

**HONORABLE BOARD OF LEGISLATORS
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmittal from the County Executive recommending approval by the County of Westchester (“County”) of the following in connection Capital Project SNR95 – Pumping Station Rehabilitation Program – New Rochelle SSD (“SNR95”):

(1) a superseding bond act (the “Bond Act”) which, if adopted, would authorize the County to issue up to \$9,150,000 in bonds of the County to finance SNR95; and

(2) a related amending bond act (the “Amending Bond Act”), which would further amend Bond Act No. 6-2021, as amended by Bond Act No. 134-2022 and Bond Act No. 84-2023, by removing the authorization for bonds for design and construction management costs for the rehabilitation of the Fifth Avenue Pumping Station and reduce the estimated maximum cost and the amount of bonds authorized thereunder by \$800,000, from \$1,800,000 to \$1,000,000.

The Bond Act, in the amount of \$9,150,000, would finance the cost of design, construction management and construction associated with the rehabilitation of the County’s Fifth Avenue Pumping Station located in the New Rochelle Sanitary Sewer District. Work will include, but not be limited to, the installation of new bar screens, pumps and pump control panel replacements, piping and valves, gas and fire detection systems, all mechanical, instrumentation and electrical systems, and structural and architectural repairs and replacement such as walls, windows, and roofs. The relocation and/or replacement of the station’s emergency generator and flood hazard mitigation will also be addressed. In addition, a portion of this funding will also be used for repair and replacement of the electrical duct banks serving the Glen Island Pumping Station in New Rochelle.

The Amending Bond Act would further amend Bond Act No. 6-2021, as amended by Bond Act No. 134-2022 and Bond Act No. 84-2023, by removing the authorization for bonds for design and construction management costs associated with project SNR95 for the rehabilitation of the Fifth Avenue Pumping Station, thereby reducing the estimated maximum cost and the

amount of bonds authorized under Bond Act No. 6-2021, as amended, by \$800,000, from \$1,800,000 to \$1,000,000.

Your Committee notes that your Honorable Board has previously authorized the County to issue bonds in connection with SNR95 as follows: Bond Act No. 99-2020, which authorized \$350,000 in bonds to finance the cost of construction associated with the repair and replacement of the electrical duct banks serving the Glen Island Pumping Station in New Rochelle. These bonds have not been sold. Accordingly, the Bond Act will supersede Bond Act No. 99-2020 by combining the \$350,000 already authorized under Bond Act No. 99-2020, with \$800,000 in design and construction management funding attributable to SNR95 for the Fifth Avenue Pumping Station (which will be unbundled under the Amending Bond Act), and \$8,000,000 in construction funding appropriated for the Fifth Avenue Pumping Station project.

Your Committee further notes that your Honorable Board has previously authorized the County to issue additional bonds in connection with SNR95 as follows: Bond Act No. 6-2021 which authorized the issuance of a total aggregate amount of \$5,800,000 in bonds to finance the cost of design and construction management associated with the rehabilitation of five (5) different pumping stations located in various County sewer districts, including \$800,000 attributable to the Fifth Avenue Pumping Station under project SNR95; Bond Act No. 134-2022, which amended Bond Act No. 6-2021 by removing the authorization for bonds for design and construction management costs for the rehabilitation of the Jackson Avenue Pumping Station under project SBV95, which reduced the estimated maximum cost and amount of bonds authorized under Bond Act No. 6-2021 by \$1,500,000, from \$5,800,000, to \$4,300,000; and Bond Act No. 84-2023, which further amended Bond Act No. 6-2021, as amended by Bond Act No. 134-2022, by removing the authorization for bonds for design and construction management costs for the rehabilitation of the Saxon Woods Pumping Station under project SM095 and the Alexander Street and Irvington Pumping Stations under project SNY95, thereby further reducing the estimated maximum cost and amount of bonds authorized under Bond Act No. 6-2021, as amended, by \$2,500,000, from \$4,300,000 to \$1,800,000. The Amending Bond Act will further amend Bond Act No. 6-2021, as amended, by removing the authorization for bonds for design and construction management costs for the rehabilitation of the Fifth Avenue Pumping Station under project SNR95, which will further reduce the estimated maximum cost and amount of

bonds authorized under Bond Act No. 6-2021, as amended, by \$800,000, from \$1,800,000, to \$1,000,000.

Design is currently being undertaken by consultants and is expected to be completed by the 2nd quarter of 2023. It is anticipated that construction will take nine (9) months to complete and will begin after award and execution of construction contracts.

The Planning Department has advised your Committee that based on its review, the above-referenced capital project has been classified as a Type "II" action pursuant to the State Environmental Quality Review Act ("SEQR") and its implementing regulations, 6 NYCRR Part 617. Therefore, no further environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this conclusion.

It should be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to adopt the Bond Act and the Amending Bond Act.

Your Committee has carefully considered the proposed Bond Act and Amending Bond Act, and recommends approval of both of the proposed Acts.

Dated: July 10th, 2023
White Plains, New York

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Budget & Appropriations

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Public Works & Transportation

FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: SNR95

NO FISCAL IMPACT PROJECTED

SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

GENERAL FUND

AIRPORT FUND

SPECIAL DISTRICTS FUND

Source of County Funds (check one):

Current Appropriations

Capital Budget Amendment

SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 9,150,000 PPU 30 Anticipated Interest Rate 3.49%

Anticipated Annual Cost (Principal and Interest): \$ 477,420

Total Debt Service (Annual Cost x Term): \$ 14,322,600

Finance Department: Interest rates from June 9, 2023 Bond Buyer - ASBA

SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations

(describe in detail for current and next four years):

SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded: 99

SECTION E - EXPECTED DESIGN WORK PROVIDER

County Staff

Consultant

Not Applicable

Prepared by: Joe Brown

Title: Capital Program Coordinator

Department: DEF

Date: 6/12/23

Reviewed By: 

DV 6/12/23
Budget Director

Date: 6/13/23

TO: Michelle Greenbaum, Senior Assistant County Attorney
Jeffrey Goldman, Senior Assistant County Attorney
Carla Chaves, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM 
Assistant Commissioner

DATE: April 28, 2023

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:
SNR95 Pumping Station Rehabilitation Program – New Rochelle SSD**

PROJECT/ACTION: Per Capital Project Fact Sheet as approved by the Planning Department on 04-06-2023 (Unique ID: 2126)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(2):** replacement, rehabilitation or reconstruction of a structure or facility, in kind, on the same site, including upgrading buildings to meet building, energy, or fire codes unless such action meets or exceeds any of the thresholds in section 617.4 of this Part.
- **617.5(c)(9):** construction or expansion of a primary or accessory/appurtenant, nonresidential structure or facility involving less than 4,000 square feet of gross floor area and not involving a change in zoning or a use variance and consistent with local land use controls, but not radio communication or microwave transmission facilities.

COMMENTS: None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff
Paula Friedman, Assistant to the County Executive
Lawrence Soule, Budget Director
Tami Altschiller, Assistant Chief Deputy County Attorney
C.J. Gelardo, Associate Engineer, Department of Environmental Facilities
Joseph Brown, Capital Program Coordinator, Department of Environmental Facilities
Dianne Vanadia, Senior Budget Analyst
Kelly Sheehan, Assistant Commissioner
Michael Lipkin, Associate Planner
Claudia Maxwell, Associate Environmental Planner

ACT NO. _____ - 2023

BOND ACT DATED _____, 2023.

A BOND ACT AUTHORIZING THE ISSUANCE OF \$9,150,000 BONDS OF THE COUNTY OF WESTCHESTER, NEW YORK, TO PAY THE DESIGN, CONSTRUCTION MANAGEMENT AND CONSTRUCTION COSTS FOR THE REHABILITATION OF VARIOUS PUMPING STATIONS WITHIN THE NEW ROCHELLE SANITARY SEWER DISTRICT, SUPERSEDING BOND ACT NO. 99-2020 AND CONSOLIDATING A CERTAIN PORTION OF BOND ACT NO. 134-2022 HEREIN.

WHEREAS, the Board previously authorized the issuance of \$350,000 bonds to finance design, construction management and construction costs for the rehabilitation of the electrical duct bank serving the Glenn Island pumping stations (Administration and Casino) for the benefit of the New Rochelle Sanitary Sewer District (the "District") pursuant to Act No. 99-2020, adopted June 1, 2020; and

WHEREAS, the Board previously authorized the issuance of \$800,000 bonds to finance design and construction management costs for the rehabilitation of the Fifth Avenue Pumping Station in the District pursuant to a consolidated bond act (Act No. 6-2021, dated January 11, 2022, as amended by Act No. 134-2022, dated October 3, 2022), which provided for an aggregate \$5,800,000 for design and construction management costs for various projects (SNR95, SM095, SNR95 and SPK95) in various districts; and

WHEREAS, no obligations have been issued under Act Nos. 99-2020 and 134-2022; and

WHEREAS, it has now been determined that (i) it would be beneficial to combine the objects or purposes described in Act Nos. 99-2020 and 134-2022 relating to project SNR95 and to further expand the scope to include construction costs for the rehabilitation of various pumping stations within the District, including the Glenn Island pumping stations and the Fifth Avenue pumping station, and (ii) the period of probable usefulness of the combined class of objects or purposes shall be thirty years pursuant to subdivision 4 of paragraph a of Section 11.00 of the

Local Finance Law and (iii) the estimated maximum cost for such combined class of objects or purposes shall be \$9,150,000, an increase of \$8,000,000; and

WHEREAS, \$9,150,000 has been appropriated in the Capital Budget of the County for the aforesaid class of objects or purposes; and

WHEREAS, the plan for the financing of the estimated maximum cost of such capital purpose, as hereinafter set forth in this Bond Act, is in conformity with such capital budget; and

WHEREAS, all other conditions precedent to the financing of the capital project hereinafter described, including compliance with the provisions of the State Environmental Quality Review Act, to the extent required, have been performed; and

BE IT ENACTED by the Board of Legislators of The County of Westchester, New York (the "County") (by the affirmative vote of not less than two-thirds of the entire voting strength of said Board), as follows:

Section 1. For the class of objects or purposes of financing the cost of the design, construction management and construction costs for the rehabilitation of various pumping stations within the District, including, but not limited to, reconstruction of electrical duct bank, installation of new equipment, upgrades of various pump station systems, structural and architectural repairs, replacement of emergency generator, flood hazard mitigation measures, and incidental expenses in connection therewith, there are hereby authorized to be issued \$9,150,000 bonds of said County pursuant to the provisions of the Local Finance Law.

To the extent that the details of the aforesaid class of objects or purposes set forth in this Bond Act are inconsistent with any details set forth in the current Capital Budget of the County, such Budget shall be deemed and is hereby amended to the extent inconsistent herewith.

Section 2. It is hereby determined that the estimated maximum cost of the aforesaid class of objects or purposes is \$9,150,000, and that the plan for the financing thereof is by the issuance of the \$9,150,000 bonds of said County authorized to be issued pursuant to this Bond Act.

Section 3. It is hereby determined that the period of probable usefulness of the aforesaid class of objects or purposes is thirty years pursuant to subdivision four of paragraph a of Section 11.00 of the Local Finance Law.

Section 4. Subject to the provisions of the Local Finance Law, the power to authorize the issuance of and to sell bond anticipation notes in anticipation of the issuance and sale of the bonds herein authorized, including renewals of such notes, is hereby delegated to the Commissioner of Finance, the chief fiscal officer. Such notes shall be of such terms, form and contents, and shall be sold in such manner, as may be prescribed by said Commissioner of Finance, consistent with the provisions of the Local Finance Law.

Section 5. The faith and credit of the County are hereby irrevocably pledged for the payment of the principal of and interest on such obligations as the same respectively become due and payable. An annual appropriation shall be made in each year sufficient to pay the principal of and interest on such obligations becoming due and payable in such year. To the extent not paid from the assessment of properties assessable for this purpose in the County's New Rochelle Sanitary Sewer District, or other sources, there shall annually be levied on all the taxable real property of said County a tax sufficient to pay the principal of and interest on such obligations, as the same become due and payable.

Section 6. Such bonds shall be in fully registered form and shall be signed in the name of the County by the manual or facsimile signature of the Commissioner of Finance and a facsimile of the corporate seal shall be imprinted or impressed thereon and may be attested by the manual or facsimile signature of the County Clerk.

Section 7. The powers and duties of advertising such bonds for sale, conducting the sale and awarding the bonds, are hereby delegated to the Commissioner of Finance, who shall advertise such bonds for sale, conduct the sale, and award the bonds in such manner as said Commissioner of Finance shall deem best for the interests of the County; including, but not limited to, the power to sell said bonds to the New York State Environmental Facilities Corporation;

provided, however, that in the exercise of these delegated powers, the Commissioner of Finance shall comply fully with the provisions of the Local Finance Law and any order or rule of the State Comptroller applicable to the sale of municipal bonds. The receipt of the Commissioner of Finance shall be a full acquittance to the purchaser of such bonds, who shall not be obliged to see to the application of the purchase money.

Section 8. The Commissioner of Finance is hereby further delegated the power to authorize the sale and issuance of the bonds authorized pursuant to this Bond Act (a) at a discount in the manner authorized by paragraphs e and f of Section 57.00 of the Local Finance Law, (b) at private sale pursuant to the applicable provisions of the Local Finance Law and any regulations of the New York State Comptroller appertaining thereto, including the private sale of bonds at a premium, (c) as capital appreciation bonds or term bonds at public sale or private sale pursuant to the applicable provisions of the Local Finance Law and any regulations of the New York State Comptroller appertaining thereto, and (d) at a variable rate of interest in the manner authorized by Section 54.90 of the Local Finance Law, including notes issued in anticipation thereof. The Commissioner of Finance is hereby authorized to enter into such agreements as said Commissioner of Finance shall determine reasonable and necessary to facilitate the issuance, sale, resale and, or repurchase of such bonds or notes pursuant to the provisions of Section 54.90 of the Local Finance Law. Such bonds and, or notes shall be of such terms, form and contents, and shall be sold in such manner, as may be prescribed by said Commissioner of Finance.

Section 9. The power to issue and sell notes to the New York State Environmental Facilities Corporation pursuant to Section 169.00 of the Local Finance Law is hereby delegated to the Commissioner of Finance. Such notes shall be of such terms, form and contents as may be prescribed by said Commissioner of Finance consistent with the provisions of the Local Finance Law.

Section 10. The Commissioner of Finance is hereby further authorized, at the Commissioner of Finance's sole discretion, to execute a project finance agreement, and any other

agreements with the New York State Department of Environmental Conservation and/or the New York State Environmental Facilities Corporation, including amendments thereto, and including any instruments (or amendments thereto) in the effectuation thereof, in order to effect the financing or refinancing of the class of objects or purposes described in Section 1 hereof, or a portion thereof, by a bond, and, or note issue of said County in the event of the sale of same to the New York State Environmental Facilities Corporation.

Section 11. The intent of this Bond Act is to give the Commissioner of Finance sufficient authority to execute those applications, agreements, instruments or to do any similar acts necessary to effect the issuance of the aforesaid bonds and, or notes without resorting to further action of this Board of Legislators.

Section 12. All other matters, except as provided herein relating to such bonds, including determining whether to issue such bonds having substantially level or declining annual debt service and all matters related thereto, prescribing whether manual or facsimile signatures shall appear on said bonds, prescribing the method for the recording of ownership of said bonds, appointing the fiscal agent or agents for said bonds, providing for the printing and delivery of said bonds (and if said bonds are to be executed in the name of the County by the facsimile signature of the Commissioner of Finance, providing for the manual countersignature of a fiscal agent or of a designated official of the County), the date, denominations, maturities and interest payment dates, place or places of payment, and also including the consolidation with other issues, shall be determined by the Commissioner of Finance. It is hereby determined that it is to the financial advantage of the County not to impose and collect from registered owners of such bonds any charges for mailing, shipping and insuring bonds transferred or exchanged by the fiscal agent, and, accordingly, pursuant to paragraph c of Section 70.00 of the Local Finance Law, no such charges shall be so collected by the fiscal agent. Such bonds shall contain substantially the recital of validity clause provided for in Section 52.00 of the Local Finance Law and shall otherwise be in

such form and contain such recitals in addition to those required by Section 52.00 of the Local Finance Law, as the Commissioner of Finance shall determine.

Section 13. The validity of such bonds and bond anticipation notes may be contested only if:

(1) Such obligations are authorized for an object or purpose for which said County is not authorized to expend money, or

(2) The provisions of law which should be complied with at the date of publication of this Bond Act are not substantially complied with,

and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or

(3) Such obligations are authorized in violation of the provisions of the Constitution.

Section 14. This Bond Act shall constitute a statement of official intent for purposes of Treasury Regulations Section 1.150 - 2. Other than as specified in this Bond Act, no monies are, or are reasonably expected to be, reserved, allocated on a long-term basis, or otherwise set aside with respect to the permanent funding of the object or purpose described herein.

Section 15. This Bond Act, which shall take effect immediately in accordance with the provisions of Section 33.10 of the Local Finance Law and as provided in Section 107.71 of the Westchester County Charter, shall be published in summary form in the official newspaper of said County for purposes of this Bond Act, together with a notice of the Clerk of the Board of Legislators in substantially the form provided in Section 81.00 of the Local Finance Law.

The foregoing Bond Act was duly put to a vote which resulted as follows:

AYES:

NOES:

ABSENT:

The Bond Act was thereupon declared duly adopted.

* * *

APPROVED BY THE COUNTY EXECUTIVE

Date: _____, 2023

STATE OF NEW YORK)
) ss.:
COUNTY OF WESTCHESTER)

I, the undersigned Clerk of the Board of Legislators of the County of Westchester, New York, DO HEREBY CERTIFY:

That I have compared the annexed extract of the minutes of the meeting of the Board of Legislators of said County, including the Bond Act contained therein, held on _____, 2023, with the original thereof on file in my office, and that the same is a true and correct transcript therefrom and of the whole of said original so far as the same relates to the subject matters therein referred to.

I FURTHER CERTIFY that all members of said Board had due notice of said meeting.

I FURTHER CERTIFY that said meeting was (i) open to the general public pursuant to Section 103 of the Public Officers Law or (ii) conducted in conformance with Section 103-a of the Public Officers Law.

I FURTHER CERTIFY that, PRIOR to the time of said meeting, I duly caused a public notice of the time and place of said meeting to be given to the following newspapers and/or other news media as follows:

Newspaper and/or other news media

Date given

I FURTHER CERTIFY that PRIOR to the time of said meeting, I duly caused public notice of the time and place of said meeting to be conspicuously posted in the following designated public location(s) on the following dates:

Designated Location(s) of Posted Notices

Date of Posting

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said County Board of Legislators on _____, 2023.

Clerk of the County Board of Legislators
of the County of Westchester, New York

(CORPORATE
SEAL)

LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on _____, 2023 and approved by the County Executive on _____, 2023 and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Legal Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Legal Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Legal Notice.

ACT NO. _____-2023

A BOND ACT AUTHORIZING THE ISSUANCE OF \$9,150,000 BONDS OF THE COUNTY OF WESTCHESTER, NEW YORK, TO PAY THE DESIGN, CONSTRUCTION MANAGEMENT AND CONSTRUCTION COSTS FOR THE REHABILITATION OF VARIOUS PUMPING STATIONS WITHIN THE NEW ROCHELLE SANITARY SEWER DISTRICT, SUPERSEDING BOND ACT NO. 99-2020 AND CONSOLIDATING A CERTAIN PORTION OF BOND ACT NO. 134-2022 HEREIN.

class of objects or purposes: financing the cost of the design, construction management and construction costs for the rehabilitation of various pumping stations within the District, including, but not limited to, reconstruction of electrical duct bank, installation of new equipment, upgrades of various pump station systems, structural and architectural repairs, replacement of emergency generator, flood hazard mitigation measures, and incidental expenses in connection therewith

period of probable usefulness: thirty (30) years

amount of obligations to be issued: \$9,150,000

Dated: _____, 2023
White Plains, New York

Clerk and Administrative Officer of the County Board of
Legislators of the County of Westchester, New York