



Public Works & Transportation Meeting Agenda

Committee Chair: Erika Pierce

800 Michaelian Office Bldg.
148 Martine Avenue, 8th Floor
White Plains, NY 10601
www.westchesterlegislators.com

Tuesday, January 17, 2023

10:00 AM

Committee Room

CALL TO ORDER

Joint with Budget & Appropriations committee

Please note: Meetings of the Board of Legislators and its committees are held at the Michaelian Office Building, 148 Martine Avenue, White Plains, New York, 10601, and remotely via the WebEx video conferencing system. Legislators may participate in person or via Webex. Members of the public may attend meetings in person at any of its locations, or view it online on the Westchester County Legislature's website: <https://westchestercountyny.legistar.com/> This website also provides links to materials for all matters to be discussed at a given meeting.

Chairwoman Catherine Borgia will be participating remotely from Clear View School and Day Treatment Center, 480 Albany Post Road, Briarcliff, NY, 10510

MINUTES APPROVAL

Monday, October 31, 2022 at 10:00 a.m.

Monday, January 9, 2023 at 10:00 a.m.

I. ITEMS FOR DISCUSSION

1. [2022-525](#) BOND ACT-BIT4C-Employee Productivity Systems '18-'22

A BOND ACT authorizing the issuance of ONE MILLION (\$1,000,000) DOLLARS in bonds of Westchester County to finance Capital Project BIT4C - Employee Productivity Systems 2018-2022.

SUBMITTED BY: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION

Guests: DoIT: Chief Information Officer Marguerite Bierne, Deputy Chief Information Officer Lennox Harris, Aji Palappillil, and Donna Montero

2. [2023-5](#) BOND ACT-BIT 50-Acquisition and Installation of Servers and Related Equipment for the Dept. of IT

A BOND ACT authorizing the issuance of SEVEN HUNDRED FIFTY THOUSAND (\$750,000) DOLLARS in bonds of Westchester County to finance Capital Project BIT 50 - acquisition and installation of servers and related equipment, software and implementation services for the

Department of Information of Technology.

SUBMITTED BY: BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION

Guests: DoIT: Chief Information Officer Marguerite Bierne, Deputy Chief Information Officer Lennox Harris, Aji Palappillil, and Donna Montero

3. [2023-8](#) BOND ACT-BIT6D, BIT9A, BIT29, BIT45, BIT47, BIT53, BIT54

A BOND ACT authorizing the issuance of SEVEN MILLION (\$7,000,000) DOLLARS in bonds of Westchester County to finance the following seven (7) capital projects for the Department of Information Technology: BIT6D-Purchase of Computer Equipment 2021-2025, BIT9A-Geographic Information Systems, BIT29-Data Center Upgrade, BIT45-Building Access Control and Video Surveillance Systems Upgrade, BIT47-Network and Security Infrastructure Upgrades 2020-2024, BIT53-Business Continuity and Disaster Recovery, BIT54-Critical Communication Logging System Replacement 2021-2025.

SUBMITTED BY: BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION

Guests: DoIT: Chief Information Officer Marguerite Bierne, Deputy Chief Information Officer Lennox Harris, Aji Palappillil, and Donna Montero

5. [2022-561](#) BOND ACT(Amended)-SBB07-Phase I-Blind Brook WWTP Process Equip. Improves.

A BOND ACT authorizing the issuance of SEVEN MILLION (\$7,000,000) DOLLARS in bonds of Westchester County to finance Capital Project SBB07 (Unique ID: 2083) - Blind Brook Waste Water Treatment Plant - Process Equipment Improvements - Phase 1.

SUBMITTED BY: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION

Guests: DEF: Deputy Commissioner Nat Federici, Associate Engineer (Construction) CJ Gelardo, and Capital Program Coordinator Joe Brown

6. [2022-562](#) BOND ACT-SBB07-Phase II-Blind Brook WWTP Process Equip. Improves.

A BOND ACT authorizing the issuance of THREE MILLION (\$3,000,000) DOLLARS in bonds of Westchester County to finance Capital Project SBB07 (Unique ID: 2083) - Blind Brook Waste Water Treatment Plant - Process Equipment Improvements - Phase II.

SUBMITTED BY: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION

Guests: DEF: Deputy Commissioner Nat Federici, Associate Engineer (Construction) CJ Gelardo, and Capital Program Coordinator Joe Brown

7. [2022-564](#) BOND ACT-SW022-Sewer District Heavy Equipment Replacement

A BOND ACT authorizing the issuance of SIX HUNDRED THOUSAND (\$600,000) DOLLARS in bonds of Westchester County to finance Capital Project SW022 (Unique ID: 2085) - Sewer District Heavy Equipment Replacement.

SUBMITTED BY: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION

Guests: DEF: Deputy Commissioner Nat Federici, Associate Engineer (Construction) CJ Gelardo, and Capital Program Coordinator Joe Brown

8. [2022-566](#) BOND ACT-SY026-Yonkers Joint WRRF Structural Rehabilitation

A BOND ACT authorizing the issuance of SEVENTEEN MILLION, ONE HUNDRED THOUSAND (\$17,100,000) DOLLARS in bonds of Westchester County to finance Capital Project SY026 (Unique ID: 2080) - Yonkers Joint WRRF Structural Rehabilitation.

SUBMITTED BY: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION

Guests: DEF: Deputy Commissioner Nat Federici, Associate Engineer (Construction) CJ Gelardo, and Capital Program Coordinator Joe Brown

II. OTHER BUSINESS

III. RECEIVE & FILE

1. [2022-553](#) BOND ACT-BIT6D-Purchase of Computer Equipment

A BOND ACT authorizing the issuance of ONE MILLION (\$1,000,000) DOLLARS in bonds of Westchester County to finance Capital Project BIT6D (Unique ID: 2064) - Purchase of Computer Equipment 2021-2025.

COMMITTEE REFERRAL: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION

2. [2022-554](#) BOND ACT-BIT9A-Geographic Information Systems

A BOND ACT authorizing the issuance of TWO HUNDRED THOUSAND (\$200,000) DOLLARS in bonds of Westchester County to finance Capital Project BIT9A (Unique ID: 2075) - Geographic Information Systems (2015-2019).

COMMITTEE REFERRAL: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION

3. [2022-555](#) BOND ACT-BIT29-Data Center Upgrade

A BOND ACT authorizing the issuance of ONE HUNDRED THOUSAND (\$100,000) DOLLARS in bonds of Westchester County to finance Capital Project BIT29 (Unique ID: 2057) - Data Center Upgrade.

COMMITTEE REFERRAL: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION

4. [2022-556](#) BOND ACT-BIT45-Bldg Access Control & Video Surveillance Systems Upgrade

A BOND ACT authorizing the issuance of ONE MILLION, THREE HUNDRED FIFTY THOUSAND (\$1,350,000) DOLLARS in bonds of Westchester County to finance Capital Project BIT45 (Unique ID: 2049) - Building Access Control and Video Surveillance Systems Upgrade.

COMMITTEE REFERRAL: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION

5. [2022-557](#) BOND ACT-BIT47-Network & Security Infrast. Upgrades

A BOND ACT authorizing the issuance of THREE MILLION, THREE HUNDRED FIFTY THOUSAND (\$3,350,000) DOLLARS in bonds of Westchester County to finance Capital Project BIT47 (Unique ID: 2050) - Network and Security Infrastructure Upgrades 2022-2024.

COMMITTEE REFERRAL: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION

6. [2022-558](#) BOND ACT-BIT50-AMS-CGI Applications & Linux RAC Upgrade

A BOND ACT authorizing the issuance of SEVEN HUNDRED FIFTY THOUSAND (\$750,000) DOLLARS in bonds of Westchester County to finance Capital Project BIT 50 (Unique ID: 2051) - AMS/CGI Applications and Linux RAC Upgrade.

COMMITTEE REFERRAL: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION

7. [2022-559](#) BOND ACT-BIT53-Business Continuity & Disaster Recovery

A BOND ACT authorizing the issuance of TWO HUNDRED FIFTY THOUSAND (\$250,000) DOLLARS in bonds of Westchester County to finance Capital Project BIT 53 (Unique ID: 2061) Business Continuity and Disaster Recovery.

COMMITTEE REFERRAL: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION

8. [2022-560](#) BOND ACT-BIT54-Critical Communication Logging System Replacement

A BOND ACT authorizing the issuance of SEVEN HUNDRED FIFTY THOUSAND (\$750,000) DOLLARS in bonds of Westchester County to finance Capital Project BIT 54 (Unique ID: 2063) - Critical Communication Logging System Replacement 2021-2025.

COMMITTEE REFERRAL: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION

ADJOURNMENT

**HONORABLE BOARD OF LEGISLATORS
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmittal from the County Executive recommending approval by the County of Westchester (“County”) of a bond act (“Bond Act”) in the amount of \$1,000,000 to finance capital project BIT4C – Employee Productivity Systems 2018-2022 (“BIT4C”). The Bond Act, which was prepared by the law firm Harris Beach, will finance the cost of acquisition and installation of software and appliances for the County Department of Information Technology (“Department”), including installation and implementation services.

The Department of has advised that BIT4C will provide software, hardware, computer resources, and cybersecurity to meet unique and specific operational improvement across the County to support all Department initiatives.

Following bonding authorization, design will be scheduled and is anticipated to take three to six months to complete. It is estimated that installation will take twelve to eighteen months to complete. It is anticipated that the design work will be completed by in-house staff and consultants.

It should be noted that your Honorable Board has previously authorized the County to issue bonds in connection with separable components of BIT4C as indicated in the annexed fact sheet.

The Planning Department has advised your Committee that based on its review, BIT4C has been classified as a Type “II” action pursuant to the State Environmental Quality Review Act (“SEQR”) and its implementing regulations, 6 NYCRR Part 617. Therefore, no further environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this conclusion.

It should be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to adopt the Bond Act. Your Committee recommends the adoption of the proposed Bond Act.

Dated: January 17, 2023
White Plains, New York

Debat Jadhvi
SFR
Yauyck Bain
Henry Sullivan
J. W.
J. C. M.
Catharine Parker

SFR
Yauyck Bain
J. W.
Catharine Parker

Budget & Appropriations

Public works & Transportation

COMMITTEE ON

Dated: January 17, 2023
White Plains, New York

The following members attended the meeting remotely and approved this item out of Committee with an affirmative vote. Their electronic signature was authorized and is below.



COMMITTEES ON

Budget & Appropriations

Public Works & Transportation

FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: BIT4C

☐ NO FISCAL IMPACT PROJECTED

SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☒ GENERAL FUND

☐ AIRPORT FUND

☐ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☒ Current Appropriations

☐ Capital Budget Amendment

SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 1,000,000 PPU 5 Anticipated Interest Rate 3.52%

Anticipated Annual Cost (Principal and Interest): \$ 220,033

Total Debt Service (Annual Cost x Term): \$ 1,100,165

Finance Department: Interest rate from October 26, 2022 Bond Buyer - ASBA

SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations
(describe in detail for current and next four years):

SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded:

SECTION E - EXPECTED DESIGN WORK PROVIDER

☒ County Staff

☒ Consultant

☐ Not Applicable

Prepared by: Donna Montera

Title: Dir of Admin Services

Department: Information Technology

Date: 10/27/22

Reviewed By: 

Budget Director

Date: 10/31/22

TO: Michelle Greenbaum, Senior Assistant County Attorney
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM
Assistant Commissioner



DATE: October 5, 2022

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:
BIT4C EMPLOYEE PRODUCTIVITY SYSTEMS 2018-2022**

PROJECT/ACTION: Per Capital Project Fact Sheet as approved by the Planning Department on 09-23-2022 (Unique ID: 2016)

With respect to the State Environmental Quality Review Act and its implementing regulations, 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(31):** purchase or sale of furnishings, equipment or supplies, including surplus government property, other than the following: land, radioactive material, pesticides, herbicides, or other hazardous materials.

COMMENTS: None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff
Paula Friedman, Assistant to the County Executive
Lawrence Soule, Budget Director
Tami Altschiller, Assistant Chief Deputy County Attorney
Lorraine Marzola, Associate Budget Director
Kelly Sheehan, Assistant Commissioner
William Brady, Chief Planner
Michael Lipkin, Associate Planner
Claudia Maxwell, Associate Environmental Planner

ACT NO. -20__

BOND ACT AUTHORIZING THE ISSUANCE OF \$1,000,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF THE ACQUISITION AND INSTALLATION OF SOFTWARE AND APPLIANCES FOR THE DEPARTMENT OF INFORMATION TECHNOLOGY; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$1,000,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$1,000,000 BONDS HEREIN AUTHORIZED TO FINANCE SUCH COST; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted , 20__)

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER, NEW YORK (by the affirmative vote of not less than two-thirds of the voting strength of said Board), AS FOLLOWS:

Section 1. Pursuant to the provisions of the Local Finance Law, constituting Chapter 33-a of the Consolidated Laws of the State of New York (the "Law"), the Westchester County Administrative Code, being Chapter 852 of the Laws of 1948, as amended, to the provisions of other laws applicable thereto, \$1,000,000 bonds of the County, or so much thereof as may be necessary, are hereby authorized to be issued to finance the cost of the acquisition and installation of software and appliances for the Department of Information Technology, including installation and implementation services; all as set forth in the County's current year Capital

Budget, as amended. To the extent that the details set forth in this act are inconsistent with any details set forth in the current year Capital Budget of the County, such Budget shall be deemed and is hereby amended. The estimated maximum cost of said objects or purposes, including preliminary costs and costs incidental thereto and the financing thereof is \$1,000,000. The plan of financing includes the issuance of \$1,000,000 bonds herein authorized, and any bond anticipation notes issued in anticipation of the sale of such bonds, and the levy of a tax to pay the principal of and interest on said bonds.

Section 2. The period of probable usefulness applicable to the objects or purposes for which the bonds authorized by this Act are to be issued, within the limitations of Section 11.00 a. 89 of the Law, is five (5) years.

Section 3. Current funds are not required to be provided as a down payment pursuant to Section 107.00 d. 9. of the Law prior to issuance of the bonds authorized herein, or any bond anticipation notes issued in anticipation of the sale of such bonds. The County intends to finance, on an interim basis, the costs or a portion of the costs of said improvements for which bonds are herein authorized, which costs are reasonably expected to be reimbursed with the proceeds of debt to be incurred by the County, pursuant to this Act, in the maximum amount of \$1,000,000. This Act is a declaration of official intent adopted pursuant to the requirements of Treasury Regulation Section 1.150-2.

Section 4. Subject to the provisions of this Act and of the Law, and pursuant to the provisions of §30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals thereof, and of §§50.00, 56.00 to 60.00 and 168.00 of said Law, the powers and duties

of the County Board of Legislators relative to authorizing the issuance of any notes in anticipation of the sale of the bonds herein authorized, or the renewals thereof, relative to providing for substantially level or declining annual debt service, relative to prescribing the terms, form and contents and as to the sale and issuance of the respective amounts of bonds herein authorized, and of any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and relative to executing agreements for credit enhancement, are hereby delegated to the Commissioner of Finance of the County, as the chief fiscal officer of the County.

Section 5. Each of the bonds authorized by this Act and any bond anticipation notes issued in anticipation of the sale thereof shall contain the recital of validity prescribed by §52.00 of said Local Finance Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County of Westchester, payable as to both principal and interest by general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and provision shall be made annually in the budgets of the County by appropriation for (a) the amortization and redemption of the notes and bonds to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 6. The validity of the bonds authorized by this Act and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

(a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or

(b) the provisions of law which should be complied with at the date of the publication of this Act or a summary hereof, are not substantially complied with, and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or

(c) such obligations are authorized in violation of the provisions of the Constitution.

Section 7. This Act shall take effect in accordance with Section 107.71 of the Westchester County Charter.

* * *

STATE OF NEW YORK)
 : ss.:
COUNTY OF WESTCHESTER)

I HEREBY CERTIFY that I have compared the foregoing Act No. -20__ with the original on file in my office, and that the same is a correct transcript therefrom and of the whole of the said original Act, which was duly adopted by the County Board of Legislators of the County of Westchester on , 20__ and approved by the County Executive on , 20__.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said County Board of Legislators this day of , 20__.

(SEAL)

The Clerk and Chief Administrative Officer of the
County Board of Legislators
County of Westchester, New York

LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on _____, 20__ and approved, as amended, by the County Executive on _____, 20__ and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the amended Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. _____-20__

BOND ACT AUTHORIZING THE ISSUANCE OF \$1,000,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF THE ACQUISITION AND INSTALLATION OF SOFTWARE AND APPLIANCES FOR THE DEPARTMENT OF INFORMATION TECHNOLOGY; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$1,000,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$1,000,000 BONDS HEREIN AUTHORIZED TO FINANCE SUCH COST; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted _____, 20__)

object or purpose: to finance the cost of the acquisition and installation of software and appliances for the Department of Information Technology, including installation and implementation services; all as set forth in the County's current year Capital Budget, as amended

amount of obligations to be issued:

and period of probable usefulness: \$1,000,000; five (5) years

Dated: _____, 20__
White Plains, New York

Clerk and Chief Administrative Officer of the
County Board of Legislators of the County of
Westchester, New York

CAPITAL PROJECT FACT SHEET

Project ID:* BIT4C	<input type="checkbox"/> CBA	Fact Sheet Date:* 08-25-2022
Fact Sheet Year:* 2022	Project Title:* EMPLOYEE PRODUCTIVITY SYSTEMS 2018-2022	Legislative District ID:
Category* BUILDINGS, LAND & MISCELLANEOUS	Department:* INFORMATION TECHNOLOGY	CP Unique ID: 2016

Overall Project Description

This project will fund reusable software components that can be assembled to meet the particular requirements of a user rather than buying a single "one-size-fits-all".

- | | | |
|--|--|---|
| <input type="checkbox"/> Best Management Practices | <input type="checkbox"/> Energy Efficiencies | <input type="checkbox"/> Infrastructure |
| <input type="checkbox"/> Life Safety | <input type="checkbox"/> Project Labor Agreement | <input type="checkbox"/> Revenue |
| <input type="checkbox"/> Security | <input type="checkbox"/> Other | |

FIVE-YEAR CAPITAL PROGRAM (in thousands)

	Estimated Ultimate Total Cost	Appropriated	2022	2023	2024	2025	2026	Under Review
Gross	3,500	3,500	0	0	0	0	0	0
Less Non-County Shares	0	0	0	0	0	0	0	0
Net	3,500	3,500	0	0	0	0	0	0

Expended/Obligated Amount (in thousands) as of: 1,746

Current Bond Description: To fund the purchase of software and appliances, equipment, installation and implementing services

Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	1,000,000
Cash:	0
Total:	\$ 1,000,000

SEQR Classification:
TYPE II

Amount Requested:
1,000,000

Comments:

Energy Efficiencies:

Appropriation History:

Year	Amount	Description
2018	1,000,000	FUNDS SECURITY SOFTWARE, APPLIANCES, INSTALLATION/IMPLEMENTATION SERVICES AND ACQUISITION, LICENSING AND ASSOCIATED DEVELOPMENT SOFTWARE
2019	500,000	CONTINUATION FOR THIS PROJECT
2020	1,000,000	CONTINUATION OF THIS PROJECT
2021	1,000,000	CONTINUATION OF THIS PROJECT

Total Appropriation History:
3,500,000

Financing History:

Year	Bond Act #	Amount	Issued	Description
19	113	1,000,000	815,581	ACQUISITION AND INSTALLATION OF SOFTWARE AND APPLIANCES FOR IT
20	106	500,000	272,516	COST OF PURCHASING AND INSTALLING SOFTWARE AND APPLIANCES FOR EMPLOYEE PRODUCTIVITY SYSTEMS
21	39	1,000,000	0	ACQUISITION AND INSTALLATION OF SOFTWARE AND APPLIANCES FOR IT

Total Financing History:

2,500,000

Recommended By:**Department of Planning**

WBB4

Date

09-23-2022

Department of Public Works

RJB4

Date

09-26-2022

Budget Department

LMY1

Date

09-26-2022

Requesting Department

DDMK

Date

09-26-2022

EMPLOYEE PRODUCTIVITY SYSTEMS 2018-2022 (BIT4C)

User Department : Information Technology

Managing Department(s) : Information Technology ;

Estimated Completion Date: TBD

Planning Board Recommendation: Project without physical planning aspects of concern to the Westchester County Planning Board.

FIVE YEAR CAPITAL PROGRAM (in thousands)

	Est Ult Cost	Appropriated	Exp / Obl	2022	2023	2024	2025	2026	Under Review
Gross	3,500	3,500	1,746						
Non County Share			18						
Total	3,500	3,500	1,764						

Project Description

This project will fund reusable software components that can be assembled to meet the particular requirements of a user rather than buying a single "one-size-fits-all".

Current Year Description

There is no current year request.

Impact on Operating Budget

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

Appropriation History

Year	Amount	Description	Status
2018	1,000,000	Funds security software, appliances, installation/implementation services and acquisition, licensing and associated development software	IN PROGRESS
2019	500,000	Continuation for this project	IN PROGRESS
2020	1,000,000	Continuation of this project	IN PROGRESS
2021	1,000,000	Continuation of this project	AWAITING BOND AUTHORIZATION
Total	3,500,000		

Prior Appropriations

	Appropriated	Collected	Uncollected
Bond Proceeds	3,500,000	1,106,029	2,393,971
Others		(17,932)	17,932
Total	3,500,000	1,088,097	2,411,903

EMPLOYEE PRODUCTIVITY SYSTEMS 2018-2022 (BIT4C)

Bonds Authorized

Bond Act		Amount	Date Sold	Amount Sold	Balance
113	19	1,000,000	12/10/19	50,105	321,051
			12/10/19	9,895	
			04/30/20	89,820	
			10/28/20	464,102	
			10/28/20	65,027	
			10/28/20	17,932	
			10/28/20	(17,932)	
106	20	500,000			500,000
39	21	1,000,000			1,000,000
Total		2,500,000		678,949	1,821,051

**HONORABLE BOARD OF LEGISLATORS
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmittal from the County Executive recommending approval by the County of Westchester ("County") of a bond act ("Bond Act") in the amount of \$750,000 to finance capital project BIT50 - American Management Systems ("AMS")/Conseillers en Gestion et Informatique ("CGI") Applications and Linux Real Application Cluster ("RAC") Upgrade ("BIT50"). The Bond Act, which was prepared by the law firm Harris Beach, will finance the additional cost of the acquisition and installation of servers and related equipment, software and implementation services for the Department of Information Technology ("Department").

The Department has advised that AMS/ CGI, the vendor for the County's Financial, HR and Budget Systems informed the County that they are instituting a major renovation of these systems and will no longer support the existing systems. This project will fund the cost of the implementation services and related expenses for CGI/AMS to upgrade the County's Financial, HR and Budget systems to the new version and the purchase of data processing equipment and database software.

The Department anticipates that the work will be completed by both in-house staff and consultants and will be completed by the end of 2023.

It should be noted that your Honorable Board has previously authorized the County to issue bonds in connection with a prior component of BIT50 as indicated in the annexed fact sheet.

The Planning Department has advised your Committee that based on its review, BIT50 has been classified as a Type "II" action pursuant to the State Environmental Quality Review Act ("SEQR") and its implementing regulations, 6 NYCRR Part 617. Therefore, no further environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this conclusion.

It should be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to adopt the Bond Act. Your Committee recommends the adoption of the proposed Bond Act.

Dated: January 17th, 2023
White Plains, New York

Robert F. L.
H. S. S.
Nancy S.
James B. S.
J. S.
W. M.
Col. Parker

W. J. C.
Nancy S.
J. S.
Col. Parker

Budget & Appropriations

Public Works & Transportation

COMMITTEE ON

Dated: January 17, 2023

White Plains, New York

The following members attended the meeting remotely and approved this item out of Committee with an affirmative vote. Their electronic signature was authorized and is below.




COMMITTEES ON

Budget & Appropriations

Public Works & Transportation

TO: Michelle Greenbaum, Senior Assistant County Attorney
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM 
Assistant Commissioner

DATE: October 5, 2022

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:
BIT50 AMS/CGI APPLICATIONS AND LINUX RAC UPGRADE**

PROJECT/ACTION: Per Capital Project Fact Sheet as approved by the Planning Department on
09-22-2022 (Unique ID: 2051)

With respect to the State Environmental Quality Review Act and its implementing regulations, 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(31):** purchase or sale of furnishings, equipment or supplies, including surplus government property, other than the following: land, radioactive material, pesticides, herbicides, or other hazardous materials.

COMMENTS: None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff
Paula Friedman, Assistant to the County Executive
Lawrence Soule, Budget Director
Tami Altschiller, Assistant Chief Deputy County Attorney
Lorraine Marzola, Associate Budget Director
Kelly Sheehan, Assistant Commissioner
William Brady, Chief Planner
Michael Lipkin, Associate Planner
Claudia Maxwell, Associate Environmental Planner

ACT NO. -20__

BOND ACT AUTHORIZING THE ISSUANCE OF \$750,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE ADDITIONAL COST OF THE ACQUISITION AND INSTALLATION OF HARDWARE AND SOFTWARE FOR THE DEPARTMENT OF INFORMATION TECHNOLOGY; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$750,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$750,000 BONDS HEREIN AUTHORIZED TO FINANCE SUCH COST; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted , 20__)

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER, NEW YORK (by the affirmative vote of not less than two-thirds of the voting strength of said Board), AS FOLLOWS:

Section 1. Pursuant to the provisions of the Local Finance Law, constituting Chapter 33-a of the Consolidated Laws of the State of New York (the "Law"), the Westchester County Administrative Code, being Chapter 852 of the Laws of 1948, as amended, to the provisions of other laws applicable thereto, \$750,000 bonds of the County, or so much thereof as may be necessary, are hereby authorized to be issued to finance the additional cost of the acquisition and installation of servers and related equipment, software and implementation services for the Department of Information Technology; all as set forth in the County's current

year Capital Budget, as amended. To the extent that the details set forth in this act are inconsistent with any details set forth in the current year Capital Budget of the County, such Budget shall be deemed and is hereby amended. The estimated maximum cost of said objects or purposes, including preliminary costs and costs incidental thereto and the financing thereof is \$750,000. The plan of financing includes the issuance of \$750,000 bonds herein authorized, and any bond anticipation notes issued in anticipation of the sale of such bonds, and the levy of a tax to pay the principal of and interest on said bonds.

Section 2. The period of probable usefulness applicable to the objects or purposes for which the bonds authorized by this Act are to be issued, within the limitations of Section 11.00 a. 81(a) of the Law, is ten (10) years.

Section 3. Current funds are not required to be provided as a down payment pursuant to Section 107.00 d. 9. of the Law prior to issuance of the bonds authorized herein, or any bond anticipation notes issued in anticipation of the sale of such bonds. The County intends to finance, on an interim basis, the costs or a portion of the costs of said improvements for which bonds are herein authorized, which costs are reasonably expected to be reimbursed with the proceeds of debt to be incurred by the County, pursuant to this Act, in the maximum amount of \$750,000. This Act is a declaration of official intent adopted pursuant to the requirements of Treasury Regulation Section 1.150-2.

Section 4. Subject to the provisions of this Act and of the Law, and pursuant to the provisions of §30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals thereof, and of §§50.00, 56.00 to 60.00 and 168.00 of said Law, the powers and

duties of the County Board of Legislators relative to authorizing the issuance of any notes in anticipation of the sale of the bonds herein authorized, or the renewals thereof, relative to providing for substantially level or declining annual debt service, relative to prescribing the terms, form and contents and as to the sale and issuance of the respective amounts of bonds herein authorized, and of any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and relative to executing agreements for credit enhancement, are hereby delegated to the Commissioner of Finance of the County, as the chief fiscal officer of the County.

Section 5. Each of the bonds authorized by this Act and any bond anticipation notes issued in anticipation of the sale thereof shall contain the recital of validity prescribed by §52.00 of said Local Finance Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County of Westchester, payable as to both principal and interest by general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and provision shall be made annually in the budgets of the County by appropriation for (a) the amortization and redemption of the notes and bonds to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 6. The validity of the bonds authorized by this Act and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

(a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or

(b) the provisions of law which should be complied with at the date of the publication of this Act or a summary hereof, are not substantially complied with,

and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or

(c) such obligations are authorized in violation of the provisions of the Constitution.

Section 7. This Act shall take effect in accordance with Section 107.71 of the Westchester County Charter.

* * *

STATE OF NEW YORK)
 : ss.:
COUNTY OF WESTCHESTER)

I HEREBY CERTIFY that I have compared the foregoing Act No. -20___ with the original on file in my office, and that the same is a correct transcript therefrom and of the whole of the said original Act, which was duly adopted by the County Board of Legislators of the County of Westchester on , 20___ and approved by the County Executive on , 20___.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said County Board of Legislators this day of , 20___.

(SEAL)

The Clerk and Chief Administrative Officer of the
County Board of Legislators
County of Westchester, New York

LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on _____, 20__ and approved by the County Executive on _____, 20__ and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. _____-20__

BOND ACT AUTHORIZING THE ISSUANCE OF \$750,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE ADDITIONAL COST OF THE ACQUISITION AND INSTALLATION OF HARDWARE AND SOFTWARE FOR THE DEPARTMENT OF INFORMATION TECHNOLOGY; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$750,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$750,000 BONDS HEREIN AUTHORIZED TO FINANCE SUCH COST; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted _____, 20__)

object or purpose: to finance the cost of the acquisition and installation of servers and related equipment, software and implementation services for the Department of Information Technology; all as set forth in the County's current year Capital Budget, as amended

amount of obligations to be issued:

and period of probable usefulness: \$750,000; ten (10) years

Dated: _____, 20__
White Plains, New York

Clerk and Chief Administrative Officer of the
County Board of Legislators of the County of
Westchester, New York



FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: <u>BIT50</u>		<input checked="" type="checkbox"/> NO FISCAL IMPACT PROJECTED	
SECTION A - CAPITAL BUDGET IMPACT To Be Completed by Budget			
<div style="display: flex; justify-content: space-around;"> <input checked="" type="checkbox"/> GENERAL FUND <input type="checkbox"/> AIRPORT FUND <input type="checkbox"/> SPECIAL DISTRICTS FUND </div> <div style="margin-top: 10px;"> Source of County Funds (check one): <input checked="" type="checkbox"/> Current Appropriations <input type="checkbox"/> Capital Budget Amendment </div>			
SECTION B - BONDING AUTHORIZATIONS To Be Completed by Finance			
Total Principal	\$	750,000	PPU 10
		Anticipated Interest Rate	3.56%
Anticipated Annual Cost (Principal and Interest):		\$	89,136
Total Debt Service (Annual Cost x Term):		\$	891,360
Finance Department: Interest rates from November 3, 2022 Bond Buyer - ASBA			
SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service) To Be Completed by Submitting Department and Reviewed by Budget			
Potential Related Expenses (Annual):		\$	-
Potential Related Revenues (Annual):		\$	-
Anticipated savings to County and/or impact of department operations (describe in detail for current and next four years): <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/>			
SECTION D - EMPLOYMENT As per federal guidelines, each \$92,000 of appropriation funds one FTE Job Number of Full Time Equivalent (FTE) Jobs Funded:			
SECTION E - EXPECTED DESIGN WORK PROVIDER			
<div style="display: flex; justify-content: space-around;"> <input type="checkbox"/> County Staff <input checked="" type="checkbox"/> Consultant <input type="checkbox"/> Not Applicable </div>			
Prepared by: <u>Donna Montera</u>		Reviewed By:	
Title: <u>Director of Admin Services</u>		Budget Director	
Department: <u>Information Technology</u>		Date: <u>1/12/2023</u>	
Date: <u>11/4/22</u>			

CAPITAL PROJECT FACT SHEET

Project ID:* BIT50	<input type="checkbox"/> CBA	Fact Sheet Date:* 01-03-2023
Fact Sheet Year:* 2023	Project Title:* AMS/CGI APPLICATIONS AND LINUX RAC UPGRADE	Legislative District ID:
Category* BUILDINGS, LAND & MISCELLANEOUS	Department:* INFORMATION TECHNOLOGY	CP Unique ID: 2051

Overall Project Description

This project will fund the cost of the implementation services and related expenses to upgrade the County's Financial, HR and Budget systems to the new version. Also included is the purchase of data processing equipment and database software.

- | | | |
|--|--|---|
| <input type="checkbox"/> Best Management Practices | <input type="checkbox"/> Energy Efficiencies | <input type="checkbox"/> Infrastructure |
| <input type="checkbox"/> Life Safety | <input type="checkbox"/> Project Labor Agreement | <input type="checkbox"/> Revenue |
| <input type="checkbox"/> Security | <input type="checkbox"/> Other | |

FIVE-YEAR CAPITAL PROGRAM (in thousands)

	Estimated Ultimate Total Cost	Appropriated	2023	2024	2025	2026	2027	Under Review
Gross	5,500	5,000	500	0	0	0	0	0
Less Non-County Shares	0	0	0	0	0	0	0	0
Net	5,500	5,000	500	0	0	0	0	0

Expended/Obligated Amount (in thousands) as of : 4,162

Current Bond Description: The bonding is being requested to fund the purchase of servers and related equipment, software and implementation services needed to upgrade the Finance, HR and Budget Systems

Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	750,000
Cash:	0
Total:	\$ 750,000

SEQR Classification:

TYPE II

Amount Requested:

750,000

Comments:

Energy Efficiencies:

Appropriation History:

Year	Amount	Description
2020	4,750,000	FUNDS THIS PROJECT
2022	250,000	ADDITIONAL FUNDING

Total Appropriation History:

5,000,000

Financing History:

Year	Bond Act #	Amount	Issued	Description
20	40	4,750,000	254,000	COST OF ACQUISITION & INSTALLATION OF SERVERS, EQUIPMENT, SOFTWARE & IMPLEMENTATION FOR DOIT

Total Financing History:

4,750,000

Recommended By:**Department of Planning**
WBB4**Date**
09-22-2022**Department of Public Works**
RJB4**Date**
09-23-2022**Budget Department**
LMYI**Date**
09-23-2022**Requesting Department**
DDMK**Date**
09-23-2022

AMS/CGI APPLICATIONS AND LINUX RAC UPGRADE (BIT50)

User Department : Information Technology

Managing Department(s) : Information Technology ;

Estimated Completion Date: TBD

Planning Board Recommendation: Project without physical planning aspects of concern to the Westchester County Planning Board.

FIVE YEAR CAPITAL PROGRAM (in thousands)

	Est Ult Cost	Appropriated	Exp / Obl	2023	2024	2025	2026	2027	Under Review
Gross	5,500	5,000	4,162	500					
Non County Share									
Total	5,500	5,000	4,162	500					

Project Description

This project will fund the cost of the implementation services and related expenses to upgrade the County's Financial, HR and Budget systems to the new version. Also included is the purchase of data processing equipment and database software.

Current Year Description

The current year request funds an escalation.

Current Year Financing Plan

Year	Bonds	Cash	Non County Shares	Total
2023	500,000			500,000

Impact on Operating Budget

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

Appropriation History

Year	Amount	Description	Status
2020	4,750,000	Funds this project	IN PROGRESS
2022	250,000	Additional funding	AWAITING BOND AUTHORIZATION
Total	5,000,000		

Prior Appropriations

	Appropriated	Collected	Uncollected
Bond Proceeds	5,000,000	254,000	4,746,000
Total	5,000,000	254,000	4,746,000

Bonds Authorized

Bond Act	Amount	Date Sold	Amount Sold	Balance
40 20	4,750,000	12/01/21	254,000	4,496,000
Total	4,750,000		254,000	4,496,000

References BIT6D, BIT9A, BIT29, BIT45,
BIT47, BIT53, BIT54

**HONORABLE BOARD OF LEGISLATORS
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmittal from the County Executive recommending approval of a bond act (the “Bond Act”) prepared by the law firm Harris Beach, in the total aggregate amount of \$7,000,000 to authorize the County of Westchester (the “County”) to finance the following seven projects:

BIT6D (2064) Purchase of Computer Equipment 2021-2025
BIT9A (2075) Geographic Information Systems (2015-2019)
BIT29 (2057) Data Center Upgrade
BIT45 (2049) Building Access Control and Video Surveillance Systems Upgrade
BIT47 (2050) Network and Security Infrastructure Upgrades 2020-2024
BIT53 (2061) Business Continuity and Disaster Recovery
BIT54 (2063) Critical Communication Logging System Replacement 2021-2025

The Bond Act, in the total amount of \$7,000,000, would authorize the County to issue bonds to finance the cost various projects for the Department of Information Technology (“Department”), including:

(1) Acquisition of computer equipment and software, including related services, for storage system overhaul to replace aging servers and storage to provide needed performance increases and reduce maintenance costs.

(2) Acquisition of GIS hardware and software, consulting and implementing services, data development and acquisition, mobile technologies, internet applications and related geospatial applications to support GIS applications for the County Departments of Public Safety, Emergency Services and future Next Generation 911 system.

(3) Upgrades to the County’s two primary data centers including upgrades to utility power, generators, uninterruptible power supplies, power distribution units, HVAC servers, network, and power management systems.

(4) Acquisition and installation of building access control and video surveillance systems at various County office buildings.

(5) Network and security infrastructure upgrades at various County office buildings, including the upgrade of Main Office Building Datacenter hardware and software, replacement of network switches at Yonkers I-Park, cyber security software upgrades, Yonkers Water Treatment Plant Facility and the Cisco Unified Computing Systems Data Center Platform to combine enhanced software-defined storage and data services software.

(6) acquisition and installation of hardware, software and associated services at various County office buildings for real-time business continuity and timely disaster recovery.

(7) acquisition and installation of equipment, software and associated services at various County office buildings for the Department's Critical Communication Logging System.

Your Honorable Board is advised that the anticipated cost estimates, anticipated project timeline, and whether the design is anticipated to be undertaken in-house or by consultants for each capital project is set forth below:

Cap ID	Anticipated Amount	Design Assignment	Time Frame (Months) for Purchase and Implementation
BIT6D (2064) Purchase of Computer Equipment 2021-2025	\$1,000,000	Staff	9
BIT9A (2075) Geographic Information Systems (2015-2019)	\$200,000	Consultants	18
BIT29 (2057) Data Center Upgrade	\$100,000	Staff	3
BIT45 (2049) Building Access Control and Video Surveillance Systems Upgrade	\$1,350,000	Consultants and Staff	24
BIT47 (2050) Network and Security Infrastructure Upgrades 2020-2024	\$3,350,000	Staff	12-24

BIT53 (2061) Business Continuity and Disaster Recovery	\$250,000	Staff	12-24
BIT54 (2063) Critical Communication Logging System Replacement 2021- 2025	\$750,000	Consultants and Staff	3
TOTAL	\$7,000,000		

Your Committee is advised that the proposed Bond Act authorizes financing for like objects or purposes in connection with multiple capital projects. It is hoped that by combining projects that have the same objects and purposes into a single bond act, the County can dramatically reduce the amount of bond legislation that your Honorable Board would be required to review, thereby expediting the approval process and avoiding backlogs.

The Planning Department has advised your Committee that based on its review, the above-referenced capital projects have been classified as a Type "II" action pursuant to the State Environmental Quality Review Act ("SEQR") and its implementing regulations, 6 NYCRR Part 617. Therefore, no further environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this conclusion.

It should be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to adopt the Bond Act. Your Committee recommends the adoption of the proposed Bond Act.

Dated: January 17th, 2023
White Plains, New York

COMMITTEE ON

MG/11-30-22

Robert F. Li
Sgt. R. W. P.
Nancy E. Barr
J. J. Green
J. H. P.
D. M. M.
Colin Parker

Sgt. R. W. P.
Nancy E. Barr
J. J. Green
Colin Parker

Budget & Appropriations

Public Works & Transportation

TO: Michelle Greenbaum, Senior Assistant County Attorney
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM
Assistant Commissioner



DATE: October 5, 2022

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:
BIT6D PURCHASE OF COMPUTER EQUIPMENT 2021-2025**

PROJECT/ACTION: Per Capital Project Fact Sheet as approved by the Planning Department on
09-22-2022 (Unique ID: 2064)

With respect to the State Environmental Quality Review Act and its implementing regulations, 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(31):** purchase or sale of furnishings, equipment or supplies, including surplus government property, other than the following: land, radioactive material, pesticides, herbicides, or other hazardous materials.

COMMENTS: None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff
Paula Friedman, Assistant to the County Executive
Lawrence Soule, Budget Director
Tami Altschiller, Assistant Chief Deputy County Attorney
Lorraine Marzola, Associate Budget Director
Kelly Sheehan, Assistant Commissioner
William Brady, Chief Planner
Michael Lipkin, Associate Planner
Claudia Maxwell, Associate Environmental Planner

TO: Michelle Greenbaum, Senior Assistant County Attorney
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM
Assistant Commissioner



DATE: October 5, 2022

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:
BIT9A GEOGRAPHIC INFORMATION SYSTEMS (2015-2019)**

PROJECT/ACTION: Per Capital Project Fact Sheet as approved by the Planning Department on
09-23-2022 (Unique ID: 2075)

With respect to the State Environmental Quality Review Act and its implementing regulations, 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):


- **617.5(c)(31):** purchase or sale of furnishings, equipment or supplies, including surplus government property, other than the following: land, radioactive material, pesticides, herbicides, or other hazardous materials.

COMMENTS: None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff
Paula Friedman, Assistant to the County Executive
Lawrence Soule, Budget Director
Tami Altschiller, Assistant Chief Deputy County Attorney
Lorraine Marzola, Associate Budget Director
Kelly Sheehan, Assistant Commissioner
William Brady, Chief Planner
Michael Lipkin, Associate Planner
Claudia Maxwell, Associate Environmental Planner

TO: Michelle Greenbaum, Senior Assistant County Attorney
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM 
Assistant Commissioner

DATE: October 5, 2022

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:
BIT29 DATA CENTER UPGRADE**

PROJECT/ACTION: Per Capital Project Fact Sheet as approved by the Planning Department on
09-23-2022 (Unique ID: 2057)

With respect to the State Environmental Quality Review Act and its implementing regulations, 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):


- **617.5(c)(31):** purchase or sale of furnishings, equipment or supplies, including surplus government property, other than the following: land, radioactive material, pesticides, herbicides, or other hazardous materials.

COMMENTS: None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff
Paula Friedman, Assistant to the County Executive
Lawrence Soule, Budget Director
Tami Altschiller, Assistant Chief Deputy County Attorney
Lorraine Marzola, Associate Budget Director
Kelly Sheehan, Assistant Commissioner
William Brady, Chief Planner
Michael Lipkin, Associate Planner
Claudia Maxwell, Associate Environmental Planner

TO: Michelle Greenbaum, Senior Assistant County Attorney
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM 
Assistant Commissioner

DATE: October 5, 2022

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:
BIT45 Building Access Control and Video Surveillance Systems Upgrade**

PROJECT/ACTION: Per Capital Project Fact Sheet as approved by the Planning Department on
09-22-2022 (Unique ID: 2049)

With respect to the State Environmental Quality Review Act and its implementing regulations, 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):


- **617.5(c)(31):** purchase or sale of furnishings, equipment or supplies, including surplus government property, other than the following: land, radioactive material, pesticides, herbicides, or other hazardous materials.

COMMENTS: None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff
Paula Friedman, Assistant to the County Executive
Lawrence Soule, Budget Director
Tami Altschiller, Assistant Chief Deputy County Attorney
Lorraine Marzola, Associate Budget Director
Kelly Sheehan, Assistant Commissioner
William Brady, Chief Planner
Michael Lipkin, Associate Planner
Claudia Maxwell, Associate Environmental Planner

TO: Michelle Greenbaum, Senior Assistant County Attorney
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM 
Assistant Commissioner

DATE: October 5, 2022

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:
BIT47 Network and Security Infrastructure Upgrades 2020-2024**

PROJECT/ACTION: Per Capital Project Fact Sheet as approved by the Planning Department on
09-27-2022 (Unique ID: 2050)

With respect to the State Environmental Quality Review Act and its implementing regulations, 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):


- **617.5(c)(31):** purchase or sale of furnishings, equipment or supplies, including surplus government property, other than the following: land, radioactive material, pesticides, herbicides, or other hazardous materials.

COMMENTS: None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff
Paula Friedman, Assistant to the County Executive
Lawrence Soule, Budget Director
Tami Altschiller, Assistant Chief Deputy County Attorney
Lorraine Marzola, Associate Budget Director
Kelly Sheehan, Assistant Commissioner
William Brady, Chief Planner
Michael Lipkin, Associate Planner
Claudia Maxwell, Associate Environmental Planner

TO: Michelle Greenbaum, Senior Assistant County Attorney
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM 
Assistant Commissioner

DATE: October 5, 2022

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:
BIT53 BUSINESS CONTINUITY AND DISASTER RECOVERY**

PROJECT/ACTION: Per Capital Project Fact Sheet as approved by the Planning Department on
09-23-2022 (Unique ID: 2061)

With respect to the State Environmental Quality Review Act and its implementing regulations, 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):


- **617.5(c)(31):** purchase or sale of furnishings, equipment or supplies, including surplus government property, other than the following: land, radioactive material, pesticides, herbicides, or other hazardous materials.

COMMENTS: None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff
Paula Friedman, Assistant to the County Executive
Lawrence Soule, Budget Director
Tami Altschiller, Assistant Chief Deputy County Attorney
Lorraine Marzola, Associate Budget Director
Kelly Sheehan, Assistant Commissioner
William Brady, Chief Planner
Michael Lipkin, Associate Planner
Claudia Maxwell, Associate Environmental Planner

TO: Michelle Greenbaum, Senior Assistant County Attorney
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM 
Assistant Commissioner

DATE: October 5, 2022

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:
BIT54 Critical Communication Logging System Replacement (2021-2025)**

PROJECT/ACTION: Per Capital Project Fact Sheet as approved by the Planning Department on
09-22-2022 (Unique ID: 2063)

With respect to the State Environmental Quality Review Act and its implementing regulations, 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(31):** purchase or sale of furnishings, equipment or supplies, including surplus government property, other than the following: land, radioactive material, pesticides, herbicides, or other hazardous materials.

COMMENTS: None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff
Paula Friedman, Assistant to the County Executive
Lawrence Soule, Budget Director
Tami Altschiller, Assistant Chief Deputy County Attorney
Lorraine Marzola, Associate Budget Director
Kelly Sheehan, Assistant Commissioner
William Brady, Chief Planner
Michael Lipkin, Associate Planner
Claudia Maxwell, Associate Environmental Planner

REFERENCES BIT6D, BIT9A, BIT29, BIT45,
BIT47, BIT53 and BIT54

ACT NO. -20__

BOND ACT AUTHORIZING THE ISSUANCE OF \$7,000,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF THE ACQUISITION OF VARIOUS TECHNOLOGY UPGRADES FOR COUNTY DEPARTMENTS; STATING THE ESTIMATED TOTAL MAXIMUM COST THEREOF IS \$7,000,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$7,000,000 BONDS HEREIN AUTHORIZED TO FINANCE SUCH COST; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS.

(Adopted , 20__)

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER, NEW YORK (by the affirmative vote of not less than two-thirds of the voting strength of said Board), AS FOLLOWS:

Section 1. Pursuant to the provisions of the Local Finance Law, constituting Chapter 33-a of the Consolidated Laws of the State of New York (the "Law"), the Westchester County Administrative Code, being Chapter 852 of the Laws of 1948, as amended, to the provisions of other laws applicable thereto, \$7,000,000 bonds of the County, or so much thereof as may be necessary, are hereby authorized to be issued to finance the cost of the acquisition of various technology upgrades for County departments comprised of the following capital projects: BIT6D, BIT9A, BIT29, BIT45, BIT47, BIT53 and BIT54, all as set forth in the County's current

year Capital Budget, as amended. The total estimated maximum cost of said objects or purposes, including preliminary costs and costs incidental thereto and the financing thereof is \$7,000,000. The plan of financing includes the issuance of \$7,000,000 bonds herein authorized, and any bond anticipation notes issued in anticipation of the sale of such bonds, and the levy of a tax to pay the principal of and interest on said bonds.

Section 2. The period of probable usefulness applicable to the objects or purposes for which the bonds authorized by this Act are to be issued, within the limitations of Section 11.00 a. 89 of the Law, is five (5) years.

Section 3. Current funds are not required to be provided as a down payment pursuant to Section 107.00 d. 9. of the Law prior to issuance of the bonds authorized herein, or any bond anticipation notes issued in anticipation of the sale of such bonds. The County intends to finance, on an interim basis, the costs or a portion of the costs of said improvements for which bonds are herein authorized, which costs are reasonably expected to be reimbursed with the proceeds of debt to be incurred by the County, pursuant to this Act, in the maximum amount of \$7,000,000. This Act is a declaration of official intent adopted pursuant to the requirements of Treasury Regulation Section 1.150-2.

Section 4. Subject to the provisions of this Act and of the Law, and pursuant to the provisions of §30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals thereof, and of §§50.00, 56.00 to 60.00 and 168.00 of said Law, the powers and duties of the County Board of Legislators relative to authorizing the issuance of any notes in anticipation of the sale of the bonds herein authorized, or the renewals thereof, relative to providing

for substantially level or declining annual debt service, relative to prescribing the terms, form and contents and as to the sale and issuance of the respective amounts of bonds herein authorized, and of any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and relative to executing agreements for credit enhancement, are hereby delegated to the Commissioner of Finance of the County, as the chief fiscal officer of the County.

Section 5. Each of the bonds authorized by this Act and any bond anticipation notes issued in anticipation of the sale thereof shall contain the recital of validity prescribed by §52.00 of said Local Finance Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County of Westchester, payable as to both principal and interest by general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and provision shall be made annually in the budgets of the County by appropriation for (a) the amortization and redemption of the notes and bonds to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 6. The validity of the bonds authorized by this Act and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

- (a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or

(b) the provisions of law which should be complied with at the date of the publication of this Act or a summary hereof, are not substantially complied with, and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or

(c) such obligations are authorized in violation of the provisions of the Constitution.

Section 7. This Act shall take effect in accordance with Section 107.71 of the Westchester County Charter.

* * *

STATE OF NEW YORK)
 : ss.:
COUNTY OF WESTCHESTER)

I HEREBY CERTIFY that I have compared the foregoing Act No. -20__ with the original on file in my office, and that the same is a correct transcript therefrom and of the whole of the said original Act, which was duly adopted by the County Board of Legislators of the County of Westchester on , 20__ and approved by the County Executive on , 20__.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said County Board of Legislators this day of , 20__.

(SEAL)

The Clerk and Chief Administrative Officer of the
County Board of Legislators
County of Westchester, New York

LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on _____, 20__ and approved by the County Executive on _____, 20__ and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. _____-20__

BOND ACT AUTHORIZING THE ISSUANCE OF \$7,000,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF THE ACQUISITION OF VARIOUS TECHNOLOGY UPGRADES FOR COUNTY DEPARTMENTS; STATING THE ESTIMATED TOTAL MAXIMUM COST THEREOF IS \$7,000,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$7,000,000 BONDS HEREIN AUTHORIZED TO FINANCE SUCH COST; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted _____, 20__)

object or purpose: to finance the cost of acquisition of the acquisition of various technology upgrades County departments comprised of the following capital projects: BIT6D, BIT9A, BIT29, BIT45, BIT47. BIT53 and BIT54; all as set forth in the County's current year Capital Budget, as amended.

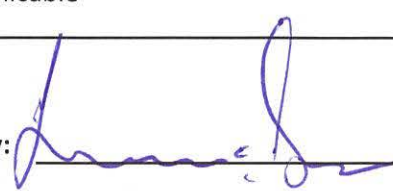
amount of obligations to be issued:

and period of probable usefulness: \$7,000,000, five years

Dated: _____, 20__
White Plains, New York

Clerk and Chief Administrative Officer of the
County Board of Legislators of the County of
Westchester, New York

FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: _____		<input type="checkbox"/> NO FISCAL IMPACT PROJECTED	
SECTION A - CAPITAL BUDGET IMPACT To Be Completed by Budget			
<input checked="" type="checkbox"/> GENERAL FUND		<input type="checkbox"/> AIRPORT FUND	
<input type="checkbox"/> SPECIAL DISTRICTS FUND			
Source of County Funds (check one):		<input checked="" type="checkbox"/> Current Appropriations	
		<input type="checkbox"/> Capital Budget Amendment	
BIT6D; BIT9A; BIT29; BIT45; BIT47; BIT53; BIT54			
SECTION B - BONDING AUTHORIZATIONS To Be Completed by Finance			
Total Principal	\$	7,000,000	PPU 5
Anticipated Annual Cost (Principal and Interest):		\$ 1,507,836	
Total Debt Service (Annual Cost x Term):		\$ 7,539,180	
Finance Department: Interest rates from December 5, 2022 Bond Buyer - ASBA			
SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service) To Be Completed by Submitting Department and Reviewed by Budget			
Potential Related Expenses (Annual): \$ -			
Potential Related Revenues (Annual): \$ -			
Anticipated savings to County and/or impact of department operations (describe in detail for current and next four years):			
SECTION D - EMPLOYMENT As per federal guidelines, each \$92,000 of appropriation funds one FTE Job			
Number of Full Time Equivalent (FTE) Jobs Funded:			
SECTION E - EXPECTED DESIGN WORK PROVIDER			
<input type="checkbox"/> County Staff		<input type="checkbox"/> Consultant	
		<input checked="" type="checkbox"/> Not Applicable	
Prepared by: Donna Montera		Reviewed By: 	
Title: Dir of Admin Services		Budget Director	
Department: Information Technology		Date: 12/7/22	
Date: 12/7/22			

CAPITAL PROJECT FACT SHEET

Project ID:* BIT6D	<input type="checkbox"/> CBA	Fact Sheet Date:* 01-03-2023
Fact Sheet Year:* 2023	Project Title:* PURCHASE OF COMPUTER EQUIPMENT 2021-2025	Legislative District ID:
Category* BUILDINGS, LAND & MISCELLANEOUS	Department:* INFORMATION TECHNOLOGY	CP Unique ID: 2064

Overall Project Description

This project funds the purchase of servers, related equipment and services.

- | | | |
|--|--|---|
| <input type="checkbox"/> Best Management Practices | <input type="checkbox"/> Energy Efficiencies | <input type="checkbox"/> Infrastructure |
| <input type="checkbox"/> Life Safety | <input type="checkbox"/> Project Labor Agreement | <input type="checkbox"/> Revenue |
| <input type="checkbox"/> Security | <input type="checkbox"/> Other | |

FIVE-YEAR CAPITAL PROGRAM (in thousands)

	Estimated Ultimate Total Cost	Appropriated	2023	2024	2025	2026	2027	Under Review
Gross	4,500	1,750	1,000	1,000	750	0	0	0
Less Non-County Shares	0	0	0	0	0	0	0	0
Net	4,500	1,750	1,000	1,000	750	0	0	0

Expended/Obligated Amount (in thousands) as of : 1,458

Current Bond Description: Bonding is requested for the purchase of equipment, software and related services for the overhaul of the entire storage system sytem which includes hardware for tierd storage implementation.

Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	1,000,000
Cash:	0
Total:	\$ 1,000,000

SEQR Classification:

TYPE II

Amount Requested:

1,000,000

Comments:

Energy Efficiencies:

Appropriation History:

Year	Amount	Description
2021	1,000,000	FUNDS NEW EQUIPMENT FOR SERVER 2008 MIGRATIONS AND MICROSOFT EXCHANGE SERVERS
2022	750,000	CONTINUATION OF THIS PROJECT

Total Appropriation History:

1,750,000

Financing History:

Year	Bond Act #	Amount	Issued	Description
21	207	750,000	0	ACQUISITION AND INSTALLATION OF SOFTWARE AND APPLIANCES FOR IT
21	39	1,000,000	0	ACQUISITION AND INSTALLATION OF SOFTWARE AND APPLIANCES FOR IT

Total Financing History:

1,750,000

Recommended By:**Department of Planning**

WBB4

Date

09-22-2022

Department of Public Works

RJB4

Date

09-23-2022

Budget Department

LMY1

Date

09-23-2022

Requesting Department

DDMK

Date

09-23-2022

PURCHASE OF COMPUTER EQUIPMENT 2021-2025 (BIT6D)

User Department : Information Technology

Managing Department(s) : Information Technology ;

Estimated Completion Date: TBD

Planning Board Recommendation: Project without physical planning aspects of concern to the Westchester County Planning Board.

FIVE YEAR CAPITAL PROGRAM (in thousands)

	Est Ult Cost	Appropriated	Exp / Obl	2023	2024	2025	2026	2027	Under Review
Gross	4,500	1,750	1,458	1,000	1,000	750			
Non County Share									
Total	4,500	1,750	1,458	1,000	1,000	750			

Project Description

This project funds the purchase of servers, related equipment and services.

Current Year Description

The current year request funds the continuation of this project.

Current Year Financing Plan

Year	Bonds	Cash	Non County Shares	Total
2023	1,000,000			1,000,000

Impact on Operating Budget

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

Appropriation History

Year	Amount	Description	Status
2021	1,000,000	Funds new equipment for Server 2008 migrations and Microsoft Exchange Servers	COMPLETE
2022	750,000	Continuation of this project	IN PROGRESS
Total	1,750,000		

Prior Appropriations

	Appropriated	Collected	Uncollected
Bond Proceeds	1,750,000		1,750,000
Total	1,750,000		1,750,000

Bonds Authorized

Bond Act	Amount	Date Sold	Amount Sold	Balance
39 21	1,000,000			1,000,000
207 21	750,000			750,000
Total	1,750,000			1,750,000

CAPITAL PROJECT FACT SHEET

Project ID:* BIT9A	<input type="checkbox"/> CBA	Fact Sheet Date:* 01-03-2023
Fact Sheet Year:* 2023	Project Title:* GEOGRAPHIC INFORMATION SYSTEMS (2015-2019)	Legislative District ID:
Category* BUILDINGS, LAND & MISCELLANEOUS	Department:* INFORMATION TECHNOLOGY	CP Unique ID: 2075

Overall Project Description

This project will provide the ability to acquire GIS hardware, software, consulting services, data aerial imagery for desktop, internet applications and mobile - based geospatial applications.

- | | | |
|--|--|---|
| <input type="checkbox"/> Best Management Practices | <input type="checkbox"/> Energy Efficiencies | <input type="checkbox"/> Infrastructure |
| <input type="checkbox"/> Life Safety | <input type="checkbox"/> Project Labor Agreement | <input type="checkbox"/> Revenue |
| <input type="checkbox"/> Security | <input type="checkbox"/> Other | |

FIVE-YEAR CAPITAL PROGRAM (in thousands)

	Estimated Ultimate Total Cost	Appropriated	2023	2024	2025	2026	2027	Under Review
Gross	1,100	1,100	0	0	0	0	0	0
Less Non-County Shares	0	0	0	0	0	0	0	0
Net	1,100	1,100	0	0	0	0	0	0

Expended/Obligated Amount (in thousands) as of : 566

Current Bond Description: The funds will be used for the purchase of GIS hardware, software, data development and implementing services

Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	200,000
Cash:	0
Total:	\$ 200,000

SEQR Classification:

TYPE II

Amount Requested:

200,000

Comments:

Energy Efficiencies:

Appropriation History:

Year	Amount	Description
2015	200,000	VARIOUS GIS PRODUCTS
2016	200,000	VARIOUS GIS PRODUCTS
2017	200,000	PURCHASE OF GIS EQUIPMENT
2018	200,000	EQUIPMENT PURCHASE
2019	200,000	CONTINUATION OF THIS PROJECT
2020	100,000	DEVELOPMENT OF CODED MAPS THAT INDICATES WHICH GOVERNMENT ENTITY OWNS/IS RESPONSIBLE FOR ANY GIVEN ROAD IN THE COUNTY

Total Appropriation History:

1,100,000

Financing History:

Year	Bond Act #	Amount	Issued	Description
15	78	200,000	199,960	GEOGRAPHIC INFORMATION SYSTEMS (2015 - 2019)
16	48	200,000	191,348	GEOGRAPHIC INFORMATION SYSTEMS (2015 - 2019)
17	60	200,000	107,371	GIS HARDWARE, SOFTWARE, CONSULTING, AND DATA PRODUCTS
18	134	200,000	0	DOIT ENTERPRISE GIS HARDWARE & SOFTWARE, CONSULTING, DATA DEVELOPMENT, MOBILE TECH & APPLICATIONS

Total Financing History:

800,000

Recommended By:**Department of Planning**

WBB4

Date

09-23-2022

Department of Public Works

RJB4

Date

09-23-2022

Budget Department

LMY1

Date

09-23-2022

Requesting Department

DDMK

Date

09-23-2022

GEOGRAPHIC INFORMATION SYSTEMS (2015-2019) (BIT9A)

User Department : Information Technology

Managing Department(s) : Information Technology ;

Estimated Completion Date: TBD

Planning Board Recommendation: Project without physical planning aspects of concern to the Westchester County Planning Board.

FIVE YEAR CAPITAL PROGRAM (in thousands)

	Est Ult Cost	Appropriated	Exp / Obl	2023	2024	2025	2026	2027	Under Review
Gross	1,100	1,100	566						
Non County Share			1						
Total	1,100	1,100	567						

Project Description

This project will provide the ability to acquire GIS hardware, software, consulting services, data aerial imagery for desktop, internet applications and mobile - based geospatial applications.

Current Year Description

There is no current year request.

Impact on Operating Budget

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

Appropriation History

Year	Amount	Description	Status
2015	200,000	Various GIS products	COMPLETE
2016	200,000	Various GIS products	COMPLETE
2017	200,000	Purchase of GIS equipment	IN PROGRESS
2018	200,000	Equipment purchase	IN PROGRESS
2019	200,000	Continuation of this project	AWAITING BOND AUTHORIZATION
2020	100,000	Development of coded maps that indicates which government entity owns/is responsible for any given road in the county	AWAITING BOND AUTHORIZATION
Total	1,100,000		

Prior Appropriations

	Appropriated	Collected	Uncollected
Bond Proceeds	1,100,000	499,718	600,282
Others		(1,038)	1,038
Total	1,100,000	498,679	601,321

GEOGRAPHIC INFORMATION SYSTEMS (2015-2019)
(BIT9A)

Bonds Authorized					
Bond Act		Amount	Date Sold	Amount Sold	Balance
78	15	200,000	12/15/16	140,254	40
			12/15/17	42,109	
			12/15/17	7,732	
			12/15/17	65	
			12/10/18	9,800	
48	16	200,000	12/10/18	74,670	8,652
			12/10/19	65,214	
			12/10/19	12,878	
			04/30/20	38,586	
60	17	200,000	12/10/19	32,702	92,629
			12/10/19	6,458	
			10/28/20	26,875	
			10/28/20	3,766	
			10/28/20	1,038	
			10/28/20	(1,038)	
			12/01/21	37,571	
134	18	200,000			200,000
Total		800,000		498,679	301,321

CAPITAL PROJECT FACT SHEET

Project ID:* BIT29	<input type="checkbox"/> CBA	Fact Sheet Date:* 01-03-2023
Fact Sheet Year:* 2023	Project Title:* DATA CENTER UPGRADE	Legislative District ID:
Category* BUILDINGS, LAND & MISCELLANEOUS	Department:* INFORMATION TECHNOLOGY	CP Unique ID: 2057

Overall Project Description

This funding request is to upgrade 2 primary Data Centers which utilize utility power, generators, uninterruptible power supplies, power distribution units, HVAC servers, network, and power management systems.

- | | | |
|--|--|---|
| <input type="checkbox"/> Best Management Practices | <input type="checkbox"/> Energy Efficiencies | <input type="checkbox"/> Infrastructure |
| <input type="checkbox"/> Life Safety | <input type="checkbox"/> Project Labor Agreement | <input type="checkbox"/> Revenue |
| <input type="checkbox"/> Security | <input type="checkbox"/> Other | |

FIVE-YEAR CAPITAL PROGRAM (in thousands)

	Estimated Ultimate Total Cost	Appropriated	2023	2024	2025	2026	2027	Under Review
Gross	600	500	100	0	0	0	0	0
Less Non-County Shares	0	0	0	0	0	0	0	0
Net	600	500	100	0	0	0	0	0

Expended/Obligated Amount (in thousands) as of : 459

Current Bond Description: The additional funding will be used to buy additional equipment, UPS/batteries and services as needed at both the TMC and MOB Data Centers to ensure there is adequate power and to minimize downtime to the County and to ensure interoperability.

Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	100,000
Cash:	0
Total:	\$ 100,000

SEQR Classification:

TYPE II

Amount Requested:

100,000

Comments:

Energy Efficiencies:

Appropriation History:

Year	Amount	Description
2013	500,000	UPGRADE OF DATA CENTERS

Total Appropriation History:

500,000

Financing History:

Year	Bond Act #	Amount	Issued	Description
13	139	500,000	356,980	DATA CENTER UPGRADES - FOR COUNTY'S TWO PRIMARY DATA CENTERS

Total Financing History:
500,000

Recommended By:

Department of Planning
WBB4

Date
09-23-2022

Department of Public Works
RJB4

Date
09-23-2022

Budget Department
LMY1

Date
09-23-2022

Requesting Department
DDMK

Date
09-23-2022

DATA CENTER UPGRADE (BIT29)

User Department : Information Technology

Managing Department(s) : Information Technology ;

Estimated Completion Date: TBD

Planning Board Recommendation: Project without physical planning aspects of concern to the Westchester County Planning Board.

FIVE YEAR CAPITAL PROGRAM (in thousands)

	Est Ult Cost	Appropriated	Exp / Obl	2023	2024	2025	2026	2027	Under Review
Gross	600	500	459	100					
Non County Share									
Total	600	500	459	100					

Project Description

This funding request is to upgrade 2 primary Data Centers which utilize utility power, generators, uninterruptible power supplies, power distribution units, HVAC servers, network, and power management systems.

Current Year Description

The current year request funds the continued upgrade of the facilities.

Current Year Financing Plan

Year	Bonds	Cash	Non County Shares	Total
2023	100,000			100,000

Impact on Operating Budget

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

Appropriation History

Year	Amount	Description	Status
2013	500,000	Upgrade of data centers	IN PROGRESS
Total	500,000		

Prior Appropriations

	Appropriated	Collected	Uncollected
Bond Proceeds	500,000	356,980	143,020
Total	500,000	356,980	143,020

Bonds Authorized

Bond Act	Amount	Date Sold	Amount Sold	Balance
139 13	500,000	12/15/16	69,994	143,020
		12/15/17	165,292	
		12/15/17	30,349	
		12/15/17	256	
		12/10/18	32,123	
		12/01/21	58,964	
Total	500,000		356,980	143,020

CAPITAL PROJECT FACT SHEET

Project ID:* BIT45	<input type="checkbox"/> CBA	Fact Sheet Date:* 01-03-2023
Fact Sheet Year:* 2023	Project Title:* BUILDING ACCESS CONTROL AND VIDEO SURVEILLANCE SYSTEMS UPGRADE	Legislative District ID:
Category* BUILDINGS, LAND & MISCELLANEOUS	Department:* INFORMATION TECHNOLOGY	CP Unique ID: 2049

Overall Project Description

This project will fund the replacement and upgrade of Building Access Control and Video surveillance systems which include software, hardware, network infrastructure, training and implementation services. These systems will help to manage building access and monitor surveillance at various County Office Buildings.

- | | | |
|--|--|---|
| <input type="checkbox"/> Best Management Practices | <input type="checkbox"/> Energy Efficiencies | <input type="checkbox"/> Infrastructure |
| <input type="checkbox"/> Life Safety | <input type="checkbox"/> Project Labor Agreement | <input type="checkbox"/> Revenue |
| <input type="checkbox"/> Security | <input type="checkbox"/> Other | |

FIVE-YEAR CAPITAL PROGRAM (in thousands)

	Estimated Ultimate Total Cost	Appropriated	2023	2024	2025	2026	2027	Under Review
Gross	5,350	4,000	1,350	0	0	0	0	0
Less Non-County Shares	0	0	0	0	0	0	0	0
Net	5,350	4,000	1,350	0	0	0	0	0

Expended/Obligated Amount (in thousands) as of: 1,619

Current Bond Description: The funds will be used to purchase Building access control and surveillance equipment, software and implementing services for the upgrade of the access control and surveillance systems at various County Office Buildings. The equipment will include but not limited to IP cameras, NVR's, iStars, POE switches, other network infrastructures.

Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	1,350,000
Cash:	0
Total:	\$ 1,350,000

SEQR Classification:
TYPE II

Amount Requested:
1,350,000

Comments:

Energy Efficiencies:

Appropriation History:

Year	Amount	Description
2019	1,000,000	FUNDS THE REPLACEMENT/UPGRADE
2020	1,000,000	CONTINUATION OF THIS PROJECT
2021	1,000,000	CONTINUATION OF THIS PROJECT
2022	1,000,000	CONTINUATION OF THIS PROJECT

Total Appropriation History:
4,000,000

Financing History:

Year	Bond Act #	Amount	Issued	Description
19	90	1,000,000	502,986	PURCHASE OF EQUIPMENT AND OTHER SERVICES FOR UPGRADE OF SURVEILLANCE SYSTEMS AT WC OFFICE BUILDINGS
21	207	2,000,000	0	BUILDING ACCESS CONTROL AND VIDEO SURVEILLANCE SYSTEMS UPGRADE
21	39	1,000,000	0	PURCHASE OF EQUIPMENT AND OTHER SERVICES FOR UPGRADE OF SURVEILLANCE SYSTEMS AT WC OFFICE BUILDINGS

Total Financing History:

4,000,000

Recommended By:**Department of Planning**

WBB4

Date

09-22-2022

Department of Public Works

RJB4

Date

09-23-2022

Budget Department

LMY1

Date

09-23-2022

Requesting Department

DDMK

Date

09-23-2022

BUILDING ACCESS CONTROL AND VIDEO SURVEILLANCE SYSTEMS UPGRADE (BIT45)

User Department : Information Technology

Managing Department(s) : Information Technology ;

Estimated Completion Date: TBD

Planning Board Recommendation: Project without physical planning aspects of concern to the Westchester County Planning Board.

FIVE YEAR CAPITAL PROGRAM (in thousands)

	Est Ult Cost	Appropriated	Exp / Obl	2023	2024	2025	2026	2027	Under Review
Gross	5,350	4,000	1,619	1,350					
Non County Share			11						
Total	5,350	4,000	1,630	1,350					

Project Description

This project will fund the replacement and upgrade of Building Access Control and Video surveillance systems which include software, hardware, network infrastructure, training and implementation services. These systems will help to manage building access and monitor surveillance at various County Office Buildings.

Current Year Description

The current year request funds the replacement of iStars and RFID Proximity Card Readers in County office buildings.

Current Year Financing Plan

Year	Bonds	Cash	Non County Shares	Total
2023	1,350,000			1,350,000

Impact on Operating Budget

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

Appropriation History

Year	Amount	Description	Status
2019	1,000,000	Funds the replacement/upgrade	COMPLETE
2020	1,000,000	Continuation of this project	IN PROGRESS
2021	1,000,000	Continuation of this project	AWAITING BOND AUTHORIZATION
2022	1,000,000	Continuation of this project	AWAITING BOND AUTHORIZATION
Total	4,000,000		

Prior Appropriations

	Appropriated	Collected	Uncollected
Bond Proceeds	4,000,000	502,986	3,497,014
Others		(10,661)	10,661
Total	4,000,000	492,325	3,507,675

BUILDING ACCESS CONTROL AND VIDEO SURVEILLANCE SYSTEMS UPGRADE (BIT45)

Bonds Authorized

Bond Act		Amount	Date Sold	Amount Sold	Balance
90	19	1,000,000	12/10/19	101,046	497,014
			12/10/19	19,954	
			04/30/20	56,753	
			10/28/20	275,913	
			10/28/20	38,659	
			10/28/20	10,661	
39	21	1,000,000			1,000,000
207	21	2,000,000			2,000,000
Total		4,000,000		502,986	3,497,014

CAPITAL PROJECT FACT SHEET

Project ID:* BIT47	<input type="checkbox"/> CBA	Fact Sheet Date:* 01-03-2023
Fact Sheet Year:* 2023	Project Title:* NETWORK AND SECURITY INFRASTRUCTURE UPGRADES 2020- 2024	Legislative District ID:
Category* BUILDINGS, LAND & MISCELLANEOUS	Department:* INFORMATION TECHNOLOGY	CP Unique ID: 2050

Overall Project Description

This project will fund the continuation of Network and Security Infrastructure Upgrades with the replacement of obsolete hardware, software and related services.

- | | | |
|--|--|---|
| <input type="checkbox"/> Best Management Practices | <input type="checkbox"/> Energy Efficiencies | <input type="checkbox"/> Infrastructure |
| <input type="checkbox"/> Life Safety | <input type="checkbox"/> Project Labor Agreement | <input type="checkbox"/> Revenue |
| <input type="checkbox"/> Security | <input type="checkbox"/> Other | |

FIVE-YEAR CAPITAL PROGRAM (in thousands)

	Estimated Ultimate Total Cost	Appropriated	2023	2024	2025	2026	2027	Under Review
Gross	6,450	2,200	3,350	900	0	0	0	0
Less Non-County Shares	0	0	0	0	0	0	0	0
Net	6,450	2,200	3,350	900	0	0	0	0

Expended/Obligated Amount (in thousands) as of : 1,906

Current Bond Description: The project will fund the continued upgrade of our MOB Datacenter Hardware and Software. Replacement of Network Switches at: Yonkers I-Park, Cyber Security Software Upgrades, Yonkers Water Treatment Plant Facility. Also, the Cisco UCS Data Center Platform to combine enhanced software-defined storage and data services software (unified computing system) that integrates computing, virtualization, networking, storage, cybersecurity resources to increase efficiency and enable centralized management for the delivery of IT Services to all of our County Departments and stake holders from our MOB and TMC Datacenters.

Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	3,350,000
Cash:	0
Total:	\$ 3,350,000

SEQR Classification:

TYPE II

Amount Requested:

3,350,000

Comments:

Energy Efficiencies:

Appropriation History:

Year	Amount	Description
2020	650,000	UPGRADE OF THE NETWORK & CYBERSECURITY PLATFORMS
2021	750,000	CONTINUATION OF THIS PROJECT
2022	800,000	CONTINUATION OF THIS PROJECT

Total Appropriation History:

2,200,000

Financing History:

Year	Bond Act #	Amount	Issued	Description
20	109	650,000	630,076	COST OF NETWORK & SECURITY INFRASTRUCTURE UPGRADES IN VARIOUS COUNTY DEPARTMENT'S LOCATIONS
21	207	800,000	0	COST OF NETWORK & SECURITY INFRASTRUCTURE UPGRADES IN VARIOUS COUNTY DEPARTMENT'S LOCATIONS
21	39	750,000	395,738	SECURITY INFRASTRUCTURE UPGRADES IN VARIOUS COUNTY DEPARTMENT'S MOB DATA CENTER

Total Financing History:

2,200,000

Recommended By:

Department of Planning
WBB4

Date
09-27-2022

Department of Public Works
RJB4

Date
09-27-2022

Budget Department
LMY1

Date
09-27-2022

Requesting Department
DDMK

Date
09-27-2022

NETWORK AND SECURITY INFRASTRUCTURE UPGRADES 2020-2024 (BIT47)

User Department : Information Technology

Managing Department(s) : Information Technology ;

Estimated Completion Date: TBD

Planning Board Recommendation: Project without physical planning aspects of concern to the Westchester County Planning Board.

FIVE YEAR CAPITAL PROGRAM (in thousands)

	Est Ult Cost	Appropriated	Exp / Obl	2023	2024	2025	2026	2027	Under Review
Gross	6,450	2,200	1,967	3,350	900				
Non County Share									
Total	6,450	2,200	1,967	3,350	900				

Project Description

This project will fund the continuation of Network and Security Infrastructure Upgrades with the replacement of obsolete hardware, software and related services.

Current Year Description

The current year request funds the continuation of this project.

Current Year Financing Plan

Year	Bonds	Cash	Non County Shares	Total
2023	3,350,000			3,350,000

Impact on Operating Budget

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

Appropriation History

Year	Amount	Description	Status
2020	650,000	Upgrade of the Network & Cybersecurity platforms	COMPLETE
2021	750,000	Continuation of this project	COMPLETE
2022	800,000	Continuation of this project	IN PROGRESS
Total	2,200,000		

Prior Appropriations

	Appropriated	Collected	Uncollected
Bond Proceeds	2,200,000	1,025,814	1,174,186
Total	2,200,000	1,025,814	1,174,186

Bonds Authorized

Bond Act	Amount	Date Sold	Amount Sold	Balance
109 20	650,000	12/01/21	630,076	19,924
39 21	750,000	12/01/21	395,738	354,262
207 21	800,000			800,000
Total	2,200,000		1,025,814	1,174,186

CAPITAL PROJECT FACT SHEET

Project ID:* BIT53	<input type="checkbox"/> CBA	Fact Sheet Date:* 01-03-2023
Fact Sheet Year:* 2023	Project Title:* BUSINESS CONTINUITY AND DISASTER RECOVERY	Legislative District ID:
Category* BUILDINGS, LAND & MISCELLANEOUS	Department:* INFORMATION TECHNOLOGY	CP Unique ID: 2061

Overall Project Description

This project will fund the procurement of equipment required to provide real time business continuity and timely disaster recovery. To achieve these objectives we need to significantly increase the speed and capacity of data storage, reduce single point of failure exposures, employ a multiply redundant system architecture, integrate the distributed back up of key data, and the geographically separate location of redundant key systems.

- | | | |
|--|--|---|
| <input type="checkbox"/> Best Management Practices | <input type="checkbox"/> Energy Efficiencies | <input type="checkbox"/> Infrastructure |
| <input type="checkbox"/> Life Safety | <input type="checkbox"/> Project Labor Agreement | <input type="checkbox"/> Revenue |
| <input type="checkbox"/> Security | <input type="checkbox"/> Other | |

FIVE-YEAR CAPITAL PROGRAM (in thousands)

	Estimated Ultimate Total Cost	Appropriated	2023	2024	2025	2026	2027	Under Review
Gross	1,250	500	250	250	250	0	0	0
Less Non-County Shares	0	0	0	0	0	0	0	0
Net	1,250	500	250	250	250	0	0	0

Expended/Obligated Amount (in thousands) as of : 3

Current Bond Description: The current year request funds the of purchasing corresponding software, additional hardware and associated services needed to increase the speed and capacity of data storage, while creating a strategically located and redundant backup system.

Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	250,000
Cash:	0
Total:	\$ 250,000

SEQR Classification:
 TYPE II

Amount Requested:
 250,000

Comments:

Energy Efficiencies:

Appropriation History:

Year	Amount	Description
2021	250,000	FUNDS THIS PROJECT
2022	250,000	CONTINUATION OF THIS PROJECT

Total Appropriation History:
 500,000

Financing History:

Year	Bond Act #	Amount	Issued	Description
21	207	500,000		0 BUSINESS CONTINUITY AND DISASTER RECOVERY

Total Financing History:

500,000

Recommended By:**Department of Planning**

WBB4

Date

09-23-2022

Department of Public Works

RJB4

Date

09-23-2022

Budget Department

LMY1

Date

09-23-2022

Requesting Department

DDMK

Date

09-23-2022

BUSINESS CONTINUITY AND DISASTER RECOVERY (BIT53)

User Department : Information Technology

Managing Department(s) : Information Technology ;

Estimated Completion Date: TBD

Planning Board Recommendation: Project without physical planning aspects of concern to the Westchester County Planning Board.

FIVE YEAR CAPITAL PROGRAM (in thousands)

	Est Ult Cost	Appropriated	Exp / Obl	2023	2024	2025	2026	2027	Under Review
Gross	1,250	500	3	250	250	250			
Non County Share									
Total	1,250	500	3	250	250	250			

Project Description

This project will fund the procurement of equipment required to provide real time business continuity and timely disaster recovery. To achieve these objectives we need to significantly increase the speed and capacity of data storage, reduce single point of failure exposures, employ a multiply redundant system architecture, integrate the distributed back up of key data, and the geographically separate location of redundant key systems.

Current Year Description

The current year request funds the continuation of this project.

Current Year Financing Plan

Year	Bonds	Cash	Non County Shares	Total
2023	250,000			250,000

Impact on Operating Budget

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

Appropriation History

Year	Amount	Description	Status
2021	250,000	Funds this project	IN PROGRESS
2022	250,000	Continuation of this project	IN PROGRESS
Total	500,000		

Prior Appropriations

	Appropriated	Collected	Uncollected
Bond Proceeds	500,000		500,000
Total	500,000		500,000

Bonds Authorized

Bond Act	Amount	Date Sold	Amount Sold	Balance
207 21	500,000			500,000
Total	500,000			500,000

CAPITAL PROJECT FACT SHEET

Project ID:* BIT54	<input type="checkbox"/> CBA	Fact Sheet Date:* 01-03-2023
Fact Sheet Year:* 2023	Project Title:* CRITICAL COMMUNICATION LOGGING SYSTEM REPLACEMENT 2021-2025	Legislative District ID:
Category* BUILDINGS, LAND & MISCELLANEOUS	Department:* INFORMATION TECHNOLOGY	CP Unique ID: 2063

Overall Project Description

This project will fund the procurement of equipment, servers, data storage, software and services required to replace the existing "Eventide" and "Calabrio Systems with a solution technologically able to meet and exceed the County's Critical Communication Logging Systems needs.

- | | | |
|--|--|---|
| <input type="checkbox"/> Best Management Practices | <input type="checkbox"/> Energy Efficiencies | <input type="checkbox"/> Infrastructure |
| <input type="checkbox"/> Life Safety | <input type="checkbox"/> Project Labor Agreement | <input type="checkbox"/> Revenue |
| <input type="checkbox"/> Security | <input type="checkbox"/> Other | |

FIVE-YEAR CAPITAL PROGRAM (in thousands)

	Estimated Ultimate Total Cost	Appropriated	2023	2024	2025	2026	2027	Under Review
Gross	5,500	500	1,750	1,750	1,500	0	0	0
Less Non-County Shares	0	0	0	0	0	0	0	0
Net	5,500	500	1,750	1,750	1,500	0	0	0

Expended/Obligated Amount (in thousands) as of : 250

Current Bond Description: These funds will be used to purchase equipment, servers, data storage, software and services required to replace the existing "Eventide" and "VPI" Systems

Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	750,000
Cash:	0
Total:	\$ 750,000

SEQR Classification:

TYPE II

Amount Requested:

750,000

Comments:

Energy Efficiencies:

Appropriation History:

Year	Amount	Description
2021	250,000	FUNDS THIS PROJECT
2022	250,000	CONTINUATION OF THIS PROJECT

Total Appropriation History:

500,000

Financing History:

Year	Bond Act #	Amount	Issued	Description
21	207	250,000		0 REPLACE EXISITING EVENTIDE AND CALABRIO SYSTEMS- PURCHASES EQUIP AND RELATED
21	39	250,000		0 REPLACE EXISITING EVENTIDE AND CALABRIO SYSTEMS- PURCHASES EQUIP AND RELATED

Total Financing History:

500,000

Recommended By:**Department of Planning**

WBB4

Date

09-22-2022

Department of Public Works

RJB4

Date

09-23-2022

Budget Department

LMY1

Date

09-23-2022

Requesting Department

DDMK

Date

09-23-2022

CRITICAL COMMUNICATION LOGGING SYSTEM REPLACEMENT 2021-2025 (BIT54)

User Department : Information Technology

Managing Department(s) : Information Technology ;

Estimated Completion Date: TBD

Planning Board Recommendation: Project without physical planning aspects of concern to the Westchester County Planning Board.

FIVE YEAR CAPITAL PROGRAM (in thousands)

	Est Ult Cost	Appropriated	Exp / Obl	2023	2024	2025	2026	2027	Under Review
Gross	5,500	500	250	1,750	1,750	1,500			
Non County Share									
Total	5,500	500	250	1,750	1,750	1,500			

Project Description

This project will fund the procurement of equipment, servers, data storage, software and services required to replace the existing "Eventide" and "Calabrio Systems with a solution technologically able to meet and exceed the County's Critical Communication Logging Systems needs.

Current Year Description

The current year request funds the continuation of this project.

Current Year Financing Plan

Year	Bonds	Cash	Non County Shares	Total
2023	1,750,000			1,750,000

Impact on Operating Budget

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

Appropriation History

Year	Amount	Description	Status
2021	250,000	Funds this project	IN PROGRESS
2022	250,000	Continuation of this project	AWAITING BOND AUTHORIZATION
Total	500,000		

Prior Appropriations

	Appropriated	Collected	Uncollected
Bond Proceeds	500,000		500,000
Total	500,000		500,000

Bonds Authorized

Bond Act	Amount	Date Sold	Amount Sold	Balance
39 21	250,000			250,000
207 21	250,000			250,000
Total	500,000			500,000

**HONORABLE BOARD OF LEGISLATORS
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of an amended bond act (“Amended Bond Act”) in the total amount of \$32,500,000 which includes \$25,500,000 in previously authorized bonds of the County of Westchester (“County”) to finance Capital Project SBB07 – Blind Brook Waste Water Treatment Plant – Process Equipment Improvements (“SBB07”). The Amended Bond Act, which was prepared by the law firm Norton Rose Fulbright, is required to finance the cost of design, construction management and construction for Phase I of the rehabilitation, upgrading and/or replacement of various items of equipment and systems at the Blind Brook Wastewater Treatment Plant for the benefit of the Blind Brook Sanitary Sewer District, including but not limited to rehabilitation and upgrades to the screenings, grit, primary scum pit, plant effluent, primary sludge, and emergency power systems, and including incidental expenses.

The Department of Environmental Facilities (“Department”) has advised that this request will provide additional construction funding for Phase I of SBB07. The additional construction cost can be attributed to significant escalation and a reprioritization of the sequence of work to include more scope in the first phase of the project. This is necessary due to the criticality and condition of the equipment being replaced.

Design is currently underway and is expected to be completed by the first quarter of 2023. It is estimated that construction is estimated to take twenty-four months to complete and will begin after award and execution of the construction contracts. The design work is being undertaken by consultants.

It should be noted that your Honorable Board has previously authorized the County to issue bonds to finance SBB07 as indicated in the annexed fact sheet and follows: Bond Act No. 131-2021 in the amount of \$25,500,000 which was authorized to finance design, construction management and construction in connection with Phase I of SBB07. These bonds have not been sold. Accordingly, it is now requested that Bond Act No. 131-2021 be amended to increase the total amount authorized by \$7,000,000 for a total authorized amount, as amended, of \$32,500,000.

The Planning Department has advised your Committee that based on its review, SBB07 has been classified as a Type "II" action pursuant to the State Environmental Quality Review Act ("SEQR") and its implementing regulations, 6 NYCRR Part 617. Therefore, no further environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this conclusion.

It should be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to adopt the Amended Bond Act. Your Committee recommends the adoption of the proposed Amended Bond Act.

Dated: January 17th, 2023.
White Plains, New York

Robert F. Li
Stacy
Manuel Barr
Henry J. Beller - John
John
Heidi
Calvin Park
COMMITTEE ON

C:MG/10-20-22

Stacy
Manuel Barr
John
Calvin Park

Budget & Appropriations

Public Works & Transportation

FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: SBB07

☐ NO FISCAL IMPACT PROJECTED

SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☐ GENERAL FUND

☐ AIRPORT FUND

☒ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☒ Current Appropriations

☐ Capital Budget Amendment

SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 7,000,000 PPU 30 Anticipated Interest Rate 4.14%

Anticipated Annual Cost (Principal and Interest): \$ 384,438

Total Debt Service (Annual Cost x Term): \$ 11,533,140

Finance Department: Interest rates from November 4, 2022 Bond Buyer - ASBA

SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations
(describe in detail for current and next four years):

SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded: 76

SECTION E - EXPECTED DESIGN WORK PROVIDER

☐ County Staff

☒ Consultant

☐ Not Applicable

Prepared by: Joe Brown

Title: Capital Program Coordinator

Department: DEF


Date: 11/7/22

Reviewed By: 

Budget Director

Date: 11/7/22

TO: Michelle Greenbaum, Senior Assistant County Attorney
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM 
Assistant Commissioner

DATE: October 4, 2022

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:
SBB07 BLIND BROOK WASTE WATER TREATMENT PLANT –
PROCESS EQUIPMENT IMPROVEMENTS**

PROJECT/ACTION: Per Capital Project Fact Sheet as approved by the Planning Department on
09-20-2022 (Unique ID: 2083)

With respect to the State Environmental Quality Review Act and its implementing regulations, 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(2):** replacement, rehabilitation or reconstruction of a structure or facility, in kind, on the same site, including upgrading buildings to meet building, energy, or fire codes unless such action meets or exceeds any of the thresholds in section 617.4 of this Part;
- **617.5(c)(27):** conducting concurrent environmental, engineering, economic, feasibility and other studies and preliminary planning and budgetary processes necessary to the formulation of a proposal for action, provided those activities do not commit the agency to commence, engage in or approve such action.

COMMENTS: None.

DSK/cnm

cc: Andrew Ferris, Chief of Staff
Paula Friedman, Assistant to the County Executive
Lawrence Soule, Budget Director
Tami Altschiller, Assistant Chief Deputy County Attorney
Lorraine Marzola, Associate Budget Director
C.J. Gelardo, Associate Engineer, Department of Environmental Facilities
Joseph Brown, Capital Program Coordinator, Department of Environmental Facilities
Kelly Sheehan, Assistant Commissioner
William Brady, Chief Planner
Michael Lipkin, Associate Planner
Claudia Maxwell, Associate Environmental Planner

ACT NO. _____ - 2023

BOND ACT DATED _____, 2023.

A BOND ACT OF THE COUNTY OF WESTCHESTER, NEW YORK, AMENDING BOND ACT NO. 131-2021, BOND ACT NO. 103-2020 AND BOND ACT NO. 115-2015 WHICH PROVIDED FOR THE ISSUANCE OF \$25,500,000 BONDS OF THE COUNTY OF WESTCHESTER, NEW YORK, TO PAY DESIGN, CONSTRUCTION MANAGEMENT AND CONSTRUCTION COSTS FOR PHASE I OF THE REHABILITATION, UPGRADING AND/OR REPLACEMENT OF VARIOUS ITEMS OF EQUIPMENT AND SYSTEMS AT THE BLIND BROOK WASTEWATER TREATMENT PLANT FOR THE BENEFIT OF THE BLIND BROOK SANITARY SEWER DISTRICT, IN AND FOR SAID COUNTY, TO INCREASE THE ESTIMATED MAXIMUM COST AND THE AMOUNT OF BONDS AUTHORIZED TO \$32,500,000, AN INCREASE OF \$7,000,000.

WHEREAS, this Board has previously authorized the issuance of \$25,500,000 bonds to finance design, construction management and construction costs for Phase I of the rehabilitation, upgrading and/or replacement of various items of equipment and systems at the Blind Brook Wastewater Treatment Plant for the benefit of the Blind Brook Sanitary Sewer District, a class of objects or purposes, pursuant to Bond Act No. 131-2021, dated August 2, 2021;

WHEREAS, it has now been determined that the estimated maximum cost of the aforesaid class of objects or purposes is \$32,500,000, an increase of \$7,000,000, and it is now desired to increase the amount of bonds authorized to \$32,500,000;

WHEREAS, \$32,500,000 has been appropriated in the Capital Budget of the County for the aforesaid class of objects or purposes;

WHEREAS, the cost of said class of objects or purposes shall be specially assessed against properties in the County's Blind Brook Sanitary Sewer District, which are specially benefitted by said class of objects or purposes; and

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER, NEW YORK (the "County") (by the affirmative vote of not less than two-thirds of the voting strength of said Board), as follows:

Section (A): The bond act duly adopted by this Board entitled:

ACT NO. 131-2021.

A BOND ACT AUTHORIZING THE ISSUANCE OF \$25,500,000 BONDS TO PAY THE DESIGN, CONSTRUCTION MANAGEMENT AND CONSTRUCTION COSTS FOR THE REHABILITATION, UPGRADING AND/OR REPLACEMENT OF VARIOUS ITEMS OF EQUIPMENT AND SYSTEMS AT THE BLIND BROOK WASTEWATER TREATMENT PLANT, FOR THE BENEFIT OF THE BLIND BROOK SANITARY SEWER DISTRICT.

is hereby amended to read as follows:

A BOND ACT AUTHORIZING THE ISSUANCE OF \$32,500,000 BONDS TO PAY THE DESIGN, CONSTRUCTION MANAGEMENT AND CONSTRUCTION COSTS FOR PHASE I OF THE REHABILITATION, UPGRADING AND/OR REPLACEMENT OF VARIOUS ITEMS OF EQUIPMENT AND SYSTEMS AT THE BLIND BROOK WASTEWATER TREATMENT PLANT, FOR THE BENEFIT OF THE BLIND BROOK SANITARY SEWER DISTRICT.

WHEREAS, the capital project hereinafter described has been duly approved in the adopted capital budget for the current fiscal year; and

WHEREAS, the plan for the financing of the estimated maximum cost of such capital project, as hereinafter set forth in this Bond Act, is in conformity with such capital budget; and

WHEREAS, all other conditions precedent to the financing of the capital project hereinafter described, including compliance with the provisions of the State Environmental Quality Review Act, have been performed; and

WHEREAS, it is now desired to authorize the financing of such capital project; NOW, THEREFORE,

BE IT ENACTED, by the Board of Legislators of the County, by the affirmative vote of not less than two thirds of the entire voting strength thereof, as follows:

Section 1. For paying design, construction management and construction costs for Phase I of the rehabilitation, upgrading and/or replacement of various items of equipment and systems at the Blind Brook Wastewater Treatment Plant for the benefit of the Blind Brook Sanitary Sewer District, including but not limited to rehabilitation and upgrades to the screenings, grit, primary scum pit, plant effluent, primary sludge, and emergency power systems, and including incidental expenses in connection therewith, a class of objects or purposes, there are hereby authorized to be

issued \$32,500,000 bonds of said County pursuant to the provisions of the Local Finance Law. To the extent that the details of the aforesaid class of objects or purposes set forth in this Bond Act are inconsistent with any details set forth in the current Capital Budget of the County, such Budget shall be deemed and is hereby amended to the extent inconsistent herewith.

Section 2. It is hereby determined that the estimated maximum cost of the aforesaid class of objects or purposes is \$32,500,000, and that the plan for the financing thereof is by the issuance of the \$32,500,000 bonds of said County authorized to be issued pursuant to this Bond Act.

Section 3. It is hereby determined that the period of probable usefulness of the aforesaid class of objects or purposes is thirty years, pursuant to subdivision four of paragraph a of Section 11.00 of the Local Finance Law.

Section 4. Subject to the provisions of the Local Finance Law, the power to authorize the issuance of and to sell bond anticipation notes in anticipation of the issuance and sale of the bonds herein authorized, including renewals of such notes, is hereby delegated to the Commissioner of Finance, the chief fiscal officer. Such notes shall be of such terms, form and contents, and shall be sold in such manner, as may be prescribed by said Commissioner of Finance, consistent with the provisions of the Local Finance Law.

Section 5. The faith and credit of the County are hereby irrevocably pledged for the payment of the principal of and interest on such obligations as the same respectively become due and payable. An annual appropriation shall be made in each year sufficient to pay the principal of and interest on such obligations becoming due and payable in such year. To the extent not paid from the assessment of properties assessable for this purpose in the County's Blind Brook Sanitary Sewer District, or other sources, there shall annually be levied on all the taxable real property of said County a tax sufficient to pay the principal of and interest on such obligations as the same become due and payable.

Section 6. Such bonds shall be in fully registered form and shall be signed in the name of the County, by the manual or facsimile signature of the Commissioner of Finance and a facsimile of the corporate seal shall be imprinted or impressed thereon and may be attested by the manual or facsimile signature of the County Clerk.

Section 7. The powers and duties of advertising such bonds for sale, conducting the sale and awarding the bonds, are hereby delegated to the Commissioner of Finance, who shall advertise such bonds for sale, conduct the sale, and award the bonds in such manner as said Commissioner of Finance shall deem best for the interests of the County; including, but not limited to, the power to sell said bonds to the New York State Environmental Facilities Corporation; provided, however, that in the exercise of these delegated powers, the Commissioner of Finance shall comply fully with the provisions of the Local Finance Law and any order or rule of the State Comptroller applicable to the sale of municipal bonds. The receipt of the Commissioner of Finance shall be a full acquittance to the purchaser of such bonds, who shall not be obliged to see to the application of the purchase money.

Section 8. The Commissioner of Finance is hereby further delegated the power to authorize the sale and issuance of the bonds authorized pursuant to this Bond Act (a) at a discount in the manner authorized by paragraphs e and f of Section 57.00 of the Local Finance Law, (b) at private sale pursuant to the applicable provisions of the Local Finance Law and any regulations of the New York State Comptroller appertaining thereto, including the private sale of bonds at a premium, (c) as capital appreciation bonds or term bonds at public sale or private sale pursuant to the applicable provisions of the Local Finance Law and any regulations of the New York State Comptroller appertaining thereto, and (d) at a variable rate of interest in the manner authorized by Section 54.90 of the Local Finance Law, including notes issued in anticipation thereof. The Commissioner of Finance is hereby authorized to enter into such agreements as said Commissioner of Finance shall determine reasonable and necessary to facilitate the issuance, sale, resale and, or repurchase of such bonds or notes pursuant to the provisions of Section 54.90 of the Local Finance

Law. Such bonds and, or notes shall be of such terms, form and contents, and shall be sold in such manner, as may be prescribed by said Commissioner of Finance.

Section 9. The power to issue and sell notes to the New York State Environmental Facilities Corporation pursuant to Section 169.00 of the Local Finance Law is hereby delegated to the Commissioner of Finance. Such notes shall be of such terms, form and contents as may be prescribed by said Commissioner of Finance consistent with the provisions of the Local Finance Law.

Section 10. The Commissioner of Finance is hereby further authorized, at the sole discretion of the Commissioner of Finance, to execute a project financing agreement, and any other agreements with the New York State Department of Environmental Conservation and/or the New York State Environmental Facilities Corporation, including amendments thereto, and including any instruments (or amendments thereto) in the effectuation thereof, in order to effect the financing or refinancing of the class of objects or purposes described in Section 1 hereof, or a portion thereof, by a bond, and, or note issue of said County in the event of the sale of same to the New York State Environmental Facilities Corporation.

Section 11. The intent of this Bond Act is to give the Commissioner of Finance sufficient authority to execute those applications, agreements, instruments or to do any similar acts necessary to effect the issuance of the aforesaid serial bonds and, or notes without resorting to further action of this Board of Legislators.

Section 12. All other matters, except as provided herein relating to such bonds, including determining whether to issue such bonds having substantially level or declining annual debt service and all matters related thereto, prescribing whether manual or facsimile signatures shall appear on said bonds, prescribing the method for the recording of ownership of said bonds, appointing the fiscal agent or agents for said bonds, providing for the printing and delivery of said bonds (and if said bonds are to be executed in the name of the County by the facsimile signature of the Commissioner of Finance, providing for the manual countersignature of a fiscal agent or of a designated official of the County), the date, denominations, maturities and interest payment dates, place or places of payment,

and also including the consolidation with other issues, shall be determined by the Commissioner of Finance. It is hereby determined that it is to the financial advantage of the County not to impose and collect from registered owners of such bonds any charges for mailing, shipping and insuring bonds transferred or exchanged by the fiscal agent, and, accordingly, pursuant to paragraph c of Section 70.00 of the Local Finance Law, no such charges shall be so collected by the fiscal agent. Such bonds shall contain substantially the recital of validity clause provided for in Section 52.00 of the Local Finance Law and shall otherwise be in such form and contain such recitals in addition to those required by Section 52.00 of the Local Finance Law, as the Commissioner of Finance shall determine.

Section 13. The validity of such bonds and bond anticipation notes may be contested only if:

(1) Such obligations are authorized for an object or purpose for which said County is not authorized to expend money, or

(2) The provisions of law which should be complied with at the date of publication of this Bond Act are not substantially complied with,

and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or

(3) Such obligations are authorized in violation of the provisions of the Constitution.

Section 14. This Bond Act shall constitute a statement of official intent for purposes of Treasury Regulations Section 1.150 - 2. Other than as specified in this Bond Act, no monies are, or are reasonably expected to be, reserved, allocated on a long-term basis, or otherwise set aside with respect to the permanent funding of the object or purpose described herein.

Section 15. This Bond Act, which shall take effect immediately in accordance with the provisions of Section 33.10 of the Local Finance Law and as provided in Section 107.71 of the Westchester County Charter, shall be published in summary form in the official newspaper of said

County for purposes of this Bond Act, together with a notice of the Clerk of the Board of Legislators in substantially the form provided in Section 81.00 of the Local Finance Law.

Section (B). The amendments of the bond act set forth in Section (A) of this act shall in no way affect the validity of the liabilities incurred, obligations issued, or actions taken pursuant to said bond act, and all such liabilities incurred, obligations issued, or actions taken shall be deemed to have been incurred, issued or taken pursuant to said Bond Act, as so amended.

Section (C). This Bond Act shall take effect immediately upon approval by the County Executive.

The foregoing Bond Act was duly put to a vote which resulted as follows:

AYES: -

NOES:

ABSENT:

The Bond Act was thereupon declared duly adopted.

* * * *

APPROVED BY THE COUNTY EXECUTIVE

Date: _____, 2023

STATE OF NEW YORK)
) ss.:
COUNTY OF WESTCHESTER)

I, the undersigned Clerk and Administrative Officer of the Board of Legislators of the County of Westchester, New York, DO HEREBY CERTIFY:

That I have compared the annexed extract of the minutes of the meeting of the Board of Legislators of said County, including the Bond Act contained therein, held on _____, 2023, with the original thereof on file in my office, and that the same is a true and correct transcript therefrom and of the whole of said original so far as the same relates to the subject matters therein referred to.

I FURTHER CERTIFY that all members of said Board had due notice of said meeting.

I FURTHER CERTIFY that, [please check one below]

_____ (1) pursuant to Section 103 of the Public Officers Law (Open Meetings Law), said meeting was open to the general public, or

_____ (2) said meeting was held remotely by conference call, video conference, or other similar means in accordance with the requirements set forth in Chapter 417 of the Laws of 2021.

I FURTHER CERTIFY that, PRIOR to the time of said meeting, I duly caused a public notice of the time and place of said meeting to be given to the following newspapers and/or other news media as follows:

Newspaper and/or other news media

Date given

I FURTHER CERTIFY that PRIOR to the time of said meeting, I duly caused public notice of the time and place of said meeting to be conspicuously posted in the following designated public location(s) on the following dates:

Designated Location(s) of posted notices

Date of Posting

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said County Board of Legislators on _____, 2023.

Clerk and Administrative Officer of the County Board of
Legislators of the County of Westchester, New York

(CORPORATE
SEAL)

LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on _____, 2023 and approved by the County Executive on _____, 2023 and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk and Administrative Officer of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. _____-2023

A BOND ACT OF THE COUNTY OF WESTCHESTER, NEW YORK, AMENDING BOND ACT NO. 131-2021, BOND ACT NO. 103-2020 AND BOND ACT NO. 115-2015 WHICH PROVIDED FOR THE ISSUANCE OF \$25,500,000 BONDS OF THE COUNTY OF WESTCHESTER, NEW YORK, TO PAY DESIGN, CONSTRUCTION MANAGEMENT AND CONSTRUCTION COSTS FOR PHASE I OF THE REHABILITATION, UPGRADING AND/OR REPLACEMENT OF VARIOUS ITEMS OF EQUIPMENT AND SYSTEMS AT THE BLIND BROOK WASTEWATER TREATMENT PLANT FOR THE BENEFIT OF THE BLIND BROOK SANITARY SEWER DISTRICT, IN AND FOR SAID COUNTY, TO INCREASE THE ESTIMATED MAXIMUM COST AND THE AMOUNT OF BONDS AUTHORIZED TO \$32,500,000, AN INCREASE OF \$7,000,000.

object or purpose:

design, construction management and construction costs for Phase I of the rehabilitation, upgrading and/or replacement of various items of equipment and systems at the Blind Brook Wastewater Treatment Plant for the benefit of the Blind Brook Sanitary Sewer District, including but not limited to rehabilitation and upgrades to the screenings, grit, primary scum pit, plant effluent, primary sludge, and emergency power systems, and including incidental expenses in connection therewith.

period of probable usefulness: thirty (30) years

amount of obligations to be issued: \$32,500,000

Dated: _____, 2023
White Plains, New York

Clerk and Administrative Officer of the County Board of
Legislators of the County of Westchester, New York

CAPITAL PROJECT FACT SHEET

Project ID:*
SBB07

☐ CBA

Fact Sheet Date:*
01-03-2023

Fact Sheet Year:*
2023

Project Title:*
BLIND BROOK WASTE WATER
TREATMENT PLANT - PROCESS
EQUIPMENT IMPROVEMENTS

Legislative District ID:
3, 7, 6, 4,

Category*
SEWER AND WATER DISTRICTS

Department:*
ENVIRONMENTAL FACILITIES

CP Unique ID:
2083

Overall Project Description

This project will provide for the repair, upgrading and replacement of various items of equipment and systems at the Blind Brook Wastewater Treatment Plant. The work will be performed in several phases.

- | | | |
|--|--|--|
| <input type="checkbox"/> Best Management Practices | <input type="checkbox"/> Energy Efficiencies | <input checked="" type="checkbox"/> Infrastructure |
| <input type="checkbox"/> Life Safety | <input type="checkbox"/> Project Labor Agreement | <input type="checkbox"/> Revenue |
| <input type="checkbox"/> Security | <input type="checkbox"/> Other | |

FIVE-YEAR CAPITAL PROGRAM (in thousands)

	Estimated Ultimate Total Cost	Appropriated	2023	2024	2025	2026	2027	Under Review
Gross	83,500	25,500	10,000	35,000	0	13,000	0	0
Less Non-County Shares	0	0	0	0	0	0	0	0
Net	83,500	25,500	10,000	35,000	0	13,000	0	0

Expended/Obligated Amount (in thousands) as of: 6,061

Current Bond Description: This request will provide additional construction funding for Phase I of this project and also design and construction management funding for Phase II. Phase I work will include repairs and upgrades to the screenings, grit, primary scum pit, plant effluent, primary sludge, and emergency power systems. Phase II work will include repairs and upgrades to the plant influent, primary clarifiers, aeration, odor control, and disinfection systems.

Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	10,000,000
Cash:	0
Total:	\$ 10,000,000

SEQR Classification:

TYPE II

Amount Requested:

10,000,000

Comments:

Energy Efficiencies:

Appropriation History:

Year	Amount	Description
2009	500,000	DESIGN
2015	500,000	FUNDS ADDITIONAL DESIGN
2019	16,000,000	CONSTRUCTION AND CONSTRUCTION MANAGEMENT
2020	1,000,000	COST ESCALATION
2021	7,500,000	COST ESCALATION
2023	10,000,000	DESIGN AND CONSTRUCTION MANAGEMENT

Total Appropriation History:

35,500,000

Financing History:

Year	Bond Act #	Amount	Issued	Description
15	115	0	0	BLIND BROOK WWTP - PROCESS EQUIPMENT IMPROVEMENTS
20	103	18,000,000	1,604,382	COST OF PROCESS EQUIPMENT IMPROVEMENTS AT BLIND BROOK WASTE WATER TREATMENT PLANT
21	131	7,500,000	0	BLIND BROOK WWTP - REPACEMENT/REHABILITATION

Total Financing History:

25,500,000

Recommended By:**Department of Planning**

WBB4

Date

09-20-2022

Department of Public Works

RJB4

Date

09-20-2022

Budget Department

LMY1

Date

11-01-2022

Requesting Department

JWBA

Date

11-01-2022

BLIND BROOK WASTE WATER TREATMENT PLANT - PROCESS EQUIPMENT IMPROVEMENTS (SBB07)

User Department : Environmental Facilities

Managing Department(s) : Environmental Facilities ; Public Works ;

Estimated Completion Date: TBD

Planning Board Recommendation: Project approved in concept but subject to subsequent staff review.

FIVE YEAR CAPITAL PROGRAM (in thousands)

	Est Ult Cost	Appropriated	Exp / Obl	2023	2024	2025	2026	2027	Under Review
Gross	83,500	25,500	6,061	10,000	35,000		13,000		
Non County Share									
Total	83,500	25,500	6,061	10,000	35,000		13,000		

Project Description

This project will provide for the repair, upgrading and replacement of various items of equipment and systems at the Blind Brook Wastewater Treatment Plant. Equipment or systems to be replaced or refurbished will include, but not be limited to, HVAC equipment not included in performance maintenance project, primary sludge and sludge transfer pumps including associated piping and valves; primary and secondary clarifier mechanisms; primary clarifier scum pit piping and valves; aeration tank influent and effluent sluice gates and mixers; all aeration blowers and blower piping; piping, valves and controls for caustic and hypochlorite tanks associated with odor control scrubbers; plant and service water system upgrade; replacement of RAS discharge isolation valves; final clarifier drain valves and plant effluent water system including pumps and associated valves; demolition and removal of obsolete boilers and fuel oil storage tanks and installation of security system improvements. The project is broken down into design and construction phases; preliminary design will also include a review of the sludge forcemain to the Port Chester Plant. Further refinement and phasing will be performed after design is undertaken. All equipment upgrades will include integration into SCADA system.

Current Year Description

The current year request funds construction.

Current Year Financing Plan

Year	Bonds	Cash	Non County Shares	Total
2023	10,000,000			10,000,000

Impact on Operating Budget

The impact on the District Budget is the debt service associated with the issuance of bonds.

Appropriation History

Year	Amount	Description	Status
2009	500,000	Design	CONSTRUCTION
2015	500,000	Funds additional design	CONSTRUCTION
2019	16,000,000	Construction and construction management	CONSTRUCTION
2020	1,000,000	Cost escalation	CONSTRUCTION
2021	7,500,000	Cost escalation	CONSTRUCTION
Total	25,500,000		

**BLIND BROOK WASTE WATER TREATMENT PLANT - PROCESS EQUIPMENT
IMPROVEMENTS
(SBB07)**

Prior Appropriations

	Appropriated	Collected	Uncollected
Bond Proceeds	25,500,000	1,604,383	23,895,617
Total	25,500,000	1,604,383	23,895,617

Bonds Authorized

Bond Act	Amount	Date Sold	Amount Sold	Balance
115 15				
103 20	18,000,000	12/01/21	1,604,383	16,395,617
131 21	7,500,000			7,500,000
Total	25,500,000		1,604,383	23,895,617

**HONORABLE BOARD OF LEGISLATORS
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmittal from the County Executive recommending approval by the County of Westchester (“County”) of a bond act (“Bond Act”) in the amount of \$3,000,000 to finance capital project SBB07 – Blind Brook Waste Water Treatment Plant – Process Equipment Improvements (“SBB07”). The Bond Act, which was prepared by the law firm Norton Rose Fulbright, will finance the cost of design and construction management for Phase II of SBB07, including rehabilitation, upgrading and/or replacement of various items of equipment and systems of the Blind Brook Wastewater Treatment Plant in the County’s Blind Brook Sanitary Sewer District, including but not limited to, rehabilitation and upgrades to the plant influent, primary clarifiers, aeration, odor control, and disinfection systems, including incidental expenses.

The Department of Environmental Facilities (“Department”) has advised that this request will provide the design and construction management funding for Phase II of SBB07

Following bonding authorization, design will be scheduled and is anticipated to take twelve months to complete. It is estimated that construction will take twenty-four months to complete and will begin after award and execution of the construction contracts. The design work is being undertaken by consultants.

It should be noted that your Honorable Board has previously authorized the County to issue bonds in connection with SBB07 as indicated in the annexed fact sheet.

The Planning Department has advised your Committee that based on its review, SBB07 has been classified as a Type “II” action pursuant to the State Environmental Quality Review Act (“SEQR”) and its implementing regulations, 6 NYCRR Part 617. Therefore, no further environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this conclusion.

It should be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to adopt the Bond Act. Your Committee recommends the adoption of the proposed Bond Act.

Dated: January 17th, 2023
White Plains, New York

Vedat Farhi
S. Farhi
Nancy E. Baur
Henry Z. Green - Johnson
Z. W.
D. W.
Catherine Pae

htja
Nancy E. Baur
Z. W.
Catherine Pae

c/mg/10-20-22

COMMITTEE ON

Budget & Appropriations

Public Works & Transportation

FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: SBB07

☐ NO FISCAL IMPACT PROJECTED

SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☐ GENERAL FUND

☐ AIRPORT FUND

☒ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☒ Current Appropriations

☐ Capital Budget Amendment

SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 3,000,000 PPU 5 Anticipated Interest Rate 3.38%

Anticipated Annual Cost (Principal and Interest): \$ 659,804

Total Debt Service (Annual Cost x Term): \$ 3,299,020

Finance Department: Interest rates from November 4, 2022 Bond Buyer - ASBA

SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations
(describe in detail for current and next four years):

SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded: 32

SECTION E - EXPECTED DESIGN WORK PROVIDER

☐ County Staff

☒ Consultant

☐ Not Applicable

Prepared by: Joe Brown

Title: Capital Program Coordinator

Department: DEF


Date: 11/7/22

Reviewed By: 11/7/22

Budget Director

Date: 11/7/22

TO: Michelle Greenbaum, Senior Assistant County Attorney
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM 
Assistant Commissioner

DATE: October 4, 2022

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:
SBB07 BLIND BROOK WASTE WATER TREATMENT PLANT –
PROCESS EQUIPMENT IMPROVEMENTS**

PROJECT/ACTION: Per Capital Project Fact Sheet as approved by the Planning Department on
09-20-2022 (Unique ID: 2083)

With respect to the State Environmental Quality Review Act and its implementing regulations, 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(2):** replacement, rehabilitation or reconstruction of a structure or facility, in kind, on the same site, including upgrading buildings to meet building, energy, or fire codes unless such action meets or exceeds any of the thresholds in section 617.4 of this Part;
- **617.5(c)(27):** conducting concurrent environmental, engineering, economic, feasibility and other studies and preliminary planning and budgetary processes necessary to the formulation of a proposal for action, provided those activities do not commit the agency to commence, engage in or approve such action.

COMMENTS: None.

DSK/cnm

cc: Andrew Ferris, Chief of Staff
Paula Friedman, Assistant to the County Executive
Lawrence Soule, Budget Director
Tami Altschiller, Assistant Chief Deputy County Attorney
Lorraine Marzola, Associate Budget Director
C.J. Gelardo, Associate Engineer, Department of Environmental Facilities
Joseph Brown, Capital Program Coordinator, Department of Environmental Facilities
Kelly Sheehan, Assistant Commissioner
William Brady, Chief Planner
Michael Lipkin, Associate Planner
Claudia Maxwell, Associate Environmental Planner

ACT NO. _____ - 2023

BOND ACT DATED _____, 2023.

A BOND ACT AUTHORIZING THE ISSUANCE OF \$3,000,000 BONDS OF THE COUNTY OF WESTCHESTER, NEW YORK, TO PAY THE COSTS OF DESIGN AND CONSTRUCTION MANAGEMENT FOR PHASE II OF THE REHABILITATION, UPGRADING AND/OR REPLACEMENT OF VARIOUS ITEMS OF EQUIPMENT AND SYSTEMS OF THE BLIND BROOK WASTEWATER TREATMENT PLANT IN THE COUNTY'S BLIND BROOK SANITARY SEWER DISTRICT.

WHEREAS, the capital project hereinafter described has been duly approved in the adopted capital budget for the current fiscal year; and

WHEREAS, the plan for the financing of the estimated maximum cost of such capital project, as hereinafter set forth in this Bond Act, is in conformity with such capital budget; and

WHEREAS, all other conditions precedent to the financing of the capital project hereinafter described, including compliance with the provisions of the State Environmental Quality Review Act, to the extent required, have been performed; and

WHEREAS, it is now desired to authorize the financing of the cost of such capital project allocable to the County's Blind Brook Sanitary Sewer District; NOW, THEREFORE,

BE IT ENACTED, by the Board of Legislators of the County of Westchester, New York (the "County"), by the affirmative vote of not less than two thirds of the entire voting strength thereof, as follows:

Section 1. For paying the \$3,000,000 estimated maximum cost of design and construction management for Phase II of the rehabilitation, upgrading and/or replacement of various items of equipment and systems of the Blind Brook Wastewater Treatment Plant in the County's Blind Brook Sanitary Sewer District, including but not limited to, rehabilitation and upgrades to the plant influent, primary clarifiers, aeration, odor control, and disinfection systems, including

incidental expenses in connection therewith, a class of objects or purposes, there are hereby authorized to be issued \$3,000,000 bonds of said County pursuant to the provisions of the Local Finance Law. To the extent that the details of the aforesaid class of objects or purposes set forth in this Bond Act are inconsistent with any details set forth in the 2023 Capital Budget of the County, such Budget shall be deemed and is hereby amended to the extent inconsistent herewith.

Section 2. It is hereby determined that the estimated maximum cost of the aforesaid class of objects or purposes is \$3,000,000, and that the plan for the financing thereof is by the issuance of the \$3,000,000 bonds of said County authorized to be issued pursuant to this Bond Act.

Section 3. It is hereby determined that the period of probable usefulness of the aforesaid class of objects or purposes is five years, pursuant to subdivision sixty-two of paragraph a of Section 11.00 of the Local Finance Law.

Section 4. Subject to the provisions of the Local Finance Law, the power to authorize the issuance of and to sell bond anticipation notes in anticipation of the issuance and sale of the bonds herein authorized, including renewals of such notes, is hereby delegated to the Commissioner of Finance, the chief fiscal officer. Such notes shall be of such terms, form and contents, and shall be sold in such manner, as may be prescribed by said Commissioner of Finance, consistent with the provisions of the Local Finance Law.

Section 5. The faith and credit of the County are hereby irrevocably pledged for the payment of the principal of and interest on such obligations as the same respectively become due and payable. An annual appropriation shall be made in each year sufficient to pay the principal of and interest on such obligations becoming due and payable in such year. To the extent not paid from the assessment of properties assessable for this purpose in the County's Blind Brook Sanitary Sewer District, or other sources, there shall annually be levied on all the taxable real property of said County a tax sufficient to pay the principal of and interest on such obligations as the same become due and payable.

Section 6. Such bonds shall be in fully registered form and shall be signed in the name of the County by the manual or facsimile signature of the Commissioner of Finance and a facsimile of the corporate seal shall be imprinted or impressed thereon and may be attested by the manual or facsimile signature of the County Clerk.

Section 7. The powers and duties of advertising such bonds for sale, conducting the sale and awarding the bonds, are hereby delegated to the Commissioner of Finance, who shall advertise such bonds for sale, conduct the sale, and award the bonds in such manner as said Commissioner of Finance shall deem best for the interests of the County; including, but not limited to, the power to sell said bonds to the New York State Environmental Facilities Corporation; provided, however, that in the exercise of these delegated powers, the Commissioner of Finance shall comply fully with the provisions of the Local Finance Law and any order or rule of the State Comptroller applicable to the sale of municipal bonds. The receipt of the Commissioner of Finance shall be a full acquittance to the purchaser of such bonds, who shall not be obliged to see to the application of the purchase money.

Section 8. The Commissioner of Finance is hereby further delegated the power to authorize the sale and issuance of the bonds authorized pursuant to this Bond Act (a) at a discount in the manner authorized by paragraphs e and f of Section 57.00 of the Local Finance Law, (b) at private sale pursuant to the applicable provisions of the Local Finance Law and any regulations of the New York State Comptroller appertaining thereto, including the private sale of bonds at a premium, (c) as capital appreciation bonds or term bonds at public sale or private sale pursuant to the applicable provisions of the Local Finance Law and any regulations of the New York State Comptroller appertaining thereto, and (d) at a variable rate of interest in the manner authorized by Section 54.90 of the Local Finance Law, including notes issued in anticipation thereof. The Commissioner of Finance is hereby authorized to enter into such agreements as said Commissioner of Finance shall determine reasonable and necessary to facilitate the issuance, sale, resale and, or repurchase of such bonds or notes pursuant to the provisions of Section 54.90 of the Local Finance

Law. Such bonds and, or notes shall be of such terms, form and contents, and shall be sold in such manner, as may be prescribed by said Commissioner of Finance.

Section 9. The power to issue and sell notes to the New York State Environmental Facilities Corporation pursuant to Section 169.00 of the Local Finance Law is hereby delegated to the Commissioner of Finance. Such notes shall be of such terms, form and contents as may be prescribed by said Commissioner of Finance consistent with the provisions of the Local Finance Law.

Section 10. The Commissioner of Finance is hereby further authorized, at the sole discretion of the Commissioner of Finance, to execute a project financing and loan agreement, and any other agreements with the New York State Department of Environmental Conservation and/or the New York State Environmental Facilities Corporation, including amendments thereto, and including any instruments (or amendments thereto) in the effectuation thereof, in order to effect the financing or refinancing of the class of objects or purposes described in Section 1 hereof, or a portion thereof, by a bond, and, or note issue of said County in the event of the sale of same to the New York State Environmental Facilities Corporation.

Section 11. The intent of this Bond Act is to give the Commissioner of Finance sufficient authority to execute those applications, agreements, instruments or to do any similar acts necessary to effect the issuance of the aforesaid serial bonds and, or notes without resorting to further action of this Board of Legislators.

Section 12. All other matters, except as provided herein relating to such bonds, including determining whether to issue such bonds having substantially level or declining annual debt service and all matters related thereto, prescribing whether manual or facsimile signatures shall appear on said bonds, prescribing the method for the recording of ownership of said bonds, appointing the fiscal agent or agents for said bonds, providing for the printing and delivery of said bonds (and if said bonds are to be executed in the name of the County by the facsimile signature of the Commissioner of Finance, providing for the manual countersignature of a fiscal agent or of a designated official of the County), the date, denominations, maturities and interest payment dates, place or places of payment,

and also including the consolidation with other issues, shall be determined by the Commissioner of Finance. It is hereby determined that it is to the financial advantage of the County not to impose and collect from registered owners of such bonds any charges for mailing, shipping and insuring bonds transferred or exchanged by the fiscal agent, and, accordingly, pursuant to paragraph c of Section 70.00 of the Local Finance Law, no such charges shall be so collected by the fiscal agent. Such bonds shall contain substantially the recital of validity clause provided for in Section 52.00 of the Local Finance Law and shall otherwise be in such form and contain such recitals in addition to those required by Section 52.00 of the Local Finance Law, as the Commissioner of Finance shall determine.

Section 13. The validity of such bonds and bond anticipation notes may be contested only if:

- (1) Such obligations are authorized for an object or purpose for which said County is not authorized to expend money, or
- (2) The provisions of law which should be complied with at the date of publication of this Bond Act are not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or
- (3) Such obligations are authorized in violation of the provisions of the Constitution.

Section 14. This Bond Act shall constitute a statement of official intent for purposes of Treasury Regulations Section 1.150 - 2. Other than as specified in this Bond Act, no monies are, or are reasonably expected to be, reserved, allocated on a long-term basis, or otherwise set aside with respect to the permanent funding of the object or purpose described herein.

Section 15. This Bond Act, which shall take effect immediately in accordance with the provisions of Section 33.10 of the Local Finance Law and as provided in Section 107.71 of the Westchester County Charter, shall be published in summary form in the official newspaper of said

County for purposes of this Bond Act, together with a notice of the Clerk of the Board of Legislators in substantially the form provided in Section 81.00 of the Local Finance Law.

The foregoing Bond Act was duly put to a vote which resulted as follows:

AYES:

NOES:

ABSENT:

The Bond Act was thereupon declared duly adopted.

* * * *

APPROVED BY THE COUNTY EXECUTIVE

Date: _____, 2023

STATE OF NEW YORK)
) ss.:
COUNTY OF WESTCHESTER)

I, the undersigned Clerk of the Board of Legislators of the County of Westchester, New York,
DO HEREBY CERTIFY:

That I have compared the annexed extract of the minutes of the meeting of the Board of Legislators of said County, including the Bond Act contained therein, held on _____, 2023, with the original thereof on file in my office, and that the same is a true and correct transcript therefrom and of the whole of said original so far as the same relates to the subject matters therein referred to.

I FURTHER CERTIFY that all members of said Board had due notice of said meeting.

I FURTHER CERTIFY that, pursuant to Section 103 of the Public Officers Law (Open Meetings Law), said meeting was open to the general public.

I FURTHER CERTIFY that, PRIOR to the time of said meeting, I duly caused a public notice of the time and place of said meeting to be given to the following newspapers and/or other news media as follows:

Newspaper and/or Other News Media

Date Given

I FURTHER CERTIFY that PRIOR to the time of said meeting, I duly caused public notice of the time and place of said meeting to be conspicuously posted in the following designated public location(s) on the following dates:

Designated Location(s) of posted notice

Date of Posting

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said County Board of Legislators on _____, 2023.

Clerk and Chief of Staff of the County Board of Legislators
of the County of Westchester, New York

(CORPORATE
SEAL)

LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on _____, 2023 and approved by the County Executive on _____, 2023 and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk and Chief of Staff of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. _____-2023

A BOND ACT AUTHORIZING THE ISSUANCE OF \$3,000,000 BONDS OF THE COUNTY OF WESTCHESTER, NEW YORK, TO PAY THE COSTS OF PHASE II OF DESIGN AND CONSTRUCTION MANAGEMENT FOR THE REHABILITATION AND UPGRADE OF THE BLIND BROOK WASTEWATER TREATMENT PLANT IN THE COUNTY'S BLIND BROOK SANITARY SEWER DISTRICT

class of objects or purposes:	costs of design and construction management for Phase II of the rehabilitation and upgrade of the Blind Brook Wastewater Treatment Plant in the County's Blind Brook Sanitary Sewer District, including but not limited to, rehabilitation and upgrades to the plant influent, primary clarifiers, aeration, odor control, and disinfection systems, including incidental expenses in connection therewith
-------------------------------	--

period of probable usefulness:	five years
--------------------------------	------------

amount of obligations to be issued:	\$3,000,000
-------------------------------------	-------------

Dated: _____, 2023
White Plains, New York

Clerk and Administrative Officer of the County Board of
Legislators of the County of Westchester, New York

CAPITAL PROJECT FACT SHEET

Project ID:*

SBB07

☐ CBA

Fact Sheet Date:*

01-03-2023

Fact Sheet Year:*

2023

Project Title:*

BLIND BROOK WASTE WATER
TREATMENT PLANT - PROCESS
EQUIPMENT IMPROVEMENTS

Legislative District ID:

3, 7, 6, 4,

Category*

SEWER AND WATER DISTRICTS

Department:*

ENVIRONMENTAL FACILITIES

CP Unique ID:

2083

Overall Project Description

This project will provide for the repair, upgrading and replacement of various items of equipment and systems at the Blind Brook Wastewater Treatment Plant. The work will be performed in several phases.

☐ Best Management Practices

☐ Energy Efficiencies

☒ Infrastructure

☐ Life Safety

☐ Project Labor Agreement

☐ Revenue

☐ Security

☐ Other

FIVE-YEAR CAPITAL PROGRAM (in thousands)

	Estimated Ultimate Total Cost	Appropriated	2023	2024	2025	2026	2027	Under Review
Gross	83,500	25,500	10,000	35,000	0	13,000	0	0
Less Non-County Shares	0	0	0	0	0	0	0	0
Net	83,500	25,500	10,000	35,000	0	13,000	0	0

Expended/Obligated Amount (in thousands) as of : 6,061

Current Bond Description: This request will provide additional construction funding for Phase I of this project and also design and construction management funding for Phase II. Phase I work will include repairs and upgrades to the screenings, grit, primary scum pit, plant effluent, primary sludge, and emergency power systems. Phase II work will include repairs and upgrades to the plant influent, primary clarifiers, aeration, odor control, and disinfection systems.

Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	10,000,000
Cash:	0
Total:	\$ 10,000,000

SEQR Classification:

TYPE II

Amount Requested:

10,000,000

Comments:

Energy Efficiencies:

Appropriation History:

Year	Amount	Description
2009	500,000	DESIGN
2015	500,000	FUNDS ADDITIONAL DESIGN
2019	16,000,000	CONSTRUCTION AND CONSTRUCTION MANAGEMENT
2020	1,000,000	COST ESCALATION
2021	7,500,000	COST ESCALATION
2023	10,000,000	DESIGN AND CONSTRUCTION MANAGEMENT

Total Appropriation History:

35,500,000

Financing History:

Year	Bond Act #	Amount	Issued	Description
15	115	0	0	BLIND BROOK WWTP - PROCESS EQUIPMENT IMPROVEMENTS
20	103	18,000,000	1,604,382	COST OF PROCESS EQUIPMENT IMPROVEMENTS AT BLIND BROOK WASTE WATER TREATMENT PLANT
21	131	7,500,000	0	BLIND BROOK WWTP - REPACEMENT/REHABILITATION

Total Financing History:

25,500,000

Recommended By:**Department of Planning**

WBB4

Date

09-20-2022

Department of Public Works

RJB4

Date

09-20-2022

Budget Department

LMY1

Date

11-01-2022

Requesting Department

JWBA

Date

11-01-2022

BLIND BROOK WASTE WATER TREATMENT PLANT - PROCESS EQUIPMENT IMPROVEMENTS (SBB07)

User Department : Environmental Facilities

Managing Department(s) : Environmental Facilities ; Public Works ;

Estimated Completion Date: TBD

Planning Board Recommendation: Project approved in concept but subject to subsequent staff review.

FIVE YEAR CAPITAL PROGRAM (in thousands)

	Est Ult Cost	Appropriated	Exp / Obl	2023	2024	2025	2026	2027	Under Review
Gross	83,500	25,500	6,061	10,000	35,000		13,000		
Non County Share									
Total	83,500	25,500	6,061	10,000	35,000		13,000		

Project Description

This project will provide for the repair, upgrading and replacement of various items of equipment and systems at the Blind Brook Wastewater Treatment Plant. Equipment or systems to be replaced or refurbished will include, but not be limited to, HVAC equipment not included in performance maintenance project, primary sludge and sludge transfer pumps including associated piping and valves; primary and secondary clarifier mechanisms; primary clarifier scum pit piping and valves; aeration tank influent and effluent sluice gates and mixers; all aeration blowers and blower piping; piping, valves and controls for caustic and hypochlorite tanks associated with odor control scrubbers; plant and service water system upgrade; replacement of RAS discharge isolation valves; final clarifier drain valves and plant effluent water system including pumps and associated valves; demolition and removal of obsolete boilers and fuel oil storage tanks and installation of security system improvements. The project is broken down into design and construction phases; preliminary design will also include a review of the sludge forcemain to the Port Chester Plant. Further refinement and phasing will be performed after design is undertaken. All equipment upgrades will include integration into SCADA system.

Current Year Description

The current year request funds construction.

Current Year Financing Plan

Year	Bonds	Cash	Non County Shares	Total
2023	10,000,000			10,000,000

Impact on Operating Budget

The impact on the District Budget is the debt service associated with the issuance of bonds.

Appropriation History

Year	Amount	Description	Status
2009	500,000	Design	CONSTRUCTION
2015	500,000	Funds additional design	CONSTRUCTION
2019	16,000,000	Construction and construction management	CONSTRUCTION
2020	1,000,000	Cost escalation	CONSTRUCTION
2021	7,500,000	Cost escalation	CONSTRUCTION
Total	25,500,000		

**BLIND BROOK WASTE WATER TREATMENT PLANT - PROCESS EQUIPMENT
IMPROVEMENTS
(SBB07)**

Prior Appropriations

	Appropriated	Collected	Uncollected
Bond Proceeds	25,500,000	1,604,383	23,895,617
Total	25,500,000	1,604,383	23,895,617

Bonds Authorized

Bond Act	Amount	Date Sold	Amount Sold	Balance
115 15				
103 20	18,000,000	12/01/21	1,604,383	16,395,617
131 21	7,500,000			7,500,000
Total	25,500,000		1,604,383	23,895,617

**HONORABLE BOARD OF LEGISLATORS
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmittal from the County Executive recommending approval by the County of Westchester ("County") of a bond act ("Bond Act") in the amount of \$600,000 to finance capital project SW022 – Sewer District Heavy Equipment Replacement ("SW022"). The Bond Act, which was prepared by the law firm Norton Rose Fulbright, will finance the cost of the acquisition of heavy equipment, including dump trucks, flatbed trailers, tankers, garbage trucks, sewer jet trucks, portable pumps and generators, and other miscellaneous heavy equipment, to maintain the facilities of the County's Sanitary Sewer Districts.

The Department of Environmental Facilities ("Department") has advised that this financing is required to purchase heavy equipment for use in all the sewer districts which has reached the end of its useful life.

Upon receipt by the Department of bonding authorization, it is anticipated that the vehicle and equipment purchase(s) will be completed by the fourth quarter of 2023.

It should be noted that your Honorable Board has previously authorized the County to issue bonds in connection with SW022 as indicated in the attached fact sheet.

The Planning Department has advised your Committee that based on its review, SW022 has been classified as a Type "II" action pursuant to the State Environmental Quality Review Act ("SEQR") and its implementing regulations, 6 NYCRR Part 617. Therefore, no further environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this conclusion.

It should be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to adopt the Bond Act. Your Committee recommends the adoption of the proposed Bond Act.

Dated: January 17th, 2023
White Plains, New York

Jedat Jadin
SJS

Maneykban

David Bullington

JH
Cathie Park

W.M.M.

SJS
Maneykban
JH
Cathie Park

COMMITTEE ON

c/mg/9-22-22

Budget & Appropriations

Public Works & Transportation

FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: SW022

☐ NO FISCAL IMPACT PROJECTED

SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☐ GENERAL FUND

☐ AIRPORT FUND

☒ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☒ Current Appropriations

☐ Capital Budget Amendment

SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 600,000 PPU 10 Anticipated Interest Rate 3.64%

Anticipated Annual Cost (Principal and Interest): \$ 71,437

Total Debt Service (Annual Cost x Term): \$ 714,370

Finance Department: Interest rates from November 4, 2022 Bond Buyer - ASBA

SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations
(describe in detail for current and next four years):

SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded: 6

SECTION E - EXPECTED DESIGN WORK PROVIDER

☐ County Staff

☐ Consultant

☒ Not Applicable

Prepared by: Joe Brown

Title: Capital Program Coordinator

Department: DEF

Date: 11/7/22

Reviewed By: [Signature]

Budget Director

Date: 11/7/22

TO: Michelle Greenbaum, Senior Assistant County Attorney
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM
Assistant Commissioner



DATE: October 4, 2022

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:
SW022 SEWER DISTRICT HEAVY EQUIPMENT REPLACEMENT**

PROJECT/ACTION: Per Capital Project Fact Sheet as approved by the Planning Department on
09-20-2022 (Unique ID: 2085)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(31):** purchase or sale of furnishings, equipment or supplies, including surplus government property, other than the following: land, radioactive material, pesticides, herbicides, or other hazardous materials.

COMMENTS: None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff
Paula Friedman, Assistant to the County Executive
Lawrence Soule, Budget Director
Tami Altschiller, Assistant Chief Deputy County Attorney
Lorraine Marzola, Associate Budget Director
C.J. Gelardo, Associate Engineer, Department of Environmental Facilities
Joseph Brown, Capital Program Coordinator, Department of Environmental Facilities
Kelly Sheehan, Assistant Commissioner
William Brady, Chief Planner
Michael Lipkin, Associate Planner
Claudia Maxwell, Associate Environmental Planner

ACT NO. _____ - 2023

BOND ACT DATED _____, 2023.

A BOND ACT AUTHORIZING THE ISSUANCE OF \$600,000 BONDS OF THE COUNTY OF WESTCHESTER, NEW YORK, TO PAY THE COST OF THE ACQUISITION OF HEAVY EQUIPMENT INCLUDING DUMP TRUCKS, FLATBED TRAILERS, TANKERS, GARBAGE TRUCKS, SEWER JET TRUCKS, PORTABLE PUMPS AND GENERATORS, AND OTHER MISCELLANEOUS HEAVY EQUIPMENT, FOR USE TO MAINTAIN THE FACILITIES OF THE COUNTY'S SANITARY SEWER DISTRICTS, IN AND FOR SAID COUNTY.

WHEREAS, the capital project hereinafter described has been duly approved in the adopted capital budget for the current fiscal year; and

WHEREAS, the plan for the financing of the maximum estimated cost of such capital project, as hereinafter set forth in this Bond Act, is in conformity with such capital budget; and

WHEREAS, all conditions precedent to the financing of the capital purposes hereinafter described, including compliance with the provisions of the State Environmental Quality Review Act to the extent required, have been performed; and

WHEREAS, it is now desired to authorize the financing of such capital project; NOW, THEREFORE,

BE IT ENACTED, by the Board of Legislators of the County of Westchester, New York (the "County"), by the affirmative vote of not less than two thirds of the entire voting strength thereof, as follows:

Section 1. For the class of objects or purposes of paying the cost of the acquisition of heavy equipment, including dump trucks, flatbed trailers, tankers, garbage trucks, sewer jet trucks, portable pumps and generators, and other miscellaneous heavy equipment, to maintain the facilities of the County's Sanitary Sewer Districts, each item of which costs more than \$15,000, in and for the County, including incidental expenses in connection therewith, there are hereby authorized to be

issued \$600,000 bonds of said County pursuant to the provisions of the Local Finance Law. To the extent that the details of the aforesaid class of objects or purposes set forth in this act are inconsistent with any details set forth in the current Capital Budget of the County, such Budget shall be deemed and is hereby amended to the extent inconsistent herewith.

Section 2. It is hereby determined that the maximum estimated cost of the aforesaid class of objects or purposes is \$600,000, and that the plan for the financing thereof is by the issuance of the \$600,000 bonds of said County authorized to be issued pursuant to this Bond Act.

Section 3. It is hereby determined that the period of probable usefulness of the aforesaid class of objects or purposes is ten years, pursuant to subdivision 28 of paragraph a of Section 11.00 of the Local Finance Law, as each item in said class shall cost more than \$15,000.

Section 4. Subject to the provisions of the Local Finance Law, the power to authorize the issuance of and to sell bond anticipation notes in anticipation of the issuance and sale of the bonds herein authorized, including renewals of such notes, is hereby delegated to the Commissioner of Finance, the chief fiscal officer. Such notes shall be of such terms, form and contents, and shall be sold in such manner, as may be prescribed by said Commissioner of Finance, consistent with the provisions of the Local Finance Law.

Section 5. The faith and credit of the County are hereby irrevocably pledged for the payment of the principal of and interest on such obligations as the same respectively become due and payable. An annual appropriation shall be made in each year sufficient to pay the principal of and interest on such obligations becoming due and payable in such year. To the extent not paid from the assessment of properties assessable for this purpose in the County's Blind Brook, Bronx Valley, Central Yonkers, Hutchinson Valley, Mamaroneck Valley, New Rochelle, North Yonkers, Saw Mill Valley, Upper Bronx, Ossining, Peekskill and Port Chester Sanitary Sewer Districts, or other sources, there shall annually be levied on all the taxable real property of said County a tax sufficient to pay the principal of and interest on such obligations as the same become due and payable.

Section 6. Such bonds shall be in fully registered form and shall be signed in the name of the County of Westchester, New York, by the manual or facsimile signature of the Commissioner of Finance and a facsimile of the corporate seal shall be imprinted or impressed thereon and may be attested by the manual or facsimile signature of the County Clerk.

Section 7. The powers and duties of advertising such bonds for sale, conducting the sale and awarding the bonds, are hereby delegated to the Commissioner of Finance, who shall advertise such bonds for sale, conduct the sale, and award the bonds in such manner as said Commissioner of Finance shall deem best for the interests of the County; including, but not limited to, the power to sell said bonds to the New York State Environmental Facilities Corporation; provided, however, that in the exercise of these delegated powers, the Commissioner of Finance shall comply fully with the provisions of the Local Finance Law and any order or rule of the State Comptroller applicable to the sale of municipal bonds. The receipt of the Commissioner of Finance shall be a full acquittance to the purchaser of such bonds, who shall not be obliged to see to the application of the purchase money.

Section 8. The Commissioner of Finance is hereby further delegated the power to authorize the sale and issuance of the bonds authorized pursuant to this Bond Act (a) at a discount in the manner authorized by paragraphs e and f of Section 57.00 of the Local Finance Law, (b) at private sale pursuant to the applicable provisions of the Local Finance Law and any regulations of the New York State Comptroller appertaining thereto, including the private sale of bonds at a premium, (c) as capital appreciation bonds or term bonds at public sale or private sale pursuant to the applicable provisions of the Local Finance Law and any regulations of the New York State Comptroller appertaining thereto, and (d) at a variable rate of interest in the manner authorized by Section 54.90 of the Local Finance Law, including notes issued in anticipation thereof. The Commissioner of Finance is hereby authorized to enter into such agreements as said Commissioner of Finance shall determine reasonable and necessary to facilitate the issuance, sale, resale and, or repurchase of such bonds or notes pursuant to the provisions of Section 54.90 of the Local Finance

Law. Such bonds and, or notes shall be of such terms, form and contents, and shall be sold in such manner, as may be prescribed by said Commissioner of Finance.

Section 9. The power to issue and sell notes to the New York State Environmental Facilities Corporation pursuant to Section 169.00 of the Local Finance Law is hereby delegated to the Commissioner of Finance. Such notes shall be of such terms, form and contents as may be prescribed by said Commissioner of Finance consistent with the provisions of the Local Finance Law.

Section 10. The Commissioner of Finance is hereby further authorized, at the Commissioner of Finance's sole discretion, to execute a project financing and loan agreement, and any other agreements with the New York State Department of Environmental Conservation and/or the New York State Environmental Facilities Corporation, including amendments thereto, and including any instruments (or amendments thereto) in the effectuation thereof, in order to effect the financing or refinancing of the class of objects or purposes described in Section 1 hereof, or a portion thereof, by a bond, and, or note issue of said County in the event of the sale of same to the New York State Environmental Facilities Corporation.

Section 11. The intent of this Bond Act is to give the Commissioner of Finance sufficient authority to execute those applications, agreements, instruments or to do any similar acts necessary to effect the issuance of the aforesaid bonds and, or notes without resorting to further action of this Board of Legislators.

Section 12. All other matters, except as provided herein relating to such bonds, including determining whether to issue such bonds having substantially level or declining annual debt service and all matters related thereto, prescribing whether manual or facsimile signatures shall appear on said bonds, prescribing the method for the recording of ownership of said bonds, appointing the fiscal agent or agents for said bonds, providing for the printing and delivery of said bonds (and if said bonds are to be executed in the name of the County by the facsimile signature of the Commissioner of Finance and, providing for the manual countersignature of a fiscal agent or of a designated official of the County), the date, denominations, maturities and interest payment dates, place or places of

payment, and also including the consolidation with other issues, shall be determined by the Commissioner of Finance. It is hereby determined that it is to the financial advantage of the County not to impose and collect from registered owners of such bonds any charges for mailing, shipping and insuring bonds transferred or exchanged by the fiscal agent, and, accordingly, pursuant to paragraph c of Section 70.00 of the Local Finance Law, no such charges shall be so collected by the fiscal agent. Such bonds shall contain substantially the recital of validity clause provided for in Section 52.00 of the Local Finance Law and shall otherwise be in such form and contain such recitals in addition to those required by Section 52.00 of the Local Finance Law, as the Commissioner of Finance shall determine.

Section 13. The validity of such bonds and bond anticipation notes may be contested only if:

- (1) Such obligations are authorized for an object or purpose for which said County is not authorized to expend money, or
- (2) The provisions of law which should be complied with at the date of publication of this Bond Act are not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or
- (3) Such obligations are authorized in violation of the provisions of the Constitution.

Section 14. This Bond Act shall constitute a statement of official intent for purposes of Treasury Regulations Section 1.150 - 2. Other than as specified in this Bond Act, no moneys are, or are reasonably expected to be, reserved, allocated on a long-term basis, or otherwise set aside with respect to the permanent funding of the object or purpose described herein.

Section 15. This Bond Act, which shall take effect immediately in accordance with the provisions of Section 33.10 of the Local Finance Law and as provided in Section 107.71 of the Westchester County Charter, shall be published in summary form in the official newspaper of said

County for purposes of this Bond Act, together with a notice of the Clerk of the Board of Legislators in substantially the form provided in Section 81.00 of the Local Finance Law.

The foregoing Bond Act was duly put to a vote which resulted as follows:

AYES:

NOES:

ABSENT:

The Bond Act was thereupon declared duly adopted.

* * *

APPROVED BY THE COUNTY EXECUTIVE

Date: _____, 2023

STATE OF NEW YORK)
) ss.:
COUNTY OF WESTCHESTER)

I, the undersigned Clerk and Administrative Officer of the Board of Legislators of the County of Westchester, New York, DO HEREBY CERTIFY:

That I have compared the annexed extract of the minutes of the meeting of the Board of Legislators of said County, including the Bond Act contained therein, held on _____, 2023, with the original thereof on file in my office, and that the same is a true and correct transcript therefrom and of the whole of said original so far as the same relates to the subject matters therein referred to.

I FURTHER CERTIFY that all members of said Board had due notice of said meeting.

I FURTHER CERTIFY that, pursuant to Section 103 of the Public Officers Law (Open Meetings Law), said meeting was open to the general public.

I FURTHER CERTIFY that, PRIOR to the time of said meeting, I duly caused a public notice of the time and place of said meeting to be given to the following newspapers and/or other news media as follows:

Newspaper and/or Other News Media

Date Given

I FURTHER CERTIFY that PRIOR to the time of said meeting, I duly caused public notice of the time and place of said meeting to be conspicuously posted in the following designated public location(s) on the following dates:

Designated Location(s) of Posted Notices

Date of Posting

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said County Board of Legislators on _____, 2023.

Clerk and Administrative Officer of the County Board of Legislators
of the County of Westchester, New York

(CORPORATE
SEAL)

LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on _____, 2023 and approved by the County Executive on _____, 2023 and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk and Chief of Staff of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. _____-2023

A BOND ACT AUTHORIZING THE ISSUANCE OF \$600,000 BONDS OF THE COUNTY OF WESTCHESTER, NEW YORK, TO PAY THE COST OF THE ACQUISITION OF HEAVY EQUIPMENT, INCLUDING DUMP TRUCKS, FLATBED TRAILERS, TANKERS, GARBAGE TRUCKS, SEWER JET TRUCKS, PORTABLE PUMPS AND GENERATORS, AND OTHER MISCELLANEOUS HEAVY EQUIPMENT TO MAINTAIN THE FACILITIES OF THE COUNTY'S SANITARY SEWER DISTRICTS, IN AND FOR SAID COUNTY.

class of objects or purposes: financing the cost of the acquisition of heavy equipment, including dump trucks, flatbed trailers, tankers, garbage trucks, sewer jet trucks, portable pumps and generators, and other miscellaneous heavy equipment to maintain the facilities of the County's Sanitary Sewer Districts.

period of probable usefulness: ten years

amount of obligations to be issued: \$600,000

Dated: _____, 2023
White Plains, New York

Clerk and Chief of Staff of the County Board of Legislators of
the County of Westchester, New York

CAPITAL PROJECT FACT SHEET

Project ID:*	<input type="checkbox"/> CBA	Fact Sheet Date:*
SW022		01-03-2023
Fact Sheet Year:*	Project Title:*	Legislative District ID:
2023	SEWER DISTRICT HEAVY EQUIPMENT REPLACEMENT	1, 17, 16, 15, 14, 13, 12, 11, 10, 9, 8, 7, 6, 5, 4, 3, 2,
Category*	Department:*	CP Unique ID:
SEWER AND WATER DISTRICTS	ENVIRONMENTAL FACILITIES	2085

Overall Project Description

This project funds the replacement of heavy equipment such as dump trucks, flat bed trailers, tankers, garbage trucks, sewer jet trucks and other miscellaneous heavy equipment.

- | | | |
|--|--|--|
| <input type="checkbox"/> Best Management Practices | <input type="checkbox"/> Energy Efficiencies | <input checked="" type="checkbox"/> Infrastructure |
| <input type="checkbox"/> Life Safety | <input type="checkbox"/> Project Labor Agreement | <input type="checkbox"/> Revenue |
| <input type="checkbox"/> Security | <input type="checkbox"/> Other | |

FIVE-YEAR CAPITAL PROGRAM (in thousands)

	Estimated Ultimate Total Cost	Appropriated	2023	2024	2025	2026	2027	Under Review
Gross	5,100	3,700	600	0	0	800	0	0
Less Non-County Shares	0	0	0	0	0	0	0	0
Net	5,100	3,700	600	0	0	800	0	0

Expended/Obligated Amount (in thousands) as of: 3,095

Current Bond Description: This project will provide funding for the replacement of heavy equipment such as dump trucks, flat bed trailers, tankers, garbage trucks, sewer jet trucks, portable pumps and generators, and other miscellaneous heavy equipment for use in all the sewer districts.

Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	600,000
Cash:	0
Total:	<u>\$ 600,000</u>

SEQR Classification:

TYPE II

Amount Requested:

600,000

Comments:

Energy Efficiencies:

Appropriation History:

Year	Amount	Description
2005	1,000,000	EQUIPMENT ACQUISITION
2006	500,000	EQUIPMENT ACQUISITION
2007	500,000	PURCHASE OF EQUIPMENT
2008	500,000	PURCHASE OF EQUIPMENT
2014	600,000	PURCHASE OF EQUIPMENT
2016	600,000	CONTINUED REPLACEMENT OF EQUIPMENT
2023	600,000	EQUIPMENT PURCHASE

Total Appropriation History:

4,300,000

Financing History:

Year	Bond Act #	Amount	Issued	Description
05	229	1,000,000	1,000,000	PURCHASE HEAVY EQUIP. VARIOUS SEWER DISTRICTS
07	04	500,000	500,000	HEAVY EQUIPMENT FOR VARIOUS SEWER DISTRICTS
08	146	1,000,000	982,073	PURCHASE OF HEAVY EQUIP. FOR VARIOUS SEWER DISTRICTS
14	86	600,000	430,312	SEWER DISTRICT HEAVY EQUIPMENT REPLACEMENT
16	161	600,000	0	SEWER DISTRICT HEAVY EQUIPMENT REPLACEMENT

Total Financing History:

3,700,000

Recommended By:

Department of Planning
WBB4

Date
09-20-2022

Department of Public Works
RJB4

Date
09-20-2022

Budget Department
LMY1

Date
09-22-2022

Requesting Department
JWBA

Date
09-22-2022

SEWER DISTRICT HEAVY EQUIPMENT REPLACEMENT (SW022)

User Department : Environmental Facilities

Managing Department(s) : Environmental Facilities ;

Estimated Completion Date: TBD

Planning Board Recommendation: Project without physical planning aspects of concern to the Westchester County Planning Board.

FIVE YEAR CAPITAL PROGRAM (in thousands)

	Est Ult Cost	Appropriated	Exp / Obl	2023	2024	2025	2026	2027	Under Review
Gross	5,100	3,700	3,095	600			800		
Non County Share									
Total	5,100	3,700	3,095	600			800		

Project Description

This project funds the replacement of heavy equipment such as dump trucks, flat bed trailers, tankers, garbage trucks, sewer jet trucks and other miscellaneous heavy equipment.

Current Year Description

The current year request funds the continuation of this project.

Current Year Financing Plan

Year	Bonds	Cash	Non County Shares	Total
2023	600,000			600,000

Impact on Operating Budget

The impact on the District Budget will be the debt service associated with the issuance of bonds.

Appropriation History

Year	Amount	Description	Status
2005	1,000,000	Equipment acquisition	COMPLETE
2006	500,000	Equipment acquisition	COMPLETE
2007	500,000	Purchase of equipment	COMPLETE
2008	500,000	Purchase of equipment	COMPLETE
2014	600,000	Purchase of equipment	COMPLETE
2016	600,000	Continued replacement of equipment	IN PROGRESS
Total	3,700,000		

Prior Appropriations

	Appropriated	Collected	Uncollected
Bond Proceeds	3,700,000	2,933,467	766,533
Total	3,700,000	2,933,467	766,533

SEWER DISTRICT HEAVY EQUIPMENT REPLACEMENT (SW022)

Bonds Authorized

Bond Act		Amount	Date Sold	Amount Sold	Balance
229	05	1,000,000	11/15/07	1,000,000	
04	07	500,000	11/15/07	500,000	
146	08	1,000,000	02/17/10	481,000	17,927
			12/10/13	175,452	
			12/10/13	29,548	
			12/10/13	602	
			11/19/15	272,071	
			11/19/15	21,929	
			11/19/15	1,472	
86	14	600,000	11/19/15	98,094	169,688
			11/19/15	7,906	
			11/19/15	531	
			12/15/17	93,145	
			12/15/17	15,435	
			12/15/17	202	
			12/01/21	215,000	
161	16	600,000			600,000
Total		3,700,000		2,912,385	787,615

**HONORABLE BOARD OF LEGISLATORS
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmittal from the County Executive recommending approval by the County of Westchester ("County") of a bond act ("Bond Act") in the amount of \$17,100,000 to finance capital project SY026 – Yonkers Joint WRRF Structural Rehabilitation ("SY026"). The Bond Act, which was prepared by the law firm Norton Rose Fulbright, will finance the cost of design, construction management and construction costs for the rehabilitation of the Yonkers Joint Water Resource Recovery Facility, including, but not limited to the repair of concrete aeration tanks and replacement of gratings, hatches and covers, in and for the Yonkers Joint Sanitary Sewer District.

The Department of Environmental Facilities ("Department") has advised that the gratings, hatches, and covers have reached the end of their useful lives. Replacing them is required to maintain safety conditions at the facility.

Following bonding authorization, design will be scheduled and is anticipated to take nine months to complete. It is anticipated that the design work will be completed by consultants. It is estimated that construction will take nine months to complete and will begin after award and execution of the construction contracts.

The Planning Department has advised your Committee that based on its review, SY026 has been classified as a Type "II" action pursuant to the State Environmental Quality Review Act ("SEQR") and its implementing regulations, 6 NYCRR Part 617. Therefore, no further environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this conclusion.

It should be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to adopt the Bond Act. Your Committee recommends the adoption of the proposed Bond Act.

Dated: January 17th, 2023
White Plains, New York

Vedat Padin
Stef
Nancy Barr
Lance B. Miller - Johnson
J. H. R.
L. H. R.
Calle Pace

Stef
Nancy Barr
J. H. R.
Calle Pace

COMMITTEE ON

c/mg/9-22-2022

Budget & Appropriations

Public works & Transportation

FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: SY026

☐ NO FISCAL IMPACT PROJECTED

SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☐ GENERAL FUND

☐ AIRPORT FUND

☒ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☒ Current Appropriations

☐ Capital Budget Amendment

SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 17,100,000 PPU 30 Anticipated Interest Rate 4.14%

Anticipated Annual Cost (Principal and Interest): \$ 939,126

Total Debt Service (Annual Cost x Term): \$ 28,173,780

Finance Department: Interest rates from November 4, 2022 Bond Buyer - ASBA

SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations

(describe in detail for current and next four years):

SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded: 185

SECTION E - EXPECTED DESIGN WORK PROVIDER

☐ County Staff

☒ Consultant

☐ Not Applicable

Prepared by: Joe Brown

Title: Capital Program Coordinator

Department: DEF


Date: 11/7/22

Reviewed By: 

Budget Director

Date: 11/7/22

TO: Michelle Greenbaum, Senior Assistant County Attorney
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM 
Assistant Commissioner

DATE: October 4, 2022

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:
SY026 YONKERS JOINT WRRF STRUCTURAL REHABILITATION**

PROJECT/ACTION: Per Capital Project Fact Sheet as approved by the Planning Department on
09-20-2022 (Unique ID: 2080)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(2):** replacement, rehabilitation or reconstruction of a structure or facility, in kind, on the same site, including upgrading buildings to meet building, energy, or fire codes unless such action meets or exceeds any of the thresholds in section 617.4 of this Part.

COMMENTS: None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff
Paula Friedman, Assistant to the County Executive
Lawrence Soule, Budget Director
Tami Altschiller, Assistant Chief Deputy County Attorney
Lorraine Marzola, Associate Budget Director
C.J. Gelardo, Associate Engineer, Dept. of Environmental Facilities
Joseph Brown, Capital Program Coordinator, Department of Environmental Facilities
Kelly Sheehan, Assistant Commissioner
William Brady, Chief Planner
Michael Lipkin, Associate Planner
Claudia Maxwell, Associate Environmental Planner

ACT NO. _____ - 2023

BOND ACT DATED _____, 2023.

A BOND ACT AUTHORIZING THE ISSUANCE OF \$17,100,000 BONDS OF THE COUNTY OF WESTCHESTER, NEW YORK, TO PAY THE DESIGN, CONSTRUCTION MANAGEMENT AND CONSTRUCTION COSTS FOR THE REHABILITATION OF THE YONKERS JOINT WATER RESOURCE RECOVERY FACILITY IN AND FOR THE YONKERS JOINT SANITARY SEWER DISTRICT, IN AND FOR SAID COUNTY.

WHEREAS, the capital project hereinafter described has been duly approved in the adopted capital budget for the current fiscal year; and

WHEREAS, the plan for the financing of the estimated maximum cost of such capital project, as hereinafter set forth in this Bond Act, is in conformity with such capital budget; and

WHEREAS, all conditions precedent to the financing of the capital project hereinafter described, including compliance with the provisions of the State Environmental Quality Review Act to the extent required, have been performed; and

WHEREAS, it is now desired to authorize the financing of the cost of such capital project; and

NOW, THEREFORE, BE IT ENACTED, by the Board of Legislators of the County of Westchester, New York (the "County"), by the affirmative vote of not less than two thirds of the entire voting strength thereof, as follows:

Section 1. For the class of objects or purposes of financing design, construction management and construction costs for the rehabilitation of the Yonkers Joint Water Resource Recovery Facility, including but not limited to the repair of concrete aeration tanks and replacement of gratings, hatches and covers, in and for the Yonkers Joint Sanitary Sewer District, there are hereby authorized to be issued \$17,100,000 bonds of said County pursuant to the provisions of the Local Finance Law. To the extent that the details of the aforesaid class of objects or purposes set

forth in this Bond Act are inconsistent with any details set forth in the current Capital Budget of the County, such Budget shall be deemed and is hereby amended to the extent inconsistent herewith.

Section 2. It is hereby determined that the maximum estimated cost of the aforesaid class of objects or purposes is \$17,100,000, and that the plan for the financing thereof is by the issuance of the \$17,100,000 bonds of said County authorized to be issued pursuant to this Bond Act.

Section 3. It is hereby determined that the period of probable usefulness of the aforesaid class of objects or purposes is thirty years, pursuant to subdivision four of paragraph a of Section 11.00 of the Local Finance Law.

Section 4. Subject to the provisions of the Local Finance Law, the power to authorize the issuance of and to sell bond anticipation notes in anticipation of the issuance and sale of the bonds herein authorized, including renewals of such notes, is hereby delegated to the Commissioner of Finance, the chief fiscal officer. Such notes shall be of such terms, form and contents, and shall be sold in such manner, as may be prescribed by said Commissioner of Finance, consistent with the provisions of the Local Finance Law.

Section 5. The faith and credit of the County are hereby irrevocably pledged for the payment of the principal of and interest on such obligations as the same respectively become due and payable. An annual appropriation shall be made in each year sufficient to pay the principal of and interest on such obligations becoming due and payable in such year. To the extent not paid from the assessment of properties assessable for this purpose in the Yonkers Joint Sanitary Sewer District, or other sources, there shall annually be levied on all the taxable real property of said County a tax sufficient to pay the principal of and interest on such obligations as the same become due and payable.

Section 6. Such bonds shall be in fully registered form and shall be signed in the name of the County by the manual or facsimile signature of the Commissioner of Finance and a facsimile

of the corporate seal shall be imprinted or impressed thereon and may be attested by the manual or facsimile signature of the County Clerk.

Section 7. The powers and duties of advertising such bonds for sale, conducting the sale and awarding the bonds, are hereby delegated to the Commissioner of Finance, who shall advertise such bonds for sale, conduct the sale, and award the bonds in such manner as said Commissioner of Finance shall deem best for the interests of the County; including, but not limited to, the power to sell said bonds to the New York State Environmental Facilities Corporation; provided, however, that in the exercise of these delegated powers, the Commissioner of Finance shall comply fully with the provisions of the Local Finance Law and any order or rule of the State Comptroller applicable to the sale of municipal bonds. The receipt of the Commissioner of Finance shall be a full acquittance to the purchaser of such bonds, who shall not be obliged to see to the application of the purchase money.

Section 8. The Commissioner of Finance is hereby further delegated the power to authorize the sale and issuance of the bonds authorized pursuant to this Bond Act (a) at a discount in the manner authorized by paragraphs e and f of Section 57.00 of the Local Finance Law, (b) at private sale pursuant to the applicable provisions of the Local Finance Law and any regulations of the New York State Comptroller appertaining thereto, including the private sale of bonds at a premium, (c) as capital appreciation bonds or term bonds at public sale or private sale pursuant to the applicable provisions of the Local Finance Law and any regulations of the New York State Comptroller appertaining thereto, and (d) at a variable rate of interest in the manner authorized by Section 54.90 of the Local Finance Law, including notes issued in anticipation thereof. The Commissioner of Finance is hereby authorized to enter into such agreements as said Commissioner of Finance shall determine reasonable and necessary to facilitate the issuance, sale, resale and, or repurchase of such bonds or notes pursuant to the provisions of Section 54.90 of the Local Finance Law. Such bonds and, or notes shall be of such terms, form and contents, and shall be sold in such manner, as may be prescribed by said Commissioner of Finance.

Section 9. The power to issue and sell notes to the New York State Environmental Facilities Corporation pursuant to Section 169.00 of the Local Finance Law is hereby delegated to the Commissioner of Finance. Such notes shall be of such terms, form and contents as may be prescribed by said Commissioner of Finance consistent with the provisions of the Local Finance Law.

Section 10. The Commissioner of Finance is hereby further authorized, at the sole discretion of the Commissioner of Finance, to execute a project financing and loan agreement, and any other agreements with the New York State Department of Environmental Conservation and/or the New York State Environmental Facilities Corporation, including amendments thereto, and including any instruments (or amendments thereto) in the effectuation thereof, in order to effect the financing or refinancing of the class of objects or purposes described in Section 1 hereof, or a portion thereof, by a bond, and, or note issue of said County in the event of the sale of same to the New York State Environmental Facilities Corporation.

Section 11. The intent of this Bond Act is to give the Commissioner of Finance sufficient authority to execute those applications, agreements, instruments or to do any similar acts necessary to effect the issuance of the aforesaid bonds and, or notes without resorting to further action of this Board of Legislators.

Section 12. All other matters, except as provided herein relating to such bonds, including determining whether to issue such bonds having substantially level or declining annual debt service and all matters related thereto, prescribing whether manual or facsimile signatures shall appear on said bonds, prescribing the method for the recording of ownership of said bonds, appointing the fiscal agent or agents for said bonds, providing for the printing and delivery of said bonds (and if said bonds are to be executed in the name of the County by the facsimile signature of the Commissioner of Finance, providing for the manual countersignature of a fiscal agent or of a designated official of the County), the date, denominations, maturities and interest payment dates, place or places of payment, and also including the consolidation with other issues, shall be

determined by the Commissioner of Finance. It is hereby determined that it is to the financial advantage of the County not to impose and collect from registered owners of such bonds any charges for mailing, shipping and insuring bonds transferred or exchanged by the fiscal agent, and, accordingly, pursuant to paragraph c of Section 70.00 of the Local Finance Law, no such charges shall be so collected by the fiscal agent. Such bonds shall contain substantially the recital of validity clause provided for in Section 52.00 of the Local Finance Law and shall otherwise be in such form and contain such recitals in addition to those required by Section 52.00 of the Local Finance Law, as the Commissioner of Finance shall determine.

Section 13. The validity of such bonds and bond anticipation notes may be contested only if:

- (1) Such obligations are authorized for an object or purpose for which said County is not authorized to expend money, or
- (2) The provisions of law which should be complied with at the date of publication of this Bond Act are not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or
- (3) Such obligations are authorized in violation of the provisions of the Constitution.

Section 14. This Bond Act shall constitute a statement of official intent for purposes of Treasury Regulations Section 1.150 - 2. Other than as specified in this Bond Act, no moneys are, or are reasonably expected to be, reserved, allocated on a long-term basis, or otherwise set aside with respect to the permanent funding of the object or purpose described herein.

Section 15. This Bond Act, which shall take effect immediately in accordance with the provisions of Section 33.10 of the Local Finance Law and as provided in Section 107.71 of the Westchester County Charter, shall be published in summary form in the official newspaper of said

County for purposes of this Bond Act, together with a notice of the Clerk of the Board of Legislators in substantially the form provided in Section 81.00 of the Local Finance Law.

The foregoing Bond Act was duly put to a vote which resulted as follows:

AYES:

NOES:

ABSENT:

The Bond Act was thereupon declared duly adopted.

* * *

APPROVED BY THE COUNTY EXECUTIVE

Date: _____, 2023

STATE OF NEW YORK)
) ss.:
COUNTY OF WESTCHESTER)

I, the undersigned Clerk of the Board of Legislators of the County of Westchester, New York, DO HEREBY CERTIFY:

That I have compared the annexed extract of the minutes of the meeting of the Board of Legislators of said County, including the Bond Act contained therein, held on _____, 2023, with the original thereof on file in my office, and that the same is a true and correct transcript therefrom and of the whole of said original so far as the same relates to the subject matters therein referred to.

I FURTHER CERTIFY that all members of said Board had due notice of said meeting.

I FURTHER CERTIFY that, [please check one below]

_____ (1) pursuant to Section 103 of the Public Officers Law (Open Meetings Law), said meeting was open to the general public, or

_____ (2) due to the COVID-19 pandemic, said meeting was held remotely by conference call, video conference, or other similar means in accordance with the requirements set forth in Executive Order 202.1, as amended.

I FURTHER CERTIFY that, PRIOR to the time of said meeting, I duly caused a public notice of the time and place of said meeting to be given to the following newspapers and/or other news media as follows:

Newspaper and/or Other News Media

Date Given

I FURTHER CERTIFY that PRIOR to the time of said meeting, I duly caused public notice of the time and place of said meeting to be conspicuously posted in the following designated public location(s) on the following dates:

Designated Location(s) of Posted Notices

Date of Posting

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said County Board of Legislators on _____, 2023.

Clerk and Administrative Officer of the County Board of Legislators
of the County of Westchester, New York

(CORPORATE SEAL)

LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on _____, 2023 and approved by the County Executive on _____, 2023 and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk and Administrative Officer of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. _____-2023

A BOND ACT AUTHORIZING THE ISSUANCE OF \$17,100,000 BONDS OF THE COUNTY OF WESTCHESTER, NEW YORK, TO PAY THE DESIGN, CONSTRUCTION MANAGEMENT AND CONSTRUCTION COSTS FOR THE REHABILITATION OF THE YONKERS JOINT WATER RESOURCE RECOVERY FACILITY IN AND FOR THE YONKERS JOINT SANITARY SEWER DISTRICT, IN AND FOR SAID COUNTY.

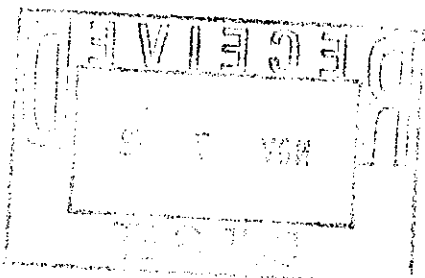
class of objects or purposes: design, construction management and construction costs for the rehabilitation of the Yonkers Joint Water Resource Recovery Facility, including but not limited to the repair of concrete aeration tanks and replacement of gratings, hatches and covers, in and for the Yonkers Joint Sanitary Sewer District

period of probable usefulness: thirty years

amount of obligations to be issued: \$17,100,000

Dated: _____, 2023
White Plains, New York

Clerk and Administrative Officer of the County Board of
Legislators of the County of Westchester, New York



CAPITAL PROJECT FACT SHEET

Project ID:* SY026	<input type="checkbox"/> CBA	Fact Sheet Date:* 01-03-2023
Fact Sheet Year:* 2023	Project Title:* YONKERS JOINT WRRF STRUCTURAL REHABILITATION	Legislative District ID: 2, 17, 16, 15, 14, 13, 12, 11, 10, 9, 8, 5, 3,
Category* SEWER AND WATER DISTRICTS	Department:* ENVIRONMENTAL FACILITIES	CP Unique ID: 2080

Overall Project Description

This project will fund the repair of the concrete aeration tanks and the replacement of all gratings and covers at the Yonkers Joint Water Resource Recovery Facility.

- | | | |
|--|---|--|
| <input type="checkbox"/> Best Management Practices | <input type="checkbox"/> Energy Efficiencies | <input checked="" type="checkbox"/> Infrastructure |
| <input checked="" type="checkbox"/> Life Safety | <input type="checkbox"/> Project Labor Agreement. | <input type="checkbox"/> Revenue |
| <input type="checkbox"/> Security | <input type="checkbox"/> Other | |

FIVE-YEAR CAPITAL PROGRAM (in thousands)

	Estimated Ultimate Total Cost	Appropriated	2023	2024	2025	2026	2027	Under Review
Gross	39,600	0	17,100	0	2,500	0	20,000	0
Less Non-County Shares	0	0	0	0	0	0	0	0
Net	39,600	0	17,100	0	2,500	0	20,000	0

Expended/Obligated Amount (in thousands) as of : 0

Current Bond Description: Design, Construction Management, and Construction funding for Phase I of this project to replace gratings, hatches, and covers at the Yonkers Joint Water Resource Recovery Facility.

Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	17,100,000
Cash:	0
Total:	\$ 17,100,000

SEQR Classification:

TYPE II

Amount Requested:

17,100,000

Comments:

The existing equipment has reached the end of its useful life and is a safety concern. This project will provide significant mitigation of potential fall hazards.

Energy Efficiencies:

Appropriation History:

Year	Amount	Description
2023	17,100,000	DESIGN, CONSTRUCTION MANAGEMENT, & CONSTRUCTION

Total Appropriation History:

17,100,000

Total Financing History:

0

Recommended By:

Department of Planning
WBB4

Date
09-20-2022

Department of Public Works
RJB4

Date
09-20-2022

Budget Department
LMY1

Date
09-22-2022

Requesting Department
JWBA

Date
09-22-2022

YONKERS JOINT WRRF STRUCTURAL REHABILITATION (SY026)

User Department : Environmental Facilities
Managing Department(s) : Environmental Facilities ;

Estimated Completion Date: TBD

Planning Board Recommendation: Project approved in concept but subject to subsequent staff review.

FIVE YEAR CAPITAL PROGRAM (in thousands)

	Est Ult Cost	Appropriated	Exp / Obl	2023	2024	2025	2026	2027	Under Review
Gross	39,600			17,100		2,500		20,000	
Non County Share									
Total	39,600			17,100		2,500		20,000	

Project Description

This project will fund for the repair of the concrete aeration tanks and for the replacement of all gratings and covers at the Yonkers Joint Water Resource Recovery Facility.

Current Year Description

The current year request funds construction.

Current Year Financing Plan

Year	Bonds	Cash	Non County Shares	Total
2023	17,100,000			17,100,000

Impact on Operating Budget

The impact on the District Operating Budget is the debt service associated with the issuance of bonds.

George Latimer
County Executive

November 9, 2022

Westchester County Board of Legislators
800 Michaelian Office Building
White Plains, New York 10601

Dear Honorable Members of the Board of Legislators:

Transmitted herewith for your review and approval are nine (9) bond acts (the "Bond Acts") which, if adopted, would authorize the County of Westchester (the "County") to issue bonds in the total aggregate amount of \$7,750,000 to finance the following eight capital projects for 2023:

\$1,000,000	Serial Bonds for	BIT6D (2064) Purchase of Computer Equipment 2021-2025
\$200,000	Serial Bonds for	BIT9A (2075) Geographic Information Systems (2015-2019)
\$100,000	Serial Bonds for	BIT29 (2057) Data Center Upgrade
\$1,350,000	Serial Bonds for	BIT45 (2049) Building Access Control and Video Surveillance Systems Upgrade
\$3,350,000	Serial Bonds for	BIT47 (2050) Network and Security Infrastructure Upgrades 2020-2024
\$750,000	Serial Bonds for	BIT50 (2051) AMS/CGI Applications and Linux RAC Upgrade
\$250,000	Serial Bonds for	BIT53 (2061) Business Continuity and Disaster Recovery
\$750,000	Serial Bonds for	BIT54 (2063) Critical Communication Logging System Replacement 2021-2025

It should be noted that the County Budget Department is submitting these Bond Acts to your Honorable Board in connection with the proposed 2023 Capital Budget. It is the County's goal to submit these Bond Acts now so that the referenced projects will be ready to proceed without delay in early 2023.

The Planning Department has advised that based on its review the above projects are "Type II" actions under the State Environmental Quality Review Act, 6 NYCRR Part 617. Type II actions are those actions determined not to have a significant effect on the environment. Therefore, no further environmental review is required. As you know, your Honorable Board may use such expert advice to reach its own conclusion.

Approval of these Bond Acts is necessary so that the Commissioner of Finance may enter the bond market at the most opportune time.

I recommend your Board's favorable action on the annexed proposed Bond Acts.

Sincerely,



George Latimer
County Executive

**HONORABLE BOARD OF LEGISLATORS
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmittal from the County Executive recommending approval by the County of Westchester (“County”) of a bond act (“Bond Act”) in the amount of \$1,000,000 to finance capital project BIT6D – Purchase of Computer Equipment 2021-2025 (“BIT6D”). The Bond Act, which was prepared by the law firm Hawkins, Delafield & Wood, will finance the cost of acquisition of computer equipment and software, including related services, for storage system overhaul.

The Department of Information Technology (“Department”) has advised BIT6D is required to replace aging servers and storage to provide needed performance increases and reduce maintenance costs. New server hardware will allow the County to support modern software applications and allow the County workforce to complete business operations in an efficient and timely manner.

Following bonding authorization, design will be scheduled and is anticipated to take three months to complete. It is anticipated that the design work will be completed by in-house staff and consultants. It is estimated that implementation will take nine months to complete and will begin after the server hardware has been delivered.

It should be noted that your Honorable Board has previously authorized bonding in connection with separable components of BIT6D as indicated in the annexed Fact Sheet.

The Planning Department has advised your Committee that based on its review, BIT6D has been classified as a Type “II” action pursuant to the State Environmental Quality Review Act (“SEQR”) and its implementing regulations, 6 NYCRR Part 617. Therefore, no further environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this conclusion.

It should be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to adopt the Bond Act. Your Committee recommends the adoption of the proposed Bond Act.

Dated: _____, 20____
White Plains, New York

FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: BIT6D

☒ NO FISCAL IMPACT PROJECTED

SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☒ GENERAL FUND

☐ AIRPORT FUND

☐ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☒ Current Appropriations

☐ Capital Budget Amendment

SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 1,000,000 PPU 5 Anticipated Interest Rate 3.47%

Anticipated Annual Cost (Principal and Interest): \$ 219,720

Total Debt Service (Annual Cost x Term): \$ 1,098,600

Finance Department: Interest rates from November 3, 2022 - Bond Buyer - ASBA

SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations
(describe in detail for current and next four years):

SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded:

SECTION E - EXPECTED DESIGN WORK PROVIDER

☐ County Staff

☐ Consultant

☒ Not Applicable

Prepared by: Donna Montero

Title: Director of Admin Services

Department: Information Technologies


Date: 11/4/22

Reviewed By: 

Budget Director

Date: 11/4/22

TO: Michelle Greenbaum, Senior Assistant County Attorney
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM 
Assistant Commissioner

DATE: October 5, 2022

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:
BIT6D PURCHASE OF COMPUTER EQUIPMENT 2021-2025**

PROJECT/ACTION: Per Capital Project Fact Sheet as approved by the Planning Department on
09-22-2022 (Unique ID: 2064)

With respect to the State Environmental Quality Review Act and its implementing regulations, 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(31):** purchase or sale of furnishings, equipment or supplies, including surplus government property, other than the following: land, radioactive material, pesticides, herbicides, or other hazardous materials.

COMMENTS: None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff
Paula Friedman, Assistant to the County Executive
Lawrence Soule, Budget Director
Tami Altschiller, Assistant Chief Deputy County Attorney
Lorraine Marzola, Associate Budget Director
Kelly Sheehan, Assistant Commissioner
William Brady, Chief Planner
Michael Lipkin, Associate Planner
Claudia Maxwell, Associate Environmental Planner

ACT NO. - 20____

BOND ACT AUTHORIZING THE ISSUANCE OF \$1,000,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF THE ACQUISITION OF COMPUTER EQUIPMENT AND SOFTWARE; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$1,000,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$1,000,000 BONDS HEREIN AUTHORIZED; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted _____, 20____)

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER, NEW YORK (by the affirmative vote of not less than two-thirds of the voting strength of said Board), AS FOLLOWS:

Section 1. Pursuant to the provisions of the Local Finance Law, constituting Chapter 33-a of the Consolidated Laws of the State of New York (the "Law"), the Westchester County Administrative Code, being Chapter 852 of the Laws of 1948, as amended, and to the provisions of other laws applicable thereto, \$1,000,000 bonds of the County, or so much thereof as may be necessary, are hereby authorized to be issued to finance the cost of the acquisition of computer equipment and software, including related services, for storage system overhaul, all as set forth in the County's Current Year Capital Budget, as amended. To the extent that the details set forth in this act are inconsistent with any details set forth in the Current Year Capital Budget of the County, such Budget shall be deemed and is hereby amended. The estimated maximum cost of said class of objects or purposes, including preliminary costs and costs incidental thereto and the financing thereof is \$1,000,000. The plan of financing includes the issuance of \$1,000,000 bonds

herein authorized, and any bond anticipation notes issued in anticipation of the sale of such bonds, and the levy of a tax to pay the principal of and interest on said bonds.

Section 2. The period of probable usefulness of the class of objects or purposes for which the \$1,000,000 bonds authorized by section 1 of this Act are to be issued, within the limitations of Section 11.00 a. 32, 35, 89 and 108 of the Law, is five (5) years.

Section 3. Current funds are not required to be provided as a down payment pursuant to Section 107.00 d. 9. of the Law prior to issuance of the bonds authorized herein, or any bond anticipation notes issued in anticipation of the sale of such bonds. The County intends to finance, on an interim basis, the costs or a portion of the costs of said improvements for which bonds are herein authorized, which costs are reasonably expected to be reimbursed with the proceeds of debt to be incurred by the County, pursuant to this Act, in the maximum amount of \$1,000,000. This Act is a declaration of official intent adopted pursuant to the requirements of Treasury Regulation Section 1.150-2.

Section 4. The estimate of \$1,000,000 as the estimated total cost of the aforesaid objects or purposes is hereby approved.

Section 5. Subject to the provisions of this Act and of the Law, and pursuant to the provisions of §30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals thereof, and of §§50.00, 56.00 to 60.00 and 168.00 of said Law, the powers and duties of the County Board of Legislators relative to authorizing the issuance of any notes in anticipation of the sale of the bonds herein authorized, or the renewals thereof, relative to providing for substantially level or declining annual debt service, relative to prescribing the terms, form and contents and as to the sale and issuance of the respective amounts of bonds herein authorized, and of any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and

relative to executing agreements for credit enhancement, are hereby delegated to the Commissioner of Finance of the County, as the chief fiscal officer of the County.

Section 6. Each of the bonds authorized by this Act and any bond anticipation notes issued in anticipation of the sale thereof shall contain the recital of validity prescribed by §52.00 of said Local Finance Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County of Westchester, payable as to both principal and interest by general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and provision shall be made annually in the budgets of the County by appropriation for (a) the amortization and redemption of the notes and bonds to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 7. The validity of the bonds authorized by this Act and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

(a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or

(b) the provisions of law which should be complied with at the date of the publication of this Act or a summary hereof, are not substantially complied with, and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or

(c) such obligations are authorized in violation of the provisions of the Constitution.

Section 8. This Act shall take effect not earlier than January 1, 2023 and in accordance with Section 107.71 of the Westchester County Charter.

* * *

STATE OF NEW YORK)
 : ss.:
COUNTY OF WESTCHESTER)

I HEREBY CERTIFY that I have compared the foregoing Act No. -20____ with the original on file in my office, and that the same is a correct transcript therefrom and of the whole of the said original Act, which was duly adopted by the County Board of Legislators of the County of Westchester on _____, 20____ and approved by the County Executive on _____, 20____.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said County Board of Legislators this _____ day of _____, 20____.

Clerk and Chief Administrative Officer of the County Board of Legislators of the County of Westchester, New York

(SEAL)

LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on _____, 20____, and approved by the County Executive on _____, 20____, and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. _____-20____

BOND ACT AUTHORIZING THE ISSUANCE OF \$1,000,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF THE ACQUISITION OF COMPUTER EQUIPMENT AND SOFTWARE; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$1,000,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$1,000,000 BONDS HEREIN AUTHORIZED; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted _____, 20____)

object or purpose: to finance the cost of the acquisition of computer equipment and software, including related services, for storage system overhaul, all as set forth in the County's Current Year Capital Budget, as amended

amount of obligations to be issued
and period of probable usefulness: \$1,000,000; five (5) years

Dated: _____, 20____
White Plains, New York

Clerk and Chief Administrative Officer of the County
Board of Legislators of the County of Westchester, New
York

CAPITAL PROJECT FACT SHEET

Project ID:* BIT6D	<input type="checkbox"/> CBA	Fact Sheet Date:* 01-03-2023
Fact Sheet Year:* 2023	Project Title:* PURCHASE OF COMPUTER EQUIPMENT 2021-2025	Legislative District ID:
Category* BUILDINGS, LAND & MISCELLANEOUS	Department:* INFORMATION TECHNOLOGY	CP Unique ID: 2064

Overall Project Description

This project funds the purchase of servers, related equipment and services.

- | | | |
|--|--|---|
| <input type="checkbox"/> Best Management Practices | <input type="checkbox"/> Energy Efficiencies | <input type="checkbox"/> Infrastructure |
| <input type="checkbox"/> Life Safety | <input type="checkbox"/> Project Labor Agreement | <input type="checkbox"/> Revenue |
| <input type="checkbox"/> Security | <input type="checkbox"/> Other | |

FIVE-YEAR CAPITAL PROGRAM (in thousands)

	Estimated Ultimate Total Cost	Appropriated	2023	2024	2025	2026	2027	Under Review
Gross	4,500	1,750	1,000	1,000	750	0	0	0
Less Non-County Shares	0	0	0	0	0	0	0	0
Net	4,500	1,750	1,000	1,000	750	0	0	0

Expended/Obligated Amount (in thousands) as of : 1,458

Current Bond Description: Bonding is requested for the purchase of equipment, software and related services for the overhaul of the entire storage system sytem which includes hardware for tierd storage implementation.

Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	1,000,000
Cash:	0
Total:	\$ 1,000,000

SEQR Classification:

TYPE II

Amount Requested:

1,000,000

Comments:

Energy Efficiencies:

Appropriation History:

Year	Amount	Description
2021	1,000,000	FUNDS NEW EQUIPMENT FOR SERVER 2008 MIGRATIONS AND MICROSOFT EXCHANGE SERVERS
2022	750,000	CONTINUATION OF THIS PROJECT

Total Appropriation History:

1,750,000

Financing History:

Year	Bond Act #	Amount	Issued	Description
21	207	750,000		0 ACQUISITION AND INSTALLATION OF SOFTWARE AND APPLIANCES FOR IT
21	39	1,000,000		0 ACQUISITION AND INSTALLATION OF SOFTWARE AND APPLIANCES FOR IT

Total Financing History:

1,750,000

Recommended By:**Department of Planning**

WBB4

Date

09-22-2022

Department of Public Works

RJB4

Date

09-23-2022

Budget Department

LMY1

Date

09-23-2022

Requesting Department

DDMK

Date

09-23-2022

PURCHASE OF COMPUTER EQUIPMENT 2021-2025 (BIT6D)

User Department : Information Technology

Managing Department(s) : Information Technology ;

Estimated Completion Date: TBD

Planning Board Recommendation: Project without physical planning aspects of concern to the Westchester County Planning Board.

FIVE YEAR CAPITAL PROGRAM (in thousands)

	Est Ult Cost	Appropriated	Exp / Obl	2023	2024	2025	2026	2027	Under Review
Gross	4,500	1,750	1,458	1,000	1,000	750			
Non County Share									
Total	4,500	1,750	1,458	1,000	1,000	750			

Project Description

This project funds the purchase of servers, related equipment and services.

Current Year Description

The current year request funds the continuation of this project.

Current Year Financing Plan

Year	Bonds	Cash	Non County Shares	Total
2023	1,000,000			1,000,000

Impact on Operating Budget

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

Appropriation History

Year	Amount	Description	Status
2021	1,000,000	Funds new equipment for Server 2008 migrations and Microsoft Exchange Servers	COMPLETE
2022	750,000	Continuation of this project	IN PROGRESS
Total	1,750,000		

Prior Appropriations

	Appropriated	Collected	Uncollected
Bond Proceeds	1,750,000		1,750,000
Total	1,750,000		1,750,000

Bonds Authorized

Bond Act	Amount	Date Sold	Amount Sold	Balance
39 21	1,000,000			1,000,000
207 21	750,000			750,000
Total	1,750,000			1,750,000

**HONORABLE BOARD OF LEGISLATORS
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmittal from the County Executive recommending approval by the County of Westchester (“County”) of a bond act (“Bond Act”) in the amount of \$200,000 to finance capital project BIT9A – Geographic Information Systems (2015-2019) (“BIT9A”). The Bond Act, which was prepared by the law firm Hawkins, Delafield & Wood, will finance the cost of acquisition of GIS hardware and software, consulting and implementing services, data development and acquisition, mobile technologies, internet applications and related geospatial applications.

The Department of Information Technology (“Department”) has advised that GIS data enhancements from BIT9A will support GIS applications for the County Departments of Public Safety, Emergency Services and future Next Generation 911 system.

Following bonding authorization, design will be scheduled and is anticipated to take eighteen months to complete. It is anticipated that the design work will be completed by consultants. It is estimated that construction will take eighteen months to complete and will begin after award and execution of the construction contracts.

It should be noted that your Honorable Board has previously authorized bonding in connection with separable components of BIT9A as indicated in the annexed Fact Sheet.

The Planning Department has advised your Committee that based on its review, BIT9A has been classified as a Type “II” action pursuant to the State Environmental Quality Review Act (“SEQR”) and its implementing regulations, 6 NYCRR Part 617. Therefore, no further environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this conclusion.

It should be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to adopt the Bond Act. Your Committee recommends the adoption of the proposed Bond Act.

Dated: _____, 20____
White Plains, New York

FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: BIT9A

☒ NO FISCAL IMPACT PROJECTED

SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☒ GENERAL FUND

☐ AIRPORT FUND

☐ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☒ Current Appropriations

☐ Capital Budget Amendment

SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 200,000 PPU 5 Anticipated Interest Rate 4.20%

Anticipated Annual Cost (Principal and Interest): \$ 43,944

Total Debt Service (Annual Cost x Term): \$ 219,720

Finance Department: Interest rates from Noveber 3, 2022 Bond Buyer - ASBA

SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations
(describe in detail for current and next four years):

SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded:

SECTION E - EXPECTED DESIGN WORK PROVIDER

☐ County Staff

☐ Consultant

☒ Not Applicable

Prepared by: Donna Montera

Title: Director of Admin Servcices

Department: Information Technology


Date: 11/4/22

Reviewed By: 

Budget Director

Date: _____

TO: Michelle Greenbaum, Senior Assistant County Attorney
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM 
Assistant Commissioner

DATE: October 5, 2022

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:
BIT9A GEOGRAPHIC INFORMATION SYSTEMS (2015-2019)**

PROJECT/ACTION: Per Capital Project Fact Sheet as approved by the Planning Department on 09-23-2022 (Unique ID: 2075)

With respect to the State Environmental Quality Review Act and its implementing regulations, 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(31):** purchase or sale of furnishings, equipment or supplies, including surplus government property, other than the following: land, radioactive material, pesticides, herbicides, or other hazardous materials.

COMMENTS: None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff
Paula Friedman, Assistant to the County Executive
Lawrence Soule, Budget Director
Tami Altschiller, Assistant Chief Deputy County Attorney
Lorraine Marzola, Associate Budget Director
Kelly Sheehan, Assistant Commissioner
William Brady, Chief Planner
Michael Lipkin, Associate Planner
Claudia Maxwell, Associate Environmental Planner

ACT NO. - 20____

BOND ACT AUTHORIZING THE ISSUANCE OF \$200,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF THE ACQUISITION OF VARIOUS GIS EQUIPMENT AND SYSTEMS; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$200,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$200,000 BONDS HEREIN AUTHORIZED; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted _____, 20____)

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER, NEW YORK (by the affirmative vote of not less than two-thirds of the voting strength of said Board), AS FOLLOWS:

Section 1. Pursuant to the provisions of the Local Finance Law, constituting Chapter 33-a of the Consolidated Laws of the State of New York (the "Law"), the Westchester County Administrative Code, being Chapter 852 of the Laws of 1948, as amended, and to the provisions of other laws applicable thereto, \$200,000 bonds of the County, or so much thereof as may be necessary, are hereby authorized to be issued to finance the cost of the acquisition of GIS hardware and software, consulting and implementing services, data development and acquisition, mobile technologies, internet applications and related geospatial applications, all as set forth in the County's Current Year Capital Budget, as amended. To the extent that the details set forth in this act are inconsistent with any details set forth in the Current Year Capital Budget of the County, such Budget shall be deemed and is hereby amended. The estimated maximum cost of said class of objects or purposes, including preliminary costs and costs incidental thereto and the financing

thereof is \$200,000. The plan of financing includes the issuance of \$200,000 bonds herein authorized, and any bond anticipation notes issued in anticipation of the sale of such bonds, and the levy of a tax to pay the principal of and interest on said bonds.

Section 2. The period of probable usefulness of the class of objects or purposes for which the \$200,000 bonds authorized by section 1 of this Act are to be issued, within the limitations of Section 11.00 a.35 of the Law, is five (5) years.

Section 3. Current funds are not required to be provided as a down payment pursuant to Section 107.00 d. 9. of the Law prior to issuance of the bonds authorized herein, or any bond anticipation notes issued in anticipation of the sale of such bonds. The County intends to finance, on an interim basis, the costs or a portion of the costs of said improvements for which bonds are herein authorized, which costs are reasonably expected to be reimbursed with the proceeds of debt to be incurred by the County, pursuant to this Act, in the maximum amount of \$200,000. This Act is a declaration of official intent adopted pursuant to the requirements of Treasury Regulation Section 1.150-2.

Section 4. The estimate of \$200,000 as the estimated total cost of the aforesaid objects or purposes is hereby approved.

Section 5. Subject to the provisions of this Act and of the Law, and pursuant to the provisions of §30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals thereof, and of §§50.00, 56.00 to 60.00 and 168.00 of said Law, the powers and duties of the County Board of Legislators relative to authorizing the issuance of any notes in anticipation of the sale of the bonds herein authorized, or the renewals thereof, relative to providing for substantially level or declining annual debt service, relative to prescribing the terms, form and contents and as to the sale and issuance of the respective amounts of bonds herein authorized, and

of any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and relative to executing agreements for credit enhancement, are hereby delegated to the Commissioner of Finance of the County, as the chief fiscal officer of the County.

Section 6. Each of the bonds authorized by this Act and any bond anticipation notes issued in anticipation of the sale thereof shall contain the recital of validity prescribed by §52.00 of said Local Finance Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County of Westchester, payable as to both principal and interest by general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and provision shall be made annually in the budgets of the County by appropriation for (a) the amortization and redemption of the notes and bonds to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 7. The validity of the bonds authorized by this Act and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

(a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or

(b) the provisions of law which should be complied with at the date of the publication of this Act or a summary hereof, are not substantially complied with, and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or

(c) such obligations are authorized in violation of the provisions of the Constitution.

Section 8. This Act shall take effect not earlier than January 1, 2023 and in accordance with Section 107.71 of the Westchester County Charter.

* * *

STATE OF NEW YORK)
 : ss.:
COUNTY OF WESTCHESTER)

I HEREBY CERTIFY that I have compared the foregoing Act No. -20____ with the original on file in my office, and that the same is a correct transcript therefrom and of the whole of the said original Act, which was duly adopted by the County Board of Legislators of the County of Westchester on _____, 20____ and approved by the County Executive on _____, 20____.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said County Board of Legislators this _____ day of _____, 20____.

Clerk and Chief Administrative Officer of the County Board of Legislators of the County of Westchester, New York

(SEAL)

LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on _____, 20____, and approved by the County Executive on _____, 20____, and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. _____-20____

BOND ACT AUTHORIZING THE ISSUANCE OF \$200,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF THE ACQUISITION OF VARIOUS GIS EQUIPMENT AND SYSTEMS; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$200,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$200,000 BONDS HEREIN AUTHORIZED; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted _____, 20____)

object or purpose: to finance the cost of the acquisition of GIS hardware and software, consulting and implementing services, data development and acquisition, mobile technologies, internet applications and related geospatial applications, all as set forth in the County's Current Year Capital Budget, as amended

amount of obligations to be issued
and period of probable usefulness: \$200,000; five (5) years

Dated: _____, 20____
White Plains, New York

Clerk and Chief Administrative Officer of the County
Board of Legislators of the County of Westchester, New
York

CAPITAL PROJECT FACT SHEET

Project ID:* BIT9A	<input type="checkbox"/> CBA	Fact Sheet Date:* 01-03-2023
Fact Sheet Year:* 2023	Project Title:* GEOGRAPHIC INFORMATION SYSTEMS (2015-2019)	Legislative District ID:
Category* BUILDINGS, LAND & MISCELLANEOUS	Department:* INFORMATION TECHNOLOGY	CP Unique ID: 2075

Overall Project Description

This project will provide the ability to acquire GIS hardware, software, consulting services, data aerial imagery for desktop, internet applications and mobile - based geospatial applications.

- | | | |
|--|--|---|
| <input type="checkbox"/> Best Management Practices | <input type="checkbox"/> Energy Efficiencies | <input type="checkbox"/> Infrastructure |
| <input type="checkbox"/> Life Safety | <input type="checkbox"/> Project Labor Agreement | <input type="checkbox"/> Revenue |
| <input type="checkbox"/> Security | <input type="checkbox"/> Other | |

FIVE-YEAR CAPITAL PROGRAM (in thousands)

	Estimated Ultimate Total Cost	Appropriated	2023	2024	2025	2026	2027	Under Review
Gross	1,100	1,100	0	0	0	0	0	0
Less Non-County Shares	0	0	0	0	0	0	0	0
Net	1,100	1,100	0	0	0	0	0	0

Expended/Obligated Amount (in thousands) as of : 566

Current Bond Description: The funds will be used for the purchase of GIS hardware, software, data development and implementing services

Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	200,000
Cash:	0
Total:	\$ 200,000

SEQR Classification:

TYPE II

Amount Requested:

200,000

Comments:

Energy Efficiencies:

Appropriation History:

Year	Amount	Description
2015	200,000	VARIOUS GIS PRODUCTS
2016	200,000	VARIOUS GIS PRODUCTS
2017	200,000	PURCHASE OF GIS EQUIPMENT
2018	200,000	EQUIPMENT PURCHASE
2019	200,000	CONTINUATION OF THIS PROJECT
2020	100,000	DEVELOPMENT OF CODED MAPS THAT INDICATES WHICH GOVERNMENT ENTITY OWNS/IS RESPONSIBLE FOR ANY GIVEN ROAD IN THE COUNTY

Total Appropriation History:

1,100,000

Financing History:

Year	Bond Act #	Amount	Issued	Description
15	78	200,000	199,960	GEOGRAPHIC INFORMATION SYSTEMS (2015 - 2019)
16	48	200,000	191,348	GEOGRAPHIC INFORMATION SYSTEMS (2015 - 2019)
17	60	200,000	107,371	GIS HARDWARE, SOFTWARE, CONSULTING, AND DATA PRODUCTS
18	134	200,000	0	DOIT ENTERPRISE GIS HARDWARE & SOFTWARE, CONSULTING, DATA DEVELOPMENT, MOBILE TECH & APPLICATIONS

Total Financing History:

800,000

Recommended By:**Department of Planning**

WBB4

Date

09-23-2022

Department of Public Works

RJB4

Date

09-23-2022

Budget Department

LMY1

Date

09-23-2022

Requesting Department

DDMK

Date

09-23-2022

GEOGRAPHIC INFORMATION SYSTEMS (2015-2019) (BIT9A)

User Department : Information Technology

Managing Department(s) : Information Technology ;

Estimated Completion Date: TBD

Planning Board Recommendation: Project without physical planning aspects of concern to the Westchester County Planning Board.

FIVE YEAR CAPITAL PROGRAM (in thousands)

	Est Ult Cost	Appropriated	Exp / Obl	2023	2024	2025	2026	2027	Under Review
Gross	1,100	1,100	566						
Non County Share			1						
Total	1,100	1,100	567						

Project Description

This project will provide the ability to acquire GIS hardware, software, consulting services, data aerial imagery for desktop, internet applications and mobile - based geospatial applications.

Current Year Description

There is no current year request.

Impact on Operating Budget

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

Appropriation History

Year	Amount	Description	Status
2015	200,000	Various GIS products	COMPLETE
2016	200,000	Various GIS products	COMPLETE
2017	200,000	Purchase of GIS equipment	IN PROGRESS
2018	200,000	Equipment purchase	IN PROGRESS
2019	200,000	Continuation of this project	AWAITING BOND AUTHORIZATION
2020	100,000	Development of coded maps that indicates which government entity owns/is responsible for any given road in the county	AWAITING BOND AUTHORIZATION
Total	1,100,000		

Prior Appropriations

	Appropriated	Collected	Uncollected
Bond Proceeds	1,100,000	499,718	600,282
Others		(1,038)	1,038
Total	1,100,000	498,679	601,321

GEOGRAPHIC INFORMATION SYSTEMS (2015-2019)
(BIT9A)

Bonds Authorized

Bond Act	Amount	Date Sold	Amount Sold	Balance
78 15	200,000	12/15/16	140,254	40
		12/15/17	42,109	
		12/15/17	7,732	
		12/15/17	65	
		12/10/18	9,800	
48 16	200,000	12/10/18	74,670	8,652
		12/10/19	65,214	
		12/10/19	12,878	
		04/30/20	38,586	
60 17	200,000	12/10/19	32,702	92,629
		12/10/19	6,458	
		10/28/20	26,875	
		10/28/20	3,766	
		10/28/20	1,038	
		10/28/20	(1,038)	
		12/01/21	37,571	
134 18	200,000			200,000
Total	800,000		498,679	301,321

**HONORABLE BOARD OF LEGISLATORS
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmittal from the County Executive recommending approval by the County of Westchester (“County”) of a bond act (“Bond Act”) in the amount of \$100,000 to finance capital project BIT29 – Data Center Upgrade (“BIT29”). The Bond Act, which was prepared by the law firm Hawkins, Delafield & Wood, will finance the cost of data center upgrades.

The Department of Information Technology (“Department”) has advised that this bond request is required to complete upgrades to the County’s two primary data centers including upgrades to utility power, generators, uninterruptible power supplies, power distribution units, HVAC servers, network, and power management systems.

The design has been completed by in-house staff. Following bonding authorization, it is estimated that construction and installation will take three months to complete and will begin after award, execution, and delivery of the equipment required for the datacenter.

It should be noted that your Honorable Board has previously authorized bonding in connection with separable components of BIT29 as indicated in the annexed Fact Sheet.

The Planning Department has advised your Committee that based on its review, BIT29 has been classified as a Type “II” action pursuant to the State Environmental Quality Review Act (“SEQR”) and its implementing regulations, 6 NYCRR Part 617. Therefore, no further environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this conclusion.

It should be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to adopt the Bond Act. Your Committee recommends the adoption of the proposed Bond Act.

Dated: _____, 20____
White Plains, New York

FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: BIT29

☒ NO FISCAL IMPACT PROJECTED

SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☒ GENERAL FUND

☐ AIRPORT FUND

☐ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☒ Current Appropriations

☐ Capital Budget Amendment

SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 100,000 PPU 5 Anticipated Interest Rate 5.15%

Anticipated Annual Cost (Principal and Interest): \$ 21,972

Total Debt Service (Annual Cost x Term): \$ 109,860

Finance Department: Interest rates from November 3, 2022 Bond Buyer - ASBA

SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations
(describe in detail for current and next four years):

SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded:

SECTION E - EXPECTED DESIGN WORK PROVIDER

☐ County Staff

☐ Consultant

☒ Not Applicable

Prepared by: Donna Monterera

Title: Director of Admin Services

Department: Information Technology


Date: 11/4/22

Reviewed By: [Signature]

Budget Director

Date: 11/4/22

TO: Michelle Greenbaum, Senior Assistant County Attorney
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM 
Assistant Commissioner

DATE: October 5, 2022

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:
BIT29 DATA CENTER UPGRADE**

PROJECT/ACTION: Per Capital Project Fact Sheet as approved by the Planning Department on
09-23-2022 (Unique ID: 2057)

With respect to the State Environmental Quality Review Act and its implementing regulations, 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(31):** purchase or sale of furnishings, equipment or supplies, including surplus government property, other than the following: land, radioactive material, pesticides, herbicides, or other hazardous materials.

COMMENTS: None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff
Paula Friedman, Assistant to the County Executive
Lawrence Soule, Budget Director
Tami Altschiller, Assistant Chief Deputy County Attorney
Lorraine Marzola, Associate Budget Director
Kelly Sheehan, Assistant Commissioner
William Brady, Chief Planner
Michael Lipkin, Associate Planner
Claudia Maxwell, Associate Environmental Planner

ACT NO. - 20____

BOND ACT AUTHORIZING THE ISSUANCE OF \$100,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF DATA CENTER UPGRADES; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$100,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$100,000 BONDS HEREIN AUTHORIZED; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted _____, 20____)

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER, NEW YORK (by the affirmative vote of not less than two-thirds of the voting strength of said Board), AS FOLLOWS:

Section 1. Pursuant to the provisions of the Local Finance Law, constituting Chapter 33-a of the Consolidated Laws of the State of New York (the "Law"), the Westchester County Administrative Code, being Chapter 852 of the Laws of 1948, as amended, and to the provisions of other laws applicable thereto, \$100,000 bonds of the County, or so much thereof as may be necessary, are hereby authorized to be issued to finance the cost of data center upgrades, as set forth in the County's Current Year Capital Budget, as amended. To the extent that the details set forth in this act are inconsistent with any details set forth in the Current Year Capital Budget of the County, such Budget shall be deemed and is hereby amended. The estimated maximum cost of said class of objects or purposes, including preliminary costs and costs incidental thereto and the financing thereof is \$100,000. The plan of financing includes the issuance of \$100,000 bonds herein authorized, and any bond anticipation notes issued in anticipation of the sale of such bonds, and the levy of a tax to pay the principal of and interest on said bonds.

Section 2. The period of probable usefulness of the class of objects or purposes for which the \$100,000 bonds authorized by section 1 of this Act are to be issued, within the limitations of Section 11.00 a. 32, 35 and 89 of the Law, is five (5) years.

Section 3. Current funds are not required to be provided as a down payment pursuant to Section 107.00 d. 9. of the Law prior to issuance of the bonds authorized herein, or any bond anticipation notes issued in anticipation of the sale of such bonds. The County intends to finance, on an interim basis, the costs or a portion of the costs of said improvements for which bonds are herein authorized, which costs are reasonably expected to be reimbursed with the proceeds of debt to be incurred by the County, pursuant to this Act, in the maximum amount of \$100,000. This Act is a declaration of official intent adopted pursuant to the requirements of Treasury Regulation Section 1.150-2.

Section 4. The estimate of \$100,000 as the estimated total cost of the aforesaid objects or purposes is hereby approved.

Section 5. Subject to the provisions of this Act and of the Law, and pursuant to the provisions of §30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals thereof, and of §§50.00, 56.00 to 60.00 and 168.00 of said Law, the powers and duties of the County Board of Legislators relative to authorizing the issuance of any notes in anticipation of the sale of the bonds herein authorized, or the renewals thereof, relative to providing for substantially level or declining annual debt service, relative to prescribing the terms, form and contents and as to the sale and issuance of the respective amounts of bonds herein authorized, and of any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and relative to executing agreements for credit enhancement, are hereby delegated to the Commissioner of Finance of the County, as the chief fiscal officer of the County.

Section 6. Each of the bonds authorized by this Act and any bond anticipation notes issued in anticipation of the sale thereof shall contain the recital of validity prescribed by §52.00 of said Local Finance Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County of Westchester, payable as to both principal and interest by general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and provision shall be made annually in the budgets of the County by appropriation for (a) the amortization and redemption of the notes and bonds to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 7. The validity of the bonds authorized by this Act and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

(a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or

(b) the provisions of law which should be complied with at the date of the publication of this Act or a summary hereof, are not substantially complied with, and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or

(c) such obligations are authorized in violation of the provisions of the Constitution.

Section 8. This Act shall take effect not earlier than January 1, 2023 and in accordance with Section 107.71 of the Westchester County Charter.

* * *

STATE OF NEW YORK)
 : ss.:
COUNTY OF WESTCHESTER)

I HEREBY CERTIFY that I have compared the foregoing Act No. -20____ with the original on file in my office, and that the same is a correct transcript therefrom and of the whole of the said original Act, which was duly adopted by the County Board of Legislators of the County of Westchester on _____, 20____ and approved by the County Executive on _____, 20____.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said County Board of Legislators this _____ day of _____, 20____.

Clerk and Chief Administrative Officer of the County Board of Legislators of the County of Westchester, New York

(SEAL)

LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on _____, 20____, and approved by the County Executive on _____, 20____, and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. _____-20____

BOND ACT AUTHORIZING THE ISSUANCE OF \$100,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF DATA CENTER UPGRADES; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$100,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$100,000 BONDS HEREIN AUTHORIZED; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted _____, 20____)

object or purpose: to finance the cost of data center upgrades, as set forth in the County's Current Year Capital Budget, as amended

amount of obligations to be issued

and period of probable usefulness: \$100,000; five (5) years

Dated: _____, 20____
White Plains, New York

Clerk and Chief Administrative Officer of the County
Board of Legislators of the County of Westchester, New
York

CAPITAL PROJECT FACT SHEET

Project ID:* BIT29	<input type="checkbox"/> CBA	Fact Sheet Date:* 01-03-2023
Fact Sheet Year:* 2023	Project Title:* DATA CENTER UPGRADE	Legislative District ID:
Category* BUILDINGS, LAND & MISCELLANEOUS	Department:* INFORMATION TECHNOLOGY	CP Unique ID: 2057

Overall Project Description

This funding request is to upgrade 2 primary Data Centers which utilize utility power, generators, uninterruptible power supplies, power distribution units, HVAC servers, network, and power management systems.

- | | | |
|--|--|---|
| <input type="checkbox"/> Best Management Practices | <input type="checkbox"/> Energy Efficiencies | <input type="checkbox"/> Infrastructure |
| <input type="checkbox"/> Life Safety | <input type="checkbox"/> Project Labor Agreement | <input type="checkbox"/> Revenue |
| <input type="checkbox"/> Security | <input type="checkbox"/> Other | |

FIVE-YEAR CAPITAL PROGRAM (in thousands)

	Estimated Ultimate Total Cost	Appropriated	2023	2024	2025	2026	2027	Under Review
Gross	600	500	100	0	0	0	0	0
Less Non-County Shares	0	0	0	0	0	0	0	0
Net	600	500	100	0	0	0	0	0

Expended/Obligated Amount (in thousands) as of: 459

Current Bond Description: The additional funding will be used to buy additional equipment, UPS/batteries and services as needed at both the TMC and MOB Data Centers to ensure there is adequate power and to minimize downtime to the County and to ensure interoperability.

Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	100,000
Cash:	0
Total:	\$ 100,000

SEQR Classification:

TYPE II

Amount Requested:

100,000

Comments:

Energy Efficiencies:

Appropriation History:

Year	Amount	Description
2013	500,000	UPGRADE OF DATA CENTERS

Total Appropriation History:

500,000

Financing History:

Year	Bond Act #	Amount	Issued	Description
13	139	500,000	356,980	DATA CENTER UPGRADES - FOR COUNTY'S TWO PRIMARY DATA CENTERS

Total Financing History:
500,000

Recommended By:

Department of Planning
WBB4

Date
09-23-2022

Department of Public Works
RJB4

Date
09-23-2022

Budget Department
LMY1

Date
09-23-2022

Requesting Department
DDMK

Date
09-23-2022

DATA CENTER UPGRADE (BIT29)

User Department : Information Technology

Managing Department(s) : Information Technology ;

Estimated Completion Date: TBD

Planning Board Recommendation: Project without physical planning aspects of concern to the Westchester County Planning Board.

FIVE YEAR CAPITAL PROGRAM (in thousands)

	Est Ult Cost	Appropriated	Exp / Obl	2023	2024	2025	2026	2027	Under Review
Gross	600	500	459	100					
Non County Share									
Total	600	500	459	100					

Project Description

This funding request is to upgrade 2 primary Data Centers which utilize utility power, generators, uninterruptible power supplies, power distribution units, HVAC servers, network, and power management systems.

Current Year Description

The current year request funds the continued upgrade of the facilities.

Current Year Financing Plan

Year	Bonds	Cash	Non County Shares	Total
2023	100,000			100,000

Impact on Operating Budget

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

Appropriation History

Year	Amount	Description	Status
2013	500,000	Upgrade of data centers	IN PROGRESS
Total	500,000		

Prior Appropriations

	Appropriated	Collected	Uncollected
Bond Proceeds	500,000	356,980	143,020
Total	500,000	356,980	143,020

Bonds Authorized

Bond Act	Amount	Date Sold	Amount Sold	Balance
139 13	500,000	12/15/16	69,994	143,020
		12/15/17	165,292	
		12/15/17	30,349	
		12/15/17	256	
		12/10/18	32,123	
		12/01/21	58,964	
Total	500,000		356,980	143,020

**HONORABLE BOARD OF LEGISLATORS
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmittal from the County Executive recommending approval by the County of Westchester (“County”) of a bond act (“Bond Act”) in the amount of \$1,350,000 to finance capital project BIT45 – Building Access Control and Video Surveillance Systems Upgrade (“BIT45”). The Bond Act, which was prepared by the law firm Harris Beach, will finance the cost of the acquisition and installation of building access control and video surveillance systems at various County office buildings for the County’s Department of Information Technology (“Department”).

The Department has advised that the equipment for access control and video surveillance system has reached its end of functional life, and the current hardware and software do not meet the latest industry standards.

Following bonding authorization, it is estimated that the acquisition and installation will be completed within approximately twelve to twenty-four months. It is anticipated that the design will be completed by both consultants and in-house staff.

It should be noted that your Honorable Board has previously authorized the County to issue bonds in connection with separable components of BIT45 as indicated in the annexed fact sheet.

The Planning Department has advised your Committee that based on its review, BIT45 has been classified as a Type “II” action pursuant to the State Environmental Quality Review Act (“SEQR”) and its implementing regulations, 6 NYCRR Part 617. Therefore, no further environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this conclusion.

It should be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to adopt the Bond Act. Your Committee recommends the adoption of the proposed Bond Act.

Dated: _____, 20____
White Plains, New York

c/mg 9-23-22

COMMITTEE ON

FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: BIT45

☒ NO FISCAL IMPACT PROJECTED

SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☒ GENERAL FUND

☐ AIRPORT FUND

☐ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☒ Current Appropriations

☐ Capital Budget Amendment

SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 1,350,000 PPU 5 Anticipated Interest Rate 3.42%

Anticipated Annual Cost (Principal and Interest): \$ 296,622

Total Debt Service (Annual Cost x Term): \$ 1,483,110

Finance Department: Interest rates from November 3, 2022 Bond Buyer - ASBA

SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations
(describe in detail for current and next four years):

SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded:

SECTION E - EXPECTED DESIGN WORK PROVIDER

☐ County Staff

☒ Consultant

☐ Not Applicable

Prepared by: Donna Montero

Title: Director of Admin Services

Department: Information Technology

Date: 11/4/22

Reviewed By: 

Budget Director

Date: 11/4/22

TO: Michelle Greenbaum, Senior Assistant County Attorney
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM
Assistant Commissioner



DATE: October 5, 2022

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:
BIT45 Building Access Control and Video Surveillance Systems Upgrade**

PROJECT/ACTION: Per Capital Project Fact Sheet as approved by the Planning Department on
09-22-2022 (Unique ID: 2049)

With respect to the State Environmental Quality Review Act and its implementing regulations, 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(31):** purchase or sale of furnishings, equipment or supplies, including surplus government property, other than the following: land, radioactive material, pesticides, herbicides, or other hazardous materials.

COMMENTS: None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff
Paula Friedman, Assistant to the County Executive
Lawrence Soule, Budget Director
Tami Altschiller, Assistant Chief Deputy County Attorney
Lorraine Marzola, Associate Budget Director
Kelly Sheehan, Assistant Commissioner
William Brady, Chief Planner
Michael Lipkin, Associate Planner
Claudia Maxwell, Associate Environmental Planner

ACT NO. -20__

BOND ACT AUTHORIZING THE ISSUANCE OF \$1,350,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF THE ACQUISITION AND INSTALLATION OF BUILDING ACCESS CONTROL AND VIDEO SURVEILLANCE SYSTEMS AT VARIOUS COUNTY OFFICE BUILDINGS FOR THE DEPARTMENT OF INFORMATION TECHNOLOGY; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$1,350,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$1,350,000 BONDS HEREIN AUTHORIZED TO FINANCE SUCH COST; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted , 20__)

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER, NEW YORK (by the affirmative vote of not less than two-thirds of the voting strength of said Board), AS FOLLOWS:

Section 1. Pursuant to the provisions of the Local Finance Law, constituting Chapter 33-a of the Consolidated Laws of the State of New York (the "Law"), the Westchester County Administrative Code, being Chapter 852 of the Laws of 1948, as amended, to the provisions of other laws applicable thereto, \$1,350,000 bonds of the County, or so much thereof as may be necessary, are hereby authorized to be issued to finance the cost of the acquisition and installation of building access control and video surveillance systems at various County office

buildings for the Department of Information Technology; all as set forth in the County's current year Capital Budget, as amended. To the extent that the details set forth in this act are inconsistent with any details set forth in the current year Capital Budget of the County, such Budget shall be deemed and is hereby amended. The estimated maximum cost of said objects or purposes, including preliminary costs and costs incidental thereto and the financing thereof is \$1,350,000. The plan of financing includes the issuance of \$1,350,000 bonds herein authorized, and any bond anticipation notes issued in anticipation of the sale of such bonds, and the levy of a tax to pay the principal of and interest on said bonds.

Section 2. The period of probable usefulness applicable to the objects or purposes for which the bonds authorized by this Act are to be issued, within the limitations of Section 11.00 a. 89 of the Law, is five (5) years.

Section 3. Current funds are not required to be provided as a down payment pursuant to Section 107.00 d. 9. of the Law prior to issuance of the bonds authorized herein, or any bond anticipation notes issued in anticipation of the sale of such bonds. The County intends to finance, on an interim basis, the costs or a portion of the costs of said improvements for which bonds are herein authorized, which costs are reasonably expected to be reimbursed with the proceeds of debt to be incurred by the County, pursuant to this Act, in the maximum amount of \$1,350,000. This Act is a declaration of official intent adopted pursuant to the requirements of Treasury Regulation Section 1.150-2.

Section 4. Subject to the provisions of this Act and of the Law, and pursuant to the provisions of §30.00 relative to the authorization of the issuance of bond anticipation notes

or the renewals thereof, and of §§50.00, 56.00 to 60.00 and 168.00 of said Law, the powers and duties of the County Board of Legislators relative to authorizing the issuance of any notes in anticipation of the sale of the bonds herein authorized, or the renewals thereof, relative to providing for substantially level or declining annual debt service, relative to prescribing the terms, form and contents and as to the sale and issuance of the respective amounts of bonds herein authorized, and of any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and relative to executing agreements for credit enhancement, are hereby delegated to the Commissioner of Finance of the County, as the chief fiscal officer of the County.

Section 5. Each of the bonds authorized by this Act and any bond anticipation notes issued in anticipation of the sale thereof shall contain the recital of validity prescribed by §52.00 of said Local Finance Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County of Westchester, payable as to both principal and interest by general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and provision shall be made annually in the budgets of the County by appropriation for (a) the amortization and redemption of the notes and bonds to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 6. The validity of the bonds authorized by this Act and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

(a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or

(b) the provisions of law which should be complied with at the date of the publication of this Act or a summary hereof, are not substantially complied with,
and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or

(c) such obligations are authorized in violation of the provisions of the Constitution.

Section 7. This Act shall take effect in accordance with Section 107.71 of the Westchester County Charter.

* * *

LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on _____, 20____ and approved, as amended, by the County Executive on _____, 20____ and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the amended Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. _____-20____

BOND ACT AUTHORIZING THE ISSUANCE OF \$1,350,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF THE ACQUISITION AND INSTALLATION OF BUILDING ACCESS CONTROL AND VIDEO SURVEILLANCE SYSTEMS AT VARIOUS COUNTY OFFICE BUILDINGS FOR THE DEPARTMENT OF INFORMATION TECHNOLOGY; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$1,350,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$1,350,000 BONDS HEREIN AUTHORIZED TO FINANCE SUCH COST; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS.
(Adopted _____, 20____)

object or purpose: to finance the cost of the acquisition and installation of building access control and video surveillance systems at various County office buildings for the Department of Information Technology; all as set forth in the County's current year Capital Budget, as amended

amount of obligations to be issued:

and period of probable usefulness: \$1,350,000; five (5) years

Dated: _____, 20____

White Plains, New York

Clerk and Chief Administrative Officer of the
County Board of Legislators of the County of
Westchester, New York

CAPITAL PROJECT FACT SHEET

Project ID:* BIT45	<input type="checkbox"/> CBA	Fact Sheet Date:* 01-03-2023
Fact Sheet Year:* 2023	Project Title:* BUILDING ACCESS CONTROL AND VIDEO SURVEILLANCE SYSTEMS UPGRADE	Legislative District ID:
Category* BUILDINGS, LAND & MISCELLANEOUS	Department:* INFORMATION TECHNOLOGY	CP Unique ID: 2049

Overall Project Description

This project will fund the replacement and upgrade of Building Access Control and Video surveillance systems which include software, hardware, network infrastructure, training and implementation services. These systems will help to manage building access and monitor surveillance at various County Office Buildings.

- | | | |
|--|--|---|
| <input type="checkbox"/> Best Management Practices | <input type="checkbox"/> Energy Efficiencies | <input type="checkbox"/> Infrastructure |
| <input type="checkbox"/> Life Safety | <input type="checkbox"/> Project Labor Agreement | <input type="checkbox"/> Revenue |
| <input type="checkbox"/> Security | <input type="checkbox"/> Other | |

FIVE-YEAR CAPITAL PROGRAM (in thousands)

	Estimated Ultimate Total Cost	Appropriated	2023	2024	2025	2026	2027	Under Review
Gross	5,350	4,000	1,350	0	0	0	0	0
Less Non-County Shares	0	0	0	0	0	0	0	0
Net	5,350	4,000	1,350	0	0	0	0	0

Expended/Obligated Amount (in thousands) as of : 1,619

Current Bond Description: The funds will be used to purchase Building access control and surveillance equipment, software and implementing services for the upgrade of the access control and surveillance systems at various County Office Buildings. The equipment will include but not limited to IP cameras, NVR's, iStars, POE switches, other network infrastructures.

Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	1,350,000
Cash:	0
Total:	\$ 1,350,000

SEQR Classification:

TYPE II

Amount Requested:

1,350,000

Comments:

Energy Efficiencies:

Appropriation History:

Year	Amount	Description
2019	1,000,000	FUNDS THE REPLACEMENT/UPGRADE
2020	1,000,000	CONTINUATION OF THIS PROJECT
2021	1,000,000	CONTINUATION OF THIS PROJECT
2022	1,000,000	CONTINUATION OF THIS PROJECT

Total Appropriation History:

4,000,000

Financing History:

Year	Bond Act #	Amount	Issued	Description
19	90	1,000,000	502,986	PURCHASE OF EQUIPMENT AND OTHER SERVICES FOR UPGRADE OF SURVEILLANCE SYSTEMS AT WC OFFICE BUILDINGS
21	207	2,000,000	0	BUILDING ACCESS CONTROL AND VIDEO SURVEILLANCE SYSTEMS UPGRADE
21	39	1,000,000	0	PURCHASE OF EQUIPMENT AND OTHER SERVICES FOR UPGRADE OF SURVEILLANCE SYSTEMS AT WC OFFICE BUILDINGS

Total Financing History:

4,000,000

Recommended By:

Department of Planning
WBB4

Date
09-22-2022

Department of Public Works
RJB4

Date
09-23-2022

Budget Department
LMY1

Date
09-23-2022

Requesting Department
DDMK

Date
09-23-2022

BUILDING ACCESS CONTROL AND VIDEO SURVEILLANCE SYSTEMS UPGRADE (BIT45)

User Department : Information Technology

Managing Department(s) : Information Technology ;

Estimated Completion Date: TBD

Planning Board Recommendation: Project without physical planning aspects of concern to the Westchester County Planning Board.

FIVE YEAR CAPITAL PROGRAM (in thousands)

	Est Ult Cost	Appropriated	Exp / Obl	2023	2024	2025	2026	2027	Under Review
Gross	5,350	4,000	1,619	1,350					
Non County Share			11						
Total	5,350	4,000	1,630	1,350					

Project Description

This project will fund the replacement and upgrade of Building Access Control and Video surveillance systems which include software, hardware, network infrastructure, training and implementation services. These systems will help to manage building access and monitor surveillance at various County Office Buildings.

Current Year Description

The current year request funds the replacement of iStars and RFID Proximity Card Readers in County office buildings.

Current Year Financing Plan

Year	Bonds	Cash	Non County Shares	Total
2023	1,350,000			1,350,000

Impact on Operating Budget

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

Appropriation History

Year	Amount	Description	Status
2019	1,000,000	Funds the replacement/upgrade	COMPLETE
2020	1,000,000	Continuation of this project	IN PROGRESS
2021	1,000,000	Continuation of this project	AWAITING BOND AUTHORIZATION
2022	1,000,000	Continuation of this project	AWAITING BOND AUTHORIZATION
Total	4,000,000		

Prior Appropriations

	Appropriated	Collected	Uncollected
Bond Proceeds	4,000,000	502,986	3,497,014
Others		(10,661)	10,661
Total	4,000,000	492,325	3,507,675

BUILDING ACCESS CONTROL AND VIDEO SURVEILLANCE SYSTEMS UPGRADE (BIT45)

Bonds Authorized

Bond Act	Amount	Date Sold	Amount Sold	Balance
90 19	1,000,000	12/10/19	101,046	497,014
		12/10/19	19,954	
		04/30/20	56,753	
		10/28/20	275,913	
		10/28/20	38,659	
		10/28/20	10,661	
39 21	1,000,000			1,000,000
207 21	2,000,000			2,000,000
Total	4,000,000		502,986	3,497,014

**HONORABLE BOARD OF LEGISLATORS
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmittal from the County Executive recommending approval by the County of Westchester (“County”) of a bond act (“Bond Act”) in the amount of \$3,350,000 to finance capital project BIT47 – Network and Security Infrastructure Upgrades 2020-2024. The Bond Act, which was prepared by the law firm Harris Beach, will finance the cost of network and security infrastructure upgrades at various County office buildings for the Department of Information Technology (“Department”), including the upgrade of Main Office Building Datacenter hardware and software, replacement of network switches at Yonkers I-Park, cyber security software upgrades, Yonkers Water Treatment Plant Facility and the Cisco Unified Computing Systems Data Center Platform to combine enhanced software-defined storage and data services software.

The Department has advised BIT47 provides for the replacement of obsolete hardware, software and related services.

Following bonding authorization, it is estimated that the acquisition and installation will be completed within approximately twelve to twenty-four months. The work will be completed by in-house staff.

It should be noted that your Honorable Board has previously authorized the County to issue bonds in connection with separable components of BIT47 as indicated in the annexed fact sheet.

The Planning Department has advised your Committee that based on its review, BIT47 has been classified as a Type “II” action pursuant to the State Environmental Quality Review Act (“SEQR”) and its implementing regulations, 6 NYCRR Part 617. Therefore, no further environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this conclusion.

It should be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to adopt the Bond Act. Your Committee recommends the adoption of the proposed Bond Act.

Dated: _____, 20____
White Plains, New York

c/mg-9-23-22

COMMITTEE ON

FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: BIT47

☒ NO FISCAL IMPACT PROJECTED

SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☒ GENERAL FUND

☐ AIRPORT FUND

☐ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☒ Current Appropriations

☐ Capital Budget Amendment

SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 3,350,000 PPU 5 Anticipated Interest Rate 3.34%

Anticipated Annual Cost (Principal and Interest): \$ 736,062

Total Debt Service (Annual Cost x Term): \$ 3,680,310

Finance Department: Interest rates from November 3, 2022 Bond Buyer - ASBA

SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations

(describe in detail for current and next four years):

SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded:

SECTION E - EXPECTED DESIGN WORK PROVIDER

☐ County Staff

☐ Consultant

☒ Not Applicable

Prepared by: Donna Montera

Title: Director of Admin Services

Department: Information Technology


Date: 11/4/22

Reviewed By: 

Budget Director

Date: 11/4/2022

TO: Michelle Greenbaum, Senior Assistant County Attorney
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM 
Assistant Commissioner

DATE: October 5, 2022

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:
BIT47 Network and Security Infrastructure Upgrades 2020-2024**

PROJECT/ACTION: Per Capital Project Fact Sheet as approved by the Planning Department on
09-27-2022 (Unique ID: 2050)

With respect to the State Environmental Quality Review Act and its implementing regulations, 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(31):** purchase or sale of furnishings, equipment or supplies, including surplus government property, other than the following: land, radioactive material, pesticides, herbicides, or other hazardous materials.

COMMENTS: None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff
Paula Friedman, Assistant to the County Executive
Lawrence Soule, Budget Director
Tami Altschiller, Assistant Chief Deputy County Attorney
Lorraine Marzola, Associate Budget Director
Kelly Sheehan, Assistant Commissioner
William Brady, Chief Planner
Michael Lipkin, Associate Planner
Claudia Maxwell, Associate Environmental Planner

ACT NO. -20__

BOND ACT AUTHORIZING THE ISSUANCE OF \$3,350,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF NETWORK AND SECURITY INFRASTRUCTURE UPGRADES AT VARIOUS COUNTY OFFICE BUILDINGS FOR THE DEPARTMENT OF INFORMATION TECHNOLOGY; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$3,350,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$3,350,000 BONDS HEREIN AUTHORIZED TO FINANCE SUCH COST; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted , 20__)

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER, NEW YORK (by the affirmative vote of not less than two-thirds of the voting strength of said Board), AS FOLLOWS:

Section 1. Pursuant to the provisions of the Local Finance Law, constituting Chapter 33-a of the Consolidated Laws of the State of New York (the "Law"), the Westchester County Administrative Code, being Chapter 852 of the Laws of 1948, as amended, to the provisions of other laws applicable thereto, \$3,350,000 bonds of the County, or so much thereof as may be necessary, are hereby authorized to be issued to finance the cost of network and security infrastructure upgrades at various County office buildings for the Department of Information

Technology, including the upgrade of MOB Datacenter hardware and software, replacement of network switches at: Yonkers I-Park, cyber security software upgrades, Yonkers Water Treatment Plant Facility and the Cisco UCS Data Center Platform to combine enhanced software-defined storage and data services software; all as set forth in the County's current year Capital Budget, as amended. To the extent that the details set forth in this act are inconsistent with any details set forth in the current year Capital Budget of the County, such Budget shall be deemed and is hereby amended. The estimated maximum cost of said objects or purposes, including preliminary costs and costs incidental thereto and the financing thereof is \$3,350,000. The plan of financing includes the issuance of \$3,350,000 bonds herein authorized, and any bond anticipation notes issued in anticipation of the sale of such bonds, and the levy of a tax to pay the principal of and interest on said bonds.

Section 2. The period of probable usefulness applicable to the objects or purposes for which the bonds authorized by this Act are to be issued, within the limitations of Section 11.00 a. 89 of the Law, is five (5) years.

Section 3. Current funds are not required to be provided as a down payment pursuant to Section 107.00 d. 9. of the Law prior to issuance of the bonds authorized herein, or any bond anticipation notes issued in anticipation of the sale of such bonds. The County intends to finance, on an interim basis, the costs or a portion of the costs of said improvements for which bonds are herein authorized, which costs are reasonably expected to be reimbursed with the proceeds of debt to be incurred by the County, pursuant to this Act, in the maximum amount of

\$3,350,000. This Act is a declaration of official intent adopted pursuant to the requirements of Treasury Regulation Section 1.150-2.

Section 4. Subject to the provisions of this Act and of the Law, and pursuant to the provisions of §30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals thereof, and of §§50.00, 56.00 to 60.00 and 168.00 of said Law, the powers and duties of the County Board of Legislators relative to authorizing the issuance of any notes in anticipation of the sale of the bonds herein authorized, or the renewals thereof, relative to providing for substantially level or declining annual debt service, relative to prescribing the terms, form and contents and as to the sale and issuance of the respective amounts of bonds herein authorized, and of any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and relative to executing agreements for credit enhancement, are hereby delegated to the Commissioner of Finance of the County, as the chief fiscal officer of the County.

Section 5. Each of the bonds authorized by this Act and any bond anticipation notes issued in anticipation of the sale thereof shall contain the recital of validity prescribed by §52.00 of said Local Finance Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County of Westchester, payable as to both principal and interest by general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and provision shall be made annually in the budgets of the County by appropriation

for (a) the amortization and redemption of the notes and bonds to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 6. The validity of the bonds authorized by this Act and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

(a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or

(b) the provisions of law which should be complied with at the date of the publication of this Act or a summary hereof, are not substantially complied with,

and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or

(c) such obligations are authorized in violation of the provisions of the Constitution.

Section 7. This Act shall take effect in accordance with Section 107.71 of the Westchester County Charter.

* * *

STATE OF NEW YORK)
)
 : ss.:
COUNTY OF WESTCHESTER)

I HEREBY CERTIFY that I have compared the foregoing Act No. -20__ with the original on file in my office, and that the same is a correct transcript therefrom and of the whole of the said original Act, which was duly adopted by the County Board of Legislators of the County of Westchester on , 20__ and approved by the County Executive on , 20__.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said County Board of Legislators this day of , 20 .

(SEAL)

The Clerk and Chief Administrative Officer of the
County Board of Legislators
County of Westchester, New York

LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on _____, 20__ and approved, as amended, by the County Executive on _____, 20__ and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the amended Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. _____-20__

BOND ACT AUTHORIZING THE ISSUANCE OF \$3,350,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF NETWORK AND SECURITY INFRASTRUCTURE UPGRADES AT VARIOUS COUNTY OFFICE BUILDINGS FOR THE DEPARTMENT OF INFORMATION TECHNOLOGY; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$3,350,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$3,350,000 BONDS HEREIN AUTHORIZED TO FINANCE SUCH COST; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted _____, 20__)

object or purpose: to finance the cost of network and security infrastructure upgrades at various County office buildings for the Department of Information Technology, including the upgrade of MOB Datacenter hardware and software. replacement of network switches at: Yonkers I-Park, cyber security software upgrades, Yonkers Water Treatment Plant Facility and the Cisco UCS Data Center Platform to combine enhanced software-defined storage and data services software; all as set forth in the County's current year Capital Budget, as amended

amount of obligations to be issued:

and period of probable usefulness: \$3,350,000; five (5) years

Dated: _____, 20__
White Plains, New York

Clerk and Chief Administrative Officer of the
County Board of Legislators of the County of
Westchester, New York

CAPITAL PROJECT FACT SHEET

Project ID:* BIT47	<input type="checkbox"/> CBA	Fact Sheet Date:* 01-03-2023
Fact Sheet Year:* 2023	Project Title:* NETWORK AND SECURITY INFRASTRUCTURE UPGRADES 2020- 2024	Legislative District ID:
Category* BUILDINGS, LAND & MISCELLANEOUS	Department:* INFORMATION TECHNOLOGY	CP Unique ID: 2050

Overall Project Description

This project will fund the continuation of Network and Security Infrastructure Upgrades with the replacement of obsolete hardware, software and related services.

- | | | |
|--|--|---|
| <input type="checkbox"/> Best Management Practices | <input type="checkbox"/> Energy Efficiencies | <input type="checkbox"/> Infrastructure |
| <input type="checkbox"/> Life Safety | <input type="checkbox"/> Project Labor Agreement | <input type="checkbox"/> Revenue |
| <input type="checkbox"/> Security | <input type="checkbox"/> Other | |

FIVE-YEAR CAPITAL PROGRAM (in thousands)

	Estimated Ultimate Total Cost	Appropriated	2023	2024	2025	2026	2027	Under Review
Gross	6,450	2,200	3,350	900	0	0	0	0
Less Non-County Shares	0	0	0	0	0	0	0	0
Net	6,450	2,200	3,350	900	0	0	0	0

Expended/Obligated Amount (in thousands) as of : 1,906

Current Bond Description: The project will fund the continued upgrade of our MOB Datacenter Hardware and Software. Replacement of Network Switches at: Yonkers I-Park, Cyber Security Software Upgrades, Yonkers Water Treatment Plant Facility. Also, the Cisco UCS Data Center Platform to combine enhanced software-defined storage and data services software (unified computing system) that integrates computing, virtualization, networking, storage, cybersecurity resources to increase efficiency and enable centralized management for the delivery of IT Services to all of our County Departments and stake holders from our MOB and TMC Datacenters.

Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	3,350,000
Cash:	0
Total:	\$ 3,350,000

SEQR Classification:

TYPE II

Amount Requested:

3,350,000

Comments:

Energy Efficiencies:

Appropriation History:

Year	Amount	Description
2020	650,000	UPGRADE OF THE NETWORK & CYBERSECURITY PLATFORMS
2021	750,000	CONTINUATION OF THIS PROJECT
2022	800,000	CONTINUATION OF THIS PROJECT

Total Appropriation History:

2,200,000

Financing History:

Year	Bond Act #	Amount	Issued	Description
20	109	650,000	630,076	COST OF NETWORK & SECURITY INFRASTRUCTURE UPGRADES IN VARIOUS COUNTY DEPARTMENT'S LOCATIONS
21	207	800,000	0	COST OF NETWORK & SECURITY INFRASTRUCTURE UPGRADES IN VARIOUS COUNTY DEPARTMENT'S LOCATIONS
21	39	750,000	395,738	SECURITY INFRASTRUCTURE UPGRADES IN VARIOUS COUNTY DEPARTMENT'S MOB DATA CENTER

Total Financing History:

2,200,000

Recommended By:**Department of Planning**

WBB4

Date

09-27-2022

Department of Public Works

RJB4

Date

09-27-2022

Budget Department

LMYI

Date

09-27-2022

Requesting Department

DDMK

Date

09-27-2022

NETWORK AND SECURITY INFRASTRUCTURE UPGRADES 2020-2024 (BIT47)

User Department : Information Technology

Managing Department(s) : Information Technology ;

Estimated Completion Date: TBD

Planning Board Recommendation: Project without physical planning aspects of concern to the Westchester County Planning Board.

FIVE YEAR CAPITAL PROGRAM (in thousands)

	Est Ult Cost	Appropriated	Exp / Obl	2023	2024	2025	2026	2027	Under Review
Gross	6,450	2,200	1,967	3,350	900				
Non County Share									
Total	6,450	2,200	1,967	3,350	900				

Project Description

This project will fund the continuation of Network and Security Infrastructure Upgrades with the replacement of obsolete hardware, software and related services.

Current Year Description

The current year request funds the continuation of this project.

Current Year Financing Plan

Year	Bonds	Cash	Non County Shares	Total
2023	3,350,000			3,350,000

Impact on Operating Budget

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

Appropriation History

Year	Amount	Description	Status
2020	650,000	Upgrade of the Network & Cybersecurity platforms	COMPLETE
2021	750,000	Continuation of this project	COMPLETE
2022	800,000	Continuation of this project	IN PROGRESS
Total	2,200,000		

Prior Appropriations

	Appropriated	Collected	Uncollected
Bond Proceeds	2,200,000	1,025,814	1,174,186
Total	2,200,000	1,025,814	1,174,186

Bonds Authorized

Bond Act	Amount	Date Sold	Amount Sold	Balance
109 20	650,000	12/01/21	630,076	19,924
39 21	750,000	12/01/21	395,738	354,262
207 21	800,000			800,000
Total	2,200,000		1,025,814	1,174,186

**HONORABLE BOARD OF LEGISLATORS
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmittal from the County Executive recommending approval by the County of Westchester (“County”) of a bond act (“Bond Act”) in the amount of \$750,000 to finance capital project BIT50 - American Management Systems (“AMS”)/Conseillers en Gestion et Informatique (“CGI”) Applications and Linux Real Application Cluster (“RAC”) Upgrade (“BIT50”). The Bond Act, which was prepared by the law firm Harris Beach, will finance the additional cost of the acquisition and installation of servers and related equipment, software and implementation services for the Department of Information Technology (“Department”).

The Department has advised that AMS/ CGI, the vendor for the County’s Financial, HR and Budget Systems informed the County that they are instituting a major renovation of these systems and will no longer support the existing systems. This project will fund the cost of the implementation services and related expenses for CGI/AMS to upgrade the County’s Financial, HR and Budget systems to the new version and the purchase of data processing equipment and database software.

The Department anticipates that the work will be completed by both in-house staff and consultants and will be completed by the end of 2023.

It should be noted that your Honorable Board has previously authorized the County to issue bonds in connection with a prior component of BIT50 as indicated in the annexed fact sheet.

The Planning Department has advised your Committee that based on its review, BIT50 has been classified as a Type “II” action pursuant to the State Environmental Quality Review Act (“SEQR”) and its implementing regulations, 6 NYCRR Part 617. Therefore, no further environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this conclusion.

It should be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to adopt the Bond Act. Your Committee recommends the adoption of the proposed Bond Act.

Dated: _____, 20____
White Plains, New York

FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: BIT50

☒ NO FISCAL IMPACT PROJECTED

SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☒ GENERAL FUND

☐ AIRPORT FUND

☐ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☒ Current Appropriations

☐ Capital Budget Amendment

SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 750,000 PPU 10 Anticipated Interest Rate 3.56%

Anticipated Annual Cost (Principal and Interest): \$ 89,136

Total Debt Service (Annual Cost x Term): \$ 891,360

Finance Department: Interest rates from November 3, 2022 Bond Buyer - ASBA

SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations
(describe in detail for current and next four years):

SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded:

SECTION E - EXPECTED DESIGN WORK PROVIDER

☐ County Staff

☒ Consultant

☐ Not Applicable

Prepared by: Donna Montera

Title: Director of Admin Services

Department: Information Technology


Date: 11/4/22

Reviewed By: 

Budget Director

Date: 11/4/22

TO: Michelle Greenbaum, Senior Assistant County Attorney
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM 
Assistant Commissioner

DATE: October 5, 2022

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:
BIT50 AMS/CGI APPLICATIONS AND LINUX RAC UPGRADE**

PROJECT/ACTION: Per Capital Project Fact Sheet as approved by the Planning Department on 09-22-2022 (Unique ID: 2051)

With respect to the State Environmental Quality Review Act and its implementing regulations, 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(31):** purchase or sale of furnishings, equipment or supplies, including surplus government property, other than the following: land, radioactive material, pesticides, herbicides, or other hazardous materials.

COMMENTS: None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff
Paula Friedman, Assistant to the County Executive
Lawrence Soule, Budget Director
Tami Altschiller, Assistant Chief Deputy County Attorney
Lorraine Marzola, Associate Budget Director
Kelly Sheehan, Assistant Commissioner
William Brady, Chief Planner
Michael Lipkin, Associate Planner
Claudia Maxwell, Associate Environmental Planner

ACT NO. -20__

BOND ACT AUTHORIZING THE ISSUANCE OF \$750,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE ADDITIONAL COST OF THE ACQUISITION AND INSTALLATION OF HARDWARE AND SOFTWARE FOR THE DEPARTMENT OF INFORMATION TECHNOLOGY; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$750,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$750,000 BONDS HEREIN AUTHORIZED TO FINANCE SUCH COST; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted , 20__)

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER, NEW YORK (by the affirmative vote of not less than two-thirds of the voting strength of said Board), AS FOLLOWS:

Section 1. Pursuant to the provisions of the Local Finance Law, constituting Chapter 33-a of the Consolidated Laws of the State of New York (the "Law"), the Westchester County Administrative Code, being Chapter 852 of the Laws of 1948, as amended, to the provisions of other laws applicable thereto, \$750,000 bonds of the County, or so much thereof as may be necessary, are hereby authorized to be issued to finance the additional cost of the acquisition and installation of servers and related equipment, software and implementation services for the Department of Information Technology; all as set forth in the County's current

year Capital Budget, as amended. To the extent that the details set forth in this act are inconsistent with any details set forth in the current year Capital Budget of the County, such Budget shall be deemed and is hereby amended. The estimated maximum cost of said objects or purposes, including preliminary costs and costs incidental thereto and the financing thereof is \$750,000. The plan of financing includes the issuance of \$750,000 bonds herein authorized, and any bond anticipation notes issued in anticipation of the sale of such bonds, and the levy of a tax to pay the principal of and interest on said bonds.

Section 2. The period of probable usefulness applicable to the objects or purposes for which the bonds authorized by this Act are to be issued, within the limitations of Section 11.00 a. 81(a) of the Law, is ten (10) years.

Section 3. Current funds are not required to be provided as a down payment pursuant to Section 107.00 d. 9. of the Law prior to issuance of the bonds authorized herein, or any bond anticipation notes issued in anticipation of the sale of such bonds. The County intends to finance, on an interim basis, the costs or a portion of the costs of said improvements for which bonds are herein authorized, which costs are reasonably expected to be reimbursed with the proceeds of debt to be incurred by the County, pursuant to this Act, in the maximum amount of \$750,000. This Act is a declaration of official intent adopted pursuant to the requirements of Treasury Regulation Section 1.150-2.

Section 4. Subject to the provisions of this Act and of the Law, and pursuant to the provisions of §30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals thereof, and of §§50.00, 56.00 to 60.00 and 168.00 of said Law, the powers and

duties of the County Board of Legislators relative to authorizing the issuance of any notes in anticipation of the sale of the bonds herein authorized, or the renewals thereof, relative to providing for substantially level or declining annual debt service, relative to prescribing the terms, form and contents and as to the sale and issuance of the respective amounts of bonds herein authorized, and of any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and relative to executing agreements for credit enhancement, are hereby delegated to the Commissioner of Finance of the County, as the chief fiscal officer of the County.

Section 5. Each of the bonds authorized by this Act and any bond anticipation notes issued in anticipation of the sale thereof shall contain the recital of validity prescribed by §52.00 of said Local Finance Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County of Westchester, payable as to both principal and interest by general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and provision shall be made annually in the budgets of the County by appropriation for (a) the amortization and redemption of the notes and bonds to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 6. The validity of the bonds authorized by this Act and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

(a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or

(b) the provisions of law which should be complied with at the date of the publication of this Act or a summary hereof, are not substantially complied with,

and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or

(c) such obligations are authorized in violation of the provisions of the Constitution.

Section 7. This Act shall take effect in accordance with Section 107.71 of the Westchester County Charter.

* * *

STATE OF NEW YORK)
 : ss.:
COUNTY OF WESTCHESTER)

I HEREBY CERTIFY that I have compared the foregoing Act No. -20__ with the original on file in my office, and that the same is a correct transcript therefrom and of the whole of the said original Act, which was duly adopted by the County Board of Legislators of the County of Westchester on , 20__ and approved by the County Executive on , 20__.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said County Board of Legislators this day of , 20__.

(SEAL)

The Clerk and Chief Administrative Officer of the
County Board of Legislators
County of Westchester, New York

LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on _____, 20__ and approved by the County Executive on _____, 20__ and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. _____-20__

BOND ACT AUTHORIZING THE ISSUANCE OF \$750,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE ADDITIONAL COST OF THE ACQUISITION AND INSTALLATION OF HARDWARE AND SOFTWARE FOR THE DEPARTMENT OF INFORMATION TECHNOLOGY; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$750,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$750,000 BONDS HEREIN AUTHORIZED TO FINANCE SUCH COST; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted _____, 20__)

object or purpose: to finance the cost of the acquisition and installation of servers and related equipment, software and implementation services for the Department of Information Technology; all as set forth in the County's current year Capital Budget, as amended

amount of obligations to be issued:

and period of probable usefulness: \$750,000; ten (10) years

Dated: _____, 20__
White Plains, New York

Clerk and Chief Administrative Officer of the
County Board of Legislators of the County of
Westchester, New York

CAPITAL PROJECT FACT SHEET

Project ID:* BIT50	<input type="checkbox"/> CBA	Fact Sheet Date:* 01-03-2023
Fact Sheet Year:* 2023	Project Title:* AMS/CGI APPLICATIONS AND LINUX RAC UPGRADE	Legislative District ID:
Category* BUILDINGS, LAND & MISCELLANEOUS	Department:* INFORMATION TECHNOLOGY	CP Unique ID: 2051

Overall Project Description

This project will fund the cost of the implementation services and related expenses to upgrade the County's Financial, HR and Budget systems to the new version. Also included is the purchase of data processing equipment and database software.

- | | | |
|--|--|---|
| <input type="checkbox"/> Best Management Practices | <input type="checkbox"/> Energy Efficiencies | <input type="checkbox"/> Infrastructure |
| <input type="checkbox"/> Life Safety | <input type="checkbox"/> Project Labor Agreement | <input type="checkbox"/> Revenue |
| <input type="checkbox"/> Security | <input type="checkbox"/> Other | |

FIVE-YEAR CAPITAL PROGRAM (in thousands)

	Estimated Ultimate Total Cost	Appropriated	2023	2024	2025	2026	2027	Under Review
Gross	5,500	5,000	500	0	0	0	0	0
Less Non-County Shares	0	0	0	0	0	0	0	0
Net	5,500	5,000	500	0	0	0	0	0

Expended/Obligated Amount (in thousands) as of : 4,162

Current Bond Description: The bonding is being requested to fund the purchase of servers and related equipment, software and implementation services needed to upgrade the Finance, HR and Budget Systems

Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	750,000
Cash:	0
Total:	\$ 750,000

SEQR Classification:

TYPE II

Amount Requested:

750,000

Comments:

Energy Efficiencies:

Appropriation History:

Year	Amount	Description
2020	4,750,000	FUNDS THIS PROJECT
2022	250,000	ADDITIONAL FUNDING

Total Appropriation History:

5,000,000

Financing History:

Year	Bond Act #	Amount	Issued	Description
20	40	4,750,000	254,000	COST OF ACQUISITION & INSTALLATION OF SERVERS, EQUIPMENT, SOFTWARE & IMPLEMENTATION FOR DOIT

Total Financing History:

4,750,000

Recommended By:**Department of Planning**
WBB4**Date**
09-22-2022**Department of Public Works**
RJB4**Date**
09-23-2022**Budget Department**
LMYI**Date**
09-23-2022**Requesting Department**
DDMK**Date**
09-23-2022

AMS/CGI APPLICATIONS AND LINUX RAC UPGRADE (BIT50)

User Department : Information Technology

Managing Department(s) : Information Technology ;

Estimated Completion Date: TBD

Planning Board Recommendation: Project without physical planning aspects of concern to the Westchester County Planning Board.

FIVE YEAR CAPITAL PROGRAM (in thousands)

	Est Ult Cost	Appropriated	Exp / Obl	2023	2024	2025	2026	2027	Under Review
Gross	5,500	5,000	4,162	500					
Non County Share									
Total	5,500	5,000	4,162	500					

Project Description

This project will fund the cost of the implementation services and related expenses to upgrade the County's Financial, HR and Budget systems to the new version. Also included is the purchase of data processing equipment and database software.

Current Year Description

The current year request funds an escalation.

Current Year Financing Plan

Year	Bonds	Cash	Non County Shares	Total
2023	500,000			500,000

Impact on Operating Budget

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

Appropriation History

Year	Amount	Description	Status
2020	4,750,000	Funds this project	IN PROGRESS
2022	250,000	Additional funding	AWAITING BOND AUTHORIZATION
Total	5,000,000		

Prior Appropriations

	Appropriated	Collected	Uncollected
Bond Proceeds	5,000,000	254,000	4,746,000
Total	5,000,000	254,000	4,746,000

Bonds Authorized

Bond Act	Amount	Date Sold	Amount Sold	Balance
40 20	4,750,000	12/01/21	254,000	4,496,000
Total	4,750,000		254,000	4,496,000

**HONORABLE BOARD OF LEGISLATORS
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmittal from the County Executive recommending approval by the County of Westchester (“County”) of a bond act (“Bond Act”) in the amount of \$250,000 to finance capital project BIT53 – Business Continuity and Disaster Recovery (“BIT53”). The Bond Act, which was prepared by the law firm Harris Beach, will finance the cost of acquisition and installation of hardware, software and associated services at various County office buildings for the Department of Information Technology (“Department”).

The Department has advised that the equipment to be purchased under BIT53 is required to provide for real-time business continuity and timely disaster recovery. To achieve these objectives, it is necessary to significantly increase the speed and capacity of data storage, reduce single point of failure exposures, employ a redundant system architecture, integrate the distributed back up of key data, and the geographically separate location of redundant key systems. The funds will be used to purchase software, hardware and associated services needed to increase the speed and capacity of data storage, while creating a strategically located and redundant backup system.

Following bonding authorization, it is estimated that the acquisition and installation will be completed within approximately twelve to twenty-four months. The work will be done by in-house staff.

It should be noted that your Honorable Board has previously authorized the County to issue bonds in connection with separable components of BIT53 as indicated in the annexed fact sheet.

The Planning Department has advised your Committee that based on its review, BIT53 has been classified as a Type “II” action pursuant to the State Environmental Quality Review Act (“SEQR”) and its implementing regulations, 6 NYCRR Part 617. Therefore, no further environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this conclusion.

It should be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to adopt the Bond Act. Your Committee recommends the adoption of the proposed Bond Act.

Dated: _____, 20____
White Plains, New York

c/mg 9-23-22

COMMITTEE ON

FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: BIT53

☒ NO FISCAL IMPACT PROJECTED

SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☒ GENERAL FUND

☐ AIRPORT FUND

☐ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☒ Current Appropriations

☐ Capital Budget Amendment

SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 250,000 PPU 5 Anticipated Interest Rate 4.01%

Anticipated Annual Cost (Principal and Interest): \$ 54,930

Total Debt Service (Annual Cost x Term): \$ 274,650

Finance Department: Interest rates from November 3, 2022 Bond Buyer - ASBA

SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations

(describe in detail for current and next four years):

SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded:

SECTION E - EXPECTED DESIGN WORK PROVIDER

☐ County Staff

☐ Consultant

☒ Not Applicable

Prepared by: Donna Montera

Title: Director of Admin Services

Department: Information Technology


Date: 11/4/22

Reviewed By: 

Budget Director

Date: 11/4/2022

TO: Michelle Greenbaum, Senior Assistant County Attorney
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM 
Assistant Commissioner

DATE: October 5, 2022

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:
BIT53 BUSINESS CONTINUITY AND DISASTER RECOVERY**

PROJECT/ACTION: Per Capital Project Fact Sheet as approved by the Planning Department on 09-23-2022 (Unique ID: 2061)

With respect to the State Environmental Quality Review Act and its implementing regulations, 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(31):** purchase or sale of furnishings, equipment or supplies, including surplus government property, other than the following: land, radioactive material, pesticides, herbicides, or other hazardous materials.

COMMENTS: None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff
Paula Friedman, Assistant to the County Executive
Lawrence Soule, Budget Director
Tami Altschiller, Assistant Chief Deputy County Attorney
Lorraine Marzola, Associate Budget Director
Kelly Sheehan, Assistant Commissioner
William Brady, Chief Planner
Michael Lipkin, Associate Planner
Claudia Maxwell, Associate Environmental Planner

ACT NO. -20__

BOND ACT AUTHORIZING THE ISSUANCE OF \$250,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF THE ACQUISITION AND INSTALLATION OF HARDWARE, SOFTWARE AND ASSOCIATED SERVICES AT VARIOUS COUNTY OFFICE BUILDINGS FOR THE DEPARTMENT OF INFORMATION TECHNOLOGY; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$250,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$250,000 BONDS HEREIN AUTHORIZED TO FINANCE SUCH COST; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted , 20__)

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER, NEW YORK (by the affirmative vote of not less than two-thirds of the voting strength of said Board), AS FOLLOWS:

Section 1. Pursuant to the provisions of the Local Finance Law, constituting Chapter 33-a of the Consolidated Laws of the State of New York (the "Law"), the Westchester County Administrative Code, being Chapter 852 of the Laws of 1948, as amended, to the provisions of other laws applicable thereto, \$250,000 bonds of the County, or so much thereof as may be necessary, are hereby authorized to be issued to finance the cost of the acquisition and installation of hardware, software and associated services at various County office buildings for

the Department of Information Technology; all as set forth in the County's current year Capital Budget, as amended. To the extent that the details set forth in this act are inconsistent with any details set forth in the current year Capital Budget of the County, such Budget shall be deemed and is hereby amended. The estimated maximum cost of said objects or purposes, including preliminary costs and costs incidental thereto and the financing thereof is \$250,000. The plan of financing includes the issuance of \$250,000 bonds herein authorized, and any bond anticipation notes issued in anticipation of the sale of such bonds, and the levy of a tax to pay the principal of and interest on said bonds.

Section 2. The period of probable usefulness applicable to the objects or purposes for which the bonds authorized by this Act are to be issued, within the limitations of Section 11.00 a. 89 of the Law, is five (5) years.

Section 3. Current funds are not required to be provided as a down payment pursuant to Section 107.00 d. 9. of the Law prior to issuance of the bonds authorized herein, or any bond anticipation notes issued in anticipation of the sale of such bonds. The County intends to finance, on an interim basis, the costs or a portion of the costs of said improvements for which bonds are herein authorized, which costs are reasonably expected to be reimbursed with the proceeds of debt to be incurred by the County, pursuant to this Act, in the maximum amount of \$250,000. This Act is a declaration of official intent adopted pursuant to the requirements of Treasury Regulation Section 1.150-2.

Section 4. Subject to the provisions of this Act and of the Law, and pursuant to the provisions of §30.00 relative to the authorization of the issuance of bond anticipation notes

or the renewals thereof, and of §§50.00, 56.00 to 60.00 and 168.00 of said Law, the powers and duties of the County Board of Legislators relative to authorizing the issuance of any notes in anticipation of the sale of the bonds herein authorized, or the renewals thereof, relative to providing for substantially level or declining annual debt service, relative to prescribing the terms, form and contents and as to the sale and issuance of the respective amounts of bonds herein authorized, and of any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and relative to executing agreements for credit enhancement, are hereby delegated to the Commissioner of Finance of the County, as the chief fiscal officer of the County.

Section 5. Each of the bonds authorized by this Act and any bond anticipation notes issued in anticipation of the sale thereof shall contain the recital of validity prescribed by §52.00 of said Local Finance Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County of Westchester, payable as to both principal and interest by general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and provision shall be made annually in the budgets of the County by appropriation for (a) the amortization and redemption of the notes and bonds to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 6. The validity of the bonds authorized by this Act and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

(a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or

(b) the provisions of law which should be complied with at the date of the publication of this Act or a summary hereof, are not substantially complied with,

and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or

(c) such obligations are authorized in violation of the provisions of the Constitution.

Section 7. This Act shall take effect in accordance with Section 107.71 of the Westchester County Charter.

* * *

STATE OF NEW YORK)
 : ss.:
COUNTY OF WESTCHESTER)

I HEREBY CERTIFY that I have compared the foregoing Act No. -20__ with the original on file in my office, and that the same is a correct transcript therefrom and of the whole of the said original Act, which was duly adopted by the County Board of Legislators of the County of Westchester on , 20__ and approved by the County Executive on , 20__.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said County Board of Legislators this day of , 20__.

(SEAL)

The Clerk and Chief Administrative Officer of the
County Board of Legislators
County of Westchester, New York

LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on _____, 20__ and approved, as amended, by the County Executive on _____, 20__ and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the amended Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. _____-20__

BOND ACT AUTHORIZING THE ISSUANCE OF \$250,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF THE ACQUISITION AND INSTALLATION OF HARDWARE, SOFTWARE AND ASSOCIATED SERVICES AT VARIOUS COUNTY OFFICE BUILDINGS FOR THE DEPARTMENT OF INFORMATION TECHNOLOGY; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$250,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$250,000 BONDS HEREIN AUTHORIZED TO FINANCE SUCH COST; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted _____, 20__)

object or purpose: to finance the cost of the acquisition and installation of hardware, software and associated services at various County office buildings for the Department of Information Technology; all as set forth in the County's current year Capital Budget, as amended

amount of obligations to be issued:

and period of probable usefulness: \$250,000; five (5) years

Dated: _____, 20__
White Plains, New York

Clerk and Chief Administrative Officer of the
County Board of Legislators of the County of
Westchester, New York

CAPITAL PROJECT FACT SHEET

Project ID:* BIT53	<input type="checkbox"/> CBA	Fact Sheet Date:* 01-03-2023
Fact Sheet Year:* 2023	Project Title:* BUSINESS CONTINUITY AND DISASTER RECOVERY	Legislative District ID:
Category* BUILDINGS, LAND & MISCELLANEOUS	Department:* INFORMATION TECHNOLOGY	CP Unique ID: 2061

Overall Project Description

This project will fund the procurement of equipment required to provide real time business continuity and timely disaster recovery. To achieve these objectives we need to significantly increase the speed and capacity of data storage, reduce single point of failure exposures, employ a multiply redundant system architecture, integrate the distributed back up of key data, and the geographically separate location of redundant key systems.

- | | | |
|--|--|---|
| <input type="checkbox"/> Best Management Practices | <input type="checkbox"/> Energy Efficiencies | <input type="checkbox"/> Infrastructure |
| <input type="checkbox"/> Life Safety | <input type="checkbox"/> Project Labor Agreement | <input type="checkbox"/> Revenue |
| <input type="checkbox"/> Security | <input type="checkbox"/> Other | |

FIVE-YEAR CAPITAL PROGRAM (in thousands)

	Estimated Ultimate Total Cost	Appropriated	2023	2024	2025	2026	2027	Under Review
Gross	1,250	500	250	250	250	0	0	0
Less Non-County Shares	0	0	0	0	0	0	0	0
Net	1,250	500	250	250	250	0	0	0

Expended/Obligated Amount (in thousands) as of : 3

Current Bond Description: The current year request funds the of purchasing corresponding software, additional hardware and associated services needed to increase the speed and capacity of data storage, while creating a strategically located and redundant backup system.

Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	250,000
Cash:	0
Total:	<u>\$ 250,000</u>

SEQR Classification:

TYPE II

Amount Requested:

250,000

Comments:

Energy Efficiencies:

Appropriation History:

Year	Amount	Description
2021	250,000	FUNDS THIS PROJECT
2022	250,000	CONTINUATION OF THIS PROJECT

Total Appropriation History:

500,000

Financing History:

Year	Bond Act #	Amount	Issued	Description
21	207	500,000		0 BUSINESS CONTINUITY AND DISASTER RECOVERY

Total Financing History:

500,000

Recommended By:**Department of Planning**

WBB4

Date

09-23-2022

Department of Public Works

RJB4

Date

09-23-2022

Budget Department

LMY1

Date

09-23-2022

Requesting Department

DDMK

Date

09-23-2022

BUSINESS CONTINUITY AND DISASTER RECOVERY (BIT53)

User Department : Information Technology

Managing Department(s) : Information Technology ;

Estimated Completion Date: TBD

Planning Board Recommendation: Project without physical planning aspects of concern to the Westchester County Planning Board.

FIVE YEAR CAPITAL PROGRAM (in thousands)

	Est Ult Cost	Appropriated	Exp / Obl	2023	2024	2025	2026	2027	Under Review
Gross	1,250	500	3	250	250	250			
Non County Share									
Total	1,250	500	3	250	250	250			

Project Description

This project will fund the procurement of equipment required to provide real time business continuity and timely disaster recovery. To achieve these objectives we need to significantly increase the speed and capacity of data storage, reduce single point of failure exposures, employ a multiply redundant system architecture, integrate the distributed back up of key data, and the geographically separate location of redundant key systems.

Current Year Description

The current year request funds the continuation of this project.

Current Year Financing Plan

Year	Bonds	Cash	Non County Shares	Total
2023	250,000			250,000

Impact on Operating Budget

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

Appropriation History

Year	Amount	Description	Status
2021	250,000	Funds this project	IN PROGRESS
2022	250,000	Continuation of this project	IN PROGRESS
Total	500,000		

Prior Appropriations

	Appropriated	Collected	Uncollected
Bond Proceeds	500,000		500,000
Total	500,000		500,000

Bonds Authorized

Bond Act	Amount	Date Sold	Amount Sold	Balance
207 21	500,000			500,000
Total	500,000			500,000

**HONORABLE BOARD OF LEGISLATORS
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmittal from the County Executive recommending approval by the County of Westchester (“County”) of a bond act (“Bond Act”) in the amount of \$750,000 to finance capital project BIT54 – Critical Communication Logging System Replacement (2021-2025) (“BIT54”). The Bond Act, which was prepared by the law firm Harris Beach, will finance the cost of acquisition and installation of equipment, software and associated services at various County office buildings for the County Department of Information Technology (“Department”) Critical Communication Logging System

The Department has advised that the County captures and maintains recordings for its Departments of Emergency Services, Public Safety, Social Services and Public Works and Transportation. The County is required to maintain some recordings for as long as twenty-one years. This requires recording on legacy environments to be migrated to the latest technology. The software on which the County’s recording system runs, Microsoft Silverlight, is no longer supported by Microsoft. The company that provided the solution has since been sold to NICE Inc. The Department is in the process of upgrading this system to the current product.

Design is currently being undertaken by in-house staff and consultants and is expected to be completed by the first quarter of 2023. It is estimated that installation will take twenty-four months to complete.

It should be noted that your Honorable Board has previously authorized financing in connection with prior components of BIT54 as indicated in the annexed fact sheet.

The Planning Department has advised your Committee that based on its review, BIT54 has been classified as a Type “II” action pursuant to the State Environmental Quality Review Act (“SEQR”) and its implementing regulations, 6 NYCRR Part 617. Therefore, no further environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this conclusion.

It should be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to adopt the Bond Act. Your Committee recommends the adoption of the proposed Bond Act.

Dated: _____, 20____
White Plains, New York

FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: BIT54

☒ NO FISCAL IMPACT PROJECTED

SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☒ GENERAL FUND

☐ AIRPORT FUND

☐ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☒ Current Appropriations

☐ Capital Budget Amendment

SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 750,000 PPU 5 Anticipated Interest Rate 3.53%

Anticipated Annual Cost (Principal and Interest): \$ 164,790

Total Debt Service (Annual Cost x Term): \$ 823,950

Finance Department: Interest rates from November 3, 2022 Bond Buyer - ASBA

SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations
(describe in detail for current and next four years):

SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded:

SECTION E - EXPECTED DESIGN WORK PROVIDER

☐ County Staff

☐ Consultant

☒ Not Applicable

Prepared by: Donna Montera

Title: Director of Admin Services

Department: Information Technologies


Date: 11/4/22

Reviewed By: 

Budget Director

Date: 11/4/22

TO: Michelle Greenbaum, Senior Assistant County Attorney
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM 
Assistant Commissioner

DATE: October 5, 2022

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:
BIT54 Critical Communication Logging System Replacement (2021-2025)**

PROJECT/ACTION: Per Capital Project Fact Sheet as approved by the Planning Department on 09-22-2022 (Unique ID: 2063)

With respect to the State Environmental Quality Review Act and its implementing regulations, 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(31):** purchase or sale of furnishings, equipment or supplies, including surplus government property, other than the following: land, radioactive material, pesticides, herbicides, or other hazardous materials.

COMMENTS: None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff
Paula Friedman, Assistant to the County Executive
Lawrence Soule, Budget Director
Tami Altschiller, Assistant Chief Deputy County Attorney
Lorraine Marzola, Associate Budget Director
Kelly Sheehan, Assistant Commissioner
William Brady, Chief Planner
Michael Lipkin, Associate Planner
Claudia Maxwell, Associate Environmental Planner

ACT NO. -20__

BOND ACT AUTHORIZING THE ISSUANCE OF \$750,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF THE ACQUISITION AND INSTALLATION OF EQUIPMENT, SOFTWARE AND ASSOCIATED SERVICES AT VARIOUS COUNTY OFFICE BUILDINGS FOR THE DEPARTMENT OF INFORMATION TECHNOLOGY; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$750,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$750,000 BONDS HEREIN AUTHORIZED TO FINANCE SUCH COST; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted , 20__)

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER, NEW YORK (by the affirmative vote of not less than two-thirds of the voting strength of said Board), AS FOLLOWS:

Section 1. Pursuant to the provisions of the Local Finance Law, constituting Chapter 33-a of the Consolidated Laws of the State of New York (the "Law"), the Westchester County Administrative Code, being Chapter 852 of the Laws of 1948, as amended, to the provisions of other laws applicable thereto, \$750,000 bonds of the County, or so much thereof as may be necessary, are hereby authorized to be issued to finance the cost of the acquisition and installation of equipment, software and associated services at various County office buildings for

the Department of Information Technology's Critical Communication Logging System; all as set forth in the County's current year Capital Budget, as amended. To the extent that the details set forth in this act are inconsistent with any details set forth in the current year Capital Budget of the County, such Budget shall be deemed and is hereby amended. The estimated maximum cost of said objects or purposes, including preliminary costs and costs incidental thereto and the financing thereof is \$750,000. The plan of financing includes the issuance of \$750,000 bonds herein authorized, and any bond anticipation notes issued in anticipation of the sale of such bonds, and the levy of a tax to pay the principal of and interest on said bonds.

Section 2. The period of probable usefulness applicable to the objects or purposes for which the bonds authorized by this Act are to be issued, within the limitations of Section 11.00 a. 89 of the Law, is five (5) years.

Section 3. Current funds are not required to be provided as a down payment pursuant to Section 107.00 d. 9. of the Law prior to issuance of the bonds authorized herein, or any bond anticipation notes issued in anticipation of the sale of such bonds. The County intends to finance, on an interim basis, the costs or a portion of the costs of said improvements for which bonds are herein authorized, which costs are reasonably expected to be reimbursed with the proceeds of debt to be incurred by the County, pursuant to this Act, in the maximum amount of \$750,000. This Act is a declaration of official intent adopted pursuant to the requirements of Treasury Regulation Section 1.150-2.

Section 4. Subject to the provisions of this Act and of the Law, and pursuant to the provisions of §30.00 relative to the authorization of the issuance of bond anticipation notes

or the renewals thereof, and of §§50.00, 56.00 to 60.00 and 168.00 of said Law, the powers and duties of the County Board of Legislators relative to authorizing the issuance of any notes in anticipation of the sale of the bonds herein authorized, or the renewals thereof, relative to providing for substantially level or declining annual debt service, relative to prescribing the terms, form and contents and as to the sale and issuance of the respective amounts of bonds herein authorized, and of any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and relative to executing agreements for credit enhancement, are hereby delegated to the Commissioner of Finance of the County, as the chief fiscal officer of the County.

Section 5. Each of the bonds authorized by this Act and any bond anticipation notes issued in anticipation of the sale thereof shall contain the recital of validity prescribed by §52.00 of said Local Finance Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County of Westchester, payable as to both principal and interest by general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and provision shall be made annually in the budgets of the County by appropriation for (a) the amortization and redemption of the notes and bonds to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 6. The validity of the bonds authorized by this Act and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

(a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or

(b) the provisions of law which should be complied with at the date of the publication of this Act or a summary hereof, are not substantially complied with,

and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or

(c) such obligations are authorized in violation of the provisions of the Constitution.

Section 7. This Act shall take effect in accordance with Section 107.71 of the Westchester County Charter.

* * *

STATE OF NEW YORK)
 : ss.:
COUNTY OF WESTCHESTER)

I HEREBY CERTIFY that I have compared the foregoing Act No. -20__ with the original on file in my office, and that the same is a correct transcript therefrom and of the whole of the said original Act, which was duly adopted by the County Board of Legislators of the County of Westchester on , 20__ and approved by the County Executive on , 20__.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said County Board of Legislators this day of , 20__.

(SEAL)

The Clerk and Chief Administrative Officer of the
County Board of Legislators
County of Westchester, New York

LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on _____, 20__ and approved, as amended, by the County Executive on _____, 20__ and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the amended Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. _____-20__

BOND ACT AUTHORIZING THE ISSUANCE OF \$750,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF THE ACQUISITION AND INSTALLATION OF EQUIPMENT, SOFTWARE AND ASSOCIATED SERVICES AT VARIOUS COUNTY OFFICE BUILDINGS FOR THE DEPARTMENT OF INFORMATION TECHNOLOGY; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$750,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$750,000 BONDS HEREIN AUTHORIZED TO FINANCE SUCH COST; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted _____, 20__)

object or purpose: to finance the cost of the acquisition and installation of equipment, software and associated services at various County office buildings for the Department of Information Technology's Critical Communication Logging System; all as set forth in the County's current year Capital Budget, as amended

amount of obligations to be issued:

and period of probable usefulness: \$750,000; five (5) years

Dated: _____, 20__
White Plains, New York

Clerk and Chief Administrative Officer of the
County Board of Legislators of the County of
Westchester, New York

CAPITAL PROJECT FACT SHEET

Project ID:* BIT54	<input type="checkbox"/> CBA	Fact Sheet Date:* 01-03-2023
Fact Sheet Year:* 2023	Project Title:* CRITICAL COMMUNICATION LOGGING SYSTEM REPLACEMENT 2021-2025	Legislative District ID:
Category* BUILDINGS, LAND & MISCELLANEOUS	Department:* INFORMATION TECHNOLOGY	CP Unique ID: 2063

Overall Project Description

This project will fund the procurement of equipment, servers, data storage, software and services required to replace the existing "Eventide" and "Calabrio Systems with a solution technologically able to meet and exceed the County's Critical Communication Logging Systems needs.

- | | | |
|--|--|---|
| <input type="checkbox"/> Best Management Practices | <input type="checkbox"/> Energy Efficiencies | <input type="checkbox"/> Infrastructure |
| <input type="checkbox"/> Life Safety | <input type="checkbox"/> Project Labor Agreement | <input type="checkbox"/> Revenue |
| <input type="checkbox"/> Security | <input type="checkbox"/> Other | |

FIVE-YEAR CAPITAL PROGRAM (in thousands)

	Estimated Ultimate Total Cost	Appropriated	2023	2024	2025	2026	2027	Under Review
Gross	5,500	500	1,750	1,750	1,500	0	0	0
Less Non-County Shares	0	0	0	0	0	0	0	0
Net	5,500	500	1,750	1,750	1,500	0	0	0

Expended/Obligated Amount (in thousands) as of : 250

Current Bond Description: These funds will be used to purchase equipment, servers, data storage, software and services required to replace the existing "Eventide" and "VPI" Systems

Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	750,000
Cash:	0
Total:	\$ 750,000

SEQR Classification:

TYPE II

Amount Requested:

750,000

Comments:

Energy Efficiencies:

Appropriation History:

Year	Amount	Description
2021	250,000	FUNDS THIS PROJECT
2022	250,000	CONTINUATION OF THIS PROJECT

Total Appropriation History:

500,000

Financing History:

Year	Bond Act #	Amount	Issued	Description
21	207	250,000		0 REPLACE EXISITING EVENTIDE AND CALABRIO SYSTEMS- PURCHASES EQUIP AND RELATED
21	39	250,000		0 REPLACE EXISITING EVENTIDE AND CALABRIO SYSTEMS- PURCHASES EQUIP AND RELATED

Total Financing History:

500,000

Recommended By:**Department of Planning**

WBB4

Date

09-22-2022

Department of Public Works

RJB4

Date

09-23-2022

Budget Department

LMY1

Date

09-23-2022

Requesting Department

DDMK

Date

09-23-2022

CRITICAL COMMUNICATION LOGGING SYSTEM REPLACEMENT 2021-2025 (BIT54)

User Department : Information Technology

Managing Department(s) : Information Technology ;

Estimated Completion Date: TBD

Planning Board Recommendation: Project without physical planning aspects of concern to the Westchester County Planning Board.

FIVE YEAR CAPITAL PROGRAM (in thousands)

	Est Ult Cost	Appropriated	Exp / Obl	2023	2024	2025	2026	2027	Under Review
Gross	5,500	500	250	1,750	1,750	1,500			
Non County Share									
Total	5,500	500	250	1,750	1,750	1,500			

Project Description

This project will fund the procurement of equipment, servers, data storage, software and services required to replace the existing "Eventide" and "Calabrio Systems with a solution technologically able to meet and exceed the County's Critical Communication Logging Systems needs.

Current Year Description

The current year request funds the continuation of this project.

Current Year Financing Plan

Year	Bonds	Cash	Non County Shares	Total
2023	1,750,000			1,750,000

Impact on Operating Budget

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

Appropriation History

Year	Amount	Description	Status
2021	250,000	Funds this project	IN PROGRESS
2022	250,000	Continuation of this project	AWAITING BOND AUTHORIZATION
Total	500,000		

Prior Appropriations

	Appropriated	Collected	Uncollected
Bond Proceeds	500,000		500,000
Total	500,000		500,000

Bonds Authorized

Bond Act	Amount	Date Sold	Amount Sold	Balance
39 21	250,000			250,000
207 21	250,000			250,000
Total	500,000			500,000