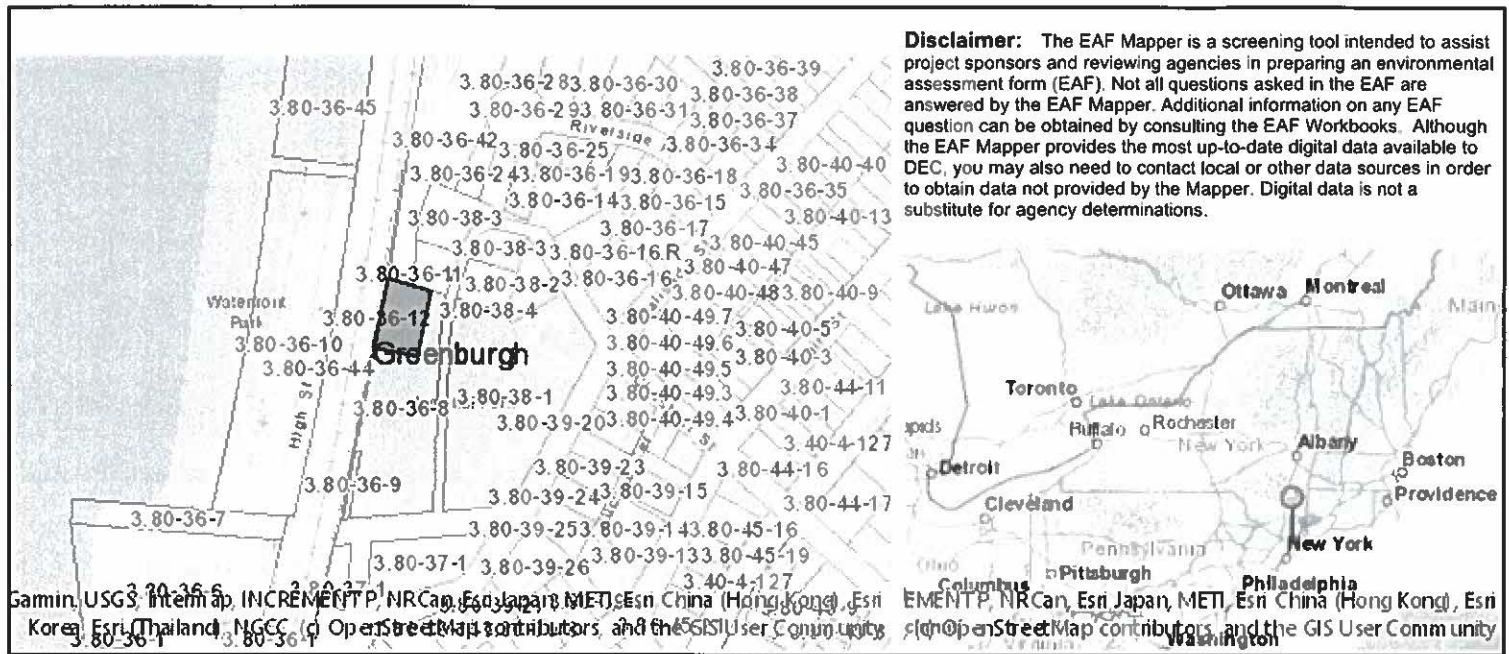
## Yonkers Sewer System Rehabilitation – Stabilization of the North Yonkers Trunk Sewer

### Potential Contamination History (FEAF Question E.h.iv)

Site Code	Site and Remediation Description
V00628	Site Name: Dobbs Ferry Waterfront Park. Nature and Extent of Contamination: The Site remediation was substantially completed in 2009. Remediation consisted of the placement of a site/landfill cover. The FER, SMP and deed restriction were completed in March 2018. The release letter was provided to the Village of Dobbs Ferry in May 2018. The site is a properly closed landfill.
546031	Site Name: Hudson River PCB Sediments. Site Location: This National Priorities List site includes the nearly 200-mile stretch of the Hudson River that extends from Hudson Falls in Washington County to the Battery in New York City. The river is part of the Champlain Canal between Fort Edward and Waterford. EPA is the lead agency for the investigation and cleanup of the site. Site Features: The site includes the main stem of the Hudson River, as well as the associated flood plains, river banks, riverine fringing wetlands, and backwater areas.
360015	Site Name: Tappan Terminal - Eastern Portion. Location: The site is located on approximately 6.33 acres along the Hastings-on-Hudson waterfront, separated from the village commercial district by railroad tracks. The site is bounded on the south by the Hudson River, on the west by the ExxonMobil property (360015A) and to the north by the Harbor at Hastings site (360022). Site Features: The site comprises the former Uhlich Color Company. The site is vegetated with a soil cover system which overlay asphalt and there are concrete building foundations in some areas.
360015A	Site Name: Tappan Terminal - Western Portion. Location: The site is located on approximately 8.088 acres along the Hastings-on-Hudson waterfront, separated from the village commercial district by the Uhlich Color Corporation property (Tappan Terminal-Eastern Portion 360015) and railroad tracks. The site is bounded on the south and west by the Hudson River and to the north by the Harbor at Hastings site. Site Features: The site comprises the former Mobil Oil terminal. Most of the site is covered with two feet of soil which is vegetated. Currently, there is one vacant building, Pioneer Boat Club, which was historically used as a marina. The shoreline consists of areas of loosely-placed rip rap
B00200	Site Name: Glenwood Power Station. Location: The site is located in the City of Yonkers, Westchester County, on the eastern shore of the Hudson River, west of the Glenwood Metro North Railroad station, south of JFK Marina Park, and north of the BICC Cables Corporation BCP Site (Site #360051). The property consists of approximately 4.3 acres of upland and underwater property, while the BCP site consists of the upland portion, which is 1.9248 acres in size. The BCP site is a portion of the tax parcel 2-2635-1. Site Features: The site contains two three-story brick buildings connected by a second floor metal grate walkway. These buildings consist of an approximately 250 x 165 foot building partially built on piers in the river, where the power generation took place, and an approximately 45 x 255 foot building. A dirt-covered courtyard separates the two buildings. A grass covered area and a small one-story vacant wooden building is located on the southeast portion of the property. Old railroad tracks run north/south along the eastern portion of the property. The southern portion of the site's shoreline contains a stone revetment.
360051	Site Name: BICC Cables. Location: The BICC Cables site is located at 1 Point Street in Yonkers, New York in an urban industrial area adjacent to the Hudson River. Site Features: The site is 15.97 acres of upland and 5.24 acres of land under water resulting in an Environmental Easement acreage of 21.21 acres. The site at one time contained 360,000 sq. ft. of warehouse/office space structures. The former EPRI Building is the only remaining structure. The balance of the site is open or paved/unpaved lots and is predominantly flat with commercial properties on all sides. All remedial elements required by the 2005 OU1 ROD, 2014 OU1 Amended ROD and the 2014 ROD were completed at the site by August 10, 2016. Remedial actions have successfully achieved soil cleanup objectives (SCOs) for restricted use. All contaminated buildings and associated substructures, process oil tanks and fuel oil tanks, river sediments (except those encapsulated under OU2 – Area 2B) and on-site soils were removed and transported off-site for proper treatment or disposal. Contamination remaining in Area 2B beneath the EPRI Building has been encapsulated pursuant to the 2014 OU2 ROD. An environmental easement limits the future use of property, restricts the use of groundwater and requires compliance with the SMP

C360190	<p>Site Name: Former Excelsior Bag Site. Location: The Former Excelsior Bag site is a 5.4 acres site located along the Hudson River waterfront in the City of Yonkers. The site is bounded to the north by the BICC Cables Site (New York State Brownfield Cleanup Program, BCP Site No. C360051), to the east by Alexander Avenue which borders a Metropolitan Transit Authority (MTA) bus depot, to the south by the PolyChrome West BCP site (BCP Site No. C360099), and to the west by the Hudson River. Site Features: The site consists of an approximately 243,952-square foot vacant property with concrete/asphalt paved surfaces, a vegetated area of approximately 100 square feet at the northern boundary, and revetment stone along the western boundary adjacent to the Hudson River.</p>
C360150	<p>Site Name: Cottage Place Gardens Phase 3. Location: The site is located in an urban area in Yonkers, Westchester County and consists of two non-contiguous parcels of land totaling 1.833 acres referred to as Phase 3A and Phase 3B. The Phase 3A parcel occupies a portion of 10 Willow Place and is bordered by the rest of 10 Willow Place to the north, 188 Warburton Avenue (BCP Site #C360138) to the west and other lands of Cottage Place Gardens to the east and south (BCP Sites #C360160 and #C360161). The Phase 3B parcel occupies the southwestern corner of the intersection of Warburton Avenue and Lamartine Avenue. Site Features: The Phase 3A parcel was previously occupied by three multi-story residential apartment buildings (Buildings 3, 6 and 7). Following demolition of the buildings and remediation of the soils, two new multi-story buildings were constructed containing 22 residential units each. The Phase 3B parcel was previously a residential townhouse complex. Following demolition of the that building and remediation of the soils, two new multi-story buildings were constructed, containing a total of 26 units. In areas not covered by the building footprints, landscaped areas and asphalt parking lots were installed. Remediation at the site is complete. Prior to remediation, the primary contaminants of concern were SVOCs (PAHs) and metals in both soil and groundwater. Remedial actions have successfully achieved soil cleanup objectives for unrestricted use.</p>
C360100	<p>Site Name: Former Glenwood Power Plant. See B00200</p>





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B.i.i [Coastal or Waterfront Area]	Yes
B.i.ii [Local Waterfront Revitalization Area]	Yes
C.2.b. [Special Planning District]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.1.h [DEC Spills or Remediation Site - Potential Contamination History]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.1.h.i [DEC Spills or Remediation Site - Listed]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.1.h.i [DEC Spills or Remediation Site - Environmental Site Remediation Database]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.1.h.iii [Within 2,000' of DEC Remediation Site]	Yes
E.1.h.iii [Within 2,000' of DEC Remediation Site - DEC ID]	V00628, 546031
E.2.g [Unique Geologic Features]	No
E.2.h.i [Surface Water Features]	No
E.2.h.ii [Surface Water Features]	Yes
E.2.h.iii [Surface Water Features]	Yes - Digital mapping information on local and federal wetlands and waterbodies is known to be incomplete. Refer to EAF Workbook.
E.2.h.v [Impaired Water Bodies]	No
E.2.i. [Floodway]	No
E.2.j. [100 Year Floodplain]	No
E.2.k. [500 Year Floodplain]	Yes
E.2.l. [Aquifers]	Yes
E.2.l. [Aquifer Names]	Principal Aquifer
E.2.n. [Natural Communities]	No

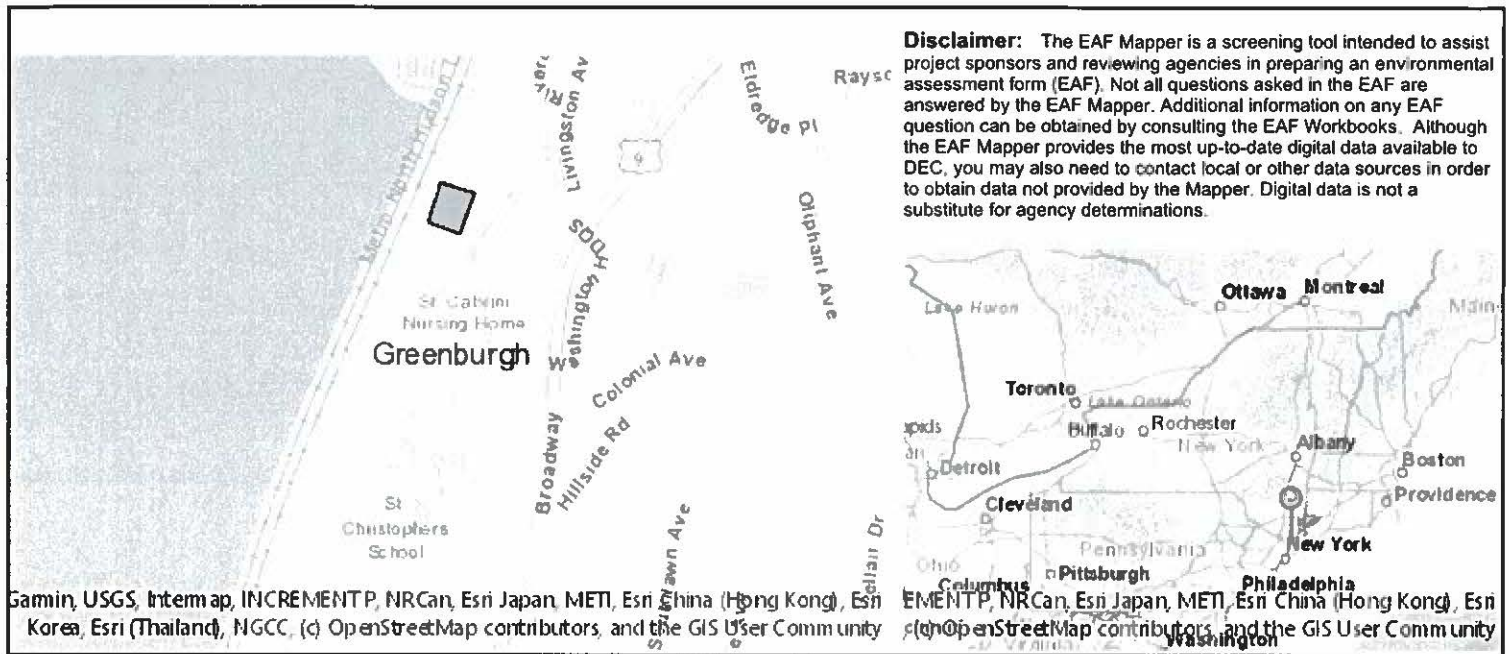


E.2.o. [Endangered or Threatened Species]	Yes
E.2.o. [Endangered or Threatened Species - Name]	Atlantic Sturgeon, Shortnose Sturgeon
E.2.p. [Rare Plants or Animals]	No
E.3.a. [Agricultural District]	No
E.3.c. [National Natural Landmark]	No
E.3.d [Critical Environmental Area]	Yes
E.3.d [Critical Environmental Area - Name]	Hudson River
E.3.d.ii [Critical Environmental Area - Reason]	Exceptional or unique character
E.3.d.iii [Critical Environmental Area – Date and Agency]	Agency:Westchester County, Date:1-31-90
E.3.e. [National or State Register of Historic Places or State Eligible Sites]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.3.f. [Archeological Sites]	Yes
E.3.i. [Designated River Corridor]	No

# EAF Mapper Summary Report

## Area 2

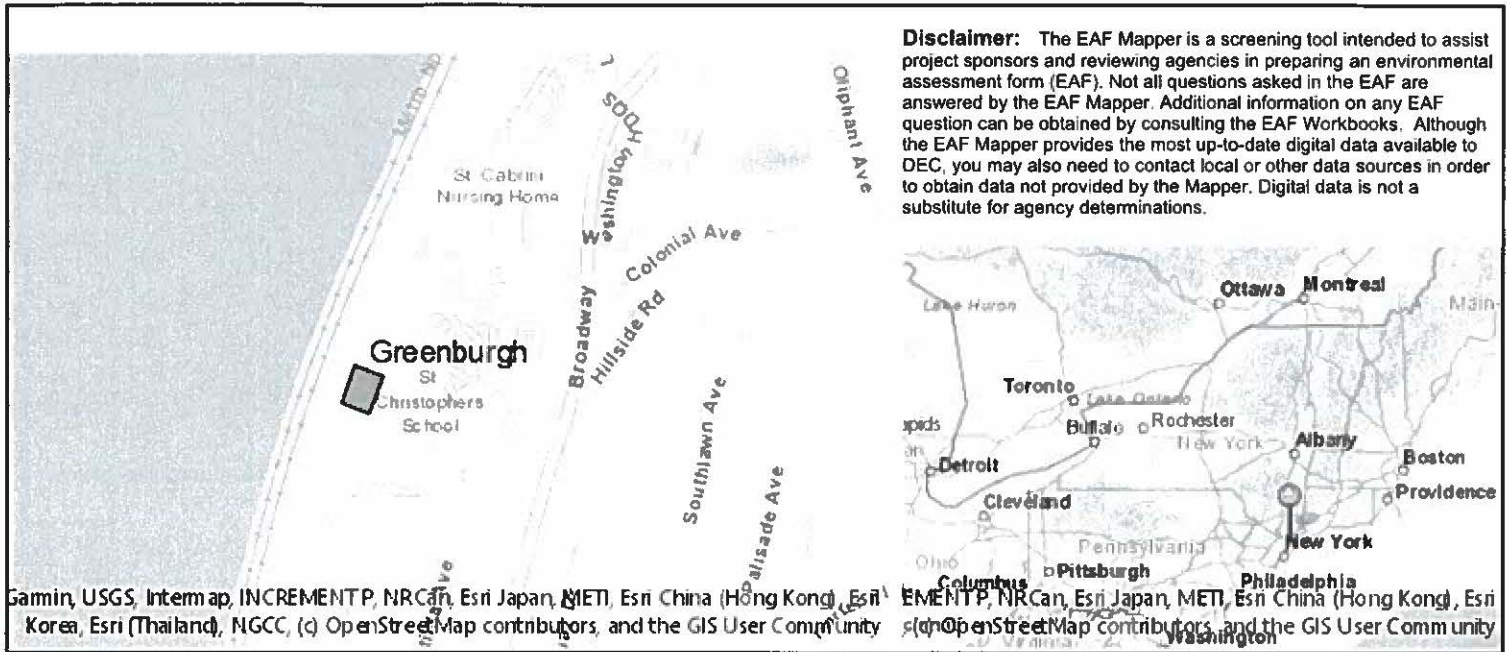
Friday, September 9, 2022 6:13 PM



B.i.i [Coastal or Waterfront Area]	Yes
B.i.ii [Local Waterfront Revitalization Area]	Yes
C.2.b. [Special Planning District]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.1.h [DEC Spills or Remediation Site - Potential Contamination History]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.1.h.i [DEC Spills or Remediation Site - Listed]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.1.h.i [DEC Spills or Remediation Site - Environmental Site Remediation Database]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.1.h.iii [Within 2,000' of DEC Remediation Site]	Yes
E.1.h.iii [Within 2,000' of DEC Remediation Site - DEC ID]	546031
E.2.g [Unique Geologic Features]	No
E.2.h.i [Surface Water Features]	No
E.2.h.ii [Surface Water Features]	Yes
E.2.h.iii [Surface Water Features]	Yes - Digital mapping information on local and federal wetlands and waterbodies is known to be incomplete. Refer to EAF Workbook.
E.2.h.v [Impaired Water Bodies]	No
E.2.i. [Floodway]	No
E.2.j. [100 Year Floodplain]	No
E.2.k. [500 Year Floodplain]	No
E.2.l. [Aquifers]	No
E.2.n. [Natural Communities]	No
E.2.o. [Endangered or Threatened Species]	Yes

E.2.b. [Endangered or Threatened Species - Name]	Atlantic Sturgeon, Shortnose Sturgeon
E.2.p. [Rare Plants or Animals]	No
E.3.a. [Agricultural District]	No
E.3.c. [National Natural Landmark]	No
E.3.d [Critical Environmental Area]	Yes
E.3.d [Critical Environmental Area - Name]	Hudson River
E.3.d.ii [Critical Environmental Area - Reason]	Exceptional or unique character
E.3.d.iii [Critical Environmental Area – Date and Agency]	Agency:Westchester County, Date:1-31-90
E.3.e. [National or State Register of Historic Places or State Eligible Sites]	Yes - Digital mapping data for archaeological site boundaries are not available. Refer to EAF Workbook.
E.3.e.ii [National or State Register of Historic Places or State Eligible Sites - Name]	Hyatt-Livingston House
E.3.f. [Archeological Sites]	Yes
E.3.i. [Designated River Corridor]	No

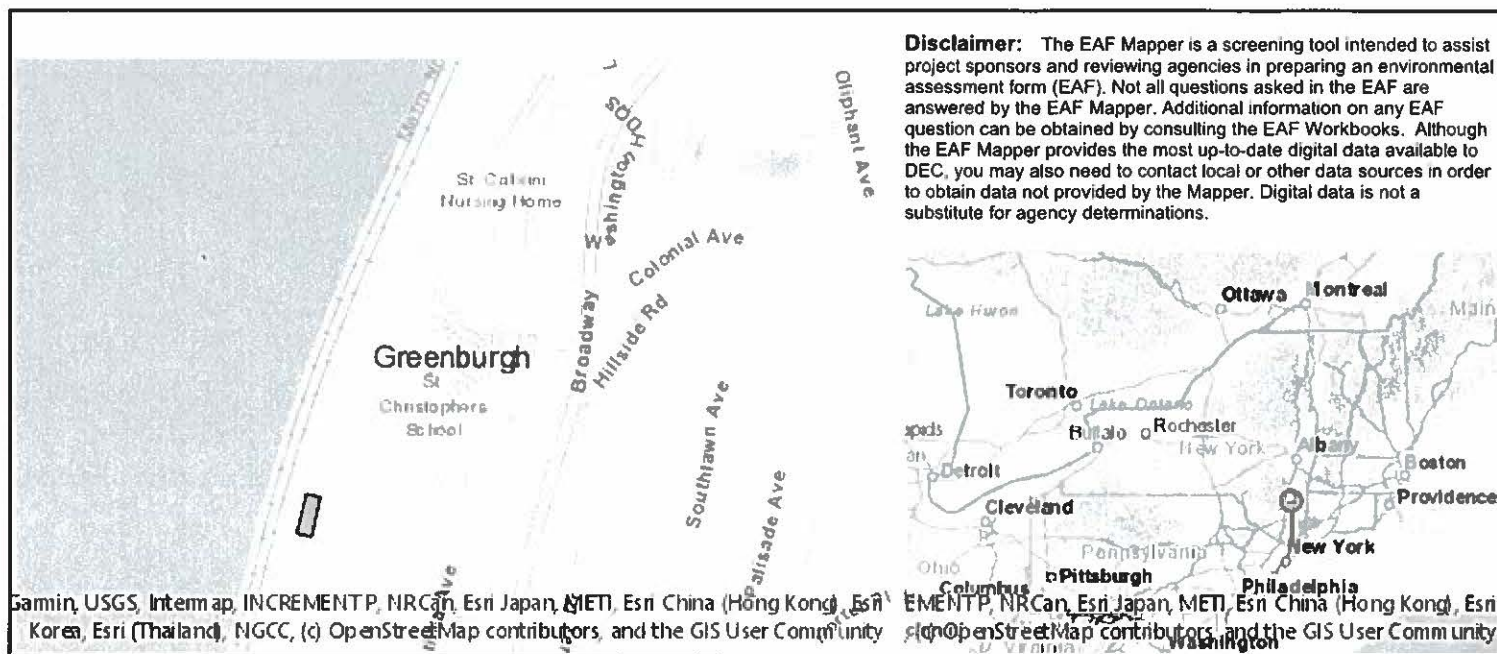
## Area 3



B.i.i [Coastal or Waterfront Area]	Yes
B.i.ii [Local Waterfront Revitalization Area]	Yes
C.2.b. [Special Planning District]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.1.h [DEC Spills or Remediation Site - Potential Contamination History]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.1.h.i [DEC Spills or Remediation Site - Listed]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.1.h.i [DEC Spills or Remediation Site - Environmental Site Remediation Database]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.1.h.iii [Within 2,000' of DEC Remediation Site]	Yes
E.1.h.iii [Within 2,000' of DEC Remediation Site - DEC ID]	546031
E.2.g [Unique Geologic Features]	No
E.2.h.i [Surface Water Features]	No
E.2.h.ii [Surface Water Features]	Yes
E.2.h.iii [Surface Water Features]	Yes - Digital mapping information on local and federal wetlands and waterbodies is known to be incomplete. Refer to EAF Workbook.
E.2.h.v [Impaired Water Bodies]	No
E.2.i. [Floodway]	No
E.2.j. [100 Year Floodplain]	No
E.2.k. [500 Year Floodplain]	No
E.2.l. [Aquifers]	No
E.2.n. [Natural Communities]	No
E.2.o. [Endangered or Threatened Species]	Yes

E.2.b. [Endangered or Threatened Species - Name]	Atlantic Sturgeon, Shortnose Sturgeon
E.2.p. [Rare Plants or Animals]	No
E.3.a. [Agricultural District]	No
E.3.c. [National Natural Landmark]	No
E.3.d [Critical Environmental Area]	Yes
E.3.d [Critical Environmental Area - Name]	Hudson River
E.3.d.ii [Critical Environmental Area - Reason]	Exceptional or unique character
E.3.d.iii [Critical Environmental Area – Date and Agency]	Agency:Westchester County, Date:1-31-90
E.3.e. [National or State Register of Historic Places or State Eligible Sites]	Yes - Digital mapping data for archaeological site boundaries are not available. Refer to EAF Workbook.
E.3.e.ii [National or State Register of Historic Places or State Eligible Sites - Name]	Eligible property:ST. CHRISTOPHERS UNION FREE SCHOOL COMPLEX
E.3.f. [Archeological Sites]	Yes
E.3.i. [Designated River Corridor]	No



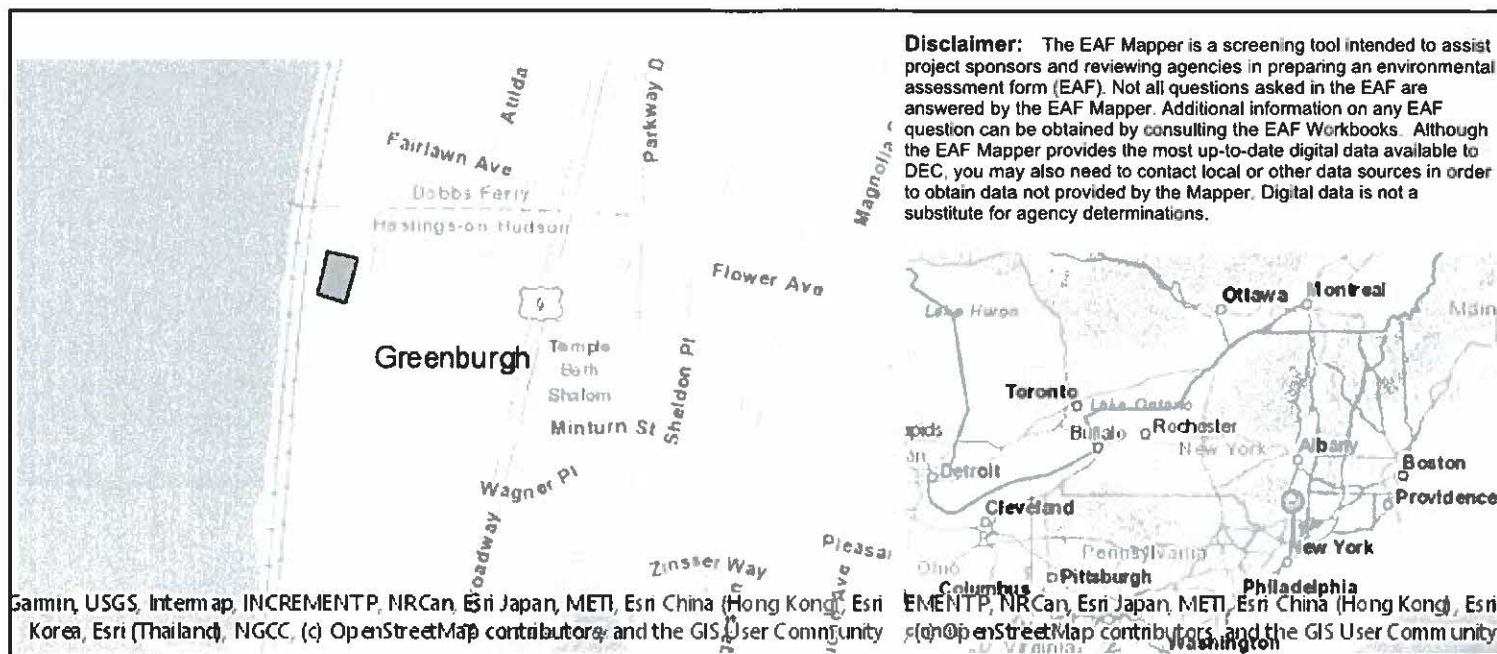


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B.i.i [Coastal or Waterfront Area]	Yes
B.i.ii [Local Waterfront Revitalization Area]	Yes
C.2.b. [Special Planning District]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.1.h [DEC Spills or Remediation Site - Potential Contamination History]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.1.h.i [DEC Spills or Remediation Site - Listed]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.1.h.i [DEC Spills or Remediation Site - Environmental Site Remediation Database]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.1.h.iii [Within 2,000' of DEC Remediation Site]	Yes
E.1.h.iii [Within 2,000' of DEC Remediation Site - DEC ID]	546031
E.2.g [Unique Geologic Features]	No
E.2.h.i [Surface Water Features]	No
E.2.h.ii [Surface Water Features]	Yes
E.2.h.iii [Surface Water Features]	Yes - Digital mapping information on local and federal wetlands and waterbodies is known to be incomplete. Refer to EAF Workbook.
E.2.h.v [Impaired Water Bodies]	No
E.2.i. [Floodway]	No
E.2.j. [100 Year Floodplain]	No
E.2.k. [500 Year Floodplain]	No
E.2.l. [Aquifers]	No
E.2.n. [Natural Communities]	No
E.2.o. [Endangered or Threatened Species]	Yes



E.2.b. [Endangered or Threatened Species - Name]	Atlantic Sturgeon, Shortnose Sturgeon
E.2.p. [Rare Plants or Animals]	No
E.3.a. [Agricultural District]	No
E.3.c. [National Natural Landmark]	No
E.3.d [Critical Environmental Area]	Yes
E.3.d [Critical Environmental Area - Name]	Hudson River
E.3.d.ii [Critical Environmental Area - Reason]	Exceptional or unique character
E.3.d.iii [Critical Environmental Area – Date and Agency]	Agency:Westchester County, Date:1-31-90
E.3.e. [National or State Register of Historic Places or State Eligible Sites]	Yes - Digital mapping data for archaeological site boundaries are not available. Refer to EAF Workbook.
E.3.e.ii [National or State Register of Historic Places or State Eligible Sites - Name]	Eligible property:ST. CHRISTOPHERS UNION FREE SCHOOL COMPLEX
E.3.f. [Archeological Sites]	Yes
E.3.i. [Designated River Corridor]	No



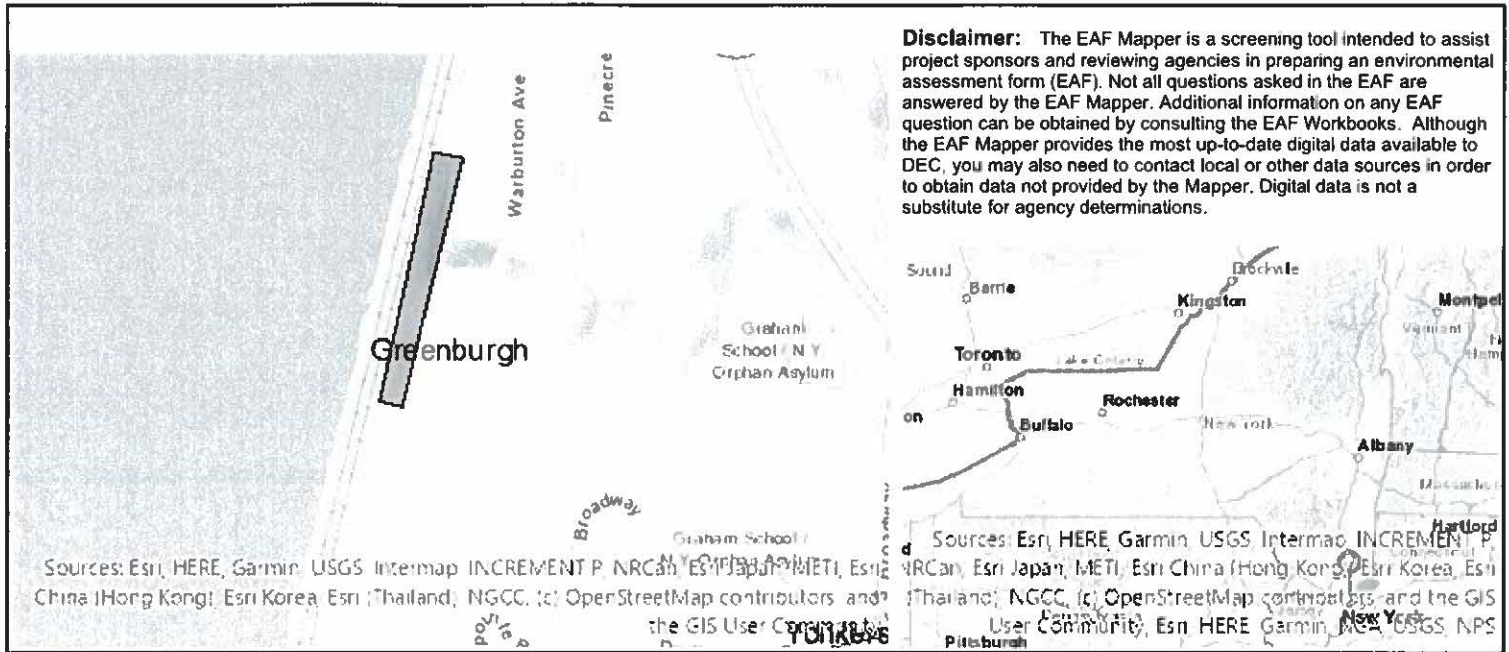
B.i.i [Coastal or Waterfront Area]	Yes
B.i.ii [Local Waterfront Revitalization Area]	No
C.2.b. [Special Planning District]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.1.h [DEC Spills or Remediation Site - Potential Contamination History]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.1.h.i [DEC Spills or Remediation Site - Listed]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.1.h.i [DEC Spills or Remediation Site - Environmental Site Remediation Database]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.1.h.iii [Within 2,000' of DEC Remediation Site]	Yes
E.1.h.iii [Within 2,000' of DEC Remediation Site - DEC ID]	546031
E.2.g [Unique Geologic Features]	No
E.2.h.i [Surface Water Features]	No
E.2.h.ii [Surface Water Features]	Yes
E.2.h.iii [Surface Water Features]	Yes - Digital mapping information on local and federal wetlands and waterbodies is known to be incomplete. Refer to EAF Workbook.
E.2.h.v [Impaired Water Bodies]	No
E.2.i. [Floodway]	No
E.2.j. [100 Year Floodplain]	No
E.2.k. [500 Year Floodplain]	No
E.2.l. [Aquifers]	No
E.2.n. [Natural Communities]	No
E.2.o. [Endangered or Threatened Species]	Yes

E.2.b. [Endangered or Threatened Species - Name]	Atlantic Sturgeon, Shortnose Sturgeon
E.2.p. [Rare Plants or Animals]	No
E.3.a. [Agricultural District]	No
E.3.c. [National Natural Landmark]	No
E.3.d [Critical Environmental Area]	Yes
E.3.d [Critical Environmental Area - Name]	Hudson River
E.3.d.ii [Critical Environmental Area - Reason]	Exceptional or unique character
E.3.d.iii [Critical Environmental Area – Date and Agency]	Agency:Westchester County, Date:1-31-90
E.3.e. [National or State Register of Historic Places or State Eligible Sites]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.3.f. [Archeological Sites]	Yes
E.3.i. [Designated River Corridor]	No

# EAF Mapper Summary Report

## Area 5

Friday, July 12, 2024 1:32 PM  
(Location adjustment correction)



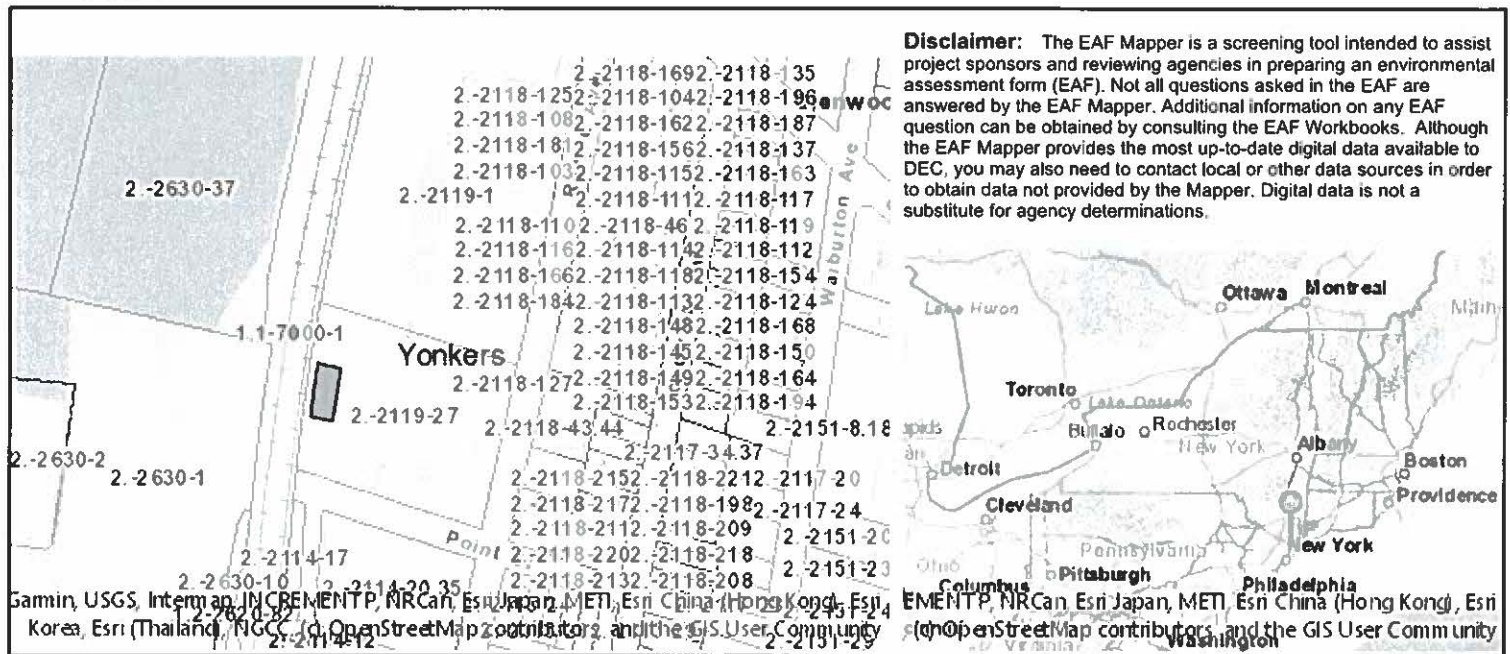
B.i.i [Coastal or Waterfront Area]	Yes
B.i.ii [Local Waterfront Revitalization Area]	No
C.2.b. [Special Planning District]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.1.h [DEC Spills or Remediation Site - Potential Contamination History]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.1.h.i [DEC Spills or Remediation Site - Listed]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.1.h.i [DEC Spills or Remediation Site - Environmental Site Remediation Database]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.1.h.iii [Within 2,000' of DEC Remediation Site]	Yes
E.1.h.iii [Within 2,000' of DEC Remediation Site - DEC ID]	360015, 360015A, 546031
E.2.g [Unique Geologic Features]	No
E.2.h.i [Surface Water Features]	No
E.2.h.ii [Surface Water Features]	Yes
E.2.h.iii [Surface Water Features]	Yes - Digital mapping information on local and federal wetlands and waterbodies is known to be incomplete. Refer to EAF Workbook.
E.2.h.v [Impaired Water Bodies]	No
E.2.i. [Floodway]	No
E.2.j. [100 Year Floodplain]	Yes
E.2.k. [500 Year Floodplain]	Yes
E.2.l. [Aquifers]	No
E.2.n. [Natural Communities]	No
E.2.o. [Endangered or Threatened Species]	Yes

E.2.b. [Endangered or Threatened Species - Name]	Atlantic Sturgeon, Shortnose Sturgeon
E.2.p. [Rare Plants or Animals]	No
E.3.a. [Agricultural District]	No
E.3.c. [National Natural Landmark]	No
E.3.d [Critical Environmental Area]	Yes
E.3.d [Critical Environmental Area - Name]	Hudson River, County & State Park Lands
E.3.d.ii [Critical Environmental Area - Reason]	Exceptional or unique character
E.3.d.iii [Critical Environmental Area – Date and Agency]	Agency:Westchester County, Date:1-31-90
E.3.e. [National or State Register of Historic Places or State Eligible Sites]	Yes - Digital mapping data for archaeological site boundaries are not available. Refer to EAF Workbook.
E.3.e.ii [National or State Register of Historic Places or State Eligible Sites - Name]	Old Croton Aqueduct
E.3.f. [Archeological Sites]	No
E.3.i. [Designated River Corridor]	No



# EAF Mapper Summary Report Area 7

Monday, September 12, 2022 8:25 AM



B.i.i [Coastal or Waterfront Area]	Yes
B.i.ii [Local Waterfront Revitalization Area]	No
C.2.b. [Special Planning District]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.1.h [DEC Spills or Remediation Site - Potential Contamination History]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.1.h.i [DEC Spills or Remediation Site - Listed]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.1.h.i [DEC Spills or Remediation Site - Environmental Site Remediation Database]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.1.h.iii [Within 2,000' of DEC Remediation Site]	Yes
E.1.h.iii [Within 2,000' of DEC Remediation Site - DEC ID]	B00200, 360051, C360190, C360227, C360051, C360150, C360100, 546031
E.2.g [Unique Geologic Features]	No
E.2.h.i [Surface Water Features]	No
E.2.h.ii [Surface Water Features]	Yes
E.2.h.iii [Surface Water Features]	Yes - Digital mapping information on local and federal wetlands and waterbodies is known to be incomplete. Refer to EAF Workbook.
E.2.h.v [Impaired Water Bodies]	No
E.2.i. [Floodway]	No
E.2.j. [100 Year Floodplain]	No
E.2.k. [500 Year Floodplain]	No
E.2.l. [Aquifers]	No
E.2.n. [Natural Communities]	No
E.2.o. [Endangered or Threatened Species]	Yes



E.2.b. [Endangered or Threatened Species - Name]	Atlantic Sturgeon, Shortnose Sturgeon
E.2.p. [Rare Plants or Animals]	No
E.3.a. [Agricultural District]	No
E.3.c. [National Natural Landmark]	No
E.3.d [Critical Environmental Area]	Yes
E.3.d [Critical Environmental Area - Name]	Hudson River
E.3.d.ii [Critical Environmental Area - Reason]	Exceptional or unique character
E.3.d.iii [Critical Environmental Area – Date and Agency]	Agency:Westchester County, Date:1-31-90
E.3.e. [National or State Register of Historic Places or State Eligible Sites]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.3.f. [Archeological Sites]	No
E.3.i. [Designated River Corridor]	No



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B.i.i [Coastal or Waterfront Area]	Yes
B.i.ii [Local Waterfront Revitalization Area]	No
C.2.b. [Special Planning District]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.1.h [DEC Spills or Remediation Site - Potential Contamination History]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.1.h.i [DEC Spills or Remediation Site - Listed]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.1.h.i [DEC Spills or Remediation Site - Environmental Site Remediation Database]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.1.h.iii [Within 2,000' of DEC Remediation Site]	Yes
E.1.h.iii [Within 2,000' of DEC Remediation Site - DEC ID]	B00200, 360051, C360227, C360051, C360100, 546031
E.2.g [Unique Geologic Features]	No
E.2.h.i [Surface Water Features]	No
E.2.h.ii [Surface Water Features]	Yes
E.2.h.iii [Surface Water Features]	Yes - Digital mapping information on local and federal wetlands and waterbodies is known to be incomplete. Refer to EAF Workbook.
E.2.h.v [Impaired Water Bodies]	No
E.2.i. [Floodway]	No
E.2.j. [100 Year Floodplain]	No
E.2.k. [500 Year Floodplain]	No
E.2.l. [Aquifers]	No
E.2.n. [Natural Communities]	No
E.2.o. [Endangered or Threatened Species]	Yes

E.2.b. [Endangered or Threatened Species - Name]	Atlantic Sturgeon, Shortnose Sturgeon
E.2.p. [Rare Plants or Animals]	No
E.3.a. [Agricultural District]	No
E.3.c. [National Natural Landmark]	No
E.3.d [Critical Environmental Area]	Yes
E.3.d [Critical Environmental Area - Name]	Hudson River
E.3.d.ii [Critical Environmental Area - Reason]	Exceptional or unique character
E.3.d.iii [Critical Environmental Area – Date and Agency]	Agency:Westchester County, Date:1-31-90
E.3.e. [National or State Register of Historic Places or State Eligible Sites]	Yes - Digital mapping data for archaeological site boundaries are not available. Refer to EAF Workbook.
E.3.e.ii [National or State Register of Historic Places or State Eligible Sites - Name]	Trevor, John Bond, House, New York Central & Hudson River Railroad Power Station
E.3.f. [Archeological Sites]	Yes
E.3.i. [Designated River Corridor]	No

**Full Environmental Assessment Form**  
**Part 2 - Identification of Potential Project Impacts**

Agency Use Only [If applicable]  
 Project : N. Yonkers Trunk Sewer (SY085)  
 Date : September 2024

**Part 2 is to be completed by the lead agency.** Part 2 is designed to help the lead agency inventory all potential resources that could be affected by a proposed project or action. We recognize that the lead agency's reviewer(s) will not necessarily be environmental professionals. So, the questions are designed to walk a reviewer through the assessment process by providing a series of questions that can be answered using the information found in Part 1. To further assist the lead agency in completing Part 2, the form identifies the most relevant questions in Part 1 that will provide the information needed to answer the Part 2 question. When Part 2 is completed, the lead agency will have identified the relevant environmental areas that may be impacted by the proposed activity.

If the lead agency is a state agency **and** the action is in any Coastal Area, complete the Coastal Assessment Form before proceeding with this assessment.

**Tips for completing Part 2:**

- Review all of the information provided in Part 1.
- Review any application, maps, supporting materials and the Full EAF Workbook.
- Answer each of the 18 questions in Part 2.
- If you answer "Yes" to a numbered question, please complete all the questions that follow in that section.
- If you answer "No" to a numbered question, move on to the next numbered question.
- Check appropriate column to indicate the anticipated size of the impact.
- Proposed projects that would exceed a numeric threshold contained in a question should result in the reviewing agency checking the box "Moderate to large impact may occur."
- The reviewer is not expected to be an expert in environmental analysis.
- If you are not sure or undecided about the size of an impact, it may help to review the sub-questions for the general question and consult the workbook.
- When answering a question consider all components of the proposed activity, that is, the "whole action".
- Consider the possibility for long-term and cumulative impacts as well as direct impacts.
- Answer the question in a reasonable manner considering the scale and context of the project.

<b>1. Impact on Land</b> Proposed action may involve construction on, or physical alteration of, the land surface of the proposed site. (See Part 1. D.1) <i>If "Yes", answer questions a - j. If "No", move on to Section 2.</i>				<input type="checkbox"/> NO	<input checked="" type="checkbox"/> YES
	Relevant Part I Question(s)	No, or small impact may occur	Moderate to large impact may occur		
a. The proposed action may involve construction on land where depth to water table is less than 3 feet.	E2d	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
b. The proposed action may involve construction on slopes of 15% or greater.	E2f	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
c. The proposed action may involve construction on land where bedrock is exposed, or generally within 5 feet of existing ground surface.	E2a	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
d. The proposed action may involve the excavation and removal of more than 1,000 tons of natural material.	D2a	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
e. The proposed action may involve construction that continues for more than one year or in multiple phases.	D1e	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
f. The proposed action may result in increased erosion, whether from physical disturbance or vegetation removal (including from treatment by herbicides).	D2e, D2q	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
g. The proposed action is, or may be, located within a Coastal Erosion hazard area.	B1i	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
h. Other impacts: _____		<input type="checkbox"/>	<input type="checkbox"/>		

**2. Impact on Geological Features**

The proposed action may result in the modification or destruction of, or inhibit access to, any unique or unusual land forms on the site (e.g., cliffs, dunes, minerals, fossils, caves). (See Part 1. E.2.g)

☒ NO☐ YES

If "Yes", answer questions a - c. If "No", move on to Section 3.

	Relevant Part I Question(s)	No, or small impact may occur	Moderate to large impact may occur
a. Identify the specific land form(s) attached: _____	E2g	<input type="checkbox"/>	<input type="checkbox"/>
b. The proposed action may affect or is adjacent to a geological feature listed as a registered National Natural Landmark. Specific feature: _____	E3c	<input type="checkbox"/>	<input type="checkbox"/>
c. Other impacts: _____		<input type="checkbox"/>	<input type="checkbox"/>

**3. Impacts on Surface Water**

The proposed action may affect one or more wetlands or other surface water bodies (e.g., streams, rivers, ponds or lakes). (See Part 1. D.2, E.2.h)

☐ NO☒ YES

If "Yes", answer questions a - l. If "No", move on to Section 4.

	Relevant Part I Question(s)	No, or small impact may occur	Moderate to large impact may occur
a. The proposed action may create a new water body.	D2b, D1h	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. The proposed action may result in an increase or decrease of over 10% or more than a 10 acre increase or decrease in the surface area of any body of water.	D2b	<input checked="" type="checkbox"/>	<input type="checkbox"/>
c. The proposed action may involve dredging more than 100 cubic yards of material from a wetland or water body.	D2a	<input checked="" type="checkbox"/>	<input type="checkbox"/>
d. The proposed action may involve construction within or adjoining a freshwater or tidal wetland, or in the bed or banks of any other water body.	E2h	<input checked="" type="checkbox"/>	<input type="checkbox"/>
e. The proposed action may create turbidity in a waterbody, either from upland erosion, runoff or by disturbing bottom sediments.	D2a, D2h	<input checked="" type="checkbox"/>	<input type="checkbox"/>
f. The proposed action may include construction of one or more intake(s) for withdrawal of water from surface water.	D2c	<input checked="" type="checkbox"/>	<input type="checkbox"/>
g. The proposed action may include construction of one or more outfall(s) for discharge of wastewater to surface water(s).	D2d	<input checked="" type="checkbox"/>	<input type="checkbox"/>
h. The proposed action may cause soil erosion, or otherwise create a source of stormwater discharge that may lead to siltation or other degradation of receiving water bodies.	D2e	<input checked="" type="checkbox"/>	<input type="checkbox"/>
i. The proposed action may affect the water quality of any water bodies within or downstream of the site of the proposed action.	E2h	<input checked="" type="checkbox"/>	<input type="checkbox"/>
j. The proposed action may involve the application of pesticides or herbicides in or around any water body.	D2q, E2h	<input checked="" type="checkbox"/>	<input type="checkbox"/>
k. The proposed action may require the construction of new, or expansion of existing, wastewater treatment facilities.	D1a, D2d	<input checked="" type="checkbox"/>	<input type="checkbox"/>



l. Other impacts: <u>Some work will be conducted within Rowley's Brook to stabilize two manholes.</u>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
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<b>4. Impact on groundwater</b> The proposed action may result in new or additional use of ground water, or may have the potential to introduce contaminants to ground water or an aquifer. (See Part 1. D.2.a, D.2.c, D.2.d, D.2.p, D.2.q, D.2.t) <i>If "Yes", answer questions a - h. If "No", move on to Section 5.</i>			
		<input checked="" type="checkbox"/> NO	<input type="checkbox"/> YES
	Relevant Part I Question(s)	No, or small impact may occur	Moderate to large impact may occur
a. The proposed action may require new water supply wells, or create additional demand on supplies from existing water supply wells.	D2c	<input type="checkbox"/>	<input type="checkbox"/>
b. Water supply demand from the proposed action may exceed safe and sustainable withdrawal capacity rate of the local supply or aquifer. Cite Source: _____	D2c	<input type="checkbox"/>	<input type="checkbox"/>
c. The proposed action may allow or result in residential uses in areas without water and sewer services.	D1a, D2c	<input type="checkbox"/>	<input type="checkbox"/>
d. The proposed action may include or require wastewater discharged to groundwater.	D2d, E2l	<input type="checkbox"/>	<input type="checkbox"/>
e. The proposed action may result in the construction of water supply wells in locations where groundwater is, or is suspected to be, contaminated.	D2c, E1f, E1g, E1h	<input type="checkbox"/>	<input type="checkbox"/>
f. The proposed action may require the bulk storage of petroleum or chemical products over ground water or an aquifer.	D2p, E2l	<input type="checkbox"/>	<input type="checkbox"/>
g. The proposed action may involve the commercial application of pesticides within 100 feet of potable drinking water or irrigation sources.	E2h, D2q, E2l, D2c	<input type="checkbox"/>	<input type="checkbox"/>
h. Other impacts: _____		<input type="checkbox"/>	<input type="checkbox"/>

<b>5. Impact on Flooding</b> The proposed action may result in development on lands subject to flooding. (See Part 1. E.2) <i>If "Yes", answer questions a - g. If "No", move on to Section 6.</i>			
		<input type="checkbox"/> NO	<input checked="" type="checkbox"/> YES
	Relevant Part I Question(s)	No, or small impact may occur	Moderate to large impact may occur
a. The proposed action may result in development in a designated floodway.	E2i	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. The proposed action may result in development within a 100 year floodplain.	E2j	<input checked="" type="checkbox"/>	<input type="checkbox"/>
c. The proposed action may result in development within a 500 year floodplain.	E2k	<input checked="" type="checkbox"/>	<input type="checkbox"/>
d. The proposed action may result in, or require, modification of existing drainage patterns.	D2b, D2e	<input checked="" type="checkbox"/>	<input type="checkbox"/>
e. The proposed action may change flood water flows that contribute to flooding.	D2b, E2i, E2j, E2k	<input checked="" type="checkbox"/>	<input type="checkbox"/>
f. If there is a dam located on the site of the proposed action, is the dam in need of repair, or upgrade?	E1e	<input checked="" type="checkbox"/>	<input type="checkbox"/>



g. Other impacts: _____ _____		<input type="checkbox"/>	<input type="checkbox"/>
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<b>6. Impacts on Air</b> The proposed action may include a state regulated air emission source. (See Part 1. D.2.f., D,2,h, D.2.g) <i>If "Yes", answer questions a - f. If "No", move on to Section 7.</i>			
		<input checked="" type="checkbox"/> NO	<input type="checkbox"/> YES
	Relevant Part I Question(s)	No, or small impact may occur	Moderate to large impact may occur
a. If the proposed action requires federal or state air emission permits, the action may also emit one or more greenhouse gases at or above the following levels:			
i. More than 1000 tons/year of carbon dioxide (CO <sub>2</sub> )	D2g	<input type="checkbox"/>	<input type="checkbox"/>
ii. More than 3.5 tons/year of nitrous oxide (N <sub>2</sub> O)	D2g	<input type="checkbox"/>	<input type="checkbox"/>
iii. More than 1000 tons/year of carbon equivalent of perfluorocarbons (PFCs)	D2g	<input type="checkbox"/>	<input type="checkbox"/>
iv. More than .045 tons/year of sulfur hexafluoride (SF <sub>6</sub> )	D2g	<input type="checkbox"/>	<input type="checkbox"/>
v. More than 1000 tons/year of carbon dioxide equivalent of hydrochloroflourocarbons (HFCs) emissions	D2g	<input type="checkbox"/>	<input type="checkbox"/>
vi. 43 tons/year or more of methane	D2h	<input type="checkbox"/>	<input type="checkbox"/>
b. The proposed action may generate 10 tons/year or more of any one designated hazardous air pollutant, or 25 tons/year or more of any combination of such hazardous air pollutants.	D2g	<input type="checkbox"/>	<input type="checkbox"/>
c. The proposed action may require a state air registration, or may produce an emissions rate of total contaminants that may exceed 5 lbs. per hour, or may include a heat source capable of producing more than 10 million BTU's per hour.	D2f, D2g	<input type="checkbox"/>	<input type="checkbox"/>
d. The proposed action may reach 50% of any of the thresholds in "a" through "c", above.	D2g	<input type="checkbox"/>	<input type="checkbox"/>
e. The proposed action may result in the combustion or thermal treatment of more than 1 ton of refuse per hour.	D2s	<input type="checkbox"/>	<input type="checkbox"/>
f. Other impacts: _____ _____		<input type="checkbox"/>	<input type="checkbox"/>

<b>7. Impact on Plants and Animals</b> The proposed action may result in a loss of flora or fauna. (See Part 1. E.2. m.-q.) <i>If "Yes", answer questions a - j. If "No", move on to Section 8.</i>			
		<input type="checkbox"/> NO	<input checked="" type="checkbox"/> YES
	Relevant Part I Question(s)	No, or small impact may occur	Moderate to large impact may occur
a. The proposed action may cause reduction in population or loss of individuals of any threatened or endangered species, as listed by New York State or the Federal government, that use the site, or are found on, over, or near the site.	E2o	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. The proposed action may result in a reduction or degradation of any habitat used by any rare, threatened or endangered species, as listed by New York State or the federal government.	E2o	<input checked="" type="checkbox"/>	<input type="checkbox"/>
c. The proposed action may cause reduction in population, or loss of individuals, of any species of special concern or conservation need, as listed by New York State or the Federal government, that use the site, or are found on, over, or near the site.	E2p	<input checked="" type="checkbox"/>	<input type="checkbox"/>
d. The proposed action may result in a reduction or degradation of any habitat used by any species of special concern and conservation need, as listed by New York State or the Federal government.	E2p	<input checked="" type="checkbox"/>	<input type="checkbox"/>

e. The proposed action may diminish the capacity of a registered National Natural Landmark to support the biological community it was established to protect.	E3c	<input checked="" type="checkbox"/>	<input type="checkbox"/>
f. The proposed action may result in the removal of, or ground disturbance in, any portion of a designated significant natural community. Source: _____	E2n	<input checked="" type="checkbox"/>	<input type="checkbox"/>
g. The proposed action may substantially interfere with nesting/breeding, foraging, or over-wintering habitat for the predominant species that occupy or use the project site.	E2m	<input checked="" type="checkbox"/>	<input type="checkbox"/>
h. The proposed action requires the conversion of more than 10 acres of forest, grassland or any other regionally or locally important habitat. Habitat type & information source: _____	E1b	<input checked="" type="checkbox"/>	<input type="checkbox"/>
i. Proposed action (commercial, industrial or recreational projects, only) involves use of herbicides or pesticides.	D2q	<input checked="" type="checkbox"/>	<input type="checkbox"/>
j. Other impacts: Removal of all trees within the sewer easement to protect the infrastructure. Additional trees will need to be removed to provide construction access.		<input type="checkbox"/>	<input checked="" type="checkbox"/>

<b>8. Impact on Agricultural Resources</b> The proposed action may impact agricultural resources. (See Part 1. E.3.a. and b.) <input checked="" type="checkbox"/> NO <input type="checkbox"/> YES <i>If "Yes", answer questions a - h. If "No", move on to Section 9.</i>			
	<b>Relevant Part I Question(s)</b>	<b>No, or small impact may occur</b>	<b>Moderate to large impact may occur</b>
a. The proposed action may impact soil classified within soil group 1 through 4 of the NYS Land Classification System.	E2c, E3b	<input type="checkbox"/>	<input type="checkbox"/>
b. The proposed action may sever, cross or otherwise limit access to agricultural land (includes cropland, hayfields, pasture, vineyard, orchard, etc).	E1a, E1b	<input type="checkbox"/>	<input type="checkbox"/>
c. The proposed action may result in the excavation or compaction of the soil profile of active agricultural land.	E3b	<input type="checkbox"/>	<input type="checkbox"/>
d. The proposed action may irreversibly convert agricultural land to non-agricultural uses, either more than 2.5 acres if located in an Agricultural District, or more than 10 acres if not within an Agricultural District.	E1b, E3a	<input type="checkbox"/>	<input type="checkbox"/>
e. The proposed action may disrupt or prevent installation of an agricultural land management system.	E1 a, E1b	<input type="checkbox"/>	<input type="checkbox"/>
f. The proposed action may result, directly or indirectly, in increased development potential or pressure on farmland.	C2c, C3, D2c, D2d	<input type="checkbox"/>	<input type="checkbox"/>
g. The proposed project is not consistent with the adopted municipal Farmland Protection Plan.	C2c	<input type="checkbox"/>	<input type="checkbox"/>
h. Other impacts: _____		<input type="checkbox"/>	<input type="checkbox"/>

<b>9. Impact on Aesthetic Resources</b> The land use of the proposed action are obviously different from, or are in sharp contrast to, current land use patterns between the proposed project and a scenic or aesthetic resource. (Part 1. E.1.a, E.1.b, E.3.h.) <i>If "Yes", answer questions a - g. If "No", go to Section 10.</i>			
		<input type="checkbox"/> NO	<input checked="" type="checkbox"/> YES
	Relevant Part I Question(s)	No, or small impact may occur	Moderate to large impact may occur
a. Proposed action may be visible from any officially designated federal, state, or local scenic or aesthetic resource.	E3h	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. The proposed action may result in the obstruction, elimination or significant screening of one or more officially designated scenic views.	E3h, C2b	<input checked="" type="checkbox"/>	<input type="checkbox"/>
c. The proposed action may be visible from publicly accessible vantage points: i. Seasonally (e.g., screened by summer foliage, but visible during other seasons) ii. Year round	E3h	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
d. The situation or activity in which viewers are engaged while viewing the proposed action is: i. Routine travel by residents, including travel to and from work ii. Recreational or tourism based activities	E3h E2q, E1c	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
e. The proposed action may cause a diminishment of the public enjoyment and appreciation of the designated aesthetic resource.	E3h	<input checked="" type="checkbox"/>	<input type="checkbox"/>
f. There are similar projects visible within the following distance of the proposed project: 0-1/2 mile 1/2 -3 mile 3-5 mile 5+ mile	D1a, E1a, D1f, D1g	<input checked="" type="checkbox"/>	<input type="checkbox"/>
g. Other impacts: <u>Small sections of rock gabions will be visible from the Hudson River.</u>		<input checked="" type="checkbox"/>	<input type="checkbox"/>

<b>10. Impact on Historic and Archeological Resources</b> The proposed action may occur in or adjacent to a historic or archaeological resource. (Part 1. E.3.e, f. and g.) <i>If "Yes", answer questions a - e. If "No", go to Section 11.</i>			
		<input type="checkbox"/> NO	<input checked="" type="checkbox"/> YES
	Relevant Part I Question(s)	No, or small impact may occur	Moderate to large impact may occur
a. The proposed action may occur wholly or partially within, or substantially contiguous to, any buildings, archaeological site or district which is listed on or has been nominated by the NYS Board of Historic Preservation for inclusion on the State or National Register of Historic Places.	E3e	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. The proposed action may occur wholly or partially within, or substantially contiguous to, an area designated as sensitive for archaeological sites on the NY State Historic Preservation Office (SHPO) archaeological site inventory.	E3f	<input checked="" type="checkbox"/>	<input type="checkbox"/>
c. The proposed action may occur wholly or partially within, or substantially contiguous to, an archaeological site not included on the NY SHPO inventory. Source: _____	E3g	<input checked="" type="checkbox"/>	<input type="checkbox"/>

d. Other impacts: _____		<input type="checkbox"/>	<input type="checkbox"/>
e. If any of the above (a-d) are answered "Moderate to large impact may occur", continue with the following questions to help support conclusions in Part 3:			
i. The proposed action may result in the destruction or alteration of all or part of the site or property.	E3e, E3g, E3f	<input type="checkbox"/>	<input type="checkbox"/>
ii. The proposed action may result in the alteration of the property's setting or integrity.	E3e, E3f, E3g, E1a, E1b	<input type="checkbox"/>	<input type="checkbox"/>
iii. The proposed action may result in the introduction of visual elements which are out of character with the site or property, or may alter its setting.	E3e, E3f, E3g, E3h, C2, C3	<input type="checkbox"/>	<input type="checkbox"/>

<b>11. Impact on Open Space and Recreation</b> The proposed action may result in a loss of recreational opportunities or a reduction of an open space resource as designated in any adopted municipal open space plan. (See Part 1. C.2.c, E.1.c., E.2.q.) <i>If "Yes", answer questions a - e. If "No", go to Section 12.</i>			
		<input checked="" type="checkbox"/> NO	<input type="checkbox"/> YES
	<b>Relevant Part I Question(s)</b>	<b>No, or small impact may occur</b>	<b>Moderate to large impact may occur</b>
a. The proposed action may result in an impairment of natural functions, or "ecosystem services", provided by an undeveloped area, including but not limited to stormwater storage, nutrient cycling, wildlife habitat.	D2e, E1b, E2h, E2m, E2o, E2n, E2p	<input type="checkbox"/>	<input type="checkbox"/>
b. The proposed action may result in the loss of a current or future recreational resource.	C2a, E1c, C2c, E2q	<input type="checkbox"/>	<input type="checkbox"/>
c. The proposed action may eliminate open space or recreational resource in an area with few such resources.	C2a, C2c, E1c, E2q	<input type="checkbox"/>	<input type="checkbox"/>
d. The proposed action may result in loss of an area now used informally by the community as an open space resource.	C2c, E1c	<input type="checkbox"/>	<input type="checkbox"/>
e. Other impacts: _____		<input type="checkbox"/>	<input type="checkbox"/>

<b>12. Impact on Critical Environmental Areas</b> The proposed action may be located within or adjacent to a critical environmental area (CEA). (See Part 1. E.3.d) <i>If "Yes", answer questions a - c. If "No", go to Section 13.</i>			
		<input type="checkbox"/> NO	<input checked="" type="checkbox"/> YES
	<b>Relevant Part I Question(s)</b>	<b>No, or small impact may occur</b>	<b>Moderate to large impact may occur</b>
a. The proposed action may result in a reduction in the quantity of the resource or characteristic which was the basis for designation of the CEA.	E3d	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. The proposed action may result in a reduction in the quality of the resource or characteristic which was the basis for designation of the CEA.	E3d	<input checked="" type="checkbox"/>	<input type="checkbox"/>
c. Other impacts: _____		<input type="checkbox"/>	<input type="checkbox"/>

**13. Impact on Transportation**

The proposed action may result in a change to existing transportation systems.

☒ NO☐ YES

(See Part 1. D.2.j)

*If "Yes", answer questions a - f. If "No", go to Section 14.*

	Relevant Part I Question(s)	No, or small impact may occur	Moderate to large impact may occur
a. Projected traffic increase may exceed capacity of existing road network.	D2j	<input type="checkbox"/>	<input type="checkbox"/>
b. The proposed action may result in the construction of paved parking area for 500 or more vehicles.	D2j	<input type="checkbox"/>	<input type="checkbox"/>
c. The proposed action will degrade existing transit access.	D2j	<input type="checkbox"/>	<input type="checkbox"/>
d. The proposed action will degrade existing pedestrian or bicycle accommodations.	D2j	<input type="checkbox"/>	<input type="checkbox"/>
e. The proposed action may alter the present pattern of movement of people or goods.	D2j	<input type="checkbox"/>	<input type="checkbox"/>
f. Other impacts: _____		<input type="checkbox"/>	<input type="checkbox"/>

**14. Impact on Energy**

The proposed action may cause an increase in the use of any form of energy.

☒ NO☐ YES

(See Part 1. D.2.k)

*If "Yes", answer questions a - e. If "No", go to Section 15.*

	Relevant Part I Question(s)	No, or small impact may occur	Moderate to large impact may occur
a. The proposed action will require a new, or an upgrade to an existing, substation.	D2k	<input type="checkbox"/>	<input type="checkbox"/>
b. The proposed action will require the creation or extension of an energy transmission or supply system to serve more than 50 single or two-family residences or to serve a commercial or industrial use.	D1f, D1q, D2k	<input type="checkbox"/>	<input type="checkbox"/>
c. The proposed action may utilize more than 2,500 MWhrs per year of electricity.	D2k	<input type="checkbox"/>	<input type="checkbox"/>
d. The proposed action may involve heating and/or cooling of more than 100,000 square feet of building area when completed.	D1g	<input type="checkbox"/>	<input type="checkbox"/>
e. Other Impacts: _____			

**15. Impact on Noise, Odor, and Light**

The proposed action may result in an increase in noise, odors, or outdoor lighting.

☒ NO☐ YES

(See Part 1. D.2.m., n., and o.)

*If "Yes", answer questions a - f. If "No", go to Section 16.*

	Relevant Part I Question(s)	No, or small impact may occur	Moderate to large impact may occur
a. The proposed action may produce sound above noise levels established by local regulation.	D2m	<input type="checkbox"/>	<input type="checkbox"/>
b. The proposed action may result in blasting within 1,500 feet of any residence, hospital, school, licensed day care center, or nursing home.	D2m, E1d	<input type="checkbox"/>	<input type="checkbox"/>
c. The proposed action may result in routine odors for more than one hour per day.	D2o	<input type="checkbox"/>	<input type="checkbox"/>



d. The proposed action may result in light shining onto adjoining properties.	D2n	<input type="checkbox"/>	<input type="checkbox"/>
e. The proposed action may result in lighting creating sky-glow brighter than existing area conditions.	D2n, E1a	<input type="checkbox"/>	<input type="checkbox"/>
f. Other impacts: _____ _____		<input type="checkbox"/>	<input type="checkbox"/>

#### 16. Impact on Human Health

The proposed action may have an impact on human health from exposure to new or existing sources of contaminants. (See Part 1.D.2.q., E.1. d. f. g. and h.)

☒ NO

☐ YES

*If "Yes", answer questions a - m. If "No", go to Section 17.*

	Relevant Part I Question(s)	No, or small impact may occur	Moderate to large impact may occur
a. The proposed action is located within 1500 feet of a school, hospital, licensed day care center, group home, nursing home or retirement community.	E1d	<input type="checkbox"/>	<input type="checkbox"/>
b. The site of the proposed action is currently undergoing remediation.	E1g, E1h	<input type="checkbox"/>	<input type="checkbox"/>
c. There is a completed emergency spill remediation, or a completed environmental site remediation on, or adjacent to, the site of the proposed action.	E1g, E1h	<input type="checkbox"/>	<input type="checkbox"/>
d. The site of the action is subject to an institutional control limiting the use of the property (e.g., easement or deed restriction).	E1g, E1h	<input type="checkbox"/>	<input type="checkbox"/>
e. The proposed action may affect institutional control measures that were put in place to ensure that the site remains protective of the environment and human health.	E1g, E1h	<input type="checkbox"/>	<input type="checkbox"/>
f. The proposed action has adequate control measures in place to ensure that future generation, treatment and/or disposal of hazardous wastes will be protective of the environment and human health.	D2t	<input type="checkbox"/>	<input type="checkbox"/>
g. The proposed action involves construction or modification of a solid waste management facility.	D2q, E1f	<input type="checkbox"/>	<input type="checkbox"/>
h. The proposed action may result in the unearthing of solid or hazardous waste.	D2q, E1f	<input type="checkbox"/>	<input type="checkbox"/>
i. The proposed action may result in an increase in the rate of disposal, or processing, of solid waste.	D2r, D2s	<input type="checkbox"/>	<input type="checkbox"/>
j. The proposed action may result in excavation or other disturbance within 2000 feet of a site used for the disposal of solid or hazardous waste.	E1f, E1g E1h	<input type="checkbox"/>	<input type="checkbox"/>
k. The proposed action may result in the migration of explosive gases from a landfill site to adjacent off site structures.	E1f, E1g	<input type="checkbox"/>	<input type="checkbox"/>
l. The proposed action may result in the release of contaminated leachate from the project site.	D2s, E1f, D2r	<input type="checkbox"/>	<input type="checkbox"/>
m. Other impacts: _____ _____			

<b>17. Consistency with Community Plans</b> The proposed action is not consistent with adopted land use plans. (See Part 1. C.1, C.2. and C.3.) <i>If "Yes", answer questions a - h. If "No", go to Section 18.</i>				<input checked="" type="checkbox"/> NO	<input type="checkbox"/> YES
	Relevant Part I Question(s)	No, or small impact may occur	Moderate to large impact may occur		
a. The proposed action's land use components may be different from, or in sharp contrast to, current surrounding land use pattern(s).	C2, C3, D1a E1a, E1b	<input type="checkbox"/>	<input type="checkbox"/>		
b. The proposed action will cause the permanent population of the city, town or village in which the project is located to grow by more than 5%.	C2	<input type="checkbox"/>	<input type="checkbox"/>		
c. The proposed action is inconsistent with local land use plans or zoning regulations.	C2, C2, C3	<input type="checkbox"/>	<input type="checkbox"/>		
d. The proposed action is inconsistent with any County plans, or other regional land use plans.	C2, C2	<input type="checkbox"/>	<input type="checkbox"/>		
e. The proposed action may cause a change in the density of development that is not supported by existing infrastructure or is distant from existing infrastructure.	C3, D1c, D1d, D1f, D1d, E1b	<input type="checkbox"/>	<input type="checkbox"/>		
f. The proposed action is located in an area characterized by low density development that will require new or expanded public infrastructure.	C4, D2c, D2d D2j	<input type="checkbox"/>	<input type="checkbox"/>		
g. The proposed action may induce secondary development impacts (e.g., residential or commercial development not included in the proposed action)	C2a	<input type="checkbox"/>	<input type="checkbox"/>		
h. Other: _____		<input type="checkbox"/>	<input type="checkbox"/>		

<b>18. Consistency with Community Character</b> The proposed project is inconsistent with the existing community character. (See Part 1. C.2, C.3, D.2, E.3) <i>If "Yes", answer questions a - g. If "No", proceed to Part 3.</i>				<input checked="" type="checkbox"/> NO	<input type="checkbox"/> YES
	Relevant Part I Question(s)	No, or small impact may occur	Moderate to large impact may occur		
a. The proposed action may replace or eliminate existing facilities, structures, or areas of historic importance to the community.	E3e, E3f, E3g	<input type="checkbox"/>	<input type="checkbox"/>		
b. The proposed action may create a demand for additional community services (e.g. schools, police and fire)	C4	<input type="checkbox"/>	<input type="checkbox"/>		
c. The proposed action may displace affordable or low-income housing in an area where there is a shortage of such housing.	C2, C3, D1f D1g, E1a	<input type="checkbox"/>	<input type="checkbox"/>		
d. The proposed action may interfere with the use or enjoyment of officially recognized or designated public resources.	C2, E3	<input type="checkbox"/>	<input type="checkbox"/>		
e. The proposed action is inconsistent with the predominant architectural scale and character.	C2, C3	<input type="checkbox"/>	<input type="checkbox"/>		
f. Proposed action is inconsistent with the character of the existing natural landscape.	C2, C3 E1a, E1b E2g, E2h	<input type="checkbox"/>	<input type="checkbox"/>		
g. Other impacts: _____		<input type="checkbox"/>	<input type="checkbox"/>		

**Full Environmental Assessment Form**  
**Part 3 - Evaluation of the Magnitude and Importance of Project Impacts**  
**and**  
**Determination of Significance**

Part 3 provides the reasons in support of the determination of significance. The lead agency must complete Part 3 for every question in Part 2 where the impact has been identified as potentially moderate to large or where there is a need to explain why a particular element of the proposed action will not, or may, result in a significant adverse environmental impact.

Based on the analysis in Part 3, the lead agency must decide whether to require an environmental impact statement to further assess the proposed action or whether available information is sufficient for the lead agency to conclude that the proposed action will not have a significant adverse environmental impact. By completing the certification on the next page, the lead agency can complete its determination of significance.

**Reasons Supporting This Determination:**

To complete this section:

- Identify the impact based on the Part 2 responses and describe its magnitude. Magnitude considers factors such as severity, size or extent of an impact.
- Assess the importance of the impact. Importance relates to the geographic scope, duration, probability of the impact occurring, number of people affected by the impact and any additional environmental consequences if the impact were to occur.
- The assessment should take into consideration any design element or project changes.
- Repeat this process for each Part 2 question where the impact has been identified as potentially moderate to large or where there is a need to explain why a particular element of the proposed action will not, or may, result in a significant adverse environmental impact.
- Provide the reason(s) why the impact may, or will not, result in a significant adverse environmental impact
- For Conditional Negative Declarations identify the specific condition(s) imposed that will modify the proposed action so that no significant adverse environmental impacts will result.
- Attach additional sheets, as needed.

See attachment.

**Determination of Significance - Type 1 and Unlisted Actions**

SEQR Status: ☒ Type 1 ☐ Unlisted

Identify portions of EAF completed for this Project: ☒ Part 1 ☒ Part 2 ☒ Part 3

Upon review of the information recorded on this EAF, as noted, plus this additional support information

and considering both the magnitude and importance of each identified potential impact, it is the conclusion of the  
County of Westchester, acting by and through its Board of Legislators, \_\_\_\_\_ as lead agency that:

☒ A. This project will result in no significant adverse impacts on the environment, and, therefore, an environmental impact statement need not be prepared. Accordingly, this negative declaration is issued.

☐ B. Although this project could have a significant adverse impact on the environment, that impact will be avoided or substantially mitigated because of the following conditions which will be required by the lead agency:

There will, therefore, be no significant adverse impacts from the project as conditioned, and, therefore, this conditioned negative declaration is issued. A conditioned negative declaration may be used only for UNLISTED actions (see 6 NYCRR 617.d).

☐ C. This Project may result in one or more significant adverse impacts on the environment, and an environmental impact statement must be prepared to further assess the impact(s) and possible mitigation and to explore alternatives to avoid or reduce those impacts. Accordingly, this positive declaration is issued.

Name of Action: Stabilization of the North Yonkers Trunk Sewer (SY085)

Name of Lead Agency: County of Westchester

Name of Responsible Officer in Lead Agency: Malika Vanderberg

Title of Responsible Officer: Clerk & Chief Administrative Officer of the Board of Legislators

Signature of Responsible Officer in Lead Agency:

Date:

Signature of Preparer (if different from Responsible Officer):

*David S. Kvinge*

Date: 9/27/2024

**For Further Information:**

Contact Person: David S. Kvinge, Assistant Commissioner

Address: 148 Martine Avenue, Room 432, White Plains, NY 10601

Telephone Number: 914-995-2089

E-mail: [dsk2@westchestercountyny.gov](mailto:dsk2@westchestercountyny.gov)

**For Type 1 Actions and Conditioned Negative Declarations, a copy of this Notice is sent to:**

Chief Executive Officer of the political subdivision in which the action will be principally located (e.g., Town / City / Village of)

Other involved agencies (if any)

Applicant (if any)

Environmental Notice Bulletin: <http://www.dec.ny.gov/enb/enb.html>

**YONKERS SEWER SYSTEM REHABILITATION (SY085)**  
**STABILIZATION OF THE NORTH YONKERS TRUNK SEWER**  
**EAF Part 3**

**Impact on Land**

The existing trunk sewer runs along the east side of the Hudson line of the Metro-North Railroad. Much of the territory is heavily sloped. The route of the +/-85-year old pipeline has exhibited slope failure in multiple locations over the past number of years, necessitating emergency repairs. This project is intended to stabilize and maintain the integrity of the pipeline through grading restoration, the placement of filter fabric and rip rap, and the installation of rock-filled gabions in areas subject to severe erosion. In some cases, the stabilization work will need to extend beyond the existing easement area, which will require an expansion of the permanent easement area by approximately 0.7563 acres divided amongst the separate project areas, with the largest easement addition will occur in Area 2 and will be less than a quarter of an acre. Overall work area will total less than 1 acre. There will be no impact associated with Area 8 which is underneath the platform of Metro-North's Glenwood Avenue Railroad Station.

Additionally, trees that have grown up over the sewer line must be removed in order to prevent damage associated with tree roots and potential tree-toppling which could rupture the sewer pipe. All of the trees within the existing and proposed permanent easement area will be removed, which is estimated to consist of approximately 160 trees, ranging in size from 6 inches in diameter at breast height (DBH) to over 30 inches DBH. These removals will be spread out over the multiple project locations and, in most cases, the impact will be minimized by the presence of trees both above and below the easements. Areas within the existing or proposed easement property not covered by rip-rap or gabions will be stabilized using permanent turf reinforcement mats.

Access to the project sites will require disturbance over public and private properties. Most of these properties are developed to some extent so just short distances (approximately 100 feet or less) of undeveloped forested land will need to be disturbed to reach some of the project sites. The haul road will be approximately 15 feet wide. The contractor will be instructed to choose a path that will minimize tree impacts, but additional tree removal is expected. These properties will be restored to pre-existing condition to the satisfaction of the respective property owner. Use of a local trailway to access the project sites in Area 5 will help minimize impacts. Restoration of the trailway following completion of the work in Area 5 will benefit the public by improving existing conditions. Biodegradable mats will be used to minimize erosion where needed in the areas of temporary disturbance.

Overall, the project will not have a substantial impact on land as it does not change land use. Land alterations are for the express purpose of stabilizing areas of slope that are failing and maintaining the integrity of the existing trunk sewer which serves over 38,000 county residents in the North



Yonkers Sewer District. As required, best management practices in accordance with the *New York State Standards and Specifications for Erosion and Sediment Control*, will be incorporated to minimize impacts during construction, including silt fences or reinforced silt fencing in accordance with the aforementioned standards. Access points and haul roads will incorporate stabilized construction entrances and crushed stone over filter fabric on unpaved surfaces, which will be restored to previous condition following project completion.

### Impact on Surface Water

The project will have a direct impact on only one waterbody—an unnamed and unclassified stream in Area 5. The sewer pipe in this area crosses underneath this stream and there are two manholes proximate to the stream, one of which is within the stream corridor, that need to be replaced. The existing manholes have been buried to a degree, are constructed of bricks and have potential to collapse. These manholes will be replaced by taller concrete manholes that will be surrounded at the top by trap rock for protection. Ten linear feet of gabions will also be installed on the north bank to provide additional stabilization. This stream serves a relatively small catchment area and discharges to the Hudson River.

The project areas are separated from the Hudson River by the Metro-North Railroad. As indicated in the first section, slope stabilization is the purpose of the project. Erosion and sediment controls, such as silt fencing and turf reinforcement matting, will prevent downslope impacts during and following construction.

### Impact on Flooding

None of the project areas are within a floodway. Only Area 5 is within the 100-year floodplain or 1% annual chance flood hazard area. Areas 1 and 5 are within the 500-year floodplain or 0.2% annual chance flood hazard area. These floodplains are associated with the Hudson River. The proposed sewer stabilization improvements will not be affected by the floodplains. The trap rock and rock gabions that will be added to these locations will restore slopes that have eroded away and will not be within either the 100-year or 500-year floodplains.

### Impact on Plants and Animals

The project is adjacent to the Hudson River, which is recognized by the State as a Significant Natural Community. There will be no direct impacts to the river and, as such, no impacts to Atlantic sturgeon nor Shortnose sturgeon, which inhabit the river. Erosion and sediment controls will prevent impacts downslope during construction. The overall stabilization work will benefit the environment by preventing further slope failure and pipe breaks that could leak sewage into the river.

As previously indicated, the project will require the permanent removal of approximately 160 trees within the existing and proposed sewer easement, which is necessary to protect the sewer infrastructure from damage. These sites had already been disturbed/cleared in the past to accommodate the original installation. As the original sewer easement is only 16 feet wide, the majority of these removals will not significantly alter the land from a habitat perspective. It may serve to benefit wildlife that favor woodland edge habitat. The project will adhere to any timing restrictions advised by NYSDEC to minimize impacts to wildlife, such as limiting tree removals from November 1<sup>st</sup> through March 31<sup>st</sup> to avoid impacts on potential roosting bats.

#### Impact on Aesthetic Resources/Open Space & Recreation/Critical Environmental Areas

The project lies in the County-designated Hudson River Shoreline Critical Environmental Area (CEA). The Hudson River is recognized as one of the nation's most important estuaries, renowned for its history and scenery. It is ecologically vital to the marine fishery and is one of New York's most important water recreation assets. Stabilization of the County trunk sewer, which transports approximately 5 million gallons of sewage per day, will serve to protect both the environment as well as the public.

The use of trap rock and rock-filled gabions, where needed to stabilize areas of severe slope erosion potential, may be visible in some locations. The topography, which slopes down from east to west, will limit views from land uses on the east/upland side of the sewer easement, with the exception of Area 7, where the land is more level. Views from the river (west side looking east) will be buffered by trees remaining on the west side of the sewer easement, with the exception of the lower sections of Area 5 and Area 7, where the sewer line runs closer to the railroad and a forested buffer cannot be maintained. The gabion installations will vary in size, but the largest section would be on the order of 30 feet long by 15 feet high. The visual impact of rock placement in these areas would be lessened by the presence of the railroad line and by other buildings and structures in Area 7.

Two of the project areas are on municipal parkland. The sewer easement in Area 1 is located on property owned by the Village of Dobbs Ferry, known as Memorial Field. Access will be through an existing commercial property to the north and will not affect the park. However, the original 16-foot wide easement will need to be expanded to approximately 33 feet for a distance of approximately 115 feet, and the trees within the existing and proposed easement area will need to be removed which will alter the landscape adjacent to the ball field. Area 5 is adjacent to Rowley's Bridge Trail, belonging to the Village of Hastings-on-Hudson, which will be used for access to the project sites in Area 5 and will minimize tree impacts. This will put the trailway out of service for approximately 3 months. Work in this section will be timed to avoid peak use. The trailway will be restored following completion of the project. The only ongoing impact would be a slight expansion of the easement at various points for the stabilization improvements and the

removal of trees within the existing and proposed easement areas, which will modify the surrounding landscape to a degree along portions of the trailway.

### Impact on Historic and Archeological Resources

The project sites are not adjacent to several of the historic properties identified by the EAF Mapper program in Part 1 of the EAF, including: the Hyatt Livingston House, which is on the other side of Route 9; the Old Croton Aqueduct/Trailway, which is also further inland and separated from the sewer line easement by intervening land uses; and the John Bond Trevor House, also known as Glenview Mansion, which is located at the north end of the City of Yonkers' Trevor Park.

Area 3A is adjacent to St. Christopher Union Free School Complex, which has been identified by SHPO as eligible for listing and will require access through this property. The access route will be carefully chosen to minimize impacts. There will be no impacts to the existing buildings. Any damaged lawn and vegetation will be replaced and no permanent impact is anticipated.

Rowley's Bridge, a stone arch bridge carrying Warburton Avenue over Rowley's Brook, is approximately 50 feet from one of the project sites. It was built in the 1890s, but is not listed on the State or National Register of Historic Places. The pipeline crosses the brook in this location and there are two manholes that are currently exposed. To protect the infrastructure, trap rock around the manholes is proposed as well as additional bank protection where the stream bends in front of one of the manholes. As this site may be visible from the Village's Rowley's Bridge Trail, application of stabilization measures here will be considerate of the natural and historic character of this area so as not to have a significant adverse impact.

Area 8 is near the New York Central & Hudson River Railroad Power Station, which is listed on the National Register of Historic Places. The sewer line improvements will be under the station platform and will have no effect on this historic building.

Areas 1, 2, 3, 3A, 4 and 8 have been identified on the New York State Historic Preservation Office (SHPO) archeological site inventory as being in an area designated as sensitive for archaeological resources. Since these areas have already been disturbed in connection with the original installation of the trunk sewer, are on slopes that have been subject to erosion, and the proposed work involves stabilizing such slopes, no further impact on archaeological resources are anticipated. In a letter dated July 28, 2023, the New York State Historic Preservation Office concluded that the no historic properties, including archaeological and/or historic resources, will be affected by this project.

Overall, the project will serve to protect the environment by preventing future breaks in this critical sewer infrastructure.

## **RESOLUTION**

**WHEREAS**, there is pending before this Honorable Board a Bond Act to authorize the County of Westchester to issue bonds in connection with Capital Project SY085 – Sewer System Rehabilitation – Yonkers Jt. Plant Districts (the “Project”); and

**WHEREAS**, this Honorable Board has determined that the proposed Project would constitute an action under Article 8 of the Environmental Conservation Law, known as the New York State Environmental Quality Review Act (“SEQR”); and

**WHEREAS**, pursuant to SEQR and its implementing regulations (6 NYCRR Part 617), the Project is classified as a “Type I action,” which requires this Honorable Board to make a determination as to whether the proposed action will have a significant impact on the environment; and

**WHEREAS**, the County of Westchester conducted coordinated review as required for Type I actions pursuant to Section 617.6(b)(3) of the implementing regulations and is assuming the role of Lead Agency for the environmental review of this project; and

**WHEREAS**, in accordance with SEQR and its implementing regulations, a Full Environmental Assessment Form (“EAF”) has been prepared to assist this Honorable Board in its environmental assessment of this proposed action; and

**WHEREAS**, this Honorable Board has carefully considered the proposed action and has reviewed the attached EAF and the criteria set forth in Section 617.7 of the implementing regulations and has identified the relevant areas of environmental concern, as described in the attached EAF, to determine if this proposed action will have a significant impact on the environment.

**NOW, THEREFORE**, be it resolved by the County Board of Legislators of the County of Westchester, State of New York, as follows:

**RESOLVED**, that based upon the Honorable Board's review of the EAF and for the reasons set forth therein, this Board finds that there will be no significant adverse impact on the environment from the Project and be it further

**RESOLVED**, that the Clerk of the Board of Legislators is authorized and directed to sign the "Determination of Significance" in the EAF, which is attached hereto and made a part hereof, as the "Responsible Officer in Lead Agency"; to issue this "Negative Declaration" on behalf of this Board in satisfaction of SEQR and its implementing regulations; and to immediately transmit same to the Commissioner of Planning to be filed, published and made available pursuant to the requirements of Part 617 of 6 NYCRR; and be it further

**RESOLVED**, that the Resolution shall take effect immediately.



ACT NO. \_\_\_\_\_ - 20\_\_

BOND ACT DATED \_\_\_\_\_, 20\_\_.

A BOND ACT AUTHORIZING THE ISSUANCE OF \$6,000,000 BONDS OF THE COUNTY OF WESTCHESTER, NEW YORK, TO PAY THE COST OF THE REHABILITATION OF VARIOUS COUNTY-OWNED SANITARY SEWER LINES, INCLUDING SOIL STABILIZATION AND INSTALLATION OF RIP-RAP AND GABIONS, FOR THE JOINT BENEFIT OF THE COUNTY'S SOUTH YONKERS, BRONX VALLEY, CENTRAL YONKERS, HUTCHINSON VALLEY, NORTH YONKERS, SAW MILL AND UPPER BRONX SANITARY SEWER DISTRICTS, IN AND FOR SAID COUNTY.

WHEREAS, the capital project hereinafter described has been duly approved in the adopted capital budget for the current fiscal year; and

WHEREAS, the plan for the financing of the estimated maximum cost of such capital project, as hereinafter set forth in this Bond Act, is in conformity with such capital budget; and

WHEREAS, all conditions precedent to the financing of the capital project hereinafter described, including compliance with the provisions of the State Environmental Quality Review Act, to the extent required, have been performed; and

WHEREAS, it is now desired to authorize the financing of the cost of such capital project;  
NOW, THEREFORE,

BE IT ENACTED, by the Board of Legislators of the County of Westchester, New York (the "County"), by the affirmative vote of not less than two thirds of the entire voting strength thereof, as follows:

Section 1. For the class of objects or purposes of paying the cost of the rehabilitation of various County-owned sanitary sewer lines, including soil stabilization and reburial of exposed sewer pipes incidental thereto, installation of rip-rap, gabions, additional soil and earthwork, and incidental expenses in connection therewith, in and for the County, there are hereby authorized to be issued \$6,000,000 bonds of said County pursuant to the provisions of the Local Finance Law. To the extent that the details of the aforesaid class of objects or purposes set forth in this Bond Act are inconsistent

with any details set forth in the current Capital Budget of the County, such Budget shall be deemed and is hereby amended to the extent inconsistent herewith.

Section 2. It is hereby determined that the maximum estimated cost of the aforesaid class of objects or purposes is \$6,000,000, and that the plan for the financing thereof is by the issuance of the \$6,000,000 bonds of said County authorized to be issued pursuant to this Bond Act.

Section 3. It is hereby determined that the period of probable usefulness of the aforesaid class of objects or purposes is thirty years pursuant to subdivision ninety-four of paragraph a of Section 11.00 of the Local Finance Law, as each purpose has a period of probable usefulness of at least thirty years under subdivisions four or twenty-two of paragraph a of Section 11.00 of the Local Finance Law.

Section 4. Subject to the provisions of the Local Finance Law, the power to authorize the issuance of and to sell bond anticipation notes in anticipation of the issuance and sale of the bonds herein authorized, including renewals of such notes, is hereby delegated to the Commissioner of Finance, the chief fiscal officer. Such notes shall be of such terms, form and contents, and shall be sold in such manner, as may be prescribed by said Commissioner of Finance, consistent with the provisions of the Local Finance Law.

Section 5. The faith and credit of the County are hereby irrevocably pledged for the payment of the principal of and interest on such obligations as the same respectively become due and payable. An annual appropriation shall be made in each year sufficient to pay the principal of and interest on such obligations becoming due and payable in such year. To the extent not paid from the assessment of properties assessable for this purpose in the County's South Yonkers, Bronx Valley, Central Yonkers, Hutchinson Valley, North Yonkers, Saw Mill and Upper Bronx Sanitary Sewer Districts, or other sources, there shall annually be levied on all the taxable real property of said County a tax sufficient to pay the principal of and interest on such obligations as the same become due and payable.

Section 6. Such bonds shall be in fully registered form and shall be signed in the name of the County by the manual or facsimile signature of the Commissioner of Finance and a facsimile of the corporate seal shall be imprinted or impressed thereon and may be attested by the manual or facsimile signature of the County Clerk.

Section 7. The powers and duties of advertising such bonds for sale, conducting the sale and awarding the bonds, are hereby delegated to the Commissioner of Finance, who shall advertise such bonds for sale, conduct the sale, and award the bonds in such manner as said Commissioner of Finance shall deem best for the interests of the County; including, but not limited to, the power to sell said bonds to the New York State Environmental Facilities Corporation; provided, however, that in the exercise of these delegated powers, the Commissioner of Finance shall comply fully with the provisions of the Local Finance Law and any order or rule of the State Comptroller applicable to the sale of municipal bonds. The receipt of the Commissioner of Finance shall be a full acquittance to the purchaser of such bonds, who shall not be obliged to see to the application of the purchase money.

Section 8. The Commissioner of Finance is hereby further delegated the power to authorize the sale and issuance of the bonds authorized pursuant to this Bond Act (a) at a discount in the manner authorized by paragraphs e and f of Section 57.00 of the Local Finance Law, (b) at private sale pursuant to the applicable provisions of the Local Finance Law and any regulations of the New York State Comptroller appertaining thereto, including the private sale of bonds at a premium, (c) as capital appreciation bonds or term bonds at public sale or private sale pursuant to the applicable provisions of the Local Finance Law and any regulations of the New York State Comptroller appertaining thereto, and (d) at a variable rate of interest in the manner authorized by Section 54.90 of the Local Finance Law, including notes issued in anticipation thereof. The Commissioner of Finance is hereby authorized to enter into such agreements as said Commissioner of Finance shall determine reasonable and necessary to facilitate the issuance, sale, resale and, or repurchase of such bonds or notes pursuant to the provisions of Section 54.90 of the Local Finance

Law. Such bonds and, or notes shall be of such terms, form and contents, and shall be sold in such manner, as may be prescribed by said Commissioner of Finance.

Section 9. The power to issue and sell notes to the New York State Environmental Facilities Corporation pursuant to Section 169.00 of the Local Finance Law is hereby delegated to the Commissioner of Finance. Such notes shall be of such terms, form and contents as may be prescribed by said Commissioner of Finance consistent with the provisions of the Local Finance Law.

Section 10. The Commissioner of Finance is hereby further authorized, at the sole discretion of the Commissioner of Finance, to execute a project finance agreement, and any other agreements with the New York State Department of Environmental Conservation and/or the New York State Environmental Facilities Corporation, including amendments thereto, and including any instruments (or amendments thereto) in the effectuation thereof, in order to effect the financing or refinancing of the class of objects or purposes described in Section 1 hereof, or a portion thereof, by a bond, and, or note issue of said County in the event of the sale of same to the New York State Environmental Facilities Corporation.

Section 11. The intent of this Bond Act is to give the Commissioner of Finance sufficient authority to execute those applications, agreements, instruments or to do any similar acts necessary to effect the issuance of the aforesaid bonds and, or notes without resorting to further action of this Board of Legislators.

Section 12. All other matters, except as provided herein relating to such bonds, including determining whether to issue such bonds having substantially level or declining annual debt service and all matters related thereto, prescribing whether manual or facsimile signatures shall appear on said bonds, prescribing the method for the recording of ownership of said bonds, appointing the fiscal agent or agents for said bonds, providing for the printing and delivery of said bonds (and if said bonds are to be executed in the name of the County by the facsimile signature of the Commissioner of Finance, providing for the manual countersignature of a fiscal agent or of a designated official of the County), the date, denominations, maturities and interest payment dates, place or places of payment,

and also including the consolidation with other issues, shall be determined by the Commissioner of Finance. It is hereby determined that it is to the financial advantage of the County not to impose and collect from registered owners of such bonds any charges for mailing, shipping and insuring bonds transferred or exchanged by the fiscal agent, and, accordingly, pursuant to paragraph c of Section 70.00 of the Local Finance Law, no such charges shall be so collected by the fiscal agent. Such bonds shall contain substantially the recital of validity clause provided for in Section 52.00 of the Local Finance Law and shall otherwise be in such form and contain such recitals in addition to those required by Section 52.00 of the Local Finance Law, as the Commissioner of Finance shall determine.

Section 13. The validity of such bonds and bond anticipation notes may be contested only if:

- (1) Such obligations are authorized for an object or purpose for which said County is not authorized to expend money, or
- (2) The provisions of law which should be complied with at the date of publication of this Bond Act are not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or
- (3) Such obligations are authorized in violation of the provisions of the Constitution.

Section 14. This Bond Act shall constitute a statement of official intent for purposes of Treasury Regulations Section 1.150 - 2. Other than as specified in this Bond Act, no moneys are, or are reasonably expected to be, reserved, allocated on a long-term basis, or otherwise set aside with respect to the permanent funding of the object or purpose described herein.

Section 15. This Bond Act, which shall take effect immediately in accordance with the provisions of Section 33.10 of the Local Finance Law and as provided in Section 107.71 of the Westchester County Charter, shall be published in summary form in the official newspaper of said



County for purposes of this Bond Act, together with a notice of the Clerk of the Board of Legislators in substantially the form provided in Section 81.00 of the Local Finance Law.

The foregoing Bond Act was duly put to a vote which resulted as follows:

AYES:

NOES:

ABSENT:

The Bond Act was thereupon declared duly adopted.

\* \* \*

APPROVED BY THE COUNTY EXECUTIVE

\_\_\_\_\_

Date: \_\_\_\_\_, 20\_\_

STATE OF NEW YORK                    )  
  ) ss.:  
COUNTY OF WESTCHESTER        )

I, the undersigned Clerk of the Board of Legislators of the County of Westchester, New York,  
DO HEREBY CERTIFY:

That I have compared the annexed extract of the minutes of the meeting of the Board of  
Legislators of said County, including the Bond Act contained therein, held on \_\_\_\_\_, with the  
original thereof on file in my office, and that the same is a true and correct transcript therefrom and  
of the whole of said original so far as the same relates to the subject matters therein referred to.

I FURTHER CERTIFY that all members of said Board had due notice of said meeting.

I FURTHER CERTIFY that said meeting was (i) open to the general public pursuant to  
Section 103 of the Public Officers Law or (ii) conducted in conformance with Section 103-a of the  
Public Officers Law.

I FURTHER CERTIFY that, PRIOR to the time of said meeting, I duly caused a public notice  
of the time and place of said meeting to be given to the following newspapers and/or other news  
media as follows:

Newspaper and/or Other News Media

Date Given

I FURTHER CERTIFY that PRIOR to the time of said meeting, I duly caused public notice of the time and place of said meeting to be conspicuously posted in the following designated public location(s) on the following dates:

Designated Location(s) of Posted Notices

Date of Posting

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said County Board of Legislators on \_\_\_\_\_.

\_\_\_\_\_  
Clerk of the County Board of Legislators  
of the County of Westchester, New York

(CORPORATE  
SEAL)

### LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on \_\_\_\_\_ and approved by the County Executive on \_\_\_\_\_ and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. \_\_\_\_\_-20\_\_

A BOND ACT AUTHORIZING THE ISSUANCE OF \$6,000,000 BONDS OF THE COUNTY OF WESTCHESTER, NEW YORK, TO PAY THE COST OF THE REHABILITATION OF VARIOUS COUNTY-OWNED SANITARY SEWER LINES, INCLUDING SOIL STABILIZATION AND INSTALLATION OF RIP-RAP AND GABIONS, FOR THE JOINT BENEFIT OF THE COUNTY'S SOUTH YONKERS, BRONX VALLEY, CENTRAL YONKERS, HUTCHINSON VALLEY, NORTH YONKERS, SAW MILL AND UPPER BRONX SANITARY SEWER DISTRICTS, IN AND FOR SAID COUNTY.

class of objects or purposes:

cost of the rehabilitation of various County-owned sanitary sewer lines, including soil stabilization and reburial of exposed sewer pipes incidental thereto, installation of rip-rap, gabions, additional soil and earthwork, and incidental expenses in connection therewith, for the joint benefit of the County's South Yonkers, Bronx Valley, Central Yonkers, Hutchinson Valley, North Yonkers, Saw Mill And Upper Bronx Sanitary Sewer Districts

period of probable usefulness: thirty years

amount of obligations to be issued: \$6,000,000

Dated: \_\_\_\_\_, 20\_\_  
White Plains, New York

\_\_\_\_\_  
Clerk of the County Board of Legislators of the County of  
Westchester, New York





## CAPITAL PROJECT FACT SHEET

<b>Project ID:*</b> SY085	<input type="checkbox"/> CBA	<b>Fact Sheet Date:*</b> 01-25-2024
<b>Fact Sheet Year:*</b> 2024	<b>Project Title:*</b> SEWER SYSTEM REHABILITATION - YONKERS JT. PLANT DISTRICTS	<b>Legislative District ID:</b> 2, 17, 16, 15, 14, 13, 12, 11, 10, 9, 8, 5, 3,
<b>Category*</b> SEWER AND WATER DISTRICTS	<b>Department:*</b> ENVIRONMENTAL FACILITIES	<b>CP Unique ID:</b> 2467

### Overall Project Description

This project will fund rehabilitation of county sanitary sewer lines and manholes as identified in the Sanitary Sewer Evaluation Survey.

- |   |  |  |
|---|--|--|
| <input checked="" type="checkbox"/> Best Management Practices | <input type="checkbox"/> Energy Efficiencies     | <input checked="" type="checkbox"/> Infrastructure |
| <input type="checkbox"/> Life Safety                          | <input type="checkbox"/> Project Labor Agreement | <input type="checkbox"/> Revenue                   |
| <input type="checkbox"/> Security                             | <input type="checkbox"/> Other                   |  |

### FIVE-YEAR CAPITAL PROGRAM (in thousands)

	Estimated Ultimate Total Cost	Appropriated	2024	2025	2026	2027	2028	Under Review
<b>Gross</b>	31,300	31,300	0	0	0	0	0	0
<b>Less Non-County Shares</b>	0	0	0	0	0	0	0	0
<b>Net</b>	31,300	31,300	0	0	0	0	0	0

**Expended/Obligated Amount (in thousands) as of :** 23,482

**Current Bond Description:** Construction funding to stabilize the soil and rebury multiple sections of the sewer pipe that have been exposed due to erosion and washout of the soils. Work will include the installation of rip-rap, gabions, additional soil, and earthwork.

### Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	6,000,000
Cash:	0
<b>Total:</b>	<b>\$ 6,000,000</b>

### SEQR Classification:

UNLISTED

### Amount Requested:

6,000,000

### Expected Design Work Provider:

- |                                       |  |   |
|---------------------------------------|--|---|
| <input type="checkbox"/> County Staff | <input checked="" type="checkbox"/> Consultant | <input type="checkbox"/> Not Applicable |
|---------------------------------------|--|---|

### Comments:

### Energy Efficiencies:

**Appropriation History:**

Year	Amount	Description
1995	4,100,000	COUNTY-OWNED SEWERS - NEPPERHAN AVE
1996	5,000,000	SAW MILL MANHOLD AND LINE REPAIR; BRIARCLIFF TRUNK SEWER REPAIRS; SAW MILL TRUNK AND WEST LAKE SEWER REPAIRS
2007	3,450,000	REPAIR OF TRUNK LINE AT GREYSTONE PROPERTY
2010	6,250,000	REHABILITATION OF THE NORTH YONKERS & HUTCHINSON VALLEY TRUNK LINES, CMOM PHASE I & II AND THE ROBERTS AVENUE REGULATOR;
2012	5,000,000	CONSTRUCTION OF EROSION STABILIZATION LOCATIONS, PHASE I & II OF CMOM DEFICIENCIES AND HUTCHINSON VALLEY TRUNK REPAIRS
2013	3,500,000	ADDITIONAL REPAIRS AND REHAB
2014	4,000,000	ADDITIONAL FUNDING FOR CONSTRUCTION

**Total Appropriation History:**

31,300,000

**Financing History:**

Year	Bond Act #	Amount	Issued	Description
03	9	2,000,000	2,000,000	SEWER REHAB - YONKERS JOINT
04	193	2,000,000	2,000,000	REHAB. SAW MILL SANITARY SEWER DISTRICT
07	124	-550,426	0	
07	124	3,450,000	1,653,344	REHAB. SEWER LINES IN NO. YONKERS SSD
10	78	1,250,000	1,250,000	DESIGN/CONSTR MGT. -EMERG. REPAIR PORTION OF NO. YONKERS TRUNK SEWER
10	79	-1,667,240	0	
10	79	2,550,000	0	DESIGN/CONSTR MGT. -PERM. SLOPE STABILIZ'N NO. YONKERS TRUNK SEWER
14	10	500,000	500,000	RECONSTRUCTION OF COUNTY-OWNED SANITARY SEWER LINES AND MANHOLES
16	67	6,800,000	5,864,682	SS EVAL SURVEY & CAPACITY ASSURANCE,MGT, OPERATION, REHAB WORK SAW MILL VALLEY
19	222	2,500,000	0	RELINING OF VARIOUS CITY-OWNED SEWER LINES - YONKERS JOINT TREATMENT PLANT
21	4	1,367,000	0	
94	29	0	0	NEPPERHAN AVE SEWER LINE(DESIGN)
94	85	350,000	350,000	SAW MILL SEWER TRUNK EXTENSION(YJTP)
94	84	150,000	150,000	NEPPERHAN SEWER LN - YONKERS(DESIGN)
95	189	3,300,000	3,300,000	YONKERS JOINT-DESIGN&CONSTRUCT/REHAB SEWER LINES

**Total Financing History:**

23,999,334

**Recommended By:**

**Department of Planning**  
SEDR

**Date**  
02-07-2024

**Department of Public Works**  
RJB4

**Date**  
02-08-2024

**Budget Department**  
DEV9

**Date**  
02-13-2024

**Requesting Department**  
CJGA

**Date**  
05-15-2024

## SEWER SYSTEM REHABILITATION - YONKERS JT. PLANT DISTRICTS ( SY085 )

**User Department :** Environmental Facilities

**Managing Department(s) :** Environmental Facilities ; Public Works ;

**Estimated Completion Date:** TBD

**Planning Board Recommendation:** Project approved in concept but subject to subsequent staff review.

### **FIVE YEAR CAPITAL PROGRAM (in thousands)**

	Est Ult Cost	Appropriated	Exp / Obl	2024	2025	2026	2027	2028	Under Review
<b>Gross</b>	<b>31,300</b>	<b>31,300</b>	<b>23,482</b>						
<b>Non County Share</b>			<b>(985)</b>						
<b>Total</b>	<b>31,300</b>	<b>31,300</b>	<b>22,497</b>						

### **Project Description**

This project will fund rehabilitation of county sanitary sewer lines and manholes as identified in the Sanitary Sewer Evaluation Survey.

### **Current Year Description**

There is no current year request.

### **Impact on Operating Budget**

The impact on the District Budget is the debt service associated with the issuance of bonds, as well as, the appropriation of Cash to Capital.

### **Appropriation History**

Year	Amount	Description	Status
1995	4,100,000	County-owned sewers - Nepperhan Ave	COMPLETE
1996	5,000,000	Saw Mill manhold and line repair; Briarcliff Trunk sewer repairs; Saw Mill Trunk and West Lake Sewer repairs	COMPLETE
2007	3,450,000	Repair of trunk line at Greystone Property	IN PROGRESS
2010	6,250,000	Rehabilitation of the North Yonkers & Hutchinson Valley Trunk lines, CMOM Phase I & II and the Roberts Avenue Regulator;	\$2,550,000 - DESIGN; \$1,250,000 - emergency repair - COMPLETE; \$500,000 - additional work at Greystone - COMPLETE; \$1,650,000 - CONSTRUCTION; \$300,000 AWAITING BOND AUTHORIZATION
2012	5,000,000	Construction of Erosion Stabilization Locations, Phase I & II of CMOM Deficiencies and Hutchinson Valley Trunk Repairs	Stabilization - DESIGN; Phase I and II CMOM, Hutchinson Valley Trunk repairs and Roberts Ave Regulator - CONSTRUCTION
2013	3,500,000	Additional repairs and rehab	\$1,800,000 - CONSTRUCTION; \$1,700,000 - AWAITING BOND AUTHORIZATION.
2014	4,000,000	Additional funding for construction	AWAITING BOND AUTHORIZATION
<b>Total</b>	<b>31,300,000</b>		

# SEWER SYSTEM REHABILITATION - YONKERS JT. PLANT DISTRICTS ( SY085 )

## Prior Appropriations

	Appropriated	Collected	Uncollected
Bond Proceeds	30,000,000	16,516,085	13,483,915
Funds Revenue	1,300,000	1,300,000	
Others		985,013	(985,013)
<b>Total</b>	<b>31,300,000</b>	<b>18,801,099</b>	<b>12,498,901</b>

## Bonds Authorized

Bond Act	Amount	Date Sold	Amount Sold	Balance
29 94				
85 94	350,000	11/15/97	350,000	
84 94	150,000	11/15/97	150,000	
189 95	3,300,000	03/02/00		
		03/08/01	3,300,000	
9 03	2,000,000	07/22/04	1,986,080	
		12/02/10	13,920	
		12/02/10	(13,920)	
		11/30/11	13,691	
		11/30/11	229	
193 04	2,000,000	04/25/07		
		11/15/07	2,000,000	
124 07	3,450,000	11/30/11	1,080,980	1,796,656
		11/30/11	19,020	
		10/24/12	279,356	
		10/24/12	9,644	
		10/24/12	2,578	
		12/10/13	223,381	
		12/10/13	37,619	
		12/10/13	766	
78 10	1,250,000	12/02/10	1,250,000	
		12/02/10	(1,250,000)	
		11/30/11	1,229,478	
		11/30/11	20,522	
79 10	2,550,000			2,550,000
10 14	500,000	11/19/15	461,780	
		11/19/15	37,220	
		11/19/15	1,000	
67 16	6,800,000	12/01/21	4,248,590	1,459,941
		12/01/22	984,600	
		12/01/22	106,868	
222 19	2,500,000			2,500,000
4 21	1,367,000			1,367,000
79 10	(1,667,240)			(1,667,240)



**SEWER SYSTEM REHABILITATION - YONKERS JT. PLANT DISTRICTS  
( SY085 )**

124 07	(550,426)		(550,426)
Total	23,999,334	16,543,403	7,455,931

October 7, 2024

TO: Hon. Vedat Gashi, Chair  
Hon. Jose Alvarado, Vice Chair  
Hon. Tyrae Woodson-Samuels, Majority Leader  
Hon. Margaret Cunzio, Minority Leader

FROM: George Latimer  
Westchester County Executive



RE: Message Requesting Immediate Consideration: **Local Law –  
Amendments to the Westchester County Plumbing Licensing Law.**

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This will confirm my request that the Board of Legislators allow submission of the referenced communication to be submitted to the Board of Legislators October 7, 2024 Agenda.

Transmitted herewith for your review and approval is a Local Law, as referenced above.

Therefore, since this communication is of the utmost importance, it is respectfully submitted that the County Board of Legislators accepts this submission for October 7, 2024 “blue sheet” calendar.

Thank you for your prompt attention to this matter.

George Latimer  
County Executive

October 3, 2024

Westchester County Board of Legislators  
800 Michaelian Office Building  
148 Martine Avenue, 8<sup>th</sup> Floor  
White Plains, New York 10601

Dear Honorable Members of the Board:

I respectfully request that your Honorable Board adopt the attached “Local Law amending Chapter 277, Article XV of the Laws of Westchester County relating to the Westchester County Plumbing Licensing Law.”

In 1993, Section 236 of the New York State County Law was enacted by the New York State Legislature, authorizing the County of Westchester to establish a Board of Plumbing Examiners that would be responsible for “the licensing, duties, and responsibilities of plumbers” within the County. Thereafter, in 1996, the Westchester County Board of Legislators enacted Article XV of the Laws of Westchester County, a County-Wide Plumbing Licensing Law, which also established the Board of Plumbing Examiners. The law provides the qualifications and procedures for obtaining a County-wide plumbing license, and grants the Board power to grant, suspend, or revoke plumbing licenses.

Since the passage and implementation of the law in 1996, it has remained relatively unchanged. The proposed amendments seek, among other things, to ensure the public health by improving the way the Board functions, providing applicants with additional ways to qualify for a plumber’s license, and ensuring that licensed plumbers are directly supervising projects for compliance with the New York State Plumbing Code.

For example, proposed Sec. 277.504 increases the number of board members by one member, and modifies the requirements for members, which would make the Board more diverse and ease the process of filling open positions; proposed Secs. 277.505 and 277.510-B provide for an Administrative Law Judge to preside over hearings (and the procedure for such hearings) to avoid the possibility of Board members having potential conflicts with parties based upon prior or existing relationships and to expedite the administrative hearing process; proposed Sec. 277.507-A allows an applicant to satisfy the proof of employment requirement with prior experience obtained through education and military service; while proposed Sec. 277.513(A) increases the penalty structure to deter unlicensed plumbing activity in the County of Westchester.

The proposed amendments reflect the experience gained during the last 26 years since the Board of Legislators enacted the Plumbing Licensing Law, as well as the evolution of the plumbing trade in Westchester County. The implementation of these amendments will modernize the Plumbing Licensing Law and better protect consumers.

Therefore, I respectfully request that your Honorable Board adopt the attached "A LOCAL LAW amending Chapter 277, Article XV of the Laws of Westchester County relating to the Westchester County plumbing licensing law."

Sincerely,

A handwritten signature in blue ink, appearing to read "George Latimer", is written over the printed name.

GEORGE LATIMER  
County Executive

GL/nn  
Enclosures

TO: BOARD OF LEGISLATORS  
COUNTY OF WESTCHESTER

Your Committee recommends the adoption of “A LOCAL LAW amending Chapter 277, Article XV of the Laws of Westchester County relating to the Westchester County plumbing licensing law.”

You Committee is advised that, in 1993, Section 236 of the New York State County Law was enacted by the New York State Legislature, authorizing the County of Westchester to establish a Board of Plumbing Examiners that would be responsible for “the licensing, duties, and responsibilities of plumbers” within the County. Thereafter, in 1996, the Westchester County Board of Legislators enacted Article XV of the Laws of Westchester County, a County-Wide Plumbing Licensing Law, which also established the Board of Plumbing Examiners. The law provides the qualifications and procedures for obtaining a County-wide plumbing license, and grants the Board power to grant, suspend, or revoke plumbing licenses.

Your Committee is advised that since the passage and implementation of the law in 1996, it has remained relatively unchanged. The proposed amendments seek, among other things, to ensure the public health by improving the way the Board functions, providing applicants with additional ways

to qualify for a plumber's license, and ensuring that licensed plumbers are directly supervising projects for compliance with the New York State Plumbing Code.

Your Committee notes that, for example, proposed Sec. 277.504 increases the number of board members by one member, and modifies the requirements for members, which would make the Board more diverse and ease the process of filling open positions; proposed Sec. 277.505 and 277.510-B provide for an Administrative Law Judge to preside over hearings (and the procedure for such hearings) to avoid the possibility of Board members having potential conflicts with parties based upon prior or existing relationships and to expedite the administrative hearing process; proposed Sec. 277.507-A allows an applicant to satisfy the proof of employment requirement with prior experience obtained through education and military service; while proposed Sec. 277.513(A) increases the penalty structure to deter unlicensed plumbing activity in the County of Westchester.

Your Committee is further advised that the proposed amendments reflect the experience gained during the last 26 years since the Board of Legislators enacted the Plumbing Licensing Law, as well as the evolution of the plumbing trade in Westchester County. The implementation of these amendments will modernize the Plumbing Licensing Law and better protect consumers.



Your Committee is informed that the proposed Local Law does not meet the definition of an action under New York State Environmental Quality Review Act ("SEQRA") and its implementing regulations 6 NYCRR Part 617. Please refer to the memorandum from the Department of Planning dated January 8, 2024, which is on file with the Clerk of the Board of Legislators. Your Committee concurs in this conclusion.

Your Committee, after careful consideration, recommends adoption of this Local Law.

Dated: \_\_\_\_\_, 2024  
White Plains, New York

COMMITTEE ON

jsw-10/03/2024

**RESOLUTION NO. \_\_\_\_ – 2024**

RESOLVED, that this Board hold a public hearing pursuant to Section 209.141(4) of the Laws of Westchester County on Local Law Intro. No. \_\_\_\_ - 2024, entitled “LOCAL LAW amending Chapter 277, Article XV of the Laws of Westchester County relating to the Westchester County plumbing licensing law.” The public hearing will be held at \_\_\_\_m. on the \_\_\_\_ day of \_\_\_\_\_, 2024 in the Chambers of the Board of Legislators, 8th Floor, Michaelian Office Building, White Plains, New York. The Clerk of the Board shall cause notice of the time and date of such hearing to be published at least once in one or more newspapers published in the County of Westchester and selected by the Clerk of the Board for that purpose in the manner and time required by law.

# FISCAL IMPACT STATEMENT

SUBJECT: Amend Plumb. Lic. Law CH 277-XV

☐ NO FISCAL IMPACT PROJECTED

## OPERATING BUDGET IMPACT

To Be Completed by Submitting Department and Reviewed by Budget

### SECTION A - FUND

☒ GENERAL FUND

☐ AIRPORT FUND

☐ SPECIAL DISTRICTS FUND

### SECTION B - EXPENSES AND REVENUES

Total Current Year Expense N/A

Total Current Year Revenue N/A

Source of Funds (check one): ☐ Current Appropriations ☐ Transfer of Existing Appropriations

☒ Additional Appropriations ☐ Other (explain)

Identify Accounts: REVENUE - 25-0200-0200-101-9542

EXPENSE - 25-0200-0200-101-4420

Potential Related Operating Budget Expenses: Annual Amount \$5,000

Describe: Administrative Law Judge to preside over Hearings

Potential Related Operating Budget Revenues: Annual Amount \$77,500

Describe: Plumbing increase to Licensing Fees for Master Plumbers and Journeymen

Anticipated Savings to County and/or Impact on Department Operations:

Current Year: N/A

Next Four Years: N/A

Prepared by: Vincent A. Cassels

Title: Senior Inspector

Department: Consumer Protection

Date: October 7, 2024

10/10/24  
Reviewed By:

[Signature]  
Budget Director

Date: 10/7/24

LOCAL LAW INTRO. NO. 2024

A LOCAL LAW amending Chapter 277, Article XV of the Laws of Westchester County relating to the Westchester County plumbing licensing law.

BE IT ENACTED by the County Board of the County of Westchester as follows:

**Section 1.** Section 277.502 of the Laws of Westchester County is hereby amended to read as follows:

For the purposes of this article, the following terms shall have the meanings indicated, unless as otherwise indicated by context. Nothing contained in any definition in this article shall be construed as defining, limiting or establishing the activities, operations or jurisdiction of any union, group or association of employees, nor establishing what work is to be done by any such union, association or group of employees.

1. *Apprentice plumber.* A person who is learning the plumbing trade under the direct supervision and control of a licensed master plumber or under the direct supervision and control of a ~~licensed~~ journey level plumber who is employed by a licensed master plumber.

2. *Board.* The County Board of Plumbing Examiners created by this article.

3. *Certificate of competency.* A certificate issued by the Board of Plumbing Examiners to those persons who have successfully completed the examination for master plumber.

4. *Direct supervision.* The license holder(s) is physically present at a work site and/or immediately available via telephone or other electronic communication means.

~~5.4.~~ *Journey level plumber.* A person who is employed by and under the direction of a licensed master plumber, who has completed an apprenticeship as is required herein and who has successfully completed an examination for certification as a journey level plumber.

6.5. Master plumber. Any person who engages in or carries on the business of plumbing, as defined herein.

7.6. Plumbers task force. Two (2) representatives of the Boards of Directors of each of the professional and labor associations representing the men and women of the plumbing and/or plumbing inspection trades in Westchester County who sit as a task force at the call of the County Executive when needed to recommend appointments to the County Plumbing Board of Examiners as provided for in this article.

8.7. Plumbing. The business of plumbing is such plumbing work as specifically defined for each municipality within the county in any local ordinances or codes governing plumbing work in effect in such municipality. In the absence of such local plumbing work ordinances and codes, the business of plumbing shall include those activities described in the definition of “plumbing” set forth in the New York State Plumbing Code and the activity of installing piping, valves or fittings on a premises or in a building that will be utilized to convey natural gas from the outlet of the point of delivery to the equipment. However, unless specifically provided for in local plumbing work ordinances or codes or in the New York State Uniform Fire Prevention and Building Code, underground utility construction shall not be construed as plumbing.

9.8. Underground utility construction. The practice of installing, maintaining and repairing underground piping for gas and fuel distribution, sanitary and storm drainage, public and private water supply and footing drains outside of any building, unless a local ordinance or code expressly provides for any such practice or practices to be plumbing work.

**Section 2.** Section 277.504 of the Laws of Westchester County is hereby amended to read as follows:

**Sec. 277.504. - Board of Plumbing Examiners.**

~~A. There shall be a County Board of Plumbing Examiners consisting of ten members, who shall be residents of the State of New York and who shall be appointed as follows:~~

- ~~1. Three master plumbers who possess Westchester master plumber licenses and ten years' experience as a plumbing contractor in the plumbing business in the County of Westchester and who are recommended to the County Executive by the Plumbers Task Force. Such candidates shall be appointed by the County Executive subject to confirmation by the County Board of Legislators.~~
- ~~2. Two certified journey level plumbers with at least ten years of experience at the journey level in the County of Westchester and who are recommended to the County Executive by the Plumbers Task Force. Such candidates shall be appointed by the County Executive subject to confirmation by the County Board of Legislators.~~
- ~~3. Two plumbing code enforcement officials with at least five years' experience as an active plumbing code enforcement official employed in Westchester County and each possessing a Westchester County master plumber's license or certificate of competency who shall be recommended to the County Executive by the Plumbers Task Force. Such candidates shall be appointed by the County Executive subject to confirmation by the County Board of Legislators.~~
- ~~4. One member from county government licensed by the State of New York as a professional engineer who shall be appointed by the County Executive subject to confirmation by the County Board of Legislators.~~
- ~~5. One member at large representing the general public who shall be recommended to the County Executive by the Chairman of the Board of Legislators. Such member shall be appointed by the county executive subject to confirmation by the County Board of Legislators.~~



~~6. One member who is employed by a local municipal government department of public works or its equivalent office. Such member shall be recommended to the County Executive by the Chairman of the County Board of Legislators. Such candidate shall be appointed by the County Executive subject to confirmation by the County Board of Legislators.~~

A. There shall be a County Board of Plumbing Examiners consisting of 11 members, who shall be residents of the State of New York and who shall be appointed by the County Executive subject to confirmation by the Westchester County Board of Legislators:

1. Three members, who each possess a Westchester master plumber license and ten years' experience as a plumbing contractor in the plumbing business in the County of Westchester, shall be chosen from among several recommended by the Plumbers Task Force.
2. Three members, who each possess journey level plumber's certifications with at least ten years' experience at the journey level in the County of Westchester, shall be chosen from among several recommended by the Plumbers Task Force.
3. One member, a plumbing code enforcement official with at least five years' experience as an active plumbing code enforcement official employed in Westchester County and possessing a Westchester County master plumber's license or certificate of competency, shall be chosen from among several recommended by the Plumbers Task Force.
4. One member, a county official or county employee licensed by the State of New York as a professional engineer.
5. Two members, chosen from qualified members of the general public who are not members of the engineering or plumbing profession, shall be chosen from several recommended by the Chair of the Westchester County Board of Legislators.

6. One member, employed by a local municipal government department of public works or its equivalent office, shall be chosen among several recommended by the Chair of the Westchester County Board of Legislators.
7. Of the members first appointed, two shall be appointed for terms of two years, and three shall be appointed for a term of three years, and five shall be appointed for a term of five years. Their successors shall be appointed for terms of five years from and after the expiration of the terms of their predecessors in office.

**Section 3.** Section 277.505 of the Laws of Westchester County is hereby amended as follows:

**Sec. 277.505. - Powers and duties of the board.**

The board shall have the following powers and duties in addition to those elsewhere prescribed in this ~~a~~Article.

...

- D. The board may suspend and revoke licenses for cause as prescribed in this ~~a~~Article. The board may issue and cause to be served subpoenas in the manner provided for in the Civil Practice Law and Rules requiring the attendance of witnesses and the production of books, insurance records and policies, payroll records and papers relative to any hearing held by it upon complaint relative to this [a]Article.
- E. The board may conduct hearings relating to any matter within its jurisdiction and shall refer all hearings in regards to violations of this chapter to an administrative law judge, who shall preside over the hearing and thereafter render a written report, containing findings and recommendations.

- ~~E. The board shall prepare and submit a report annually to the County Board of Legislators on or before the first day of July and keep all records of all Licenses issued, suspended or revoked by it and make such records available for public inspections, the same to be filed in the office of the Clerk of the Westchester County Board of Legislators.~~
- F. The board shall adopt rules and regulations with respect to proceedings before it and with respect to any subject matter over which it has jurisdiction under this ~~a~~Article, ~~and shall duly file the same with the Clerk of the Westchester County Board of Legislators.~~
- G. The board may make recommendations to the Westchester County Board of Legislators regarding plumbing regulations and codes pertaining thereto.
- H. The board may employ experts, clerks and a secretary and pay for their services and such other expenses as may be necessary and proper, not exceeding the appropriation that may be made therefor by the Westchester County Board of Legislators for such board.
- I. The board shall have the power to establish in its rules and regulations administrative fees for the submission of applications for licenses, certifications, renewals, and activities related to enforcement of this Article.
- J. The board shall appoint administrative law judges to preside at hearings conducted pursuant to this Article on a rotating basis from a list provided by the Law Department. Administrative law judges should be qualified by reason of experience and education including, but not limited to, experience and education as an attorney admitted to practice law in the State of New York.

**Section 4.** Section 277.506 of the Laws of Westchester County is hereby amended to read as follows:

**Sec. 277.506. – Issuance and renewal of licenses and certifications; classes of licenses; terms; fees; licenses and certifications for individuals licensed outside of Westchester County.**

A. Issuances and renewals. The County Board of Plumbing Examiners may issue and renew a master plumber's license or certification. These instruments shall authorize the individual to engage in the business of master plumber or journey level plumber within the County of Westchester for the period ending the 31st of December of the year in which it is issued or renewed. Application for renewal is to be made 60 days prior to the expiration date of the license or certification. All applications for renewal shall be accompanied by the required renewal fee. If application for renewal is not made as provided herein, the board may, nevertheless, renew the license or certification in accordance with the board's rules and regulations, provided, further, that the applicant has satisfied the board as to his or her qualifications in accordance with said rules and regulations, including the assessing of a late fee, as set forth in in the boards rules and regulations, for renewing a license and/or certification after the expiration date of the license or certification.

B. Classes, terms and fees. There shall be the following classifications of licenses and certifications with the following terms and fees:

1. Master plumber's license. After a candidate receives a certificate of competency as a master plumber, a license will be issued by the County Board of Plumbing Examiners to permit the performance of plumbing work with no restrictions in any city, town or village in the county. Such license is hereby required for all plumbing inspectors in cities within the county; however, such inspectors shall be exempt from annual renewal fees. ~~All applicants shall have successfully completed a four-year apprentice program with licensed master plumbers and have five years' experience at the journey level or have five years' apprenticeship in the employ of a licensed master plumber and have five years' additional experience as a journey level plumber in the employ of licensed master plumbers as the requirement for application.~~ All applicants shall have successfully completed 10 years of

experience in the business of Plumbing as defined by this Article as a master plumber or journey level plumber or an apprentice plumber in the employ and under the direct and continuing supervision of a licensed master plumber as the requirement for application.

The annual fee for a master plumber's license is ~~\$600.00~~\$500.00.

2. Journey level certification. This certification permits the journey level plumber to perform plumbing work under the direct supervision and in the employ of licensed master plumbers in any city, town or village in the county. The successful completion of ~~(a) five years of~~ experience in the business of Plumbing as defined by this Article in the employ of licensed master plumbers as an apprentice plumber or (b) the successful completion of a five-year plumbing apprentice program ~~is shall be~~ the requirement for application. The annual fee for a certification is ~~\$75.00.~~ \$50.00. ~~The effective date for the certification program is January 1, 1997.~~

**Section 5.** Section 277.507 of the Laws of Westchester County is hereby amended to read as follows:

**Sec. 277.507. Application for license or certificate.**

- ~~A. 1.~~ All applications for a county-wide master plumber's license or journey level certification shall be submitted on forms furnished by the board and shall be accompanied by the required fee, as herein provided. Each application shall set forth the name, residence address, business address, telephone numbers and trade name(s) of the applicant plus such additional information concerning his or her qualifications as the board may require. ~~Proof of employment may consist of, but is not limited to, income tax information, notarized statements of hours worked from employers or benefit funds, certified copies of licenses held as a master plumber, notarized statements demonstrating employment as a plumber,~~

~~plumbing-apprentice training diplomas and any other proof that can justify working at the plumbing-trade.~~ All applicants must document their experience by providing one of the following:

1. Detailed social security earnings report.
2. Federal W-2's showing the applicant's name, the employer's name and the reported income from each employer that the applicant is claiming experience from.
3. Federal Schedule C or K-1 forms showing proof of business ownership if the applicant is self-employed and cannot produce items 1 or 2.

~~B. 2.~~ The fee for each application under this ~~a~~Article shall be fifty dollars (\$50.00) for a master plumber's license and twenty-five dollars (\$25.00) for a journey level certification. The application fee is in addition to any other fee required to be paid under this ~~a~~Article. Fees imposed under this ~~a~~Article for such application shall not be refundable in the event of failure to pass the examination.

**Section 6.** Chapter 277, Article XV of the Laws of Westchester County is hereby amended to read as follows:

**Sec. 277.507-A. - Credit for Education and Military Service for Master Plumber's License.**

An applicant shall be credited up to three (3) years toward the total years of experience required by this Article in Sec. 277.506(B) and Sec. 207.507(A) for a master plumber's license by submitting satisfactory proof establishing that the:

1. Applicant is New York State licensed professional (Registered Architect or Professional Engineer) or equivalent, who has at least seven (7) years of experience within the ten (10) years prior to application in the business of plumbing as defined by this Article in the employ and under the direct and continuing supervision of a licensed Master Plumber in the United States.



Proof of educational and professional licensure shall consist of official transcripts from the educational institution and letter(s) of good standing from the licensing agency;

2. Applicant has received a bachelor's degree in mechanical engineering or appropriate plumbing related engineering technology from an accredited college or university registered by the New York State Department of Education or equivalent and has had at least eight (8) years of total experience within the ten (10) years prior to application in the business of plumbing as defined by this Article in the employ and under the direct and continuing supervision of a licensed Master Plumber in the United States. Proof of educational and work experience shall consist of official transcripts from the educational institution and/or the documentation required by Sec. 277.507(A)(1) of this Article; and/or
3. Applicant has obtained experience in the business of plumbing as defined by this Article or in an area related to plumbing while serving in any branch of the United States Military shall be credited with practical experience equal to 25 percent of the number of military years they have satisfactorily completed, which, in no event, however, shall exceed three (3) years of credit. Proof of experience shall consist of documentation issued by the United States Department of Defense, such as a DD Form 214 or equivalent, detailing an applicant's military job specialty, training and/or qualifications.

**Section 7.** Section 277.508 of the Laws of Westchester County is hereby amended to read as follows:

**Sec. 277.508. - Examination; exceptions.**

- A. The examination for a county-wide master plumber's license ~~or journey level certification~~ shall consist of a written and a practical test; the examination for a journey level certification shall consist of a written test only. A complete record of every examination shall be kept on file

until three years after the day of the examination. Examinations shall be held at such times and places as the board may fix or otherwise arrange. The board may designate or contract with an outside organization to create and administer the examination.

- B. ~~(1) An applicant who fails an examination shall be eligible for re-examination at the next scheduled examination date.~~ An applicant who has failed his or her first examination shall not be eligible for re-examination until at least one month from the date of such failure. An applicant who fails two times or more shall not be eligible for further re-examination until at least six months have elapsed from the date of such second or subsequent failure.
- ~~(2) An applicant who fails three examinations shall be required to submit a new application with a new license fee.~~
- C. Applicants must submit a completed license application within one year of receiving a passing grade on the examination. Any applicant who does not apply within one year shall be required to re-take the examination.
- D. Applicants shall pay all costs associated with taking the practical test.

**Section 8.** Section 277.509 of the Laws of Westchester County is hereby amended to read as follows:

**Sec. 277.509. - Unlawful use of license or certification; display.**

- A. No holder of a license or certification issued under this [a]Article shall authorize, consent to or permit the use of his or her license or certification by or on behalf of any other person. No person who has not qualified or obtained a license or certification under this ~~a~~Article shall represent himself or herself to the public as holder of a license or certification issued under this ~~a~~Article. No person shall offer, solicit, or bid plumbing work, either directly, by means of signs, sign cards, metal plates or, stationery, or use of the word plumbing in their company

~~name unless they are licensed pursuant to this Article indirectly in any other manner whatsoever.~~ However, nothing herein shall be construed to prohibit the use of a license by the holder thereof for or on behalf of a partnership, corporation or other business association, provided that 51 percent or more of the control of the voting capital stock of such partnership, corporation or other business association is owned by one or more holders of a Westchester County master plumbing license and that all work performed by such partnership, corporation or other business association is performed by or under the direct supervision of such license holder or holders.

- B. Whenever a licensed master plumber representing a plumbing business which operates under a trade, partnership or corporate name severs his or her relationship with such business, it shall be the duty of both the licensee and the business to notify the board of such action within 30 days from the date thereof. Failure to do so shall be deemed sufficient cause for denying a new license to the licensee and prohibiting the firm to continue in the plumbing business.
- C. All licenses and certificates shall be numbered in the order in which they are issued and shall be in such form and of such color and shall contain such information as may be prescribed by the board.
- D. Each license shall at all times be kept conspicuously displayed in the place of business of the licensee, and each identification card for master plumber or certified journey level plumber shall be available for inspection at all times.
- E. ~~Each licensee shall affix to the passenger side front ventilator window of all vehicles owned, leased or used for the performance of the trade or, if the vehicle is not equipped with a ventilator, the right front corner of the right window of each vehicle used in his or her business a decal furnished by the board indicating the Westchester County license number. All such~~

~~vans or trucks shall also prominently display the name of the licensee and the business organization.~~For vehicles used in connection with all licensed activities under this Article:

1. Licensee shall conspicuously affix a decal furnished by the board to each vehicle used for his or her business in connection with licensed activities during the effective term of the license.
2. Decals shall be available for a fee of \$5.00 each. All such vehicles shall also conspicuously display the company name, address, and applicable license number(s) that are registered with the County of Westchester.
3. Vehicle lettering shall be no smaller than two (2) inches tall or 144-point type in bold print. Vehicle lettering shall be in letters and numerals readily legible from a distance of fifty feet during daylight hours and while the motor vehicle is stationary.
4. Signage shall be required on both side panels of all vehicles.
5. All vehicles must comply with applicable New York State and Federal vehicle and traffic laws.
6. Magnetic signage shall be permitted.

F. Each licensee shall display his or her Westchester County license number on all advertisements, stationery, invoices and proposals.

G. No individual holding a master plumber's license or journey level certification issued under this ~~a~~Article shall lend such license or certificate to any person or allow any other person to carry on, engage in or labor at the business, as defined herein, of installing, removing, altering, testing, replacing or repairing plumbing systems on the basis of a certificate of competency issued in another's name. A violation of this subsection by any person holding a license or certification shall be sufficient cause for revocation of such license or certification by the board.

- H. No person shall work at the business of plumbing as an apprentice without being under the direct supervision of a certified journey level plumber or licensed master plumber.

**Section 9.** Section 277.510 of the Laws of Westchester County is hereby amended to read as follows:

**Sec. 277.510. - Suspension or revocation of license or certificate.**

- A. Any license or certification issued hereunder may be suspended or revoked at the discretion of the board, in accordance with the rules and regulations of the board, if the board is satisfied by substantial evidence that the holder of such license or certificate has violated any provision of this ~~a~~Article, the rules and regulations of the board or any other law or ordinance pertaining to plumbing. Prior to the revocation or suspension of a license or certificate, the holder shall receive, in writing, all the particulars of the alleged violation and shall have an opportunity to present his or her defense at an administrative hearing held by ~~the board~~ an Administrative Law Judge.
- B. The suspension or revocation of a license shall apply to all county plumbing licenses held by that individual.

Section 10. Article XV of the Laws of Westchester County is hereby amended to include new section 277.510-B as follows:

**Section 277.510-B. - Hearings**

- A. If upon an initial determination by the board that, pursuant to the standards set forth in this Article, the board is entitled to suspend or revoke a license or certification, deny a license or certification renewal, or to take any other appropriate action against the privileges of a holder of a license or certification, the board shall cause to be held a hearing before an administrative law judge selected by the board.

B. The board shall issue and serve a written notice of hearing by personal service or by certified mail return receipt requested to the holder of a license or certification at the last known business address of such holder of a license or certificate.

1. The hearing shall be held as soon as possible, but in no case later than 60 days after service of the notice of hearing upon the holder of a license or certificate. Notwithstanding the above, a hearing required pursuant to the board's initial determination that there is reasonable cause to suspend or revoke a license or certification shall be held not later than 15 days after service of the notice of hearing.

2. The notice of hearing shall include the following:

(i) The time, place, and nature of the hearing;

(ii) A statement of the legal authority and jurisdiction under which the hearing is to be held, including a reference to particular sections of state law, the laws of Westchester County, and/or rules where possible;

(iii) A statement outlining the matters asserted, including alleged facts supporting the initial determination;

(iv) A notice that, upon application of the respondent, a more detailed and definite statement of the matters being asserted will be provided. The board shall make the determination as to whether the initial statement of the matters asserted is not sufficiently definite. Such determination by the board shall not be subject to judicial review;

(v) The respondent's right to present evidence;

(vi) The respondent's right to examine and cross-examine witnesses;

(vii) The respondent's right to be represented by counsel;



- (viii) A statement that the respondent's failure to appear shall constitute a default by the respondent and that the hearing may proceed in the respondent's absence and a determination made based solely upon evidence submitted by the board;
- (ix) A statement that interpreter services will be made available to non-English speaking or hearing-impaired persons at no cost;
- (x) A statement that a respondent may waive his right to a hearing and accept the initial determination of the board without objection, by signing where indicated on and returning the notice of hearing to the board together with any fine which was assessed by the board in conjunction with such determination. Upon the receipt of such a properly completed acknowledgement by the board, the board shall file same with the administrative law judge along with a notice of cancellation of hearing; and
- (xi) The name and address of the administrative law judge for the filings of any notices or papers pursuant to such proceedings.

#### C. Adjournments:

1. The administrative law judge may grant an adjournment upon the request of any party to the proceeding, provided that an adjournment shall not be for an indefinite period of time, but shall be set down for a date certain. In the case of a hearing initiated due to an initial determination by the board to suspend or revoke a license or certificate, only the holder of a license or certificate shall be entitled to request an adjournment, and such hearing shall not be adjourned for more than seven days, unless both parties agree.
2. If an adjournment is to be requested in advance of the hearing date, such request shall be presented to the administrative law judge in writing at least three business days in advance of such hearing, and shall specify the reason for such request.

3. In considering an application for adjournment of a hearing, the administrative law judge shall consider whether the purpose of the hearing will be affected by the granting of such adjournment.

#### D. Subpoenas

1. To aid in the administration of this law, the administrative law judge designated by the board in a particular proceeding, may issue subpoenas in the board's name requiring the attendance of and the giving of testimony by witnesses, and the production of books, papers and other evidence for any hearing or proceeding conducted pursuant to this section. Service of such subpoena(s), enforcement of obedience thereto, and punishment for disobedience thereto, shall be had as and in the manner provided by the New York State Civil Practice Law and Rules relating to the enforcement of any subpoena issued by a board or administrative law judge.
2. It shall be the responsibility of the party requesting the issuance of a subpoena to effect service thereof.

E. The administrative law judge may add a party to the proceeding upon due and adequate notice to both the party to be added and the parties named in the proceedings.

F. On the return date of a hearing, the administrative law judge shall note the appearances of the persons attending the hearing. All hearings shall be open to the public.

G. Witnesses shall be sworn and testimony shall be taken and recorded by use of either a court reporter or an electronic recording device. Testimony shall be transcribed upon the request of any interested party. The party requesting the transcript shall pay the costs and expenses in connection therewith.

H. The administrative law judge shall not be bound by the strict rules of evidence in the conduct of a hearing initiated pursuant to this chapter, but their findings of fact and conclusions of law

shall be founded upon substantial evidence presented at the hearing. The hearing officer shall admit and consider any evidence of mitigation offered by the holder of a license or certification.

I. After the conclusion of a formal hearing, the administrative law judge shall prepare and issue a report containing a summary of the evidence, findings of fact, conclusions of law and recommendation(s) to the board.

J. The recommendations of the administrative law judge may include, but shall not be limited to, a recommendation as to whether the board's initial determination should be rescinded, affirmed, or modified and/or whether a suspended or revoked license or certification should be reinstated unconditionally or upon the condition that the threat to the health or safety or welfare of the public is remedied by the holder of a license or certification in a specified manner according to a specified timetable.

K. Upon the conclusion of a formal hearing and after consideration of the administrative law judge's report and recommendations, and any evidence of mitigation, the board shall make a final determination based on substantial evidence, and shall execute an administrative order implementing such decision.

L. If the board determines that the hearing record is not sufficient to make a final determination, the board may direct a rehearing or require the taking of additional evidence.

M. The board shall cause to be served upon the respondent, copies of the administrative law judge's report and the board's final determination and order. Service shall be made in the manner prescribed for the service of notices of hearing.

N. Index of Decisions

1. The board shall maintain an index, which shall be accessible by computer or otherwise, which lists by name and subject all final written decisions, determinations and orders

rendered through such hearing proceedings. Such index shall also include by name and subject all written decisions, determinations and orders rendered pursuant to a statute providing any party an opportunity to be heard other than rule making. Such index and the text of any such written final decision, determination or order shall be available for public inspection and copying. Copying services shall be provided at a reasonable fee to be determined by the commission. Each decision, determination and order shall be indexed within 60 days after having been rendered.

2. The board may, upon good cause and the request of a party to a hearing, delete from any such index, decision, determination or order any information that, if disclosed, would constitute an unwarranted invasion of personal privacy under the provisions of subdivision two of section eight-nine of the Public Officers Law and may also delete at the request of any person all references to trade secrets that, if disclosed, would cause substantial injury to the competitive position of such person. Information which would reveal confidential material protected by federal or state statute shall also be deleted from such index, decision, determination or order.

O. Where any violation of this chapter by a holder of a license or certification has caused the board or the County to incur any expenses with respect to enforcement, such expenses may be charged to the holder of such license or certification. In the discretion of the board, such expenses may be separately collected in a civil suit against such licensee or certification, brought by the County Attorney in the name of the County in a court of competent jurisdiction, in the event that the licensee or registrant refuses to pay such charges voluntarily.

**Section 11.** Section 277.513 of the Laws of Westchester County is hereby amended to read as follows:

**Sec. 277.513. - Penalties for offenses.**

- ~~1. A.~~ Any person who violates any provision of this ~~a~~Article shall be subject to the imposition of a civil penalty of not less than \$500 and not more than \$5,000 as determined by the board following notice and opportunity to be heard. Each day on which such violation occurs shall constitute a separate offense. Any person who is found in violation of 277.509 sections (A) or (G) for a second or subsequent violation shall be subject to the imposition of a civil penalty of up to \$10,000 for each day on which a violation occurs. Nothing herein contained shall be construed to exempt an offender from any other prosecution or penalty imposed by law. The penalty imposed hereunder and the reasonable costs, disbursements and expenses attendant to its collection shall be recoverable from the offender in the same civil action brought by the County Attorney in the name of the County of Westchester.
- ~~2. B.~~ Any person who, with intent to defraud or deceive, knowingly makes a false statement in the application for a license or the renewal of a license or an application for a plumbing permit or for a certificate of occupancy or in any application provided for in this ~~a~~Article or in any proof or instrument in writing in connection therewith or unlawfully performs plumbing work shall be assessed a civil penalty not to exceed \$5,000.00 as determined by the board following notice and opportunity to be heard. Each day on which such violation occurs shall constitute a separate offense.
- ~~3. C.~~ The board in its discretion may refer any matters that rise to the level of a criminal offense to the office of the District Attorney for further review and appropriate action.

**Section 12.** Section 277.514 of the Laws of Westchester County is hereby amended to read as follows:

**Sec. 277.514. - Insurance ~~and bond~~ required.**

All persons licensed by this ~~a~~Article shall be required to secure insurance to protect their employees and to protect all persons from personal injury or property damage that could occur, directly or indirectly, during work performed under a license issued pursuant to this ~~a~~Article. The board shall require that such insurance must include New York State workers' compensation, disability, liability and property damage coverages ~~as well as a bond~~. The minimum amount of the ~~bond~~ ~~or~~ insurance policies shall be set by the board conditioned upon observance of all applicable laws, rules and regulations. ~~Each bond and policy shall contain a provision of continuing liability.~~ In addition, prior to issuance of any license or renewal, the applicant shall file satisfactory evidence of compliance with insurance ~~and bond~~ requirements.

**Section 13.** Chapter 277, Article XV of the Laws of Westchester County is hereby amended to read as follows:

**Sec. 277.516 - Criminal penalties.**

Any person who shall maintain, conduct, operate or engage in a plumbing business within the County of Westchester without a license as required under this Article or who continues to conduct a plumbing business after such license has been denied, suspended, revoked or has expired shall be guilty of a class A misdemeanor.

**Section 14.** Until such time as the practical exam contemplated by this Local Law is established, there shall be no requirement that an individual pass a practical exam as a condition of licensure under this Local Law. Upon establishment of the practical exam, the requirement to pass such an exam shall apply to applications received after the date of establishment.

**Section 15.** Effective Date. This Local Law shall take effect thirty (30) days after enactment.



To: Sunday Vanderberg  
Clerk and Chief Administrative Officer  
Westchester County Board of Legislators

From: Rachel A. Noe RAN  
Associate County Attorney

Re: **Declaration of Emergency Situation**  
**Westchester County Center**  
**198 Central Avenue, White Plains, New York**

Date: October 3, 2021

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Pursuant to section 167.171(3) of the Laws of Westchester County, enclosed for filing please find a written declaration of emergency concerning the replacement of one of the boilers at the Westchester County Center.

RAN/ran



Memorandum

Department of Parks, Recreation  
& Conservation

**TO:** Honorable Members of the Board of Acquisition and Contract  
**FROM:** Kathleen M O'Connor.  
Commissioner of Parks, Recreation & Conservation   
**DATE:** October 1, 2024  
**SUBJECT:** Declaration of Emergency Situation  
Westchester County Center  
198 Central Avenue, White Plains, New York

The Westchester County Center currently has three Aerco (3) boilers for heat and hot water. The facility can get by using only 1 or 2 of the boilers during the Spring, Summer and Fall. In the winter however, all 3 boilers must be operational in order to heat the building and provide hot water.

On September 13, 2024, pursuant to an inspection conducted by Johnson Controls, Inc. as required by the County's ongoing maintenance contract number DPW22203, an inspection on one of the three boilers at the Westchester County Center revealed that a leak exists in the heat exchanger of the inspected boiler. The damaged heat exchanger requires replacement prior to the winter months, but due to a parts backlog we have been advised that obtaining the necessary replacement parts will take roughly 14 weeks. A delay until mid-January would mean that the County would not be able to properly heat the building or provide hot water. Another option is to replace the entire boiler which we are advised by the County's contractor is the best solution as this equipment does not have the same backlog and is readily available. Replacing the entire boiler means that this repair will be completed well before the cold weather arrives avoiding any service disruption at the County Center facility.

In order to avoid disruption or cancellation of events and maintain normal operations of the Westchester County Center, the Department of Parks, Recreation & Conservation ("PRC") has declared an emergency situation. As an emergency, this situation cannot await competitive bidding. PRC has selected Johnson Controls, Inc. ("JCI") to perform Emergency HVAC Service, County Center, White Plains, New York. The emergency work includes the replacement of one (1) Aerco BMK 2000 Benchmark boiler, including all labor and materials to remove the current boiler and install the replacement boiler. JCI will remove and reinstall duct work for a rigger to move boiler and will disconnect and reconnect all gas and water piping along with breeching and provide startup by a factory service representative. A separate resolution will be submitted to your Honorable Board seeking authority to enter into the agreement with JCI.

RESOLUTION - 2024

TO THE COUNTY BOARD OF LEGISLATORS  
OF THE COUNTY OF WESTCHESTER, NEW YORK

**WHEREAS**, the County Executive having on the 28<sup>th</sup> day of May, 2024 recommended the appointment of Harmony Hopwood of New Rochelle, New York as a member of the Westchester County Youth Board for a term to commence on May 28, 2024 and expire on December 31, 2026, in accordance with the terms and provisions of the Westchester County Charter, as amended, and subject to the confirmation of this Board; and

**WHEREAS**, the Committee on Appointments, having on the 30<sup>th</sup> day of September, 2024 approved the appointment of Harmony Hopwood to the Westchester County Youth Board; NOW THEREFORE BE IT

**RESOLVED**, that said appointment be and is hereby approved.

Dated: September 30, 2024  
White Plains, New York

COMMITTEE ON APPOINTMENTS

Dated: September 30, 2024  
White Plains, New York

***The following members attended the meeting remotely and approved this item out of Committee with an affirmative vote. Their electronic signature was authorized and is below***

Committee(s) on:

**APPOINTMENTS**

A handwritten signature in black ink, appearing to read "M. Lilli", is written over the word "APPOINTMENTS".

RESOLUTION - 2024

TO THE COUNTY BOARD OF LEGISLATORS  
OF THE COUNTY OF WESTCHESTER, NEW YORK

**WHEREAS**, the County Executive having on the 25<sup>th</sup> day of July, 2024 recommended the appointment of Louis J. Vetrone of Scarsdale, New York, as a member of the Westchester County Board of Ethics, for a term to commence on July 25, 2024 and expire on December 31, 2028, in accordance with the terms and provisions of the Westchester County Charter, as amended, and subject to the confirmation of this Board; and

**WHEREAS**, the Committee on Appointments, having on the 30<sup>th</sup> day of September, 2024 approved the appointment of Louis J. Vetrone, to the Westchester County Board of Ethics, NOW THEREFORE BE IT

**RESOLVED**, that said appointment be and is hereby approved.

Dated: September 30, 2024  
White Plains, New York



COMMITTEE ON APPOINTMENTS

Dated: September 30, 2024  
White Plains, New York

***The following members attended the meeting remotely and approved this item out of Committee with an affirmative vote. Their electronic signature was authorized and is below***

Committee(s) on:

**APPOINTMENTS**

A handwritten signature in black ink, appearing to read "M. Lilli", is written over the word "APPOINTMENTS".



RESOLUTION - 2024

TO THE COUNTY BOARD OF LEGISLATORS  
OF THE COUNTY OF WESTCHESTER, NEW YORK

**WHEREAS**, the County Executive having on the 2<sup>nd</sup> day of April 2024 recommended the appointment of Lisa Hofflick, of Mount Vernon, New York, as a member of the Westchester County Human Rights Commission for a term to commence on April 2, 2024, and expire on December 31, 2026, in accordance with the terms and provisions of the Westchester County Charter, as amended, and subject to the confirmation of this Board; and

**WHEREAS**, the Committee on Appointments, having on the 30<sup>th</sup> day of September, 2024, approved the appointment of Lisa Hofflick to the Westchester County Human Rights Commission; NOW THEREFORE BE IT

**RESOLVED**, that said appointment be and is hereby approved.

Dated: September 30, 2024  
White Plains, New York

Three handwritten signatures in blue ink are stacked vertically. The top signature is clearly legible as 'Colleen Parker'. The middle and bottom signatures are more stylized and difficult to decipher.

COMMITTEE ON APPOINTMENTS

Dated: September 30, 2024  
White Plains, New York

***The following members attended the meeting remotely and approved this item out of Committee with an affirmative vote. Their electronic signature was authorized and is below***

Committee(s) on:

**APPOINTMENTS**

A handwritten signature in black ink, appearing to read "M. Lilli", written over the word "APPOINTMENTS".

RESOLUTION - 2024

TO THE COUNTY BOARD OF LEGISLATORS  
OF THE COUNTY OF WESTCHESTER, NEW YORK

**WHEREAS**, the County Executive having on the 15<sup>th</sup> day of July, 2024, recommended the reappointment of John Woolley, Jr., P.E. of Pleasantville, New York as a member of the Westchester County Airport Advisory Board for the term to begin on July 15, 2024 and expire on December 31, 2026, in accordance with the terms and provisions of the Westchester County Charter, as amended, and subject to the confirmation of this Board; and

**WHEREAS**, the Committee on Appointments, having on the 30<sup>th</sup> day of September, 2024 approved the reappointment of John Woolley, Jr., P.E. to the Westchester County Airport Advisory Board; NOW THEREFORE BE IT

**RESOLVED**, that said reappointment be and is hereby approved.

Dated: September 30, 2024  
White Plains, New York

Three handwritten signatures in blue ink. The top signature is 'Calvin Parker'. Below it are two more signatures, one on the left and one on the right, both appearing to be initials or first names.

COMMITTEE ON APPOINTMENTS

Dated: September 30, 2024  
White Plains, New York

***The following members attended the meeting remotely and approved this item out of Committee with an affirmative vote. Their electronic signature was authorized and is below***

Committee(s) on:

**APPOINTMENTS**

A handwritten signature in black ink, appearing to read "M. Lilli", is written over the word "APPOINTMENTS".

RESOLUTION - 2024

TO THE COUNTY BOARD OF LEGISLATORS  
OF THE COUNTY OF WESTCHESTER, NEW YORK

**WHEREAS**, the County Executive having on the 23<sup>rd</sup> day of July, 2024, recommended the appointment of Juliana Alzate of Port Chester, New York, as a member of the Westchester County Hispanic Advisory Board, for a term to commence on July 23, 2024 and expire on December 31, 2025, in accordance with the terms and provisions of the Westchester County Charter, as amended, and subject to the confirmation of this Board; and

**WHEREAS**, the Committee on Appointments, having on the 30<sup>th</sup> day of September, 2024 approved the appointment of Juliana Alzate to the Westchester County Hispanic Advisory Board; NOW THEREFORE BE IT

**RESOLVED**, that said appointment be and is hereby approved.

Dated: September 30, 2024  
White Plains, New York

Three handwritten signatures in blue ink are present. The top signature is 'Cathy Parker'. Below it are two more signatures, one of which appears to be 'Julia Alzate'.

COMMITTEE ON APPOINTMENTS

Dated: September 30, 2024  
White Plains, New York

***The following members attended the meeting remotely and approved this item out of Committee with an affirmative vote. Their electronic signature was authorized and is below***

Committee(s) on:

**APPOINTMENTS**

A handwritten signature in black ink, appearing to read "M. Lilli", is written over the word "APPOINTMENTS".



RESOLUTION - 2024

TO THE COUNTY BOARD OF LEGISLATORS  
OF THE COUNTY OF WESTCHESTER, NEW YORK

**WHEREAS**, the County Executive having on the 8<sup>th</sup> day of August, 2024, recommended the appointment of Joyce Cole of Ossining, New York, as a member of the Westchester County African American Advisory Board, for a term to commence on August 8, 2024, and expire on December 31, 2024, in accordance with the terms and provisions of the Westchester County Charter, as amended, and subject to the confirmation of this Board; and

**WHEREAS**, the Committee on Appointments, having on the 30<sup>th</sup> day of September, 2024, approved the appointment of Joyce Cole to the Westchester County African American Advisory Board; NOW THEREFORE BE IT

**RESOLVED**, that said appointment be and is hereby approved.

Dated: September 30, 2024  
White Plains, New York



COMMITTEE ON APPOINTMENTS

Dated: September 30, 2024  
White Plains, New York

***The following members attended the meeting remotely and approved this item out of Committee with an affirmative vote. Their electronic signature was authorized and is below***

Committee(s) on:

**APPOINTMENTS**

A handwritten signature in black ink, appearing to read "M. Lilli", is written over the word "APPOINTMENTS".

RESOLUTION - 2024

TO THE COUNTY BOARD OF LEGISLATORS  
OF THE COUNTY OF WESTCHESTER, NEW YORK

**WHEREAS**, the County Executive having on the 8<sup>th</sup> day of August, 2024 recommended the appointment of James Husselbee of Sleepy Hollow, New York, as a member of the Westchester County Tax Commission, for a term to for a term to commence on August 8, 2024 and to expire on December 31, 2028, in accordance with the terms and provisions of the Westchester County Charter, as amended, and subject to the confirmation of this Board; and

**WHEREAS**, the Committee on Appointments, having on the 30<sup>th</sup> day of September, 2024 approved the appointment of James Husselbee, as a member of the Westchester County Tax Commission, NOW THEREFORE BE IT

**RESOLVED**, that said appointment be and is hereby approved.

Dated: September 30, 2024  
White Plains, New York

Three handwritten signatures in blue ink. The top signature is 'Cathleen Rade'. Below it are two more signatures, one of which appears to be 'James Husselbee'.

COMMITTEE ON APPOINTMENTS

Dated: September 30, 2024  
White Plains, New York

***The following members attended the meeting remotely and approved this item out of Committee with an affirmative vote. Their electronic signature was authorized and is below***

Committee(s) on:

**APPOINTMENTS**

A handwritten signature in black ink, appearing to read "M. Lilli", is written over the word "APPOINTMENTS".

RESOLUTION - 2024

TO THE COUNTY BOARD OF LEGISLATORS  
OF THE COUNTY OF WESTCHESTER, NEW YORK

**WHEREAS**, the County Executive having on the 15<sup>th</sup> day of July, 2024 recommended the appointment of Eric Williamson of Pleasantville, New York, as an at-large member of the Westchester County Housing Opportunity Commission for a term to commence on July 15, 2024 and to expire December 31, 2026, in accordance with the terms and provisions of the Westchester County Charter, as amended, and subject to the confirmation of this Board; and

**WHEREAS**, the Committee on Appointments, having on the 30<sup>th</sup> day of September, 2024 approved the appointment of Eric Williamson to the Westchester County Housing Opportunity Commission; NOW THEREFORE BE IT

**RESOLVED**, that said appointment be and is hereby approved.

Dated: September 30, 2024  
White Plains, New York

Three handwritten signatures in blue ink are stacked vertically. The top signature is 'Cath Case'. The middle signature is 'J. M. [unclear]'. The bottom signature is 'J. [unclear]'. The signatures are written in a cursive, flowing style.

COMMITTEE ON APPOINTMENTS

Dated: September 30, 2024  
White Plains, New York

***The following members attended the meeting remotely and approved this item out of Committee with an affirmative vote. Their electronic signature was authorized and is below***

Committee(s) on:

**APPOINTMENTS**

A handwritten signature in black ink, appearing to read "M. Lilli", written over the word "APPOINTMENTS".



RESOLUTION NO.        - 2024

RESOLVED, that this Board hold a public hearing pursuant to Section 209.141(4) of the Laws of Westchester County on Local Law Intro. No.        -2024 entitled "A LOCAL LAW authorizing the County of Westchester to amend a ground lease agreement with White Plains Aviation Partners, LLC D/B/A Million Air White Plains for space at Westchester County Airport". The public hearing will be held at        m. on the        day of        , 2024 in the Chambers of the Board of Legislators, 8th Floor, Michaelian Office Building, White Plains, New York. The Clerk of the Board shall cause notice of the time and date of such hearing to be published at least once in one or more newspapers published in the County of Westchester and selected by the Clerk of the Board for that purpose in the manner and time required by law.

BOARD OF LEGISLATORS  
COUNTY OF WESTCHESTER

Your Committee is in receipt of a communication from the County Attorney transmitting a proposed Local Law that would authorize the County of Westchester ("County") to amend a ground lease agreement with White Plains Aviation Partners, LLC D/B/A Million Air White Plains ("Million Air") for approximately 22.4 acres ("Leased Premises") at Westchester County Airport ("Airport"), in order to add approximately 7,192 square feet to the Leased Premises, equating to approximately 35 automobile parking spaces.

On May 16, 2016, your Honorable Board adopted Local Law No. 3-2016 that authorized the County to terminate a prior lease with Million Air and enter into a new 30-year ground lease (the "Lease") that allowed Million Air to operate both a Light General Aviation ("LGA") facility and a Full Service Heavy Aircraft General Aviation Fixed Base Operation ("FBO") on the Premises. Pursuant to the terms of the Lease, Million Air subsequently constructed a new FBO hangar and a terminal building.

Your Committee has been advised that the Lease provides that on that portion of the Premises utilized for LGA purposes, Million Air pays the County a percentage of gross revenue generated there, with the percentage increasing every five years of the lease term. On the FBO portion, the initial rental is at the rate of \$1.00 per square foot over an area of six (6) acres for a total of \$261,360.00 yearly, payable in equal monthly installments. Commencing in the forty-third (43<sup>rd</sup>) month after the commencement date, the annual rent in connection with the FBO will increase to the rate of \$1.85 per square foot over an area of nine (9) acres for a total of \$725,274.00 yearly. The Lease provides for

annual rent increases thereafter. Million Air is responsible for all utility costs, heating oil, water and real estate taxes.




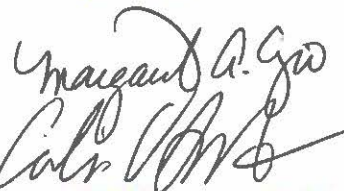

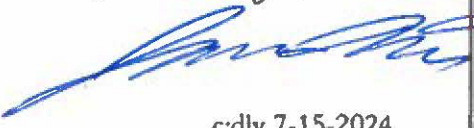



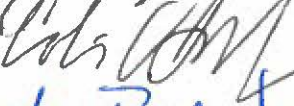



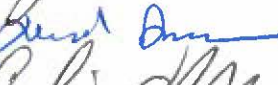










Your Committee has been advised that, in addition to the Lease, the County entered into a license agreement with Million Air permitting it to use approximately 7,192 square feet of undeveloped space adjacent to the Federal Aviation Administration tower ("Lot 2") solely for parking vehicles owned by Million Air, its employees, subtenants and service providers, for a term from March 1, 2019 through February 28, 2020. The license agreement was not extended but Million Air continued using Lot 2.

The County now requests the authority of your Honorable Board to amend the Lease in order to add Lot 2 to the Leased Premises. In consideration of this amendment, Million Air will pay the County \$77,083.25 for the past use of the parking area. In addition, the annual rent on the FBO will increase by \$15,678.56 commencing retroactively to February 1, 2024. The annual rent on the entire Leased Premises, inclusive of Lot 2, will be subject to increases as specified in the Lease. Million Air will remain responsible for the maintenance, repairs, snow and ice removal, and environmental compliance of Lot 2, to the extent that it is responsible under the Lease for maintaining the balance of the Leased Premises. As part of this lease amendment, Million Air will also agree to maintain the T-hangar on the Leased Premises (identified as T-25) and its associated ramp for LGA use, and continue to provide no fewer than 39 tie-down spaces for 39 LGA aircraft. All remaining terms and conditions of the Lease will remain unchanged. The terms of the lease amendment will be in substantially the same form as the draft amendment attached to the proposed Local Law.

The Department of Planning has advised that based on their review, this is an Unlisted Action, pursuant to Part 617 of the New York State Environmental Quality Review Act ("SEQRA"). A Resolution and Environmental Assessment Form ("EAF") are attached to assist your Honorable Board in complying with SEQRA. Your Committee has carefully considered the EAF and the applicable SEQRA regulations. For the reasons set forth in the attached EAF, your Committee believes that this proposed action will not have any significant adverse impact on the environment and accordingly recommends passage of the annexed Resolution prior to enacting the Local Law authorizing the County to amend the lease.

Your Committee has been advised that an affirmative vote of two-thirds of all members of your Honorable Board is required to adopt the annexed Local Law. Your Committee has carefully considered the legislation and urges your Honorable Board to adopt the annexed Local Law authorizing an amendment to the Lease.

Dated: October 10, 2024  
White Plains, New York

     	     	     	     
<p>c:dlv 7-15-2024</p> <p>Legislation</p>	<p>COMMITTEE ON</p> <p>Budget &amp; Appropriations</p>	<p>Law &amp; Major Contracts</p>	<p>Public Works &amp; Transportation</p>

# FISCAL IMPACT STATEMENT

SUBJECT: WP Aviation Partners Amended Lease

☐ NO FISCAL IMPACT PROJECTED

## OPERATING BUDGET IMPACT

To Be Completed by Submitting Department and Reviewed by Budget

### SECTION A - FUND

☐ GENERAL FUND

☒ AIRPORT FUND

☐ SPECIAL DISTRICTS FUND

### SECTION B - EXPENSES AND REVENUES

Total Current Year Expense

Total Current Year Revenue

\$ 19,598

Source of Funds (check one):

☒ Current Appropriations

☐ Transfer of Existing Appropriations

☐ Additional Appropriations

☐ Other (explain)

Identify Accounts: 161-44-4110-9096

Potential Related Operating Budget Expenses:

Annual Amount

Describe:

Potential Related Operating Budget Revenues:

Annual Amount

\$19,598 (2024)

Describe:

A Local Law authorizing the County of Westchester to amend a ground lease

agreement with White Plains Aviation Partners, LLC D/B/A Million Air White Plains

for an increase in rent for space at Westchester County Airport.

Anticipated Savings to County and/or Impact on Department Operations:

Current Year:

Next Four Years: 2025 - 2028 \$31,257 per year; 2029 \$26,130

\$15,679, thereafter

Prepared by:

Debra Ogden

Title:

Sr. Budget Analyst

Department:

Budget

Date:

July 15, 2024

Reviewed By:

Budget Director

Date:

7/15/24

RESOLUTION NO. - 2024

WHEREAS, there is pending before this Honorable Board a Local Law to authorize the County of Westchester to amend a ground lease agreement with White Plains Aviation Partners, LLC D/B/A Million Air White Plains for space at Westchester County Airport; and

WHEREAS, this Honorable Board has determined that the proposed lease amendment would constitute an action under Article 8 of the Environmental Conservation Law, known as the New York State Environmental Quality Review Act ("SEQRA"); and

WHEREAS, pursuant to SEQRA and its implementing regulations (6 NYCRR Part 617), this project is classified as an "Unlisted action," which requires this Honorable Board to make a determination as to whether the proposed action will have a significant impact on the environment; and

WHEREAS, the County of Westchester is the only involved agency with discretionary authority for this action and, therefore, is assuming the role of Lead Agency for the environmental review of this project; and

WHEREAS, in accordance with SEQRA and its implementing regulations, a Short Environmental Assessment Form has been prepared to assist this Honorable Board in its environmental assessment of this proposed action; and

WHEREAS, this Honorable Board has carefully considered the proposed action and has reviewed the attached Short Environmental Assessment Form and the criteria set forth in Section 617.7 of the implementing regulations and has identified the relevant areas of environmental concern, as described in the attached Short Environmental Assessment Form, to determine if this proposed action will have a significant impact on the environment.



NOW, THEREFORE, be it resolved by the County Board of Legislators of the County of Westchester, State of New York, as follows:

RESOLVED, that based upon the Honorable Board's review of the Short Environmental Assessment Form and for the reasons set forth therein, this Board finds that there will be no significant adverse impact on the environment from the proposed action and be it further

RESOLVED, that the Clerk of the Board of Legislators is authorized and directed to sign the "Determination of Significance" in the Short Environmental Assessment Form, which is attached hereto and made a part hereof, as the "Responsible Officer in Lead Agency"; to issue this "Negative Declaration" on behalf of this Board in satisfaction of SEQRA and its implementing regulations; and to immediately transmit same to the Commissioner of Planning to be filed, published and made available pursuant to the requirements of Part 617 of 6 NYCRR; and be it further

RESOLVED, that the Resolution shall take effect immediately.

# Short Environmental Assessment Form

## Part 1 - Project Information

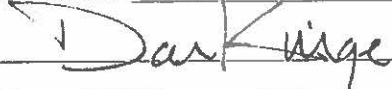
### Instructions for Completing

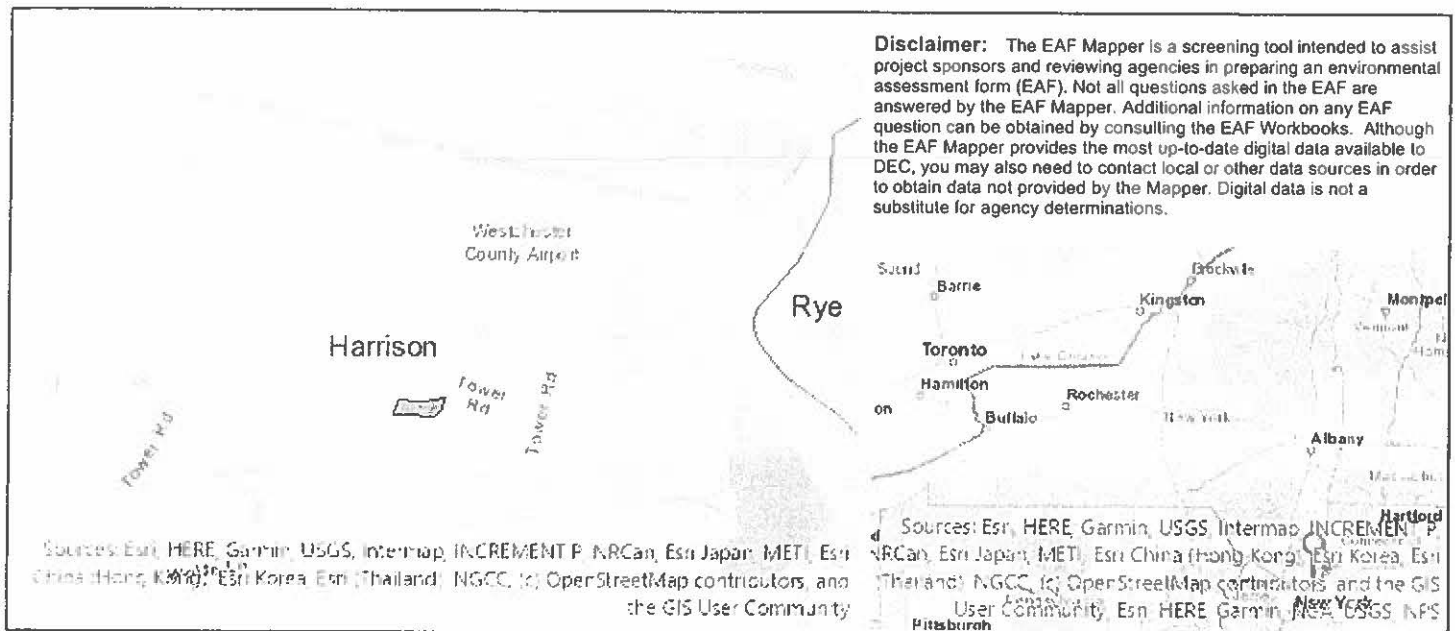
**Part 1 – Project Information.** The applicant or project sponsor is responsible for the completion of Part 1. Responses become part of the application for approval or funding, are subject to public review, and may be subject to further verification. Complete Part 1 based on information currently available. If additional research or investigation would be needed to fully respond to any item, please answer as thoroughly as possible based on current information.

Complete all items in Part 1. You may also provide any additional information which you believe will be needed by or useful to the lead agency; attach additional pages as necessary to supplement any item.

<b>Part 1 – Project and Sponsor Information</b>							
Name of Action or Project: Million Air Lease Amendment - Lot 2							
Project Location (describe, and attach a location map): 136 Tower Road, Hangar M, White Plains, NY 10604 (Town of Harrison, Westchester County)							
Brief Description of Proposed Action: Amendment of a 30-year ground lease agreement with White Plains Aviation Partners, LLC D/B/A Million Air White Plains (Million Air) for approximately 22.4 acres at Westchester County Airport in order to add approximately 7,192 square feet to its leased premises to accommodate a vehicle parking lot of approximately 35 automobile parking spaces. Million Air was given a license to use this space, which was originally a grass field, for vehicular parking for one year beginning in March 2019 to assist with parking needs during construction of additional improvements. However, Million Air continued to use the space for parking. As a condition of the amendment, Million Air has removed approximately 3,200 square feet of the existing pavement associated with this parking lot, a third of which is within the existing Million Air leasehold, and replaced it with porous pavement. This work was completed to the satisfaction of the County on May 7, 2024. In addition to paying additional rent for this space under the amended agreement, Million Air will also agree to maintain the T-hangar on its leased premises (known as T-25) and its associated ramp for light general aviation use and will continue to provide no fewer than 39 tie-down spaces for light general aviation aircraft. All remaining terms and conditions of the lease will remain unchanged.							
Name of Applicant or Sponsor:		Telephone: 914-995-4400					
County of Westchester		E-Mail: dsk2@westchestercountyny.gov					
Address: 148 Martine Avenue							
City/PO: White Plains		State: NY	Zip Code: 10601				
1. Does the proposed action only involve the legislative adoption of a plan, local law, ordinance, administrative rule, or regulation? If Yes, attach a narrative description of the intent of the proposed action and the environmental resources that may be affected in the municipality and proceed to Part 2. If no, continue to question 2.			<table border="1" style="width: 100%; text-align: center;"> <tr> <td>NO</td> <td>YES</td> </tr> <tr> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </table>	NO	YES	<input checked="" type="checkbox"/>	<input type="checkbox"/>
NO	YES						
<input checked="" type="checkbox"/>	<input type="checkbox"/>						
2. Does the proposed action require a permit, approval or funding from any other government Agency? If Yes, list agency(s) name and permit or approval:			<table border="1" style="width: 100%; text-align: center;"> <tr> <td>NO</td> <td>YES</td> </tr> <tr> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </table>	NO	YES	<input checked="" type="checkbox"/>	<input type="checkbox"/>
NO	YES						
<input checked="" type="checkbox"/>	<input type="checkbox"/>						
3. a. Total acreage of the site of the proposed action?		22.4 acres					
b. Total acreage to be physically disturbed?		+/-0.1 acres					
c. Total acreage (project site and any contiguous properties) owned or controlled by the applicant or project sponsor?		698 acres					
4. Check all land uses that occur on, are adjoining or near the proposed action:							
5. <input type="checkbox"/> Urban <input type="checkbox"/> Rural (non-agriculture) <input type="checkbox"/> Industrial <input type="checkbox"/> Commercial <input checked="" type="checkbox"/> Residential (suburban) <input type="checkbox"/> Forest <input type="checkbox"/> Agriculture <input type="checkbox"/> Aquatic <input checked="" type="checkbox"/> Other(Specify): Airport <input type="checkbox"/> Parkland							

5. Is the proposed action,	NO	YES	N/A
a. A permitted use under the zoning regulations?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
b. Consistent with the adopted comprehensive plan?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
6. Is the proposed action consistent with the predominant character of the existing built or natural landscape?	NO	YES	
	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
7. Is the site of the proposed action located in, or does it adjoin, a state listed Critical Environmental Area? Name: Airport 60 Ldn Noise Contour, Reason: Exceptional or unique character, Agency: Westchester County, If Yes, identify: Date: 1-31-90	NO	YES	
	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
8. a. Will the proposed action result in a substantial increase in traffic above present levels?	NO	YES	
	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
b. Are public transportation services available at or near the site of the proposed action?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
c. Are any pedestrian accommodations or bicycle routes available on or near the site of the proposed action?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
9. Does the proposed action meet or exceed the state energy code requirements? If the proposed action will exceed requirements, describe design features and technologies:  <u>N/A - The ground lease amendment does not involve any building improvements, other than to maintain an existing T-hangar. The existing terminal/office space associated with this Fixed Base Operator is compliant with the state energy code.</u>	NO	YES	
	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
10. Will the proposed action connect to an existing public/private water supply?  If No, describe method for providing potable water: _____ The existing facility is already connected to a public water supply. The amendment provisions do not involve additional water consumption.	NO	YES	
	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
11. Will the proposed action connect to existing wastewater utilities?  If No, describe method for providing wastewater treatment: _____ The existing facility is already connected to the county sewer system. The amendment provisions do not involve additional sewage generation.	NO	YES	
	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
12. a. Does the project site contain, or is it substantially contiguous to, a building, archaeological site, or district which is listed on the National or State Register of Historic Places, or that has been determined by the Commissioner of the NYS Office of Parks, Recreation and Historic Preservation to be eligible for listing on the State Register of Historic Places?	NO	YES	
	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
b. Is the project site, or any portion of it, located in or adjacent to an area designated as sensitive for archaeological sites on the NY State Historic Preservation Office (SHPO) archaeological site inventory?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
13. a. Does any portion of the site of the proposed action, or lands adjoining the proposed action, contain wetlands or other waterbodies regulated by a federal, state or local agency?	NO	YES	
	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
b. Would the proposed action physically alter, or encroach into, any existing wetland or waterbody?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
If Yes, identify the wetland or waterbody and extent of alterations in square feet or acres: _____ _____ _____			

14. Identify the typical habitat types that occur on, or are likely to be found on the project site. Check all that apply: <input type="checkbox"/> Shoreline <input type="checkbox"/> Forest <input type="checkbox"/> Agricultural/grasslands <input type="checkbox"/> Early mid-successional <input type="checkbox"/> Wetland <input checked="" type="checkbox"/> Urban <input type="checkbox"/> Suburban		
15. Does the site of the proposed action contain any species of animal, or associated habitats, listed by the State or Federal government as threatened or endangered?	NO	YES
	<input checked="" type="checkbox"/>	<input type="checkbox"/>
16. Is the project site located in the 100-year flood plan?	NO	YES
	<input checked="" type="checkbox"/>	<input type="checkbox"/>
17. Will the proposed action create storm water discharge, either from point or non-point sources? If Yes,	NO	YES
	<input type="checkbox"/>	<input checked="" type="checkbox"/>
a. Will storm water discharges flow to adjacent properties?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. Will storm water discharges be directed to established conveyance systems (runoff and storm drains)?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
If Yes, briefly describe:		
The lot is currently paved. The northern half was converted to porous pavement, which will also receive runoff from the remaining paved surfaces and promote infiltration of stormwater runoff. A perforated underdrain pipe and an inlet were installed within the pervious pavement. An outlet structure will be added that will connect to an existing stormwater sewer system serving the area.		
18. Does the proposed action include construction or other activities that would result in the impoundment of water or other liquids (e.g., retention pond, waste lagoon, dam)? If Yes, explain the purpose and size of the impoundment:	NO	YES
	<input checked="" type="checkbox"/>	<input type="checkbox"/>
19. Has the site of the proposed action or an adjoining property been the location of an active or closed solid waste management facility? If Yes, describe:	NO	YES
	<input checked="" type="checkbox"/>	<input type="checkbox"/>
20. Has the site of the proposed action or an adjoining property been the subject of remediation (ongoing or completed) for hazardous waste? If Yes, describe:	NO	YES
	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Westchester County Airport is in the NYS Brownfield Cleanup Program (C360174) for various contaminants, including PFAS, which is the primary contaminant of concern at the Airport. Remediation is ongoing.		
<b>I CERTIFY THAT THE INFORMATION PROVIDED ABOVE IS TRUE AND ACCURATE TO THE BEST OF MY KNOWLEDGE</b>		
Applicant/sponsor/name: <u>County of Westchester</u> Date: <u>October 1, 2024</u>		
Signature: <u></u> Title: <u>Assistant Commissioner of Planning</u>		



Part 1 / Question 7 [Critical Environmental Area]	Yes
Part 1 / Question 7 [Critical Environmental Area - Identify]	Name:Airport 60 Ldn Noise Contour, Reason:Exceptional or unique character, Agency:Westchester County, Date:1-31-90
Part 1 / Question 12a [National or State Register of Historic Places or State Eligible Sites]	No
Part 1 / Question 12b [Archeological Sites]	Yes
Part 1 / Question 13a [Wetlands or Other Regulated Waterbodies]	Yes - Digital mapping information on local and federal wetlands and waterbodies is known to be incomplete. Refer to EAF Workbook.
Part 1 / Question 15 [Threatened or Endangered Animal]	No
Part 1 / Question 16 [100 Year Flood Plain]	No
Part 1 / Question 20 [Remediation Site]	Yes

Project: Million Air Lease - Lot 2

Date: October 2024

## *Short Environmental Assessment Form*

### *Part 2 - Impact Assessment*

**Part 2 is to be completed by the Lead Agency.**

Answer all of the following questions in Part 2 using the information contained in Part 1 and other materials submitted by the project sponsor or otherwise available to the reviewer. When answering the questions the reviewer should be guided by the concept "Have my responses been reasonable considering the scale and context of the proposed action?"

	No, or small impact may occur	Moderate to large impact may occur
1. Will the proposed action create a material conflict with an adopted land use plan or zoning regulations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
2. Will the proposed action result in a change in the use or intensity of use of land?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
3. Will the proposed action impair the character or quality of the existing community?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
4. Will the proposed action have an impact on the environmental characteristics that caused the establishment of a Critical Environmental Area (CEA)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
5. Will the proposed action result in an adverse change in the existing level of traffic or affect existing infrastructure for mass transit, biking or walkway?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
6. Will the proposed action cause an increase in the use of energy and it fails to incorporate reasonably available energy conservation or renewable energy opportunities?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7. Will the proposed action impact existing:	<input checked="" type="checkbox"/>	<input type="checkbox"/>
a. public / private water supplies?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. public / private wastewater treatment utilities?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
8. Will the proposed action impair the character or quality of important historic, archaeological, architectural or aesthetic resources?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
9. Will the proposed action result in an adverse change to natural resources (e.g., wetlands, waterbodies, groundwater, air quality, flora and fauna)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
10. Will the proposed action result in an increase in the potential for erosion, flooding or drainage problems?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
11. Will the proposed action create a hazard to environmental resources or human health?	<input checked="" type="checkbox"/>	<input type="checkbox"/>



## Short Environmental Assessment Form

### Part 3 Determination of Significance

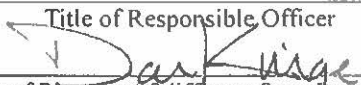
For every question in Part 2 that was answered "moderate to large impact may occur", or if there is a need to explain why a particular element of the proposed action may or will not result in a significant adverse environmental impact, please complete Part 3. Part 3 should, in sufficient detail, identify the impact, including any measures or design elements that have been included by the project sponsor to avoid or reduce impacts. Part 3 should also explain how the lead agency determined that the impact may or will not be significant. Each potential impact should be assessed considering its setting, probability of occurring, duration, irreversibility, geographic scope and magnitude. Also consider the potential for short-term, long-term and cumulative impacts.

There will be no significant adverse environmental impact from the proposed action since the the provisions of the ground lease amendment will serve to reduce impacts associated with existing development.

The parking lot reconstruction requirements included in the amendment have been implemented to the satisfaction of the County and will reduce stormwater runoff from impervious surfaces that were added without stormwater management. The porous pavement will infiltrate runoff to meet the runoff reduction requirements of the New York State Stormwater Management Design Manual. Excess stormwater discharge that may occur due to extreme weather conditions will be directed to an existing conveyance system that already serves this area and discharges to Basin A at the Airport.

The T-hangar, tie-down and ramp requirements will ensure that a specific portion of the fixed base operation continues to serve light general aviation, whose operation and aircraft size need tie-down and t-hangar facilities. Preservation of the light general aviation footprint helps to minimize community impacts associated with aircraft operations and sustains the community's interest in these activities.

As the ground lease amendment does not provide for additional development or changes in use, there will be no additional impacts.

<input type="checkbox"/> Check this box if you have determined, based on the information and analysis above, and any supporting documentation, that the proposed action may result in one or more potentially large or significant adverse impacts and an environmental impact statement is required.	
<input checked="" type="checkbox"/> Check this box if you have determined, based on the information and analysis above, and any supporting documentation, that the proposed action will not result in any significant adverse environmental impacts.	
County of Westchester	
Name of Lead Agency	Date
Malika Vanderberg	Clerk of the Board of Legislators
Print or Type Name of Responsible Officer in Lead Agency	Title of Responsible Officer
Signature of Responsible Officer in Lead Agency	 Signature of Preparer (if different from Responsible Officer)

**PRINT FORM**

LOCAL LAW NO. - 2024

A LOCAL LAW authorizing the County of Westchester to amend a ground lease agreement with White Plains Aviation Partners, LLC D/B/A Million Air White Plains for space at Westchester County Airport.

BE IT ENACTED by the County Board of Legislators of the County of Westchester as follows:

Section 1. The County of Westchester ("County") is hereby authorized to amend its lease agreement with White Plains Aviation Partners, LLC D/B/A Million Air White Plains ("Million Air") for the operation of both a Light General Aviation ("LGA") facility and a Full Service Heavy Aircraft General Aviation Fixed Base Operation ("FBO") on approximately 22.4 acres at Westchester County Airport for a term of 30 years ("Lease"), in order to add approximately 7,192 square feet to the Leased Premises, equating to approximately 35 automobile parking spaces.

Section 2. In consideration of this amendment, Million Air shall pay the County \$77,083.25 for the past use of the parking area. In addition, the annual rent on the FBO shall increase by \$15,678.56 commencing retroactively to February 1, 2024. The annual rent on the entire Leased Premises, inclusive of Lot 2, shall be subject to increases as specified in the Lease. Million Air shall remain responsible for the maintenance, repairs, snow and ice removal, and environmental compliance of Lot 2, to the extent that it is responsible under the Lease for maintaining the balance of the Leased Premises. As part of this lease amendment, Million Air shall also agree to maintain the T-hangar on the Leased Premises (identified as T-25) and its associated ramp for LGA use, and continue to provide no fewer than 39 tie-down spaces for 39 LGA aircraft.

**SCHEDULE "A"**

**LEASE AMENDMENT**

**FIRST AMENDMENT**

**TO**

**LEASE AGREEMENT**

**THIS FIRST AMENDMENT TO LEASE AGREEMENT** (this "**Agreement**") made as of this \_\_\_\_ day of \_\_\_\_\_, 202\_\_ (the "**Effective Date**"), between

**THE COUNTY OF WESTCHESTER**, a municipal corporation of the State of New York, having its principal office at the Michaelian Office Building, 148 Martine Avenue, White Plains, New York 10601 ("**Landlord**")

and

**WHITE PLAINS AVIATION PARTNERS, LLC D/B/A MILLION AIR WHITE PLAINS**, a Delaware limited liability company, authorized to do business in New York, having an office and place of business at c/o Million Air HQ, 7555 Ipswich Road, Houston, Texas 77061 ("**Tenant**").

**WITNESSETH:**

**WHEREAS**, Landlord and Tenant entered into that certain Lease Agreement, dated as of June 1, 2016 (the "**Lease**") wherein Landlord leased to Tenant and Tenant leased from Landlord, the Premises (as such term is defined and described under the Lease);

**WHEREAS**, the parties also entered into a license agreement dated August 16, 2019 ("License Agreement"), permitting Tenant to use approximately 7,192 square feet of undeveloped space adjacent to the Federal Aviation Administration tower ("Licensed Premises") solely for parking vehicles owned by Tenant, its employees, subtenants and service providers, for a term from March 1, 2019 through February 28, 2020, which term was not extended; and

**WHEREAS**, Landlord and Tenant desire to modify the Lease to incorporate the formerly Licensed Premises into the Leased Premises.

**NOW, THEREFORE**, in consideration of the premises and the mutual agreements hereinafter set forth, and for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Landlord and Tenant agree that as of the Effective Date the Lease is amended and modified as follows:

1. **Recitals; Definitions.** The recitals and whereas clauses are incorporated herein by this reference. All capitalized words, terms and phrases used herein shall have the meanings ascribed to them in the Lease unless otherwise herein defined or modified. For purposes of clarification, when this Agreement refers to a numbered "section" of the Lease, it shall be referring to such numbered "section," "article" or "paragraph," as the case may be, of the Lease. The capitalized

terms "Tenant" and "Lessee" may be used interchangeably under this Agreement and/or the Lease. The capitalized terms "Landlord" and "County" may be used interchangeably under this Agreement and/or the Lease.

2. **Modifications to Section 1.1 of the Lease.**

a. As used in the Lease and this Amendment, as the context requires, the term "Leased Premises" or "Premises" as defined under the Lease means the "Leased Premises" or "Premises" defined under the Lease, and shall include the land previously licensed to Tenant pursuant to the License Agreement.

b. Schedule A attached to the Lease is deleted and replaced with **Schedule A-1**, attached hereto and made a part hereof (the "**Amended Lease Land**") so that the "Land" leased under the Lease shall be the Amended Lease Land. Accordingly, Section 1.2 of the Lease is modified to reflect that the total Leased Premises shall now be the Amended Lease Land and shall also include an additional approximately 7,192 square feet, to accommodate a vehicle parking lot of approximately 35 vehicle parking spaces ("Lot 2").

c. Tenant shall pay to the Landlord the following rates and charges for the past use of Lot 2 beginning March 1, 2019, the start date of the original license agreement, through January 31, 2024: a total amount of \$77,083.25. This total amount will be paid in 59 monthly installments of \$1,306.50.

3. **Increase in Rent.** Based on the inclusion of Lot 2 in the Leased Premises, and pursuant to Section 4.2 of the Lease, the annual rent on the Fixed Base Operation shall increase by \$15,678.56 commencing February 1, 2024. The annual rent on the entire Leased Premises, inclusive of Lot 2, shall be subject to increases as specified in Section 4.2 of the Lease.

4. **Maintenance and Repairs.**

Landlord is conceding Lot 2 of Tenant's Leased Premises in operable condition. It is paved, has surface markings and is lit. Tenant shall be wholly responsible for the maintenance, repairs, snow and ice removal, and environmental compliance of Lot 2, to the extent that Tenant is responsible under the Lease for maintaining the balance of the Leased Premises.

No later than close of business on March 12, 2024, Tenant will provide Landlord with its schedule to complete the required stormwater mitigation items addressed in Commissioner of DPW/T's letter dated August 1, 2022.

5. **T-Hangar and Tie Downs.** Subject to Sections 5.5 and 6.14 of the Lease, Tenant shall maintain the T-hangar on the Leased Premises (identified as T-25) and its associated ramp for light general aviation use. Tenant shall also continue to provide no fewer than 39 tie-down spaces for 39 light general aviation aircraft.

6. **Leasehold Mortgagee.** Pursuant to Section 22.3(A) of the Lease, the effectiveness of this Agreement shall be subject to and, conditioned on, Tenant's Leasehold Mortgagee consenting in writing to the amendment and modification of the Lease as set forth in this Agreement.

7. **Memorandum of Lease.** Upon request of either Landlord or Tenant, Landlord and Tenant shall execute and deliver to each other a memorandum of lease or an amendment of memorandum of lease, in recordable form, to reflect that the Lease has been amended by this Agreement. Tenant shall pay the cost and expense of recording any such memorandum of lease.

8. **Miscellaneous.** Other than as expressly amended or modified by this Agreement, the Lease and its terms shall continue in full force and effect. In the event of any conflict between the terms of this Agreement and the terms of the Lease, the terms of this Agreement shall govern and prevail. The provisions of this Agreement shall inure to the benefit of, and be binding upon, the parties hereto and their respective heirs, legal representatives, successors and permitted assigns. This Agreement may be executed in one or more counterparts and each of which shall be deemed an original, but all of which together shall constitute one and the same instrument. No further changes to the Lease or this Agreement may be made except by a written agreement signed by Landlord and Tenant. Tenant has full power and authority to enter into and perform its obligations under this Agreement and, the person signing below on behalf of Tenant, has full power and authority to sign this Agreement on behalf of Tenant and bind Tenant to the terms and conditions of this Agreement. This Agreement has been duly authorized, executed and delivered by Tenant and is a legal, valid and binding obligation of Tenant enforceable against Tenant in accordance with its terms. No consent, approval, permit, authorization, registration or filing with any court, administrative agency, commission or other governmental authority or any other person, entity or body is required to be obtained in connection with Tenant's execution, delivery and performance of this Agreement. Landlord has full power and authority to enter into and perform its obligations under this Agreement and, the person signing below on behalf of Landlord, has full power and authority to sign this Agreement on behalf of Landlord and bind Landlord to the terms and conditions of this Agreement. This Agreement has been duly authorized, executed and delivered by Landlord and is a legal, valid and binding obligation of Landlord enforceable against Landlord in accordance with its terms. No consent, approval, permit, authorization, registration or filing with any court, administrative agency, commission or other governmental authority or any other person, entity or body is required to be obtained in connection with Landlord's execution, delivery and performance of this Agreement.

**[SIGNATURES APPEAR ON THE FOLLOWING PAGE]**



IN WITNESS WHEREOF, the parties hereto have executed this Agreement as of the day and year first above written.

**LANDLORD:**

The County of Westchester

By: \_\_\_\_\_  
Name: Hugh J. Greechan, Jr., P.E.  
Title: Commissioner of Public Works  
and Transportation

**TENANT:**

White Plains Aviation Partners, LLC d/b/a Million  
Air White Plains

By:   
Name: Roger Woolsey  
Title: CEO of REW Investments, Inc., its Managing Member

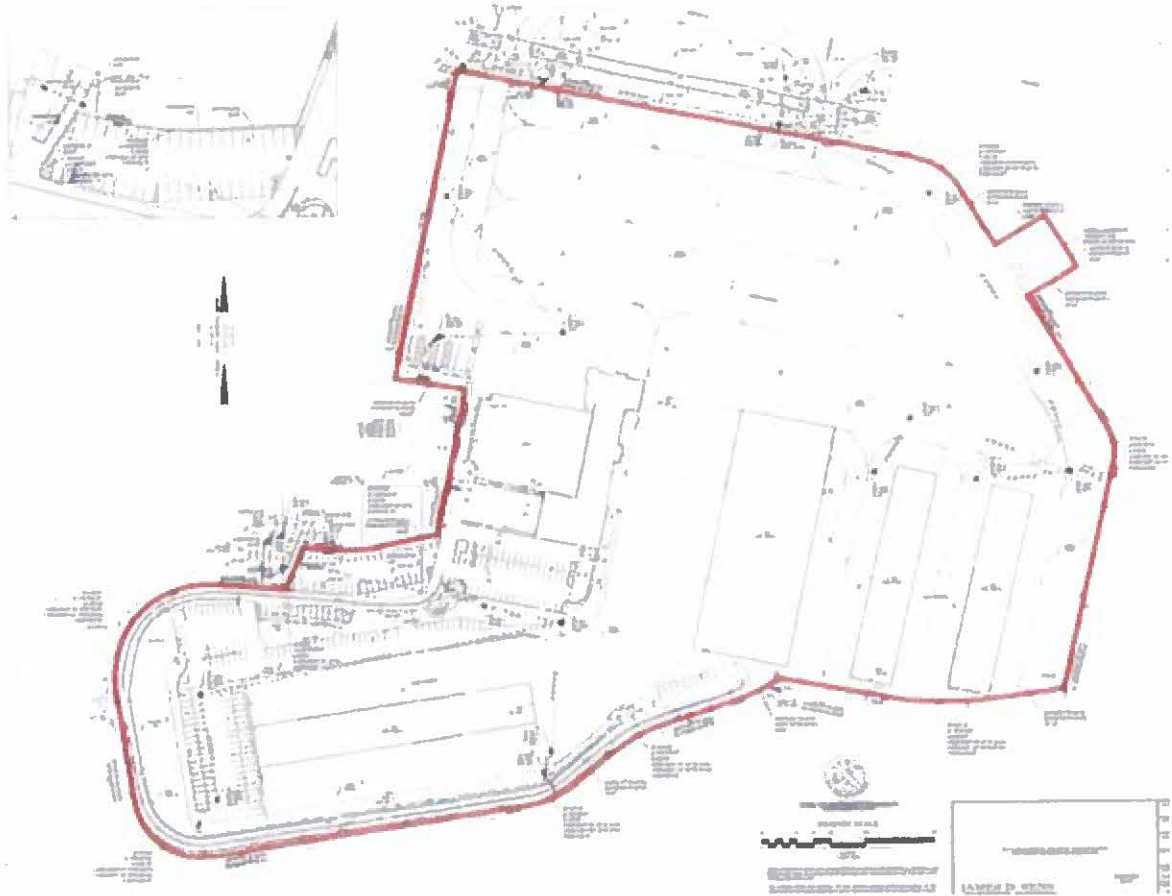
Authorized by the Board of Legislators of the County of Westchester pursuant to Local Law  
Intro No. \_\_\_\_\_ on the \_\_\_\_\_ day of \_\_\_\_\_, 202\_\_.

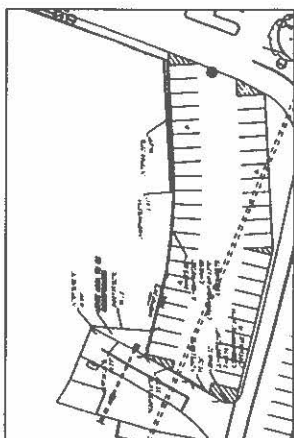
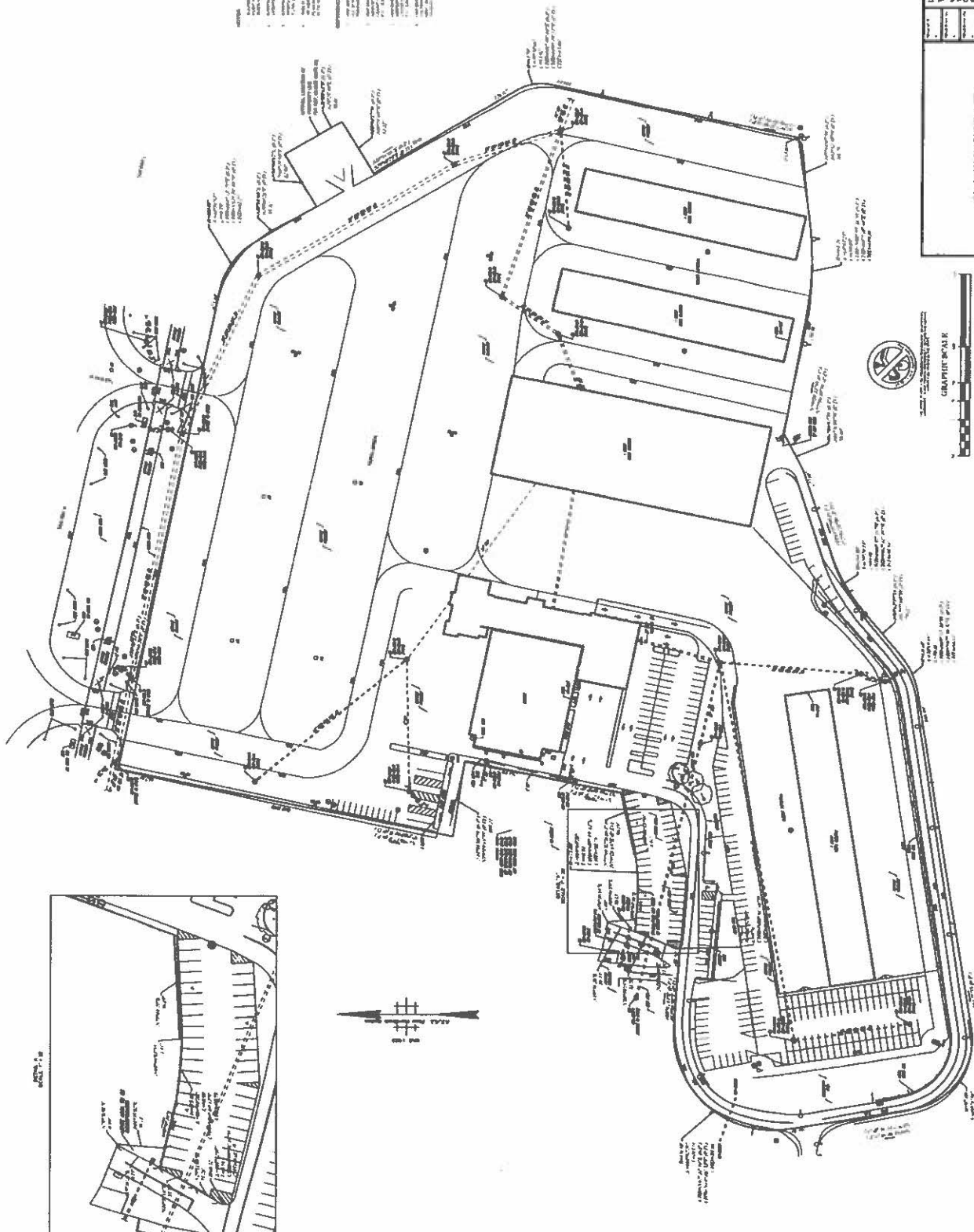
Authorized by the Board of Acquisition and Contract of the County of Westchester on the \_\_\_\_  
day of \_\_\_\_\_, 202\_\_.

Approved:

\_\_\_\_\_  
Associate County Attorney  
The County of Westchester 4-30-2024

**SCHEDULE A-1**  
**PROPERTY DESCRIPTION**





NOTES: 1. ALL DIMENSIONS ARE TO FACE UNLESS OTHERWISE NOTED. 2. ALL CONSTRUCTION SHALL BE IN ACCORDANCE WITH THE LATEST EDITIONS OF THE INTERNATIONAL BUILDING CODE (IBC) AND THE INTERNATIONAL RESIDENTIAL CODE (IRC). 3. ALL MATERIALS AND METHODS OF CONSTRUCTION SHALL BE APPROVED BY THE LOCAL BUILDING DEPARTMENT. 4. ALL UTILITIES SHALL BE DEPTH MARKED PRIOR TO CONSTRUCTION. 5. ALL EROSION CONTROL MEASURES SHALL BE INSTALLED PRIOR TO THE START OF CONSTRUCTION AND MAINTAINED THROUGHOUT THE CONSTRUCTION PROCESS. 6. ALL LANDSCAPING SHALL BE INSTALLED AND MAINTAINED THROUGHOUT THE CONSTRUCTION PROCESS. 7. ALL SIGNAGE SHALL BE INSTALLED AND MAINTAINED THROUGHOUT THE CONSTRUCTION PROCESS. 8. ALL FENCING SHALL BE INSTALLED AND MAINTAINED THROUGHOUT THE CONSTRUCTION PROCESS. 9. ALL LIGHTING SHALL BE INSTALLED AND MAINTAINED THROUGHOUT THE CONSTRUCTION PROCESS. 10. ALL UTILITIES SHALL BE DEPTH MARKED PRIOR TO CONSTRUCTION. 11. ALL EROSION CONTROL MEASURES SHALL BE INSTALLED PRIOR TO THE START OF CONSTRUCTION AND MAINTAINED THROUGHOUT THE CONSTRUCTION PROCESS. 12. ALL LANDSCAPING SHALL BE INSTALLED AND MAINTAINED THROUGHOUT THE CONSTRUCTION PROCESS. 13. ALL SIGNAGE SHALL BE INSTALLED AND MAINTAINED THROUGHOUT THE CONSTRUCTION PROCESS. 14. ALL FENCING SHALL BE INSTALLED AND MAINTAINED THROUGHOUT THE CONSTRUCTION PROCESS. 15. ALL LIGHTING SHALL BE INSTALLED AND MAINTAINED THROUGHOUT THE CONSTRUCTION PROCESS.

SYMBOL	DESCRIPTION
1	1. 1/2" = 1' SCALE
2	2. 1/4" = 1' SCALE
3	3. 1/8" = 1' SCALE
4	4. 1/16" = 1' SCALE
5	5. 1/32" = 1' SCALE
6	6. 1/64" = 1' SCALE
7	7. 1/128" = 1' SCALE
8	8. 1/256" = 1' SCALE
9	9. 1/512" = 1' SCALE
10	10. 1/1024" = 1' SCALE
11	11. 1/2048" = 1' SCALE
12	12. 1/4096" = 1' SCALE
13	13. 1/8192" = 1' SCALE
14	14. 1/16384" = 1' SCALE
15	15. 1/32768" = 1' SCALE
16	16. 1/65536" = 1' SCALE
17	17. 1/131072" = 1' SCALE
18	18. 1/262144" = 1' SCALE
19	19. 1/524288" = 1' SCALE
20	20. 1/1048576" = 1' SCALE

LEASE AREA EXHIBIT  
WESTCHESTER COUNTY AIRPORT  
100 TOWN ROAD  
WESTCHESTER COUNTY, MASSACHUSETTS  
MASSACHUSETTS  
JAMES D. SPENS  
100 TOWN ROAD  
WESTCHESTER COUNTY, MASSACHUSETTS  
MASSACHUSETTS  
100 TOWN ROAD  
WESTCHESTER COUNTY, MASSACHUSETTS  
MASSACHUSETTS

GRAPHIC SCALE  
1" = 100'  
0 100 200 300 400 500 600 700 800 900 1000  
1000' 2000' 3000' 4000' 5000' 6000' 7000' 8000' 9000' 10000'

BOARD OF LEGISLATORS  
COUNTY OF WESTCHESTER

Your Committee is in receipt of a proposed Act which, if enacted by your Honorable Board, would authorize the settlement of four of the County's five counterclaims in the pending litigation between the County and White Plains Aviation Partners, LLC, d/b/a Million Air White Plains ("Million Air"), as set forth below. The County's remaining counterclaim, along with all of Plaintiff's claims in the litigation, will be dismissed without prejudice subject to the terms of a written interim settlement agreement, as discussed further below.

Your Committee recalls that, on May 16, 2016, the County Board adopted Local Law No. 3-2016, which authorized the County to terminate a prior lease with Million Air and enter into a new 30-year ground lease (the "Lease") that allowed Million Air to operate both a Light General Aviation ("LGA") facility and a Full Service Heavy Aircraft General Aviation Fixed Base Operation ("FBO") on approximately 22.4 acres ("Leased Premises") at the Airport. Pursuant to the terms of the Lease, Million Air subsequently constructed an approximately 50,000 sq. ft. hangar and refurbished terminal building at Million Air's FBO.

Your Committee has been informed that separately, in 2019, the County entered into a license agreement ("License") with Million Air, permitting Million Air to use approximately 7,192 square feet of undeveloped space adjacent to the Federal Aviation Administration tower ("Lot 2") solely for parking vehicles owned by Million Air, its employees, subtenants and service providers, for a term from March 1, 2019, through February 28, 2020. The License was not extended, but Million Air continued to use Lot 2.

Your Committee is aware that on June 16, 2021, Million Air commenced an action in federal court, alleging that the County breached certain terms of the Lease. Boiled down, Million Air claimed, among other things, that the County unreasonably withheld approval for Million Air to construct a proposed approximately 80,000 square foot hangar for heavy general aviation on its Leased Premises. Million Air sought a Court order directing the County to approve construction of the proposed hangar, along with “millions of dollars in damages.”

Your Committee is also aware that when the County answered Million Air’s operative complaint in October 2022, it denied any allegation that the County unreasonably withheld approval to build the proposed hangar. In addition, the County asserted five counterclaims against Million Air: breach of contract (1) under Lease section 3.1 for keeping a T-Hangar (known as T-Hangar 25) out-of-service; (2) under Lease sections 5.3 and 9.6 for failing to maintain and repair T-hangar 25, and for failing to restore it to a serviceable condition; (3) under Lease sections 7.1 and 11.1 for using T-hangar 25 for non-aeronautical purposes; (4) under Lease sections 13.8 and 13.9 for failing to obtain the County’s prior written consent to sublease a lounge to Blade Urban Air Mobility, Inc.; and (5) under Lease Article 1 and the 2019 License, for Million Air’s failure to surrender Lot 2 following the License’s expiration.

Your Committee is informed that, during the course of the litigation, Million Air restored T-Hangar 25 to service, as confirmed by the Airport Manager and the County’s Department of Public Works and Transportation. This T-hangar restoration resolves three of the County’s five counterclaims—Counterclaims One, Two, and Three—as each was premised on the T-hangar’s being inoperable. The proposed Act presented herewith, if adopted by the Board, would allow for the dismissal of each of these three Counterclaims with prejudice.

Your Committee is also informed that the parties have negotiated a Lease Amendment which, as more fully discussed below and in the Committee Report for Local Law Intro. No. \_\_\_\_, will, among other things, add Lot 2 to Million Air's Leased Premises and will require Million Air to pay the County for its past use of the parking area. If the Lease Amendment is authorized by the Board pursuant to Local Law Intro. No. \_\_\_\_, then this will dispose of a fourth counterclaim of the County's—Counterclaim Five.

Your Committee acknowledges that the Lease Amendment will add Lot 2 to the Leased Premises. In consideration of this amendment, Million Air will pay the County \$77,083.25 for the past use of the parking area. In addition, the annual rent on the FBO will increase by \$15,678.56 commencing retroactively to February 1, 2024. The annual rent on the entire Leased Premises, inclusive of Lot 2, will be subject to increases as specified in the Lease. Million Air will remain responsible for the maintenance, repairs, snow and ice removal, and environmental compliance of Lot 2, to the extent that Million Air is responsible under the Lease for maintaining the balance of the Leased Premises.

Your Committee recognizes that, also as part of this Lease Amendment, Million Air will agree to maintain T-hangar 25 on the Leased Premises and T-hangar 25's associated ramp for LGA use, and Million Air will also continue to provide no fewer than 39 tie-down spaces for 39 LGA aircraft. All remaining terms and conditions of the Lease will remain unchanged. The terms of the Lease Amendment will be in substantially the same form as the draft amendment attached to the proposed Local Law.



Your Committee therefore understands that if the proposed Lease Amendment is approved through Local Law Intro. No. \_\_\_, then the Act enclosed herewith, if adopted, would also permit the County to dismiss Counterclaim Five regarding the License with prejudice.

The County Attorney has also advised your Committee that, with respect to the remaining issues in the litigation, the parties have agreed that if the Lease Amendment is approved and executed, and the County's four Counterclaims are dismissed with prejudice, then the parties will dismiss all other claims and counterclaims currently pending in the litigation without prejudice, subject to the terms of a written interim settlement agreement between the parties, to allow for further discussions without the expenditure of litigation costs and expenses.

Your Committee is also aware that the County withdrew a Short Form Environmental Assessment ("EA") under the National Environmental Policy Act ("NEPA"), 42 U.S.C. § 4321, *et seq.*, to the Federal Aviation Administration (the "FAA"), which covered both previously constructed facilities, and Million Air's proposed 78,000 sq. ft. hangar, and substituted a revised Standard EA covering the already constructed 50,000 sq. ft. hangar and terminal building. In the interim settlement agreement, Million Air acknowledges that this new EA will remain the operative EA before the FAA until a Finding of No Significant Impact ("FONSI") is issued regarding the already constructed facilities.

Your Committee has also been informed that the interim settlement provides the County with an express reservation of rights concerning any and all objections the County may have regarding Million Air's request to construct a new approximately 78,000 hangar on the Leased Premises. Finally, the interim settlement agreement states explicitly that nothing shall obligate the County Board to act

in a specific manner concerning Million Air's request to build the proposed hangar and/or regarding any proposed corresponding lease amendment that may come before the Board.

The County Attorney has recommended approval of the settlement of four of the County's Counterclaims Your Committee concurs with this recommendation and recommends that this Honorable Board adopt the proposed Act.

Dated: White Plains, New York

October 10, 2024

Margaret A. Gio

Vedat Gabni

Colo

Ann Ann

Jo

Legislation

Henry

Vedat Gabni

Colo

Jo

Manuel Ban wop

COMMITTEE ON  
Budget & Appropriations

Vedat Gabni

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Vedat Gabni

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Manuel Ban wop

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Manuel Ban wop

Law &  
Major  
Contracts

Public Works  
& Transportation

AN ACT authorizing the County Attorney to settle counterclaims in the federal action entitled *White Plains Aviation Partners, LLC, d/b/a Million Air White Plains v. County of Westchester*, pending in the United States District Court for the Southern District of New York, No. 21 Civ. 5312 (VB).

BE IT ENACTED by the County Board of Legislators of the County of Westchester as follows:

Section 1. Upon enactment of Local Law Intro. No. \_\_\_\_-2024, the County Attorney is hereby authorized to agree to a dismissal with prejudice of Counterclaims One, Two, Three, and Five in the federal action entitled *White Plains Aviation Partners, LLC, d/b/a Million Air White Plains v. County of Westchester*, pending in the United States District Court for the Southern District of New York, No. 21 Civ. 5312 (VB), which relate to the County's claims that White Plains Aviation Partners, LLC, breached its lease with the County by not properly using and maintaining a T-Hangar for light general aviation known as T-Hangar 25, and by using certain parking spaces without authorization.

Section 2. The County Attorney or his designee is hereby authorized to execute and deliver all documents and take such actions as the County Attorney deems necessary or desirable to accomplish the purposes hereof.

Section 3. This Act shall take effect immediately.

**HONORABLE BOARD OF LEGISLATORS  
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmission from the County Executive recommending approval by the County of Westchester (“County”) of an act amending the County’s current-year capital budget (“Capital Budget Amendment”), as well as adoption of a related bond act (the “Bond Act”) which, if approved, will authorize the County to issue \$1,750,000 in bonds of the County to finance capital project A0134 – HVAC Upgrades, Terminal Building (“A0134”).

Your Committee is advised that the Capital Budget Amendment, in the amount of \$1,400,000, is requested to fund an increase in costs associated with this project. Your Committee is advised that since its inception, various costs associated with construction and procurement for this project have increased. Factors such as inflation, supply chain disruptions, and market demand have all had a significant impact on this project’s budget.

The Bond Act, in the amount of \$1,750,000, which was prepared by the law firm of Harris Beach, PLLC, would finance additional construction funding associated with the replacement of HVAC components in the Airport Terminal Building that have exceeded their useful life. The new components will be energy-efficient and will service the same area as the old components.

Your Committee is advised that design services have been completed. Following bonding authorization, construction will be scheduled and is anticipated to take four (4) months to complete and will begin after award and execution of the construction contracts.

Your Committee notes that your Honorable Board has previously authorized the County to issue bonds for A0134 as provided in the attached fact sheet.

The Planning Department has advised your Committee that based on its review, the above-referenced capital project may be classified as a Type “II” action pursuant to the State Environmental Quality Review Act (“SEQR”) and its implementing regulations, 6 NYCRR Part 617. Therefore, no environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this recommendation.


Additionally, Section 167.131 of the County Charter mandates that a capital budget amendment that introduces a new capital project or changes the location, size or character of an existing capital project be accompanied to the Board of Legislators by a report of the Westchester County Planning Board (the "Planning Board") with respect to the physical planning aspects of the project. The Planning Department has advised that the Planning Board has previously reviewed A0134 and issued a report, and that since there is no change in the scope of the work and this is simply a change in the financing plan, no further action by the Planning Board is necessary at this time.

Your Committee has carefully considered the proposed Capital Budget Amendment, as well as the related Bond Act, and recommends approval of both of the proposed Acts, noting that the Bond Act can only be enacted following adoption of the Capital Budget Amendment. It should be further noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to amend the County's Capital Budget and to adopt the Bond Act.

Dated: October 7<sup>th</sup>, 2024  
White Plains, New York

  
  
  
David J. B. Johnson  
  


c:\jpg\8-21-24

  
  
David J. B. Johnson  
David J. B. Johnson  


Budget & Appropriations

Public Works & Transportation



# FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: A0134

☐ NO FISCAL IMPACT PROJECTED

## SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☐ GENERAL FUND

☒ AIRPORT FUND

☐ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☐ Current Appropriations

☒ Capital Budget Amendment

## SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 1,750,000 PPU 10 Anticipated Interest Rate 2.55%

Anticipated Annual Cost (Principal and Interest): \$ 201,777

Total Debt Service (Annual Cost x Term): \$ 2,017,771

Finance Department: maab 9-10-24

## SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations

(describe in detail for current and next four years):

\_\_\_\_\_  
\_\_\_\_\_

## SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded: 19

Prepared by: Brian Hegt

Title: Director of Government & Community Relations

Department: Public Works/Transportation

Date: 9/12/24

Reviewed By: 


Date: 9/12/24

Budget Director

9/13/24



TO: Michelle Greenbaum, Senior Assistant County Attorney  
Jeffrey Goldman, Senior Assistant County Attorney  
Carla Chaves, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM   
Assistant Commissioner

DATE: September 4, 2024

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:  
A0134 HVAC UPGRADES TERMINAL BUILDING**

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**PROJECT/ACTION:** Per Capital Project Fact Sheet as approved by the Planning Department on  
08-21-2024 (Unique ID: 2615)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(2):** replacement, rehabilitation or reconstruction of a structure or facility, in kind, on the same site, including upgrading buildings to meet building, energy, or fire codes unless such action meets or exceeds any of the thresholds in section 617.4 of this Part.

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**COMMENTS:** None.

DSK/cnm

cc: Andrew Ferris, Chief of Staff  
Paula Friedman, Assistant to the County Executive  
Lawrence Soule, Budget Director  
Tami Altschiller, Assistant Chief Deputy County Attorney  
Dianne Vanadia, Associate Budget Director  
Lauren Walsh, Deputy Airport Manager – Administration  
Christopher Prashad, Program Manager, Westchester County Airport  
Susan Darling, Chief Planner  
Michael Lipkin, Associate Planner  
Claudia Maxwell, Principal Environmental Planner



Memorandum

**Department of Planning**

432 Michaelian Office Building  
White Plains, NY 10601

To: The Westchester County Planning Board

From: Susan Darling, Chief Planner

A handwritten signature in blue ink, appearing to be "SD" or similar initials, written over the name Susan Darling.

Date: August 21, 2024

RE: **NO-ACTION MEMO - Capital Budget Amendment – A0134 HVAC Upgrades, Terminal Building (2024 Capital Budget Amendment)**

The County Executive is requesting an amendment to the 2024 Capital Budget to modify the funding of the above project. Capital project **A0134 HVAC Upgrades, Terminal Building (2024 CBA)** will fund the replacement of HVAC components that are beyond end-of-life, with energy efficient components to service the same area.

The capital budget amendment is needed because various costs associated with construction and procurement have increased. Factors such as inflation, supply chain disruptions, and market demand have all had a significant impact on the cost of the project.

The CBA is a request for \$1.4M. The total amount to be bonded is \$1.75M, with \$350,000 coming from existing appropriations.

This project was classified as a PL2 in the 2017 Planning Board Report on the Capital Project Requests adopted July 12, 2016.

There are no changes to the physical planning aspects of this project as reviewed by the Board; therefore, no further action by your Board is necessary.

cc: Blanca P. López, Commissioner  
David S. Kvinge, Assistant Commissioner  
Michael Lipkin, Associate Planner

An Act amending the 2024 County  
Capital Budget Appropriations for  
Capital Project A0134 HVAC  
UPGRADES, TERMINAL BUILDING

BE IT ENACTED by the Board of Legislators of the County of Westchester as follows:

Section 1. The Capital section of the 2024 County Budget is hereby amended as follows:

	Previous 2024 Appropriation	Change	Revised 2024 Appropriation
I. Appropriation	\$1,100,000	\$1,400,000	\$2,500,000

Section 2. The estimated method of financing in the Capital Section of the 2024 Westchester County Capital Budget is amended as follows:

II. METHOD OF  
FINANCING

Bonds and/or Notes	\$1,100,000	\$1,400,000	\$2,500,000
Non County Shares	\$0		\$0
Cash	\$0		\$0
Total	\$1,100,000	\$1,400,000	\$2,500,000

Section 3. The ACT shall take effect immediately.

ACT NO. -20\_\_

BOND ACT AUTHORIZING THE ISSUANCE OF \$1,750,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COSTS OF THE CONSTRUCTION OF HVAC SYSTEM IMPROVEMENTS AT THE COUNTY AIRPORT; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$1,750,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$1,750,000 BONDS HEREIN AUTHORIZED TO FINANCE SUCH COST; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted, \_\_\_\_\_, 20\_\_)

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER, NEW YORK (by the affirmative vote of not less than two-thirds of the voting strength of said Board), AS FOLLOWS:

Section 1. Pursuant to the provisions of the Local Finance Law, constituting Chapter 33-a of the Consolidated Laws of the State of New York (the "Law"), the Westchester County Administrative Code, being Chapter 852 of the Laws of 1948, as amended, to the provisions of other laws applicable thereto, \$1,750,000 bonds of the County, or so much thereof as may be necessary, are hereby authorized to be issued to finance the costs of the construction of HVAC system improvements at the County Airport, including design and construction management; all as set forth in the County's current year Capital Budget, as amended. To the extent that the details set forth in this act are inconsistent with any details set forth in the current year Capital Budget of the County, such Budget shall be deemed and is hereby amended. The estimated maximum cost of said specific object or purpose, including preliminary costs and costs

incidental thereto and the financing thereof is \$1,750,000. The plan of financing includes the issuance of \$1,750,000 bonds herein authorized, and any bond anticipation notes issued in anticipation of the sale of such bonds, and the levy of a tax to pay the principal of and interest on said bonds.

Section 2. The period of probable usefulness applicable to the specific object or purpose for which the bonds authorized by this resolution is to be issued within the limitations of Section 11.00 a. 13 of the Law, is ten (10) years.

Section 3. Current funds are not required to be provided as a down payment pursuant to Section 107.00 d. 9. of the Law prior to issuance of the bonds authorized herein, or any bond anticipation notes issued in anticipation of the sale of such bonds. The County intends to finance, on an interim basis, the costs or a portion of the costs of said improvements for which bonds are herein authorized, which costs are reasonably expected to be reimbursed with the proceeds of debt to be incurred by the County, pursuant to this Act, in the maximum amount of \$1,750,000. This Act is a declaration of official intent adopted pursuant to the requirements of Treasury Regulation Section 1.150-2.

Section 4. Subject to the provisions of this Act and of the Law, and pursuant to the provisions of §30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals thereof, and of §§50.00, 56.00 to 60.00 and 168.00 of said Law, the powers and duties of the County Board of Legislators relative to authorizing the issuance of any notes in anticipation of the sale of the bonds herein authorized, or the renewals thereof, relative to providing for substantially level or declining annual debt service, relative to prescribing the terms, form and contents and as to the sale and issuance of the respective amounts of bonds herein authorized, and of any notes issued in anticipation of the sale of said bonds or the

renewals of said notes, and relative to executing agreements for credit enhancement, are hereby delegated to the Commissioner of Finance of the County, as the chief fiscal officer of the County.

Section 5. Each of the bonds authorized by this Act and any bond anticipation notes issued in anticipation of the sale thereof shall contain the recital of validity prescribed by §52.00 of said Local Finance Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County of Westchester, payable as to both principal and interest by general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and provision shall be made annually in the budgets of the County by appropriation for (a) the amortization and redemption of the notes and bonds to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 6. The validity of the bonds authorized by this Act and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

(a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or

(b) the provisions of law which should be complied with at the date of the publication of this Act or a summary hereof, are not substantially complied with,

and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or

(c) such obligations are authorized in violation of the provisions of the Constitution.

Section 7. This Act shall take effect in accordance with Section 107.71 of the Westchester County Charter.



\* \* \*

STATE OF NEW YORK                    )  
  : ss.:  
COUNTY OF WESTCHESTER            )

I HEREBY CERTIFY that I have compared the foregoing Act No. -20\_\_ with the original on file in my office, and that the same is a correct transcript therefrom and of the whole of the said original Act, which was duly adopted by the County Board of Legislators of the County of Westchester on                    , 20\_\_ and approved by the County Executive on                    , 20\_\_.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said County Board of Legislators this     day of                    , 20\_\_.

The Clerk and Administrative Officer of the County  
Board of Legislators  
County of Westchester, New York

(SEAL)

## LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on \_\_\_\_\_, 20\_\_ and approved by the County Executive on \_\_\_\_\_, 20\_\_ and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. \_\_\_\_\_-20\_\_

BOND ACT AUTHORIZING THE ISSUANCE OF \$1,750,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE COSTS OF THE CONSTRUCTION OF HVAC SYSTEM IMPROVEMENTS AT THE COUNTY AIRPORT; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$1,750,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$1,750,000 BONDS HEREIN AUTHORIZED TO FINANCE SUCH COST; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted \_\_\_\_\_, 20\_\_)

object or purpose: to finance the costs of the construction of HVAC system improvements at the County Airport, including design and construction management; all as set forth in the County's current year Capital Budget, as amended.


amount of obligations to be issued:

and period of probable usefulness: \$1,750,000; ten (10) years

Dated: \_\_\_\_\_, 20\_\_  
White Plains, New York

\_\_\_\_\_  
Clerk and Administrative Officer of the County Board of  
Legislators of the County of Westchester, New York

TO: Michelle Greenbaum, Senior Assistant County Attorney  
Jeffrey Goldman, Senior Assistant County Attorney  
Carla Chaves, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM   
Assistant Commissioner

DATE: September 4, 2024

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:  
A0134 HVAC UPGRADES TERMINAL BUILDING**

---

**PROJECT/ACTION:** Per Capital Project Fact Sheet as approved by the Planning Department on  
08-21-2024 (Unique ID: 2615)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(2):** replacement, rehabilitation or reconstruction of a structure or facility, in kind, on the same site, including upgrading buildings to meet building, energy, or fire codes unless such action meets or exceeds any of the thresholds in section 617.4 of this Part.

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**COMMENTS:** None.

DSK/cnm

cc: Andrew Ferris, Chief of Staff  
Paula Friedman, Assistant to the County Executive  
Lawrence Soule, Budget Director  
Tami Altschiller, Assistant Chief Deputy County Attorney  
Dianne Vanadia, Associate Budget Director  
Lauren Walsh, Deputy Airport Manager – Administration  
Christopher Prashad, Program Manager, Westchester County Airport  
Susan Darling, Chief Planner  
Michael Lipkin, Associate Planner  
Claudia Maxwell, Principal Environmental Planner

## CAPITAL PROJECT FACT SHEET

<b>Project ID:*</b> A0134	<input checked="" type="checkbox"/> CBA	<b>Fact Sheet Date:*</b> 07-31-2024
<b>Fact Sheet Year:*</b> 2024	<b>Project Title:*</b> HVAC UPGRADES, TERMINAL BUILDING	<b>Legislative District ID:</b> 6
<b>Category*</b> AIRPORT	<b>Department:*</b> AIRPORT/DOT	<b>CP Unique ID:</b> 2615

### Overall Project Description

This project funds removal of existing end of life HVAC units and replacement with energy efficient units. Replacement includes, but is not limited to, upgrades to electrical systems, modifications to structural supports, and upgrades to the building management systems (BMS).

- |   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> Best Management Practices | <input checked="" type="checkbox"/> Energy Efficiencies     | <input checked="" type="checkbox"/> Infrastructure |
| <input type="checkbox"/> Life Safety                          | <input checked="" type="checkbox"/> Project Labor Agreement | <input type="checkbox"/> Revenue                   |
| <input type="checkbox"/> Security                             | <input type="checkbox"/> Other                              |  |

### FIVE-YEAR CAPITAL PROGRAM (in thousands)

	Estimated Ultimate Total Cost	Appropriated	2024	2025	2026	2027	2028	Under Review
Gross	8,300	1,100	0	0	550	5,250	0	1,400
Less Non-County Shares	0	0	0	0	0	0	0	0
Net	8,300	1,100	0	0	550	5,250	0	1,400

**Expended/Obligated Amount (in thousands) as of :** 0

**Current Bond Description:** Funding is requested for construction to replace HVAC components that are beyond their end of life with energy-efficient components to service the same area and related work.

#### Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	1,750,000
Cash:	0
Total:	<b>\$ 1,750,000</b>

#### SEQR Classification:

TYPE II

#### Amount Requested:

1,750,000

#### Expected Design Work Provider:

- ☐ County Staff
 ☒ Consultant
 ☐ Not Applicable

#### Comments:

A capital budget amendment in the amount of \$1,400,000 is requested and shown under review to fund the increase in costs associated with construction for this project. Since the inception of this project, various costs associated with construction and procurement have increased. Factors such as inflation, supply chain disruptions, and market demand have all had a significant impact and our revised budget is a reflection of this.

#### Energy Efficiencies:

ENERGY EFFICIENCY MEASURES INCLUDE THE FOLLOWING:

PREMIUM EFFICIENCY MOTORS  
 DEMAND CONTROLLED VENTILATION AIR REDUCTION.  
 100% OA ENTHALPY-BASED ECONOMIZER CYCLE.

**Appropriation History:**

Year	Amount	Description
2017	350,000	DESIGN.
2023	750,000	PHASE I

**Total Appropriation History:**

1,100,000

**Financing History:**

Year	Bond Act #	Amount	Issued	Description
23	27	750,000		0 HVAC UPGRADES, TERMINAL BUILDING

**Total Financing History:**

750,000

**Recommended By:****Department of Planning**

MLLL

**Date**

08-21-2024

**Department of Public Works**

RJB4

**Date**

08-21-2024

**Budget Department**

DEV9

**Date**

08-21-2024

**Requesting Department**

LGFA

**Date**

08-21-2024

## HVAC UPGRADES, TERMINAL BUILDING ( A0134 )

**User Department :** Airport/DOT

**Managing Department(s) :** Airport/DOT ; Public Works ;

**Estimated Completion Date:** TBD

**Planning Board Recommendation:** Project approved in concept but subject to subsequent staff review.

### **FIVE YEAR CAPITAL PROGRAM (in thousands)**

	Est Ult Cost	Appropriated	Exp / Obl	2024	2025	2026	2027	2028	Under Review
Gross	6,650	1,100			5,550				
Non County Share									
Total	6,650	1,100			5,550				

### **Project Description**

This project funds removal of existing HVAC units and replacement with energy efficient units. Project also includes, but is not limited to, upgrades to electrical systems, modifications to structural supports and upgrades to the building management system (BMS).

### **Current Year Description**

There is no current year request.

### **Impact on Operating Budget**

The impact on the Airport Special Revenue Fund is the debt service associated with the issuance of bonds.

### **Appropriation History**

Year	Amount	Description	Status
2017	350,000	Design.	AWAITING BOND AUTHORIZATION DESIGN
2023	750,000	Phase I	
Total	1,100,000		

### **Prior Appropriations**

	Appropriated	Collected	Uncollected
Bond Proceeds	1,100,000		1,100,000
Total	1,100,000		1,100,000

### **Bonds Authorized**

Bond Act	Amount	Date Sold	Amount Sold	Balance
27 23	750,000			750,000
Total	750,000			750,000



**HONORABLE BOARD OF LEGISLATORS  
THE COUNTY OF WESTCHESTER**

Your Committee is in receipt of a communication from the Chair of the Board of Legislators recommending the adoption of an Act which, if approved by your Honorable Board, would authorize the County of Westchester (the "County") to enter into an inter-municipal agreement ("IMA") with the Village of Tuckahoe (the "Municipality"), acting by and through its Police Department (the "PD"), pursuant to which the Municipality will provide its National Night Out (the "Program") within the period from January 1, 2024 through December 31, 2024.

The County will pay the Municipality a total amount not to exceed Two Thousand, (\$2,000.00) Dollars, payable as invoiced, pursuant to an approved budget.

In consideration, the PD will provide the Program on or about Wednesday, August 21, 2024. The Program is a community-building campaign that promotes police-community partnerships and neighborhood camaraderie to make neighborhoods safer, more caring places. The Program enhances the relationship between the residents of the Municipality and the PD, all while creating a sense of community. Furthermore, the Program provides an opportunity to bring the PD and the community together in a positive setting.

Under said Program, the Municipality shall provide a variety of events and will include volunteer fire and ambulance corps from the Municipality. The Program will also provide food and beverages through food trucks, and children themed activities such as bouncy houses and multiple games that will serve as entertainment along with the ability to interact with emergency service workers and other agencies. Government and local service agencies shall also be invited to participate in the events with the community.

Your Committee has determined that there is a clear and overwhelming need for the Program. Accordingly, your Committee recommends authorizing the County to enter into the IMA.

The Department of Planning has advised that the proposed IMA does not meet the definition of an "action" under the State Environmental Quality Review Act ("SEQRA"), and its implementing regulations, 6 NYCRR, Part 617. Please refer to the Memorandum from the Department of Planning dated January 8, 2024, which is on file with the clerk of your Honorable Board. Therefore, no environmental review is required. Your Committee concurs with this recommendation.

Your Committee has been advised that passage of the attached Act requires an affirmative vote of a majority of the members of your Honorable Board. Your Committee has carefully considered this proposed legislation authorizing the above-mentioned inter-municipal agreement and recommends its approval.

Dated: October 7<sup>th</sup>, 2024  
White Plains, New York

*Lonny Zeller*  
*John*  
*Y. D.*  
*Henry E. Barr*  
*LLH*

C:mb (9.17.24)

*mb*  
*Vedat Gahvi*  
*Smith*

*Margaret A. Gio*  
*Vedat Gahvi*

Budget & Appropriations

Public Safety

# FISCAL IMPACT STATEMENT

SUBJECT: Village of Tuckahoe IMA

☐ NO FISCAL IMPACT PROJECTED

## OPERATING BUDGET IMPACT

To Be Completed by Submitting Department and Reviewed by Budget

### SECTION A - FUND

☒ GENERAL FUND

☐ AIRPORT FUND

☐ SPECIAL DISTRICTS FUND

### SECTION B - EXPENSES AND REVENUES

Total Current Year Expense \$ 2,000

Total Current Year Revenue \$ -

Source of Funds (check one): ☐ Current Appropriations ☐ Transfer of Existing Appropriations

☐ Additional Appropriations ☐ Other (explain)

Identify Accounts: 101-52-5100-2508

Potential Related Operating Budget Expenses: Annual Amount \_\_\_\_\_

Describe: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Potential Related Operating Budget Revenues: Annual Amount \_\_\_\_\_

Describe: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Anticipated Savings to County and/or Impact on Department Operations:

Current Year: \_\_\_\_\_


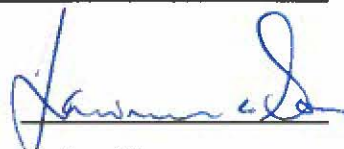
Next Four Years: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Prepared by: Michael Dunn

Title: Senior Budget Analyst

Department: Budget

Date: September 18, 2024

  
Reviewed By:   
Budget Director  
Date: 9/19/24

**ACT NO. 2024-\_\_\_\_\_**

**AN ACT** authorizing the County of Westchester to enter into an inter-municipal agreement with the Village of Tuckahoe, acting by and through its Police Department, pursuant to which the Village of Tuckahoe will provide its National Night Out program within the period from January 1, 2024 through December 31, 2024 for a total amount not to exceed \$2,000.00.

**BE IT ENACTED** by the Board of Legislators of the County of Westchester as follows:

**Section 1.** The County of Westchester (the “County”), is hereby authorized to enter into an inter-municipal agreement with the Village of Tuckahoe (the “Municipality”), acting by and through its Police Department (the “PD”), pursuant to which the Municipality will provide its National Night Out program (the “Program”) within the period from January 1, 2024 through December 31, 2024, for a total amount not to exceed Two Thousand, (\$2,000.00) Dollars, payable as invoiced, in accordance with an approved budget.

**§2.** The Municipality shall submit a written report, including statistics, to the County, of the Program. Evaluations will be based on the extent to which objectives of the Program were accomplished.

**§3.** The Chair of the Board of Legislators or his duly authorized designee is hereby authorized to execute and deliver all documents and take such actions as the Chair deems necessary or desirable to accomplish the purposes hereof.

**§4.** This Act shall take effect immediately.

**THIS AGREEMENT** made the       day of       , 2024 by and between

**THE COUNTY OF WESTCHESTER**, a municipal corporation of the State of New York, having an office and place of business in the Michaelian Office Building, 148 Martine Avenue, White Plains, New York 10601, (hereafter the “County”),

and

**THE VILALGE OF TUCKAHOE**, a New York municipal corporation, acting by and through its **POLICE DEPARTMENT** having an office and principal place of business at 65 Main Street, Tuckahoe, New York 10707, (hereafter the "Municipality").

**FIRST**: The Municipality shall provide a National Night Out program as described in Schedule “A” attached hereto and made a part hereof (the “Program” or “Services”). The Program will operate on or about Wednesday, August 21, 2024. The Services shall be carried out by the Municipality in accordance with current industry standards and trade practices.

**SECOND:** The term of this Agreement shall commence retroactively January 1, 2024 and shall terminate on December 31, 2024, unless terminated earlier pursuant to the provisions of this Agreement.

**THIRD:** For the Services to be performed pursuant to Paragraph “FIRST,” the County will pay the Municipality a total amount not to exceed Two Thousand, (\$2,000.00) Dollars and zero cents payable in full after submission by the Municipality of an invoice within thirty (30) days of full execution of this Agreement, pursuant to the budget attached hereto and made a part hereof as Schedule “B”. Municipality agrees that, if requested to do so by the Chair, it shall promptly submit supporting documentation to substantiate the basis for payment. The Chair will submit any requests for supporting documentation within two (2) weeks of receiving an invoice. The County shall pay said invoice within thirty (30) days of receipt of the invoice, or if supporting documentation is requested then within thirty (30) days of submission of supporting documentation, only for such Services which have been accepted and approved by the Chair. No extra payment shall be made by the County to the Municipality for out-of-pocket expenses or disbursements made in connection with the services rendered under this Agreement, as all costs and expenses for said Services are deemed to be included in the fee set forth above.

**FOURTH:** The Municipality shall, at no additional charge, furnish all labor, services, materials, tools, equipment and other appliances necessary to complete the services contracted for under this Agreement. It is recognized and understood that in no event shall total payment to the Municipality exceed the not-to-exceed amount set forth above.

**FIFTH:** The Municipality shall report to the County on its progress toward completing the Services, as the Chair of the Westchester County Board of Legislators or his duly authorized designee (the "Chair") may request, and shall immediately inform the Chair in writing of any cause for delay in the performance of its obligations under this Agreement.

No later than thirty (30) days after the end of the term of the Agreement, the Municipality shall submit to the Chair, a written performance measurement report which shall provide details about the Program, including the number of participants in each activity, and written statistics of the Program.

In addition to any general audit rights to which the County may be entitled hereunder, the County also reserves the right to audit the Municipality's performance under this Agreement. Such audit may include requests for documentation, reports or other information which the Chair may, in the Chair's discretion, deem necessary and appropriate. The County may also make site visits to the location/s where the services to be provided under this Agreement are performed in order to review Municipality's records, observe the performance of services and/or to conduct interviews of staff and patrons, where appropriate and not otherwise prohibited by law.

**SIXTH:** The parties recognize and acknowledge that the obligations of the County under this Agreement are subject to annual appropriations by its Board of Legislators pursuant to the Laws of Westchester County. Therefore, this Agreement shall be deemed executory only to the extent of the monies appropriated and available. The County shall have no liability under this Agreement beyond funds appropriated and available for payment pursuant to this Agreement. The parties understand and intend that the obligation of the County hereunder shall constitute a current expense of the County and shall not in any way be construed to be a debt of the County in contravention of any applicable constitutional or statutory limitations or requirements concerning the creation of indebtedness by the County, nor shall anything contained in this Agreement constitute a pledge of the general tax revenues, funds or moneys of the County. The



County shall pay amounts due under this Agreement exclusively from legally available funds appropriated for this purpose. The County shall retain the right, upon the occurrence of the adoption of any County Budget by its Board of Legislators during the term of this Agreement or any amendments thereto, and for a reasonable period of time after such adoption(s), to conduct an analysis of the impacts of any such County Budget on County finances. After such analysis, the County shall retain the right to either terminate this Agreement or to renegotiate the amounts and rates set forth herein. If the County subsequently offers to pay a reduced amount to the Municipality, then the Municipality shall have the right to terminate this Agreement upon reasonable prior written notice.

This Agreement is also subject to further financial analysis of the impact of any New York State Budget (the "State Budget") proposed and adopted during the term of this Agreement. The County shall retain the right, upon the occurrence of any release by the Governor of a proposed State Budget and/or the adoption of a State Budget or any amendments thereto, and for a reasonable period of time after such release(s) or adoption(s), to conduct an analysis of the impacts of any such State Budget on County finances. After such analysis, the County shall retain the right to either terminate this Agreement or to renegotiate the amounts and rates approved herein. If the County subsequently offers to pay a reduced amount to the Municipality, then the Municipality shall have the right to terminate this Agreement upon reasonable prior written notice.

**SEVENTH:** (a) The County, upon ten (10) days' notice to the Municipality, may terminate this Agreement in whole or in part when the County deems it to be in its best interest. Upon receipt of notice that the County is terminating this Agreement in its best interests, the Municipality shall stop Services immediately and incur no further costs in furtherance of this Agreement without the express approval of the Chair, and the Municipality shall direct any approved subcontractors to do the same.

In the event of a dispute as to the value of the Services rendered by the Municipality prior to the date of termination, it is understood and agreed that the Chair shall determine the value of such Work rendered by the Municipality. The Municipality shall accept such reasonable and good faith determination as final.

(b) In the event the County determines that there has been a material breach by the Municipality of any of the terms of the Agreement and such breach remains uncured for forty-eight (48) hours after service on the Municipality of written notice thereof, the County, in addition to any other right or remedy it might have, may terminate this Agreement and the County shall have the right, power and authority to complete the Services provided for in this Agreement, or contract for its completion, and any additional expense or cost of such completion shall be charged to and paid by the Municipality. Without limiting the foregoing, upon written notice to the Municipality, repeated breaches by the Municipality of duties or obligations under this Agreement shall be deemed a material breach of this Agreement justifying termination for cause hereunder without requirement for further opportunity to cure.

**EIGHTH:** All personnel and vehicles engaged in the Services shall at all times remain and be deemed the employees and property of the Municipality. The Municipality shall provide proof of insurance as set forth in the insurance requirements of Schedule "C" of this Agreement. Notwithstanding the foregoing, if the Municipality is self-insured for all or a portion of the insurance required by Schedule "C", it may provide proof of such self-insurance in a form acceptable to the County's Director of Risk Management. However, to the extent the Municipality is self-insured and carries excess liability, the County shall be named as an additional insured to that policy.

In addition to, and not in limitation of the insurance requirements set forth in this Agreement, the Municipality agrees to procure and maintain insurance naming the County as additional insured, as provided and described in Schedule "C," entitled "Standard Insurance Provisions," which is attached hereto and made a part hereof. In addition to, and not in limitation of the insurance provisions contained in Schedule "C," the Municipality agrees:

(a) that except for the amount, if any, of damage contributed to, caused by, or resulting from the sole negligence of the County, the Municipality shall indemnify and hold harmless the County, its officers, employees, agents, and elected officials from and against any and all liability, damage, claims, demands, costs, judgments, fees, attorney's fees or loss arising directly or indirectly out of the performance or failure to perform hereunder by the Municipality or third parties under the direction or control of the Municipality; and

(b) to provide defense for and defend, at its sole expense, any and all claims, demands or causes of action directly or indirectly arising out of this Agreement and to bear all other costs and expenses related thereto; and

(c) in the event the Municipality does not provide the above defense and indemnification to the County, and such refusal or denial to provide the above defense and indemnification is found to be in breach of this provision, then the Municipality shall reimburse the County's reasonable attorney's fees incurred in connection with the defense of any action, and in connection with enforcing this provision of the Agreement.

**NINTH:** The Municipality represents and warrants that all prices quoted herein for the services to be performed hereunder have been arrived at by the Municipality independently and have been submitted without collusion with any other vendor of similar materials, supplies, equipment or services.

**TENTH:** The Municipality expressly agrees that neither it nor any contractor, subcontractor, employee, or any other person acting on its behalf shall discriminate against or intimidate any employee or other individual on the basis of race, creed, religion, color, gender, age, national origin, ethnicity, alienage or citizenship status, disability, marital status, sexual orientation, familial status, genetic predisposition or carrier status during the term of or in connection with this Agreement, as those terms may be defined in Chapter 700 of the Laws of Westchester County. The Municipality acknowledges and understands that the County maintains a zero tolerance policy prohibiting all forms of harassment or discrimination against its employees by co-workers, supervisors, vendors, contractors, or others.

**ELEVENTH:** The Municipality shall obey, perform and comply, at its own expense, with the provisions of all federal, state and local laws, rules, regulations, orders or ordinances and requirements of every kind and nature, which now exist or are hereinafter enacted or promulgated ("Laws") applicable to this Agreement or the Services to be performed under this Agreement. Without limiting the generality of the foregoing, the Municipality further agrees to comply, at its own expense, with all Laws applicable to it as an employer of labor and all Laws

and licensing requirements pertaining to its professional status and that of its employees, partners, associates, subcontractors and others employed to render the Services hereunder.

**TWELFTH:** All records or recorded data of any kind compiled by the Municipality in completing the Services described in this Agreement, including but not limited to written reports, studies, drawings, computer printouts, plans, specifications and all other similar recorded data, shall become and remain the property of the County. The Municipality may retain copies of such records for its own use and shall not disclose any such information without the express written consent of the Chair. The County shall have the right to reproduce and publish such records, if it so desires, at no additional cost to the County.

**THIRTEENTH:** The Municipality shall not delegate any duties or assign any of its rights under this Agreement without the prior express written consent of the County. Any purported delegation of duties, assignment of rights or subcontracting of Services under this Agreement without the prior express written consent of the County is void. All subcontracts that have received such prior written consent shall provide that subcontractors are subject to all terms and conditions set forth in this Agreement. It is recognized and understood by the Municipality that for the purposes of this Agreement, all Services performed by a County-approved subcontractor shall be deemed Services performed by the Municipality and the Municipality shall insure that such subcontracted work is subject to the material terms and conditions of this Agreement. All subcontracts for the Services shall expressly reference the subcontractor's duty to comply with the material terms and conditions of this Agreement and shall attach a copy of the County's contract with the Municipality. The Municipality shall obtain a written acknowledgement from the owner and/or chief executive of subcontractor or his/her duly authorized representative that the subcontractor has received a copy of the County's contract, read it and is familiar with the material terms and conditions thereof. The Municipality shall include provisions in its subcontracts designed to ensure that the Municipality and/or its auditor has the right to examine all relevant books, records, documents or electronic data of the subcontractor necessary to review the subcontractor's compliance with the material terms and conditions of this Agreement. For each and every year for which this Agreement continues, the Municipality shall submit to the Chair a letter signed by the owner and/or chief executive officer

of the Municipality or his/her duly authorized representative certifying that each and every approved subcontractor is in compliance with the material terms and conditions of the Agreement.

**FOURTEENTH:** The Municipality and the County agree that the Municipality and its officers, employees, agents, contractors and/or subcontractors are independent contractors and not employees of the County or any department, agency or unit thereof. In accordance with their status as independent contractors, the Municipality covenants and agrees that neither the Municipality nor any of its officers, employees, agents, contractors and/or subcontractors will hold themselves out as, or claim to be, officers or employees of the County or any department, agency or unit thereof.

**FIFTEENTH:** Failure of the County to insist, in any one or more instances, upon strict performance of any term or condition herein contained shall not be deemed a waiver or relinquishment of such term or condition, but the same shall remain in full force and effect. Acceptance by the County of any Services or the payment of any fee or reimbursement due hereunder with knowledge of a breach of any term or condition hereof, shall not be deemed a waiver of any such breach and no waiver by the County of any provision hereof shall be implied.

**SIXTEENTH:** All notices of any nature referred to in this Agreement shall be in writing and either sent by registered or certified mail postage pre-paid, or delivered by hand or overnight courier, or sent by facsimile (with acknowledgment received and a copy of the notice sent by registered or certified mail postage pre-paid), as set forth below or to such other addresses as the respective parties hereto may designate in writing. Notice shall be effective on the date of receipt. Notices shall be sent to the following:

To the County:       Chair, Westchester County Board of Legislators  
Michaelian Office Building  
148 Martine Avenue, 8<sup>th</sup> Floor  
White Plains, New York 10601

with a copy to:       County Attorney  
Michaelian Office Building  
148 Martine Avenue, Room 600

White Plains, New York 10601

To the Municipality: The Village of Tuckahoe  
65 Main Street,  
Tuckahoe, New York 10707

**SEVENTEENTH:** This Agreement and its attachments constitute the entire Agreement between the parties with respect to the subject matter hereof and shall supersede all previous negotiations, commitments and writings. It shall not be released, discharged, changed or modified except by an instrument in writing signed by a duly authorized representative of each of the parties.

In the event of any conflict between the terms of this Agreement and the terms of any schedule or attachment hereto, it is understood that the terms of this Agreement shall be controlling with respect to any interpretation of the meaning and intent of the parties.

**EIGHTEENTH:** Nothing herein is intended or shall be construed to confer upon or give to any third party or its successors and assigns any rights, remedies or basis for reliance upon, under or by reason of this Agreement, except in the event that specific third party rights are expressly granted herein.

**NINETEENTH:** The Municipality recognizes that this Agreement does not grant the Municipality the exclusive right to perform the Services for the County and that the County may enter into similar agreements with other Municipalities on an "as needed" basis.

**TWENTIETH:** **VENDOR DIRECT PAYMENT:** All payments made by the County to the Municipality will be made by electronic funds transfer ("EFT") pursuant to the County's Vendor Direct Program. If the Municipality is not already enrolled in the Vendor Direct Program, the Municipality shall fill out and submit an EFT Authorization Form attached hereto as Schedule "D". If the Municipality is already enrolled in the Vendor Direct Program, the Municipality hereby agrees to immediately notify the County's Finance Department in writing if the EFT Authorization Form on file must be changed, and provide an updated version of the document.



**TWENTY-FIRST:** The Municipality shall use all reasonable means to avoid any conflict of interest with the County and shall immediately notify the County in the event of a conflict of interest. The Municipality shall also use all reasonable means to avoid any appearance of impropriety.

**TWENTY-SECOND:** This Agreement may be executed simultaneously in several counterparts, each of which shall be an original and all of which shall constitute but one and the same instrument. This Agreement shall be construed and enforced in accordance with the laws of the State of New York. In addition, the parties hereby agree that any cause of action arising out of this Agreement shall be brought in the County of Westchester.

If any term or provision of this Agreement is held by a court of competent jurisdiction to be invalid or void or unenforceable, the remainder of the terms and provisions of this Agreement shall in no way be affected, impaired, or invalidated, and to the extent permitted by applicable law, any such term, or provision shall be restricted in applicability or reformed to the minimum extent required for such to be enforceable. This provision shall be interpreted and enforced to give effect to the original written intent of the parties prior to the determination of such invalidity or unenforceability.

**TWENTY-THIRD** This Agreement shall not be enforceable until signed by both parties and approved by the Office of the County Attorney.

[REMAINDER OF PAGE LEFT INTENTIONALLY BLANK/ SIGNATURE PAGE TO FOLLOW]

**IN WITNESS WHEREOF**, the County of Westchester and the Municipality have caused this Agreement to be executed.

**THE COUNTY OF WESTCHESTER**

By: \_\_\_\_\_  
Name:  
Title:

**THE MUNICIPALITY**

By: \_\_\_\_\_  
Name:  
Title:

Authorized by the Westchester County Board of Legislators by Act No. \_\_\_\_\_ duly adopted on the \_\_\_\_\_ day of 2024.

Approved

\_\_\_\_\_  
Assistant County Attorney  
County of Westchester  
k/bara/bol/IMA Tuckahoe NNO CON135471

**ACKNOWLEDGMENT**

STATE OF NEW YORK       )  
                                      ) ss.:  
COUNTY OF                )

On the \_\_\_\_\_ day of \_\_\_\_\_ in the year 2024 before me, the undersigned, personally appeared \_\_\_\_\_, personally known to me or proved to me on the basis of satisfactory evidence to be the individual(s) whose name(s) is (are) subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their capacity(ies), and that by his/her/their signature(s) on the instrument, the individual(s), or the person upon behalf of which the individual(s) acted, executed the instrument.

Date: \_\_\_\_\_

\_\_\_\_\_  
Notary Public

RPL § 309-a; NY CPLR § 4538

**CERTIFICATE OF AUTHORITY**

**(Municipality)**

I, \_\_\_\_\_,  
(Officer other than officer signing contract)  
certify that I am the \_\_\_\_\_ of the  
(Title)

\_\_\_\_\_  
(Name of Municipality)

(the "Municipality") a corporation duly organized in good standing under the

\_\_\_\_\_  
(Law under which organized, e.g., the New York Village  
Law, Village Law, General Municipal Law)

named in the foregoing agreement that \_\_\_\_\_  
(Person executing agreement)

who signed said agreement on behalf of the Municipality was, at the time of execution  
of the Municipality,  
(Title of such person),

that said agreement was duly signed for on behalf of said Municipality by authority of its  
\_\_\_\_\_  
(Village Board, Village Board, Municipality Council)

thereunto duly authorized, and that such authority is in full force and effect at the date hereof.

\_\_\_\_\_  
(Signature)

STATE OF NEW YORK )

ss.:

COUNTY OF WESTCHESTER)

On this \_\_\_\_\_ day of \_\_\_\_\_, 2024, before me personally came \_\_\_\_\_  
whose signature appears above, to me known, and know to be the  
\_\_\_\_\_ of \_\_\_\_\_,  
(title)

the municipal corporation described in and which executed the above certificate, who being by  
me duly sworn did depose and say that he, the said \_\_\_\_\_,  
resides at \_\_\_\_\_, and that he is  
the \_\_\_\_\_ of said municipal corporation.  
(title)

\_\_\_\_\_  
Notary Public County

**SCHEDULE "A"**

**SCOPE / SPECIFICATIONS**

DRAFT

**SCHEDULE "B"**

**APPROVED BUDGET**

DRAFT



## **SCHEDULE "C"**

### **STANDARD INSURANCE PROVISIONS**

#### **(Event / Municipality Insurance)**

1. Prior to commencing an event, and throughout the term of the Agreement, the Permittee shall obtain at its own cost and expense the required insurance as delineated below from insurance companies licensed in the State of New York, carrying a Best's financial rating of A or better. Municipality shall provide evidence of such insurance to the County of Westchester ("County"), by providing a copy of policies, endorsements, and/or certificates as may be required and approved by the Director of Risk Management of the County ("Director"). The policies, endorsements, or certificates thereof shall provide that ten (10) days prior to cancellation or material change in the policy, notices of same shall be given to the Director either by overnight mail or personal delivery for all of the following stated insurance policies. All notices shall name the Municipality and identify the Agreement.

If at any time any of the policies required herein shall be or become unsatisfactory to the Director, as to form or substance, or if a company issuing any such policy shall be or become unsatisfactory to the Director, the Municipality shall upon notice to that effect from the County, promptly obtain a new policy, and submit the policy. Endorsements, or the certificate as requested by the Director to the Office of Risk Management of the County for approval by the Director. Upon failure of the Municipality to furnish, deliver and maintain such insurance, the Agreement, at the election of the County, may be declared suspended, discontinued or terminated.

Failure of the Municipality to take out, maintain, or the taking out or maintenance of any required insurance, shall not relieve the Municipality from any liability under the Agreement, nor shall the insurance requirements be construed to conflict with or otherwise limit the contractual obligations of the Municipality concerning indemnification.

All property losses shall be made payable to the "County of Westchester" and adjusted with the appropriate County personnel.

In the event that claims, for which the County may be liable, in excess of the insured amounts provided herein are filed by reason of Municipality's negligent acts or omissions under the Agreement or by virtue of the provisions of the labor law or other statute or any other reason, the amount of excess of such claims or any portion thereof, may be withheld from payment due or to become due the Municipality until such time as the Municipality shall furnish such additional security covering such claims in form satisfactory to the Director.

In the event of any loss, if the Municipality maintains broader coverage and/or higher limits than the minimums identified herein, the County shall be entitled to the broader coverage and/or higher limits maintained by the Municipality. Any available insurance proceeds in excess of the specified minimum limits of insurance and coverage shall be available to the County.

2. The Municipality shall provide proof of the following insurance coverage (if additional coverage is required for a specific agreement, those requirements will be described in the Agreement):

(a) **Workers' Compensation and Employer's Liability.** Certificate form C-105.2 or State Fund Insurance Company form U-26.3 is required for proof of compliance with the New York State Workers' Compensation Law. State Workers' Compensation Board form DB-120.1 is required for proof of compliance with the New York State Disability Benefits Law. Location of operation shall be "All locations in Westchester County, New York."

Where an applicant claims to not be required to carry either a Workers' Compensation Policy or Disability Benefits Policy, or both, the employer must complete **NYS form CE-200**, available to download at: <http://www.wcb.ny.gov>.

If the employer is self-insured for Workers' Compensation, he/she should present a certificate from the New York State Worker's Compensation Board evidencing that fact (Either SI-12, Certificate of Workers' Compensation Self-Insurance, or GSI-105.2, Certificate of Participation in Workers' Compensation Group Self-Insurance).

(b) **Event Insurance** if the Event satisfies both of the following criteria:

- **Maximum daily attendance:** 5000, and
- **Lasting no longer than:** 10 consecutive days

i) An Event shall include, but not be limited to, the following:

Arts and Craft Shows	Conventions	Reunions
Auctions	Dances	Receptions
Bazaars	Exhibits	Seminars
Banquets	Meetings	Shows
Charity Events	Musicals	Social Gatherings
Church Meetings	Pageants	Weddings
Concerts*	Picnics	Wedding Reception
Conferences	Proms	Wedding Rehearsal

\*Some concerts may be excluded from coverage or carry a higher premium as dictated by a carrier.

ii) The Event Insurance **effective date/s must cover:**

- **Date/s of actual event**
- **Day/s prior to and following actual event date if on-site set up and breakdown is needed**

iii) The Event Insurance shall meet the following **minimum insurance requirements:**

A) **General Liability Insurance** with a combined single limit of **\$1,000,000 per occurrence and a \$2,000,000 aggregate** limit naming the "County of Westchester" as an additional insured on a primary and non-contributory basis. This insurance shall include the following coverages:

- **Property damage**
- **Bodily injury**

B) **Automobile Liability** with a minimum limit of **\$1,000,000 per occurrence** naming the "County of Westchester" as an additional insured on a primary and non-contributory basis. The insurance shall include the following coverages:

- **Owned Automobiles**
- **Hired Automobiles**
- **Non-Owned Automobiles**

C) **Commercial Umbrella/Excess Insurance: \$2,000,000 each Occurrence** naming the "County of Westchester" as an additional insured on a primary and non-contributory basis and written on a "follow the form" basis.

D) **Liquor Liability Insurance:**

- **Liquor Liability:** If alcohol will be **SOLD**: limit of **\$1,000,000 per occurrence** naming the "County of Westchester" as an additional insured on a primary and non-contributory basis.
- **Host Liquor Liability:** If alcohol will be **SERVED and NOT SOLD**: Limit of **\$1,000,000 per occurrence** naming the "County of Westchester" as an additional insured on a primary and non-contributory basis.

And

E) **Abuse & Molestation Liability:** If the primary Event focus or its direct participants include children under 18 years old, adults with special needs or the elderly: Minimum limit of **\$1,000,000 per occurrence and \$2,000,000 aggregate** naming the "County of Westchester" as an additional insured on a primary and non-contributory basis. (Minimum limit of \$3,000,000 may be required depending on the scope and at the discretion of the Director of Risk Management. The insurance shall include the following coverages:

- **Misconduct**
- **Abuse (including both physical and sexual)**
- **Molestation**

(c) If the Municipality is unable to secure Event Insurance to the satisfaction of the Director, the above insurance coverage must be secured as stand-alone policies.

3. All policies of the Municipality shall be endorsed to contain the following clauses:

(a) **Insurers shall have no right to recovery or subrogation against the County** (including its employees and other agents and agencies), it being the intention of the parties that the insurance policies so effected shall protect both parties and be primary coverage for any and all losses covered by the above-described insurance.

(b) The clause "other insurance provisions" in a policy in which the County is named as an insured, shall not apply to the County.

(c) The insurance companies issuing the policy or policies shall have no recourse against the County (including its agents and agencies as aforesaid) for payment of any premiums or for assessments under any form of policy.

(d) Any and all deductibles in the above described insurance policies shall be assumed by and be for the account of, and at the sole risk of, the Municipality.

4. The above policies for the Municipality and/or any of its subcontractors shall not contain any endorsements, terms, conditions or definitions that result in any labor law or action over exclusions.

**Certificate Holder on all certificates of insurance shall read:** The County of Westchester, 148 Martine Avenue, White Plains, New York 10601.

**PLEASE NOTE: Printed copies of all of your full insurance policies, and any and all endorsements, are required.**

## **SCHEDULE "D"**

### **Westchester County Vendor Direct Program Frequently Asked Questions**

**1. WHAT ARE THE BENEFITS OF THE ELECTRONIC FUNDS TRANSFER (EFT) ASSOCIATED WITH THE VENDOR DIRECT PROGRAM?**

There are several advantages to having your payments automatically deposited into your designated bank account via EFT:

Payments are secure – Paper checks can be lost in the mail or stolen, but money deposited directly into your bank account is more secure.

You save time – Money deposited into your bank account is automatic. You save the time of preparing and delivering the deposit to the bank. Additionally, the funds are immediately available to you.

**2. ARE MY PAYMENTS GOING TO BE PROCESSED ON THE SAME SCHEDULE AS THEY WERE BEFORE VENDOR DIRECT?**

Yes.

**3. HOW QUICKLY WILL A PAYMENT BE DEPOSITED INTO MY ACCOUNT?**

Payments are deposited two business days after the voucher/invoice is processed. Saturdays, Sundays, and legal holidays are not considered business days.

**4. HOW WILL I KNOW WHEN THE PAYMENT IS IN MY BANK ACCOUNT AND WHAT IT IS FOR?**

Under the Vendor Direct program you will receive an e-mail notification two days prior to the day the payment will be credited to your designated account. The e-mail notification will come in the form of a remittance advice with the same information that currently appears on your check stub, and will contain the date that the funds will be credited to your account.

**5. WHAT IF THERE IS A DISCREPANCY IN THE AMOUNT RECEIVED?**

Please contact your Westchester County representative as you would have in the past if there were a discrepancy on a check received.

**6. WHAT IF I DO NOT RECEIVE THE MONEY IN MY DESIGNATED BANK ACCOUNT ON THE DATE INDICATED IN THE E-MAIL?**

In the unlikely event that this occurs, please contact the Westchester County Accounts Payable Department at 914-995-4708.

**7. WHAT MUST I DO IF I CHANGE MY BANK OR MY ACCOUNT NUMBER?**

Whenever you change any information or close your account a new Vendor Direct Payment Authorization Form must be submitted. Please contact the Westchester County Accounts Payable Department at 914-995-4708 and we will e-mail you a new form.

**8. WHEN COMPLETING THE PAYMENT AUTHORIZATION FORM, WHY MUST I HAVE IT SIGNED BY A BANK OFFICIAL IF I DON'T INCLUDE A VOIDED CHECK?**

This is to ensure the authenticity of the account being set up to receive your payments.



Westchester County • Department of Finance • Treasury Division

**Electronic Funds Transfer (EFT)  
Vendor Direct Payment Authorization Form**Authorization is:  
(check one)

- ☐ New  
☐ Change  
☐ No Change

**INSTRUCTIONS:** Please complete both sections of this Authorization form and attach a voided check. See the reverse for more information and instructions. If you previously submitted this form and there is no change to the information previously submitted, ONLY complete lines 1 through 6 of section 1.

**Section I - Vendor Information**

1. Vendor Name:		
2. Taxpayer ID Number or Social Security Number:		
<div style="border: 1px solid black; width: 200px; height: 20px; margin: 0 auto;"></div>		
3. Vendor Primary Address		
4. Contact Person Name:	Contact Person Telephone Number:	
5. Vendor E-Mail Addresses for Remittance Notification:		
6. Vendor Certification: <i>I have read and understand the Vendor Direct Payment Program and hereby authorize payments to be received by electronic funds transfer into the bank that I designate in Section II. I further understand that in the event that an erroneous electronic payment is sent, Westchester County reserves the right to reverse the electronic payment. In the event that a reversal cannot be implemented, Westchester County will utilize any other lawful means to retrieve payments to which the payee was not entitled.</i>		
_____ Authorized Signature	_____ Print Name/Title	_____ Date

**Section II- Financial Institution Information**

7. Bank Name:		
8. Bank Address:		
9. Routing Transit Number:	<div style="border: 1px solid black; width: 150px; height: 20px; margin: 0 auto;"></div>	10. Account Type: (check one) <input type="checkbox"/> Checking <input type="checkbox"/> Savings
11. Bank Account Number:	12. Bank Account Title:	
13. Bank Contact Person Name:		Telephone Number:
14. FINANCIAL INSTITUTION CERTIFICATION (required ONLY if directing funds into a Savings Account OR if a voided check is not attached to this form): <i>I certify that the account number and type of account is maintained in the name of the vendor named above. As a representative of the named financial institution, I certify that this financial institution is ACH capable and agrees to receive and deposit payments to the account shown.</i>		
_____ Authorized Signature	_____ Print Name / Title	_____ Date

(Leave Blank - to be completed by  
Westchester County) - Vendor number assigned



## Electronic Funds Transfer (EFT) Vendor Direct Payment Authorization Form

### GENERAL INSTRUCTIONS

Please complete both sections of the Vendor Direct Payment Authorization Form and forward the completed form (along with a voided check for the account to which you want your payments credited) to: Westchester County Department of Finance, 148 Martine Ave, Room 720, White Plains, NY 10601, Attention: Vendor Direct. Please see item 14 below regarding attachment of a voided check.

### Section I - VENDOR INFORMATION

1. Provide the name of the vendor as it appears on the W-9 form.
2. Enter the vendor's Taxpayer ID number or Social Security Number as it appears on the W-9 form.
3. Enter the vendor's complete primary address (not a P.O. Box).
4. Provide the name and telephone number of the vendor's contact person.
5. Enter the business e-mail address for the remittance notification. **THIS IS VERY IMPORTANT.** This is the e-mail address that we will use to send you notification and remittance information two days prior to the payment being credited to your bank account. We suggest that you provide a group mailbox (if applicable) for your e-mail address. You may also designate multiple e-mail addresses.
6. Please have an authorized Payee/Company official sign and date the form and include his/her title.

### Section II - FINANCIAL INSTITUTION INFORMATION

7. Provide bank's name.
8. Provide the complete address of your bank.
9. Enter your bank's 9 digit routing transit number.
10. Indicate the type of account (check one box only).
11. Enter the vendor's bank account number.
12. Enter the title of the vendor's account.
13. Provide the name and telephone number of your bank contact person.
14. If you are directing your payments to a Savings Account OR you can not attach a voided check for your checking account, this line needs to be completed and signed by an authorized bank official. IF YOU DO ATTACH A VOIDED CHECK FOR A CHECKING ACCOUNT, YOU MAY LEAVE THIS LINE BLANK.

**RESOLUTION NO. \_\_\_\_ - 2024**

RESOLVED, that this Board hold a public hearing pursuant to Section 209.141(4) of the Laws of Westchester County on Local Law Intro. No. \_\_\_\_ - 2024, entitled, "A Local Law amending Chapter 813 of the Laws of Westchester County relating to road construction specifications." The public hearing will be held at \_\_.m. on the \_\_\_\_ day of \_\_\_\_\_, 2024 in the Chambers of the Board of Legislators, 8th Floor, Michaelian Office Building, White Plains, New York. The Clerk of the Board shall cause notice of the time and date of such hearing to be published at least once in one or more newspapers published in the County of Westchester and selected by the Clerk of the Board for that purpose in the manner and time required by law.

TO: BOARD OF LEGISLATORS  
COUNTY OF WESTCHESTER

Your Committee recommends the adoption of “A Local Law amending Chapter 813 of the Laws of Westchester County relating to road construction.”

Your Committee is aware that Section 813.01 of the Laws of Westchester County (LWC) provide “for the protection, reconstruction, maintenance or restoration of any county road or portion thereon and for the protection of the traveling public.” In regards to road construction and maintenance, the Laws of Westchester County require that any “person, firm, corporation, improvement district or municipality” (or permittee) performing work on a County road or a portion thereof obtain a permit from the Commissioner of the Department of Public Works and Transportation. Generally, the permit requires that, when a contractor does excavation, the restoration or replacement of pavement must be slightly larger than the piece or section removed, but does not specifically require curb to curb replacement. Currently, Section 813.51(11) of the LWC provides that:

- The new replacement must be . . . at least two feet in all directions from the excavated area and must be at least ten feet long as measured along the longitudinal and transverse lines of the pavement;
- Where the replacement is less than ten feet from an expansion joint, the replacement shall be extended to the joint and/or construction joint;
- Where longitudinal trenches have been made in concrete pavements, the entire panel shall be replaced; and
- The permittee shall replace or restore satisfactorily to the Commissioner of Public Works and Transportation any pavement or other structure which may have been damaged

damaged during the progress of the work for which the permit was issued, even though such pavement or structure was not proposed to be disturbed at the time of the granting of the permit.

Your Committee notes that the current law allows for spot repaving of small areas, by concrete slab or other type of pavement, but only requires curb-to-curb replacement when excavation covers a substantial part of the street and does not specify the time in which the restoration or replacement must occur. Several municipalities, however, have laws that require replacing pavement from curb to curb, when the excavation meets much smaller thresholds.

Your Committee is informed that the City of Yonkers requires the replacement of pavement from curb to curb if there are multiple patches and the area of disturbance or excavation exceeds 10 percent of the road. The Village of Bronxville requires the replacement of the entire street from curb to curb where there are multiple patches and the area of disturbance exceeds 20 percent of the street. Both the Village of Dobbs Ferry and the Village of Rye Brook require curb to curb replacement where a patch “is within 18 inches of the curb or pavement edge.” The Village of Croton on Hudson goes even further and requires full curb to curb replacement of any roadway that has been paved within the last three years.

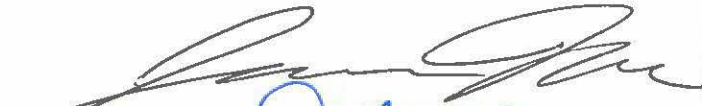

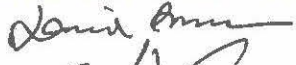

Your Committee further notes that, similar to the aforementioned municipalities, the proposed amendments to Chapter 813 of the LWC would require curb to curb replacement of pavement depending on the size of the excavation. Expanding the circumstances under which curb to curb replacement of pavement is required would prevent spot repaving, which can be an eye sore to a neighborhood or area—especially on a street that has been recently repaved. Moreover, curb to curb replacement can prevent rutting and erosion from water seepage into surface cracks, is more aesthetically acceptable, and can be more cost effective over time. Additionally, incorporating a period




within which pavement restoration or replacement must occur, such as 60 days unless otherwise directed by the Commissioner, will ensure that the work is done in a timely manner.

Your Committee is further informed that the proposed amendments do not meet the definition of an action under New York State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617. Please refer to the memorandum from the Department of Planning dated January 8, 2024, which is on file with the Clerk of the Board of Legislators.

Your Committee recommends adoption of this Local Law.

Dated: October 7, 2024  
White Plains, New York

  
Margaret A. Cio  
  
Colin D. Hill  
  
David Annunzio  
  
Vedat Gashi

  
James B. Johnson  
  
Nancy Dan  
  
Vedat Gashi

COMMITTEE ON

Legislation

Public Works & Transportation

jsw-9-17-24

## FISCAL IMPACT STATEMENT

**SUBJECT:** Section 813.41 of the Laws of Westchester County: Road Construction ☒ **NO FISCAL IMPACT PROJECTED**

### OPERATING BUDGET IMPACT

To be completed by submitting department and approved by Budget

#### SECTION A - FUND

☐

GENERAL FUND

☐

AIRPORT FUND

☐

SPECIAL DISTRICTS FUND

#### SECTION B - EXPENSES AND REVENUES

Total Current Year Expense \$ N/A

Total Current Year Revenue \$ N/A

Source of Funds (check one): ☐ Current Appropriations ☐ Transfer of Existing  
☐ Additional Appropriations ☐ Appropriations Other (explain)

Identify Accounts: \_\_\_\_\_

Potential Related Operating Budget Expenses: Annual Amount N/A

Describe: A local law amending Chapter 813 of the Laws of Westchester County relating to road construction.

Potential Related Operating Budget Revenues: Annual Amount N/A

Describe: \_\_\_\_\_

Anticipated Savings to County and/or Impact on Department Operations:

Current Year: \_\_\_\_\_

Next Four Years: \_\_\_\_\_

Prepared by: Christina Rampata

Title: Deputy Budget Director

Department: Budget Department

Date: 9/20/24

Approved By: 

Budget Director

Date: 9/20/24



LOCAL LAW INTRO. NO. 2024

A LOCAL LAW amending Chapter 813 of the Laws of Westchester County relating to road construction.

BE IT ENACTED by the County Board of the County of Westchester as follows:

**Section 1.** Subsection 4 of Section 813.41 of the Laws of Westchester County is hereby amended as follows:

All permits issued shall contain among other things the following terms, agreements, covenants and conditions:

\*\*\*

4. The work authorized by the permit shall be done to the complete satisfaction of the commissioner. In replacement of pavements, the standard County specifications therefor shall be followed as more particularly set forth in section 813.51 hereof. The permanent replacement of pavement shall be completed within sixty (60) days of the completion of work which requires the excavation of any county road or portion thereof, unless otherwise directed by the Commissioner.

**Section 2.** Subsection 11(a) of Section 813.51 of the Laws of Westchester County is hereby amended as follows:

11. At such times as directed by the commissioner, the permanent replacement of pavement shall be made under the supervision and inspection of the commissioner, as follows:
  - a. Concrete pavements. Pavement removal in areas of restoration shall be accomplished by saw cutting. Alternative methods may be used only with the expressed permission of the Commissioner. Pavement removal shall be done in such manner as to prevent cracking or damaging the abutting pavement.

The restoration of such pavement shall be made on a prepared subgrade with reinforced concrete ~~nine inches thick~~ of matching thickness of existing concrete, using trans-mixed, air-entrained concrete of either Class C or Class F composition an approved performance engineered mix (PEM) design from a New York State Department of Transportation approved concrete batching plant.

In any event, the new replacement must be set upon undisturbed subgrade for at least two feet in all directions from the excavated area and must be at least ten feet long as measured along either the longitudinal and or transverse lines of the pavement. Where the replacement is less than ten feet from an expansion or construction joint, the replacement shall be extended to the expansion joint and/or construction joint. The following general guidelines for pavement slab replacement shall apply:

- i. Where longitudinal trenches have been made in concrete pavements, the entire panel shall be replaced.
- ii. Where the replacement is within a prior replacement, the entire prior replacement shall be removed and replaced as determined by the Commissioner.
- iii. Where the replacement is within 18 inches or less of the curb or pavement edge, the replacement shall be extended to the curb or, where no curb exists, to the pavement edge.
- iv. Where the replacement exceeds 25 feet in length, the replacement shall be extended from curb to curb or, where no curb exists, from pavement edge to pavement edge in such a manner as required by the Commissioner.
- v. Where there are multiple replacements and the area of disturbance exceeds 10 percent of the total area of a road, then the entire road shall be replaced or resurfaced in a manner and with materials as determined by the Commissioner.

~~vi.~~ In removing portions of reinforced concrete, care shall be taken not to remove all the reinforcing material. New reinforcing shall be carefully tied and bonded to old reinforcing material when making restorations. Reinforcement shall ~~be three-eighths-inch bars, spaced 14 inches in each direction, or six by six, No. 6 reinforcing mesh. In lieu of steel reinforcement, an additional two inches of concrete may be substituted to attain an 11-inch overall thickness of restored pavement.~~ match existing type and spacing.

~~vii.~~ Approved premolded expansion joints shall be inserted where directed by the Commissioner, and all construction joints shall be ~~poured~~ filled with an acceptable asphaltic material.

~~Immediately after the finishing operations have been completed, the entire surface of the newly placed concrete shall be covered and cured in accordance with one of the following methods:~~

~~i. Impervious membrane or curing spray compound.~~

~~ii. Waterproof blankets.~~

~~iii. Polyethylene covers.~~

~~iv. Quilted covers.~~

~~and cured for a minimum period of four days.~~

~~On termination of the required curing period, the applicant shall complete the restoration of pavement by applying an anti-spalling compound to all exposed cement concrete areas which first shall be dried and swept or air-blasted free of all dirt and debris. Either a linseed antispalling compound or a silicone antispalling compound may be used for this purpose. The anti-spalling compound shall be applied in full compliance with departmental standards and specifications. All material requirements and construction procedures shall~~

conform to the NYSDOT standards and specifications in effect at the time the permit is issued.

**Section 3. Effective Date.** This Local Law shall take effect thirty (30) days after enactment.

jsw-9-17-2024

**HONORABLE BOARD OF LEGISLATORS  
THE COUNTY OF WESTCHESTER**

Your Committee is in receipt of a communication from the County Executive recommending the enactment of the following:

Land Purchase and Conveyance. An Act (the "Land Acquisition Act") to authorize the purchase and subsequent conveyance of approximately +/- 0.198 acres (8,625 square feet) of real property located at 60 South Kensico Avenue in the City of White Plains (the "Property") to Regan Development Corp., its successors, assigns or any entity created to carry out the purposes of the proposed transaction (the "Developer"), as part of the County's program to support the creation of affordable housing units that affirmatively further fair housing (the "Affordable AFFH Units"). The Land Acquisition Act also authorizes the County to grant and accept any property rights necessary in furtherance thereof.

Acquisition Financing. A New Homes Land Acquisition Bond Act (the "NHLA Bond Act"), prepared by the firm of Hawkins Delafield & Wood LLP, to authorize the issuance of bonds of the County in a total amount not to exceed \$1,030,000 as a part of Capital Project BPL30 New Homes Land Acquisition II. The Department of Planning ("Planning") has advised that subject to the receipt of approval of your Honorable Board, the proposed NHLA Bond Act will authorize an amount not to exceed \$1,030,000 to purchase the Property from the current owner to create twelve (12) Affordable AFFH Units for rent and approximately fifteen (15) parking spaces for use by the residents (the "Development").

Upon acquisition, the County will file a Declaration of Restrictive Covenants against the Property, to require that the proposed Affordable AFFH Units be marketed and leased in accordance with an approved affordable fair housing marketing plan to households earning at or below 50% and up to 60% of the Westchester County area median income. The units will remain affordable for a period of not less than fifty (50) years noting that the income limits are subject to change based on the median income levels at the time of initial occupancy and subsequent occupancies, as established by the U.S. Department of Housing and Urban Development.

In accordance with the Land Acquisition Act, the County will subsequently convey the Property to the Developer for One (\$1.00) Dollar to construct the Affordable AFFH Units.

Your Committee has been advised that additional funds for the Development are anticipated to be provided by New York State Homes and Community Renewal ("HCR"), Westchester County Housing Flex Fund, City of White Plains Affordable Housing Fund and a first mortgage from a conventional bank, for an estimated total Development cost of approximately \$6.42 Million.

Your Committee has been advised by Planning that the proposed Development was classified as an Unlisted Action by the City of White Plains Planning Board, which issued a negative declaration pursuant to the State Environmental Quality Review Act ("SEQRA") and its implementing regulations 6 NYCRR Part 617, which requires an assessment of environmental impacts. Your Committee has further been advised by Planning that since the County was not included as an involved agency in the City's review, the County must conduct its own environmental review. As such, a Short Environmental Assessment form ("EAF") was prepared by Planning and is attached hereto for your Honorable Board's consideration. Your Committee has carefully considered the proposed legislation. It has reviewed the attached EAF and the criteria contained in Section 617.7 of the SEQRA regulations to identify the relevant areas of environmental concern. For the reasons set forth in the attached EAF, your Committee believes that the proposed action will not have any significant adverse impact on the environment and urges your Honorable Board to adopt the annexed Resolution by which this Board would issue a Negative Declaration for this Development.

Your Committee has been further advised that Section 167.131 of the County Charter mandates that a Capital Budget Amendment that introduces a new capital project or changes the location, size or character of an existing capital project be accompanied by a report of the Westchester County Planning Board ("Planning Board") with respect to the physical planning aspects of the project. On July 2, 2024, the Planning Board adopted Resolution No. 24-24 that recommends funding for the purchase and conveyance of the Property which is annexed hereto. In addition, the report of the Commissioner of Planning is annexed pursuant to Section 191.41 of



the Westchester County Charter.

Based on the importance of creating more affordable housing units that affirmatively further fair housing in the County, your favorable action on the annexed Acts is respectfully requested, noting that the Land Acquisition Act and the NHLA Bond Act require the affirmative vote of two-thirds of the members of your Honorable Board.

Dated: October 7<sup>th</sup>, 2024

White Plains, New York

James E. B. Jones  
Shirley  
J. D. O.  
Mary E. B.  
Sedat Fathi  
H. R.  
H. R.

James E. B. Jones  
Shirley  
J. D. O.  
David O.  
Sedat Fathi  
H. R.

COMMITTEE ON  
c/lac 09-16-24

Budget & Appropriations

Housing & Planning

# FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: BPL30

☐ NO FISCAL IMPACT PROJECTED

## SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☒ GENERAL FUND

☐ AIRPORT FUND

☐ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☒ Current Appropriations

☐ Capital Budget Amendment

60 South Kensico Avenue, City of White Plains

## SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 1,030,000 PPU 30 Anticipated Interest Rate 3.32%

Anticipated Annual Cost (Principal and Interest): \$ 52,589

Total Debt Service (Annual Cost x Term): \$ 1,577,679

Finance Department: maab 9-19-24

## SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations

(describe in detail for current and next four years):

\_\_\_\_\_  
\_\_\_\_\_

## SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded: N/A

Prepared by: Blanca P. Lopez

Title: Commissioner

Department: Planning

Date: 9/19/24

Reviewed By:


By 9/20/24  
9/20/24

Budget Director

Date:

9/20/24

TO: Leonard Gruenfeld, Program Director  
Division of Housing and Community Development

FROM: David S. Kvinge, AICP, RLA, CFM   
Assistant Commissioner

DATE: September 12, 2024

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR  
BPL30, NEW HOMES LAND ACQUISITION II  
60 SOUTH KENSICO AVENUE, WHITE PLAINS**

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Pursuant to your request, Environmental Planning staff has reviewed the above referenced project in connection with the State Environmental Quality Review Act and its implementing regulations, 6 NYCRR Part 617 (SEQR).

The action involves the provision of County funding under capital project BPL30 – New Homes Land Acquisition II to facilitate the development of twelve units of affordable rental housing to be constructed at 60 South Kensico Avenue in the City of White Plains. Funds from BPL30 will be applied towards the purchase of approximately 0.198 acre of property, upon which the County will file a restrictive covenant that will require all of the proposed apartments to be marketed and leased to households meeting certain income thresholds for a period of not less than fifty years.

The proposed development was classified as an Unlisted Action by the City of White Plains Planning Board, which issued a negative declaration pursuant to SEQR for this project on April 19, 2022. However, since the County of Westchester was not included as an involved agency in the City's review, the County must conduct its own environmental review. As such, a Short Environmental Assessment Form (EAF) has been prepared and is attached for consideration by the Board of Legislators.

Please contact me if you require any additional information regarding this document.

DSK/cnm  
Att.

cc: Lynne Colavita, Senior Assistant County Attorney  
Blanca Lopez, Commissioner  
Susan Darling, Chief Planner  
Michael Lipkin, Associate Planner  
Claudia Maxwell, Principal Environmental Planner

# Short Environmental Assessment Form

## Part 1 - Project Information

### Instructions for Completing

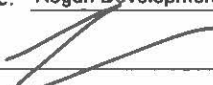
**Part 1 – Project Information.** The applicant or project sponsor is responsible for the completion of Part 1. Responses become part of the application for approval or funding, are subject to public review, and may be subject to further verification. Complete Part 1 based on information currently available. If additional research or investigation would be needed to fully respond to any item, please answer as thoroughly as possible based on current information.

Complete all items in Part 1. You may also provide any additional information which you believe will be needed by or useful to the lead agency; attach additional pages as necessary to supplement any item.

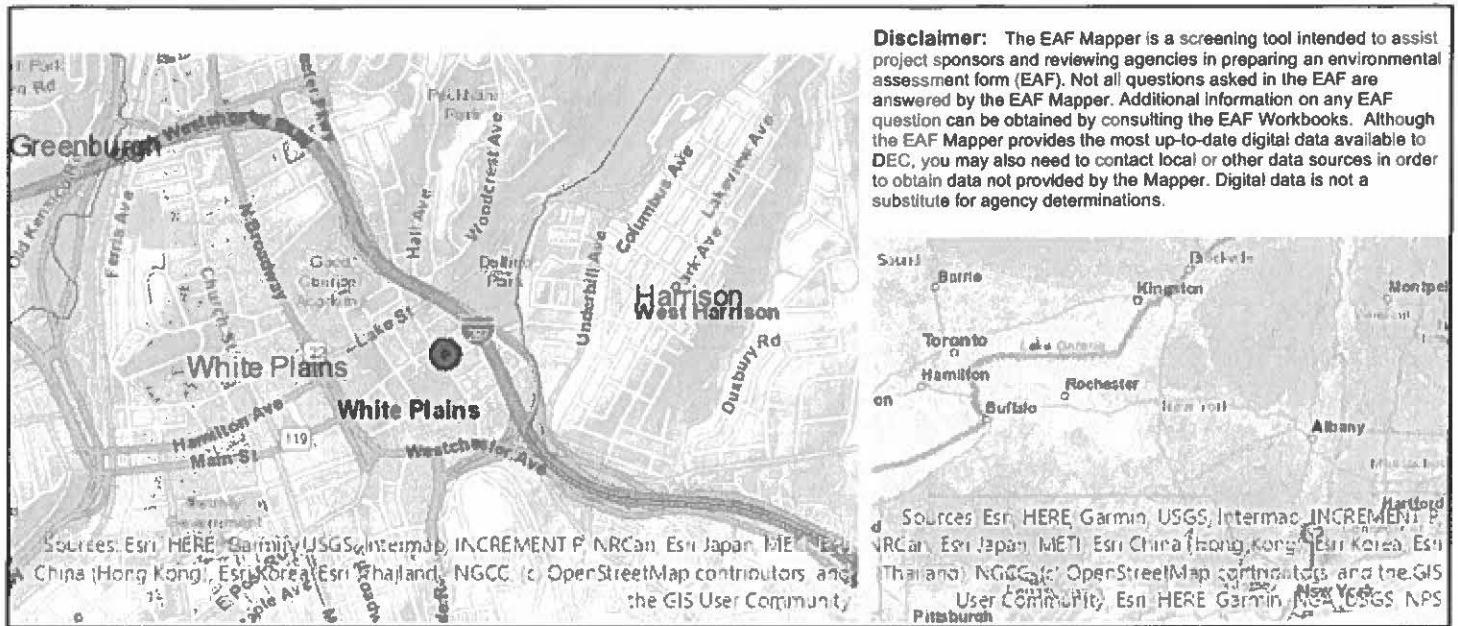
<b>Part 1 – Project and Sponsor Information</b>			
Name of Action or Project:			
60 South Kensico			
Project Location (describe, and attach a location map):			
60 South Kensico Avenue, White Plains, NY 10601			
Brief Description of Proposed Action:			
<p>Regan Development Corporation ("Regan" or "RDC") proposes 60 Kensico (the "Project"), the new construction of a twelve-unit 100% affordable multi-family rental building to be developed on vacant real property located at 60 South Kensico Ave, White Plains, NY 10601 (SBL: 126.53-6-18). The gross square footage for the proposed three-story building is 12,155 sq. ft. Of that total, 8,505 sq. ft. will be residential space and 2,555 sq. ft. will be common area. The basement of the building will be dedicated as a parking structure housing fifteen parking spaces underneath the residential building. The basement parking level entrance will be located along South Kensico Ave. Residents can access the building from the basement and first level entrances. The building holds a mix of 12 affordable studio and one-bedroom apartments. Three apartments will be 535 square foot studios, six apartments will be 618 or 665 square foot one-bedrooms, and three apartments will be 940 square foot one-bedroom units with a den space. Floors 1-3 will have identical layouts with four apartments per floor. There will be one studio apartment, two one-bedroom apartments, and one one-bedroom apartment plus a den. All units are accessible by two stairways or by elevator. Trash and recycling rooms will be on each of the three residential floors.</p>			
Name of Applicant or Sponsor:		Telephone: (914) 693-6613	
Regan Development Corporation		E-Mail: Larry@regandevelopment.com	
Address:			
1055 Saw Mill River Rd, Suite 204			
City/PO:		State:	Zip Code:
Ardsley		NY	10502
1. Does the proposed action only involve the legislative adoption of a plan, local law, ordinance, administrative rule, or regulation?			NO
If Yes, attach a narrative description of the intent of the proposed action and the environmental resources that may be affected in the municipality and proceed to Part 2. If no, continue to question 2.			YES
2. Does the proposed action require a permit, approval or funding from any other government Agency?			NO
If Yes, list agency(s) name and permit or approval: Westchester County New York State Homes and Community Renewal			YES
3. a. Total acreage of the site of the proposed action?			.198 acres
b. Total acreage to be physically disturbed?			.198 acres
c. Total acreage (project site and any contiguous properties) owned or controlled by the applicant or project sponsor?			.198 acres
4. Check all land uses that occur on, are adjoining or near the proposed action:			
5. <input checked="" type="checkbox"/> Urban <input type="checkbox"/> Rural (non-agriculture) <input type="checkbox"/> Industrial <input checked="" type="checkbox"/> Commercial <input checked="" type="checkbox"/> Residential (suburban)			
<input type="checkbox"/> Forest <input type="checkbox"/> Agriculture <input type="checkbox"/> Aquatic <input checked="" type="checkbox"/> Other(Specify): Educational			
<input type="checkbox"/> Parkland			



5. Is the proposed action, a. A permitted use under the zoning regulations? b. Consistent with the adopted comprehensive plan?	NO  <input type="checkbox"/>  <input type="checkbox"/>	YES  <input checked="" type="checkbox"/>  <input checked="" type="checkbox"/>	N/A  <input type="checkbox"/>  <input type="checkbox"/>
6. Is the proposed action consistent with the predominant character of the existing built or natural landscape?	NO  <input type="checkbox"/>	YES  <input checked="" type="checkbox"/>	
7. Is the site of the proposed action located in, or does it adjoin, a state listed Critical Environmental Area? If Yes, identify: _____	NO  <input checked="" type="checkbox"/>	YES  <input type="checkbox"/>	
8. a. Will the proposed action result in a substantial increase in traffic above present levels? b. Are public transportation services available at or near the site of the proposed action? c. Are any pedestrian accommodations or bicycle routes available on or near the site of the proposed action?	NO  <input checked="" type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>	YES  <input type="checkbox"/>  <input checked="" type="checkbox"/>  <input checked="" type="checkbox"/>	
9. Does the proposed action meet or exceed the state energy code requirements? If the proposed action will exceed requirements, describe design features and technologies: _____ _____	NO  <input type="checkbox"/>	YES  <input checked="" type="checkbox"/>	
10. Will the proposed action connect to an existing public/private water supply? If No, describe method for providing potable water: _____ _____	NO  <input type="checkbox"/>	YES  <input checked="" type="checkbox"/>	
11. Will the proposed action connect to existing wastewater utilities? If No, describe method for providing wastewater treatment: _____ _____	NO  <input type="checkbox"/>	YES  <input checked="" type="checkbox"/>	
12. a. Does the project site contain, or is it substantially contiguous to, a building, archaeological site, or district which is listed on the National or State Register of Historic Places, or that has been determined by the Commissioner of the NYS Office of Parks, Recreation and Historic Preservation to be eligible for listing on the State Register of Historic Places? b. Is the project site, or any portion of it, located in or adjacent to an area designated as sensitive for archaeological sites on the NY State Historic Preservation Office (SHPO) archaeological site inventory?	NO  <input checked="" type="checkbox"/>  <input type="checkbox"/>	YES  <input type="checkbox"/>  <input checked="" type="checkbox"/>	
13. a. Does any portion of the site of the proposed action, or lands adjoining the proposed action, contain wetlands or other waterbodies regulated by a federal, state or local agency? b. Would the proposed action physically alter, or encroach into, any existing wetland or waterbody? If Yes, identify the wetland or waterbody and extent of alterations in square feet or acres: _____ _____ _____	NO  <input checked="" type="checkbox"/>  <input checked="" type="checkbox"/>	YES  <input type="checkbox"/>  <input type="checkbox"/>	

14. Identify the typical habitat types that occur on, or are likely to be found on the project site. Check all that apply: <input type="checkbox"/> Shoreline <input type="checkbox"/> Forest <input type="checkbox"/> Agricultural/grasslands <input type="checkbox"/> Early mid-successional <input type="checkbox"/> Wetland <input checked="" type="checkbox"/> Urban <input checked="" type="checkbox"/> Suburban		
15. Does the site of the proposed action contain any species of animal, or associated habitats, listed by the State or Federal government as threatened or endangered? Although Peregrine Falcon was identified, the site is already fully developed as a parking lot and provides no wildlife habitat.	NO	YES
	<input checked="" type="checkbox"/>	<input type="checkbox"/>
16. Is the project site located in the 100-year flood plan?	NO	YES
	<input checked="" type="checkbox"/>	<input type="checkbox"/>
17. Will the proposed action create storm water discharge, either from point or non-point sources? If Yes, <div style="margin-left: 40px;">           a. Will storm water discharges flow to adjacent properties?            b. Will storm water discharges be directed to established conveyance systems (runoff and storm drains)?         </div> If Yes, briefly describe:	NO	YES
	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	<input checked="" type="checkbox"/>	<input type="checkbox"/>
All stormwater is treated on site. No discharge beyond property line. All contained site.		
18. Does the proposed action include construction or other activities that would result in the impoundment of water or other liquids (e.g., retention pond, waste lagoon, dam)? If Yes, explain the purpose and size of the impoundment:	NO	YES
	<input checked="" type="checkbox"/>	<input type="checkbox"/>
19. Has the site of the proposed action or an adjoining property been the location of an active or closed solid waste management facility? If Yes, describe:	NO	YES
	<input checked="" type="checkbox"/>	<input type="checkbox"/>
20. Has the site of the proposed action or an adjoining property been the subject of remediation (ongoing or completed) for hazardous waste? If Yes, describe:	NO	YES
	<input checked="" type="checkbox"/>	<input type="checkbox"/>
DECinfo Locator, Spill Incidents Database and Environmental Site Remediation Database were searched and yielded no results.		
<b>I CERTIFY THAT THE INFORMATION PROVIDED ABOVE IS TRUE AND ACCURATE TO THE BEST OF MY KNOWLEDGE</b>		
Applicant/sponsor/name: <u>Regan Development Corporation / Larry Regan</u> Date: <u>8/30/24</u>		
Signature: <u></u> Title: <u>President</u>		





Part 1 / Question 7 [Critical Environmental Area]	No
Part 1 / Question 12a [National or State Register of Historic Places or State Eligible Sites]	No
Part 1 / Question 12b [Archeological Sites]	Yes
Part 1 / Question 13a [Wetlands or Other Regulated Waterbodies]	No
Part 1 / Question 15 [Threatened or Endangered Animal]	Yes
Part 1 / Question 15 [Threatened or Endangered Animal - Name]	Peregrine Falcon
Part 1 / Question 16 [100 Year Flood Plain]	No
Part 1 / Question 20 [Remediation Site]	Yes

**Short Environmental Assessment Form**  
**Part 2 - Impact Assessment**

**Part 2 is to be completed by the Lead Agency.**

Answer all of the following questions in Part 2 using the information contained in Part 1 and other materials submitted by the project sponsor or otherwise available to the reviewer. When answering the questions the reviewer should be guided by the concept "Have my responses been reasonable considering the scale and context of the proposed action?"

	No, or small impact may occur	Moderate to large impact may occur
1. Will the proposed action create a material conflict with an adopted land use plan or zoning regulations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
2. Will the proposed action result in a change in the use or intensity of use of land?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
3. Will the proposed action impair the character or quality of the existing community?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
4. Will the proposed action have an impact on the environmental characteristics that caused the establishment of a Critical Environmental Area (CEA)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
5. Will the proposed action result in an adverse change in the existing level of traffic or affect existing infrastructure for mass transit, biking or walkway?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
6. Will the proposed action cause an increase in the use of energy and it fails to incorporate reasonably available energy conservation or renewable energy opportunities?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7. Will the proposed action impact existing: a. public / private water supplies?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. public / private wastewater treatment utilities?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
8. Will the proposed action impair the character or quality of important historic, archaeological, architectural or aesthetic resources?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
9. Will the proposed action result in an adverse change to natural resources (e.g., wetlands, waterbodies, groundwater, air quality, flora and fauna)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
10. Will the proposed action result in an increase in the potential for erosion, flooding or drainage problems?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
11. Will the proposed action create a hazard to environmental resources or human health?	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Short Environmental Assessment Form

Part 3 Determination of Significance

For every question in Part 2 that was answered “moderate to large impact may occur”, or if there is a need to explain why a particular element of the proposed action may or will not result in a significant adverse environmental impact, please complete Part 3. Part 3 should, in sufficient detail, identify the impact, including any measures or design elements that have been included by the project sponsor to avoid or reduce impacts. Part 3 should also explain how the lead agency determined that the impact may or will not be significant. Each potential impact should be assessed considering its setting, probability of occurring, duration, irreversibility, geographic scope and magnitude. Also consider the potential for short-term, long-term and cumulative impacts.

There will be minimal environmental impacts as the project is located on a site that has already been fully developed as a paved municipal parking lot and is completely surrounded by existing development, including multi-family residences, a school and a self-storage building.

There will be minimal community impacts since the parking lot is no longer being utilized by the municipality and the development consists of only 12 studio and one-bedroom apartments in a 3-story building with the required number of parking spaces on site.

Although the site has been identified as being within or adjacent to an area designated by SHPO as archaeologically sensitive, the entire neighborhood has already been heavily disturbed; as such, no further impacts on archaeological resources are anticipated.

The development will improve existing conditions by adding landscaping and an underground infiltration system that will help beautify and reduce stormwater runoff currently associated with the former parking lot.

☐ Check this box if you have determined, based on the information and analysis above, and any supporting documentation, that the proposed action may result in one or more potentially large or significant adverse impacts and an environmental impact statement is required.
 ☒ Check this box if you have determined, based on the information and analysis above, and any supporting documentation, that the proposed action will not result in any significant adverse environmental impacts.

County of Westchester

Name of Lead Agency

Malika Vanderberg

Print or Type Name of Responsible Officer in Lead Agency

Signature of Responsible Officer in Lead Agency

Date

Clerk of the Board of Legislators

Title of Responsible Officer

Signature of Preparer (if different from Responsible Officer)

## **RESOLUTION**

**WHEREAS**, there is pending before this Honorable Board an Act to authorize the County of Westchester to acquire and convey real property for the purpose of constructing 12 affordable rental units at 60 South Kensico Avenue in the City of White Plains, that will affirmatively further fair housing and remain affordable for a period of not less than 50 years; and

**WHEREAS**, this Honorable Board has determined that the proposed real property acquisition and conveyance would constitute an action under Article 8 of the Environmental Conservation Law, known as the New York State Environmental Quality Review Act ("SEQRA"); and

**WHEREAS**, pursuant to SEQRA and its implementing regulations (6 NYCRR Part 617), this project is classified as an "Unlisted action," which requires this Honorable Board to make a determination as to whether the proposed action will have a significant impact on the environment; and

**WHEREAS**, the County of Westchester is conducting an uncoordinated review as permitted for Unlisted actions pursuant to Section 617.6(b)(4) of the implementing regulations; and

**WHEREAS**, in accordance with SEQRA and its implementing regulations, a Short Environmental Assessment Form has been prepared to assist this Honorable Board in its environmental assessment of this proposed action; and

**WHEREAS**, this Honorable Board has carefully considered the proposed action and has reviewed the attached Short Environmental Assessment Form and the criteria set forth in Section 617.7 of the implementing regulations and has identified the relevant areas of

environmental concern, as described in the attached Short Environmental Assessment Form, to determine if this proposed action will have a significant impact on the environment.

**NOW, THEREFORE,** be it resolved by the County Board of Legislators of the County of Westchester, State of New York, as follows:

**RESOLVED,** that based upon the Honorable Board's review of the Short Environmental Assessment Form and for the reasons set forth therein, this Board finds that there will be no significant adverse impact on the environment from the real property acquisition and conveyance of 60 South Kensico Avenue in the City of White Plains in support of the construction 12 affordable rental units that will affirmatively further fair housing; and be it further

**RESOLVED,** that the Clerk of the Board of Legislators is authorized and directed to sign the "Determination of Significance" in the Short Environmental Assessment Form, which is attached hereto and made a part hereof, as the "Responsible Officer in Lead Agency"; to issue this "Negative Declaration" on behalf of this Board in satisfaction of SEQRA and its implementing regulations; and to immediately transmit same to the Commissioner of Planning to be filed, published and made available pursuant to the requirements of Part 617 of 6 NYCRR; and be it further

**RESOLVED,** that the Resolution shall take effect immediately.

Department of Planning  
432 Michaelian Office Building  
148 Martine Avenue  
White Plains, NY 10601

TO: Honorable George Latimer  
County Executive

FROM: Blanca P. Lopez  
Commissioner

DATE: September 30, 2024

SUBJECT: Acquisition of Real Property – 60 South Kensico Avenue –City of White Plains

Pursuant to Section 191.41 of the County Charter, submitted herewith is the required report of the Commissioner of Planning on the proposed acquisition and subsequent conveyance of +/- 0.198 acres of real property located at 60 South Kensico Avenue in the City of White Plains, identified on the City tax maps as Section 126.53: Block 6; Lot: 18 (the "Property") for the purpose of creating 12 affordable housing units (the "Affordable AFFH Units"), that will affirmatively further fair housing ("AFFH"). The development will also provide approximately 15 parking spaces for residents.

The County of Westchester ("the County") intends to finance the purchase of the Property from the current owner in an amount not to exceed \$1,030,000 as a part of Capital Project BPL30 New Homes Land Acquisition II. Upon acquisition of the Property, the County will file a Declaration of Restrictive Covenants to require that the Affordable AFFH Units are marketed and leased in accordance with an approved affirmative fair housing marketing plan and will remain available to eligible households for a period of not less than 50 years. The County will then convey ownership of the Property to Regan Development Corporation (the "Developer"), its successors or assigns, for One (\$1.00) Dollar.

The Developer proposes to construct one new three-story building on the Property that will include a mix of affordable studios and one-bedroom rental apartments that will be leased to households who earn at or below 50% and up to 60% of the area median income (collectively the "Development").

I recommend funding for acquisition and conveyance of the Property for the following reasons:

1. The acquisition of this Property will advance the County's efforts to provide fair and affordable housing;
2. The acquisition and subsequent conveyance of the Property to develop fair and affordable housing is consistent with development policies adopted by the County Planning Board as set forth in *Westchester 2025 - Context for County and Municipal Planning*



*in Westchester County and Policies to Guide County Planning*, adopted May 6, 2008, and amended January 5, 2010, and the recommended strategies set forth in *Patterns for Westchester: The Land and the People*, adopted December 5, 1995;

3. The Development is proposed to include green technology, such as an airtight envelope, energy efficient appliances, lighting and heating systems and water-conserving fixtures to reduce operating and maintenance costs, minimize energy consumption and conserve natural resources. The Development is designed to meet the green building and sustainability standards of New York State Homes and Community Renewal ("NYS HCR");
4. The Development is consistent with the land use policies and regulations of the City of White Plains; and
5. On July 2, 2024, the County Planning Board adopted a resolution to recommend County financing towards the purchase of the Property to support the Development.

BPL/lg

cc: Kenneth Jenkins, Deputy County Executive  
Joan McDonald, Director of Operations  
John M. Nonna, County Attorney  
Westchester County Planning Board

RESOLUTION 24- 24

WESTCHESTER COUNTY PLANNING BOARD

**New Homes Land Acquisition II  
Capital Project Funding Request  
60 South Kensico Avenue,  
City of White Plains**

**WHEREAS**, the County of Westchester (the "County") has established Capital Project BPL30 New Homes Land Acquisition II ("NHLA") to assist in the acquisition of property associated with the development and preservation of fair and affordable housing; and

**WHEREAS**, Regan Development Corporation (the "Developer"), its successors or assigns, desires to develop the real property located at 60 South Kensico Avenue in the City of White Plains (the "City"), identified on the tax maps as Section 126.53, Block 6, Lot: 18 (the "Property") to create 12 affordable residential units that will affirmatively further fair housing including one employee unit ("AFFH"; collectively the "Affordable AFFH Units") and approximately 15 parking spaces (collectively the "Development"); and

**WHEREAS**, the County proposes to purchase the Property from the owner of record, for a not to exceed amount of \$1,030,000 with funds from NHLA and subsequently convey the Property to the Developer for One (\$1.00) Dollar to underwrite the cost of the land; and

**WHEREAS**, upon acquisition, the County will file a Declaration of Restrictive Covenants to require that the Affordable AFFH Units constructed on the Property be leased to households who earn at or below 50% and up to 60% of the Westchester County Area Median Income ("AMI"); and

**WHEREAS**, the County will transfer ownership of the Property to the Developer to construct a mix of studio and one bedroom units to be leased to eligible households, pursuant to an approved Affirmative Fair Housing Marketing Plan, for a minimum of 50 years; and

**WHEREAS**, in furtherance of the above, the County Executive will be submitting legislation to the Board of Legislators to amend Capital Project BPL30 NHLA II to add the Property, 60 South Kensico Avenue; City of White Plains, and authorize bonding in a not to exceed amount of \$1,030,000 to develop the Property; and

**WHEREAS**, the Development is subject to approvals by the City of White Plains; and

**WHEREAS**, the funding to support the development of the Affordable AFFH Units is consistent with and reinforces *Westchester 2025 – Policies to Guide County Planning*, the County Planning Board's adopted long-range land use and development policies, by contributing to the development of "a range of housing types" "affordable to all income levels"; and

**WHEREAS**, the staff of the County Department of Planning have reviewed the proposal

and recommend the requested funding associated with acquisition of the Property; and

**RESOLVED**, that the Westchester County Planning Board after completing a review of the physical planning aspects of the Affordable AFFH Units, subject to an appraisal, recommends that the County provide financial assistance in a not-to-exceed amount of \$1,030,000 from BPL30 NHLA II for property acquisition; and be it further

**RESOLVED**, that the Westchester County Planning Board amends its report on the 2024 Capital Project requests to include 60 South Kensico Avenue in the City of White Plains, as a new component project in Capital Project BPL30 under the heading of Buildings, Land and Miscellaneous.

Adopted this 2<sup>nd</sup> day of July 2024.

  
Richard Lyman/Chair

ACT NO. -20\_\_

BOND ACT AUTHORIZING THE ISSUANCE OF \$1,030,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF THE PURCHASE OF REAL PROPERTY LOCATED ON 60 SOUTH KENSICO AVENUE, IN THE CITY OF WHITE PLAINS, IN ORDER TO AFFIRMATIVELY FURTHER FAIR HOUSING ("AFFH") PURSUANT TO THE COUNTY'S NEW HOMES LAND ACQUISITION II CAPITAL PROJECT (BPL30); STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$1,030,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$1,030,000 BONDS HEREIN AUTHORIZED; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS (Adopted , 20\_\_)

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER, NEW YORK (by the affirmative vote of not less than two-thirds of the voting strength of said Board), AS FOLLOWS:

Section 1. Pursuant to the provisions of the Local Finance Law, constituting Chapter 33-a of the Consolidated Laws of the State of New York (the "Law"), the Westchester County Administrative Code, being Chapter 852 of the Laws of 1948, as amended, and other laws applicable thereto, bonds of the County in the aggregate amount of \$1,030,000, or so much thereof as may be necessary, are hereby authorized to be issued to finance the cost of the purchase of approximately 0.198 acres of real property located on 60 South Kensico Avenue, in the City of White Plains (the "AFFH Property") from the current owner(s) of record at a cost of \$1,030,000,

including acquisition and settlement costs, in order to support the construction of 12 affordable housing units ("AFFH"). The County will file, or cause to be filed, a Declaration of Restrictive Covenants in the Westchester County Clerk's office requiring that the AFFH Property remain affordable for a period of not less than 50 years. The funding requested herein is in support of the construction of 12 affordable AFFH rental units and 15 parking spaces at the aggregate estimated maximum cost of \$1,030,000 for the acquisition of the AFFH Property. The AFFH Property shall be acquired by the County, subjected to said Declaration of Restrictive Covenants and subsequently conveyed to Regan Development Corporation (the "Developer"), its successors or assigns. The Developer will construct a project which will include 12 affordable AFFH rental units and 15 parking spaces on the AFFH Property. The County's acquisition of the AFFH Property is set forth in the County's Current Year Capital Budget, as amended. To the extent that the details set forth in this act are inconsistent with any details set forth in the Current Year Capital Budget of the County and the Statement of Need, such Budget and Statement of Need shall be deemed and are hereby amended. The estimated maximum cost of said object or purpose, including preliminary costs and costs incidental thereto and the financing thereof, is \$1,030,000. The plan of financing includes the issuance of \$1,030,000 bonds herein authorized, and any bond anticipation notes issued in anticipation of the sale of such bonds, and the levy and collection of a tax on taxable real property in the County to pay the principal of and interest on said bonds and notes.

Section 2. The period of probable usefulness for which said \$1,030,000 bonds are authorized to be issued, within the limitations of Section 11.00 a. 21 of the Law, is thirty (30) years.

Section 3. The County intends to finance, on an interim basis, the costs or a portion

of the costs of said object or purpose for which bonds are herein authorized, which costs are reasonably expected to be reimbursed with the proceeds of debt to be incurred by the County, pursuant to this Bond Act, in the maximum amount of \$1,030,000. This Act is a declaration of official intent adopted pursuant to the requirements of Treasury Regulation Section 1.150-2.

Section 4. The estimate of \$1,030,000 as the estimated maximum cost of the aforesaid object or purpose is hereby approved.

Section 5. Subject to the provisions of this Act and of the Law, and pursuant to the provisions of section 30.00 relative to the authorization of the issuance of bond anticipation notes and the renewals thereof, and of sections 50.00, 56.00 to 60.00 and 168.00 of said Law, the powers and duties of the County Board of Legislators relative to authorizing the issuance of any notes in anticipation of the sale of the bonds herein authorized, and the renewals thereof, relative to providing for substantially level or declining annual debt service, relative to prescribing the terms, form and contents and as to the sale and issuance of the bonds herein authorized, and of any notes issued in anticipation of the sale of said bonds and the renewals of said notes, and relative to executing agreements for credit enhancement, are hereby delegated to the Commissioner of Finance of the County, as the chief fiscal officer of the County.

Section 6. Each of the bonds authorized by this Act and any bond anticipation notes issued in anticipation of the sale thereof shall contain the recital of validity prescribed by section 52.00 of said Local Finance Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County of Westchester, payable as to both principal and interest by general tax upon all the taxable real property within the County. The faith and credit of the



County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds and the renewals of said notes, and provision shall be made annually in the budgets of the County by appropriation for (a) the amortization and redemption of the notes and bonds to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 7. The validity of the bonds authorized by this Act and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

(a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or

(b) the provisions of law which should be complied with at the date of the publication of this Act or a summary hereof, are not substantially complied with,

and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or

(c) such obligations are authorized in violation of the provisions of the Constitution.

Section 8. This Act shall take effect in accordance with Section 107.71 of the Westchester County Charter.

\* \* \*

STATE OF NEW YORK            )  
  : ss.:  
COUNTY OF WESTCHESTER)

I HEREBY CERTIFY that I have compared the foregoing Act No. -20\_\_\_ with the original on file in my office, and that the same is a correct transcript therefrom and of the whole of the said original Act, which was duly adopted by the County Board of Legislators of the County of Westchester on            , 20\_\_\_ and approved by the County Executive on            , 20\_\_\_.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said County Board of Legislators this     day  
of            , 20\_\_\_.

\_\_\_\_\_  
Clerk and Chief Administrative Officer of the County  
Board of Legislators of the County of Westchester,  
New York

(SEAL)

## LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on \_\_\_\_\_, 20\_\_ and approved by the County Executive on \_\_\_\_\_, 20\_\_ and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution. Complete copies of the Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. \_\_\_\_\_-20\_\_

BOND ACT AUTHORIZING THE ISSUANCE OF \$1,030,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF THE PURCHASE OF REAL PROPERTY LOCATED ON 60 SOUTH KENSICO AVENUE, IN THE CITY OF WHITE PLAINS, IN ORDER TO AFFIRMATIVELY FURTHER FAIR HOUSING ("AFFH") PURSUANT TO THE COUNTY'S NEW HOMES LAND ACQUISITION II CAPITAL PROJECT (BPL30); STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$1,030,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$1,030,000 BONDS HEREIN AUTHORIZED; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS (Adopted \_\_\_\_\_, 20\_\_)

Object or purpose: to finance the cost of the purchase of approximately 0.198 acres of real property located on 60 South Kensico Avenue, in the City of White Plains (the "AFFH Property") from the current owner(s) of record at a cost of \$1,030,000, including acquisition and settlement costs, in order to support the construction of 12 affordable housing units that will affirmatively further fair housing ("AFFH"). The County will file, or cause to be filed, a Declaration of Restrictive Covenants in the Westchester County Clerk's office requiring that the AFFH Property remain affordable for a period of not less than 50 years. The funding requested herein is in support of the construction of 12 affordable AFFH rental units and 15 parking spaces at the aggregate estimated maximum cost of \$1,030,000 for the acquisition of the AFFH Property. The AFFH Property shall be acquired by the County, subjected to said Declaration of Restrictive Covenants and subsequently conveyed to Regan Development Corporation (the "Developer"), its successors or assigns. The Developer will construct a project which will

include 12 affordable AFFH rental units and 15 parking spaces on the AFFH Property. The County's acquisition of the AFFH Property is set forth in the County's Current Year Capital Budget, as amended.

Amount of obligations to be issued  
and period of probable usefulness:

\$1,030,000 - thirty (30) years

Dated: \_\_\_\_\_, 20\_\_\_\_  
White Plains, New York

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Clerk and Chief Administrative Officer of the County Board  
of Legislators of the County of Westchester, New York

# Capital Project Fact Sheet Form

Project ID: \*  
BPL30

☒ CBA

Fact Sheet Date: \*  
07/08/2024

Fact Sheet Year \*  
2024

Project Title: \*  
NEW HOMES LAND ACQUISITION  
II

Legislative District ID:  
5

Category \*  
BUILDINGS, LAND &  
MISCELLANEOUS

Department \*  
PLANNING

Unique Identifier  
2584

## Overall Project Description

This is a continuation of project BPL10 New Homes Land Acquisition Fund (NHLA). NHLA provides funds to acquire property for the construction of fair and affordable housing. The purpose of the Fund is to increase the inventory of available properties for fair and affordable housing development. In addition to the acquisition cost of properties, other costs associated with, and often required for, site acquisition may be considered eligible costs to be funded through the NHLA program. Such associated costs may include, but are not limited to, closing costs, appraisals, property surveys, environmental assessments, hazardous materials reports and demolition of existing structures. Demolition may be particularly critical in the County's urban areas where existing structures need to be removed to allow construction of fair and affordable units. Funds can be used in all municipalities. This is a general fund, specific projects are subject to a Capital Budget Amendment.

☐ Best Management

☐ Energy Efficiencies

☐ Infrastructure

☐ Life Safety

☐ Project Labor Agreement

☐ Revenue

☐ Security

☒ Other

Other Details

## Five Year Capital Program (in Thousands)

	Estimate d Ultimate Total Cost	Prior Appropri ation	2024	2025	2026	2027	2028	Under Review
Gross	104,500	104,500	0	0	0	0	0	0
Less Non- County Shares	0	0	0	0	0	0	0	0
Net	104,500	104,500	0	0	0	0	0	0

Expended Obligated Amount (in thousands)  
54,851

**Current Bond Request / Description:**

Bonding is requested to finance the acquisition of approximately 0.198 acres of real property located at 60 South Kensico Avenue in the City of White Plains and identified on the tax maps as Section 126.53: Block 6: Lot: 18 (the "Property") in order to construct 12 units of rental housing that will Affirmatively Further Fair Housing (the "Affordable AFFH Units"). The Development will also include 15 parking spaces.

**Financing Plan for Current Request:**

Bond/Notes:	1,030,000
Cash:	0
Non-county Shares:	0
Total:	1,030,000

SEQR Classification  
UNLISTED

Amount Requested  
1,030,000

PPU

Description	Amount	Years
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**Expected Design Work Provider**

☐ County Staff      ☐ Consultant      ☒ Not Applicable

**Comments**

A Capital Budget Amendment ("CBA") is requested to add the property to Capital Projects BPL30 and to authorize the County to purchase the vacant land from the current owner for an amount not to exceed \$1,030,000 to support the construction of one residential building of three stories with 12 Affordable AFFH rental units (including one employee unit) and 15 parking spaces. Upon acquisition, the County will file a Declaration of Restrictive Covenants to require that the units will be marketed to households who earn less than 50% and up to 60% of the County Area Median Income for a period of no less than 50 years, and further that the units will be leased and marketed to eligible households under an approved Affordable Housing Marketing plan. The County will then convey ownership to Regan Development Corporation (the "Developer") its successors or assigns for one dollar (\$1.00).

**Energy Efficiencies:**

THE BUILDING WILL BE CONSTRUCTED WITH ENERGY EFFICIENT APPLIANCES, LIGHTING, HEATING SYSTEMS AND WATER CONSERVING FIXTURES. THE DEVELOPMENT IS DESIGNED TO MEET THE GREEN BUILDING AND SUSTAINABILITY STANDARDS OF NY STATE HOMES AND COMMUNITY RENEWAL.



## Appropriation History

Appropriation Year	Amount	Description
2014	5,000,000	CONTINUATION OF THIS PROJECT
2016	2,500,000	CONTINUATION OF THIS PROJECT
2017	7,200,000	\$2,200,000 LAND ACQUISITION FOR MT HOPE PLAZA FOR MT HOPE COMMUNITY REDEVELOPMENT CORP, LOCATED AT 65 LAKE ST. WHITE PLAINS AND \$5,000,000CONTINUATION OF THIS PROJECT.
2018	8,000,000	CONTINUATION OF THIS PROJECT.
2019	5,000,000	CONTINUATION OF THIS PROJECT
2020	10,000,000	CONTINUATION OF THIS PROJECT
2021	16,800,000	CONTINUATION OF THIS PROJECT
2022	25,000,000	CONTINUATION OF THIS PROJECT
2023	25,000,000	CONTINUATION OF THIS PROJECT

**Total Appropriation History**  
**104,500,000**

## Financing History

Year	Bond Act #	Amount	Issued Amount	Description
15	204	460,000	460,608	147, 165 AND 175 RAILROAD AVENUE, BEDFORD HILLS ACQUISITION
15	235	1,840,000	1,830,000	ACQUISITION OF 80 BOWMAN AVENUE, VILLAGE OF RYE BROOK
15	240	0	0	
15	267	284,000	284,375	ACQUISITION OF 322 KEAR STREET, YORKTOWN HEIGHTS
16	24	353,000	290,732	104 PINE STREET, CORTLANDT- COST OF ACQUISITION
16	21	197,000	191,659	27 WALDEN COURT, UNIT #M YORKTOWN - COST OF ACQUISITION
16	18	385,000	0	164 PHYLLIS COURT, YORKTOWN - COST OF ACQUISITION
16	56	400,220	388,541	9 WATSON ST-CORTLANDT
16	231	2,009,980	1,999,980	200 READER'S DIGEST ROAD CHAPPAQUA AFFIRMATIVELY FURTHERING AFFORDABLE HOUSING AMEND
16	53	219,050	0	18 MINKEL RD OSSINING
16	59	210,800	211,079	5 STANLEY AVE - OSSINING
16	50	228,800	207,286	112 VILLAGE RD YORKTOWN
17	172	1,250,000	1,250,000	ACQUISITION OF LAND AT 1847 CROMPOND ROAD PEEKSKILL
17	142	2,600,000	2,312,500	ACQUISITION OF LAND LOCATED ON ROUTE 22 IN LEWISBORO
17	209	0	0	PURCHASE OF LAND AT 501 BROADWAY IN VILLAGE OF BUCHANAN
18	155	0	0	PURCHASE PROPERTY TO SUPPORT DEVLOPMENT OF AFFORDABLE HOUSING UNITS IN NEW ROCHELLE
18	123	787,500	787,507	PURCHASE PROPERTY AT 5 HUDSON STREET IN YONKERS TO PRESERVE AFFORDABLE AFFH RENTAL UNITS
18	77	2,100,000	2,100,000	PURCHASE OF LAND LOCATED AT 65 LAKE STREET IN WHITE PLAINS FOR FAIR HOUSING
18	159	1,000,000	1,000,000	PURCHASE OF PROPERTY LOCATED AT HALSTEAD AVENUE TO SUPPORT DEVELOPMENT OF AFFORDABLE HOUSING

Financing History				
Year	Bond Act #	Amount	Issued Amount	Description
18	186	3,000,000	3,000,000	PURCHASE OF REAL PROPERTY AT 25 SOUTH REGENT STREET IN PORT CHESTER TO FURTHER FAIR HOUSING
19	70	5,225,000	5,225,000	PURCHASE PROPERTY TO SUPPORT DEVELOPMENT OF AFFORDABLE HOUSING UNITS IN NEW ROCHELLE
19	68	1,765,000	1,765,000	PURCHASE AND SUBSEQUENT CONVEYANCE OF PROPERTY LOCATED AT 227 ELM STREET IN YONKERS
19	150	2,340,000	2,419,325	PURCHASE OF REAL PROPERTY LOCATED AT 645 MAIN STREET IN PEEKSKILL, FOR FAIR HOUSING
19	171	306,000	305,325	FINANCE THE PURCHASE OF REAL PROPERTY, INCLUDING THREE UNIT RENTAL BUILDING AT 162 LINCOLN
19	182	1,375,000	0	
20	206	5,000,000	5,000,007	AFFORDABLE HOUSING DEVELOPMENT 62 MAIN STREET, TARRYTOWN
21	84	3,825,000	3,825,063	AFFORDABLE AFFH UNITS - GREENBURGH 1 DROMORE ROAD
21	47	5,000,000	5,000,000	AFFORDABLE HOUSING - POINT ST AND RAVINE AVE YONKERS
21	93	1,400,000	1,399,999	AFFORDABLE AFFH UNITS - 76 LOCUST HILL AVE YONKERS
21	190	5,000,000	4,999,999	500 MAIN STREET NEW ROCHELLE
21	178	5,000,000	4,999,999	AFFH 26 GARDEN ST NEW ROCHELLE
21	166	1,800,000	1,757,604	AFFH 51 MAPLE ST VILLAGE OF CROTON
22	154	1,900,000	1,900,031	32, 36-38 MAIN STREET AND 1-3 RIVERDALE AVENUE CITY OF YONKERS
24	1	2,700,000	0	317, 319, 321 WARBURTON AVE AND 247-255 WOODWORTH AVE AND 32 POINT STREET
24	26	6,125,000	0	1, 7-11, 25,29 & 33 NO MACQUESTEN PARKWAY
24	24	6,000,000	0	2&8 GROVE, 102&106 SOUTH TERRACE, 111&115 SO MACQUESTEN PARKWAY
24	3	3,000,000	0	
24	83	4,360,000	0	LAND ACQUISITION II - 30 WATER ST. OSSINING

## Cash History

Year	Amount	Description
Financing History Total		
79,446,350		

## Recommended By:

Department of Planning  
MLLL

Date  
07/16/2024

Department of Public Works  
RJB4

Date  
07/17/2024

Budget Department  
DEV9

Date  
07/18/2024

Requesting Department  
MLLL

Date  
07/22/2024

## NEW HOMES LAND ACQUISITION II ( BPL30 )

**User Department :** Planning

**Managing Department(s) :** Planning ;

**Estimated Completion Date:** TBD

**Planning Board Recommendation:** Project approved in concept but subject to subsequent staff review.

### **FIVE YEAR CAPITAL PROGRAM (in thousands)**

	Est Ult Cost	Appropriated	Exp / Obl	2024	2025	2026	2027	2028	Under Review
<b>Gross</b>	<b>104,500</b>	<b>104,500</b>	<b>54,851</b>						
<b>Non County Share</b>			<b>(688)</b>						
<b>Total</b>	<b>104,500</b>	<b>104,500</b>	<b>54,163</b>						

### **Project Description**

This is a continuation of project BPL10 New Homes Land Acquisition Fund (NHLA). NHLA provides funds to acquire property for the construction of fair and affordable housing. The purpose of the Fund is to increase the inventory of available properties for fair and affordable housing development. In addition to the acquisition cost of properties, other costs associated with, and often required for, site acquisition may be considered eligible costs to be funded through the NHLA program. Such associated costs may include, but are not limited to, closing costs, appraisals, property surveys, environmental assessments, hazardous materials reports and demolition of existing structures. Demolition may be particularly critical in the County's urban areas where existing structures need to be removed to allow construction of fair and affordable units. Funds can be used in all municipalities. This is a general fund, specific projects are subject to a Capital Budget Amendment.

### **Current Year Description**

There is no current year request.

### **Impact on Operating Budget**

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

### **Appropriation History**

Year	Amount	Description	Status
2014	5,000,000	Continuation of this project	COMPLETE
2016	2,500,000	Continuation of this project	COMPLETE
2017	7,200,000	\$2,200,000 Land acquisition for Mt Hope Plaza for Mt Hope Community Redevelopment Corp, located at 65 Lake St. White Plains and \$5,000,000 continuation of this project.	COMPLETE
2018	8,000,000	Continuation of this project.	COMPLETE
2019	5,000,000	Continuation of this project	COMPLETE
2020	10,000,000	Continuation of this project	COMPLETE
2021	16,800,000	Continuation of this project	COMPLETE
2022	25,000,000	Continuation of this project	DESIGN / CONSTRUCTION
2023	25,000,000	Continuation of this project	DESIGN / CONSTRUCTION
<b>Total</b>	<b>104,500,000</b>		

## NEW HOMES LAND ACQUISITION II ( BPL30 )

### Prior Appropriations

	Appropriated	Collected	Uncollected
Bond Proceeds	104,500,000	54,938,308	49,561,692
Others		688,010	(688,010)
<b>Total</b>	<b>104,500,000</b>	<b>55,626,319</b>	<b>48,873,681</b>

### Bonds Authorized

Bond Act	Amount	Date Sold	Amount Sold	Balance
204 15	460,000	12/15/17	388,647	(609)
		12/15/17	71,360	
		12/15/17	603	
235 15	1,840,000	12/15/16	1,830,000	10,000
240 15				
267 15	284,000	12/15/17	239,947	(376)
		12/15/17	44,057	
		12/15/17	372	
18 16	385,000			385,000
21 16	197,000	12/15/16	191,659	5,341
24 16	353,000	12/15/16	290,732	62,268
56 16	400,220	12/15/17	327,838	11,679
		12/15/17	60,194	
		12/15/17	509	
59 16	210,800	12/15/17	178,102	(279)
		12/15/17	32,701	
		12/15/17	276	
50 16	228,800	12/15/17	174,902	21,513
		12/15/17	32,114	
		12/15/17	271	
53 16	219,050			219,050
231 16	2,009,980	12/15/16	1,999,980	10,000
142 17	2,600,000	12/01/21	2,312,500	287,500
172 17	1,250,000	12/10/18	1,250,000	
209 17				
77 18	2,100,000	12/10/19	1,205,036	
		12/10/19	237,964	
		12/01/21	657,000	
123 18	787,500	10/28/20	690,728	(8)
		10/28/20	96,780	
		10/28/20	26,688	
		10/28/20	(26,688)	
155 18				



## NEW HOMES LAND ACQUISITION II ( BPL30 )

159	18	1,000,000	12/10/19	835,090	
			12/10/19	164,910	
186	18	3,000,000	12/10/19	2,505,271	
			12/10/19	494,729	
68	19	1,765,000	12/10/19	1,473,935	
			12/10/19	291,065	
70	19	5,225,000	12/10/19	4,363,348	
			12/10/19	861,652	
150	19	2,340,000	10/28/20	2,052,449	(79,325)
			10/28/20	287,575	
			10/28/20	79,302	
171	19	306,000	12/01/21	305,325	675
182	19	1,375,000			1,375,000
206	20	5,000,000	12/01/21	5,000,000	
47	21	5,000,000	12/01/21	5,000,000	
84	21	3,825,000			3,825,000
93	21	1,400,000	12/01/22	1,273,444	
			12/01/22	126,556	
166	21	1,800,000			1,800,000
178	21	5,000,000	12/01/22	4,548,013	
			12/01/22	451,987	
190	21	5,000,000	12/01/22	4,548,013	
			12/01/22	451,987	
154	22	1,900,000			1,900,000
Total		57,261,350		47,428,921	9,832,429

**ACT NO. \_\_\_\_\_ - 2024**

**AN ACT** authorizing the County of Westchester to purchase approximately +/- 0.198 acres (8,625 square feet) of real property located at 60 South Kensico Avenue in the City of White Plains and to subsequently convey said property, and to further authorize the County to grant and accept any property rights necessary in furtherance thereof, for the purpose of creating 12 affordable rental units that will affirmatively further fair housing and remain affordable for a period of not less than fifty (50) years

**NOW, THEREFORE, BE IT ENACTED** by the members of the Board of Legislators of the County of Westchester as follows:

**Section 1.** The County of Westchester (the "County") is hereby authorized to purchase from the current owner(s) of record approximately +/- 0.198 acres (8,625 square feet) of real property located at 60 South Kensico Avenue in the City of White Plains (the "Property") to construct 12 affordable rental housing units that will affirmatively further fair housing (the "Affordable AFFH Units") as set forth in 42 U.S.C. Section 5304(b)(2).

**§2.** The County is hereby authorized to purchase the Property for an amount not to exceed ONE MILLION THIRTY THOUSAND (\$1,030,000) DOLLARS.

**§3.** The County is hereby authorized to convey the Property to Regan Development Corp., its successors, assigns or any entity created to carry out the purposes of the proposed transaction, for One (\$1.00) Dollar to construct the Affordable AFFH Units that will be marketed and leased to households earning at or below 50% and up to 60% of the Westchester County area median income, that will remain affordable for a period of not less than fifty (50) years, and will be marketed and leased in accordance with an approved affirmative fair housing marketing plan, noting that the income limits are subject to change based on the median income levels at the time

of initial occupancy and subsequent occupancies, as established by the U.S. Department of Housing and Urban Development.

§4. The County is hereby authorized to grant and accept any and all property rights necessary in furtherance hereof.

§5. The transfers of the Property shall be by such deeds as approved by the County Attorney.

§6. The County Executive or his duly authorized designee is hereby authorized and empowered to execute all instruments and to take all action necessary and appropriate to effectuate the purposes hereof.

§7. This Act shall take effect immediately.

**HONORABLE BOARD OF LEGISLATORS  
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmittal from the County Executive recommending approval by the County of Westchester (“County”) of an amended bond act (the “Amended Bond Act”) in the total amount of \$6,000,000, which includes \$2,000,000 in previously authorized bonds of the County, to finance capital project SY028 – Yonkers Joint Treatment Plant – Evaluation of Plant Electric & Lighting Systems (“SY028”).

The Amended Bond Act, which was prepared by the law firm Norton Rose Fulbright, will finance additional design and construction management services associated with the replacement of all electrical distribution system and lighting system equipment at the Yonkers Joint Treatment Plant, including, but not limited to, switchgears, substations, motor control centers, lighting, panels, and all associated wiring.

The Department of Environmental Facilities (“Department”) has advised that the majority of this equipment was installed in the early 1970s during the Plant expansion and upgrade and is past its useful life.

Following bonding authorization, design will be scheduled and is anticipated to take approximately eighteen (18) months to complete and will be performed by consultants. Due to the necessary phasing of this project, it is estimated that construction will take between twenty-four (24) and thirty-six (36) months to complete and will begin after award and execution of the construction contracts, subject to further authorization of construction financing by your Honorable Board.

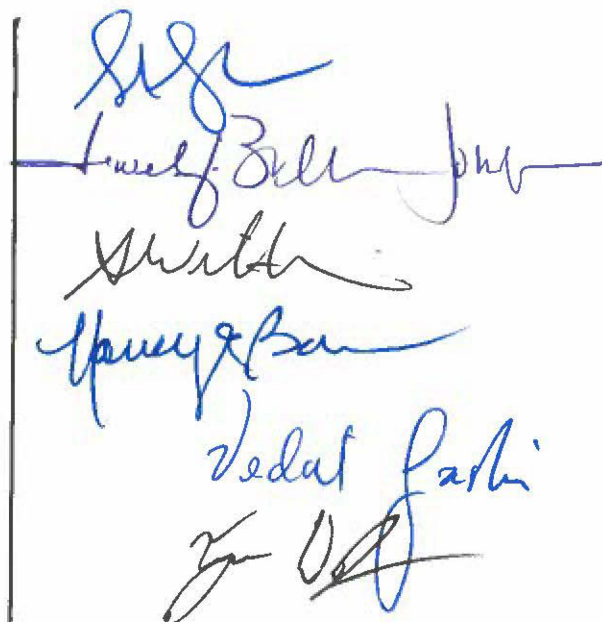
It should be noted that your Honorable Board has previously authorized bonding in connection with SY028 as follows: Bond Act No. 61-2020 in the amount of \$2,000,000, which financed design and construction management costs associated with this project. No bonds have been issued under Bond Act No. 61-2020. Accordingly, authority is now requested to amend Bond Act No. 61-2020 to increase the initial amount authorized by \$4,000,000, from \$2,000,000 to \$6,000,000, in order to finance additional design services and construction management for this project.

The Planning Department has advised your Committee that based on its review, the above-referenced capital project may be classified as a Type "II" action pursuant to the State Environmental Quality Review Act ("SEQR") and its implementing regulations, 6 NYCRR Part 617. Therefore, no environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this recommendation.

It should be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to adopt the Amended Bond Act. Your Committee recommends the adoption of the proposed Amended Bond Act.

Dated: October 7<sup>th</sup>, 2024  
White Plains, New York

  
Handwritten signatures of committee members in blue ink, including names like Timothy Bell, John, Smith, Manaykhan, Vedat Farhi, and others.

  
Handwritten signatures of committee members in blue ink, including names like Timothy Bell, John, Smith, Manaykhan, Vedat Farhi, and others.

Budget & Appropriations

Public Works & Transportation

# FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: SY028

☐ NO FISCAL IMPACT PROJECTED

## SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☐ GENERAL FUND

☐ AIRPORT FUND

☒ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☒ Current Appropriations

☐ Capital Budget Amendment

## SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 6,000,000 PPU 5 Anticipated Interest Rate 2.36%

Anticipated Annual Cost (Principal and Interest): \$ 1,299,065

Total Debt Service (Annual Cost x Term): \$ 6,495,324

Finance Department: maab 9-18-24

## SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations

(describe in detail for current and next four years):

\_\_\_\_\_  
\_\_\_\_\_

## SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded: 65

Prepared by: Dianne Vanadia

Title: Associate Budget Director

Department: Budget

Date: 9/19/24

Reviewed By: 


DV 9/19/24  
CD 9/20/24

Budget Director

Date: 9/20/24



TO: Michelle Greenbaum, Senior Assistant County Attorney  
Jeffrey Goldman, Senior Assistant County Attorney  
Carla Chaves, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM   
Assistant Commissioner

DATE: September 17, 2024

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:  
SY028 YONKERS JOINT TREATMENT PLANT-EVALUATION OF PLANT  
ELECTRIC AND LIGHTING SYSTEMS**

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**PROJECT/ACTION:** Per Capital Project Fact Sheet as approved by the Planning Department on  
08-28-2024 (Unique ID: 2650)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(27):** conducting concurrent environmental, engineering, economic, feasibility and other studies and preliminary planning and budgetary processes necessary to the formulation of a proposal for action, provided those activities do not commit the agency to commence, engage in or approve such action.

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**COMMENTS:** The current request is for design only. Funds for construction management will not be expended unless the Board of Legislators approves funding for construction of the project. Further environmental review will be conducted following completion of design and prior to Board approval of funding for construction.

DSK/dvw

cc: Andrew Ferris, Chief of Staff  
Paula Friedman, Assistant to the County Executive  
Lawrence Soule, Budget Director  
Tami Altschiller, Assistant Chief Deputy County Attorney  
Nat Federici, Deputy Commissioner, Dept. of Environmental Facilities  
Dianne Vanadia, Associate Budget Director  
Susan Darling, Chief Planner  
Michael Lipkin, Associate Planner  
Claudia Maxwell, Principal Environmental Planner

ACT NO. \_\_\_\_\_ - 202\_\_

BOND ACT DATED \_\_\_\_\_, 202\_\_.

A BOND ACT OF THE COUNTY OF WESTCHESTER, NEW YORK, AMENDING BOND ACT NO. 61-2020, TO INCREASE THE ESTIMATED MAXIMUM COST AND THE AMOUNT OF BONDS AUTHORIZED TO \$6,000,000, AN INCREASE OF \$4,000,000, TO PAY THE DESIGN AND CONSTRUCTION MANAGEMENT COSTS OF THE PROPOSED REPLACEMENT OF ALL ELECTRICAL DISTRIBUTION SYSTEM AND LIGHTING SYSTEM EQUIPMENT AT THE YONKERS JOINT TREATMENT PLANT FOR THE BENEFIT OF THE COUNTY'S SOUTH YONKERS, BRONX VALLEY, CENTRAL YONKERS, HUTCHINSON VALLEY, NORTH YONKERS, SAW MILL AND UPPER BRONX SANITARY SEWER DISTRICTS.

WHEREAS, pursuant to Bond Act No. 61-2020, dated April 14, 2020, the County Board of Legislators, previously authorized the issuance of \$2,000,000 bonds to pay the design and construction management costs of the proposed replacement of all electrical distribution system and lighting system equipment at the Yonkers Joint Treatment Plant, including switchgears, substations, motor control centers, lighting, panels, and all associated wiring, a class of objects or purposes, for the joint benefit of the County's South Yonkers, Bronx Valley, Central Yonkers, Hutchinson Valley, North Yonkers, Saw Mill and Upper Bronx Sanitary Sewer Districts; and

WHEREAS, no obligations have heretofore been issued under Bond Act 61-2020; and

WHEREAS, it has now been determined that the estimated maximum cost of the aforesaid class of objects or purposes is \$6,000,000, an increase of \$4,000,000, and it is now desired to increase the amount of bonds authorized to \$6,000,000; and

WHEREAS, \$6,000,000 has been appropriated in the Capital Budget of the County for the aforesaid class of objects or purposes;

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER, NEW YORK (by the affirmative vote of not less than two thirds of the entire voting strength thereof), as follows:

Section (A): The bond act duly adopted by this Board entitled:

BOND ACT NO. 61-2020

A BOND ACT AUTHORIZING THE ISSUANCE OF \$2,000,000 BONDS OF THE COUNTY OF WESTCHESTER, NEW YORK, TO PAY THE DESIGN AND CONSTRUCTION MANAGEMENT COSTS OF THE PROPOSED REPLACEMENT OF ALL ELECTRICAL DISTRIBUTION SYSTEM AND LIGHTING SYSTEM EQUIPMENT AT THE YONKERS JOINT TREATMENT PLANT FOR THE BENEFIT OF THE COUNTY'S SOUTH YONKERS, BRONX VALLEY, CENTRAL YONKERS, HUTCHINSON VALLEY, NORTH YONKERS, SAW MILL AND UPPER BRONX SANITARY SEWER DISTRICTS.

is hereby amended to read as follows:

A BOND ACT AUTHORIZING THE ISSUANCE OF \$6,000,000 BONDS OF THE COUNTY OF WESTCHESTER, NEW YORK, TO PAY DESIGN AND CONSTRUCTION MANAGEMENT COSTS OF THE PROPOSED REPLACEMENT OF ALL ELECTRICAL DISTRIBUTION SYSTEM AND LIGHTING SYSTEM EQUIPMENT AT THE YONKERS JOINT TREATMENT PLANT FOR THE BENEFIT OF THE COUNTY'S SOUTH YONKERS, BRONX VALLEY, CENTRAL YONKERS, HUTCHINSON VALLEY, NORTH YONKERS, SAW MILL AND UPPER BRONX SANITARY SEWER DISTRICTS.

WHEREAS, the capital project hereinafter described has been duly approved in the adopted capital budget for the current fiscal year; and

WHEREAS, the plan for the financing of the estimated maximum cost of such capital project, as hereinafter set forth in this Bond Act, is in conformity with such capital budget; and

WHEREAS, all conditions precedent to the financing of the capital project hereinafter described, including compliance with the provisions of the State Environmental Quality Review Act, to the extent required, have been performed; and

WHEREAS, it is now desired to authorize the financing of such capital project; NOW, THEREFORE,

BE IT ENACTED, by the Board of Legislators of the County of Westchester, New York, by the affirmative vote of not less than two thirds of the entire voting strength thereof, as follows:

Section 1. For paying design and construction management costs of the proposed replacement of all electrical distribution system and lighting system equipment at the Yonkers Joint Treatment Plant, including switchgears, substations, motor control centers, lighting, panels, and associated wiring, a class of objects or purposes, for the joint benefit of the County's South Yonkers,

Bronx Valley, Central Yonkers, Hutchinson Valley, North Yonkers, Saw Mill and Upper Bronx Sanitary Sewer Districts, and including incidental expenses thereof, there are hereby authorized to be issued \$6,000,000 bonds of said County pursuant to the provisions of the Local Finance Law. To the extent that the details of the aforesaid class of objects or purposes set forth in this act are inconsistent with any details set forth in the current Capital Budget of the County, such Budget shall be deemed and is hereby amended to the extent inconsistent herewith.

Section 2. It is hereby determined that the estimated maximum cost of the aforesaid class of objects or purposes is \$6,000,000, and that the plan for the financing thereof is by the issuance of the \$6,000,000 bonds of said County authorized to be issued pursuant to this Bond Act.

Section 3. It is hereby determined that the period of probable usefulness of the aforesaid class of objects or purposes is five years, pursuant to subdivision sixty-two of paragraph a of Section 11.00 of the Local Finance Law.

Section 4. Subject to the provisions of the Local Finance Law, the power to authorize the issuance of and to sell bond anticipation notes in anticipation of the issuance and sale of the bonds herein authorized, including renewals of such notes, is hereby delegated to the Commissioner of Finance, the chief fiscal officer. Such notes shall be of such terms, form and contents, and shall be sold in such manner, as may be prescribed by said Commissioner of Finance, consistent with the provisions of the Local Finance Law.

Section 5. The faith and credit of the County of Westchester, New York, are hereby irrevocably pledged for the payment of the principal of and interest on such obligations as the same respectively become due and payable. An annual appropriation shall be made in each year sufficient to pay the principal of and interest on such obligations becoming due and payable in such year. To the extent not paid from the assessment of properties assessable for this purpose in the County's South Yonkers, Bronx Valley, Central Yonkers, Hutchinson Valley, North Yonkers, Saw Mill and Upper Bronx Sanitary Sewer Districts, as allocated by the County, or other sources, there shall

annually be levied on all the taxable real property of said County a tax sufficient to pay the principal of and interest on such obligations as the same become due and payable.

Section 6. Such bonds shall be in fully registered form and shall be signed in the name of the County of Westchester, New York, by the manual or facsimile signature of the Commissioner of Finance and a facsimile of the corporate seal shall be imprinted or impressed thereon and may be attested by the manual or facsimile signature of the County Clerk.

Section 7. The powers and duties of advertising such bonds for sale, conducting the sale and awarding the bonds, are hereby delegated to the Commissioner of Finance, who shall advertise such bonds for sale, conduct the sale, and award the bonds in such manner as said Commissioner of Finance shall deem best for the interests of the County; including, but not limited to, the power to sell said bonds to the New York State Environmental Facilities Corporation; provided, however, that in the exercise of these delegated powers, the Commissioner of Finance shall comply fully with the provisions of the Local Finance Law and any order or rule of the State Comptroller applicable to the sale of municipal bonds. The receipt of the Commissioner of Finance shall be a full acquittance to the purchaser of such bonds, who shall not be obliged to see to the application of the purchase money.

Section 8. The Commissioner of Finance is hereby further delegated the power to authorize the sale and issuance of the bonds authorized pursuant to this Bond Act (a) at a discount in the manner authorized by paragraphs e and f of Section 57.00 of the Local Finance Law, (b) at private sale pursuant to the applicable provisions of the Local Finance Law and any regulations of the New York State Comptroller appertaining thereto, including the private sale of bonds at a premium, (c) as capital appreciation bonds or term bonds at public sale or private sale pursuant to the applicable provisions of the Local Finance Law and any regulations of the New York State Comptroller appertaining thereto, and (d) at a variable rate of interest in the manner authorized by Section 54.90 of the Local Finance Law, including notes issued in anticipation thereof. The Commissioner of Finance is hereby authorized to enter into such agreements as said Commissioner



of Finance shall determine reasonable and necessary to facilitate the issuance, sale, resale and, or repurchase of such bonds or notes pursuant to the provisions of Section 54.90 of the Local Finance Law. Such bonds and, or notes shall be of such terms, form and contents, and shall be sold in such manner, as may be prescribed by said Commissioner of Finance.

Section 9. The power to issue and sell notes to the New York State Environmental Facilities Corporation pursuant to Section 169.00 of the Local Finance Law is hereby delegated to the Commissioner of Finance. Such notes shall be of such terms, form and contents as may be prescribed by said Commissioner of Finance consistent with the provisions of the Local Finance Law.

Section 10. The Commissioner of Finance is hereby further authorized, at the Commissioner of Finance's sole discretion, to execute a project financing and loan agreement, and any other agreements with the New York State Department of Environmental Conservation and/or the New York State Environmental Facilities Corporation, including amendments thereto, and including any instruments (or amendments thereto) in the effectuation thereof, in order to effect the financing or refinancing of the class of objects or purposes described in Section 1 hereof, or a portion thereof, by a bond, and, or note issue of said County in the event of the sale of same to the New York State Environmental Facilities Corporation.

Section 11. The intent of this Bond Act is to give the Commissioner of Finance sufficient authority to execute those applications, agreements, instruments or to do any similar acts necessary to effect the issuance of the aforesaid bonds and, or notes without resorting to further action of this Board of Legislators.

Section 12. All other matters, except as provided herein relating to such bonds, including determining whether to issue such bonds having substantially level or declining annual debt service and all matters related thereto, prescribing whether manual or facsimile signatures shall appear on said bonds, prescribing the method for the recording of ownership of said bonds, appointing the fiscal agent or agents for said bonds, providing for the printing and delivery of said bonds (and if said bonds are to be executed in the name of the County by the facsimile signature of the Commissioner of



Finance, providing for the manual countersignature of a fiscal agent or of a designated official of the County), the date, denominations, maturities and interest payment dates, place or places of payment, and also including the consolidation with other issues, shall be determined by the Commissioner of Finance. It is hereby determined that it is to the financial advantage of the County not to impose and collect from registered owners of such bonds any charges for mailing, shipping and insuring bonds transferred or exchanged by the fiscal agent, and, accordingly, pursuant to paragraph c of Section 70.00 of the Local Finance Law, no such charges shall be so collected by the fiscal agent. Such bonds shall contain substantially the recital of validity clause provided for in Section 52.00 of the Local Finance Law and shall otherwise be in such form and contain such recitals in addition to those required by Section 52.00 of the Local Finance Law, as the Commissioner of Finance shall determine.

Section 13. The validity of such bonds and bond anticipation notes may be contested only if:

(1) Such obligations are authorized for an object or purpose for which said County is not authorized to expend money, or

(2) The provisions of law which should be complied with at the date of publication of this Bond Act are not substantially complied with,

and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or

(3) Such obligations are authorized in violation of the provisions of the Constitution.

Section 14. This Bond Act shall constitute a statement of official intent for purposes of Treasury Regulations Section 1.150 - 2. Other than as specified in this Bond Act, no moneys are, or are reasonably expected to be, reserved, allocated on a long-term basis, or otherwise set aside with respect to the permanent funding of the object or purpose described herein.

Section 15. This Bond Act, which shall take effect immediately in accordance with the provisions of Section 33.10 of the Local Finance Law and as provided in Section 107.71 of the Westchester County Charter, shall be published in summary form in the official newspaper of said County for purposes of this Bond Act, together with a notice of the Clerk of the Board of Legislators in substantially the form provided in Section 81.00 of the Local Finance Law.

Section (B). The amendments of the bond act set forth in Section (A) of this act shall in no way affect the validity of the liabilities incurred, obligations issued, or action taken pursuant to said bond act, and all such liabilities incurred, obligations issued, or action taken shall be deemed to have been incurred, issued or taken pursuant to said bond act, as so amended.

Section (C). This Bond Act shall take effect immediately upon approval by the County Executive.

The foregoing Bond Act was duly put to a vote which resulted as follows:

AYES:

NOES:

ABSENT:

The Bond Act was thereupon declared duly adopted.

\* \* \* \*

APPROVED BY THE COUNTY EXECUTIVE

\_\_\_\_\_

Date: \_\_\_\_\_, 2024

STATE OF NEW YORK                     )  
  ) ss.:  
COUNTY OF WESTCHESTER        )

I, the undersigned Clerk of the Board of Legislators of the County of Westchester, New York,  
DO HEREBY CERTIFY:

That I have compared the annexed extract of the minutes of the meeting of the Board of  
Legislators of said County, including the Bond Act contained therein, held on \_\_\_\_\_,  
2024, with the original thereof on file in my office, and that the same is a true and correct transcript  
therefrom and of the whole of said original so far as the same relates to the subject matters therein  
referred to.

I FURTHER CERTIFY that all members of said Board had due notice of said meeting.

I FURTHER CERTIFY that said meeting was (i) open to the general public pursuant to  
Section 103 of the Public Officers Law or (ii) conducted in conformance with Section 103-a of the  
Public Officers Law.

I FURTHER CERTIFY that, PRIOR to the time of said meeting, I duly caused a public notice  
of the time and place of said meeting to be given to the following newspapers and/or other news  
media as follows:

Newspaper and/or Other News Media

Date Given

I FURTHER CERTIFY that PRIOR to the time of said meeting, I duly caused public notice of the time and place of said meeting to be conspicuously posted in the following designated public location(s) on the following dates:

Designated Location(s) of Posted Notices

Date of Posting

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said County Board of Legislators on \_\_\_\_\_, 2024.

---

Clerk of the County Board of Legislators  
of the County of Westchester, New York

(CORPORATE  
SEAL)

## LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on \_\_\_\_\_, 2024 and approved by the County Executive on \_\_\_\_\_, 2024 and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. \_\_\_\_\_-2024

BOND ACT OF THE COUNTY OF WESTCHESTER, NEW YORK, AMENDING BOND ACT NO. 61-2020, TO INCREASE THE ESTIMATED MAXIMUM COST AND THE AMOUNT OF BONDS AUTHORIZED TO \$6,000,000 (AN INCREASE OF \$4,000,000) TO PAY THE DESIGN AND CONSTRUCTION MANAGEMENT COSTS OF THE PROPOSED REPLACEMENT OF ALL ELECTRICAL DISTRIBUTION SYSTEM AND LIGHTING SYSTEM EQUIPMENT AT THE YONKERS JOINT TREATMENT PLANT FOR THE BENEFIT OF THE COUNTY'S SOUTH YONKERS, BRONX VALLEY, CENTRAL YONKERS, HUTCHINSON VALLEY, NORTH YONKERS, SAW MILL AND UPPER BRONX SANITARY SEWER DISTRICTS.

object or purpose:

financing design and construction management costs of the proposed replacement of all electrical distribution system and lighting system equipment at the Yonkers Joint Treatment Plant, including switchgears, substations, motor control centers, lighting, panels, and all associated wiring, a class of objects or purposes, for the joint benefit of the County's South Yonkers, Bronx Valley, Central Yonkers, Hutchinson Valley, North Yonkers, Saw Mill and Upper Bronx Sanitary Sewer Districts

period of probable usefulness: five (5) years

amount of obligations to be issued: \$6,000,000

Dated: \_\_\_\_\_, 2024  
White Plains, New York

\_\_\_\_\_  
Clerk of the County Board of Legislators of the County of Westchester, New York

285001736.2



## CAPITAL PROJECT FACT SHEET

<b>Project ID:*</b> SY028	<input type="checkbox"/> CBA	<b>Fact Sheet Date:*</b> 08-23-2024
<b>Fact Sheet Year:*</b> 2024	<b>Project Title:*</b> YONKERS JOINT TREATMENT PLANT-EVALUATION OF PLANT ELECTRIC AND LIGHTING SYSTEMS	<b>Legislative District ID:</b> 2, 17, 16, 15, 14, 13, 12, 11, 10, 9, 8, 5, 3,
<b>Category*</b> SEWER AND WATER DISTRICTS	<b>Department:*</b> ENVIRONMENTAL FACILITIES	<b>CP Unique ID:</b> 2650

### Overall Project Description

This project will evaluate the condition and useful life of all major components of the plant electrical system as well as exterior and interior plant lighting. Electrical system evaluation should include a coordinated study which should document deficiencies and update one line diagrams for any equipment not addressed in other Capital Projects. Report should focus on, but not be limited to, Switchgears, Motor Control Centers and Main Feed lines with recommendations sufficiently detailed to allow the department to allocate funding for design and implementation in a phased manner. Plant lighting component of this evaluation should assess the condition and useful life of all interior and exterior plant lighting with the emphasis on Roadway lighting, tank deck lighting, interior building lighting and ground fault protection system. Recommendations for upgrades and replacements will be adequately detailed and implementation will be performed through a phased approach.

- |   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> Best Management Practices | <input checked="" type="checkbox"/> Energy Efficiencies | <input checked="" type="checkbox"/> Infrastructure |
| <input type="checkbox"/> Life Safety                          | <input type="checkbox"/> Project Labor Agreement        | <input type="checkbox"/> Revenue                   |
| <input type="checkbox"/> Security                             | <input type="checkbox"/> Other                          |  |

### FIVE-YEAR CAPITAL PROGRAM (in thousands)

	Estimated Ultimate Total Cost	Appropriated	2024	2025	2026	2027	2028	Under Review
<b>Gross</b>	45,200	25,200	0	0	15,000	0	5,000	0
<b>Less Non-County Shares</b>	0	0	0	0	0	0	0	0
<b>Net</b>	45,200	25,200	0	0	15,000	0	5,000	0

**Expended/Obligated Amount (in thousands) as of :** 2,110

**Current Bond Description:** Bonding authorization is requested for additional design services and construction management for the Yonkers Joint Plant Electrical & Lighting Systems Upgrade.

#### Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	4,000,000
Cash:	0
<b>Total:</b>	<b>\$ 4,000,000</b>

#### **SEQR Classification:**

TYPE II

#### **Amount Requested:**

4,000,000

#### Expected Design Work Provider:

- |                                       |  |   |
|---------------------------------------|--|---|
| <input type="checkbox"/> County Staff | <input checked="" type="checkbox"/> Consultant | <input type="checkbox"/> Not Applicable |
|---------------------------------------|--|---|

#### Comments:

#### Energy Efficiencies:

NEW MORE ENERGY EFFICIENT EQUIPMENT WILL REPLACE EXISTING SYSTEMS.

**Appropriation History:**

Year	Amount	Description
2009	200,000	STUDY AND EVALUATION OF MAJOR ELECTRICAL COMPONENTS
2020	2,000,000	STUDY AND PRELIMINARY DESIGN
2023	23,000,000	DESIGN, CONSTRUCTION AND CONSTRUCTION MANAGEMENT

**Total Appropriation History:**

25,200,000

**Financing History:**

Year	Bond Act #	Amount	Issued	Description
16	162	200,000		0 YONKERS JOINT TREATMENT PLANT - EVALUATION OF PLANT ELECTRIC AND LIGHTING SYSTEMS
20	61	2,000,000		0 COST OF DESIGN AND CONSTRUCTION OF ELECTRIC & LIGHTING SYSTEMS OF YONKERS JOINT TREATMENT PLANT

**Total Financing History:**

2,200,000

**Recommended By:****Department of Planning**  
MLLL**Date**  
08-28-2024**Department of Public Works**  
RJB4**Date**  
08-28-2024**Budget Department**  
DEV9**Date**  
08-29-2024**Requesting Department**  
NJF9**Date**  
08-29-2024

# YONKERS JOINT TREATMENT PLANT-EVALUATION OF PLANT ELECTRIC AND LIGHTING SYSTEMS ( SY028 )

**User Department :** Environmental Facilities

**Managing Department(s) :** Environmental Facilities ; Public Works ;

**Estimated Completion Date:** TBD

**Planning Board Recommendation:** Project approved in concept but subject to subsequent staff review.

## **FIVE YEAR CAPITAL PROGRAM (in thousands)**

	Est Ult Cost	Appropriated	Exp / Obl	2024	2025	2026	2027	2028	Under Review
Gross	45,200	25,200	2,110			15,000		5,000	
Non County Share									
Total	45,200	25,200	2,110			15,000		5,000	

### **Project Description**

This project will evaluate the condition and useful life of all major components of the plant electrical system as well as exterior and interior plant lighting. Electrical system evaluation should include a coordinated study which should document deficiencies and update one line diagrams for any equipment not addressed in other Capital Projects. Report should focus on, but not be limited to, Switchgears, Motor Control Centers and Main Feed lines with recommendations sufficiently detailed to allow the department to allocate funding for design and implementation in a phased manner. Plant lighting component of this evaluation should assess the condition and useful life of all interior and exterior plant lighting with the emphasis on Roadway lighting, tank deck lighting, interior building lighting and ground fault protection system. Recommendations for upgrades and replacements will be adequately detailed and implementation will be performed through a phased approach.

### **Current Year Description**

There is no current year request.

### **Impact on Operating Budget**

The impact on the District Budget is the debt service associated with the issuance of bonds. The outcome of the study may lead to future capital projects as well.

### **Appropriation History**

Year	Amount	Description	Status
2009	200,000	Study and evaluation of major electrical components	COMPLETE
2020	2,000,000	Study and preliminary design	DESIGN
2023	23,000,000	Design, construction and construction management	AWAITING BOND AUTHORIZATION
Total	25,200,000		

### **Prior Appropriations**

	Appropriated	Collected	Uncollected
Bond Proceeds	25,200,000		25,200,000
Total	25,200,000		25,200,000

### **Bonds Authorized**

Bond Act	Amount	Date Sold	Amount Sold	Balance
162 16	200,000			200,000
61 20	2,000,000			2,000,000
Total	2,200,000			2,200,000

**HONORABLE BOARD OF LEGISLATORS  
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmittal from the County Executive recommending approval by the County of Westchester ("County") of an act, which, if adopted, will amend the County's current-year capital budget ("Capital Budget Amendment"), as well as adoption of a related bond act ("Bond Act") in the amount of \$625,000 prepared by the law firm Harris Beach PLLC to finance capital project BIT50 - American Management Systems ("AMS")/Conseillers en Gestion et Informatique ("CGI") Applications and Linux Real Application Cluster ("RAC") Upgrade ("BIT50").

Your Committee is advised that the Capital Budget Amendment will amend the County's current-year capital budget to increase the County share for BIT50 by \$625,000. The Department of Information Technology ("Department") has advised your Committee that the current request will fund the cost of implementation services and related expenses to upgrade the County's Financial, Human Resources and Budget systems to the new version. In addition, the current request will also fund the purchase of data processing equipment and database software.

The Bond Act, in the amount of \$625,000, would finance the purchase of additional storage/servers and related equipment software and implementing services needed by the Department of Information and Technology ("Department") to upgrade the Finance, Human Resources and Budget Departments.

The Department has advised that AMS/ CGI, which is the vendor for the County's Financial, Human Resource and Budget systems, informed the County that it is instituting a major systems renovation and will no longer support existing systems. BIT50 will fund the cost of the implementation services and related expenses for CGI/AMS to upgrade the County's systems to the new version and the purchase of data processing equipment and database software.

The purchase and implementation of additional storage/servers and related software equipment by the Department to upgrade the storage/servers and related database software equipment of the Finance, Human Resources and Budget Departments should be completed no later than September of 2025.

It should be noted that your Honorable Board has authorized the County to issue bonds in connection with previous components of BIT50 as indicated in the annexed fact sheet.

The Planning Department has advised your Committee that based on its review, BIT50 may be classified as a Type "II" action pursuant to the State Environmental Quality Review Act ("SEQR") and its implementing regulations, 6 NYCRR Part 617. Therefore, no environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this recommendation.

The Planning Department has advised your Committee that the Planning Board has previously reviewed BIT50 and issued a report, and that since there is no change in the scope of the work and this is simply a change in the financing plan, no further action by the Planning Board is necessary at this time.

Your Committee has carefully considered the proposed Capital Budget Amendment, as well as the related Bond Act, and recommends approval of both of the proposed Acts, noting that the Bond Act may only be enacted following adoption of the Capital Budget Amendment. It should also be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to amend the County's Capital Budget and to adopt the Bond Act.

Dated: October 7<sup>th</sup>, 2024

White Plains, New York

James E. Zeller John  
Sefu  
Jat N  
William  
J. D  
Mary E. Baum  
Vedat Fashu

Sefu  
Jat N  
William  
J. D  
David D  
Vedat Fashu

Sefu  
James E. Zeller John  
William  
J. D  
Mary E. Baum  
Vedat Fashu

COMMITTEE ON

Budget & Appropriations

Information Technology  
&  
Cyber Security

Public Works &  
Transportation



# FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: BIT50

☐ NO FISCAL IMPACT PROJECTED

## SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☒ GENERAL FUND

☐ AIRPORT FUND

☐ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☐ Current Appropriations

☒ Capital Budget Amendment

## SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 625,000 PPU 10 Anticipated Interest Rate 2.53%

Anticipated Annual Cost (Principal and Interest): \$ 71,995

Total Debt Service (Annual Cost x Term): \$ 719,949

Finance Department: maab 9-27-24

## SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations  
(describe in detail for current and next four years):

\_\_\_\_\_  
\_\_\_\_\_

## SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded: 7

Prepared by: Dianne Vanadia

Title: Associate Budget Director

Department: Budget

Date: 9/27/24

Reviewed By: 

09/27/24  
Date: 9/27/24

Budget Director

TO: Michelle Greenbaum, Senior Assistant County Attorney  
Jeffrey Goldman, Senior Assistant County Attorney  
Carla Chaves, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM  
Assistant Commissioner



DATE: September 18, 2024

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:  
BIT50 AMS/CGI APPLICATIONS AND LINUX RAC UPGRADE**

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**PROJECT/ACTION:** Per Capital Project Fact Sheet as approved by the Planning Department on  
09-03-2024 (Unique ID: 2674)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(31):** purchase or sale of furnishings, equipment or supplies, including surplus government property, other than the following: land, radioactive material, pesticides, herbicides, or other hazardous materials.

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**COMMENTS:** None.

DSK/CNM/dvw

cc: Andrew Ferris, Chief of Staff  
Paula Friedman, Assistant to the County Executive  
Lawrence Soule, Budget Director  
Tami Altschiller, Assistant Chief Deputy County Attorney  
Dianne Vanadia, Associate Budget Director  
Susan Darling, Chief Planner  
Michael Lipkin, Associate Planner  
Claudia Maxwell, Principal Environmental Planner

An Act amending the 2024 County  
Capital Budget Appropriations for  
Capital Project BIT50 AMS/CGI  
APPLICATIONS AND LINUX RAC  
UPGRADE

BE IT ENACTED by the Board of Legislators of the County of Westchester as follows:

Section 1. The Capital section of the 2024 County Budget is hereby amended as follows:

	Previous 2024 Appropriation	Change	Revised 2024 Appropriation
I. Appropriation	\$8,035,000	\$625,000	\$8,660,000

Section 2. The estimated method of financing in the Capital Section of the 2024 Westchester County Capital Budget is amended as follows:

II. METHOD OF FINANCING

Bonds and/or Notes	\$8,035,000	\$625,000	\$8,660,000
Non County Shares	\$0		\$0
Cash	\$0		\$0
Total	<u>\$8,035,000</u>	<u>\$625,000</u>	<u>\$8,660,000</u>

Section 3. The ACT shall take effect immediately.

ACT NO. -20\_\_

BOND ACT AUTHORIZING THE ISSUANCE OF \$625,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE ADDITIONAL COST OF THE ACQUISITION AND INSTALLATION OF HARDWARE AND SOFTWARE FOR THE DEPARTMENT OF INFORMATION TECHNOLOGY; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$625,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$625,000 BONDS HEREIN AUTHORIZED TO FINANCE SUCH COST; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted , 20\_\_)

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER, NEW YORK (by the affirmative vote of not less than two-thirds of the voting strength of said Board), AS FOLLOWS:

Section 1. Pursuant to the provisions of the Local Finance Law, constituting Chapter 33-a of the Consolidated Laws of the State of New York (the "Law"), the Westchester County Administrative Code, being Chapter 852 of the Laws of 1948, as amended, to the provisions of other laws applicable thereto, \$625,000 bonds of the County, or so much thereof as may be necessary, are hereby authorized to be issued to finance the additional cost of the acquisition and installation of storage/servers and related equipment, software and implementation services for the Department of Information Technology; all as set forth in the

County's current year Capital Budget, as amended. To the extent that the details set forth in this act are inconsistent with any details set forth in the current year Capital Budget of the County, such Budget shall be deemed and is hereby amended. The estimated maximum cost of said objects or purposes, including preliminary costs and costs incidental thereto and the financing thereof is \$625,000. The plan of financing includes the issuance of \$625,000 bonds herein authorized, and any bond anticipation notes issued in anticipation of the sale of such bonds, and the levy of a tax to pay the principal of and interest on said bonds.

Section 2. The period of probable usefulness applicable to the objects or purposes for which the bonds authorized by this Act are to be issued, within the limitations of Section 11.00 a. 81(a) of the Law, is ten (10) years.

Section 3. Current funds are not required to be provided as a down payment pursuant to Section 107.00 d. 9. of the Law prior to issuance of the bonds authorized herein, or any bond anticipation notes issued in anticipation of the sale of such bonds. The County intends to finance, on an interim basis, the costs or a portion of the costs of said improvements for which bonds are herein authorized, which costs are reasonably expected to be reimbursed with the proceeds of debt to be incurred by the County, pursuant to this Act, in the maximum amount of \$625,000. This Act is a declaration of official intent adopted pursuant to the requirements of Treasury Regulation Section 1.150-2.

Section 4. Subject to the provisions of this Act and of the Law, and pursuant to the provisions of §30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals thereof, and of §§50.00, 56.00 to 60.00 and 168.00 of said Law, the powers and

duties of the County Board of Legislators relative to authorizing the issuance of any notes in anticipation of the sale of the bonds herein authorized, or the renewals thereof, relative to providing for substantially level or declining annual debt service, relative to prescribing the terms, form and contents and as to the sale and issuance of the respective amounts of bonds herein authorized, and of any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and relative to executing agreements for credit enhancement, are hereby delegated to the Commissioner of Finance of the County, as the chief fiscal officer of the County.

Section 5. Each of the bonds authorized by this Act and any bond anticipation notes issued in anticipation of the sale thereof shall contain the recital of validity prescribed by §52.00 of said Local Finance Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County of Westchester, payable as to both principal and interest by general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and provision shall be made annually in the budgets of the County by appropriation for (a) the amortization and redemption of the notes and bonds to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 6. The validity of the bonds authorized by this Act and of any notes issued in anticipation of the sale of said bonds, may be contested only if:



(a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or

(b) the provisions of law which should be complied with at the date of the publication of this Act or a summary hereof, are not substantially complied with,

and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or

(c) such obligations are authorized in violation of the provisions of the Constitution.

Section 7. This Act shall take effect in accordance with Section 107.71 of the Westchester County Charter.

\* \* \*

STATE OF NEW YORK                    )  
  : ss.:  
COUNTY OF WESTCHESTER        )

I HEREBY CERTIFY that I have compared the foregoing Act No. -20\_\_\_ with the original on file in my office, and that the same is a correct transcript therefrom and of the whole of the said original Act, which was duly adopted by the County Board of Legislators of the County of Westchester on \_\_\_\_\_, 20\_\_\_ and approved by the County Executive on \_\_\_\_\_, 20\_\_\_.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said County Board of Legislators this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_.

(SEAL)

The Clerk and Chief Administrative Officer of the  
County Board of Legislators  
County of Westchester, New York

## LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on \_\_\_\_\_, 20\_\_\_\_ and approved by the County Executive on \_\_\_\_\_, 20\_\_\_\_ and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. \_\_\_\_\_-20\_\_\_\_

BOND ACT AUTHORIZING THE ISSUANCE OF \$625,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE ADDITIONAL COST OF THE ACQUISITION AND INSTALLATION OF HARDWARE AND SOFTWARE FOR THE DEPARTMENT OF INFORMATION TECHNOLOGY; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$625,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$625,000 BONDS HEREIN AUTHORIZED TO FINANCE SUCH COST; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted \_\_\_\_\_, 20\_\_\_\_)

object or purpose:       to finance the cost of the acquisition and installation of storage/servers and related equipment, software and implementation services for the Department of Information Technology; all as set forth in the County's current year Capital Budget, as amended

amount of obligations to be issued:

and period of probable usefulness:       \$625,000; ten (10) years

Dated: \_\_\_\_\_, 20\_\_\_\_  
White Plains, New York

\_\_\_\_\_  
Clerk and Chief Administrative Officer of the  
County Board of Legislators of the County of  
Westchester, New York

## CAPITAL PROJECT FACT SHEET

<b>Project ID:*</b> BIT50	<input checked="" type="checkbox"/> <b>CBA</b>	<b>Fact Sheet Date:*</b> 08-27-2024
<b>Fact Sheet Year:*</b> 2024	<b>Project Title:*</b> AMS/CGI APPLICATIONS AND LINUX RAC UPGRADE	<b>Legislative District ID:</b>
<b>Category*</b> BUILDINGS, LAND & MISCELLANEOUS	<b>Department:*</b> INFORMATION TECHNOLOGY	<b>CP Unique ID:</b> 2674

### Overall Project Description

This project will fund the cost of the implementation services and related expenses to upgrade the County's Financial, HR and Budget systems to the new version. Also included is the purchase of data processing equipment and database software.

- |  |  |   |
|--|--|---|
| <input type="checkbox"/> Best Management Practices | <input type="checkbox"/> Energy Efficiencies     | <input type="checkbox"/> Infrastructure |
| <input type="checkbox"/> Life Safety               | <input type="checkbox"/> Project Labor Agreement | <input type="checkbox"/> Revenue        |
| <input type="checkbox"/> Security                  | <input type="checkbox"/> Other                   |   |

### FIVE-YEAR CAPITAL PROGRAM (in thousands)

	Estimated Ultimate Total Cost	Appropriated	2024	2025	2026	2027	2028	Under Review
<b>Gross</b>	8,660	8,035	0	0	0	0	0	625
<b>Less Non-County Shares</b>	0	0	0	0	0	0	0	0
<b>Net</b>	8,660	8,035	0	0	0	0	0	625

**Expended/Obligated Amount (in thousands) as of :** 5,980

**Current Bond Description:** Current Bond Request will fund the purchase of additional storage/servers and related equipment, software and implementing services needed to upgrade the Finance, HR and Budget .

### Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	625,000
Cash:	0
<b>Total:</b>	<b>\$ 625,000</b>

### SEQR Classification:

TYPE II

### Amount Requested:

625,000

### Expected Design Work Provider:

☐ County Staff
 ☐ Consultant
 ☒ Not Applicable

### Comments:

### Energy Efficiencies:

### Appropriation History:

Year	Amount	Description
2020	4,750,000	FUNDS THIS PROJECT
2022	250,000	ADDITIONAL FUNDING
2023	3,035,000	COST ESCALATION

### Total Appropriation History:

8,035,000

**Financing History:**

Year	Bond Act #	Amount	Issued	Description
20	40	4,750,000	3,922,063	COST OF ACQUISITION & INSTALLATION OF SERVERS, EQUIPMENT, SOFTWARE & IMPLEMENTATION FOR DOIT
23	179	2,535,000	0	AMS/CGI APPLICATIONS AND LINUX RAC UPGRADE
23	13	750,000	0	AMS/CGI APPLICATIONS AND LINUX RAC UPGRADE

**Total Financing History:**

8,035,000

**Recommended By:****Department of Planning**

MLLL

**Date**

09-03-2024

**Department of Public Works**

RJB4

**Date**

09-03-2024

**Budget Department**

DEV9

**Date**

09-04-2024

**Requesting Department**

DDMK

**Date**

09-04-2024

## AMS/CGI APPLICATIONS AND LINUX RAC UPGRADE ( BIT50 )

**User Department :** Information Technology

**Managing Department(s) :** Information Technology ;

**Estimated Completion Date:** TBD

**Planning Board Recommendation:** Project without physical planning aspects of concern to the Westchester County Planning Board.

### FIVE YEAR CAPITAL PROGRAM (in thousands)

	Est Ult Cost	Appropriated	Exp / Obl	2024	2025	2026	2027	2028	Under Review
Gross	8,035	8,035	5,980						
Non County Share									
Total	8,035	8,035	5,980						

### Project Description

This project will fund the cost of the implementation services and related expenses to upgrade the County's Financial, HR and Budget systems to the new version. Also included is the purchase of data processing equipment and database software.

### Current Year Description

There is no current year request.

### Impact on Operating Budget

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

### Appropriation History

Year	Amount	Description	Status
2020	4,750,000	Funds this project	IN PROGRESS
2022	250,000	Additional funding	IN PROGRESS
2023	3,035,000	Cost escalation	IN PROGRESS
Total	8,035,000		

### Prior Appropriations

	Appropriated	Collected	Uncollected
Bond Proceeds	8,035,000	3,922,063	4,112,937
Total	8,035,000	3,922,063	4,112,937

### Bonds Authorized

Bond Act	Amount	Date Sold	Amount Sold	Balance
40 20	4,750,000	12/01/21	254,000	3,920,890
		12/01/22	523,122	
		12/01/22	51,988	
13 23	750,000			750,000
179 23	2,535,000			2,535,000
Total	8,035,000		829,110	7,205,890



**HONORABLE BOARD OF LEGISLATORS  
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmittal from the County Executive recommending approval by the County of Westchester (“County”) of an act, which, if adopted, will amend the County’s current-year capital budget (“Capital Budget Amendment”), as well as adoption of a related bond act (“Bond Act”) in the amount of \$525,000, to finance capital project BIT63 – Declaration of Independence Protective Exhibit Encasement (“BIT63”).

Your Committee is advised that the Capital Budget Amendment will amend the County’s current-year capital budget to increase the County share for BIT63 by \$525,000 and to add this specific project to the program funded through BIT63.

The Bond Act, in the amount of \$525,000, which was prepared by the law firm of Harris Beach, PLLC, would finance the construction of a protective encasement to securely store and preserve a printed copy of the Declaration of Independence dated July 9, 1776, which is currently housed at the Westchester County Archives and Records Center (“Archives”).

The Department of Information Technology (“Department”) has advised that the County’s printed copy of the Declaration of Independence came from a contemporaneous, historic printing of several hundred such copies by John Holt, which was the first printing of the Declaration of Independence in the State of New York, and which copies are commonly known and referred to as ‘Holt Broadside’. The objective and goal of procuring this encasement is twofold. The proposed encasement will both, a.) preserve the document for future generations, and, b.) enable the County to safely provide for the display of the document at various locations throughout Westchester County. The encasement will be constructed by the National Institute of Standards and Technology (“NIST”) in collaboration with staff from the National Archives in Washington, DC. NIST will design, successfully test, and produce a state-of-the-art encasement that will secure and optimally preserve the County’s Holt Broadside. When not being used for displays elsewhere, the encasement will be stored in a vault within the Archives.

Your Committee is advised that design expected to be completed by the first quarter of 2025. It is estimated that construction will take fourteen (14) months to complete and will begin after award and execution of construction contracts. The project estimated end date goal is currently set as completion and delivery by March 2026.

The Department of Planning has advised your Committee that based on its review, the authorization of the proposed capital project may be classified as a Type “II” action pursuant to the State Environmental Quality Review Act and its implementing regulations, 6 NYCRR Part 617 (“SEQR”). Therefore, no environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this recommendation.

Your Committee is further advised that Section 167.131 of the Laws of Westchester County, mandates that a capital budget amendment that introduces a new capital project or changes the location, size or character of an existing capital project be accompanied to the Board of Legislators by a report of the Westchester County Planning Board (the “Planning Board”) with respect to the physical planning aspects of the project. Accordingly, the Planning Board report for this project has been annexed.

Your Committee has carefully considered the proposed Capital Budget Amendment, as well as the related Bond Act, and recommends approval of both of the proposed Acts, noting that the Bond Act may only be enacted following adoption of the Capital Budget Amendment. It should also be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to amend the County’s Capital Budget and to adopt the Bond Act.

Dated: October 7<sup>th</sup>, 2024  
White Plains, New York

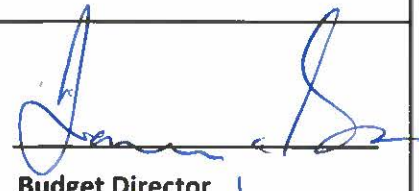
*James Bell Jones*  
*Paul A*  
*John*  
*William*  
*John*  
*James Bell*  
*Vedat Gashi*

C:cmc/9-26-2024

*Paul A*  
*John*  
*William*  
*John*  
*James Bell*  
*Vedat Gashi*


*John*  
*James Bell Jones*  
*William*  
*John*  
*James Bell*  
*Vedat Gashi*

# FISCAL IMPACT STATEMENT

<b>CAPITAL PROJECT #:</b> <u>BIT63</u>		<input type="checkbox"/> <b>NO FISCAL IMPACT PROJECTED</b>	
<b>SECTION A - CAPITAL BUDGET IMPACT</b> To Be Completed by Budget			
<input checked="" type="checkbox"/> <b>GENERAL FUND</b>	<input type="checkbox"/> <b>AIRPORT FUND</b>	<input type="checkbox"/> <b>SPECIAL DISTRICTS FUND</b>	
<b>Source of County Funds (check one):</b>		<input type="checkbox"/> <b>Current Appropriations</b>	
		<input checked="" type="checkbox"/> <b>Capital Budget Amendment</b>	
<b>SECTION B - BONDING AUTHORIZATIONS</b> To Be Completed by Finance			
<b>Total Principal</b>	\$	525,000	<b>PPU</b> 5 <b>Anticipated Interest Rate</b> 2.36%
<b>Anticipated Annual Cost (Principal and Interest):</b>		\$	113,668
<b>Total Debt Service (Annual Cost x Term):</b>		\$	568,341
<b>Finance Department:</b>		maab 9-27-24	
<b>SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)</b> To Be Completed by Submitting Department and Reviewed by Budget			
<b>Potential Related Expenses (Annual):</b>		\$	-
<b>Potential Related Revenues (Annual):</b>		\$	-
<b>Anticipated savings to County and/or impact of department operations</b> (describe in detail for current and next four years):			
<hr/>			
<hr/>			
<b>SECTION D - EMPLOYMENT</b> As per federal guidelines, each \$92,000 of appropriation funds one FTE Job			
<b>Number of Full Time Equivalent (FTE) Jobs Funded:</b>		6	
<b>Prepared by:</b>	<u>Dianne Vanadia</u>		
<b>Title:</b>	<u>Associate Budget Director</u>		
<b>Department:</b>	<u>Budget</u>		
<b>Date:</b>	<u>9/27/24</u>		
	<b>Reviewed By:</b>	 <u>Budget Director</u>	
	<b>Date:</b>	<u>9/27/24</u>	



TO: Michelle Greenbaum, Senior Assistant County Attorney  
Jeffrey Goldman, Senior Assistant County Attorney  
Carla Chaves, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM   
Assistant Commissioner

DATE: September 17, 2024

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:  
BIT63 Declaration of Independence Mobile Exhibit Encasement**

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**PROJECT/ACTION:** Per Capital Project Fact Sheet as approved by the Planning Department on  
09-03-2024 (Unique ID: 2691)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(31):** purchase or sale of furnishings, equipment or supplies, including surplus government property, other than the following: land, radioactive material, pesticides, herbicides, or other hazardous materials.

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**COMMENTS:** None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff  
Paula Friedman, Assistant to the County Executive  
Lawrence Soule, Budget Director  
Tami Altschiller, Assistant Chief Deputy County Attorney  
Dianne Vanadia, Associate Budget Director  
Susan Darling, Chief Planner  
Michael Lipkin, Associate Planner  
Claudia Maxwell, Principal Environmental Planner



Memorandum

**Department of Planning**

432 Michaelian Office Building  
White Plains, NY 10601

To: The Westchester County Planning Board

From: Susan Darling, Chief Planner

Date: September 3, 2024

RE: **NO-ACTION MEMO - Capital Budget Amendment –BIT63 Declaration of Independence Mobile Exhibit Encasement (2024 CBA)**

The County Executive is requesting an amendment to the 2024 Capital Budget to modify the funding of the above project. Capital project **BIT63 Declaration of Independence Mobile Exhibit Encasement (2024 CBA)** will fund the construction of the mobile encasement needed to securely store and preserve an original copy of the Declaration of Independence being housed at the Records and Archive Center.

This project was classified as a PL1, *"a project approved in concept, subject to review when more detailed studies or plans are prepared"* in the 2025 Planning Board Report on the Capital Project Requests adopted August 6, 2024. The CBA is to move the funding requested for 2025 to the 2024 capital budget.

There are no changes to the physical planning aspects of this project as reviewed by the Board; therefore, no further action by your Board is necessary.

cc: Blanca P. López, Commissioner  
David S. Kvinge, Assistant Commissioner  
Michael Lipkin, Associate Planner

An Act amending the 2024 County  
Capital Budget Appropriations for  
Capital Project BIT63 DECLARATION  
OF INDEPENDENCE MOBILE EXHIBIT  
ENCASEMENT

BE IT ENACTED by the Board of Legislators of the County of Westchester as follows:

Section 1. The Capital section of the 2024 County Budget is hereby amended as follows:

	Previous 2024 Appropriation	Change	Revised 2024 Appropriation
I. Appropriation		\$525,000	\$525,000

Section 2. The estimated method of financing in the Capital Section of the 2024 Westchester County Capital Budget is amended as follows:

II. METHOD OF FINANCING

Bonds and/or Notes	\$0	\$525,000	\$525,000
Non County Shares	\$0		\$0
Cash	\$0		\$0
Total	<u>\$0</u>	<u>\$525,000</u>	<u>\$525,000</u>

Section 3. The ACT shall take effect immediately.



ACT NO. -20\_\_

BOND ACT AUTHORIZING THE ISSUANCE OF \$525,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF THE ACQUISITION AND INSTALLATION OF THE DECLARATION OF INDEPENDENCE MOBILE EXHIBIT ENCASEMENT FOR THE DEPARTMENT OF INFORMATION TECHNOLOGY; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$525,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$525,000 BONDS HEREIN AUTHORIZED TO FINANCE SUCH COST; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted , 20\_\_)

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER, NEW YORK (by the affirmative vote of not less than two-thirds of the voting strength of said Board), AS FOLLOWS:

Section 1. Pursuant to the provisions of the Local Finance Law, constituting Chapter 33-a of the Consolidated Laws of the State of New York (the "Law"), the Westchester County Administrative Code, being Chapter 852 of the Laws of 1948, as amended, to the provisions of other laws applicable thereto, \$525,000 bonds of the County, or so much thereof as may be necessary, are hereby authorized to be issued to finance the cost of the acquisition and installation of the Declaration of Independence mobile exhibit encasement for the Department of

Information Technology; all as set forth in the County's current year Capital Budget, as amended. To the extent that the details set forth in this act are inconsistent with any details set forth in the current year Capital Budget of the County, such Budget shall be deemed and is hereby amended. The estimated maximum cost of said objects or purposes, including preliminary costs and costs incidental thereto and the financing thereof is \$525,000. The plan of financing includes the issuance of \$525,000 bonds herein authorized, and any bond anticipation notes issued in anticipation of the sale of such bonds, and the levy of a tax to pay the principal of and interest on said bonds.

Section 2. The period of probable usefulness applicable to the objects or purposes for which the bonds authorized by this Act are to be issued, within the limitations of Section 11.00 a. 35 of the Law, is five (5) years.

Section 3. Current funds are not required to be provided as a down payment pursuant to Section 107.00 d. 9. of the Law prior to issuance of the bonds authorized herein, or any bond anticipation notes issued in anticipation of the sale of such bonds. The County intends to finance, on an interim basis, the costs or a portion of the costs of said improvements for which bonds are herein authorized, which costs are reasonably expected to be reimbursed with the proceeds of debt to be incurred by the County, pursuant to this Act, in the maximum amount of \$525,000. This Act is a declaration of official intent adopted pursuant to the requirements of Treasury Regulation Section 1.150-2.

Section 4. Subject to the provisions of this Act and of the Law, and pursuant to the provisions of §30.00 relative to the authorization of the issuance of bond anticipation notes

or the renewals thereof, and of §§50.00, 56.00 to 60.00 and 168.00 of said Law, the powers and duties of the County Board of Legislators relative to authorizing the issuance of any notes in anticipation of the sale of the bonds herein authorized, or the renewals thereof, relative to providing for substantially level or declining annual debt service, relative to prescribing the terms, form and contents and as to the sale and issuance of the respective amounts of bonds herein authorized, and of any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and relative to executing agreements for credit enhancement, are hereby delegated to the Commissioner of Finance of the County, as the chief fiscal officer of the County.

Section 5. Each of the bonds authorized by this Act and any bond anticipation notes issued in anticipation of the sale thereof shall contain the recital of validity prescribed by §52.00 of said Local Finance Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County of Westchester, payable as to both principal and interest by general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and provision shall be made annually in the budgets of the County by appropriation for (a) the amortization and redemption of the notes and bonds to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 6. The validity of the bonds authorized by this Act and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

(a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or

(b) the provisions of law which should be complied with at the date of the publication of this Act or a summary hereof, are not substantially complied with,

and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or

(c) such obligations are authorized in violation of the provisions of the Constitution.

Section 7. This Act shall take effect in accordance with Section 107.71 of the Westchester County Charter.

\* \* \*

STATE OF NEW YORK                    )  
  : ss.:  
COUNTY OF WESTCHESTER        )

I HEREBY CERTIFY that I have compared the foregoing Act No. -20\_\_\_ with the original on file in my office, and that the same is a correct transcript therefrom and of the whole of the said original Act, which was duly adopted by the County Board of Legislators of the County of Westchester on \_\_\_\_\_, 20\_\_\_ and approved by the County Executive on \_\_\_\_\_, 20\_\_\_.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said County Board of Legislators this     day of           , 20\_\_\_.

(SEAL)                                   The Clerk and Chief Administrative Officer of the  
County Board of Legislators  
County of Westchester, New York

## LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on \_\_\_\_\_, 20\_\_ and approved by the County Executive on \_\_\_\_\_, 20\_\_ and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. \_\_\_\_\_-20\_\_

BOND ACT AUTHORIZING THE ISSUANCE OF \$525,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF THE ACQUISITION AND INSTALLATION OF THE DECLARATION OF INDEPENDENCE MOBILE EXHIBIT ENCASEMENT FOR THE DEPARTMENT OF INFORMATION TECHNOLOGY; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$525,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$525,000 BONDS HEREIN AUTHORIZED TO FINANCE SUCH COST; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted \_\_\_\_\_, 20\_\_)

object or purpose:       to finance the cost of the acquisition and installation of the Declaration of Independence mobile exhibit encasement for the Department of Information Technology; all as set forth in the County's current year Capital Budget, as amended

amount of obligations to be issued:       \$525,000; five (5) years  
and period of probable usefulness:

Dated: \_\_\_\_\_, 20\_\_  
White Plains, New York

\_\_\_\_\_  
Clerk and Chief Administrative Officer of the  
County Board of Legislators of the County of  
Westchester, New York



## CAPITAL PROJECT FACT SHEET

<b>Project ID:*</b> BIT63	<input checked="" type="checkbox"/> <b>CBA</b>	<b>Fact Sheet Date:*</b> 08-30-2024
<b>Fact Sheet Year:*</b> 2024	<b>Project Title:*</b> DECLARATION OF INDEPENDENCE MOBILE EXHIBIT ENCASEMENT	<b>Legislative District ID:</b>
<b>Category*</b> BUILDINGS, LAND & MISCELLANEOUS	<b>Department:*</b> INFORMATION TECHNOLOGY	<b>CP Unique ID:</b> 2691

### Overall Project Description

The County of Westchester's original copy of the Declaration of Independence dated July 9, 1776, is currently housed at the Westchester County Archives. The objective and goal of manufacturing this encasement is twofold. To preserve the document for future generations as well as enable the County to safely display the document at various locations throughout the County. Its initial display is slated for July 2026, celebrating the 250th anniversary of Westchester's role in the Revolutionary War. It is believed that this particular copy, which is currently one of five in existence, was read aloud on the steps of the courthouse in White Plains. The encasement will be constructed by the National Institute of Standards and Technology (NIST) in collaboration with staff from the National Archives in Washington, DC. The main objective and final product of the collaboration between NIST and the County of Westchester is to design, successfully test, and produce a state-of-the-art encasement that secures and optimally preserves the Declaration of Independence. The case will be designed such that it can be hermetically sealed and filled with argon gas. It will be designed and based on the principle that it is a passive system. The encasement will be stored in a vault within the Westchester County Archives when not on display.

- |   |  |   |
|---|--|---|
| <input checked="" type="checkbox"/> Best Management Practices | <input type="checkbox"/> Energy Efficiencies     | <input type="checkbox"/> Infrastructure |
| <input type="checkbox"/> Life Safety                          | <input type="checkbox"/> Project Labor Agreement | <input type="checkbox"/> Revenue        |
| <input checked="" type="checkbox"/> Security                  | <input type="checkbox"/> Other                   |   |

### FIVE-YEAR CAPITAL PROGRAM (in thousands)

	Estimated Ultimate Total Cost	Appropriated	2024	2025	2026	2027	2028	Under Review
<b>Gross</b>	525	0	0	0	0	0	0	525
<b>Less Non-County Shares</b>	0	0	0	0	0	0	0	0
<b>Net</b>	525	0	0	0	0	0	0	525

**Expended/Obligated Amount (in thousands) as of :** 0

**Current Bond Description:** The current bonding will fund the construction of the mobile encasement needed to securely store and preserve an original copy of the Declaration of Independence being housed at the Records and Archive Center.

#### Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	525,000
Cash:	0
<b>Total:</b>	<b>\$ 525,000</b>

### SEQR Classification:

TYPE II

### Amount Requested:

525,000

### Expected Design Work Provider:

- |                                       |                                     |   |
|---------------------------------------|-------------------------------------|---|
| <input type="checkbox"/> County Staff | <input type="checkbox"/> Consultant | <input type="checkbox"/> Not Applicable |
|---------------------------------------|-------------------------------------|---|

### Comments:

The 2024 Capital Budget Amendment request of \$525,000 is shown Under Review. This is advancing funds requested in 2025 with the May 1, 2024, capital submission of the 2025 – 2029 capital program. If the CBA is approved, the 2025 funds can be reduced accordingly.

### Energy Efficiencies:

**Total Financing History:**

0

**Recommended By:**

**Department of Planning**

MLLL

**Date**

09-03-2024

**Department of Public Works**

RJB4

**Date**

09-03-2024

**Budget Department**

DEV9

**Date**

09-04-2024

**Requesting Department**

DDMK

**Date**

09-04-2024

**HONORABLE BOARD OF LEGISLATORS  
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmittal from the County Executive recommending approval of a bond act ("Bond Act") in the amount of \$500,000 which would authorize the County of Westchester ("County") to finance capital project SM023 – Mamaroneck WRRF Headworks Rehabilitation ("SM023").

The Bond Act, which was prepared by the law firm Norton Rose Fulbright, will finance a study which will include a state of good repair assessment of the Mamaroneck Wastewater Resource Recovery Facility Headwork process equipment. The study will include evaluating all mechanical, HVAC, plumbing, instrumentation, electrical, architectural, and structural systems and appurtenances. The project will also include the study and implementation of flood and resiliency measures such as an evaluation of the existing influent piping and gravity gates in order to implement an emergency outfall and/or headworks bypass system through original plant outfall.

Following bonding authorization, the study will be scheduled and is anticipated to take approximately eighteen (18) months to complete and will be performed by consultants.

The Planning Department has advised your Committee that based on its review, the above-referenced capital project may be classified as a Type "II" action pursuant to the State Environmental Quality Review Act ("SEQR") and its implementing regulations, 6 NYCRR Part 617. Therefore, no environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this recommendation.

It should be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to adopt the Bond Act. Your Committee recommends the adoption of the proposed Bond Act.

Dated: \_\_\_\_\_, 2024  
White Plains, New York

**COMMITTEE ON**

Dated: October 7th, 2024

White Plains, New York

Samuel Green Jr.

For the  
Mayor & Council

Vedat Gzini

Paul D.

Self

Smith

Self

Samuel Green Jr.

For the

Mayor & Council

Vedat Gzini

Smith

COMMITTEE ON

Budget & Appropriations

Public Works &  
Transportation

# FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: SM023

☐ NO FISCAL IMPACT PROJECTED

## SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☐ GENERAL FUND

☐ AIRPORT FUND

☒ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☒ Current Appropriations

☐ Capital Budget Amendment

## SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 500,000 PPU 5 Anticipated Interest Rate 2.36%

Anticipated Annual Cost (Principal and Interest): \$ 108,255

Total Debt Service (Annual Cost x Term): \$ 541,277

Finance Department: maab 9-19-24

## SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations  
(describe in detail for current and next four years):

\_\_\_\_\_  
\_\_\_\_\_

## SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded: 5

Prepared by: Dianne Vanadia

Title: Associate Budget Director

Department: Budget


Date: 9/24/24

Reviewed By: 

Budget Director

Date: 9/24/24

TO: Michelle Greenbaum, Senior Assistant County Attorney  
Jeffrey Goldman, Senior Assistant County Attorney  
Carla Chaves, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM   
Assistant Commissioner

DATE: September 17, 2024

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:  
SM023 MAMARONECK WRRF HEADWORKS REHABILITATION**

---

**PROJECT/ACTION:** Per Capital Project Fact Sheet as approved by the Planning Department on  
08-28-2024 (Unique ID: 2677)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(27):** conducting concurrent environmental, engineering, economic, feasibility and other studies and preliminary planning and budgetary processes necessary to the formulation of a proposal for action, provided those activities do not commit the agency to commence, engage in or approve such action.

---

**COMMENTS:** The study should use the preliminary base flood elevation maps and the sea level rise models in accordance with the NYS Community Risk and Resiliency Act and Climate Leadership and Community Protection Act.

DSK/dvw

cc: Andrew Ferris, Chief of Staff  
Paula Friedman, Assistant to the County Executive  
Lawrence Soule, Budget Director  
Tami Altschiller, Assistant Chief Deputy County Attorney  
Nat Federici, Deputy Commissioner, Dept. of Environmental Facilities  
Dianne Vanadia, Associate Budget Director  
Susan Darling, Chief Planner  
Michael Lipkin, Associate Planner  
Claudia Maxwell, Principal Environmental Planner



ACT NO. \_\_\_\_\_ - 2024

BOND ACT DATED \_\_\_\_\_, 2024.

A BOND ACT AUTHORIZING THE ISSUANCE OF \$500,000 BONDS OF THE COUNTY OF WESTCHESTER, NEW YORK, TO PAY THE COST OF A STUDY TO EVALUATE VARIOUS SYSTEMS AND EQUIPMENT AT THE MAMARONECK WATER RESOURCE RECOVERY FACILITY.

WHEREAS, the capital project hereinafter described has been duly approved in the adopted capital budget for the current fiscal year; and

WHEREAS, the plan for the financing of the estimated maximum cost of such capital project, as hereinafter set forth in this Bond Act, is in conformity with such capital budget; and

WHEREAS, all conditions precedent to the financing of the capital project hereinafter described, including compliance with the provisions of the State Environmental Quality Review Act to the extent required, have been performed; and

WHEREAS, it is now desired to authorize the financing of the cost of such capital project;  
NOW, THEREFORE,

BE IT ENACTED, by the Board of Legislators of the County of Westchester, New York, by the affirmative vote of not less than two thirds of the entire voting strength thereof, as follows:

Section 1. For paying the cost of a study to evaluate various systems and equipment, including mechanical, HVAC, plumbing, instrumentation, electrical, architectural, and structural systems and appurtenances, at the Mamaroneck Water Resource Recovery Facility, and including incidental expenses in connection therewith, a specific object or purpose, there are hereby authorized to be issued \$500,000 bonds of said County pursuant to the provisions of the Local Finance Law. To the extent that the details of the aforesaid specific object or purpose set forth in this act are inconsistent with any details set forth in the current Capital Budget of the County, such Budget shall be deemed and is hereby amended to the extent inconsistent herewith.

Section 2. It is hereby determined that the estimated maximum cost of the aforesaid specific object or purpose is \$500,000, and that the plan for the financing thereof is by the issuance of the \$500,000 bonds of said County authorized to be issued pursuant to this Bond Act.

Section 3. It is hereby determined that the period of probable usefulness of the aforesaid specific object or purpose is five years, pursuant to subdivision sixty-two of paragraph a of Section 11.00 of the Local Finance Law.

Section 4. Subject to the provisions of the Local Finance Law, the power to authorize the issuance of and to sell bond anticipation notes in anticipation of the issuance and sale of the bonds herein authorized, including renewals of such notes, is hereby delegated to the Commissioner of Finance, the chief fiscal officer. Such notes shall be of such terms, form and contents, and shall be sold in such manner, as may be prescribed by said Commissioner of Finance, consistent with the provisions of the Local Finance Law.

Section 5. The faith and credit of the County of Westchester, New York, are hereby irrevocably pledged for the payment of the principal of and interest on such obligations as the same respectively become due and payable. An annual appropriation shall be made in each year sufficient to pay the principal of and interest on such obligations becoming due and payable in such year. To the extent not paid from the assessment of properties assessable for this purpose in the Mamaroneck Sanitary Sewer District, or other sources, there shall annually be levied on all the taxable real property of said County a tax sufficient to pay the principal of and interest on such obligations as the same become due and payable.

Section 6. Such bonds shall be in fully registered form and shall be signed in the name of the County of Westchester, New York, by the manual or facsimile signature of the Commissioner of Finance and a facsimile of the corporate seal shall be imprinted or impressed thereon and may be attested by the manual or facsimile signature of the County Clerk.

Section 7. The powers and duties of advertising such bonds for sale, conducting the sale and awarding the bonds, are hereby delegated to the Commissioner of Finance, who shall

advertise such bonds for sale, conduct the sale, and award the bonds in such manner as said Commissioner of Finance shall deem best for the interests of the County; including, but not limited to, the power to sell said bonds to the New York State Environmental Facilities Corporation; provided, however, that in the exercise of these delegated powers, the Commissioner of Finance shall comply fully with the provisions of the Local Finance Law and any order or rule of the State Comptroller applicable to the sale of municipal bonds. The receipt of the Commissioner of Finance shall be a full acquittance to the purchaser of such bonds, who shall not be obliged to see to the application of the purchase money.

Section 8. The Commissioner of Finance is hereby further delegated the power to authorize the sale and issuance of the bonds authorized pursuant to this Bond Act (a) at a discount in the manner authorized by paragraphs e and f of Section 57.00 of the Local Finance Law, (b) at private sale pursuant to the applicable provisions of the Local Finance Law and any regulations of the New York State Comptroller appertaining thereto, including the private sale of bonds at a premium, (c) as capital appreciation bonds or term bonds at public sale or private sale pursuant to the applicable provisions of the Local Finance Law and any regulations of the New York State Comptroller appertaining thereto, and (d) at a variable rate of interest in the manner authorized by Section 54.90 of the Local Finance Law, including notes issued in anticipation thereof. The Commissioner of Finance is hereby authorized to enter into such agreements as said Commissioner of Finance shall determine reasonable and necessary to facilitate the issuance, sale, resale and, or repurchase of such bonds or notes pursuant to the provisions of Section 54.90 of the Local Finance Law. Such bonds and, or notes shall be of such terms, form and contents, and shall be sold in such manner, as may be prescribed by said Commissioner of Finance.

Section 9. The power to issue and sell notes to the New York State Environmental Facilities Corporation pursuant to Section 169.00 of the Local Finance Law is hereby delegated to the Commissioner of Finance. Such notes shall be of such terms, form and contents as may be

prescribed by said Commissioner of Finance consistent with the provisions of the Local Finance Law.

Section 10. The Commissioner of Finance is hereby further authorized, at his or her sole discretion, to execute a project financing and loan agreement, and any other agreements with the New York State Department of Environmental Conservation and/or the New York State Environmental Facilities Corporation, including amendments thereto, and including any instruments (or amendments thereto) in the effectuation thereof, in order to effect the financing or refinancing of the specific object or purpose described in Section 1 hereof, or a portion thereof, by a bond, and, or note issue of said County in the event of the sale of same to the New York State Environmental Facilities Corporation.

Section 11. The intent of this Bond Act is to give the Commissioner of Finance sufficient authority to execute those applications, agreements, instruments or to do any similar acts necessary to effect the issuance of the aforesaid bonds and, or notes without resorting to further action of this Board of Legislators.

Section 12. All other matters, except as provided herein relating to such bonds, including determining whether to issue such bonds having substantially level or declining annual debt service and all matters related thereto, prescribing whether manual or facsimile signatures shall appear on said bonds, prescribing the method for the recording of ownership of said bonds, appointing the fiscal agent or agents for said bonds, providing for the printing and delivery of said bonds (and if said bonds are to be executed in the name of the County by the facsimile signature of the Commissioner of Finance, providing for the manual countersignature of a fiscal agent or of a designated official of the County), the date, denominations, maturities and interest payment dates, place or places of payment, and also including the consolidation with other issues, shall be determined by the Commissioner of Finance. It is hereby determined that it is to the financial advantage of the County not to impose and collect from registered owners of such bonds any charges for mailing, shipping and insuring bonds transferred or exchanged by the fiscal agent, and,

accordingly, pursuant to paragraph c of Section 70.00 of the Local Finance Law, no such charges shall be so collected by the fiscal agent. Such bonds shall contain substantially the recital of validity clause provided for in section 52.00 of the Local Finance Law and shall otherwise be in such form and contain such recitals in addition to those required by section 52.00 of the Local Finance Law, as the Commissioner of Finance shall determine.

Section 13. The validity of such bonds and bond anticipation notes may be contested only if:

- 1) Such obligations are authorized for an object or purpose for which said County is not authorized to expend money, or
- 2) The provisions of law which should be complied with at the date of publication of this Bond Act are not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or
- 3) Such obligations are authorized in violation of the provisions of the Constitution.

Section 14. This Bond Act shall constitute a statement of official intent for purposes of Treasury Regulations Section 1.150 - 2. Other than as specified in this Bond Act, no moneys are, or are reasonably expected to be, reserved, allocated on a long-term basis, or otherwise set aside with respect to the permanent funding of the object or purpose described herein.

Section 15. This Bond Act, which shall take effect immediately in accordance with the provisions of Section 33.10 of the Local Finance Law and as provided in Section 107.71 of the Westchester County Charter, shall be published in summary form in the official newspaper of said County for purposes of this Bond Act, together with a notice of the Clerk of the Board of Legislators in substantially the form provided in Section 81.00 of the Local Finance Law.

The foregoing Bond Act was duly put to a vote which resulted as follows:

AYES:

NOES:

ABSENT:

The Bond Act was thereupon declared duly adopted.

\* \* \* \*

APPROVED BY THE COUNTY EXECUTIVE

\_\_\_\_\_

Date: \_\_\_\_\_, 2024



STATE OF NEW YORK                    )  
  ) ss.:  
COUNTY OF WESTCHESTER        )

I, the undersigned Clerk of the Board of Legislators of the County of Westchester, New York, DO HEREBY CERTIFY:

That I have compared the annexed extract of the minutes of the meeting of the Board of Legislators of said County, including the Bond Act contained therein, held on \_\_\_\_\_, 2024, with the original thereof on file in my office, and that the same is a true and correct transcript therefrom and of the whole of said original so far as the same relates to the subject matters therein referred to.

I FURTHER CERTIFY that all members of said Board had due notice of said meeting.

I FURTHER CERTIFY that said meeting was (i) open to the general public pursuant to Section 103 of the Public Officers Law or (ii) conducted in conformance with Section 103-a of the Public Officers Law.

I FURTHER CERTIFY that, PRIOR to the time of said meeting, I duly caused a public notice of the time and place of said meeting to be given to the following newspapers and/or other news media as follows:

Newspaper and/or Other News Media

Date Given

I FURTHER CERTIFY that PRIOR to the time of said meeting, I duly caused public notice of the time and place of said meeting to be conspicuously posted in the following designated public location(s) on the following dates:

Designated Location(s) of Posted Notice

Date of Posting

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said County Board of Legislators on \_\_\_\_\_, 2024.

---

Clerk of the County Board of Legislators  
of the County of Westchester, New York

(CORPORATE  
SEAL)

## LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on \_\_\_\_\_, 2024 and approved by the County Executive on \_\_\_\_\_, 2024 and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. \_\_\_\_\_-2024

A BOND ACT AUTHORIZING THE ISSUANCE OF \$500,000 BONDS OF THE COUNTY OF WESTCHESTER, NEW YORK, TO PAY THE COST OF A STUDY TO EVALUATE VARIOUS SYSTEMS AND EQUIPMENT AT THE MAMARONECK WATER RESOURCE RECOVERY FACILITY.

objects or purposes: financing a study to evaluate various systems and equipment, including mechanical, HVAC, plumbing, instrumentation, electrical, architectural, and structural systems and appurtenances, at the Mamaroneck Water Resource Recovery Facility, and including incidental expenses in connection therewith

period of probable usefulness: five years

amount of obligations to be issued: \$500,000

Dated: \_\_\_\_\_, 2024  
White Plains, New York

\_\_\_\_\_  
Clerk of the County Board of Legislators of the County of Westchester, New York

## CAPITAL PROJECT FACT SHEET

<b>Project ID:*</b> SM023	<input type="checkbox"/> CBA	<b>Fact Sheet Date:*</b> 08-27-2024
<b>Fact Sheet Year:*</b> 2024	<b>Project Title:*</b> MAMARONECK WRRF HEADWORKS REHABILITATION	<b>Legislative District ID:</b> 3, 7, 6, 5,
<b>Category*</b> SEWER AND WATER DISTRICTS	<b>Department:*</b> ENVIRONMENTAL FACILITIES	<b>CP Unique ID:</b> 2677

### Overall Project Description

This project funds the rehabilitation of facility headworks including upgrades to all mechanical, HVAC, plumbing, instrumentation, electrical, architectural, and structural systems and appurtenances. The project will also include the study and implementation of flood and resiliency measures such as an evaluation of the existing influent piping and gravity gates in order to implement an emergency outfall and/or headworks bypass system thru original plant outfall.

- |   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> Best Management Practices | <input checked="" type="checkbox"/> Energy Efficiencies | <input checked="" type="checkbox"/> Infrastructure |
| <input checked="" type="checkbox"/> Life Safety               | <input type="checkbox"/> Project Labor Agreement        | <input type="checkbox"/> Revenue                   |
| <input checked="" type="checkbox"/> Security                  | <input type="checkbox"/> Other                          |  |

### FIVE-YEAR CAPITAL PROGRAM (in thousands)

	Estimated Ultimate Total Cost	Appropriated	2024	2025	2026	2027	2028	Under Review
<b>Gross</b>	18,500	0	500	0	3,000	0	15,000	0
<b>Less Non-County Shares</b>	0	0	0	0	0	0	0	0
<b>Net</b>	18,500	0	500	0	3,000	0	15,000	0

**Expended/Obligated Amount (in thousands) as of :** 0

**Current Bond Description:** Bonding is requested to provide funding for a study which will include a state of good repair assessment of the Mamaroneck WRRF Headwork process equipment. The study will include evaluating all mechanical, HVAC, plumbing, instrumentation, electrical, architectural, and structural systems and appurtenances. The project will also include the study and implementation of flood and resiliency measures such as an evaluation of the existing influent piping and gravity gates in order to implement an emergency outfall and/or headworks bypass system thru original plant outfall.

### Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	500,000
Cash:	0
<b>Total:</b>	<b>\$ 500,000</b>

### SEQR Classification:

TYPE II

### Amount Requested:

500,000

### Expected Design Work Provider:

- |                                       |  |   |
|---------------------------------------|--|---|
| <input type="checkbox"/> County Staff | <input checked="" type="checkbox"/> Consultant | <input type="checkbox"/> Not Applicable |
|---------------------------------------|--|---|

### Comments:

### Energy Efficiencies:

THE STUDY WILL INCLUDE PROVIDING FOR ENERGY EFFICIENCIES WHEREVER APPROPRIATE.

**Appropriation History:**

Year	Amount	Description
2024	500,000	FUNDS STUDY

**Total Appropriation History:**

500,000

**Total Financing History:**

0

**Recommended By:****Department of Planning**

MLLL

**Date**

08-28-2024

**Department of Public Works**

RJB4

**Date**

08-28-2024

**Budget Department**

DEV9

**Date**

08-29-2024

**Requesting Department**

NJF9

**Date**

08-29-2024

## MAMARONECK WRRF HEADWORKS REHABILITATION ( SM023 )

**User Department :** Environmental Facilities

**Managing Department(s) :** Environmental Facilities ; Public Works ;

**Estimated Completion Date:** TBD

**Planning Board Recommendation:** Project has historical implications. Project approved in concept but subject to subsequent staff review.

### **FIVE YEAR CAPITAL PROGRAM (in thousands)**

	Est Ult Cost	Appropriated	Exp / Obl	2024	2025	2026	2027	2028	Under Review
Gross	18,500			500		3,000		15,000	
Non County Share									
Total	18,500			500		3,000		15,000	

### **Project Description**

This project funds the rehabilitation of facility headworks including upgrades to all mechanical, HVAC, plumbing, instrumentation, electrical, architectural, and structural systems and appurtenances. The project will also include the study and implementation of flood and resiliency measures such as an evaluation of the existing influent piping and gravity gates in order to implement an emergency outfall and/or headworks bypass system thru original plant outfall.

### **Current Year Description**

The current year request funds study.

### **Current Year Financing Plan**

Year	Bonds	Cash	Non County Shares	Total
2024	500,000			500,000

### **Impact on Operating Budget**

The impact on the District Operating Budget is the debt service associated with the issuance of bonds.



**HONORABLE BOARD OF LEGISLATORS  
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmittal from the County Executive recommending approval of a bond act ("Bond Act") in the amount of \$500,000 which would authorize the County of Westchester ("County") to finance capital project SOS31 – Ossining WRRF Plant Wide Systems Upgrades ("SOS31").

The Bond Act, which was prepared by the law firm Norton Rose Fulbright, will finance a study that will include the development of a Facility Plan for the Ossining Wastewater Resource Recovery Facility. The study will assess the various state of good repair for all equipment and systems and determine a Facility Master Plan with timelines for repair and/or replacement. The plan will also assess the latest conformance to the FEMA flood elevation and recommend appropriate resiliency measures.

Following bonding authorization, the study will be scheduled and is anticipated to take approximately eighteen (18) months to complete and will be performed by consultants.

The Planning Department has advised your Committee that based on its review, the above-referenced capital project may be classified as a Type "II" action pursuant to the State Environmental Quality Review Act ("SEQR") and its implementing regulations, 6 NYCRR Part 617. Therefore, no environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this recommendation.

It should be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to adopt the Bond Act. Your Committee recommends the adoption of the proposed Bond Act.

Dated: \_\_\_\_\_, 2024  
White Plains, New York

**COMMITTEE ON**

Dated: October 7<sup>th</sup>, 2024

White Plains, New York

Laurel B. Blum Jones

Swille

For the  
Mayor's Office

Vedat Gadi

for  
the

for  
Laurel B. Blum Jones

Swille

For the  
Mayor's Office

Vedat Gadi

COMMITTEE ON

Budget & Appropriations

Public Works &  
Transportation

# FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: SOS31

☐ NO FISCAL IMPACT PROJECTED

## SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☐ GENERAL FUND

☐ AIRPORT FUND

☒ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☒ Current Appropriations

☐ Capital Budget Amendment

## SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 500,000 PPU 5 Anticipated Interest Rate 2.36%

Anticipated Annual Cost (Principal and Interest): \$ 108,255

Total Debt Service (Annual Cost x Term): \$ 541,277

Finance Department: maab 9-24-24

## SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations

(describe in detail for current and next four years):

\_\_\_\_\_  
\_\_\_\_\_

## SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded: 5

Prepared by: Dianne Vanadia

Title: Associate Budget Director

Department: Budget


Date: 9/24/24

Reviewed By: 

Date: 9/26/24

Budget Director

TO: Michelle Greenbaum, Senior Assistant County Attorney  
Jeffrey Goldman, Senior Assistant County Attorney  
Carla Chaves, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM   
Assistant Commissioner

DATE: September 17, 2024

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:  
SOS31 OSSINING WRRF PLANT WIDE SYSTEMS UPGRADES**

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**PROJECT/ACTION:** Per Capital Project Fact Sheet as approved by the Planning Department on  
08-28-2024 (Unique ID: 2676)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(27):** conducting concurrent environmental, engineering, economic, feasibility and other studies and preliminary planning and budgetary processes necessary to the formulation of a proposal for action, provided those activities do not commit the agency to commence, engage in or approve such action.

---

**COMMENTS:** The study should use the preliminary base flood elevation maps and the sea level rise models in accordance with the NYS Community Risk and Resiliency Act and Climate Leadership and Community Protection Act.

DSK/dvw

cc: Andrew Ferris, Chief of Staff  
Paula Friedman, Assistant to the County Executive  
Lawrence Soule, Budget Director  
Tami Altschiller, Assistant Chief Deputy County Attorney  
Nat Federici, Deputy Commissioner, Dept. of Environmental Facilities  
Dianne Vanadia, Associate Budget Director  
Susan Darling, Chief Planner  
Michael Lipkin, Associate Planner  
Claudia Maxwell, Principal Environmental Planner

ACT NO. \_\_\_\_\_ - 2024

BOND ACT DATED \_\_\_\_\_, 2024

A BOND ACT AUTHORIZING THE ISSUANCE OF \$500,000 BONDS OF THE COUNTY OF WESTCHESTER, NEW YORK, TO PAY THE COST OF A STUDY AND THE DEVELOPMENT OF A FACILITY MASTER PLAN FOR THE OSSINING WASTEWATER RESOURCE RECOVERY FACILITY.

WHEREAS, the capital project hereinafter described has been duly approved in the adopted capital budget for the current fiscal year; and

WHEREAS, the plan for the financing of the estimated maximum cost of such capital project, as hereinafter set forth in this Bond Act, is in conformity with such capital budget; and

WHEREAS, all conditions precedent to the financing of the capital project hereinafter described, including compliance with the provisions of the State Environmental Quality Review Act to the extent required, have been performed; and

WHEREAS, it is now desired to authorize the financing of the cost of such capital project;  
NOW, THEREFORE,

BE IT ENACTED, by the Board of Legislators of the County of Westchester, New York, by the affirmative vote of not less than two thirds of the entire voting strength thereof, as follows:

Section 1. For paying the cost of a study to evaluate upgrades to equipment and systems of the Ossining Wastewater Resource Recovery Facility and the development of a Facility Master Plan, including incidental expenses in connection therewith, a specific object or purpose, there are hereby authorized to be issued \$500,000 bonds of said County pursuant to the provisions of the Local Finance Law. To the extent that the details of the aforesaid specific object or purpose set forth in this act are inconsistent with any details set forth in the current Capital Budget of the County, such Budget shall be deemed and is hereby amended to the extent inconsistent herewith.



Section 2. It is hereby determined that the estimated maximum cost of the aforesaid specific object or purpose is \$500,000, and that the plan for the financing thereof is by the issuance of the \$500,000 bonds of said County authorized to be issued pursuant to this Bond Act.

Section 3. It is hereby determined that the period of probable usefulness of the aforesaid specific object or purpose is five years, pursuant to subdivision sixty-two of paragraph a of Section 11.00 of the Local Finance Law.

Section 4. Subject to the provisions of the Local Finance Law, the power to authorize the issuance of and to sell bond anticipation notes in anticipation of the issuance and sale of the bonds herein authorized, including renewals of such notes, is hereby delegated to the Commissioner of Finance, the chief fiscal officer. Such notes shall be of such terms, form and contents, and shall be sold in such manner, as may be prescribed by said Commissioner of Finance, consistent with the provisions of the Local Finance Law.

Section 5. The faith and credit of the County of Westchester, New York, are hereby irrevocably pledged for the payment of the principal of and interest on such obligations as the same respectively become due and payable. An annual appropriation shall be made in each year sufficient to pay the principal of and interest on such obligations becoming due and payable in such year. To the extent not paid from the assessment of properties assessable for this purpose in the Ossining Sanitary Sewer District, or other sources, there shall annually be levied on all the taxable real property of said County a tax sufficient to pay the principal of and interest on such obligations as the same become due and payable.

Section 6. Such bonds shall be in fully registered form and shall be signed in the name of the County of Westchester, New York, by the manual or facsimile signature of the Commissioner of Finance and a facsimile of the corporate seal shall be imprinted or impressed thereon and may be attested by the manual or facsimile signature of the County Clerk.

Section 7. The powers and duties of advertising such bonds for sale, conducting the sale and awarding the bonds, are hereby delegated to the Commissioner of Finance, who shall



advertise such bonds for sale, conduct the sale, and award the bonds in such manner as said Commissioner of Finance shall deem best for the interests of the County; including, but not limited to, the power to sell said bonds to the New York State Environmental Facilities Corporation; provided, however, that in the exercise of these delegated powers, the Commissioner of Finance shall comply fully with the provisions of the Local Finance Law and any order or rule of the State Comptroller applicable to the sale of municipal bonds. The receipt of the Commissioner of Finance shall be a full acquittance to the purchaser of such bonds, who shall not be obliged to see to the application of the purchase money.

Section 8. The Commissioner of Finance is hereby further delegated the power to authorize the sale and issuance of the bonds authorized pursuant to this Bond Act (a) at a discount in the manner authorized by paragraphs e and f of Section 57.00 of the Local Finance Law, (b) at private sale pursuant to the applicable provisions of the Local Finance Law and any regulations of the New York State Comptroller appertaining thereto, including the private sale of bonds at a premium, (c) as capital appreciation bonds or term bonds at public sale or private sale pursuant to the applicable provisions of the Local Finance Law and any regulations of the New York State Comptroller appertaining thereto, and (d) at a variable rate of interest in the manner authorized by Section 54.90 of the Local Finance Law, including notes issued in anticipation thereof. The Commissioner of Finance is hereby authorized to enter into such agreements as said Commissioner of Finance shall determine reasonable and necessary to facilitate the issuance, sale, resale and, or repurchase of such bonds or notes pursuant to the provisions of Section 54.90 of the Local Finance Law. Such bonds and, or notes shall be of such terms, form and contents, and shall be sold in such manner, as may be prescribed by said Commissioner of Finance.

Section 9. The power to issue and sell notes to the New York State Environmental Facilities Corporation pursuant to Section 169.00 of the Local Finance Law is hereby delegated to the Commissioner of Finance. Such notes shall be of such terms, form and contents as may be

prescribed by said Commissioner of Finance consistent with the provisions of the Local Finance Law.

Section 10. The Commissioner of Finance is hereby further authorized, at his or her sole discretion, to execute a project financing and loan agreement, and any other agreements with the New York State Department of Environmental Conservation and/or the New York State Environmental Facilities Corporation, including amendments thereto, and including any instruments (or amendments thereto) in the effectuation thereof, in order to effect the financing or refinancing of the specific object or purpose described in Section 1 hereof, or a portion thereof, by a bond, and, or note issue of said County in the event of the sale of same to the New York State Environmental Facilities Corporation.

Section 11. The intent of this Bond Act is to give the Commissioner of Finance sufficient authority to execute those applications, agreements, instruments or to do any similar acts necessary to effect the issuance of the aforesaid bonds and, or notes without resorting to further action of this Board of Legislators.

Section 12. All other matters, except as provided herein relating to such bonds, including determining whether to issue such bonds having substantially level or declining annual debt service and all matters related thereto, prescribing whether manual or facsimile signatures shall appear on said bonds, prescribing the method for the recording of ownership of said bonds, appointing the fiscal agent or agents for said bonds, providing for the printing and delivery of said bonds (and if said bonds are to be executed in the name of the County by the facsimile signature of the Commissioner of Finance, providing for the manual countersignature of a fiscal agent or of a designated official of the County), the date, denominations, maturities and interest payment dates, place or places of payment, and also including the consolidation with other issues, shall be determined by the Commissioner of Finance. It is hereby determined that it is to the financial advantage of the County not to impose and collect from registered owners of such bonds any charges for mailing, shipping and insuring bonds transferred or exchanged by the fiscal agent, and,

accordingly, pursuant to paragraph c of Section 70.00 of the Local Finance Law, no such charges shall be so collected by the fiscal agent. Such bonds shall contain substantially the recital of validity clause provided for in section 52.00 of the Local Finance Law and shall otherwise be in such form and contain such recitals in addition to those required by section 52.00 of the Local Finance Law, as the Commissioner of Finance shall determine.

Section 13. The validity of such bonds and bond anticipation notes may be contested only if:

- 1) Such obligations are authorized for an object or purpose for which said County is not authorized to expend money, or
- 2) The provisions of law which should be complied with at the date of publication of this Bond Act are not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or
- 3) Such obligations are authorized in violation of the provisions of the Constitution.

Section 14. This Bond Act shall constitute a statement of official intent for purposes of Treasury Regulations Section 1.150 - 2. Other than as specified in this Bond Act, no moneys are, or are reasonably expected to be, reserved, allocated on a long-term basis, or otherwise set aside with respect to the permanent funding of the object or purpose described herein.

Section 15. This Bond Act, which shall take effect immediately in accordance with the provisions of Section 33.10 of the Local Finance Law and as provided in Section 107.71 of the Westchester County Charter, shall be published in summary form in the official newspaper of said County for purposes of this Bond Act, together with a notice of the Clerk of the Board of Legislators in substantially the form provided in Section 81.00 of the Local Finance Law.

The foregoing Bond Act was duly put to a vote which resulted as follows:

AYES:

NOES:

ABSENT:

The Bond Act was thereupon declared duly adopted.

\* \* \* \*

APPROVED BY THE COUNTY EXECUTIVE

\_\_\_\_\_

Date: \_\_\_\_\_, 2024

STATE OF NEW YORK            )  
  ) ss.:  
COUNTY OF WESTCHESTER    )

I, the undersigned Clerk of the Board of Legislators of the County of Westchester, New York, DO HEREBY CERTIFY:

That I have compared the annexed extract of the minutes of the meeting of the Board of Legislators of said County, including the Bond Act contained therein, held on \_\_\_\_\_, 2024, with the original thereof on file in my office, and that the same is a true and correct transcript therefrom and of the whole of said original so far as the same relates to the subject matters therein referred to.

I FURTHER CERTIFY that all members of said Board had due notice of said meeting.

I FURTHER CERTIFY that said meeting was (i) open to the general public pursuant to Section 103 of the Public Officers Law or (ii) conducted in conformance with Section 103-a of the Public Officers Law.

I FURTHER CERTIFY that, PRIOR to the time of said meeting, I duly caused a public notice of the time and place of said meeting to be given to the following newspapers and/or other news media as follows:

Newspaper and/or other news media

Date given

I FURTHER CERTIFY that PRIOR to the time of said meeting, I duly caused public notice of the time and place of said meeting to be conspicuously posted in the following designated public location(s) on the following dates:

Designated Location(s) of posted notice

Date of Posting

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said County Board of Legislators on \_\_\_\_\_, 2024.

---

Clerk of the County Board of Legislators  
of the County of Westchester, New York

(CORPORATE  
SEAL)



## LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on \_\_\_\_\_, 2024 and approved by the County Executive on \_\_\_\_\_, 2024 and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. \_\_\_\_\_-2024

A BOND ACT AUTHORIZING THE ISSUANCE OF \$500,000 BONDS OF THE COUNTY OF WESTCHESTER, NEW YORK, TO PAY FOR A STUDY AND THE DEVELOPMENT OF A FACILITY MASTER PLAN FOR THE OSSINING WASTEWATER RESOURCE RECOVERY FACILITY.

objects or purposes: financing a study to evaluate upgrades to equipment and systems of the Ossining Wastewater Resource Recovery Facility and the development of a Facility Master Plan, including incidental expenses in connection therewith

period of probable usefulness: five years

amount of obligations to be issued: \$500,000

Dated: \_\_\_\_\_, 2024  
White Plains, New York

\_\_\_\_\_  
Clerk of the County Board of Legislators of the County of Westchester, New York

## CAPITAL PROJECT FACT SHEET

<b>Project ID:*</b> SOS31	<input type="checkbox"/> CBA	<b>Fact Sheet Date:*</b> 08-27-2024
<b>Fact Sheet Year:*</b> 2024	<b>Project Title:*</b> OSSINING WRRF PLANT WIDE SYSTEMS UPGRADES	<b>Legislative District ID:</b> 3, 10, 4,
<b>Category*</b> SEWER AND WATER DISTRICTS	<b>Department:*</b> ENVIRONMENTAL FACILITIES	<b>CP Unique ID:</b> 2676

### Overall Project Description

This project updates various areas of the Ossining Wastewater Resource Recovery Facility including headworks, sludge thickening and storage facilities, aeration tanks, life safety, and other infrastructure updates such as security, communications, and emergency chemical feed systems, as well as maintenance shop rehabilitation and tunnel piping painting.

- |   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> Best Management Practices | <input checked="" type="checkbox"/> Energy Efficiencies | <input checked="" type="checkbox"/> Infrastructure |
| <input checked="" type="checkbox"/> Life Safety               | <input type="checkbox"/> Project Labor Agreement        | <input type="checkbox"/> Revenue                   |
| <input checked="" type="checkbox"/> Security                  | <input type="checkbox"/> Other                          |  |

### FIVE-YEAR CAPITAL PROGRAM (in thousands)

	Estimated Ultimate Total Cost	Appropriated	2024	2025	2026	2027	2028	Under Review
<b>Gross</b>	53,200	0	500	0	2,700	0	50,000	0
<b>Less Non-County Shares</b>	0	0	0	0	0	0	0	0
<b>Net</b>	53,200	0	500	0	2,700	0	50,000	0

**Expended/Obligated Amount (in thousands) as of :** 0

**Current Bond Description:** Bonding is requested to provide funding for a study that will include the development of a Facility Plan for the Ossining Wastewater Resource Recovery Facility. The study will assess the various state of good repair for all equipment and systems and determine a Facility Master Plan with timelines for repair and/or replacement. The plan will also assess the latest conformance to the FEMA flood elevation and recommend appropriate resiliency measures.

#### Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	500,000
Cash:	0
<b>Total:</b>	<b>\$ 500,000</b>

### SEQR Classification:

TYPE II

### Amount Requested:

500,000

### Expected Design Work Provider:

- ☐ County Staff
 ☒ Consultant
 ☐ Not Applicable

### Comments:

#### Energy Efficiencies:

THE FACILITY PLAN WILL INCLUDE ENERGY EFFICIENT STRATEGIES WHERE APPROPRIATE, SUCH AS REPLACEMENT WITH HIGHER EFFICIENCY EQUIPMENT (PROCESS BLOWERS, FACILITY LIGHTING, HVAC FANS, AND ELECTRICAL MOTORS).

**Appropriation History:**

Year	Amount	Description
2024	500,000	FUNDS STUDY WHICH WILL INCLUDE EVALATING THE ADDITION OF NEW TREATMENT SYTEMS

**Total Appropriation History:**

500,000

**Total Financing History:**

0

**Recommended By:****Department of Planning**

MLLL

**Date**

08-28-2024

**Department of Public Works**

RJB4

**Date**

08-28-2024

**Budget Department**

DEV9

**Date**

08-29-2024

**Requesting Department**

NJF9

**Date**

08-29-2024

## OSSINING WRRF PLANT WIDE SYSTEMS UPGRADES ( SOS31 )

**User Department :** Environmental Facilities

**Managing Department(s) :** Environmental Facilities ;

**Estimated Completion Date:** TBD

**Planning Board Recommendation:** Project approved in concept but subject to subsequent staff review.

### **FIVE YEAR CAPITAL PROGRAM (in thousands)**

	Est Ult Cost	Appropriated	Exp / Obl	2024	2025	2026	2027	2028	Under Review
Gross	53,200			500		2,700		50,000	
Non County Share									
Total	53,200			500		2,700		50,000	

### **Project Description**

This project updates various areas of the Ossining Water Resource Recovery Facility including headworks, sludge thickening and storage facilities, aeration tanks, and other infrastructure updates such as security, communications, and emergency chemical feed systems, as well as maintenance shop rehabilitation and tunnel piping painting.

### **Current Year Description**

The current year request provides study funds which will include evaluating the addition of new treatment systems.

### **Current Year Financing Plan**

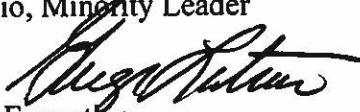
Year	Bonds	Cash	Non County Shares	Total
2024	500,000			500,000

### **Impact on Operating Budget**

The impact on the District Operating Budget is the debt service associated with the issuance of bonds.

September 26, 2024

TO: Hon. Vedat Gashi, Chair  
Hon. Jose Alvarado, Vice Chair  
Hon. Tyrae Woodson-Samuels, Majority Leader  
Hon. Margaret Cunzio, Minority Leader

FROM: George Latimer   
Westchester County Executive

RE: Message Requesting Immediate Consideration: **Bond Act – SNR21 –  
New Rochelle WRRF Boiler and Grit Systems Replacement.**

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This will confirm my request that the Board of Legislators allow submission of the referenced communication to be submitted to the Board of Legislators September 30, 2024 Agenda.

Transmitted herewith for your review and approval is a bond act (“Bond Act”) which, if adopted, would authorize the County of Westchester (“County”) to issue \$13,200,000 in bonds to finance the following capital project: SNR21.

Therefore, since this communication is of the utmost importance, it is respectfully submitted that the County Board of Legislators accepts this submission for September 30, 2024 “blue sheet” calendar.

Thank you for your prompt attention to this matter.



George Latimer  
County Executive

September 20, 2024

Westchester County Board of Legislators  
800 Michaelian Office Building  
White Plains, New York 10601

Dear Members of the Board of Legislators:

Transmitted herewith for your review and approval is a bond act ("Bond Act") which, if adopted, would authorize the County of Westchester ("County") to issue \$13,200,000 in bonds to finance the following capital project:

SNR21 – New Rochelle WRRF Boiler and Grit Systems Replacement ("SNR21").

The Bond Act, in the amount of \$13,200,000, would finance the cost of construction associated with the replacement of the grit collection system, installation of additional boilers to handle the increased heat load demand created by the upgrade of the entire New Rochelle Wastewater Resource Recovery Facility, replacement of the building management system, and replacement of the sludge cake pumps at the dewatering facility.

Following bonding authorization, design will be scheduled and is estimated to take approximately eighteen (18) months to complete and will be performed by an outside consultant. Construction is estimated to take approximately thirty (30) months to complete and will begin after award and execution of construction contracts.

Based on the importance of this project to the County, favorable action on the proposed Bond Act is respectfully requested.

Sincerely,

A handwritten signature in black ink, appearing to read "George Latimer", written over a horizontal line.

George Latimer  
Westchester County Executive

GL/VK/NF/jpg



**HONORABLE BOARD OF LEGISLATORS  
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmittal from the County Executive recommending approval of a bond act (“Bond Act”) in the amount of \$13,200,000 which would authorize the County of Westchester (“County”) to finance capital project SNR21 – New Rochelle WRRF Boiler and Grit Systems Replacement (“SNR21”).

The Bond Act, which was prepared by the law firm Norton Rose Fulbright, will finance the cost of construction associated with the replacement of the grit collection system, installation of additional boilers to handle the increased heat load demand created by the upgrade of the entire New Rochelle Wastewater Resource Recovery Facility, replacement of the building management system, and replacement of the sludge cake pumps at the dewatering facility.

Following bonding authorization, design will be scheduled and is estimated to take approximately eighteen (18) months to complete and will be performed by an outside consultant. Construction is estimated to take approximately thirty (30) months to complete and will begin after award and execution of construction contracts.

The Planning Department has advised your Committee that based on its review, the above-referenced capital project may be classified as a Type “II” action pursuant to the State Environmental Quality Review Act (“SEQR”) and its implementing regulations, 6 NYCRR Part 617. Therefore, no environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this recommendation.

It should be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to adopt the Bond Act. Your Committee recommends the adoption of the proposed Bond Act.

Dated: \_\_\_\_\_, 2024  
White Plains, New York

**COMMITTEE ON**

# FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: SNR21

☐ NO FISCAL IMPACT PROJECTED

## SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☐ GENERAL FUND

☐ AIRPORT FUND

☒ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☒ Current Appropriations

☐ Capital Budget Amendment

## SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 13,200,000 PPU 30 Anticipated Interest Rate 3.32%

Anticipated Annual Cost (Principal and Interest): \$ 673,960

Total Debt Service (Annual Cost x Term): \$ 20,218,801

Finance Department: maab 9-19-24

## SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations  
(describe in detail for current and next four years):

## SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded: 143

Prepared by: Dianne Vanadia

Title: Associate Budget Director

Department: Budget


Date: 9/24/24

Reviewed By: 

Budget Director

Date: 9/26/24

TO: Michelle Greenbaum, Senior Assistant County Attorney  
Jeffrey Goldman, Senior Assistant County Attorney  
Carla Chaves, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM   
Assistant Commissioner

DATE: September 17, 2024

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:  
SNR21 New Rochelle WRRF Boiler And Grit Systems Replacement**

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**PROJECT/ACTION:** Per Capital Project Fact Sheet as approved by the Planning Department on  
08-29-2024 (Unique ID: 2641)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(2):** replacement, rehabilitation or reconstruction of a structure or facility, in kind, on the same site, including upgrading buildings to meet building, energy, or fire codes unless such action meets or exceeds any of the thresholds in section 617.4 of this Part.

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**COMMENTS:** None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff  
Paula Friedman, Assistant to the County Executive  
Lawrence Soule, Budget Director  
Tami Altschiller, Assistant Chief Deputy County Attorney  
Nat Federici, Deputy Commissioner, Dept. of Environmental Facilities  
Dianne Vanadia, Associate Budget Director  
Susan Darling, Chief Planner  
Michael Lipkin, Associate Planner  
Claudia Maxwell, Principal Environmental Planner

ACT NO. \_\_\_\_\_ - 2024

BOND ACT DATED \_\_\_\_\_, 2024.

A BOND ACT AUTHORIZING THE ISSUANCE OF \$13,200,000 BONDS OF THE COUNTY OF WESTCHESTER, NEW YORK, TO PAY THE COST OF DESIGN, CONSTRUCTION MANAGEMENT AND CONSTRUCTION OF VARIOUS IMPROVEMENTS TO THE NEW ROCHELLE WATER RESOURCE RECOVERY FACILITY, INCLUDING REPLACEMENT OF THE GRIT COLLECTION SYSTEM AND INSTALLATION OF ADDITIONAL BOILERS, IN AND FOR THE COUNTY'S NEW ROCHELLE SANITARY SEWER DISTRICT.

WHEREAS, the capital project hereinafter described has been duly approved in the adopted capital budget for the current fiscal year; and

WHEREAS, the plan for the financing of the estimated maximum cost of such capital project, as hereinafter set forth in this Bond Act, is in conformity with such capital budget; and

WHEREAS, all conditions precedent to the financing of the capital project hereinafter described, including compliance with the provisions of the State Environmental Quality Review Act to the extent required, have been performed; and

WHEREAS, it is now desired to authorize the financing of the cost of such capital project;  
NOW, THEREFORE,

BE IT ENACTED, by the Board of Legislators of the County of Westchester, New York (the "County"), by the affirmative vote of not less than two thirds of the entire voting strength thereof, as follows:

Section 1. For paying the cost of design, construction management, and construction of various improvements to the New Rochelle Wastewater Resource Recovery Facility, including replacement of the grit collection system, the building management system, and the sludge cake pumps, installation of additional boilers, and any incidental expenses in connection therewith, a class of objects or purposes, there are hereby authorized to be issued \$13,200,000 bonds of said County pursuant to the provisions of the Local Finance Law. To the extent that the details of the aforesaid class of objects or purposes set forth in this Bond Act are inconsistent with

any details set forth in the current Capital Budget of the County, such Budget shall be deemed and is hereby amended to the extent inconsistent herewith.

Section 2. It is hereby determined that the estimated maximum cost of the aforesaid class of objects or purposes is \$13,200,000, and that the plan for the financing thereof is by the issuance of the \$13,200,000 bonds of said County authorized to be issued pursuant to this Bond Act.

Section 3. It is hereby determined that the period of probable usefulness of the aforesaid class of objects or purposes is thirty years, pursuant to subdivision four of paragraph a of Section 11.00 of the Local Finance Law.

Section 4. Subject to the provisions of the Local Finance Law, the power to authorize the issuance of and to sell bond anticipation notes in anticipation of the issuance and sale of the bonds herein authorized, including renewals of such notes, is hereby delegated to the Commissioner of Finance, the chief fiscal officer. Such notes shall be of such terms, form and contents, and shall be sold in such manner, as may be prescribed by said Commissioner of Finance, consistent with the provisions of the Local Finance Law.

Section 5. The faith and credit of the County are hereby irrevocably pledged for the payment of the principal of and interest on such obligations as the same respectively become due and payable. An annual appropriation shall be made in each year sufficient to pay the principal of and interest on such obligations becoming due and payable in such year. To the extent not paid from the assessment of properties assessable for this purpose in the County's New Rochelle Sanitary Sewer District, or other sources, there shall annually be levied on all the taxable real property of said County a tax sufficient to pay the principal of and interest on such obligations as the same become due and payable.

Section 6. Such bonds shall be in fully registered form and shall be signed in the name of the County by the manual or facsimile signature of the Commissioner of Finance and a facsimile



of the corporate seal shall be imprinted or impressed thereon and may be attested by the manual or facsimile signature of the County Clerk.

Section 7. The powers and duties of advertising such bonds for sale, conducting the sale and awarding the bonds, are hereby delegated to the Commissioner of Finance, who shall advertise such bonds for sale, conduct the sale, and award the bonds in such manner as said Commissioner of Finance shall deem best for the interests of the County; including, but not limited to, the power to sell said bonds to the New York State Environmental Facilities Corporation; provided, however, that in the exercise of these delegated powers, the Commissioner of Finance shall comply fully with the provisions of the Local Finance Law and any order or rule of the State Comptroller applicable to the sale of municipal bonds. The receipt of the Commissioner of Finance shall be a full acquittance to the purchaser of such bonds, who shall not be obliged to see to the application of the purchase money.

Section 8. The Commissioner of Finance is hereby further delegated the power to authorize the sale and issuance of the bonds authorized pursuant to this Bond Act (a) at a discount in the manner authorized by paragraphs e and f of Section 57.00 of the Local Finance Law, (b) at private sale pursuant to the applicable provisions of the Local Finance Law and any regulations of the New York State Comptroller appertaining thereto, including the private sale of bonds at a premium, (c) as capital appreciation bonds or term bonds at public sale or private sale pursuant to the applicable provisions of the Local Finance Law and any regulations of the New York State Comptroller appertaining thereto, and (d) at a variable rate of interest in the manner authorized by Section 54.90 of the Local Finance Law, including notes issued in anticipation thereof. The Commissioner of Finance is hereby authorized to enter into such agreements as said Commissioner of Finance shall determine reasonable and necessary to facilitate the issuance, sale, resale and, or repurchase of such bonds or notes pursuant to the provisions of Section 54.90 of the Local Finance Law. Such bonds and, or notes shall be of such terms, form and contents, and shall be sold in such manner, as may be prescribed by said Commissioner of Finance.



Section 9. The power to issue and sell notes to the New York State Environmental Facilities Corporation pursuant to Section 169.00 of the Local Finance Law is hereby delegated to the Commissioner of Finance. Such notes shall be of such terms, form and contents as may be prescribed by said Commissioner of Finance consistent with the provisions of the Local Finance Law.

Section 10. The Commissioner of Finance is hereby further authorized, at the sole discretion of the Commissioner of Finance, to execute a project financing agreement, and any other agreements with the New York State Department of Environmental Conservation and/or the New York State Environmental Facilities Corporation, including amendments thereto, and including any instruments (or amendments thereto) in the effectuation thereof, in order to effect the financing or refinancing of the class of objects or purposes described in Section 1 hereof, or a portion thereof, by a bond, and, or note issue of said County in the event of the sale of same to the New York State Environmental Facilities Corporation.

Section 11. The intent of this Bond Act is to give the Commissioner of Finance sufficient authority to execute those applications, agreements, instruments or to do any similar acts necessary to effect the issuance of the aforesaid bonds and, or notes without resorting to further action of this Board of Legislators.

Section 12. All other matters, except as provided herein relating to such bonds, including determining whether to issue such bonds having substantially level or declining annual debt service and all matters related thereto, prescribing whether manual or facsimile signatures shall appear on said bonds, prescribing the method for the recording of ownership of said bonds, appointing the fiscal agent or agents for said bonds, providing for the printing and delivery of said bonds (and if said bonds are to be executed in the name of the County by the facsimile signature of the Commissioner of Finance, providing for the manual countersignature of a fiscal agent or of a designated official of the County), the date, denominations, maturities and interest payment dates, place or places of payment, and also including the consolidation with other issues, shall be

determined by the Commissioner of Finance. It is hereby determined that it is to the financial advantage of the County not to impose and collect from registered owners of such bonds any charges for mailing, shipping and insuring bonds transferred or exchanged by the fiscal agent, and, accordingly, pursuant to paragraph c of Section 70.00 of the Local Finance Law, no such charges shall be so collected by the fiscal agent. Such bonds shall contain substantially the recital of validity clause provided for in Section 52.00 of the Local Finance Law and shall otherwise be in such form and contain such recitals in addition to those required by Section 52.00 of the Local Finance Law, as the Commissioner of Finance shall determine.

Section 13. The validity of such bonds and bond anticipation notes may be contested only if:

(1) Such obligations are authorized for an object or purpose for which said County is not authorized to expend money, or

(2) The provisions of law which should be complied with at the date of publication of this Bond Act are not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or

(3) Such obligations are authorized in violation of the provisions of the Constitution.

Section 14. This Bond Act shall constitute a statement of official intent for purposes of Treasury Regulations Section 1.150 - 2. Other than as specified in this Bond Act, no monies are, or are reasonably expected to be, reserved, allocated on a long-term basis, or otherwise set aside with respect to the permanent funding of the object or purpose described herein.

Section 15. This Bond Act, which shall take effect immediately in accordance with the provisions of Section 33.10 of the Local Finance Law and as provided in Section 107.71 of the Westchester County Charter, shall be published in summary form in the official newspaper of said

County for purposes of this Bond Act, together with a notice of the Clerk of the Board of Legislators in substantially the form provided in Section 81.00 of the Local Finance Law.

The foregoing Bond Act was duly put to a vote which resulted as follows:

AYES:

NOES:

ABSENT:

The Bond Act was thereupon declared duly adopted.

\* \* \* \*

APPROVED BY THE COUNTY EXECUTIVE

\_\_\_\_\_

Date: \_\_\_\_\_, 2024

STATE OF NEW YORK                    )  
  ) ss.:  
COUNTY OF WESTCHESTER        )

I, the undersigned Clerk of the Board of Legislators of the County of Westchester, New York, DO HEREBY CERTIFY:

That I have compared the annexed extract of the minutes of the meeting of the Board of Legislators of said County, including the Bond Act contained therein, held on \_\_\_\_\_, 2024, with the original thereof on file in my office, and that the same is a true and correct transcript therefrom and of the whole of said original so far as the same relates to the subject matters therein referred to.

I FURTHER CERTIFY that all members of said Board had due notice of said meeting.

I FURTHER CERTIFY that said meeting was (i) open to the general public pursuant to Section 103 of the Public Officers Law or (ii) conducted in conformance with Section 103-a of the Public Officers Law.

I FURTHER CERTIFY that, PRIOR to the time of said meeting, I duly caused a public notice of the time and place of said meeting to be given to the following newspapers and/or other news media as follows:

Newspaper and/or Other News Media

Date Given

I FURTHER CERTIFY that PRIOR to the time of said meeting, I duly caused public notice of the time and place of said meeting to be conspicuously posted in the following designated public location(s) on the following dates:

Designated Location(s) of Posted Notice

Date of Posting

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said County Board of Legislators on \_\_\_\_\_, 2024.

---

Clerk of the County Board of Legislators  
of the County of Westchester, New York

(CORPORATE  
SEAL)



## LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on \_\_\_\_\_, 2024 and approved by the County Executive on \_\_\_\_\_, 2024 and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County for a period of twenty days from the date of publication of this Notice.

ACT NO. \_\_\_\_\_-2024

**A BOND ACT AUTHORIZING THE ISSUANCE OF \$13,200,000 BONDS OF THE COUNTY OF WESTCHESTER, NEW YORK, TO PAY THE COST OF DESIGN, CONSTRUCTION MANAGEMENT AND CONSTRUCTION OF VARIOUS IMPROVEMENTS TO THE NEW ROCHELLE WATER RESOURCE RECOVERY FACILITY, INCLUDING REPLACEMENT OF THE GRIT COLLECTION SYSTEM AND INSTALLATION OF ADDITIONAL BOILERS, IN AND FOR THE COUNTY'S NEW ROCHELLE SANITARY SEWER DISTRICT.**

class of objects or purposes	financing the cost of design, construction management, and construction of various improvements to the New Rochelle Wastewater Resource Recovery Facility, including replacement of the grit collection system, the building management system, and the sludge cake pumps, installation of additional boilers, and any incidental expenses in connection therewith
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period of probable usefulness:	thirty years
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amount of obligations to be issued:	\$13,200,000
-------------------------------------	--------------

Dated: \_\_\_\_\_, 2024  
White Plains, New York

\_\_\_\_\_  
Clerk of the County Board of Legislators of the County of Westchester, New York

## CAPITAL PROJECT FACT SHEET

<b>Project ID:*</b> SNR21	<input type="checkbox"/> CBA	<b>Fact Sheet Date:*</b> 08-23-2024
<b>Fact Sheet Year:*</b> 2024	<b>Project Title:*</b> NEW ROCHELLE WRRF BOILER AND GRIT SYSTEMS REPLACEMENT	<b>Legislative District ID:</b> 7, 11, 10,
<b>Category*</b> SEWER AND WATER DISTRICTS	<b>Department:*</b> ENVIRONMENTAL FACILITIES	<b>CP Unique ID:</b> 2641

### Overall Project Description

This project includes the replacement of the grit collection system, installation of additional boilers to handle the increased heat load demand created by the upgrade of the entire New Rochelle WRRF, replacement of the building management system, and replacement of the sludge cake pumps at the dewatering facility.

- |   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> Best Management Practices | <input checked="" type="checkbox"/> Energy Efficiencies | <input checked="" type="checkbox"/> Infrastructure |
| <input type="checkbox"/> Life Safety                          | <input type="checkbox"/> Project Labor Agreement        | <input type="checkbox"/> Revenue                   |
| <input type="checkbox"/> Security                             | <input type="checkbox"/> Other                          |  |

### FIVE-YEAR CAPITAL PROGRAM (in thousands)

	Estimated Ultimate Total Cost	Appropriated	2024	2025	2026	2027	2028	Under Review
Gross	13,200	10,000	3,200	0	0	0	0	0
Less Non-County Shares	0	0	0	0	0	0	0	0
Net	13,200	10,000	3,200	0	0	0	0	0

**Expended/Obligated Amount (in thousands) as of :** 0

**Current Bond Description:** Funding is requested for construction, which includes: the replacement of the grit collection system, installation of additional boilers to handle the increased heat load demand created by the upgrade of the entire New Rochelle WRRF, replacement of the building management system, and replacement of the sludge cake pumps at the dewatering facility.

### Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	13,200,000
Cash:	0
<b>Total:</b>	<b>\$ 13,200,000</b>

### SEQR Classification:

TYPE II

### Amount Requested:

13,200,000

### Expected Design Work Provider:

- ☐ County Staff
 ☒ Consultant
 ☐ Not Applicable

### Comments:

### Energy Efficiencies:

REPLACEMENT OF EXISTING BOILERS WITH MORE ENERGY EFFICIENT BOILERS.

### Appropriation History:

Year	Amount	Description
2023	10,000,000	CONSTRUCTION
2024	3,200,000	COST ESCALATION

### Total Appropriation History:

13,200,000

**Total Financing History:**  
0

**Recommended By:**

**Department of Planning**  
MLLL

**Date**  
08-29-2024

**Department of Public Works**  
RJB4

**Date**  
08-29-2024

**Budget Department**  
DEV9

**Date**  
08-29-2024

**Requesting Department**  
NJF9

**Date**  
08-29-2024

## NEW ROCHELLE WRRF BOILER AND GRIT SYSTEMS REPLACEMENT ( SNR21 )

**User Department :** Environmental Facilities

**Managing Department(s) :** Environmental Facilities ;

**Estimated Completion Date:** TBD

**Planning Board Recommendation:** Project approved in concept but subject to subsequent staff review.

### **FIVE YEAR CAPITAL PROGRAM (in thousands)**

	Est Ult Cost	Appropriated	Exp / Obl	2024	2025	2026	2027	2028	Under Review
<b>Gross</b>	<b>13,200</b>	<b>10,000</b>		<b>3,200</b>					
<b>Non County Share</b>									
<b>Total</b>	<b>13,200</b>	<b>10,000</b>		<b>3,200</b>					

### **Project Description**

This project includes the replacement of the grit collection system, installation of additional boilers to handle the increased heat load demand created by the upgrade of the entire New Rochelle WRRF, replacement of the building management system, and replacement of the sludge cake pumps at the dewatering facility.

### **Current Year Description**

The current year request funds a construction cost escalation.

### **Current Year Financing Plan**

Year	Bonds	Cash	Non County Shares	Total
2024	3,200,000			3,200,000

### **Impact on Operating Budget**

The impact on the District Operating Budget is the debt service associated with the issuance of bonds.

### **Appropriation History**

Year	Amount	Description	Status
2023	10,000,000	Construction	AWAITING BOND AUTHORIZATION
<b>Total</b>	<b>10,000,000</b>		

### **Prior Appropriations**

	Appropriated	Collected	Uncollected
<b>Bond Proceeds</b>	<b>10,000,000</b>		<b>10,000,000</b>
<b>Total</b>	<b>10,000,000</b>		<b>10,000,000</b>

**HONORABLE BOARD OF LEGISLATORS  
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmittal from the County Executive recommending approval of a bond act ("Bond Act") in the amount of \$13,200,000 which would authorize the County of Westchester ("County") to finance capital project SNR21 – New Rochelle WRRF Boiler and Grit Systems Replacement ("SNR21").

The Bond Act, which was prepared by the law firm Norton Rose Fulbright, will finance the cost of construction associated with the replacement of the grit collection system, installation of additional boilers to handle the increased heat load demand created by the upgrade of the entire New Rochelle Wastewater Resource Recovery Facility, replacement of the building management system, and replacement of the sludge cake pumps at the dewatering facility.

Following bonding authorization, design will be scheduled and is estimated to take approximately eighteen (18) months to complete and will be performed by an outside consultant. Construction is estimated to take approximately thirty (30) months to complete and will begin after award and execution of construction contracts.

The Planning Department has advised your Committee that based on its review, the above-referenced capital project may be classified as a Type "II" action pursuant to the State Environmental Quality Review Act ("SEQR") and its implementing regulations, 6 NYCRR Part 617. Therefore, no environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this recommendation.

It should be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to adopt the Bond Act. Your Committee recommends the adoption of the proposed Bond Act.

Dated: October 7th, 2024

White Plains, New York

Larry Z. Jang  
Wills  
J. O.  
J. O.  
Nancy Bauer  
Dedat Gadi

Larry Z. Jang  
Wills  
J. O.  
Nancy Bauer  
Dedat Gadi

COMMITTEE ON

Budget & Appropriations

Public Works &  
Transportation



# FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: SNR21

☐ NO FISCAL IMPACT PROJECTED

## SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☐ GENERAL FUND

☐ AIRPORT FUND

☒ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☒ Current Appropriations

☐ Capital Budget Amendment

## SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 13,200,000 PPU 30 Anticipated Interest Rate 3.32%

Anticipated Annual Cost (Principal and Interest): \$ 673,960

Total Debt Service (Annual Cost x Term): \$ 20,218,801

Finance Department: maab 9-19-24

## SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations  
(describe in detail for current and next four years):

\_\_\_\_\_  
\_\_\_\_\_

## SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded: 143

Prepared by: Dianne Vanadia

Title: Associate Budget Director

Department: Budget

Date: 9/24/24


Reviewed By: 

*09/24/24  
CO 9/25/24*

Budget Director

Date: 9/26/24

TO: Michelle Greenbaum, Senior Assistant County Attorney  
Jeffrey Goldman, Senior Assistant County Attorney  
Carla Chaves, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM   
Assistant Commissioner

DATE: September 17, 2024

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:  
SNR21 New Rochelle WRRF Boiler And Grit Systems Replacement**

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**PROJECT/ACTION:** Per Capital Project Fact Sheet as approved by the Planning Department on  
08-29-2024 (Unique ID: 2641)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(2):** replacement, rehabilitation or reconstruction of a structure or facility, in kind, on the same site, including upgrading buildings to meet building, energy, or fire codes unless such action meets or exceeds any of the thresholds in section 617.4 of this Part.

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**COMMENTS:** None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff  
Paula Friedman, Assistant to the County Executive  
Lawrence Soule, Budget Director  
Tami Altschiller, Assistant Chief Deputy County Attorney  
Nat Federici, Deputy Commissioner, Dept. of Environmental Facilities  
Dianne Vanadia, Associate Budget Director  
Susan Darling, Chief Planner  
Michael Lipkin, Associate Planner  
Claudia Maxwell, Principal Environmental Planner

ACT NO. \_\_\_\_\_ - 2024

BOND ACT DATED \_\_\_\_\_, 2024.

A BOND ACT AUTHORIZING THE ISSUANCE OF \$13,200,000 BONDS OF THE COUNTY OF WESTCHESTER, NEW YORK, TO PAY THE COST OF DESIGN, CONSTRUCTION MANAGEMENT AND CONSTRUCTION OF VARIOUS IMPROVEMENTS TO THE NEW ROCHELLE WATER RESOURCE RECOVERY FACILITY, INCLUDING REPLACEMENT OF THE GRIT COLLECTION SYSTEM AND INSTALLATION OF ADDITIONAL BOILERS, IN AND FOR THE COUNTY'S NEW ROCHELLE SANITARY SEWER DISTRICT.

WHEREAS, the capital project hereinafter described has been duly approved in the adopted capital budget for the current fiscal year; and

WHEREAS, the plan for the financing of the estimated maximum cost of such capital project, as hereinafter set forth in this Bond Act, is in conformity with such capital budget; and

WHEREAS, all conditions precedent to the financing of the capital project hereinafter described, including compliance with the provisions of the State Environmental Quality Review Act to the extent required, have been performed; and

WHEREAS, it is now desired to authorize the financing of the cost of such capital project;  
NOW, THEREFORE,

BE IT ENACTED, by the Board of Legislators of the County of Westchester, New York (the "County"), by the affirmative vote of not less than two thirds of the entire voting strength thereof, as follows:

Section 1. For paying the cost of design, construction management, and construction of various improvements to the New Rochelle Wastewater Resource Recovery Facility, including replacement of the grit collection system, the building management system, and the sludge cake pumps, installation of additional boilers, and any incidental expenses in connection therewith, a class of objects or purposes, there are hereby authorized to be issued \$13,200,000 bonds of said County pursuant to the provisions of the Local Finance Law. To the extent that the details of the aforesaid class of objects or purposes set forth in this Bond Act are inconsistent with

any details set forth in the current Capital Budget of the County, such Budget shall be deemed and is hereby amended to the extent inconsistent herewith.

Section 2. It is hereby determined that the estimated maximum cost of the aforesaid class of objects or purposes is \$13,200,000, and that the plan for the financing thereof is by the issuance of the \$13,200,000 bonds of said County authorized to be issued pursuant to this Bond Act.

Section 3. It is hereby determined that the period of probable usefulness of the aforesaid class of objects or purposes is thirty years, pursuant to subdivision four of paragraph a of Section 11.00 of the Local Finance Law.

Section 4. Subject to the provisions of the Local Finance Law, the power to authorize the issuance of and to sell bond anticipation notes in anticipation of the issuance and sale of the bonds herein authorized, including renewals of such notes, is hereby delegated to the Commissioner of Finance, the chief fiscal officer. Such notes shall be of such terms, form and contents, and shall be sold in such manner, as may be prescribed by said Commissioner of Finance, consistent with the provisions of the Local Finance Law.

Section 5. The faith and credit of the County are hereby irrevocably pledged for the payment of the principal of and interest on such obligations as the same respectively become due and payable. An annual appropriation shall be made in each year sufficient to pay the principal of and interest on such obligations becoming due and payable in such year. To the extent not paid from the assessment of properties assessable for this purpose in the County's New Rochelle Sanitary Sewer District, or other sources, there shall annually be levied on all the taxable real property of said County a tax sufficient to pay the principal of and interest on such obligations as the same become due and payable.

Section 6. Such bonds shall be in fully registered form and shall be signed in the name of the County by the manual or facsimile signature of the Commissioner of Finance and a facsimile

of the corporate seal shall be imprinted or impressed thereon and may be attested by the manual or facsimile signature of the County Clerk.

Section 7. The powers and duties of advertising such bonds for sale, conducting the sale and awarding the bonds, are hereby delegated to the Commissioner of Finance, who shall advertise such bonds for sale, conduct the sale, and award the bonds in such manner as said Commissioner of Finance shall deem best for the interests of the County; including, but not limited to, the power to sell said bonds to the New York State Environmental Facilities Corporation; provided, however, that in the exercise of these delegated powers, the Commissioner of Finance shall comply fully with the provisions of the Local Finance Law and any order or rule of the State Comptroller applicable to the sale of municipal bonds. The receipt of the Commissioner of Finance shall be a full acquittance to the purchaser of such bonds, who shall not be obliged to see to the application of the purchase money.

Section 8. The Commissioner of Finance is hereby further delegated the power to authorize the sale and issuance of the bonds authorized pursuant to this Bond Act (a) at a discount in the manner authorized by paragraphs e and f of Section 57.00 of the Local Finance Law, (b) at private sale pursuant to the applicable provisions of the Local Finance Law and any regulations of the New York State Comptroller appertaining thereto, including the private sale of bonds at a premium, (c) as capital appreciation bonds or term bonds at public sale or private sale pursuant to the applicable provisions of the Local Finance Law and any regulations of the New York State Comptroller appertaining thereto, and (d) at a variable rate of interest in the manner authorized by Section 54.90 of the Local Finance Law, including notes issued in anticipation thereof. The Commissioner of Finance is hereby authorized to enter into such agreements as said Commissioner of Finance shall determine reasonable and necessary to facilitate the issuance, sale, resale and, or repurchase of such bonds or notes pursuant to the provisions of Section 54.90 of the Local Finance Law. Such bonds and, or notes shall be of such terms, form and contents, and shall be sold in such manner, as may be prescribed by said Commissioner of Finance.



Section 9. The power to issue and sell notes to the New York State Environmental Facilities Corporation pursuant to Section 169.00 of the Local Finance Law is hereby delegated to the Commissioner of Finance. Such notes shall be of such terms, form and contents as may be prescribed by said Commissioner of Finance consistent with the provisions of the Local Finance Law.

Section 10. The Commissioner of Finance is hereby further authorized, at the sole discretion of the Commissioner of Finance, to execute a project financing agreement, and any other agreements with the New York State Department of Environmental Conservation and/or the New York State Environmental Facilities Corporation, including amendments thereto, and including any instruments (or amendments thereto) in the effectuation thereof, in order to effect the financing or refinancing of the class of objects or purposes described in Section 1 hereof, or a portion thereof, by a bond, and, or note issue of said County in the event of the sale of same to the New York State Environmental Facilities Corporation.

Section 11. The intent of this Bond Act is to give the Commissioner of Finance sufficient authority to execute those applications, agreements, instruments or to do any similar acts necessary to effect the issuance of the aforesaid bonds and, or notes without resorting to further action of this Board of Legislators.

Section 12. All other matters, except as provided herein relating to such bonds, including determining whether to issue such bonds having substantially level or declining annual debt service and all matters related thereto, prescribing whether manual or facsimile signatures shall appear on said bonds, prescribing the method for the recording of ownership of said bonds, appointing the fiscal agent or agents for said bonds, providing for the printing and delivery of said bonds (and if said bonds are to be executed in the name of the County by the facsimile signature of the Commissioner of Finance, providing for the manual countersignature of a fiscal agent or of a designated official of the County), the date, denominations, maturities and interest payment dates, place or places of payment, and also including the consolidation with other issues, shall be

determined by the Commissioner of Finance. It is hereby determined that it is to the financial advantage of the County not to impose and collect from registered owners of such bonds any charges for mailing, shipping and insuring bonds transferred or exchanged by the fiscal agent, and, accordingly, pursuant to paragraph c of Section 70.00 of the Local Finance Law, no such charges shall be so collected by the fiscal agent. Such bonds shall contain substantially the recital of validity clause provided for in Section 52.00 of the Local Finance Law and shall otherwise be in such form and contain such recitals in addition to those required by Section 52.00 of the Local Finance Law, as the Commissioner of Finance shall determine.

Section 13. The validity of such bonds and bond anticipation notes may be contested only if:

(1) Such obligations are authorized for an object or purpose for which said County is not authorized to expend money, or

(2) The provisions of law which should be complied with at the date of publication of this Bond Act are not substantially complied with,

and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or

(3) Such obligations are authorized in violation of the provisions of the Constitution.

Section 14. This Bond Act shall constitute a statement of official intent for purposes of Treasury Regulations Section 1.150 - 2. Other than as specified in this Bond Act, no monies are, or are reasonably expected to be, reserved, allocated on a long-term basis, or otherwise set aside with respect to the permanent funding of the object or purpose described herein.

Section 15. This Bond Act, which shall take effect immediately in accordance with the provisions of Section 33.10 of the Local Finance Law and as provided in Section 107.71 of the Westchester County Charter, shall be published in summary form in the official newspaper of said



County for purposes of this Bond Act, together with a notice of the Clerk of the Board of Legislators in substantially the form provided in Section 81.00 of the Local Finance Law.

The foregoing Bond Act was duly put to a vote which resulted as follows:

AYES:

NOES:

ABSENT:

The Bond Act was thereupon declared duly adopted.

\* \* \* \*

APPROVED BY THE COUNTY EXECUTIVE

\_\_\_\_\_

Date: \_\_\_\_\_, 2024

STATE OF NEW YORK                    )  
  ) ss.:  
COUNTY OF WESTCHESTER        )

I, the undersigned Clerk of the Board of Legislators of the County of Westchester, New York, DO HEREBY CERTIFY:

That I have compared the annexed extract of the minutes of the meeting of the Board of Legislators of said County, including the Bond Act contained therein, held on \_\_\_\_\_, 2024, with the original thereof on file in my office, and that the same is a true and correct transcript therefrom and of the whole of said original so far as the same relates to the subject matters therein referred to.

I FURTHER CERTIFY that all members of said Board had due notice of said meeting.

I FURTHER CERTIFY that said meeting was (i) open to the general public pursuant to Section 103 of the Public Officers Law or (ii) conducted in conformance with Section 103-a of the Public Officers Law.

I FURTHER CERTIFY that, PRIOR to the time of said meeting, I duly caused a public notice of the time and place of said meeting to be given to the following newspapers and/or other news media as follows:

Newspaper and/or Other News Media

Date Given

I FURTHER CERTIFY that PRIOR to the time of said meeting, I duly caused public notice of the time and place of said meeting to be conspicuously posted in the following designated public location(s) on the following dates:

Designated Location(s) of Posted Notice

Date of Posting

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said County Board of Legislators on \_\_\_\_\_, 2024.

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Clerk of the County Board of Legislators  
of the County of Westchester, New York

(CORPORATE  
SEAL)

## LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on \_\_\_\_\_, 2024 and approved by the County Executive on \_\_\_\_\_, 2024 and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County for a period of twenty days from the date of publication of this Notice.

ACT NO. \_\_\_\_\_-2024

A BOND ACT AUTHORIZING THE ISSUANCE OF \$13,200,000 BONDS OF THE COUNTY OF WESTCHESTER, NEW YORK, TO PAY THE COST OF DESIGN, CONSTRUCTION MANAGEMENT AND CONSTRUCTION OF VARIOUS IMPROVEMENTS TO THE NEW ROCHELLE WATER RESOURCE RECOVERY FACILITY, INCLUDING REPLACEMENT OF THE GRIT COLLECTION SYSTEM AND INSTALLATION OF ADDITIONAL BOILERS, IN AND FOR THE COUNTY'S NEW ROCHELLE SANITARY SEWER DISTRICT.

class of objects or purposes

financing the cost of design, construction management, and construction of various improvements to the New Rochelle Wastewater Resource Recovery Facility, including replacement of the grit collection system, the building management system, and the sludge cake pumps, installation of additional boilers, and any incidental expenses in connection therewith

period of probable usefulness:

thirty years

amount of obligations to be issued:

\$13,200,000

Dated: \_\_\_\_\_, 2024  
White Plains, New York

\_\_\_\_\_  
Clerk of the County Board of Legislators of the County of Westchester, New York

## CAPITAL PROJECT FACT SHEET

<b>Project ID:*</b> SNR21	<input type="checkbox"/> CBA	<b>Fact Sheet Date:*</b> 08-23-2024
<b>Fact Sheet Year:*</b> 2024	<b>Project Title:*</b> NEW ROCHELLE WRRF BOILER AND GRIT SYSTEMS REPLACEMENT	<b>Legislative District ID:</b> 7, 11, 10,
<b>Category*</b> SEWER AND WATER DISTRICTS	<b>Department:*</b> ENVIRONMENTAL FACILITIES	<b>CP Unique ID:</b> 2641

### Overall Project Description

This project includes the replacement of the grit collection system, installation of additional boilers to handle the increased heat load demand created by the upgrade of the entire New Rochelle WRRF, replacement of the building management system, and replacement of the sludge cake pumps at the dewatering facility.

- |   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> Best Management Practices | <input checked="" type="checkbox"/> Energy Efficiencies | <input checked="" type="checkbox"/> Infrastructure |
| <input type="checkbox"/> Life Safety                          | <input type="checkbox"/> Project Labor Agreement        | <input type="checkbox"/> Revenue                   |
| <input type="checkbox"/> Security                             | <input type="checkbox"/> Other                          |  |

### FIVE-YEAR CAPITAL PROGRAM (in thousands)

	Estimated Ultimate Total Cost	Appropriated	2024	2025	2026	2027	2028	Under Review
Gross	13,200	10,000	3,200	0	0	0	0	0
Less Non-County Shares	0	0	0	0	0	0	0	0
Net	13,200	10,000	3,200	0	0	0	0	0

**Expended/Obligated Amount (in thousands) as of :** 0

**Current Bond Description:** Funding is requested for construction, which includes: the replacement of the grit collection system, installation of additional boilers to handle the increased heat load demand created by the upgrade of the entire New Rochelle WRRF, replacement of the building management system, and replacement of the sludge cake pumps at the dewatering facility.

#### Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	13,200,000
Cash:	0
<b>Total:</b>	<b>\$ 13,200,000</b>

#### SEQR Classification:

TYPE II

#### Amount Requested:

13,200,000

#### Expected Design Work Provider:

- |                                       |  |   |
|---------------------------------------|--|---|
| <input type="checkbox"/> County Staff | <input checked="" type="checkbox"/> Consultant | <input type="checkbox"/> Not Applicable |
|---------------------------------------|--|---|

#### Comments:

#### Energy Efficiencies:

REPLACEMENT OF EXISTING BOILERS WITH MORE ENERGY EFFICIENT BOILERS.

#### Appropriation History:

Year	Amount	Description
2023	10,000,000	CONSTRUCTION
2024	3,200,000	COST ESCALATION

#### Total Appropriation History:

13,200,000



**Total Financing History:**

0

**Recommended By:**

**Department of Planning**

MLLL

**Date**

08-29-2024

**Department of Public Works**

RJB4

**Date**

08-29-2024

**Budget Department**

DEV9

**Date**

08-29-2024

**Requesting Department**

NJF9

**Date**

08-29-2024

## NEW ROCHELLE WRRF BOILER AND GRIT SYSTEMS REPLACEMENT ( SNR21 )

**User Department :** Environmental Facilities

**Managing Department(s) :** Environmental Facilities ;

**Estimated Completion Date:** TBD

**Planning Board Recommendation:** Project approved in concept but subject to subsequent staff review.

### FIVE YEAR CAPITAL PROGRAM (in thousands)

	Est Ult Cost	Appropriated	Exp / Obl	2024	2025	2026	2027	2028	Under Review
Gross	13,200	10,000		3,200					
Non County Share									
Total	13,200	10,000		3,200					

### Project Description

This project includes the replacement of the grit collection system, installation of additional boilers to handle the increased heat load demand created by the upgrade of the entire New Rochelle WRRF, replacement of the building management system, and replacement of the sludge cake pumps at the dewatering facility.

### Current Year Description

The current year request funds a construction cost escalation.

### Current Year Financing Plan

Year	Bonds	Cash	Non County Shares	Total
2024	3,200,000			3,200,000

### Impact on Operating Budget

The impact on the District Operating Budget is the debt service associated with the issuance of bonds.

### Appropriation History

Year	Amount	Description	Status
2023	10,000,000	Construction	AWAITING BOND AUTHORIZATION
Total	10,000,000		

### Prior Appropriations

	Appropriated	Collected	Uncollected
Bond Proceeds	10,000,000		10,000,000
Total	10,000,000		10,000,000

**HONORABLE BOARD OF LEGISLATORS  
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmittal from the County Executive recommending approval by the County of Westchester (“County”) of a bond act (the “Bond Act”) in the total amount of \$2,000,000 to finance capital project BES24 - Replacement of Smoke House Building (“BES24”). The Bond Act, which was prepared by the law firm Harris Beach PLLC, will finance the purchase of a new pre-fabricated smoke house fire prop building, associated design during construction, and any additional site work needed.

The Department of Emergency Services (“DES”) has advised that the existing smoke house is over 45 years old and has had several large repairs during its life. The repairs are failing due to the building’s age and the repeated exposure to the temperature extremes of live fire, its rapid extinguishment and high pressure impacts resulting from the use of fire hoses during each training evolution. This has resulted in building deterioration and fatigue. Surrounding paved surfaces are exhibiting similar damage from the same wear and tear conditions noted to the building itself.

Your Committee is advised that this realistic fire training prop is one of the most used at the DES training facility. It is used on a nearly daily basis by fire departments from municipalities throughout the County as a valuable hands-on fire suppression training tool for their fire fighters.

Your Committee is further advised that the National Fire Protection Agency (NFPA) has Standards (NFPA 1402 Standards for Fire Training and Associated Props) to ensure structural fitness and safety for LIVE burn buildings. The existing smoke house has been inspected by engineers who recommend the building be replaced and not renovated again.

Your Committee is advised that design is complete. It is estimated that it will take approximately five (5) months for completion of the assembly and delivery of the pre-fabricated building.

Your Committee notes that your Honorable Board has previously authorized the County to issues bonds for a prior component of BES24 as follows: Bond Act No. 172-2023 in the amount of \$810,000 which funded design, special studies, and administrative reviews associated with the demolition of the existing smoke house and construction of a new smoke house fire training prop. No bonds have been issued under Bond Act No. 172-2023.

The Planning Department has advised your Committee that based on its review, the above-referenced capital project may be classified as a Type "II" action pursuant to the State Environmental Quality Review Act ("SEQR") and its implementing regulations, 6 NYCRR Part 617. Therefore, no environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this recommendation.

It should be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to adopt the Bond Act. Your Committee recommends the adoption of the proposed Bond Act.

Dated: October 7<sup>th</sup>, 2024  
White Plains, New York

*James Zeller John*

*Smith*

*SEJ*

*Paul*

*✓*

*Vedat Fathi*

*Manny Chan*

*J. De*

*SEJ*  
*James Zeller John*  
*Smith*

*Vedat Fathi*

*Manny Chan*

*J. De*

Budget & Appropriations

Public Works & Transportation

# FISCAL IMPACT STATEMENT

535

CAPITAL PROJECT #: BES24

☐ NO FISCAL IMPACT PROJECTED

## SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☒ GENERAL FUND

☐ AIRPORT FUND

☐ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☒ Current Appropriations

☐ Capital Budget Amendment

## SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 2,000,000 PPU 15 Anticipated Interest Rate 2.75%

Anticipated Annual Cost (Principal and Interest): \$ 164,452

Total Debt Service (Annual Cost x Term): \$ 2,466,776

Finance Department: maab 9-19-24

## SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations  
(describe in detail for current and next four years):

## SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded: 22

Prepared by: Dianne Vanadia

Title: Associate Budget Director

Department: Budget

Date: 9/24/24

Reviewed By: 


Date: 9/26/24

Budget Director



Memorandum  
Department of Planning

TO: Michelle Greenbaum, Senior Assistant County Attorney  
Jeffrey Goldman, Senior Assistant County Attorney  
Carla Chaves, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM   
Assistant Commissioner

DATE: September 18, 2024

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:  
BES24 REPLACEMENT OF SMOKE HOUSE BUILDING**

---

**PROJECT/ACTION:** Per Capital Project Fact Sheet as approved by the Planning Department on 08-21-2024 (Unique ID: 2444)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(2):** replacement, rehabilitation or reconstruction of a structure or facility, in kind, on the same site, including upgrading buildings to meet building, energy, or fire codes unless such action meets or exceeds any of the thresholds in section 617.4 of this Part.

---

**COMMENTS:** None.

DSK/CNM

cc: Andrew Ferris, Chief of Staff  
Paula Friedman, Assistant to the County Executive  
Lawrence Soule, Budget Director  
Tami Altschiller, Assistant Chief Deputy County Attorney  
Dianne Vanadia, Associate Budget Director  
Robert Abbamont, Director of Operations, Department of Public Works & Transportation  
Susan Darling, Chief Planner  
Michael Lipkin, Associate Planner  
Claudia Maxwell, Principal Environmental Planner



REFERENCE BES24

ACT NO. -20\_\_

BOND ACT AUTHORIZING THE ISSUANCE OF \$2,000,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF THE ACQUISITION OF A NEW SMOKE HOUSE FIRE PROP BUILDING; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$2,000,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$2,000,000 BONDS HEREIN AUTHORIZED TO FINANCE SUCH COST; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted , 20\_\_)

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER (the "County"), NEW YORK (by the affirmative vote of not less than two-thirds of the voting strength of said Board), AS FOLLOWS:

Section 1. Pursuant to the provisions of the Local Finance Law, constituting Chapter 33-a of the Consolidated Laws of the State of New York (the "Law"), the Westchester County Administrative Code, being Chapter 852 of the Laws of 1948, as amended, to the provisions of other laws applicable thereto, \$2,000,000 bonds of the County, or so much thereof as may be necessary, are hereby authorized to be issued to finance the cost of the acquisition of a new smoke house fire prop building, including design during construction and additional site work; all as set forth in the County's current year Capital Budget, as amended. To the extent that the details set forth in this act are inconsistent with any details set forth in the current year Capital Budget of the County, such Budget shall be deemed and is hereby amended. The estimated maximum cost of said objects or purposes, including preliminary costs and costs incidental thereto

and the financing thereof, is \$2,000,000. The plan of financing includes the issuance of \$2,000,000 bonds herein authorized, and any bond anticipation notes issued in anticipation of the sale of such bonds, and the levy of a tax to pay the principal of and interest on said bonds.

Section 2. The period of probable usefulness applicable to the specific object or purpose for which bonds authorized by this resolution is to be issued within the limitations of Section 11.00 a. 11(a)(c) of the Law, is fifteen (15) years.

Section 3. Current funds are not required to be provided as a down payment pursuant to Section 107.00 d. 9. of the Law prior to issuance of the bonds authorized herein, or any bond anticipation notes issued in anticipation of the sale of such bonds. The County intends to finance, on an interim basis, the costs or a portion of the costs of said improvements for which bonds are herein authorized, which costs are reasonably expected to be reimbursed with the proceeds of debt to be incurred by the County, pursuant to this Act, in the maximum amount of \$2,000,000. This Act is a declaration of official intent adopted pursuant to the requirements of Treasury Regulation Section 1.150-2.

Section 4. Subject to the provisions of this Act and of the Law, and pursuant to the provisions of §30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals thereof, and of §§50.00, 56.00 to 60.00 and 168.00 of said Law, the powers and duties of the County Board of Legislators relative to authorizing the issuance of any notes in anticipation of the sale of the bonds herein authorized, or the renewals thereof, relative to providing for substantially level or declining annual debt service, relative to prescribing the terms, form and contents and as to the sale and issuance of the respective amounts of bonds herein authorized, and of any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and

relative to executing agreements for credit enhancement, are hereby delegated to the Commissioner of Finance of the County, as the chief fiscal officer of the County.

Section 5. Each of the bonds authorized by this Act and any bond anticipation notes issued in anticipation of the sale thereof shall contain the recital of validity prescribed by §52.00 of said Local Finance Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County of Westchester, payable as to both principal and interest by general tax upon all the taxable real property. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and provision shall be made annually in the budgets of the County by appropriation for (a) the amortization and redemption of the notes and bonds to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 6. The validity of the bonds authorized by this Act and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

(a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or

(b) the provisions of law which should be complied with at the date of the publication of this Act or a summary hereof, are not substantially complied with,

and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or

(c) such obligations are authorized in violation of the provisions of the Constitution.

Section 7. This Act shall take effect in accordance with Section 107.71 of the Westchester County Charter.

\* \* \*

STATE OF NEW YORK                    )  
   : ss.:  
 COUNTY OF WESTCHESTER            )

I HEREBY CERTIFY that I have compared the foregoing Act No. -20\_\_ with the original on file in my office, and that the same is a correct transcript therefrom and of the whole of the said original Act, which was duly adopted by the County Board of Legislators of the County of Westchester on                   , 20\_\_ and approved by the County Executive on                   , 20\_\_.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said County Board of Legislators this       day of                   , 20\_\_.

(SEAL)

The Clerk and Chief Administrative Officer of the  
 County Board of Legislators  
 County of Westchester, New York

## LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on \_\_\_\_\_, 20\_\_ and approved by the County Executive on \_\_\_\_\_, 20\_\_ and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. \_\_\_\_\_-20\_\_

BOND ACT AUTHORIZING THE ISSUANCE OF \$2,000,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF THE ACQUISITION OF A NEW SMOKE HOUSE FIRE PROP BUILDING; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$2,000,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$2,000,000 BONDS HEREIN AUTHORIZED TO FINANCE SUCH COST; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted \_\_\_\_\_, 20\_\_)

object or purpose: to finance the cost of the acquisition of a new smoke house fire prop building, including design during construction and additional site work; all as set forth in the County's current year Capital Budget, as amended.

amount of obligations to be issued:

and period of probable usefulness: \$2,000,000; fifteen (15) years

Dated: \_\_\_\_\_, 20\_\_  
White Plains, New York

\_\_\_\_\_  
Clerk and Chief Administrative Officer of the County  
Board of Legislators of the County of Westchester, New  
York



## CAPITAL PROJECT FACT SHEET

**Project ID:\***  
 BES24

☐ CBA

**Fact Sheet Date:\***  
 08-09-2024

**Fact Sheet Year:\***  
 2024

**Project Title:\***  
 REPLACEMENT OF SMOKE HOUSE BUILDING

**Legislative District ID:**  
 3,

**Category\***  
 BUILDINGS, LAND & MISCELLANEOUS

**Department:\***  
 EMERGENCY SERVICES

**CP Unique ID:**  
 2444

**Overall Project Description**

This project funds a new Smoke House Building fire training prop located in the fire training yard on the Valhalla Grasslands campus.

- ☐ Best Management Practices
 ☐ Energy Efficiencies
 ☒ Infrastructure
- ☒ Life Safety
 ☐ Project Labor Agreement
 ☐ Revenue
- ☐ Security
 ☐ Other

**FIVE-YEAR CAPITAL PROGRAM (in thousands)**

	Estimated Ultimate Total Cost	Appropriated	2024	2025	2026	2027	2028	Under Review
Gross	6,310	810	5,500	0	0	0	0	0
Less Non-County Shares	0	0	0	0	0	0	0	0
Net	6,310	810	5,500	0	0	0	0	0

**Expended/Obligated Amount (in thousands) as of : 1**

**Current Bond Description:** Funding is requested for purchase of the new pre-fabricated smoke house fire prop building, associated design during construction, and any additional site work needed

**Financing Plan for Current Request:**

Non-County Shares:	\$ 0
Bonds/Notes:	2,000,000
Cash:	0
Total:	<u>\$ 2,000,000</u>

**SEQR Classification:**

TYPE II

**Amount Requested:**

2,000,000

**Expected Design Work Provider:**

- ☐ County Staff
 ☒ Consultant
 ☐ Not Applicable

**Comments:**

Purchase of the pre-fab building is needed to complete the construction design and associated site work. Additional funding will be needed/requested for actual construction.

**Energy Efficiencies:****Appropriation History:**

Year	Amount	Description
2023	810,000	DESIGN

**Total Appropriation History:**

810,000



**Financing History:**

<b>Year</b>	<b>Bond Act #</b>	<b>Amount</b>	<b>Issued</b>	<b>Description</b>
23	172	810,000	0	REPLACEMENT OF SMOKE HOUSE BUILDING

**Total Financing History:**

810,000

**Recommended By:****Department of Planning**

MLLL

**Date**

08-21-2024

**Department of Public Works**

RJB4

**Date**

08-21-2024

**Budget Department**

DEV9

**Date**

08-21-2024

**Requesting Department**

SBS9

**Date**

08-21-2024

## REPLACEMENT OF SMOKE HOUSE BUILDING ( BES24 )

**User Department :** Emergency Services

**Managing Department(s) :** Emergency Services ; Public Works ;

**Estimated Completion Date:** TBD

**Planning Board Recommendation:** Project approved in concept but subject to subsequent staff review.

### **FIVE YEAR CAPITAL PROGRAM (in thousands)**

	Est Ult Cost	Appropriated	Exp / Obl	2024	2025	2026	2027	2028	Under Review
Gross	6,310	810	1	5,500					
Non County Share									
<b>Total</b>	<b>6,310</b>	<b>810</b>	<b>1</b>	<b>5,500</b>					

### **Project Description**

This project funds a new Smoke House Building fire training prop located in the fire training yard on the Valhalla Grasslands campus.

### **Current Year Description**

The current year request funds construction.

### **Current Year Financing Plan**

Year	Bonds	Cash	Non County Shares	Total
2024	5,500,000			5,500,000

### **Impact on Operating Budget**

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

### **Appropriation History**

Year	Amount	Description	Status
2023	810,000	Design	DESIGN
<b>Total</b>	<b>810,000</b>		

### **Prior Appropriations**

	Appropriated	Collected	Uncollected
Bond Proceeds	810,000		810,000
<b>Total</b>	<b>810,000</b>		<b>810,000</b>

### **Bonds Authorized**

Bond Act	Amount	Date Sold	Amount Sold	Balance
172	23		810,000	810,000
<b>Total</b>			<b>810,000</b>	<b>810,000</b>

**HONORABLE BOARD OF LEGISLATORS  
THE COUNTY OF WESTCHESTER**

Your Committee is in receipt of a communication from the County Executive wherein he requests that your Honorable Board adopt an Act which, which, if adopted by your Honorable Board, would authorize the County of Westchester (the “County”) to enter into an inter-municipal agreement (“IMA”) with the Town of North Castle (“Town”) and acquire an easement from the Town to allow the County to install and maintain a water main on New King Street to address per- and polyfluoroalkyl substances (“PFAS”) found in the wells of certain privately owned properties.

Your Committee is advised that the County and the New York State Department of Environmental Conservation (“NYSDEC”) previously entered into Consent Order 3-20180308-44 in connection with PFAS found in the Westchester County Airport (“Airport”) groundwater. The Consent Order required characterization of PFAS contamination at the Airport as well as off-site, and remedial work to address it. Following testing, PFAS was found in the wells of certain privately owned properties located off-site on New King Street in the Town (“Private Parcels”). While the County has temporarily provided bottled water to the Private Parcels, the County desires to extend the Airport’s water main through the Town’s right-of-way on New King Street in order to provide a permanent source of potable water to the Private Parcels (“Project”).

Your Committee is advised that pursuant to the proposed IMA, the County will be responsible for the design and construction of the Project and the Town will grant an easement to the County for the life of the Project bonds. The project is being financed by County bonds issued pursuant to Bond Act No. 122-2021 approved by your Honorable Board on June 28, 2021. The County has designed the Project, procured public bids, and awarded a contract for construction. Following final acceptance of the Project by the County, the Town will be responsible for all maintenance of the Project and related costs. Upon the satisfaction of all Project bonds, all of the County’s right, title and interest in the Project will transfer to the Town, and all County obligations under the IMA will cease. The term of the IMA will be for a period of



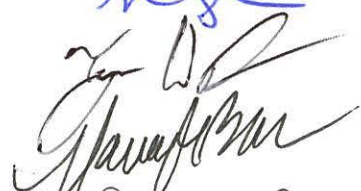
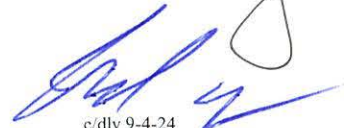
40 years, commencing upon execution and terminating on the expiration of any County bonds issued in connection with the Project.

Pursuant to the IMA, the County and the Town shall defend, indemnify and hold harmless each other, their officers, employees and agents from and against any and all liability, damage, claims, demands, costs, judgments, fees, attorneys' fees or loss arising directly out of their respective acts or omissions or those of third parties under their direction or control.



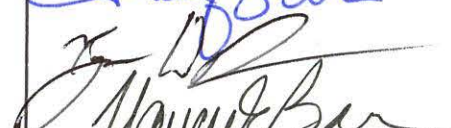

As your Honorable Board is aware, no action may be taken with regard to the proposed legislation until the requirements of the State Environmental Quality Review Act and its implementing regulations, 6 NYCRR Part 617 ("SEQRA") have been met. The Planning Department advises that the proposed IMA is classified as a Type II action. Therefore, no further action is required by your Honorable Board. Your Committee has reviewed the annexed SEQRA memo and concurs with that conclusion.

Upon careful consideration, your Committee finds the proposed IMA to be in the County's best interest as it provides for a permanent source of safe drinking water, and therefore your Committee recommends approval of the proposed Act.

Dated: October 7<sup>th</sup>, 2024  
White Plains, New York

  
  
  
David Zelen Joh  
  
c/dlv 9-4-24

  
  
  
David Zelen Joh  


  
  
  
David Zelen Joh  


Dated: October 7<sup>th</sup>, 2024  
White Plains, New York

***The following members attended the meeting remotely and approved this item out of Committee with an affirmative vote. Their electronic signature was authorized and is below.***

**Human Services**

A handwritten signature in black ink, appearing to read "De'Plum", is written over the "Human Services" text.

# FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: A0097

☒ NO FISCAL IMPACT PROJECTED

## SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☐ GENERAL FUND

☐ AIRPORT FUND

☐ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☐ Current Appropriations

☐ Capital Budget Amendment

IMA and temporary easement Town of North Castle in connection with A0097

## SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal

PPU

Anticipated Interest Rate

Anticipated Annual Cost (Principal and Interest):

Total Debt Service (Annual Cost x Term):

\$ -

Finance Department:

## SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations

(describe in detail for current and next four years):

\_\_\_\_\_  
\_\_\_\_\_

## SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded: n/a

Prepared by: Diane Vanadia

Title: Associate Budget Director

Department: Budget

Date: 9/11/24

Reviewed By: 

Budget Director

Date: 9/12/24



# FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: A0116

☒ NO FISCAL IMPACT PROJECTED

## SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☐ GENERAL FUND

☐ AIRPORT FUND

☐ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☐ Current Appropriations

☐ Capital Budget Amendment

IMA and Easement Town of North Castle in connection with A0116

## SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal

PPU

Anticipated Interest Rate

Anticipated Annual Cost (Principal and Interest):

Total Debt Service (Annual Cost x Term):

\$ -

Finance Department:

## SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations  
(describe in detail for current and next four years):

\_\_\_\_\_  
\_\_\_\_\_

## SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded: n/a

Prepared by: Diane Vanadia

Title: Associate Budget Director

Department: Budget

Date: 9/11/24

Reviewed By: 


09/11/24  
09/12/24

Budget Director

Date: 9/12/24



TO: David Vutera, Associate County Attorney  
Department of Law

FROM: David S. Kvinge, AICP, RLA, CFM   
Assistant Commissioner

DATE: September 10, 2024

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR:  
NEW KING STREET WATER MAIN, NORTH CASTLE**

---

**PROJECT/ACTION:** In connection with a New York State Department of Environmental Conservation Order on Consent, the County proposes to install and maintain a water main on New King Street in order to address per- and polyfluoroalkyl substances ("PFAS") found in the wells of certain privately owned properties located in this area, which is adjacent to the Westchester County Airport. An intermunicipal agreement with the Town of North Castle, as well as a temporary easement from the Town, will be required in order to install the water line within the Town road. Pursuant to the agreement, ownership and maintenance of the water main will transfer to the Town and the easement will terminate following the satisfaction of the county bonds used to fund the project.

**With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no environmental review is required because the project/action may be classified as a TYPE II action pursuant to section(s):**

- **617.5(c)(13):** extension of utility distribution facilities, including gas, electric, telephone, cable, water and sewer connections to render service in approved subdivisions or in connection with any action on this list;
  - **617.5(c)(35):** civil or criminal enforcement proceedings, whether administrative or judicial, including a particular course of action specifically required to be undertaken pursuant to a judgment or order, or the exercise of prosecutorial discretion.
- 

**COMMENTS:** This work is being done pursuant to DEC Case No.: CO 3-20180308-44. The project will be financed by County bonds issued pursuant to Bond Act No. 122-2021 (in connection with capital projects A0097 and A0116), which was approved by the Board of Legislators on June 28, 2021, along with a Negative Declaration (Resolution 123-2021), for improvements to the water supply infrastructure on County Airport property necessary to provide the water connection to New King Street.

DSK/cnm

cc: Andrew Ferris, Chief of Staff  
Paula Friedman, Assistant to the County Executive  
Tami Altschiller, Assistant Chief Deputy County Attorney  
Brian Hegt, Assistant to the Commissioner, Dept. of Public Works & Transportation  
Claudia Maxwell, Principal Environmental Planner

ACT NO.

– 2024

AN ACT authorizing the County of Westchester to enter into an inter-municipal agreement with the Town of North Castle and acquire an easement from the Town to allow the County to install and maintain a water main on New King Street to address per- and polyfluoroalkyl substances found in the wells of certain privately owned properties.

**NOW, THEREFORE, BE IT ENACTED** by the Board of Legislators of the County of Westchester as follows:

**Section 1.** The County of Westchester (“County”) is hereby authorized to enter into an inter-municipal agreement (“IMA”) with the Town of North Castle (“Town”) and acquire an easement from the Town to allow the County to install and maintain a water main on New King Street to address per- and polyfluoroalkyl substances (“PFAS”) found in the wells of certain privately owned properties (Private Parcels”).

§2. Pursuant to the IMA, the County shall be responsible for the design and construction of an extension of the water main from Westchester County Airport through the Town’s right-of-way on New King Street in order to provide a permanent source of potable water to the Private Parcels (“Project”). The Town shall grant an easement to the County for the life of the Project bonds. Following final acceptance of the Project by the County, the Town shall be responsible for all maintenance of the Project and related costs. Upon the satisfaction of all County bonds financing the Project, all of the County’s right, title and interest in the Project shall transfer to the Town, and all County obligations under the IMA shall cease.

§3. The term of the IMA shall be for a period of 40 years, commencing upon execution and terminating on the expiration of any County bonds issued in connection with the Project.

§4. Pursuant to the IMA, the County and the Town shall defend, indemnify and hold harmless each other, their officers, employees and agents from and against any and all liability, damage, claims, demands, costs, judgments, fees, attorneys' fees or loss arising directly out of their respective acts or omissions or those of third parties under their direction or control.

§5. The County Executive or his duly authorized designee, is hereby authorized to execute and deliver all instruments approved as to form and substance by the County Attorney as are necessary and appropriate to effectuate the purposes of this Local Law.

§6. This Act shall take effect immediately.

***DRAFT 3-25-24***

**THIS INTERMUNICIPAL AGREEMENT** made this \_\_\_\_ day of \_\_\_\_\_, 202\_, by and between:

**THE COUNTY OF WESTCHESTER**, a municipal corporation of the State of New York, having an office and place of business in the Michaelian Office Building, 148 Martine Avenue, White Plains, New York 10601 (the “County”),

and

**THE TOWN OF NORTH CASTLE**, a municipal corporation of the State of New York, having an office and place of business at 15 Bedford Road, Armonk, New York 10504 (hereinafter the “Town”)

**WHEREAS**, the County and the New York State Department of Environmental Conservation have entered into Consent Order Index No. CO-3-20180308-44 (“Consent Order”) that requires characterization of PFOA and PFAS contamination at the Westchester County Airport (“Airport”) as well as off-site, and remedial work to address it; and

**WHEREAS**, PFOA and PFAS contamination has been found in the wells of certain privately owned properties located off-site on New King Street in the Town (“Private Parcels”); and

**WHEREAS**, while the County has temporarily provided bottled water to the Private Parcels, the County desires to extend the Airport’s water main through the Town’s right-of-way on New King Street (“Project Property”) in order to provide a permanent source of potable water to the Private Parcels (“Water Main”); and

**WHEREAS**, the County has designed the Water Main (“Project”), procured public bids for construction of the Project, awarded a contract for construction, and will finance the design and construction of the Project through the issuance of tax exempt general obligation bonds; and

**WHEREAS**, the parties recognize that the County requires a property interest in the Project Property being improved with County bonds, and the Town will grant the County a temporary easement in the Project Property so that the County may comply with the Local Finance Law; and

**WHEREAS**, once construction is complete, the County desires to transfer responsibility for all maintenance of the Water Main to the Town, and ultimately transfer title to the Water Main to the Town, subject to the terms herein provided; and

**WHEREAS**, the Town desires to assume said responsibility for all maintenance of the Water Main and ultimately accept the transfer of the Water Main; and

**WHEREAS**, the County and the Town desire to enter into an intermunicipal agreement (“Agreement”) to set forth the terms and conditions under which the parties will undertake construction and perform maintenance of the Project.

**NOW THEREFORE**, in consideration of the terms and conditions herein contained, the parties agree as follows:

1. **RECITALS**: The above recitals are hereby incorporated by reference into the body of this Agreement.

2. **COUNTY OBLIGATIONS**: a) The County has performed, or caused its consultants to perform, the work necessary to complete the design for the Project and has procured bids for construction of the Project by means of public competitive bidding pursuant to Section 103 of the General Municipal Law, in accordance with the plans, scope of work and budget attached hereto and made a part hereof as Schedule “A” (the “Work”). The County has awarded Contract No. 22-522 to Triumph Construction Corp. for completion of the Work. The County shall also construct the connection between each Private Parcel and the Water Main, at its own expense. Nothing herein shall create or be construed to create a third-party beneficiary relationship between the Town and the consultants or contractors hired by the County to carry out the Work, and the parties hereto expressly disclaim any intention to create such a relationship.

b) Once it receives applicable warranties and as-built drawings for the Work, the County shall transmit them to the Town.

c) The County shall notify the Town in writing following the County Board of Acquisition and Contract’s final acceptance of the Work. Commencing upon receipt of such notification, the Town

shall be responsible for all maintenance of the Water Main and all related costs.

d) It is anticipated that the County will issue bonds to finance the cost of the Project. The County shall use reasonable efforts to give the Town written notice on or about the date that the County's Project bonds are no longer outstanding. Upon the satisfaction of all Project bonds, all of the County's right, title and interest in the Water Main shall thereupon transfer to the Town, and all County obligations under this Agreement shall cease

3. **TOWN OBLIGATIONS:** a) The Town shall grant a temporary easement to the County through its right-of-way on the Project Property as shown in Schedule "B", attached hereto, to facilitate construction of the Project and to provide the necessary property interest for the County to finance same.

b) Upon receipt of written notice of final acceptance of the Work, the Town shall be responsible for all maintenance of the Water Main and all related costs.

c) Upon the satisfaction of all Project bonds, the Town acknowledges that all of the County's right, title and interest in the Water Main shall thereupon transfer to the Town, and all County obligations under this Agreement shall cease.

4. **TERM:** The term of this Agreement shall be for a period of forty (40) years, commencing upon execution of this Agreement by both parties and terminating on the latest date of the expiration of any County bonds issued in connection with the Project, unless terminated sooner in accordance with the provisions of this Agreement.

5. **COSTS:** The County shall pay all costs related to the design and construction of the Project. Under no circumstances or conditions, whether now existing or hereafter arising, or whether beyond the present contemplation of the parties, shall the County be expected or required to make any additional payment of any kind or be under any other obligation or liability hereunder in connection with the design or construction of the Project. Following the Town's receipt of written notice of final acceptance of the Work by the County, the Town shall be responsible for all maintenance of the Water Main and all related costs.

6. **SUBJECT TO COUNTY APPROPRIATIONS:** The parties recognize and acknowledge that the obligations of the County under this Agreement are subject to annual appropriations by its Board of Legislators pursuant to the Laws of Westchester County. Therefore, this Agreement shall be deemed executory only to the extent of the monies appropriated and available. The County shall have no liability under this Agreement beyond funds appropriated and available for payment pursuant to this Agreement. The parties understand and intend that the obligation of the County hereunder shall constitute a current expense of the County and shall not in any way be construed to be a debt of the County in contravention of any applicable constitutional or statutory limitations or requirements concerning the creation of indebtedness by the County, nor shall anything contained in this Agreement constitute a pledge of the general tax revenues, funds or moneys of the County. The County shall pay amounts due under this Agreement exclusively from legally available funds appropriated for this purpose. The County shall retain the right, upon the occurrence of the adoption of any County Budget by its Board of Legislators during the term of this Agreement or any amendments thereto, and for a reasonable period of time after such adoption(s), to conduct an analysis of the impacts of any such County Budget on County finances. After such analysis, the County shall retain the right to either terminate this Agreement or to renegotiate the amounts and rates set forth herein.

7. **TERMINATION:** (a) The County, upon ten (10) days-notice to the Town, may terminate this Agreement in whole or in part when the County deems it to be in its best interest. .

(b) In the event the County determines that there has been a material breach by the Town of any of the terms of the Agreement and such breach remains uncured for forty-eight (48) hours after service on the Town of written notice thereof, the County, in addition to any other right or remedy it might have, may terminate this Agreement.

8. **MAINTENANCE OF RECORDS:** The County shall, at its sole cost and expense, keep, maintain, and preserve at its principal offices throughout the term of this Agreement, full and detailed books, accounts, and records pertaining to its performance pursuant to this Agreement. Such books, accounts and records will include, without limitation, all bills, invoices, payrolls and other data evidencing, or in any material way relating to, the direct and indirect costs and expenses incurred



in connection with the Project. The Town shall have the right to inspect and audit, at reasonable times and upon reasonable notice, any and all such books, accounts and records at the office or offices where they are then being kept, maintained and preserved. All of the provisions of this Section "8" will survive the expiration or other termination of this Agreement.

9. **REPRESENTATIONS, WARRANTIES AND GUARANTEES:** The Town expressly represents, warrants and guarantees to the County that:

(a) the execution and performance of this Agreement by the Town has been duly authorized by its governing board; and

(b) this Agreement, and any other documents required in connection herewith, when so delivered, will constitute legal, valid and binding obligations of the Town enforceable against the Town in accordance with their respective terms; and

(c) the Town will deliver to the County at the time of execution of this Agreement a resolution adopted by its governing board authorizing the execution of this Agreement, and any other documents required to be delivered by the Town; and

(d) the person signing this Agreement on behalf of the Town has full authority to bind the Town to all of the terms and conditions of this Agreement.

10. **INDEMNIFICATION:** The Town shall defend, indemnify and hold harmless the County, its officers, employees and agents from and against any and all liability, damage, claims, demands, costs, judgments, fees, attorneys' fees or loss arising directly out of the acts or omissions hereunder by the Town or third parties under the direction or control of the Town. The County shall defend, indemnify and hold harmless the Town, its officers, employees and agents from and against any and all liability, damage, claims, demands, costs, judgments, fees, attorneys' fees or loss arising directly out of the acts or omissions hereunder by the County or third parties under the direction or control of the County. This Section "10" shall survive the termination or expiration of this Agreement.

11. **ASSIGNMENT OF RIGHTS:** Neither party may assign any rights under this Agreement without the prior express written consent of the other party.

12. **ENTIRE AGREEMENT; AMENDMENT:** This Agreement, including without limitation, all schedules and attachments, constitute the entire Agreement between the parties and will

supersede all previous negotiations, commitments and writings. It will not be released, discharged, changed or modified except by an instrument in writing signed by a duly authorized representative of each of the parties, subject to any necessary legal approvals.

13. **COMPLIANCE WITH LAW:** The County and the Town will comply, each at their sole cost and expense, with all applicable federal, state and local laws, rules and regulations, ordinances and requirements affecting the conduct of their activities in connection with the performance of this Agreement herewith and, as applicable to the parties, as an employer.

14. **NOTICES:** All notices of any nature, requests, approvals and other communications which may be given by either party to the other under this Agreement will be in writing and sent by registered or certified mail postage pre-paid, or sent by hand or overnight courier or sent by facsimile (with acknowledgement received and a copy of the notice sent by overnight courier) to the respective addresses set forth below or to such other addresses as the respective parties hereto may designate in writing. Notice will be effective on the date of receipt:

To the County:           Commissioner  
                                  Department of Public Works and Transportation  
                                  County of Westchester  
                                  Michaelian Office Building, 5<sup>th</sup> Floor  
                                  148 Martine Avenue  
                                  White Plains, New York 10601

with a copy to:         County Attorney  
                                  Michaelian Office Building, 6<sup>th</sup> Floor  
                                  148 Martine Avenue  
                                  White Plains, New York 10601

To the Town:           Town Supervisor  
                                  15 Bedford Road  
                                  Armonk, New York 10504

with a copy to:         Town Attorney  
                                  15 Bedford Road  
                                  Armonk, New York 10504

15. **VALIDITY:** If any term or provision of this Agreement is held by a court of competent jurisdiction to be invalid or void or unenforceable, the remainder of the terms and

provisions of this Agreement will in no way be affected, impaired, or invalidated, and to the extent permitted by applicable law, any such term, or provision will be restricted in applicability or reformed to the minimum extent required for such to be enforceable. This provision will be interpreted and enforced to give effect to the original written intent of the parties prior to determination of such invalidity or unenforceability.

16. **APPROVALS:** It is hereby acknowledged that any request for any modification of the terms hereof which requires the consent of the parties will be subject to the receipt of any and all necessary legal approvals.

17. **EXECUTION:** This Agreement may be executed simultaneously in several identical copies, each of which will be an original and all of which will constitute but one and the same agreement.

18. **GOVERNING LAW:** This Agreement will be construed and enforced in accordance with the laws of the State of New York. In addition, the parties hereby agree that any cause of action arising out of this Agreement will be brought in the County of Westchester.

19. **NO WAIVER:** Failure of the Town to insist, in any one or more instances, upon strict performance of any term or condition herein contained will not be deemed a waiver or relinquishment for the future of such term or condition, but the same will remain in full force and effect.

20. **THIRD PARTIES:** Nothing herein is intended or will be construed to confer upon or give to any third party or its successors and assigns any rights, remedies or basis for reliance upon, under or by reason of this Agreement, except in the event that specific third party rights are expressly granted herein.

21. **ENFORCEMENT:** This Agreement shall not be enforceable until signed by all parties and approved by the Office of the County Attorney and Town Attorney.

22. **CAPTIONS:** The captions are inserted only as a matter of convenience and for reference and in no way define, limit or describe the scope of this Agreement nor the intent of any provision thereof.

**IN WITNESS WHEREOF,** the County and the Town have caused this Agreement to be executed.

**THE COUNTY OF WESTCHESTER**

By: \_\_\_\_\_  
Hugh J. Greechan, Jr., P.E.  
Commissioner  
Department of Public Works and  
Transportation

**TOWN OF NORTH CASTLE**

By: \_\_\_\_\_  
Town Supervisor

Approved by the Westchester County Board of Legislators by Act No. \_\_\_\_\_ at a meeting duly held on \_\_\_\_\_, 2024.

Approved by the Town of North Castle Town Board by Resolution No. \_\_\_\_\_ on \_\_\_\_\_, 2023.

Approved:

Approved:

\_\_\_\_\_  
Associate County Attorney  
County of Westchester

\_\_\_\_\_  
Town Attorney  
Town of New Castle

Vutera/DTR/125027/  
IMA North Castle Water Main New King St. draft 3-25-24

**TOWN ACKNOWLEDGMENT**

STATE OF NEW YORK                    )  
  )ss.:  
COUNTY OF WESTCHESTER        )

On the \_\_\_\_ day of \_\_\_\_\_ in the year 20\_\_\_\_ before me, the undersigned, a Notary Public in and for said State, personally appeared \_\_\_\_\_, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her capacity, and that by his/her signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument; and, acknowledged if operating under any trade name, that the certificate required by the New York State General Business Law Section 130 has been filed as required therein.

\_\_\_\_\_  
Signature and Office of individual  
taking acknowledgment

**TOWN CERTIFICATE OF AUTHORITY**

I, \_\_\_\_\_, certify that I am  
*(Officer other than officer signing contract)*

the \_\_\_\_\_ of the \_\_\_\_\_  
*(Title)* *(the "Municipality")*

a municipal corporation duly organized and in good standing under the \_\_\_\_\_

\_\_\_\_\_  
*(Law under which organized, e.g., the New York Business Corporate Law)*

named in the foregoing agreement; that \_\_\_\_\_  
*(Person executing agreement)*

who signed said agreement on behalf of the Municipality was, at the time of execution

\_\_\_\_\_  
*(Title of such person)*

of the Municipality and that said agreement was duly signed for and on behalf of said Municipality by authority of its Board of \_\_\_\_\_, thereunto duly authorized and that such authority is in full force and effect at the date hereof.

\_\_\_\_\_  
*(Signature)*

STATE OF NEW YORK            )  
  )ss.:  
COUNTY OF WESTCHESTER    )

On this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_, before me personally came \_\_\_\_\_, whose signature appears above, to me known, and known to me to be the \_\_\_\_\_ of \_\_\_\_\_, the Municipality described in and which executed the above certificate, who being by me duly sworn did depose and say that he/she, the said \_\_\_\_\_ of said Municipality resides at \_\_\_\_\_, and that he/she signed his/her name hereto by order of the Board of \_\_\_\_\_ of said Municipality.

\_\_\_\_\_  
Signature and Office of individual

taking acknowledgment



**SCHEDULE “A”**

**PROJECT PLANS, SCOPE OF WORK AND BUDGET**

**[to be attached]**

### **Backflow Preventer Replacements**

Since WJWW is taking ownership of the portion of the existing 12 inch airport water main along the west side of the airport, the existing backflow preventer building at Tower Road is being replaced and a new backflow preventer along with water meter vault is being constructed. The new buildings are sized to house new RPZ's, water meters and check valves as required by WJWW. The new buildings, with communication conduits and fiber extended from existing facilities allow for monitoring and control.

### **New King Street Water Main Extension**

New King Street is the location of four developed properties which currently rely on private wells for water supply. Recently these wells were found to have detections of perfluoroalkyl substances (PFAS) and Westchester County is providing them with a connection to a treated municipal water supply source. The nearest municipal water supply available is the Westchester County Airport's (WCA) internal water main which is in turn fed by Westchester Joint Waterworks (WJWW). This work includes the installation of approximately 2,600 linear feet of 12 inch water main and appurtenances along Airport Road to the northern end of New King Street.

This contract also includes water service piping up to and including a valve box located at the property line for each of the properties listed below.

- 1 & 3 New King Street
- 4 New King Street
- 10 New King Street
- 20 New King Street

**Project Location:**

1 New King Street and 3 New King Street, White Plains, New York 10604

**Property Owner:**

Thomas Fitzgibbons  
Lordae LLC  
tom@lordae.com

**Project Description:**

The project will involve site excavation, plumbing and electric to facilitate the installation of a new water service connection to the municipal water main in New King Street, including an exterior, heated enclosure housing the water meter and backflow prevention device. The work will terminate on site at a location adjacent to the existing electrical and water service infrastructure at the building. Final electrical and water connections to the building will be the responsibility of the property owner. The work is not expected to exceed 90 days. The work will be in accordance with current codes and industry practices, and disturbed areas will be repaved or reseeded as applicable.

**Project Location:**

4 New King Street and 10 New King Street, White Plains, New York 10604

**Property Owner:**

Mitch Benerofe  
Benerofe Properties  
mib@benerofes.com

**Project Description:**

The project will involve site excavation, plumbing and electric to facilitate the installation of a new water service connection to the municipal water main in New King Street, including an exterior, heated enclosure housing the water meter and backflow prevention device. The work will terminate on site at a location adjacent to the existing electrical and water service infrastructure at the building. Final electrical and water connections to the building will be the responsibility of the property owner. The work is not expected to exceed 90 days. The work will be in accordance with current codes and industry practices, and disturbed areas will be repaved or reseeded as applicable.

**Project Location:**

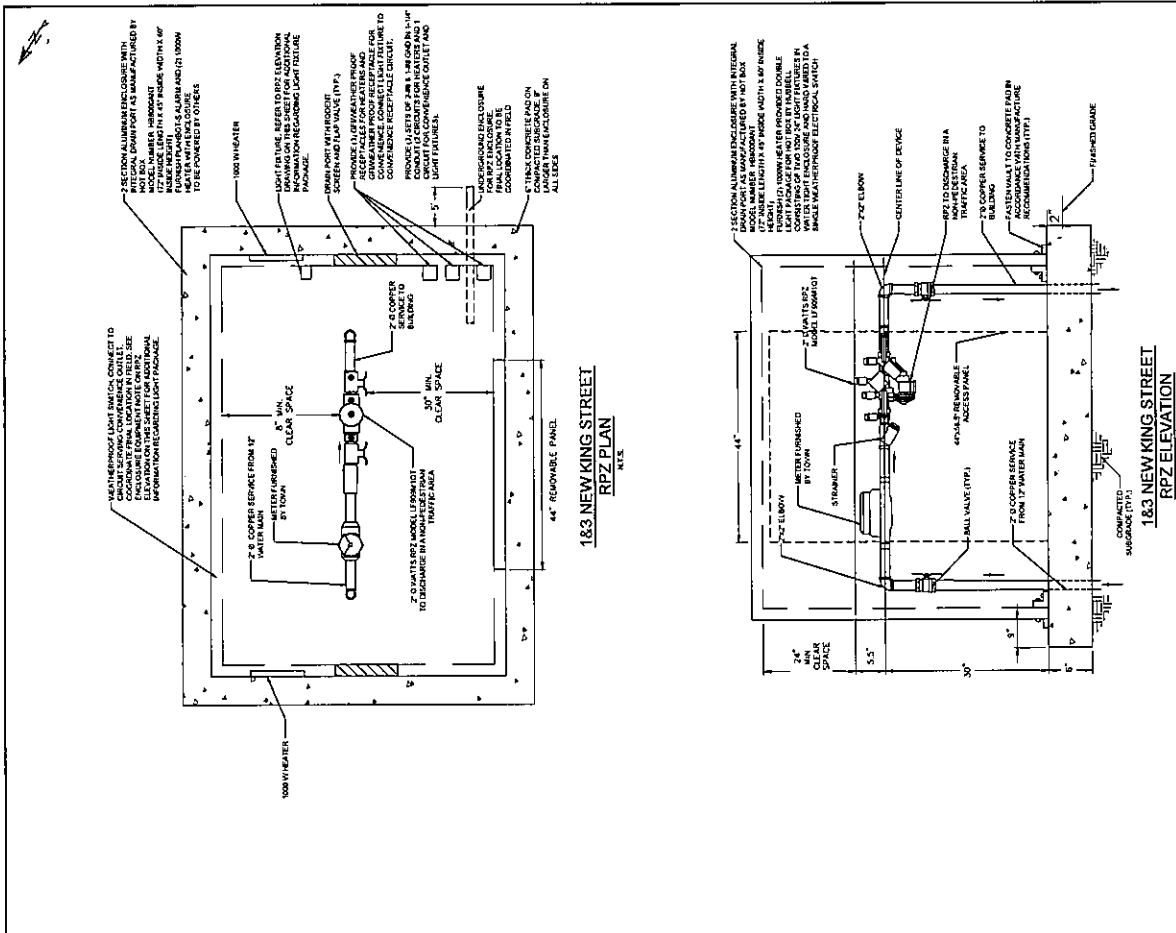
20 New King Street, White Plains, New York 10604

**Property Owner:**

Matt Greene  
Safe Flight Instrument, LLC  
mgreene@safeflight.com

**Project Description:**

The project will involve site excavation and plumbing to facilitate the installation of a new water service connection to the municipal water main in New King Street, including a new water meter in a sub-surface pit. The work will terminate on site at a location adjacent to the existing water service at the building. Final water connections to the building will be the responsibility of the property owner. Refer to the attachments for additional information. The work is not expected to exceed 90 days. The work will be in accordance with current codes and industry practices, and disturbed areas will be repaved or reseeded as applicable.



**183 NEW KING STREET**  
**RPZ PLAN**  
 SCALE: 1/4" = 1'-0"

**183 NEW KING STREET**  
**RPZ ELEVATION**  
 SCALE: 1/4" = 1'-0"

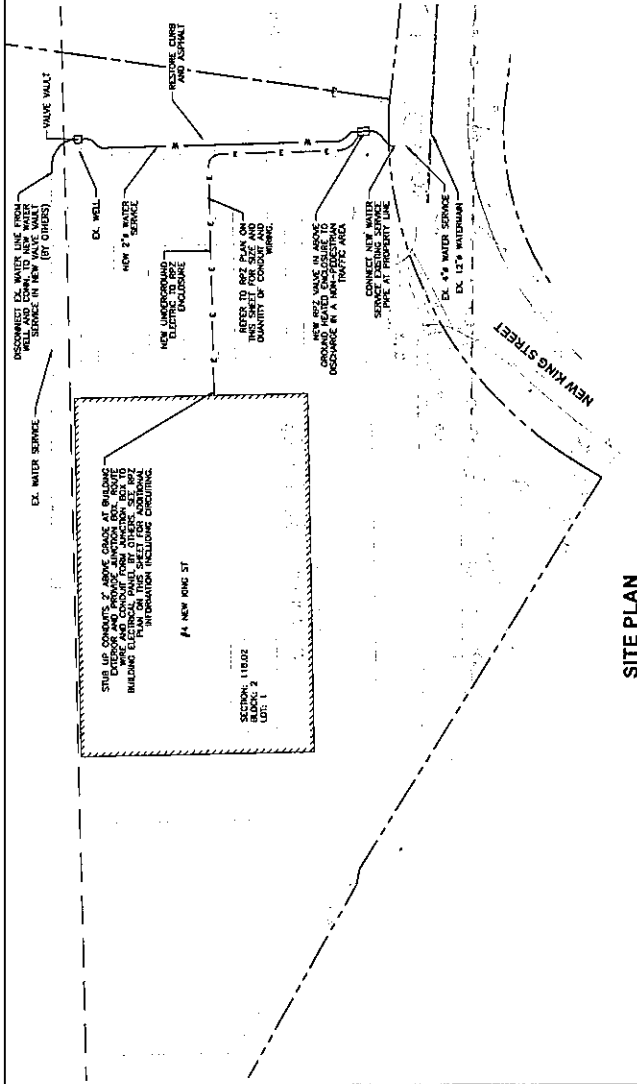
**GENERAL NOTES:**

- ALL PROPOSED INSTALLATIONS OF BACKFLOW PREVENTION DEVICES TO BE INSTALLED ON ALL CONNECTIONS WHERE WATER MAINS ARE LOCATED IN CLOSE PROXIMITY TO THE BUILDING AND THE ADJACENT SIDE DRAINAGE WATER MAIN (SECTION 1417).
- THE DEPARTMENT OF HEALTH WILL ONLY ACCEPT THOSE BACKFLOW PREVENTION DEVICES/ASSEMBLIES WHICH APPEAR ON THE CURRENT EDITION OF THE LIST OF APPROVED BACKFLOW PREVENTION DEVICES/ASSEMBLIES OPERATED BY THE DEPARTMENT OF HEALTH. IF A BACKFLOW PREVENTION DEVICE/ASSEMBLY IS NOT LISTED, IT IS NOT APPROVED. IF A BACKFLOW PREVENTION DEVICE/ASSEMBLY IS LISTED, IT IS APPROVED. IF A BACKFLOW PREVENTION DEVICE/ASSEMBLY IS LISTED, IT IS APPROVED. IF A BACKFLOW PREVENTION DEVICE/ASSEMBLY IS LISTED, IT IS APPROVED.
- THE BACKFLOW PREVENTION DEVICE MUST BE INSTALLED A MINIMUM OF THIRTY (30) INCHES ABOVE THE FLOOR LEVEL. THE DEVICE MUST BE INSTALLED IN A LOCATION WHERE IT IS ACCESSIBLE FOR INSPECTION AND TESTING. THE DEVICE MUST BE INSTALLED IN A LOCATION WHERE IT IS ACCESSIBLE FOR INSPECTION AND TESTING. THE DEVICE MUST BE INSTALLED IN A LOCATION WHERE IT IS ACCESSIBLE FOR INSPECTION AND TESTING.
- A DEVICE CANNOT BE INSTALLED CLOSER THAN THIRTY-FOUR (34) INCHES FROM A COLUMN OR ANY VERTICAL OBSTRUCTION(S).
- A MINIMUM OF TWELVE (12) INCHES CLEAR SPACE SHALL BE MAINTAINED ABOVE THE SHUT OFF VALVE.
- A MINIMUM OF THIRTY (30) INCHES IS REQUIRED IN FRONT OF THE BACKFLOW PREVENTER. A MINIMUM OF EIGHT (8) INCHES IS REQUIRED BEHIND THE BACKFLOW PREVENTER.
- VALVES MUST BE SITUATED ON BOTH SIDES OF THE BACKFLOW PREVENTION DEVICE. A STANDARD MUST BE PLACED ON THE FLOOR SIDE OF ALL DEVICES OTHER THAN THE SERVICES OUTSIDE BUILDING ENTRANCE.
- EXISTING WELLS TO BE DECOMMISSIONED/MANHOLED UNDER SEPARATE APPLICATION, FILED BY THE PROPERTY OWNER.
- THE BUILDING OWNER IS RESPONSIBLE FOR CONFIRMING THAT THE EXISTING BUILDING PLUMBING SYSTEM WILL OPERATE PROPERLY BASED ON THE VOLUME AND PRESSURE SUPPLIED BY THE NEW WATER SERVICE CONNECTION FROM THE MAINLINE IN NEW KING STREET.
- ENCLOSURE SHALL BE PROVIDED FOR ALL MAIN PORTS FOR FULL PORT BACKFLOW PREVENTER, NOT ALLOWING WIND, DUST AND SOIL ENTRANCE TO DEVICES.

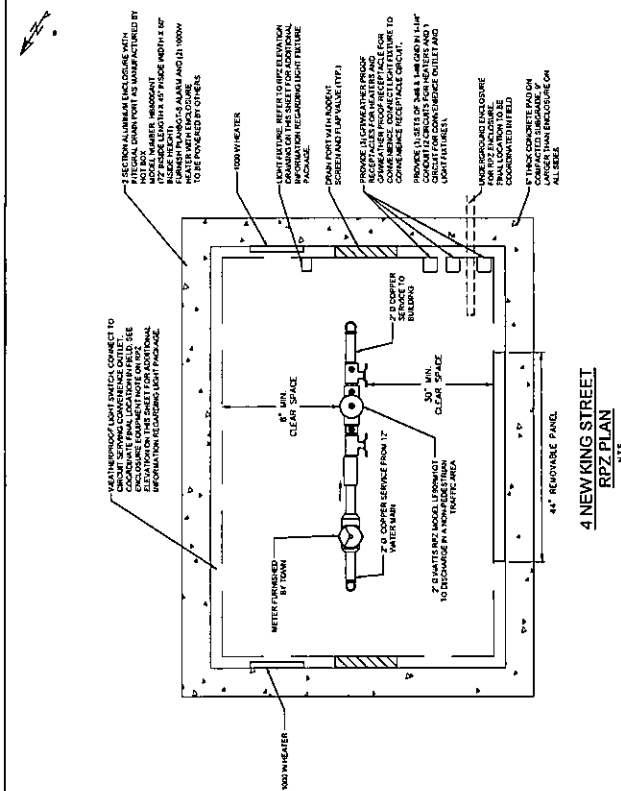
**ELECTRICAL NOTES:**

- ALL DRAWINGS AND SPECIFICATIONS FOR BASE CONTRACT NUMBER 22-522 ARE TO BE USED UNLESS OTHERWISE NOTED.
- REFER TO TRACING NOTES ON E-062.
- REFER TO ELECTRICAL DETAILS ON E-701 AND E-702.

<b>CONSULTANT SEAL</b> <b>D&amp;B ENGINEERS AND ARCHITECTS</b> WHITE PLAINS, NEW YORK 10604 (914) 487-3300		<b>RECORD DRAWING CERTIFICATION</b> AS BUILT - CHANGES AS NOTED AS BUILT - NO CHANGES		<b>WESTCHESTER COUNTY, NEW YORK</b> <b>DEPARTMENT OF PUBLIC WORKS AND TRANSPORTATION</b> <b>DIVISION OF ENGINEERING</b> DOMESTIC WATER SYSTEM IMPROVEMENTS, WESTCHESTER COUNTY AIRPORT TOWNS OF HARRISON, NORTH CASTLE & VILLAGE OF RIE BROOK #1 AND #3 NEW KING STREET BACKFLOW PREVENTION PLAN AND DETAILS		SHEET NUMBER 22-522 SHEET NO. 1 OF 1	SCALE AS SHOWN 1/4" = 1'-0"	DATE 07/22/2024	NO. 0
PROJECT COORDINATOR NAME TITLE		CONTRACTOR NAME TITLE		DATE DATE		REVISION NO. DATE BY		MADE BY DATE BY	



**SITE PLAN**  
SCALE 1"=20'



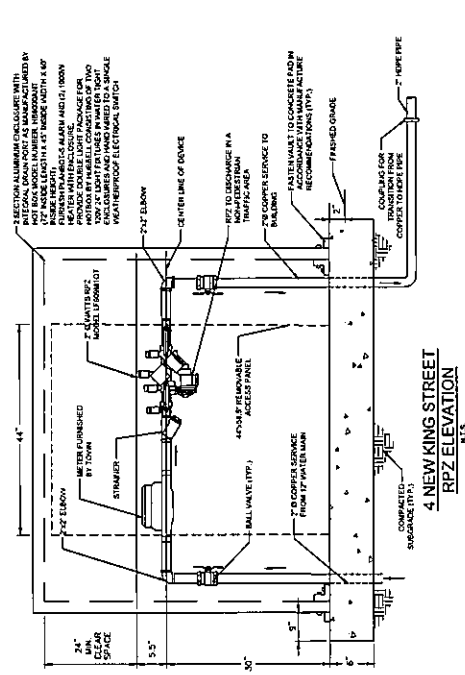
**4 NEW KING STREET**  
**RPZ PLAN**  
N.T.S.

**GENERAL NOTES:**

1. ALL BACKFLOW PREVENTION DEVICES SHALL BE INSTALLED ON ALL DWELLING WATER SERVICES THE MINIMUM CONSTRUCTION MUST BE LEAD-FREE IN COMPLIANCE WITH THE AMENDED SAFE DRINKING WATER ACT (SECTION 1417).
2. THE DEPARTMENT OF HEALTH WILL ONLY ACCEPT THOSE BACKFLOW PREVENTION DEVICES/ASSEMBLIES WHICH APPEAR ON THE CURRENT EDITION OF THE LIST OF APPROVED BACKFLOW PREVENTION ASSEMBLIES CHARTERED BY THE STATE OF NEW YORK. BACKFLOW PREVENTION DEVICES NOT ON THE LIST SHALL BE INSTALLED AT THE OWNER'S RISK. RESEARCH TRAPLESS PIPING WITHOUT CHECK-CONNECTION PROTECTION IS PROHIBITED. IF A UTILITY IS NECESSARY, A BACKFLOW PREVENTION DEVICE MUST BE INSTALLED ON THE UTILITY.
3. THE BACKFLOW PREVENTER MUST BE INSTALLED A MINIMUM OF TWENTY (20) INCHES ABOVE THE FLOOR LEVEL. THE DEPARTMENT OF HEALTH WILL ONLY ACCEPT THOSE BACKFLOW PREVENTION DEVICES/ASSEMBLIES WHICH APPEAR ON THE CURRENT EDITION OF THE LIST OF APPROVED BACKFLOW PREVENTION ASSEMBLIES CHARTERED BY THE STATE OF NEW YORK. BACKFLOW PREVENTION DEVICES NOT ON THE LIST SHALL BE INSTALLED AT THE OWNER'S RISK. RESEARCH TRAPLESS PIPING WITHOUT CHECK-CONNECTION PROTECTION IS PROHIBITED. IF A UTILITY IS NECESSARY, A BACKFLOW PREVENTION DEVICE MUST BE INSTALLED ON THE UTILITY.
4. A BACKFLOW PREVENTER SHALL BE INSTALLED CLOSER THAN TWENTY-FOUR (24) INCHES FROM A CEILING OR ANY VERTICAL OBSTRUCTION.
5. A MINIMUM OF TWENTY (20) INCHES OF CLEAR SPACE SHALL BE MAINTAINED ABOVE THE SHUT OFF VALVE.
6. A MINIMUM OF TWENTY (20) INCHES IS REQUIRED IN FRONT OF THE BACKFLOW PREVENTER. A MINIMUM OF EIGHT (8) INCHES IS REQUIRED BEHIND THE BACKFLOW PREVENTER.
7. THE BACKFLOW PREVENTER SHALL BE INSTALLED ON THE MAIN WATER SERVICE. A SHUTTER MUST BE INSTALLED ON THE MAIN WATER SERVICE. THE SHUTTER MUST BE INSTALLED ON THE MAIN WATER SERVICE. THE SHUTTER MUST BE INSTALLED ON THE MAIN WATER SERVICE.
8. A BACKFLOW PREVENTER SHALL BE INSTALLED ON THE MAIN WATER SERVICE. A SHUTTER MUST BE INSTALLED ON THE MAIN WATER SERVICE. THE SHUTTER MUST BE INSTALLED ON THE MAIN WATER SERVICE.
9. EXISTING WELLS TO BE RECONSTRUCTED/ABANDONED UNDER SEPARATE APPLICATION FILED BY THE PROPERTY OWNER.
10. THE BUILDING OWNER IS RESPONSIBLE FOR CONFIRMING THAT THE EXISTING BUILDING PLUMBING SYSTEM WILL OPERATE PROPERLY BASED ON THE VOLUME AND PRESSURE SUPPLIED BY THE NEW WATER SERVICE.
11. DISCONNECT FROM THE EXISTING WATER SERVICE SHALL BE INSTALLED AT THE MAIN WATER SERVICE. DISCONNECT FROM THE EXISTING WATER SERVICE SHALL BE INSTALLED AT THE MAIN WATER SERVICE.

**ELECTRICAL NOTES:**

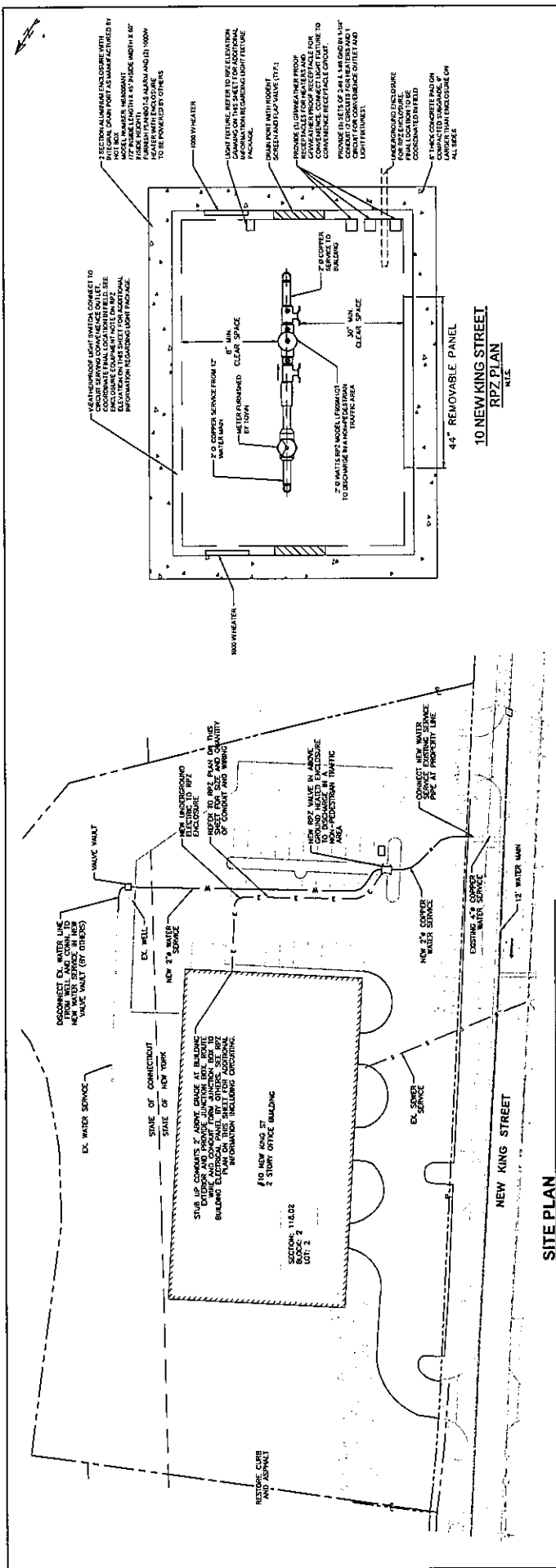
1. ALL DRINKING AND WASTEWATER PIPE WORK CONTRACT NUMBER 22-522 ARE APPLICABLE IN THIS PROJECT.
2. REFER TO WASTEWATER NOTES ON E-200.
3. REFER TO ELECTRICAL DETAILS ON E-701 AND E-702.



**4 NEW KING STREET**  
**RPZ ELEVATION**  
N.T.S.

<b>WESTCHESTER COUNTY, NEW YORK</b> <b>DEPARTMENT OF PUBLIC WORKS AND TRANSPORTATION</b> DIVISION OF ENGINEERING DOMESTIC WATER SYSTEM IMPROVEMENTS, WESTCHESTER COUNTY AIRPORT TOWNS OF HARRISON, NORTH CASTLE & VILLAGE OF THE BROOK #4 NEW KING STREET BACKFLOW PREVENTION PLAN AND DETAILS		SHEET NO. 1 OF 1 SCALE AS SHOWN DATE: 4/7/2024 DWG FILE NO. 0
<b>RECORD DRAWING CERTIFICATION</b> AS BUILT - CHANGES AS NOTED AS BUILT - NO CHANGES		PROJECT COORDINATOR NAME: _____ SIGNATURE: _____ TITLE: _____ DATE: _____
AUTHORIZED ALTERATION OR NEW YORK STATE EDUCATION LAW IN CHARGE OF: JLN CHECKED BY: JLN MADE BY: JLN REVISION NUMBER: 1 DATE: 4/7/24 BY: JLN		REVISION NUMBER DATE BY
<b>CONSULTANT SEAL</b> <b>DKR ENGINEERS</b> AND ARCHITECTS WHITE PLAINS, NEW YORK 10604 (914) 467-5300		CONTRACTOR NAME: _____ SIGNATURE: _____ TITLE: _____ DATE: _____



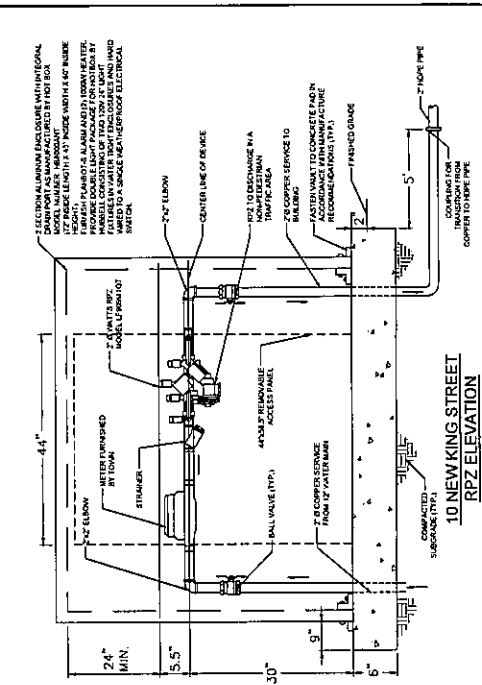


# **GENERAL NOTES:**

- ALL PROPOSED INSTALLATIONS OF BACKFLOW PREVENTION DEVICES TO BE UTILIZED ON ALL DOMESTIC WATER SERVICES FOR HUMAN CONSUMPTION MUST BE LEAD-FREE IN COMPLIANCE WITH THE AMEND DOMESTIC WATER ACT (SECTION 1417).
- THE DEPARTMENT OF HEALTH WILL ONLY ACCEPT THOSE BACKFLOW PREVENTION DEVICES/ASSEMBLIES WHICH HAVE BEEN LISTED BY THE DEPARTMENT OF HEALTH AND THE UNIVERSITY OF CALIFORNIA FOR THE PURPOSES OF THE CALIFORNIA PLUMBING CODE. THE DEPARTMENT OF HEALTH WILL NOT ACCEPT ANY OTHER TYPE OF BACKFLOW PREVENTION DEVICE OR ASSEMBLY. IF A HYDRAULIC TEST IS REQUIRED, A BACKFLOW PREVENTION DEVICE MUST BE INSTALLED ON SUCH.
- THE BACKFLOW PREVENTION DEVICES MUST BE INSTALLED A MINIMUM OF TWENTY (20) FEET ABOVE THE FLOOR LEVEL OR EIGHTEEN (18) FEET FROM THE BOTTOM OF DISCHARGE PORT (WHICHEVER IS GREATER). DEVICES MUST BE INSTALLED SO THAT THE FLOOR IS ACCESSIBLE TO THE DEVICES AND THE DEVICES ARE NOT OBSTRUCTED BY ANY OTHER OBJECTS OR MATERIALS.
- A DEVICE CANNOT BE INSTALLED CLOSER THAN TWENTY-FOUR (24) INCHES FROM ANY VERTICAL OBSTRUCTIONS(S).
- A MINIMUM OF TWELVE (12) INCHES CLEAR SPACE SHALL BE MAINTAINED ABOVE THE SHUT OFF VALVE.
- A MINIMUM OF TWENTY (20) INCHES (20") IS REQUIRED IN FRONT OF THE BACKFLOW PREVENTION. A MINIMUM OF EIGHT (8) INCHES (8") IS REQUIRED BEHIND THE BACKFLOW PREVENTION.
- VALVES MUST BE SITUATED ON BOTH SIDES OF THE BACKFLOW PREVENTION DEVICE. A STAMPER MUST BE PLACED ON THE FIELD SIDE OF ALL DEVICES OTHER THAN FREE SERVICES UTILIZING DOUBLE CHECK VALVES.
- ADEQUATE HEAT TO PREVENT FREEZING AND ADEQUATE LIGHT FOR TESTING AND MAINTENANCE WILL BE PROVIDED.
- EXISTING WELLS(S) TO BE RECOMMENDED/ABANDONED UNDER SEPARATE APPLICATION, FILED BY THE PROPERTY OWNER.
- THE BUILDING OWNER IS RESPONSIBLE FOR CONFIRMING THAT THE EXISTING BUILDING PLUMBING SYSTEM WILL BE MAINTAINED IN ACCORDANCE WITH THE PLUMBING CODE AND THE WATER MAIN SERVICE CONNECTION FROM THE WATERMAIN IN NEW KING STREET.
- ENCLOSURE TO BE FURNISHED WITH DRAIN PORTS FOR FULL PORT BACKFLOW DISCHARGE, NOT ALLOWING WIND, DIRT, AND SMALL ANIMALS TO ENTER.

# **ELECTRICAL NOTES:**

- ALL DRAWINGS AND SPECIFICATIONS FOR BASE CONTRACT NUMBER 22-522 ARE APPLICABLE IN THIS PROJECT.
- REFER TO ELECTRICAL DETAILS ON E-701 AND E-702.



<b>WESTCHESTER COUNTY, NEW YORK</b> <b>DEPARTMENT OF PUBLIC WORKS AND TRANSPORTATION</b> DIVISION OF ENGINEERING		SHEET NO. 1 OF 1 SCALE: AS SHOWN DATE: 4/1/2014 DRAWING NO. 0
PROJECT COORDINATOR NAME: _____ SIGNATURE: _____ TITLE: _____ DATE: _____		PROJECT COORDINATOR NAME: _____ SIGNATURE: _____ TITLE: _____ DATE: _____
UNAUTHORIZED ALTERATION OR ADDITION TO THIS DOCUMENT IS A VIOLATION OF THE NEW YORK STATE EDUCATION LAW.		REVISION NO. DATE BY 1 5/1/24 JC 2 5/1/24 JC 3 5/2/24 JC 4 5/2/24 JC
IN CHARGE OF: JHM CHECKED BY: JHM MADE BY: JHM DATE: 5/1/24		REVISION NO. DATE BY 1 5/1/24 JC 2 5/1/24 JC 3 5/2/24 JC 4 5/2/24 JC
CONSULTANT SEAL DSB AND ARCHITECTS 1000 WEST 100TH STREET NEW YORK, NY 10024 (212) 497-2300		PROJECT COORDINATOR NAME: _____ SIGNATURE: _____ TITLE: _____ DATE: _____

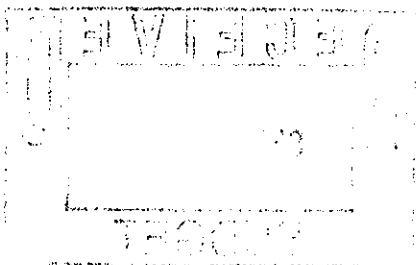


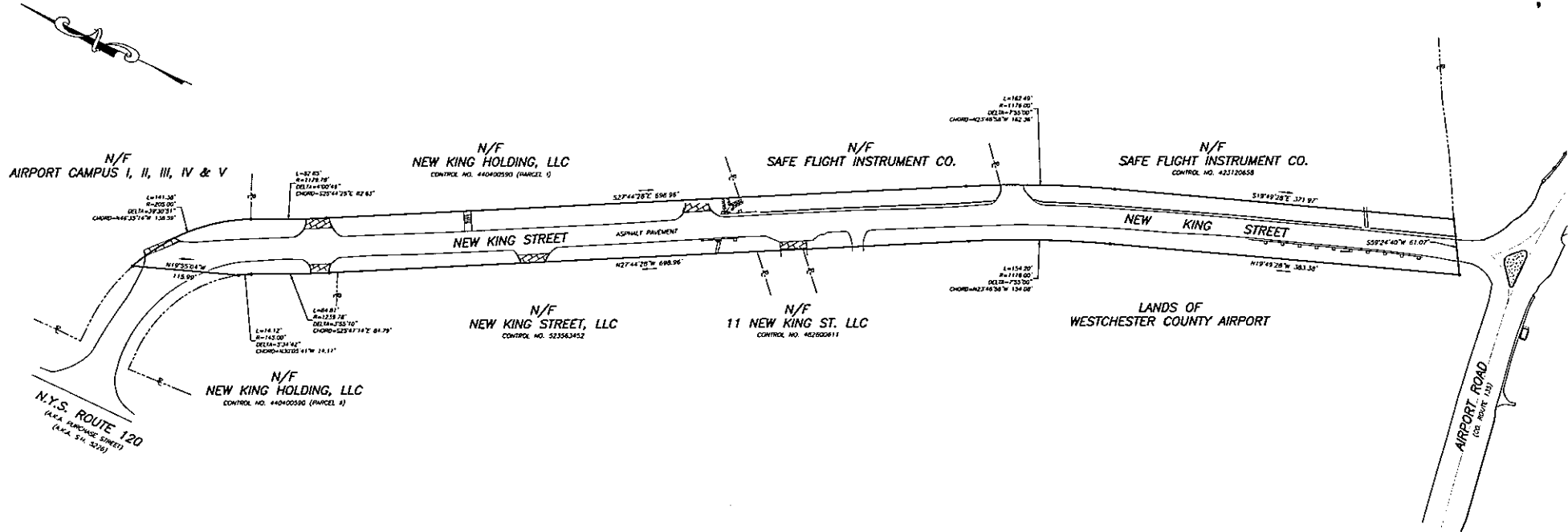
Bid Tabulation Sheet		Contract No.:	22-522		
				<b>Triumph Construction Corp.</b>	
ITEM No.	DESCRIPTION	UNIT	QUANTITY	UNIT PRICE	AMOUNT BID
A	Soil Erosion and Sediment Control	LS	1	\$ 100,000.00	\$ 100,000.00
B	Traffic Control	LS	1	\$ 200,000.00	\$ 200,000.00
C	Con Edison Fee Allowance	DC	1	\$ 50,000.00	\$ 50,000.00
D	Cement Lined Ductile Iron Water Main Pipe - 12" Diameter, Furnished and Installed	LF	5,900	\$ 400.00	\$ 2,360,000.00
E	Cement Lined Ductile Iron Water Main Pipe - 10" Diameter, Furnished and Installed	LF	45	\$ 300.00	\$ 13,500.00
F	Cement Lined Ductile Iron Water Main Pipe - 6" Diameter, Furnished and Installed	LF	90	\$ 150.00	\$ 13,500.00
G	Cement Lined Ductile Iron Water Main Pipe - 4" Diameter, Furnished and Installed	LF	320	\$ 100.00	\$ 32,000.00
H	Miscellaneous Water Min Fittings (Elbows, Bends, Fittings and Tees), Furnished and Installed	Pound	30,000	\$ 3.00	\$ 90,000.00
I	Inline Gate Valve - 12", Furnished and Installed	EA	33	\$ 5,000.00	\$ 165,000.00
J	Inline Gate Valve - 10", Furnished and Installed	EA	2	\$ 3,000.00	\$ 6,000.00
K	Inline Gate Valve - 6", Furnished and Installed	EA	12	\$ 2,000.00	\$ 24,000.00
L	Inline Gate Valve - 4", Furnished and Installed	EA	11	\$ 1,000.00	\$ 11,000.00
M	Insertion Valve (Live Shut Down) - 12", Furnished and Installed	EA	2	\$ 5,000.00	\$ 10,000.00
N	Fire Hydrant Assembly, Furnished and Installed	EA	12	\$ 5,000.00	\$ 60,000.00
O	Rock Removal and Disposal	CY	1,000	\$ 1.00	\$ 1,000.00
P	Temporary Asphalt Pavement	Ton	260	\$ 75.00	\$ 19,500.00
Q	Asphalt Top Course, Furnished and Placed	Ton	330	\$ 200.00	\$ 66,000.00
R	Asphalt Binder Course, Furnished and Placed	Ton	520	\$ 200.00	\$ 104,000.00
S	Pavement Sawcutting	LF	7,300	\$ 1.00	\$ 7,300.00
T	Sub-base Course, Furnished and Placed	CY	780	\$ 2.00	\$ 1,560.00
U	Select Fill, Furnished and Placed	CY	440	\$ 1.00	\$ 440.00
V	Bedding Sand, Furnish and Place	CY	970	\$ 1.00	\$ 970.00
W	Exploratory Excavation (Test Pits)	CY	170	\$ 300.00	\$ 51,000.00
X	Controlled Low Strength Material	CY	1,450	\$ 125.00	\$ 181,250.00
Y	Waste Transportation and Disposal	CY	2,800	\$ 35.00	\$ 98,000.00
Z	Crushed Stone, Furnished and Placed	CY	970	\$ 65.00	\$ 63,050.00
AA	Topsoil, Furnished and Placed	CY	90	\$ 75.00	\$ 6,750.00
BB	Grass Seed, Furnished and Placed	SY	800	\$ 15.00	\$ 12,000.00
CC	Culvert Replacement	LS	1	\$ 100,000.00	\$ 100,000.00
DD	Meter Vault, Furnish and Install	LS	1	\$ 600,000.00	\$ 600,000.00
EE	Tower Road Backflow Preventer Building	LS	1	\$ 1,000,000.00	\$ 1,000,000.00
FF	Airport Road Backflow Preventer Building	LS	1	\$ 1,000,000.00	\$ 1,000,000.00
GG	Groundwater Treatment and Disposal	LS	1	\$ 800,000.00	\$ 800,000.00
HH	MOBILIZATION (Must not exceed 2.00% of Subtotal Shown	LS	1	\$ 130,000.00	\$ 130,000.00
II	CONTRACT BONDS AND INSURANCE (Must not exceed 3.00% of Subtotal Shown Above)	LS	1	\$ 200,000.00	\$ 200,000.00
W800	MISCELLANEOUS ADDITIONAL WORK	DC	1	\$ 1,000,000.00	\$ 1,000,000.00
W851	TESTING OF MATERIALS AND FIELD TESTING EQUIPMENT	DC	1	\$ 100,000.00	\$ 100,000.00
				<b>Total:</b>	<b>\$ 8,677,820.00</b>

**SCHEDULE "B"**

**PROJECT PROPERTY FOR EASEMENT**

**[to be attached]**

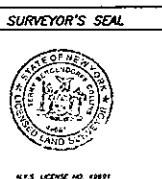




- GENERAL NOTES:
1. THE PURPOSE OF THE MAP IS TO DETECT THE PERIMETER BOUNDARY OF NEW KING STREET AS PLOTTED PER RECORD DEEDS AND SURVEYS PROVIDED BY OWNERS.
  2. SELECTED EXISTING CONCRETE SHOWN HEREON FOR FIELDWORK COMPLETED JUNE 30, 2022.
  3. HORIZONTAL DATUM REFERENCES THE NEW YORK STATE PLAIN COORDINATE SYSTEM EAST ZONE (NAD83 2011). PROJECT MEANSEA REFERENCE TRUE NORTH AT 74.307° WEST.
  4. PROPERTY LINE INFORMATION FOR THE WESTCHESTER COUNTY AIRPORT PARCEL PROVIDED BY L&B ARCHITECTS AND ENGINEERS, P.C.

CERTIFICATION REQUESTED HEREON SHOWS THIS SURVEY WAS PREPARED IN ACCORDANCE WITH THE EXISTING CODE OF PRACTICE FOR LAND SURVEYS ADOPTED BY THE NEW YORK STATE ASSOCIATION OF PROFESSIONAL LAND SURVEYORS, INC. CERTIFICATIONS SHALL RUN ONLY TO THE PERSON FOR WHOM THIS SURVEY WAS PREPARED AND ON HER BEHALF TO THE TITLE CO. AND LENDING INSTITUTION LISTED HEREON. CERTIFICATIONS ARE NOT TRANSFERABLE TO ADDITIONAL INSTITUTIONS OR SUBSEQUENT OWNERS.

*Terry Bergendorff Collins*  
**TERRY BERGENDORFF COLLINS**  
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PERIMETER BOUNDARY SURVEY  
 PREPARED FOR  
**D&B ARCHITECTS AND ENGINEERS, P.C.**  
 NEW KING STREET

SITING IN  
 TOWN OF NORTH CASTLE WESTCHESTER CO., N.Y.  
 SCALE: 1" = 50' MAY 16, 2022  
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REVISIONS			DATE	DESCRIPTION	BY

THE ALTERATION OF SURVEY MAPS BY ANYONE OTHER THAN THE ORIGINAL PREPARED IS UNLAWFUL. CONVEYING AND NOT IN THE GENERAL MEANING AND BENEFIT OF THE PUBLIC. LICENSED LAND SURVEYORS SHALL NOT ALTER SURVEY MAPS. SURVEY MAPS OR SURVEY PLANS PREPARED BY OTHERS UNAUTHORIZED ALTERATION OR ADDITION TO THIS SURVEY IS A VIOLATION OF SECTION 8-1209 OF THE NEW YORK STATE EDUCATION LAW. THE LOCATION OF UNDISCLOSED IMPROVEMENTS OR ENCROACHMENTS, IF ANY, EXIST OF THE DISCLOSED HEREON ARE NOT CERTIFIED. ALL CERTIFICATIONS HEREON ARE VALID FOR THIS MAP AND COPIES HEREON ONLY IF THIS MAP OR COPIES BEAR THE APPROVED SEAL OF THE SURVEYOR WHOSE SIGNATURE APPEARS HEREON. THIS MAP MAY NOT BE USED IN CONNECTION WITH A "SURVEY" AFFIDAVIT OR SIMILAR DOCUMENT, STATEMENT OR DECLARATION TO OBTAIN TITLE INSURANCE FOR ANY SUBSEQUENT OR FUTURE GRANTEES.



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Brewster NY 10509

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OLA Consulting Engineers  
New King Street  
North Castle, New York  
May 13, 2022  
Job No. OLA – Westchester Airport  
G:/Deeds/D&B/Westchester Airport/New King Street

All that certain plot, piece or parcel of land situate, lying and being in the Town of North Castle, County of Westchester and State of New York being more particularly described as follows:

Beginning at a point on the northerly line of lands of Westchester County Airport where the same is intersected by the southwesterly corner of lands now or formerly Safe Flight Instrument Co., as described in Control No. 423120658, and which point is the southeasterly corner of the parcel herein described; thence along the northerly the northerly line of lands of Westchester County Airport S 59°24'40" W 61.07' to the easterly line of lands of Westchester County Airport; thence along the easterly line of lands of Westchester County Airport N 19°49'28" W 383.38' to a point on a curve to the left; thence along said curve to the left having a radius of 1116.00', a central angle of 7°55'00", a length of 154.20' and a chord of N 23°46'58" W 154.08' to a point; thence partly along the easterly line of lands of Westchester County Airport and partly along the easterly line of lands 11 New King St. LLC, as described in Control No. 482600611 and Control No. 523563452, and partly along the easterly line of lands now or formerly New King Holdings, LLC, as described in Control No. 440400590, Parcel II, respectively, N 27°44'28" W 698.96' to a point on a non-tangent curve to the right; thence along said curve to the right along the easterly line of lands now or formerly New King Holdings, LLC, as described in Control No. 440400590, Parcel II, having a radius of 1239.78', a central angle of 3°55'10", a length of 84.81' and a chord of N 25°47'14" W 84.79' to a point on a non-tangent curve to the left; thence continuing along the easterly line of lands now or formerly New King Holdings, LLC, as described in Control No. 440400590, Parcel II along said non-tangent curve to the left having a radius of 145.00', a central angle of 5°34'42", a length of 14.12' and a chord of N 30°05'41" W 14.11' to a point on the easterly line of "Lands of the People of the State of New York – Map 115 R-2, Parcel 181" as shown on filed map no. 15340 filed in the Westchester County Clerk's Office April 13, 1967; thence along the easterly line of lands as shown on said filed map no. 15340 N 19°55'04" W 115.99' to a point on a non-tangent curve to the right on the westerly line of lands now or formerly Airport Campus I, II, III, IV & V; thence



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along the westerly line of lands now or formerly Airport Campus I, II, III, IV & V along said non-tangent curve to the right having a radius of 205.00', a central angle of  $39^{\circ}30'51''$ , a length of 141.38' and a chord of  $S\ 46^{\circ}35'14''\ E\ 138.59'$  to a point on a non-tangent curve to the left; thence along said non-tangent curve to the left along the westerly line of lands now or formerly New King Holding, LLC, as described in Control No. 440400590, Parcel I, having a radius of 1179.78', a central angle of  $4^{\circ}00'49''$ , a length of 82.65' and a chord of  $S\ 25^{\circ}44'25''\ E\ 82.63'$  to a point; thence partly along the westerly line of New King Holding, LLC, as described in Control No. 440400590, Parcel I and partly along the westerly line of lands now or formerly Safe Flight Instrument Co., as described in Control No. 423120658,  $S\ 27^{\circ}44'28''\ E\ 698.96'$  to a point on a curve to the right; thence along said curve to the right along the westerly line of lands now or formerly Safe Flight Instrument Co., as described in Control No. 423120658, having a radius of 1176.00', a central angle of  $7^{\circ}55'00''$ , a length of 162.49' and a chord of  $S\ 23^{\circ}46'58''\ E\ 162.36'$  to a point; thence  $S\ 19^{\circ}49'28''\ E\ 371.97'$  to the point and place of beginning. Containing within said bounds 1.934 acres more or less.