

**HONORABLE BOARD OF LEGISLATORS
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmittal from the County Executive recommending approval by the County of Westchester ("County") of an act, which, if adopted, will amend the County's current-year capital budget ("Capital Budget Amendment"), as well as adoption of a related bond act ("Bond Act") in the amount of \$3,870,000 prepared by the law firm Harris Beach, PLLC to finance capital project B015D – Interior Infrastructure Repairs, White Plains Complex (2016-2020) ("B015D").

Your Committee is advised that the Capital Budget Amendment will amend the County's current-year capital budget to increase the appropriation for B015D by \$220,000. The Department of Public Works and Transportation ("Department") has advised your Committee that the additional funds are required due to cost escalation.

The Bond Act, in the amount of \$3,870,000, would finance the cost of elevator improvements at the White Plains Office Complex, including design, construction management and construction associated with the rehabilitation of the judge's elevator in the Richard J. Daronco Westchester County Courthouse, two passenger elevators in the County Low Rise Building, and the passenger elevator in 143 Grand Street.

The Department has further advised that the elevators and related systems have exceeded all intended services expectations and are becoming increasingly difficult to repair. In addition, the elevators' finishes are worn and beyond refurbishment. The elevator rehabilitation will improve reliability and increase safety.

It should be noted that your Honorable Board has previously authorized the County to issue bonds for prior separate components of this project as provided in the annexed fact sheet

Following bonding authorization, the design will be undertaken by a consultant and is expected to be completed by the third quarter of 2021. It is anticipated that construction will

take approximately twelve months and will begin after award and execution of the construction contracts.

The Planning Department has advised your Committee that based on its review, the above-referenced capital project has been classified as a Type "II" action pursuant to the State Environmental Quality Review Act ("SEQR") and its implementing regulations, 6 NYCRR Part 617. Therefore, no further environmental review is required. Your Committee has reviewed the annexed SEQR document and concurs with this conclusion.

The Planning Department has advised your Committee that the Planning Board has previously reviewed this project and issued a report, and that since there is no change in the scope of the work and this is simply a change in the financing plan, no further action by the Planning Board is necessary at this time.

Your Committee has carefully considered the proposed Capital Budget Amendment, as well as the related Bond Act, and recommends approval of both of the proposed Acts, noting that the Bond Act may only be enacted following adoption of the Capital Budget Amendment. It should also be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to amend the County's Capital Budget and to adopt the Bond Act.

Dated: April 12, 2021
White Plains, New York

Nancy Bauer
Herb Ma
Benjamin Boyfman
Dorel J. Tubish
Arthur [unclear]
COMMITTEE ON

s: MG/2-24-21

a
Budget & Appropriations

Benjamin Boyfman
Dorel J. Tubish

Public Works &
Transportation

Dated: April 12, 2021
White Plains, New York

The following members attended the meeting remotely, as per Governor Cuomo's Executive Order 202.1 and approved this item out of Committee with an affirmative vote. Their electronic signature was authorized and is below.

Committee(s) on:

Budget & Appropriations

Vedat Galin
Margaret A. Cunzio
Catherine F. Parker
Ruth Walker
Alfreda Willis
Ty H
Cheryl

Public Works & Transportation

John Scill
Vedat Galin
Cheryl
Mary Jane Shinsky
Catherine F. Parker
Ruth Walker
Ty H

FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: B015D

NO FISCAL IMPACT PROJECTED

SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

GENERAL FUND

AIRPORT FUND

SPECIAL DISTRICTS FUND

Source of County Funds (check one):

Current Appropriations

Capital Budget Amendment

SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 3,870,000 PPU 15 Anticipated Interest Rate 1.14%

Anticipated Annual Cost (Principal and Interest): \$ 281,588

Total Debt Service (Annual Cost x Term): \$ 4,223,820

Finance Department: Interest rates from February 25, 2021 Bond Buyer - ASBA

SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations
(describe in detail for current and next four years):

SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded: 42

SECTION E - EXPECTED DESIGN WORK PROVIDER

County Staff

Consultant

Not Applicable

Prepared by: Robert Abbamont

Title: Prog Coord (Capital Planning)

Department: Public Works/Transportation


Date: 3/11/21

Reviewed By: 

Dep. Budget Director

Date: 3/16/21

TO: Michelle Greenbaum, Assistant County Attorney
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM 
Director of Environmental Planning

DATE: February 26, 2021

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:
B015D Interior Infrastructure Repairs, White Plains Complex (2016-2020)**

PROJECT/ACTION: Per Capital Project Fact Sheet as approved by the Planning Department on 2/22/2021 (Unique ID: 1575)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(2):** replacement, rehabilitation or reconstruction of a structure or facility, in kind, on the same site, including upgrading buildings to meet building, energy, or fire codes unless such action meets or exceeds any of the thresholds in section 617.4 of this Part.
-

COMMENTS: None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff
Paula Friedman, Assistant to the County Executive
Tami Altschiller, Assistant Chief Deputy County Attorney
Gideon Grande, Deputy Budget Director
Lorraine Yazzetta, Associate Budget Director
Anthony Zaino, Assistant Commissioner
William Brady, Chief Planner
Michael Lipkin, Associate Planner
Claudia Maxwell, Associate Environmental Planner

Department of Planning

432 Michaelian Office Building
White Plains, NY 10601

To: The Westchester County Planning Board

From: Anthony Zaino, Assistant Commissioner



Date: February 23, 2021

RE: **Capital Budget Amendment –
B015D Interior Infrastructure Repairs, White Plains Complex (2016-2020)**

The County Executive is requesting an amendment to the 2021 Capital Budget to modify the funding of the above project. This project provides funding for the design, construction management and construction associated with the rehabilitation of the judge's elevator in the Richard J. Daronco Courthouse, two passenger elevators in the Low Rise Building and the passenger elevator at 143 Grand Street in White Plains.

A Capital Budget Amendment is requested to add a 2021 appropriation of \$220,000.

The Planning Board adopted a report on this project (rated PL1) at its meeting on July 12, 2016.

There are no changes to the physical planning aspects of this project as reviewed by the Board; therefore, no further action by your Board is necessary.

cc: Norma V. Drummond, Commissioner
David Kvinge, Director, Environmental Planning
William Brady, Chief Planner
Michael Lipkin, Associate Planner

An Act amending the 2021 County
 Capital Budget Appropriations for
 Capital Project - B015D - Interior
 Infrastructure Repairs, White Plains
 Complex (2016-2020)

BE IT ENACTED by the Board of Legislators of the County of Westchester as follows:

Section 1. The Capital section of the 2021 County Budget is hereby amended as follows:

| | Previous 2021 Appropriation | Change | Revised 2021 Appropriation |
|------------------|--------------------------------|-----------|-------------------------------|
| I. Appropriation | \$4,952,000 | \$220,000 | \$5,172,000 |

Section 2. The estimated method of financing in the Capital Section of the 2021 Westchester County Capital Budget is amended as follows:

II. METHOD OF FINANCING

| | | | |
|--------------------|--------------------|------------------|--------------------|
| Bonds and/or Notes | \$4,958,000 | \$220,000 | \$5,178,000 |
| Non County Shares | \$0 | | \$0 |
| Cash | \$0 | | \$0 |
| Total | <u>\$4,958,000</u> | <u>\$220,000</u> | <u>\$5,178,000</u> |

Section 3. The ACT shall take effect immediately.

ACT NO.53-20211

BOND ACT AUTHORIZING THE ISSUANCE OF \$3,870,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF ELEVATOR IMPROVEMENTS AT THE WHITE PLAINS OFFICE COMPLEX; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$3,870,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$3,870,000 BONDS HEREIN AUTHORIZED TO FINANCE SUCH COST; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted 04/12 , 2021)

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER, NEW YORK (by the affirmative vote of not less than two-thirds of the voting strength of said Board), AS FOLLOWS:

Section 1. Pursuant to the provisions of the Local Finance Law, constituting Chapter 33-a of the Consolidated Laws of the State of New York (the "Law"), the Westchester County Administrative Code, being Chapter 852 of the Laws of 1948, as amended, to the provisions of other laws applicable thereto, \$3,870,000 bonds of the County, or so much thereof as may be necessary, are hereby authorized to be issued to finance the cost of elevator improvements at the White Plains Office Complex, including design, construction management and construction associated with the rehabilitation of the judge's elevator in the Richard J. Daronco Westchester County Courthouse, two passenger elevators in the Low Rise Building, and the

passenger elevator in 143 Grand Street; all as set forth in the County's current year Capital Budget, as amended. To the extent that the details set forth in this act are inconsistent with any details set forth in the current year Capital Budget of the County, such Budget shall be deemed and is hereby amended. The estimated maximum cost of said objects or purposes, including preliminary costs and costs incidental thereto and the financing thereof is \$3,870,000. The plan of financing includes the issuance of \$3,870,000 bonds herein authorized, and any bond anticipation notes issued in anticipation of the sale of such bonds, and the levy of a tax to pay the principal of and interest on said bonds.

Section 2. The existing buildings are of at least Class "B" construction as defined by Section 11.00 a. 11. (b) of the Law, and the period of probable usefulness applicable to the specific objects or purposes for which the bonds authorized by this resolution is to be issued, within the limitations of Section 11.00 a. 12.(a)(2) of the Law, is fifteen (15) years.

Section 3. Current funds are not required to be provided as a down payment pursuant to Section 107.00 d. 9. of the Law prior to issuance of the bonds authorized herein, or any bond anticipation notes issued in anticipation of the sale of such bonds. The County intends to finance, on an interim basis, the costs or a portion of the costs of said improvements for which bonds are herein authorized, which costs are reasonably expected to be reimbursed with the proceeds of debt to be incurred by the County, pursuant to this Act, in the maximum amount of \$3,870,000. This Act is a declaration of official intent adopted pursuant to the requirements of Treasury Regulation Section 1.150-2.

Section 4. Subject to the provisions of this Act and of the Law, and pursuant to the provisions of §30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals thereof, and of §§50.00, 56.00 to 60.00 and 168.00 of said Law, the powers and duties of the County Board of Legislators relative to authorizing the issuance of any notes in anticipation of the sale of the bonds herein authorized, or the renewals thereof, relative to providing for substantially level or declining annual debt service, relative to prescribing the terms, form and contents and as to the sale and issuance of the respective amounts of bonds herein authorized, and of any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and relative to executing agreements for credit enhancement, are hereby delegated to the Commissioner of Finance of the County, as the chief fiscal officer of the County.

Section 5. Each of the bonds authorized by this Act and any bond anticipation notes issued in anticipation of the sale thereof shall contain the recital of validity prescribed by §52.00 of said Local Finance Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County of Westchester, payable as to both principal and interest by general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and provision shall be made annually in the budgets of the County by appropriation for (a) the amortization and redemption of the notes and bonds to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 6. The validity of the bonds authorized by this Act and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

(a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or

(b) the provisions of law which should be complied with at the date of the publication of this Act or a summary hereof, are not substantially complied with, and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or

(c) such obligations are authorized in violation of the provisions of the Constitution.

Section 7. This Act shall take effect in accordance with Section 107.71 of the Westchester County Charter.

* * *

Project ID:*
B015D

CBA

Fact Sheet Date:*
01-04-2021

Fact Sheet Year:*
2021

Project Title:*
INTERIOR INFRASTRUCTURE
REPAIRS, WHITE PLAINS COMPLEX
(2016-2020)

Legislative District ID:
8,

Category*
BUILDINGS, LAND &
MISCELLANEOUS

Department:*
PUBLIC WORKS

CP Unique ID:
1575

Overall Project Description

Rehabilitation of building interiors and infrastructure including all building systems in the White Plains Complex (Michaelian Office Building, Low Rise Building, Richard J. Daronco Courthouse and Annex, Parking Structure, 112 East Post Road, 85 Court Street, and 143 Grand Street).

Best Management Practices

Energy Efficiencies

Infrastructure

Life Safety

Project Labor Agreement

Revenue

Security

Other

FIVE-YEAR CAPITAL PROGRAM (in thousands)

| | Estimated Ultimate Total Cost | Appropriated | 2021 | 2022 | 2023 | 2024 | 2025 | Under Review |
|-------------------------------|-------------------------------------|--------------|------|------|------|------|------|-----------------|
| Gross | 5,145 | 4,925 | 0 | 0 | 0 | 0 | 0 | 220 |
| Less Non-County Shares | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Net | 5,145 | 4,925 | 0 | 0 | 0 | 0 | 0 | 220 |

Expended/Obligated Amount (in thousands) as of: 118

Current Bond Description: Funding is requested for design, construction management and construction associated with the rehabilitation of the judge's elevator in the Richard J. Daronco Westchester County Courthouse, two (2) passenger elevators in the Low Rise Building, and the passenger elevator in 143 Grand Street.

Financing Plan for Current Request:

| | |
|--------------------|---------------------|
| Non-County Shares: | \$ 0 |
| Bonds/Notes: | 3,870,000 |
| Cash: | 0 |
| Total: | <u>\$ 3,870,000</u> |

SEQR Classification:

TYPE II

Amount Requested:

3,870,000

Comments:

A Capital Budget Amendment in the amount of \$220,000 (shown under review) is being requested to provide additional funds associated with cost escalation.

Energy Efficiencies:

THE NEW MECHANICAL EQUIPMENT (DRIVES AND MOTORS) WILL BE ENERGY EFFICIENT AND THEREFORE WILL REDUCE ENERGY CONSUMPTION.

| Year | Amount | Description |
|------|-----------|--|
| 2017 | 3,650,000 | DESIGN, CONSTRUCTION AND CONSTRUCTION MANAGEMENT FOR WORK AT RICHARD J. DARONCO COURTHOUSE AND ANNEX; LOW RISE AND 143 GRAND ST. |
| 2018 | 850,000 | FUNDS WORK AT THE RICHARD J. DARONCO COURTHOUSE ANNEX. |
| 2019 | 425,000 | COST OF ACQUISITION |

Total Appropriation History:

4,925,000

Financing History:

| Year | Bond Act # | Amount | Issued | Description |
|------|------------|---------|--------|--|
| 19 | 196 | 425,000 | | 0 INTERIOR INFRASTRUCTURE REPAIRS, WHITE PLAINS COMPLEX - 112 EAST POST ROAD & 85 COURT STREET |
| 20 | 197 | 100,000 | | 0 ELECTRICAL IMPROVEMENTS AT THE RICHARD J. DARONCO COURTHOUSE BUILDING |

Total Financing History:

525,000

Recommended By:

Department of Planning
WBB4

Date
02-22-2021

Department of Public Works
RJB4

Date
02-22-2021

Budget Department
LMY1

Date
02-24-2021

Requesting Department
RJB4

Date
02-24-2021

INTERIOR INFRASTRUCTURE REPAIRS, WHITE PLAINS COMPLEX (2016-2020) (B015D)

User Department : Public Works

Managing Department(s) : Public Works ;

Estimated Completion Date: TBD

Planning Board Recommendation: Project without physical planning aspects of concern to the Westchester County Planning Board.

FIVE YEAR CAPITAL PROGRAM (in thousands)

| | Est Ult Cost | Appropriated | Exp / Obl | 2021 | 2022 | 2023 | 2024 | 2025 Under Review |
|-------------------------|--------------|--------------|------------|------|------|------|------|-------------------|
| Gross | 4,925 | 4,925 | 119 | | | | | |
| Non County Share | | | | | | | | |
| Total | 4,925 | 4,925 | 119 | | | | | |

Project Description

Rehabilitation of building interiors and infrastructure including all building systems in the White Plains Complex (Michaelian Office Building, Low Rise Building, Richard J. Daronco Courthouse and Annex, Parking Structure, 112 East Post Road, 85 Court Street, and 143 Grand Street).

Current Year Description

There is no current year request.

Impact on Operating Budget

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

Appropriation History

| Year | Amount | Description | Status |
|--------------|------------------|--|-----------------------------|
| 2017 | 3,650,000 | Design, construction and construction management for work at Richard J. Daronco Courthouse and Annex; Low Rise and 143 Grand St. | AWAITING BOND AUTHORIZATION |
| 2018 | 850,000 | Funds work at the Richard J. Daronco Courthouse Annex. | AWAITING BOND AUTHORIZATION |
| 2019 | 425,000 | Cost of acquisition | IN PROGRESS |
| Total | 4,925,000 | | |

Prior Appropriations

| | Appropriated | Collected | Uncollected |
|----------------------|------------------|-----------|------------------|
| Bond Proceeds | 4,925,000 | | 4,925,000 |
| Total | 4,925,000 | | 4,925,000 |

Bonds Authorized

| Bond Act | Amount | Date Sold | Amount Sold | Balance |
|---------------|----------------|-----------|-------------|----------------|
| 196 19 | 425,000 | | | 425,000 |
| 197 20 | 100,000 | | | 100,000 |
| Total | 525,000 | | | 525,000 |

STATE OF NEW YORK)
)
COUNTY OF WESTCHESTER)

I, the undersigned Clerk of the Board of Legislators of the County of Westchester, New York, DO HEREBY CERTIFY:

That I have compared the annexed extract of the minutes of the meeting of the Board of Legislators of said County, including the Bond Act contained therein, held on April 12, 2021 with the original thereof on file in my office, and that the same is a true and correct transcript therefrom and of the whole of said original so far as the same relates to the subject matters therein referred to.

I FURTHER CERTIFY that all members of said Board had due notice of said meeting.

I FURTHER CERTIFY that, pursuant to Section 103 of the Public Officers Law (Open Meetings Law), said meeting was open to the general public.

I FURTHER CERTIFY that, PRIOR to the time of said meeting, I duly caused a public notice of the time and place of said meeting to be to be given to the following newspapers and/or other news media as follows:

Newspaper and/or other news media

Date Given
April 7, 2021

WVOX
FIOS1
News Channel 12
The Journal News
Pluma Libre
Hometown Media

I FURTHER CERTIFY that PRIOR to the time of said meeting, I duly caused public notice of the time and place of said meeting to be conspicuously posted in the following designated public location(s) on the following dates:


Designated Location(s)
of posted notice

Date of Posting
April 7, 2021

www.westchesterlegislators.com

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said County Board of Legislators on April 13, 2021.





Sunday Vanderberg, Clerk
Westchester County Board of Legislators

The foregoing Bond Act was duly put to a vote which resulted as follows:

AYES:


Legislator Jose Alvarado
Legislator Nancy Barr
Legislator Catherine Borgia
Legislator Benjamin Boykin
Legislator Terry Clements
Legislator Kitley Covill
Legislator Margaret Cunzio
Legislator Vedat Gashi
Legislator Christopher Johnson
Legislator Damon Maher
Legislator Catherine Parker
Legislator MaryJane Shimsky
Legislator Colin Smith
Legislator David Tubiolo
Legislator Ruth Walter
Legislator Alfreda Williams
Legislator Tyrae Woodson-Samuels

NOES:

ABSENT:

The Bond Act was thereupon declared duly adopted.

* * * * *
APPROVED BY THE COUNTY EXECUTIVE


Date: 4-13-21

STATE OF NEW YORK)
) ss.
COUNTY OF WESTCHESTER)

I HEREBY CERTIFY that I have compared the foregoing Act No. 52 - 2021, and (Bond) Act No. 53 - 2021, with the originals on file in my office, and that the same are correct transcripts therefrom, and of the whole, of said original Act, and (Bond) Act, which were duly adopted by the Westchester County Board of Legislators, of the County of Westchester on April 12, 2021, and approved by the County Executive on April 13, 2021.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the Corporate Seal of said County Board of Legislators on this 15th day of April, 2021.



Malika Vanderberg

The Clerk of the Westchester County
Board of Legislators

County of Westchester, New York

