

Board of Legislators Meeting Agenda



800 Michaelian Office Bldg.
148 Martine Avenue, 8th Floor
White Plains, NY 10601
www.westchesterlegislators.com

Monday, March 22, 2021

7:00 PM

Legislative Chambers

CALENDAR 6 (CONSENT)

CALL TO ORDER

MINUTES APPROVAL

March 8, 2021 at 7PM

PUBLIC COMMENT

Speakers _____

PUBLIC HEARING

1. [2021-36](#) PH - Code of Ethics Legislation

A RESOLUTION to set a Public Hearing on "A LOCAL LAW amending the Laws of Westchester County with respect to the advancement of ethics within all levels of county government."

[Public Hearing set for March 22, 2021 at 7:30 p.m.] LOCAL LAW INTRO 2020-12938.
(Formerly MT #12939)

Submitted by COMMITTEES ON LEGISLATION AND LAW & MAJOR CONTRACTS

SPEAKERS: _____

UNFINISHED BUSINESS

I. COMMUNICATIONS

A. COUNTY EXECUTIVE

1. [2021-202](#) APPT-Emergency Medical Services Advisory Board-Swanson

A RESOLUTION appointing Jill Swanson as a member of the Westchester County

Emergency Medical Services Advisory Board for the term February 18, 2021 to December 31, 2022.

COMMITTEE REFERRAL: COMMITTEE ON APPOINTMENTS

2. [2021-203](#) **APPT-Emergency Medical Services Advisory Board-Barca**

A RESOLUTION appointing Joseph Barca as a member of the Westchester County Emergency Medical Services Advisory Board for the term February 18, 2021 to December 31, 2022.

COMMITTEE REFERRAL: COMMITTEE ON APPOINTMENTS

3. [2021-204](#) **APPT-Emergency Medical Services Advisory Board-Kim**

A RESOLUTION appointing Al Kim as a member of the Westchester County Emergency Medical Services Advisory Board for the term February 18, 2021 to December 31, 2022.

COMMITTEE REFERRAL: COMMITTEE ON APPOINTMENTS

4. [2021-205](#) **APPT-Arab American Advisory Board-Elzoghby**

A RESOLUTION appointing Hussein Elzoghby as a member of the Westchester County Arab American Advisory Board for the term February 25, 2021 to December 31, 2022.

COMMITTEE REFERRAL: COMMITTEE ON APPOINTMENTS

5. [2021-206](#) **APPT-Asian American Advisory Board-Shih**

A RESOLUTION appointing Howard Shih as a member of the Westchester County Asian American Advisory Board for the term February 24, 2021 to December 31, 2022.

COMMITTEE REFERRAL: COMMITTEE ON APPOINTMENTS

6. [2021-209](#) **APPT-Commissioner of Dept. of Social Services-Townes**

A RESOLUTION to confirm the appointment, effective February 25, 2021, of Leonard Townes as the Commissioner of the Westchester County Department of Social Services.

COMMITTEE REFERRAL: COMMITTEES ON APPOINTMENTS AND SOCIAL SERVICES

SI 7. 2021-216 **2021 Priorities for Federal Legislation and FY 22 Appropriations**

Forwarded from the County Executive, his 2021 Priorities for Federal Legislation and FY22 Appropriations.

COMMITTEE REFERRAL: LEGISLATION AND INTERGOVERNMENTAL SERVICES

SI 8. 2021-217 **CBA-B0082-Low Rise Building Renovation, White Plains**

AN ACT amending the 2021 County Capital Budget Appropriations for Capital Project - B0082 - Low Rise Building Renovation, White Plains.

COMMITTEE REFERRAL: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION

SI 9. 2021-218 BOND ACT(Amended)-B0082-Low Rise Building Renovation, White Plains

A BOND ACT (Amended) authorizing the issuance of additional bonds of Westchester County in the amount of SIXTEEN MILLION, SIX HUNDRED FORTY FIVE THOUSAND (\$16,645,000) DOLLARS to finance Capital Project B0082 - Low Rise Building Renovation, White Plains.

COMMITTEE REFERRAL: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION

SI 10. 2021-219 CBA-B0104-Low Rise Building Improvements, White Plains '15-'19

AN ACT amending the 2021 County Capital Budget Appropriations for Capital Project - B0104 - Low Rise Building Improvements, White Plains (2015-2019).

COMMITTEE REFERRAL: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION

SI 11. 2021-220 BOND ACT(Amended)-B0104-Low Rise Building Improvements, White Plains '15-'19

A BOND ACT (Amended) authorizing the issuance of an additional TEN MILLION , ONE HUNDRED ELEVEN THOUSAND (\$10,111,000) DOLLARS in bonds of Westchester County to finance Capital Project B0104 - Low Rise Building Improvements, White Plains (2015-2019).

COMMITTEE REFERRAL: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION

SI 12. 2021-221 CBA-BSS13-Infrast Improvements to Shelter Facilities-Vernon Plaza, Mount Vernon

AN ACT amending the 2021 County Capital Budget Appropriations for Capital Project - BSS13 - Infrastructure Improvements to Shelter Facilities - Vernon Plaza, Mt. Vernon.

COMMITTEE REFERRAL: COMMITTEES ON BUDGET & APPROPRIATIONS, PUBLIC WORKS & TRANSPORTATION AND SOCIAL SERVICES

SI 13. 2021-222 BOND ACT-BSS13-Infrast Improvements to Shelter Facilities-Vernon Plaza, Mount Vernon

A BOND ACT authorizing the issuance of ONE MILLION, SEVEN HUNDRED THOUSAND (\$1,700,000) DOLLARS in bonds of Westchester County to finance Capital Project BSS13 - Infrastructure Improvements to Shelter Facilities - Vernon Plaza Mt. Vernon.

COMMITTEE REFERRAL: COMMITTEES ON BUDGET & APPROPRIATIONS, PUBLIC WORKS & TRANSPORTATION AND SOCIAL SERVICES

SI 14. 2021-223 CBA-B015D-Interior Infrast Repairs, White Plains Complex '16-'20

AN ACT amending the 2021 County Capital Budget Appropriations for Capital Project - B015D - Interior Infrastructure Repairs, White Plains Complex (2016-2020).

COMMITTEE REFERRAL: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION**SI 15. 2021-224 BOND ACT-B015D-Interior Infrast Repairs, White Plains Complex '16-'20**

A BOND ACT authorizing the issuance of THREE MILLION, EIGHT HUNDRED SEVENTY THOUSAND (\$3,870,000) DOLLARS in bonds of Westchester County to finance Capital Project B015D - Interior Infrastructure Repairs, White Plains Complex (2016-2020).

COMMITTEE REFERRAL: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION**SI 16. 2021-225 ENV RES-RMAC4-Woodlands Lake Dam at V.E. Macy Park**

AN ENVIRONMENTAL RESOLUTION determining that there will be no significant adverse impact on the environment from Capital Project RMAC4 - Woodlands Lake Dam at V.E. Macy Park.

COMMITTEE REFERRAL: COMMITTEES ON BUDGET & APPROPRIATIONS, PUBLIC WORKS & TRANSPORTATION AND PARKS & RECREATION**SI 17. 2021-226 BOND ACT-RMAC4-Woodlands Lake Dam at V.E. Macy Park**

A BOND ACT authorizing the issuance of FIVE MILLION (\$5,000,000) DOLLARS in bonds of Westchester County to finance Capital Project RMAC4 - Woodlands Lake Dam at V.E. Macy Park.

COMMITTEE REFERRAL: COMMITTEES ON BUDGET & APPROPRIATIONS, PUBLIC WORKS & TRANSPORTATION AND PARKS & RECREATION**SI 18. 2021-227 BOND ACT-T0048-Central Maintenance Fac. Roof Alterations**

A BOND ACT authorizing the issuance of EIGHT HUNDRED SIXTY THOUSAND (\$860,000) DOLLARS in bonds of Westchester County to finance Capital Project T0048 - Central Maintenance Facility Roof Alterations.

COMMITTEE REFERRAL: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION**SI 19. 2021-228 BOND ACT-B077D-Infrast. Rehab.-Valhalla Campus '14-'18**

A BOND ACT authorizing the issuance of TWO MILLION, EIGHT HUNDRED THOUSAND (\$2,800,000) DOLLARS in bonds of Westchester County to finance Capital Project B077D - Infrastructure Rehabilitation, Valhalla Campus (2014-2018).

COMMITTEE REFERRAL: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION**SI 20. 2021-229 PH-WD309-Water Storage Facilities & Maintenance Program**

A RESOLUTION to set a Public Hearing on "An ACT to increase and improve Westchester County Water District No. 3 facilities to carry out capital project WD309 at a maximum

estimated cost of NINE MILLION, EIGHT HUNDRED THOUSAND (\$9,800,000) DOLLARS in accordance with the recommendation of the District Report of the Department of Environmental Facilities subject to the permission of the Comptroller of the State of New York". [Public Hearing set for _____, 2021 at ____ .m.]. Intro Act 2021-231.

COMMITTEE REFERRAL: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION

SI 21. 2021-230 ENV RES-WD309-Water Storage Facilities & Maintenance Prgm

AN ENVIRONMENTAL RESOLUTION determining that there will be no significant adverse impact on the environment from Capital Project WD309 - Water Storage Facilities and Maintenance Program.

COMMITTEE REFERRAL: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION

SI 22. 2021-231 ACT-Authorizing County to Proceed with WD309

AN ACT to increase and improve Westchester County Water District No. 3 facilities to carry out capital project WD309 at a maximum estimated cost of NINE MILLION, EIGHT HUNDRED THOUSAND (\$9,800,000) DOLLARS in accordance with the recommendation of the District Report of the Department of Environmental Facilities subject to the permission of the Comptroller of the State of New York.

COMMITTEE REFERRAL: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION

SI 23. 2021-232 BOND ACT(Amended)-WD309-Water Storage Facilities & Maintenance Prgm.

A BOND ACT (Amended) authorizing the issuance of additional bonds of Westchester County in the amount of NINE MILLION, THREE HUNDRED THOUSAND (\$9,300,000) DOLLARS to finance Capital Project WD309 - Water Storage Facilities and Maintenance Program.

COMMITTEE REFERRAL: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION

SI 24. 2021-233 ACT-Directing That a Verified Application be Submitted to the State Comptroller

AN ACT to authorize the Chairman of the Board of Legislators or his authorized designee to execute all instruments and take all actions reasonable, necessary and appropriate to petition the Comptroller of the State of New York pursuant to Section 268 of Article 5-A of the New York State County Law for an order giving permission for the County's issuance of bonds in an amount not-to-exceed NINE MILLION, EIGHT HUNDRED THOUSAND (\$9,800,000) DOLLARS for an increase and improvement of County Water District No.3.

COMMITTEE REFERRAL: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION

SI 25. 2021-234 RES-State Regulations Resolution

A RESOLUTION as required by the State Regulations (2 N.Y.C.R.R. Part 85) in order to submit the verified application to the State Comptroller.

COMMITTEE REFERRAL: COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION

B. COUNTY ATTORNEY**C. LEGISLATORS****1. 2021-207 HON. BENJAMIN BOYKIN - Suspension of Rules**

Forwarding a letter from the Chairman of the Board, dated March 15, 2021, serving the Clerk of the Board written notice of his intent to suspend County Board Rule 4.1 for the March 22, 2021 Regular Board Meeting.

FOR INFORMATIONAL PURPOSES ONLY - NO COMMITTEE REFERRAL NECESSARY

2. 2021-208 HON. BENJAMIN BOYKIN - Appointment to the Westchester County Storm Water Advisory Board - Shimsky

Forwarding correspondence from Chairman Boykin appointing Legislator MaryJane Shimsky as the Board of Legislators ex-officio member of the Westchester County Storm Water Advisory Board for a term to commence of January 1, 2021 and to expire on December 31, 2021.

COMMITTEE REFERRAL: COMMITTEE ON APPOINTMENTS

3. 2021-212 HON. CATHERINE BORGIA - Amendments to the Co-op Disclosure Law

A LOCAL LAW amending Local Law No.11-2018 relating to applications for prospective purchasers of stock in Cooperative Housing Corporations.

COMMITTEE REFERRAL: COMMITTEES ON LEGISLATION AND LABOR & HOUSING

4. 2021-213 PH - Amendments to the Co-op Disclosure Law

A RESOLUTION to set a Public Hearing on a "LOCAL LAW amending Local Law No. 11-2018 relating to applications for prospective purchasers of stock in Cooperative Housing Corporations." [Public Hearing set for _____, 2021 at _____ .m.]. Local Law Intro 2021-212.

COMMITTEE REFERRAL: COMMITTEES ON LEGISLATION AND LABOR & HOUSING

D. OTHERS**II. NOTICES & PETITIONS**

III. STANDING COMMITTEES

1. [2021-35](#) **Code of Ethics Legislation**

A LOCAL LAW amending the Laws of Westchester County with respect to the advancement of ethics within all levels of county government. (Formerly MT # 12938).

Submitted by COMMITTEES ON LEGISLATION AND LAW & MAJOR CONTRACTS

LOCAL LAW _____ - 2021

VOTE _____

2. [2021-200](#) **ACT - Settlement of Westchester County v. E.E. Cruz & Co.**

AN ACT authorizing the County Attorney to settle on behalf of the County of Westchester the claims of E.E. Cruz & Company, Inc. against the County for additional compensation under Contract No. 11-503-REV for Rehabilitation of the Fulton Avenue Bridge over Hutchinson River (BIN 3348220), City of Mount Vernon and Village of Pelham Manor.

Submitted by COMMITTEES ON BUDGET & APPROPRIATIONS AND LAW & MAJOR CONTRACTS

ACT _____ - 2021

VOTE _____

3. [2021-54](#) **APPT-LGBTQ Advisory Board-Young**

A RESOLUTION appointing James Young as a member of the Westchester County LGBTQ Advisory Board for the term November 10, 2020 to December 31, 2023.

Submitted by COMMITTEE ON APPOINTMENTS

RESOLUTION _____ - 2021

VOTE _____

4. [2021-55](#) **APPT-LGBTQ Advisory Board-Stenerson**

A RESOLUTION to appoint James F. Stenerson, Ph.D., as a member of the Westchester County LGBTQ Advisory Board for the term November 13, 2020 to December 31, 2024.

Submitted by COMMITTEE ON APPOINTMENTS

RESOLUTION _____ - 2021

VOTE _____

5. [2021-124](#) **APPT-Labs & Research Board of Managers-Howell**

A RESOLUTION appointing Dr. Renee M. Howell as a member of the Westchester County Laboratories and Research Board of Managers for the term January 1, 2021 to December 31, 2025.

Submitted by COMMITTEE ON APPOINTMENTS

RESOLUTION _____ - 2021 VOTE _____

6. [2021-125](#) APPT-Labs & Research Board of Managers-Morton

A RESOLUTION appointing Dr. Lori Morton as a member of the Westchester County Laboratories and Research Board of Managers for the term January 1, 2021 to December 31, 2025.

Submitted by COMMITTEE ON APPOINTMENTS

RESOLUTION _____ - 2021 VOTE _____

7. [2021-127](#) APPT-African American Advisory Board-McCann

A RESOLUTION appointing Ke-Myra V.L. McCann as a member of the Westchester County African American Advisory Board for the term January 13, 2021 to December 31, 2022.

Submitted by COMMITTEE ON APPOINTMENTS

RESOLUTION _____ - 2021 VOTE _____

8. [2021-128](#) APPT-Fire Advisory Board-Goldfeder

A RESOLUTION appointing Paul J. Goldfeder as a member of the Westchester County Fire Advisory Board for the term January 6, 2021 to December 31, 2023.

Submitted by COMMITTEE ON APPOINTMENTS

RESOLUTION _____ - 2021 VOTE _____

9. [2021-130](#) APPT-Local Development Corporation-Watson

A RESOLUTION to appoint Judith Watson as a member of the Westchester County Local Development Corporation for the term beginning January 6, 2021 and to serve at the pleasure of the County Executive.

Submitted by COMMITTEE ON APPOINTMENTS

RESOLUTION _____ - 2021 VOTE _____

10. [2021-158](#) APPT-Asian American Advisory Board-Chung

A RESOLUTION appointing Jeanhee Chung as a member of the Westchester County Asian American Advisory Board for the term January 8, 2021 to December 31, 2022.

Submitted by COMMITTEE ON APPOINTMENTS

RESOLUTION _____ - 2021 VOTE _____

11. [2021-164](#) **REAPPT-Board of Ethics-Cotton**

A RESOLUTION reappointing Stuart Cotton as a member of the Westchester County Board of Ethics for the term January 1, 2021 to December 31, 2023.

Submitted by COMMITTEE ON APPOINTMENTS

RESOLUTION _____ - 2021 VOTE _____

12. [2021-179](#) **REAPPT-Human Rights Commission-Dalton**

A RESOLUTION reappointing Rev. Doris Dalton as a member of the Westchester County Human Rights Commission for the term January 1, 2021 to December 31, 2023.

Submitted by COMMITTEE ON APPOINTMENTS

RESOLUTION _____ - 2021 VOTE _____

13. [2021-183](#) **RESO - Citizens Review Panel**

A proposed Resolution requesting the New York State legislature create a subcommittee of the Citizens Review Panel of the Child Protective Services system for the Hudson Valley.

Submitted by COMMITTEE ON SOCIAL SERVICES

RESOLUTION _____ - 2021 VOTE _____

14. [2021-197](#) **ACT - Lawsuit Settlement - "K.O"**

An ACT authorizing the County of Westchester to compromise its right to be reimbursed for health care and wage benefits paid to or on behalf of a County employee from a settlement of her legal action against a third-party tortfeasor.

Submitted by COMMITTEES ON BUDGET & APPROPRIATIONS AND LAW & MAJOR CONTRACTS

ACT _____ - 2021 VOTE _____

15. [2021-201](#) **ACT - Settlement of claim against Henningson, Durham &**

Richardson Architecture and Engineering, P.C.

AN ACT authorizing the County Attorney to settle a claim on behalf of the County of Westchester against Henningson, Durham & Richardson Architecture and Engineering, P.C. in association with HDR Engineering, Inc., for defense and indemnification in connection with the claims of EE Cruz & Company, Inc. for additional compensation under Contract No. 11-503-REV for Rehabilitation of the Fulton Avenue Bridge over Hutchinson River (BIN 3348220), City of Mount Vernon and Village of Pelham Manor.

Submitted by COMMITTEES ON BUDGET & APPROPRIATIONS AND LAW & MAJOR CONTRACTS

ACT _____ - 2021

VOTE _____

SI 16. 2021-38**BOND ACT -Furnishings & Equipment (BDA02, BIT4C, BIT6D, BIT47, BIT49, BIT54, BIT45, BIT40, T0045, BLR2E, B015E)**

A BOND ACT authorizing the issuance of TEN MILLION, FOUR HUNDRED TWENTY-FIVE THOUSAND (\$10,425,000) DOLLARS in bonds of Westchester County to finance the following 11 Capital Projects for 2021: BDA02-Information Technology (2020-2024), BIT4C-Employee Productivity Systems (2018-2022), BIT6D-Purchase of Computer Equipment (2021-2025), BIT47-Network and Security Infrastructure Upgrades (2020-2024), BIT49-Police Record Management System Upgrade, BIT54-Critical Communication Logging System Replacement (2021-2025), BIT45-Building Access Control and Video Surveillance Systems Upgrade, BIT40-Westchester County Basemap Update-Phase 2, T0045-Replace Bus Wash at the Cerrato Maintenance Facility, BLR2E-Labs and Research Equipment Acquisition (2021-2025), B015E-Interior Infrastructure Repairs, White Plains Complex (2021-2025). As revised in committee by the removal Capital Project BIT44-Telecommunications Replacement Equipment.

Submitted by COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION

BOND ACT _____ - 2021

VOTE _____

SI 17. 2021-187**IMA-Justice Assistance Grant-Yonkers, Mount Vernon, New Rochelle**

AN ACT to authorize the County of Westchester to enter into a four-year intermunicipal agreement with the Cities of Yonkers, Mount Vernon and New Rochelle for the administration of the Edward Byrne Memorial Justice Assistance Grant for 2020.

Submitted by COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC SAFETY

ACT _____ - 2021

VOTE _____

SI 18. 2021-199**IMA-Enslaved Africans' Rain Garden-Yonkers**

AN ACT authorizing the County of Westchester to enter into an intermunicipal agreement with

the City of Yonkers whereby the County will contribute funds towards the construction and installation of the Enslaved Africans' Rain Garden to be maintained by the City and accessible to all County residents.

Submitted by COMMITTEES ON BUDGET & APPROPRIATIONS AND PUBLIC WORKS & TRANSPORTATION

ACT _____ - 2021

VOTE _____

IV. SPECIAL ORDERS

SI 1. [2021-215](#) ACT-Adopting Police Reform Plan

An Act adopting the Westchester County Police Reform Plan developed by the Westchester County Police Reform and Reimagining Task Force.

Submitted by COMMITTEE ON PUBLIC SAFETY

ACT _____ - 2021

VOTE _____

MOTIONS, RESOLUTIONS & CALL OF THE DISTRICTS

1. 2021-211 Memorial Resolutions 6-2021

HON. NANCY BARR: Eugenia Tarazona Motta, Dr. Edwin Zevallos, Fr. John Francis Grinsell, George Edward Hogben

HON. CATHERINE BORGIA: John L. Habib, Peter Glen Tuttle, Wayne H. Roberts, Jr., Kristoff Michael Chambers

HON. MARGARET A. CUNZIO: Maria LeDonne, Frank (Sonny) J. Vallaro, Anthony Pacifico, Leo DeBiase, Carl R. Cenner, John T. Collins, Catherine Elizabeth "Liz" Dickmann, Paul A. Wallace, Madeline LaChapelle

HON. VEDAT GASHI: Kenneth J. Brown, Jr.

HON. DAVID J. TUBIOLO: Allen Crews, Olga D. Peschio, Frederick S. Dombek

ADJOURNMENT

Next Board Meeting: April 12, 2021 at 7 p.m.

RESOLUTION NO. 47 - 2021

RESOLVED, that this Board hold a public hearing pursuant to Section 209.141(4) of the Laws of Westchester County on Local Law Intro. No. ⁽¹²⁹³⁸⁾~~35~~ - 2021, entitled "A LOCAL LAW amending the Laws of Westchester with respect to the advancement of ethics within all levels of county government." The public hearing will be held at ^{7:30}~~p~~.m. on the 22 day of March, 2021 in the Chambers of the Board of Legislators, 8th Floor, Michaelian Office Building, White Plains, New York. The Clerk of the Board shall cause notice of the time and date of such hearing to be published at least once in one or more newspapers published in the County of Westchester and selected by the Clerk of the Board for that purpose in the manner and time required by law.

TO: HONORABLE BOARD OF LEGISLATORS
COUNTY OF WESTCHESTER

Your Committee has reviewed "A LOCAL LAW amending the Laws of Westchester with respect to the advancement of ethics within all levels of county government."

Your Committee notes that Article 18 of the General Municipal Law prohibits the officers and employees of a County from having certain conflicts of interest, and Section 806 of the General Municipal Law requires the governing body of each county to adopt a code of ethics that sets forth standards of conduct expected of its officers and employees. The code of ethics adopted by this Board must set forth standards of conduct for the guidance of the officers and employees of the county with respect to disclosure of interests in legislation before the local governing body, holding of investments in conflict with official duties, private employment in conflict with official duties, future employment, and such other standards as may be deemed advisable.

Your Committee, having reviewed Chapters 192 and 883 of the Laws of Westchester County, which, respectively, create the Board of Ethics and contain the County's Code of Ethics, and having conferred with the Westchester County Board of Ethics, believes that it is appropriate at this time to repeal and replace Chapters 192 and 883 with this new Code, which sets forth a clear and comprehensive code of ethics in order to ensure both the reality and the appearance of integrity in County government, and to thereby foster public confidence in County government.

As you know, this Honorable Board must comply with the requirements of the State Environmental Quality Review Act ("SEQRA"). Your Committee is advised that the Department of Planning has reviewed the applicable SEQRA regulations, and has concluded that this proposed Local

Law is not an action. Your Committee concurs with that conclusion.

Your Committee, after careful consideration, recommends the adoption of this Local Law.

Dated: March 8, 2021

White Plains, New York

K. J. Stovall

Colin ✓

Benjamin Boyfint

Harvey Bar

Legislation

K. J. Stovall

Benjamin Boyfint

Harvey Bar







Law & Major Contracts

COMMITTEES ON

Dated: March 8, 2021
White Plains, New York

The following members attended the meeting remotely, as per Governor Cuomo's Executive Order 202.1 and approved this item out of Committee with an affirmative vote. Their electronic signature was authorized and is below.

COMMITTEES ON

Legislation

Law & Major Contracts

TO: Justin Adin
Deputy County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM
Director of Environmental Planning



DATE: March 8, 2021, 2020

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR ETHICS CODE
AMENDMENTS**

PROJECT/ACTION: The action involves a local law to amend chapters 192 and 883 of the Laws of Westchester County, which pertain to the Board of Ethics and Code of Ethics. The proposed amendments provide additional standards for the ethical conduct of County officers and employees, as well as make certain modifications pertaining to membership, powers and duties of the Board of Ethics.

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required because the project/action:



**DOES NOT MEET THE DEFINITION OF AN "ACTION" AS DEFINED UNDER
SECTION 617.2(b)**



MAY BE CLASSIFIED AS TYPE II PURSUANT TO SECTION 617.5(c)():

COMMENTS: None

cc: Andrew Ferris, Chief of Staff
Paula Friedman, Assistant to the County Executive
Tami Altschiller, Assistant Chief Deputy County Attorney
Norma Drummond, Commissioner
Claudia Maxwell, Associate Environmental Planner

FISCAL IMPACT STATEMENT

SUBJECT: New Code of Ethics

☐ NO FISCAL IMPACT PROJECTED

OPERATING BUDGET IMPACT

To Be Completed by Submitting Department and Reviewed by Budget

SECTION A - FUND

☒ GENERAL FUND

☐ AIRPORT FUND

☐ SPECIAL DISTRICTS FUND

SECTION B - EXPENSES AND REVENUES

Total Current Year Expense \$ -

Total Current Year Revenue \$ -

Source of Funds (check one): ☒ Current Appropriations ☐ Transfer of Existing Appropriations

☐ Additional Appropriations

☐ Other (explain)

Identify Accounts: 101-52-2062-5100

Potential Related Operating Budget Expenses: Annual Amount \$ -

Describe: Minimal marginal costs of enforcement.

Potential Related Operating Budget Revenues: Annual Amount \$ -

Describe: _____

Anticipated Savings to County and/or Impact on Department Operations:

Current Year: _____

Next Four Years: _____

Prepared by: Gideon Grande

Title: Deputy Director

Department: Budget

Date: March 16, 2021

Reviewed By: 

Budget Director

Date: 3/16/21

LOCAL LAW NO. -2021

A LOCAL LAW amending the Laws of Westchester with respect to the advancement of ethics within all levels of county government

BE IT ENACTED by the County Board of the County of Westchester as follows:

Section 1. Chapter 192 and Chapter 883 of the Laws of Westchester County are hereby repealed.

Section 2. A new Chapter 883 is hereby added to the Laws of Westchester County to read as follows:

CHAPTER 883 CODE OF ETHICS

ARTICLE I

GENERAL PROVISIONS

<u>Section</u>	<u>883.101</u>	<u>Purpose</u>
	<u>883.102</u>	<u>Definitions</u>
	<u>883.103</u>	<u>Applicability</u>

Section 883.101. Purpose. Officers and employees of the County hold their positions to serve and benefit the public; not to obtain unwarranted personal or private gain in the exercise and performance of their official powers and duties. The County recognizes that, in furtherance of this fundamental principle, there is a need for clear and reasonable standards of ethical conduct. This Code of Ethics establishes those standards.

Section 883.102. Definitions.

- a. “Interest” means a direct or indirect financial or material benefit, but does not include any benefit arising from the provision or receipt of any services generally available to the residents or taxpayers of the County or an area of the County, or a lawful class of such residents or taxpayers. A County officer or employee is deemed to have an interest in any private organization when he or she, his or her spouse, or dependent, is an owner, partner, member,

director, officer, employee, or directly or indirectly owns or controls more than 5% of the organization's equity or debt, whether in the form of outstanding stock or otherwise.

- b. "Relative" means a spouse, registered domestic partner, child, stepchild, parent, stepparent, grandparent, sibling or stepsibling of a County officer or employee.

Section 883.103, Applicability.

- a. This Code of Ethics applies to all officers and employees of the County, whether paid or unpaid, including the members of any County department, agency, board or commission and the members and employees of the County Legislature.
- b. Section 883.207 (Future Employment) of this Code of Ethics applies to current and former officers and employees of the County.
- c. Section 883.208 (Independent Contractors) of this Code of Ethics applies to independent contractors of the County.
- d. Section 883.209 (Personal Representations and Claims Permitted), Section 883.215 (Inducement of Others) and Section 883.402 (Powers and Duties of Board of Ethics) of this Code of Ethics apply to officers and employees of the County and independent contractors of the County.
- e. Section 883.303 (Applicant Disclosure in Land Use Applications) of this Code of Ethics applies to applicants, petitioners or parties requesting approval of a zoning referral, plat, exemption from a plat or official map, license or permit, pursuant to any local law, rule or regulation constituting the planning and land use regulations of the County.
- f. The provisions of this Code of Ethics shall supplement all applicable State and local laws relating to conflicts of interest and municipal ethics including, but not limited to, Article 18 of the General Municipal Law and all related rules, regulations, policies and procedures of the County.

- g. The termination of an officer's or employee's term of office or employment with the County shall not affect the jurisdiction of the Board of Ethics with respect to the requirements imposed by this Code of Ethics on the former officer or employee for his or her actions or interests while a County officer or employee, nor with respect to the post-employment restrictions imposed by this Code of Ethics.

ARTICLE II CODE OF CONDUCT

<u>Section</u>	<u>883.201</u>	<u>Use of County Position for Personal or Private Gain</u>
	<u>883.202</u>	<u>Prohibited Interests in Contracts.</u>
	<u>883.203</u>	<u>Recusal.</u>
	<u>883.204</u>	<u>Prohibition Inapplicable; Recusal and Disclosure Not Required.</u>
	<u>883.205</u>	<u>Investments in Conflict with Official Duties.</u>
	<u>883.206</u>	<u>Private Employment in Conflict with Official Duties</u>
	<u>883.207</u>	<u>Future Employment</u>
	<u>883.208</u>	<u>Independent Contractors</u>
	<u>883.209</u>	<u>Personal Representations and Claims Permitted</u>
	<u>883.210</u>	<u>Use of County Resources</u>
	<u>883.211</u>	<u>Nepotism</u>
	<u>883.212</u>	<u>Political Solicitations</u>
	<u>883.213</u>	<u>Confidential Information</u>
	<u>883.214</u>	<u>Gifts, Tips and other Benefits</u>
	<u>883.215</u>	<u>Inducement of Others</u>
	<u>883.216</u>	<u>Criminal Convictions</u>

Section 883.201. Use of County Position for Personal or Private Gain.

No County officer or employee shall use his or her County position or official powers and duties to secure a material benefit, whether financial or otherwise, for

- a. Himself or herself;
- b. A relative, dependent or individual residing in his or her household;
- c. Any private organization in which he or she has an interest;
- d. A client or customer from which the officer or employee knows that he or she, and/or a private organization in which he or she has an interest derived income in excess of five thousand dollars (\$5,000), directly or indirectly, during the previous twenty four months; or

- e. A person from whom the officer or employee has received a private loan or loans, or a gift or gifts, having an aggregate value of seventy five dollars or more during the previous twelve months.

Section 883.202. Prohibited Interests in Contracts.

- a. No County officer or employee shall have an interest in any contract with the County, when such officer or employee, individually or as a member of a board or commission, has the power or duty, whether or not exercised, to:
- (1) Negotiate, prepare, authorize or approve the contract or authorize or approve payment thereunder;
 - (2) Audit bills or claims under the contract, or
 - (3) Appoint an officer or employee who has any of the foregoing powers or duties.
- b. For the purposes of this section, the term "contract" means any claim, account or demand against or agreement with the County, express or implied.
- c. Notwithstanding the foregoing, for the purposes of this section, the term "contract" shall not include:
- (1) The designation of a bank or trust company as a depository, paying agent, registration agent or for investment of County funds except when the chief fiscal officer, or his deputy or employee, has an interest in such bank or trust company; provided, however, that where designation of a bank or trust company outside the County would be required because of the foregoing restriction, a bank or trust company within the County may nevertheless be so designated;
 - (2) A contract with a person, firm, corporation or association in which a County officer or employee has an interest which is prohibited solely by reason of his or her status as an officer or employee thereof, if the compensation from such employment will not

be directly affected as a result of the contract and the duties of such employment do not directly involve the procurement, preparation or performance of any part of the contract;

- (3) The designation of a newspaper, including but not limited to an official newspaper, for the publication of any notice, resolution, act or other proceeding where such publication is required or authorized by law;
- (4) The purchase by the County of real property or an interest therein, provided the purchase and the consideration therefor is approved by an order of the Supreme Court upon petition of the County Legislature;
- (5) The acquisition of real property or an interest therein, through condemnation proceedings according to law;
- (6) A contract with a membership corporation or other voluntary nonprofit corporation or association;
- (7) The sale of bonds and notes pursuant to Section 60.10 of the New York Local Finance Law;
- (8) A contract in which a County officer or employee has an interest if such contract was entered into prior to the time he or she was elected or appointed as such officer or employee, but this paragraph shall in no event authorize a renewal of any such contract;
- (9) A contract with a corporation in which a County officer or employee has an interest by reason of stockholdings when less than five per cent of the outstanding stock of the corporation is owned or controlled directly or indirectly by such officer or employee;
- (10) A contract for the furnishing of public utility services at rates or charges that are fixed

or regulated by the public service commission;

(11) A contract for the payment of a reasonable rental of a room or rooms owned or leased by a County officer or employee, used in the performance of his or her official duties, and designated as an office or chamber;

(12) A contract for the payment of a portion of the compensation of a private employee of an officer when such employee performs part time service in the official duties of the office;

(13) A contract in which a County officer or employee has an interest if the total consideration payable thereunder, when added to the aggregate amount of all consideration payable under contracts in which such person had an interest during the fiscal year, does not exceed the sum of seven hundred fifty dollars; or

(14) A contract with a member of a private industry council established in accordance with the federal job training partnership act or any firm, corporation or association in which such member holds an interest, provided the member discloses such interest to the council and the member does not vote on the contract.

Section 883.203. Recusal.

a. No County officer or employee shall participate in any decision or take any official action requiring the exercise of discretion, including discussing, deliberating or voting on a matter, when he or she knows or has reason to know that the action may confer a direct or indirect financial or other material benefit on a person or entity specified in Section 883.201 of this Chapter.

b. Contribution of money or other valuable thing permitted under Section 833.214(d) of this Chapter shall not be a disqualifying benefit for purposes of this section and does not require recusal hereunder, except that members of the Board of Ethics shall recuse themselves to the

extent required by Section 883.401(b) of this Chapter.

Section 883.204. Prohibition Inapplicable; Recusal and Disclosure Not Required.

a. The requirements relating to recusal set forth in Section 883.203 of this Code of Ethics, and the disclosure requirements set forth in Article III Disclosures of this Code of Ethics, shall not apply with respect to the following matters:

- (1) Adoption of the County's annual budgets;
- (2) Any matter requiring the exercise of discretion that directly affects any of the following groups of people or a similarly situated class of such people:
 - (i) All or substantially all County officers or employees;
 - (ii) All or substantially all residents or taxpayers of the County or an area of the County; or
 - (iii) The general public.
- (3) Any ministerial matter or matter that does not require the exercise of discretion;
- (4) Uncompensated participation by an elected County official, or by a member of his or her staff on behalf of such elected County official, in public advocacy whether or not on behalf of a constituent;
- (5) Appearance by a County employee before a County department, agency, board or commission in a representative capacity on behalf of an employee organization in any matter where such appearance is duly authorized by the employee organization; and
- (6) Uncompensated participation in public advocacy by a County officer or employee who serves as a political committee chairperson.

b. Recusal shall not be required, but disclosure pursuant to Article III of this Code of Ethics shall

be required, with respect to any matter:

- (1) Which comes before a County board or commission when a majority of the entire membership of the board or commission would otherwise be prohibited from acting;
or
- (2) Which comes before a County officer when the officer would be prohibited from acting and the matter cannot be lawfully delegated to another person.

Section 883.205. Investments in Conflict with Official Duties.

- a. No County officer or employee shall acquire or maintain any investment:
 - (1) The ownership of which requires that the County officer or employee frequently and inevitably recuse himself or herself; or
 - (2) That would impair his or her independence of judgment in the exercise or performance of his or her official powers and duties.
- b. The preceding sub-section shall not prohibit a County officer or employee from acquiring or maintaining the following:
 - (1) Real property located within the County and used as his or her personal residence;
 - (2) Less than five percent of the stock of a publicly traded corporation; or
 - (3) Bonds or notes issued by the County and acquired more than one year after the date on which the bonds or notes were originally issued.
- c. No officer, employee or agent of the County shall, except on behalf of the County, directly or indirectly place a bid for, or have an interest in, the sale of a tax lien certificate, or have a financial interest in property acquired by the County in a tax collection procedure, or have an interest in any condemnation proceeding in which the County or a municipality within the County is a party.

Section 883.206. Private Employment in Conflict with Official Duties.

- a. No elected County official or County employee serving as staff to the County Legislature or to a member of the County Legislature, shall receive or agree to receive, directly or indirectly, any compensation for consulting or advisory services in connection with any proposed local law, act, or resolution of the County Legislature.
- b. No County officer or employee shall ask for, pursue or accept a private secondary employment opportunity with any person or organization that has a matter requiring the exercise of discretion pending before the County officer or employee, either individually or as a member of a board or commission, while the matter is pending or within the 90 days following final disposition of the matter.
- c. No County officer or employee, during his or her tenure as a County officer or employee, shall engage in any secondary employment, or engage in any business, commercial, or professional activity, when the secondary employment or business, commercial or professional activity:
 - (1) Involves duties that are incompatible with those of the official duties of the County officer or employee;
 - (2) May be reasonably expected to require frequent and inevitable recusal;
 - (3) May be reasonably expected to require disclosure or personal use of confidential information gained by reason of serving as a County officer or employee;
 - (4) Pursuant to which the officer or employee will receive, or enter into any agreement, express or implied, to receive compensation for services to be rendered in connection with any matter before any County department, agency, board or commission of which he or she is an officer, member or employee or of any County department, agency, board or commission over which he or she has jurisdiction or to which he has the

power to appoint any member, officer or employee;

(5) Pursuant to which the officer or employee will receive, or enter into any agreement, express or implied, to receive compensation for services to be rendered in connection with any matter before any County department, agency, board or commission, whereby his compensation is to be dependent or contingent upon any action by such department, agency, board or commission with respect to such matter, provided that this paragraph shall not prohibit the fixing at any time of fees based upon the reasonable value of the services rendered; or

(6) Involves the representation of a person or organization other than the County, or pursuant to which the officer or employee will receive, or enter into any agreement, express or implied, to receive compensation for services rendered in connection with any application, request, claim or proposal before any County department, agency, board or commission, or any litigation, negotiations or matter requiring the exercise of discretion to which the County is a party. Notwithstanding the foregoing, a person serving the County or any agency thereof without compensation shall not be subject to the prohibitions set forth in this subsection (c)(6).

d. No elected County officer shall otherwise be employed by the County or hold any other elected office.

Section 883.207. Future Employment.

a. No County officer or employee shall seek, negotiate, pursue or accept a private post-government employment opportunity with any person or organization that has a matter requiring the exercise of discretion pending before the County officer or employee, either individually or as a member of a board or commission, while the matter is pending or within

the 90 days following final disposition of the matter.

- b. No County officer or employee, for a one-year period after serving as a County officer or employee, shall appear before or communicate in any form with the County office, board, department or comparable organizational unit for which he or she served in connection with any case, proceeding, application or other proposed or pending matter, except (i) on behalf of the Federal Government, the State or a political subdivision or instrumentality thereof, or (ii) pursuant to a waiver granted or deemed to be granted in accordance with the procedures set forth at Section 883.402(g).
- c. No County officer or employee, at any time after serving as a County officer or employee, shall represent or render services to a private person or organization in connection with any particular matter in which he or she personally and substantially participated while serving as a County officer or employee, except (i) on behalf of the Federal Government, the State or a political subdivision or instrumentality thereof, or (ii) pursuant to a waiver granted or deemed to be granted in accordance with the procedures set forth at Section 883.402(g).

Section 883.208. Independent Contractors. No independent contractor or employee of an independent contractor of the County shall exert undue influence on behalf of a private interest, directly or indirectly, in a matter before any County department, agency, board or commission. A violation of this Section shall be grounds for termination of the independent contractor's contract with the County and shall be grounds for holding that contractor not responsible for purposes of entering into future contracts, subject to applicable requirements of New York State law.

Section 883.209. Personal Representations and Claims Permitted.

This Code of Ethics shall not be construed as prohibiting a County officer or employee or an

independent contractor of the County from:

- a. Seeking or accepting County services, benefits, or the use of County facilities, on the same terms and conditions as are available to County residents or a class of similarly situated County residents.
- b. Asserting a claim against the County on his or her own behalf, or on behalf of a relative or member of his or her household, unless the claim is prohibited by Section 883.202 of this Code of Ethics, or by Section 801 of the New York General Municipal Law.

Section 883.210. Use of County Resources.

- a. County resources shall be used only for lawful County purposes. County resources include, but are not limited to, County personnel, compensated time, money, vehicles, equipment, data and telecommunication systems, letterhead, materials, supplies, or other property.
- b. No County officer or employee shall use or permit the use of County resources for personal or private purposes, but this provision shall not be construed as prohibiting:
 - (1) Any use of County resources authorized by law, County policy or collective bargaining agreement to which the County is a party;
 - (2) The use of County resources for personal or private purposes when provided to a County officer or employee as part of his or her compensation; or
 - (3) The occasional and incidental use of County resources for reasonably necessary personal, non-business matters.
- c. No County officer or employee shall cause the County to spend more than is reasonably necessary for transportation, meals or lodging in connection with official travel. Transportation, meal, and lodging expenses shall be presumptively reasonable if they are in accordance with the County's travel policy and/or the applicable provisions of any collective

bargaining agreement.

Section 883.211. Nepotism.

Except as otherwise required by law:

- a. No County officer or employee, either individually or as a member of a County board or commission, shall participate in any decision to appoint, hire or promote a relative or a member of his or her household to a position of county employment compensated at a rate of seven thousand, five hundred dollars (\$7,500) or more per annum.
- b. No County officer or employee, either individually or as a member of a County board or commission, shall participate in any decision to discipline or discharge a relative or a member of his or her household employed by the county and compensated at a rate of seven thousand, five hundred dollars (\$7,500) or more per annum.
- c. No County officer or employee shall directly supervise the performance of the official duties of a relative or member of his or her household employed by the county and compensated at a rate of seven thousand, five hundred dollars (\$7,500) or more per annum.

Section 883.212. Political Solicitations.

- a. No County officer or employee shall directly or indirectly use his or her authority or official influence to compel or induce a subordinate County officer or employee to make, or promise to make, any political contribution, whether by gift of money, service or other thing of value.
- b. No County officer or employee shall act or decline to act in relation to appointing, hiring or promoting, discharging, disciplining, or in any manner changing the official rank, status or compensation of any County officer or employee, or an applicant for a position as a County officer or employee, on the basis of the giving or withholding or neglecting to make any

contribution of money or service or any other valuable thing for any political purpose.

Section 883.213. Confidential Information.

- a. No current or former County officer or employee, including County Legislators or employees of the County Legislature, any of its caucuses or individual County Legislators, shall disclose confidential information concerning the property, government or affairs of the County or any other confidential information of an official character obtained as a result of County employment except when disclosure is required by law or when such information is otherwise available to the public, nor shall he or she use such information to advance the financial or other private interest of himself or herself or others.
- b. Confidential information is information in any format that is either prohibited from disclosure to the public by federal or state law, or is withheld from public disclosure in the lawful exercise of the discretion afforded to the authorized information officer by federal or state law.

Section 883.214. Gifts, Tips and other Benefits.

- a. No County officer or employee shall solicit, accept or agree to accept any gift, tip or other benefit for having engaged in official conduct which he or she was required or authorized to perform, and for which he or she was not entitled to any special or additional compensation.
- b. No County officer or employee shall accept or receive any gift or other benefit, or multiple gifts or other benefits from the same donor in a twelve month period, having an aggregate value of seventy-five dollars or more when:
 - (1) the gift or other benefit would reasonably appear to be intended to influence the officer or employee in the exercise or performance of his or her official duties;

speaker or participant at a job-related professional, charitable, educational, or community conference, program or event;

(7) Gifts or benefits having a value of one hundred (\$100.00) dollars or less that are received by a County officer or employee serving in a capacity listed in Section 11 of the Domestic Relations Law for the solemnization of a marriage by the officer or employee at a place other than his or her normal public place of business or at a time other than his or her normal hours of business.

(8) Contributions made in accordance with federal or state election law.

Section 883.215. Inducement of Others. No County officer, employee or independent contractor shall induce or attempt to induce a County officer, employee or independent contractor to violate, nor aid a County officer, employee or independent contractor in violating, any of the provisions of this Code of Ethics.

Section 883.216. Criminal Convictions.

- a. No person convicted of a crime involving a violation of his or her oath of office, whether a felony or misdemeanor, shall hold County elective office unless such conviction shall have been reversed, vacated or expunged, or said person has obtained a certificate of relief from civil disabilities.
- b. No appointed officer of the County shall continue in service after having been convicted of a felony, or a misdemeanor involving a violation of his or her oath of office, except as provided herein.
- c. No employee of the County shall continue in service after having been convicted of a crime that is directly related to the employment held by the individual, or that would involve an unreasonable risk to property or to the safety or welfare of specific individuals or the general

public, as determined by the appointing authority upon consideration of the factors set forth in N.Y. Corrections Law §753.

d. No independent contractor of the County shall continue in service after having been convicted of a crime that is directly related to the County engagement, or that would involve an unreasonable risk to property or to the safety or welfare of specific individuals or the general public, as determined by the appointing authority upon consideration of the factors set forth in N.Y. Corrections Law §753.

e. A former employee of the County convicted of a crime that is directly related to the employment held by the individual, or that would involve an unreasonable risk to property or to the safety or welfare of specific individuals or the general public shall be eligible for reinstatement if such conviction shall have been reversed, vacated or expunged, or upon consideration of the factors set forth in N.Y. Corrections Law §753.

f. A former independent contractor of the County convicted of a crime that is directly related to the employment held by the individual, or that would involve an unreasonable risk to property or to the safety or welfare of specific individuals or the general public shall, in the discretion of the appointing authority, be eligible for reinstatement if such conviction shall have been reversed, vacated or expunged, or upon receipt of a certificate of relief from civil disabilities.

Article III Disclosure

<u>Section</u>	<u>883.301</u>	<u>Particular Matter Disclosure</u>
	<u>883.302</u>	<u>Disclosure of Interests in County Contracts</u>
	<u>883.303</u>	<u>Applicant Disclosure in Land Use Applications.</u>
	<u>883.304</u>	<u>Annual Financial Disclosure</u>

Section 883.301, Particular Matter Disclosure. Whenever a County officer or employee is required

to recuse himself or herself under this Code of Ethics, he or she shall promptly inform his or her immediate supervisor, if any, in writing disclosing the reason for recusal or, if a member of a board or commission that maintains a public record of its proceedings, shall promptly state that information upon the public record of the board or commission.

Section 883.302. Disclosure of Interests in County Contracts.

- a. Where a County officer or employee, or his or her spouse, knows that he or she has or will have an interest in any actual or proposed contract, purchase agreement, lease agreement, or other agreement, including oral agreements, with the County, the officer or employee shall publicly disclose the nature and extent of that interest in writing to his or her immediate supervisor and to the Board of Ethics as soon as he or she has knowledge of the actual or prospective interest.
- b. For purposes of this disclosure requirement:
 - (1) The term "contract" shall mean any claim, account or demand against or agreement with the County, express or implied; and
 - (2) A County officer or employee shall be deemed to have an interest in the contract of his or her relative as defined in Section 883.102 of this Code of Ethics, dependent, household member, and any private organization when he or she, or his or her relative is an owner, partner, member, director, officer, employee or directly or indirectly owns or controls more than 5% of the organization's equity or debt, whether in the form of outstanding stock or otherwise.

Section 883.303. Applicant Disclosure in Land Use Applications.

- a. Every application, petition, or request submitted for approval of a zoning referral, plat,

exemption from a plat or official map, license or permit, pursuant to the provisions of any local law, rule or regulation constituting the planning and land use regulations of the County shall state the name, residence, and the nature and extent of the interest of any officer of the state, or any officer or employee of the County in the person, partnership or association making the application, petition or request to the extent known.

b. For the purpose of this disclosure requirement, an officer or employee shall be deemed to have an interest in the applicant when he or she, his or her relative, or the spouse of any of them:

(1) Is the applicant, or

(2) Is an officer, director, partner, or employee of the applicant, or

(3) Legally or beneficially owns or controls stock of a corporate applicant or is a member of a limited liability company, partnership, or association applicant (except that ownership of less than five percent of the stock of a publicly traded corporation shall not constitute an interest for the purposes of this disclosure requirement); or

(4) Is a party to an agreement with such an applicant, express or implied, whereby he or she will receive any payment or other benefit, whether or not for such services rendered, dependent or contingent upon the favorable approval of such application, petition or request.

Section 883.304. Annual Financial Disclosure.

a. The following County officers and employees and candidates for elective County office (individually and collectively, "Reporting Person") shall file an annual statement of financial disclosure with the Board of Ethics:

(1) Elected County officials;

- (2) Department heads, deputy department heads, and division heads;
- (3) County officers and employees holding titles set forth in a schedule adopted or amended by the County Legislature either by Local Law or Act;
- (4) Candidates for elective County office;
- (5) Political party county chairpersons; and
- (6) County officers or employees with substantial policy discretion as determined annually by their respective appointing authority.

b. Policymaking positions. For the purposes of this Section, a person shall be considered to hold a policymaking position if he or she exercises responsibilities of a broad scope in the formulation of plans for the implementation of goals or policy for a County agency or acts as an advisor to an individual in such a position. In determining whether a person holds a policymaking position, the following factors shall be considered, applied to the powers and duties of the position as set forth in the job description or any applicable law or regulation as well as the actual duties performed by the person:

- (1) Whether the position permits effective or substantial influence in the governmental decision-making process on issues where there is room for principled disagreement on goals or their implementation;
- (2) Whether the powers and duties of the position are broadly defined and require more than the exercise of simple ministerial competence;
- (3) Whether the position permits the person to exercise control over other officers or employees;
- (4) Whether the position involves the establishment of priorities or the development of programs;
- (5) Whether the position requires or authorizes the conducting of studies or entails a

- significant degree of involvement in the preparation of budgets or budget requests for a local agency or municipality;
- (6) Whether the position authorizes the person to speak on behalf of local elected officials or other policymakers;
- (7) Whether the position entails frequent contact with local elected officials or their principal deputies.
- c. No later than the last day of March of each year, the Commissioner of Human Resources shall:
- (i) cause to be filed with the Board of Ethics a list of the names and offices or positions of all officers and employees of the County, and all political party county chairpersons required to file annual disclosure statements pursuant to this Code of Ethics; and (ii) notify all such officers and employees of their obligation to file an annual disclosure statement.
- d. Upon the election or appointment after the last day of March of the year of election or appointment, of a County officer or employee required to file annual disclosure statements pursuant to this Code of Ethics, the Commissioner of Human Resources shall: (i) cause to be filed with the Board of Ethics a list of the names and offices or positions of such newly elected or appointed officers and employees of the County required to file annual disclosure statements pursuant to this Code of Ethics; and (ii) notify all such officers and employees of their obligation to file an annual disclosure statement.
- e. Upon the nomination or designation pursuant to the applicable provisions of the New York Election Law of a candidate or candidates for County elective office, the Board of Elections shall: (i) cause to be filed with the Board of Ethics a list of the names of such candidates for County elective office; and (ii) notify all such candidates of their obligation to file an annual disclosure statement.
- f. Form of statement.

(1) All Reporting Persons shall file a disclosure statement in the form adopted or amended by the County Legislature by Local Law or Act.

(2) The Board of Ethics shall recommend any amendments to the forms of financial disclosure statement that it deems warranted or that may be required by law, and shall submit such recommended amendments to the County Executive for submission to the County Legislature.

g. Time for filing.

(1) Reporting Persons other than candidates for elected County office shall file financial disclosure statements with the Board of Ethics on or before May 15 of each year.

(2) Reporting Persons other than candidates for elected County office elected or appointed after May 15 of the year of their election or appointment shall file financial disclosure statements with the Board of Ethics within thirty days of the commencement of their elected term or period of employment.

(3) Candidates for County elective office shall file financial disclosure statements with the Board of Ethics within thirty days of nomination or designation pursuant to the applicable provisions of the New York Election Law.

h. Any person designated as a person required to file an annual disclosure statement solely by reason of having substantial policy discretion as that term is used in this Code of Ethics, may apply to the Board of Ethics for reconsideration of his or her filing status and the Board of Ethics may grant an exemption from filing based upon the criteria set forth herein.

i. All statements filed with the Board of Ethics shall be available for public inspection and copying; except that:

(1) The Board of Ethics may, on its own initiative, to the extent permitted by Article 6 of the New York Public Officers Law (the Freedom of Information Law) withhold from

public disclosure particular information, the disclosure of which would constitute an unwarranted invasion of personal privacy; or

- (2) A Reporting Person may request that such information be withheld from public disclosure, and the Board of Ethics, in its discretion, may grant such request to the extent permitted by Article 6 of the New York Public Officers Law (the Freedom of Information Law).

i. Review:

- (1) The Board of Ethics shall review:

- (i) The lists of officers and employees required to file annual disclosure statements pursuant to this Code of Ethics, to determine whether the lists are complete and accurate. The Board of Ethics shall, based on the criteria set forth in the foregoing Section 883.304(b), recommend to the County Executive for submission to the County Legislature, the title of any other position which the Board of Ethics determines should appear on the list and the removal of the title of any position which the Board of Ethics determines should not appear on the list.
- (ii) All annual disclosure statements to determine whether any person required to file such a statement has failed to file it, has filed a deficient statement, or has filed a statement that reveals a possible or potential violation of this Code of Ethics.
- (iii) All transactional disclosure statements.
- (iv) All applicant disclosure statements.

- (2) If the Board of Ethics determines that an annual disclosure statement, a transactional disclosure statement, or an applicant disclosure statement is deficient or reveals a

possible or potential violation of this Code of Ethics, the Board of Ethics shall notify the person in writing of the deficiency, or possible or potential violation and of the penalties for failure to comply with this Code of Ethics.

Article IV. Board of Ethics

883.401 Board of Ethics

883.402 Powers and Duties of the Board of Ethics

Section 883.401. Board of Ethics.

- a. The Board of Ethics shall consist of seven members, five of whom shall be appointed by the County Executive, and two of whom shall be appointed by the Chair of the Board of Legislators. The members appointed by the County Executive shall be subject to confirmation by the Board of Legislators. The members appointed by the Chair of the Board of Legislators shall be subject to approval by Act of the Board of Legislators. One of the members appointed by the County Executive shall be an elected or appointed officer or employee of the county. No other member of the Board of Ethics shall otherwise be an elected or appointed officer or employee of the county. The members of the Board of Ethics shall reside in the County.
- b. No more than three members of the Board of Ethics shall be enrolled members of the same political party.
- c. The members of the Board of Ethics shall receive no salary or compensation for their services as members of the Board of Ethics, but shall be reimbursed for reasonable expenses incurred in the performance of their official duties.
- d. The members of the Board of Ethics appointed by the County Executive shall serve for fixed, staggered terms of five years. The members of the Board of Ethics appointed by the Chair of the Board of Legislators shall serve for fixed, staggered terms of two years.

- e. Any vacancy occurring on the Board of Ethics other than by expiration of a term shall be filled by nomination of a successor within sixty (60) days of the creation of the vacancy. The County Legislature shall act on such nomination within sixty (60) days of the filing of the appointing resolution in the Office of the Clerk of the Legislature.
- f. The Board of Ethics shall meet at least once each quarter.
- g. The Board of Ethics shall have the confidential advice of special counsel employed by the County Attorney or, if none, the County Attorney.
- h. No member of the Board of Ethics shall participate in the discussions, deliberations or vote in a matter involving a person to whose campaign for election to public office the Board of Ethics member has contributed goods or services valued at one hundred and fifty dollars (\$150) or more in the then most recent election cycle.

Section 883.402. Powers and Duties of the Board of Ethics.

- a. The Board of Ethics shall have the following powers and duties:
 - (1) To prescribe and promulgate rules of procedure for the discharge of its duties including, but not limited to, rules of procedure for conducting adjudicatory proceedings in the investigation and determination of complaints;
 - (2) To review, index, and maintain on file, and make available for public inspection and copying, lists of officers and employees required to file annual disclosure statements, particular matter disclosure statements, applicant disclosure statements, and annual disclosure statements filed with the Board of Ethics pursuant to this Code of Ethics;
 - (3) To grant exemptions from filing annual statements of financial disclosure from persons designated as policymakers based on the criteria set forth in the Code of Ethics;

- (4) To grant exemptions from disclosure of identifying client or customer information to the extent permitted by Article 6 of the New York Public Officers Law (the Freedom of Information Law);
- (5) To review, index, maintain on file, and dispose of sworn complaints and to make notifications and conduct investigations pursuant to this Code of Ethics;
- (6) To conduct hearings, recommend disciplinary action, assess penalties, make referrals, and initiate appropriate actions and proceedings pursuant to this Code of Ethics;
- (7) To grant waivers pursuant to Section 883.402(g) of this Code of Ethics;
- (8) To render, index, and maintain on file advisory opinions rendered, and waivers granted pursuant to this Code of Ethics;
- (9) To prepare a plain language guide to this Code of Ethics and related authorities;
- (10) To provide ethics training and education to County officers and employees;
- (11) To prepare an annual report and recommend changes to this Code of Ethics; and
- (12) To provide for public inspection and copying of its records, subject to the terms and conditions set forth in Article 6 of the New York Public Officers Law (the Freedom of Information Law).

b. Investigations.

- (1) Complaints. Upon receipt of a complaint by any person alleging that any County officer or employee, independent contractor or person required to file an Annual Statement of Financial Disclosure has violated this Code of Ethics, any applicable State or Local Law relating to conflicts of interest and municipal ethics including, but not limited to, Article 18 of the General Municipal Law, or any related rule, regulation, policy or procedure of the County, or upon determining on its own initiative that any such violation may exist, the Board of Ethics shall have the power and duty to conduct

such investigation as it deems necessary or appropriate to carry out the provisions of this Code of Ethics;

- (2) The Board of Ethics shall acknowledge receipt of all complaints that it receives, and shall proceed with reasonable promptness to conduct such investigations thereof as it deems necessary or appropriate;
- (3) In conducting investigations, the Board of Ethics may administer oaths or affirmations, subpoena witnesses, compel their attendance, and require the production of books or records that it deems relevant and material;
- (4) Complainants shall be afforded such whistleblower protections as may be provided by law, including but not limited to the protections set forth in New York Civil Service Law §75-b and New York Labor Law §740, to the extent applicable;
- (5) The Board of Ethics shall state in writing the disposition of every complaint it receives and of every investigation it conducts and shall set forth the reasons for the disposition. All such statements and all complaints shall be indexed and maintained on file by the Board of Ethics;
- (6) Any person filing a complaint with the Board of Ethics shall be notified in writing of the disposition of the complaint, to the extent permitted by law;
- (7) All documents and hearings relating to the investigation and hearing of any alleged violation of this Chapter shall be confidential and not available for public inspection or open to the public, except as otherwise required by this Chapter or by the Freedom of Information Law (Article 6 of the New York Public Officers Law. All dispositions, including negotiated dispositions, in which the Board of Ethics finds a violation of this Chapter shall be available for public inspection and copying.

c. Assessment of penalties; referral for prosecution.

- (1) Civil fine. In its discretion after a hearing, the Board of Ethics may assess a civil fine, not to exceed ten thousand (\$10,000) dollars for each violation, upon any County officer, employee, independent contractor, former County officer or employee, or reporting person as defined by Section 883.304(a), found by the Board of Ethics to have violated this Code of Ethics. The civil fine shall be payable to the County.
 - (2) Referral to Prosecutor. The Board of Ethics may, at any time, refer to the appropriate prosecutor any matter that, in the judgment of the Board of Ethics, might involve criminal misconduct. Nothing contained in this Code of Ethics shall be construed to restrict the authority of any prosecutor or the attorney general to prosecute a violation of this Code of Ethics or of any other law. If such a referral is made, the Board of Ethics may defer taking any further action in the matter pending advice from the prosecutor that the matter will or will not result in a prosecution.
- d. Recommendation of other sanctions. In its discretion, within thirty calendar days after a hearing, and subject to any applicable provisions of law and collective bargaining agreements, the Board of Ethics may recommend one or more of the following sanctions:
- (1) Disciplinary action. The Board of Ethics may recommend that the employee or officer's appointing authority impose appropriate disciplinary action.
 - (2) Damages. The Board of Ethics may recommend to the Board of Legislators that the County initiate an action in the appropriate court to obtain monetary damages. The recommendation of the Board of Ethics will be accepted, accepted with modification, or rejected by the Board of Legislators within thirty calendar days of receipt.
 - (3) Civil forfeiture. The Board of Ethics may recommend to the Board of Legislators that the County initiate an action or special proceeding in the appropriate court to obtain civil forfeiture.

- (4) Debarment. The Board of Ethics may recommend to the Board of Legislators that the County initiate an action or special proceeding in the appropriate court for an order of debarment.
- (5) Injunctive relief. The Board of Ethics may recommend to the Board of Legislators that the County initiate an action or special proceeding in the appropriate court for injunctive relief to enjoin a violation of this Code of Ethics or to compel compliance with this Code of Ethics or, if either the Chair of the Board of Legislators or the Board of Ethics believes there to be an emergency as defined by Charter Section 158(11)(4), they may, respectively, make such recommendation to the County Executive.
- e. Nothing in this Section shall be construed to permit the Board of Ethics to take any action with respect to any alleged violation of this Code of Ethics, or of any other law, by the Board of Ethics or by any member or staff member thereof.
- f. Nothing in this Section shall be construed to permit the Board of Ethics to take any action which would violate the terms of any collective bargaining agreement to which the County is a party.
- g. Waivers.
- (1) Upon written application by a current or former County officer, employee or independent contractor, and upon written approval by his or her department or agency head, the Board of Ethics may grant the applicant, or his or her private employer or business, a waiver of any of the provisions of Sections 883.203 (Recusal), 883.205 (Investments in conflict with official duties), 883.206 (a) through (c) (Private employment in conflict with official duties), 883.207 (Future employment), 883.210 (Use of County position for personal or private gain), 883.211 (Nepotism), and 883.214(b) and (d) (Gifts) of this Code of Ethics, where the Board of Ethics finds that

waiving such provision would not be in conflict with the purposes and interest of the County, provided, however, that no such waiver shall permit any conduct or interest otherwise prohibited by Article 18 of the General Municipal Law.

- (2) The application shall be filed by the applicant simultaneously with the Board of Ethics and with the Clerk of the Board of Legislators.
- (3) Waivers shall be in writing, shall state with reasonable particularity the grounds upon which they are granted, and shall be available for public inspection and copying. All applications, decisions, and other records and proceedings relating to waivers shall be indexed and maintained on file by the Board of Ethics.
- (4) The application for a waiver shall be deemed granted if, within ten business days of its receipt of the application, the Board of Ethics does not grant, grant in part, grant subject to conditions, or deny the application.
- (5) On the first business day following the issuance of a decision by the Board of Ethics, it shall file with the Clerk of the Board of Legislators a copy of its decision, if any, and all records and proceedings relating to the application for a waiver. In any event, this filing shall be made no more than ten business days after receipt of the application.
- (6) Any determination made or deemed to be made by the Board of Ethics upon an application for a waiver made pursuant to this section shall be subject to review by the Board of Legislators at its discretion. If the Board of Legislators chooses to review a determination of the Board of Ethics, it may, by Resolution, affirm, reverse, or otherwise modify the determination. If the Board of Legislators does not affirm, reverse, or otherwise modify the determination within ten business days following its first scheduled meeting after the filing with the Clerk pursuant to paragraph (5) of this subsection, the determination shall be deemed affirmed by the Board of Legislators.

h. Advisory opinions.

(1) Upon the written request of any current or former County officer, employee or independent contractor inquiring about himself or herself, or upon the request of the head of a County department, agency, board or commission inquiring about a person subject to his or her supervision, the Board of Ethics shall render a written advisory opinion with respect to the interpretation or application of this Code of Ethics, any applicable State and Local Laws relating to conflicts of interest and municipal ethics including, but not limited to, Article 18 of the General Municipal Law, and all related rules, regulations, policies and procedures of the County, to the specific future or continuing conduct or interests of such County officer, employee, independent contractor or his or her outside employer or business.

(2) Advisory opinions and requests for advisory opinions shall be indexed and maintained on file by the Board of Ethics. The Board of Ethics shall publish such of its advisory opinions as it believes will provide guidance to other County officers or employees, provided, however, that the publicly available copy of such opinions shall contain such deletions and redactions as may be necessary to prevent disclosure of the identity of the involved officers and employees, and as are permitted by Article 6 of the New York Public Officers Law (the Freedom of Information Law).

i. Training and education.

(1) The Board of Ethics:

(i) Shall make information concerning this Code of Ethics available to the officers, employees and independent contractors of the County, to the public, and to persons interested in doing business with the County;

- (ii) Shall develop educational materials and an educational program on the provisions of this Code of Ethics for the officers, employees and independent contractors of the County, for the public, and for persons interested in doing business with the County.
- (2) The County Executive shall assist the Board of Ethics in the publication, posting, and distribution of a plain language guide and other ethics information and educational materials, including but not limited to posting such ethics information and educational material on the County website, and in the development and presentation of ethics educational programs.
- (3) All County officers and employees shall receive a copy of this Code of Ethics, and ethics training, in such form as determined by the Board of Ethics, within six months of the effective date of this Code of Ethics or within six months of his or her election or appointment, whichever is later. Thereafter, all persons holding elective County office, department heads, deputy department heads, and persons employed by the County as attorneys shall receive in-person ethics training at least biennially; all other County officers and employees shall receive ethics training, in such form as determined by the Board of Ethics, at least biennially.
- j. Annual reports; review of ethics laws.
 - (1) The Board of Ethics shall prepare and submit an annual report to the County Executive and the Clerk of the County Legislature summarizing the activities of the Board of Ethics. The report may also recommend amendments to this Code of Ethics.
 - (2) The Board of Ethics shall periodically review this Code of Ethics and the administrative procedures promulgated by the Board of Ethics, to determine whether they promote integrity, public confidence, and participation in County government

and whether they set forth clear, reasonable and enforceable standards of conduct.

Article V. Miscellaneous

Section 883.501. Existing Rights and Remedies. No existing right or remedy shall be lost, impaired, or affected by reason of this Code of Ethics.

Section 883.502. Posting and Distribution.

- (a) The County Executive and the Chair of the Board of Legislators shall promptly cause a copy of this Code of Ethics, and a copy of any amendment to this Code of Ethics, to be posted publicly and conspicuously in each building under the County's control and upon the County's website. This Code of Ethics shall be posted within ten days following the date on which this Code of Ethics takes effect. Any amendment to this Code of Ethics shall be posted within ten days following the date on which the amendment takes effect.
- (b) The County Executive and the Chair of the Board of Legislators shall promptly cause a copy of this Code of Ethics, including any amendments to the code, to be distributed to every person who is or becomes an officer, employee or independent contractor of the County.
- (c) The failure to post this Code of Ethics or any amendment to the code does not affect either the applicability or enforceability of the code or the amendment. The failure of a County officer or employee to receive a copy of this Code of Ethics or an amendment to this Code of Ethics shall not affect either the applicability or enforceability of the code of ethics or amendment to the code.

Section 3. The Code of Ethics enacted by this Local Law may be cited as the Westchester County Code of Ethics or the Code of Ethics without reference to it being a Chapter of the County Charter and may be published separately, both as a booklet and online. Any reference to a County Code of Ethics in this Charter, the Administrative Code, any Local Law, any act or any resolution which cites a section number or numbers different from the numbering assigned by this Local Law, shall be deemed to reference the Code of Ethics enacted by this Local Law. Any publication of the Code of Ethics shall include, either as an appendix, hyperlink or reference, such schedules, forms and lists or other items which are annexed to this Local Law or authorized by Act.

Section 4. Annexed hereto as Appendix A and made a part hereof is a Schedule of Titles the holders of which are required to file financial disclosure forms pursuant to Section 883.304(a)(3) of the Code of Ethics. The Schedule of Titles may be amended by Act. The Schedule of Titles shall indicate, in a parenthetical at the end, the number of this Local Law and the number of any Act amending it.

Section 5. Annexed hereto as Appendix B and made a part hereof is the Annual Statement of Financial Disclosure form to be used by persons required to file financial disclosure pursuant to Section 883.304 of the Code of Ethics. The Annual Statement of Financial Disclosure form may be amended by Act. The Annual Statement of Financial Disclosure form shall indicate in a parenthetical at the end, the number of this Local Law and the number of any Act amending it.

Section 6. This Local Law shall not affect the service and terms of office of any person serving on the Board of Ethics as established by a previously enacted Local Law except as provided for in this Section. The County Executive may, for the purpose of maintaining the staggered five-year terms office required by the Code of Ethics, appoint or reappoint members for definite terms of less than five years.

Section 7. Severability. If any clause, sentence, paragraph, subdivision, Section or other part

of this local law shall for any reason be adjudged by any court of competent jurisdiction to be unconstitutional or otherwise invalid, such judgment shall not affect, impair or invalidate the remainder of this local law, and it shall be construed to have been the legislative intent to enact this local law without such unconstitutional or invalid parts therein.

Section 8. This Local Law is subject to a mandatory referendum and shall be presented at the general election to be held on November 2, 2021.

Section 9. If approved by a majority of the votes cast on the question of the approval or disapproval of this Local Law at the general election to be held on November 2, 2012, this Local Law shall take effect on January 1, 2022.

APPENDIX A

Schedule of Titles

Pursuant to Section 883.304(a)(3) of the Code of Ethics, County officers or employees holding the following titles shall file annual statements of financial disclosure with the Board of Ethics:

Board of Elections:

Commissioner of Elections

Deputy Commissioner of Elections

Board of Ethics:

All Members

Board of Health:

All Members

Board of Legislators:

Assistant to the Chairman and Legislative Officer-County Board

Chief Advisor to the Chair of the Board of Legislators

Clerk of the County Board of Legislators and Chief of Staff

Communications Officer-Board of Legislators

County Legislator

Deputy Clerk to the County Board of Legislators and Deputy Chief of Staff

Director of Fiscal Affairs-BOL

Legislative Counsel

Budget Department:

Assistant Budget Director

Associate Budget Director

Budget Director

First Deputy Budget Director

Department of Community Mental Health:

Commissioner of Community Mental Health

Deputy Commissioner of Community Mental Health
Director of Administrative Services (Management Operations)
Director of Alcohol and Substance Abuse Services
Director of Developmental Disabilities Services
Director-Employee Assistance Program

Department of Correction:

Associate Warden
Commissioner of Correction
Deputy Commissioner of Correction
Director of Administrative Services (Management Operations)
Second Deputy Commissioner of Correction
Special Assistant to the Commissioner of Correction
Warden

Office of the County Clerk:

Assistant to the County Clerk
Chief Deputy County Clerk (Legal and Land Records)
County Clerk
Deputy County Clerk (General)
Director of Program Development II (Trades Licensing)
Executive Deputy County Clerk

Office of the County Executive:

Assistant to the County Executive I
Assistant to the County Executive II
Assistant to the County Executive III
Assistant to the County Executive IV
Chief Advisor to the County Executive
Chief of Staff-County Executive
Coordinator of Veterans Affairs

Counsel to the County Executive
County Executive
County Fire Coordinator
Deputy County Executive
Deputy Director of Economic Development
Director of Communications
Director of Economic Development
Director of Intergovernmental Relations — County Executive
Director of Real Estate
Director of Research and Economic Development
Director of Tourism
Director-Office for the Disabled
Director-Office for Women
Director-Office of Criminal Justice Planning
Director-Youth Bureau
Executive Assistant for Office Management-County Executive
LGBT Community Liaison
Program Administrator (Minority Affairs-Spanish Speaking)
Research Assistant-County Executive
Senior Assistant to the County Executive I
Senior Assistant to the County Executive II

Deferred Compensation Board:

All Members

Office of the District Attorney:

Chief Administrator-District Attorney
Chief of Bureau-District Attorney
Deputy District Attorney
Director of Public Affairs

District Attorney

First Deputy District Attorney

Second Deputy District Attorney

Electrical Licensing Board:

All Members

Department of Emergency Services:

Commissioner of Emergency Services

Deputy Commissioner of Emergency Services

Department of Environmental Facilities:

Assistant Commissioner of Solid Waste

Associate Director of Fiscal Services (Environmental Facilities)

Commissioner of Environmental Facilities

Deputy Commissioner of Environmental Facilities

Deputy Commissioner of Solid Waste

Deputy Director-Wastewater Treatment

Director of Administrative Services (Management Operations)

Director of Program Development II (Personnel)

Director-Wastewater Treatment

Director-Water Agency

Second Deputy Commissioner of Environmental Facilities

Fair Housing Board:

All Members

Department of Finance:

Commissioner of Finance

Deputy Commissioner of Finance

Deputy Purchasing Agent

Director-Fiscal Operations

First Deputy Commissioner of Finance

Manager of Accounting Services

Manager of Payroll and Benefits

Purchasing Agent

Department of Health:

Assistant Commissioner of Health (Environmental Quality)

Assistant Commissioner of Health (Nursing Services and Community Health)

Assistant Commissioner of Health (Planning and Evaluation)

Assistant Commissioner of Health (Public Health Protection)

Assistant Commissioner of Health (Services for Children with Disabilities)

Associate Director of Division (Community Health Services)

Chief of Section (Clinical Services)

Chief of Section (Communicable Diseases)

Chief of Section (Dental)

Commissioner of Health

Deputy Commissioner of Health

Deputy Commissioner of Health for Disease Control

Director of Administrative Services (Management Operations)

Director of Division (Health Services)

Director-Fiscal Operations

First Deputy Commissioner of Health

Second Deputy Commissioner of Health

Department of Human Resources:

Commissioner of Human Resources

Deputy Commissioner of Human Resources

Deputy Director-Human Rights Commission.

Director of Labor Relations

EEO Coordinator

Executive Director-Human Rights Commission

Human Rights Commission:

All Members

Department of Information Technology:

Assistant Chief Information Officer

Assistant Chief Information Officer-Geographic Information Systems

Assistant Director of Telecommunications

Chief Information Officer

Deputy Chief Information Officer

Director of Application Support

Director of Knowledge Management and Archives

Director of Program Development II (Information Technology Management Operations)

Director of Systems Development and Application

Director of Telecommunications

Manager of Data Communications

Manager of Geographic Information Systems

Manager of Network Engineering

Manager of Software Architecture

Manager of Web Design

Manager of Web Development

Manager of Workstation Engineering

Second Deputy Chief Information Officer

Department of Laboratories and Research:

Chief of Environmental Laboratory Services

Chief of Microbiology Services

Director of Administrative Services (Management Operations)

Director of Forensic Science Services

Director of Toxicology

Pathologist — Deputy Medical Examiner

Pathologist — Medical Examiner

Lab and Research Board of Managers:

All Members

Department of Law — Office of the County Attorney:

Assistant Chief Deputy County Attorney

Associate County Attorney

Chief Deputy County Attorney

County Attorney

Deputy County Attorney

Director of Risk Management

Senior Assistant County Attorney

Special Assistant to the County Attorney

Department of Parks, Recreation & Conservation:

Assistant Director of Division (PRC)

Assistant Director-Playland

Assistant Manager-County Center Operations

Assistant Manager-County Center Sales and Marketing

Commissioner of Parks, Recreation and Conservation

Controller-Playland

Deputy Commissioner of Parks, Recreation and Conservation

Director of Administrative Services (Management Operations)

Director of Maintenance-PRC

Director of Operations (Maintenance-PRC)

Director of Operations (Marketing-PRC)

Director of Operations (PRC)

Director of Park Facilities-PRC

Director of Program Development II (Capital Projects-Playland)

Director of Program Development II (Community Relations)
Director of Program Development II (Concessions)
Director of Program Development II (Golf)
Director of Program Development II (Historic and Interpretive Sites)
Director of Program Development II (Naturalist)
Director of Program Development II (Parks)
Director of Program Development II (Personnel)
Director-Playland
Director-Programs and Services — PRC
District Park Director
First Deputy Commissioner of Parks, Recreation and Conservation
Golf Course Superintendent
Program Administrator (Conservation)
Program Administrator (Contract Management)
Program Administrator (Event Coordinator-County Center)
Program Administrator (Park Management)
Program Administrator (Sales and Marketing)
Program Administrator (Special Services)
Program Coordinator (Golf)
Program Coordinator (Natural Resource Management)

Parks, Recreation and Conservation Board:

All Members

Department of Planning:

Assistant Commissioner of Planning
Chief Planner
Commissioner of Planning
Deputy Commissioner of Planning
Deputy Commissioner of Planning for Housing and Community

Development

Director of Management Operations

Director of Natural Resource Planning

Director of Operations (Urban Design)

Director of Program Development II (Housing and Planning)

Director of Program Development II (Research and Planning)

Program Administrator (Building Rehabilitation Services)

Program Administrator (Contract Management)

Program Administrator (Housing and Community Development)

Senior Management Analyst

Planning Board:

All Members

Plumbing Examiners Board:

All Members

Police Advisory Board:

All Members

Department of Probation:

Assistant Commissioner-Probation

Assistant Director-Probation

Chief of Planning, Research, Training and Staff Development-Probation

Commissioner of Probation

Deputy Commissioner-Probation

Director of Program Development II (Probation)

Program Administrator (Probation Staff Development)

Professional Prequalification Board:

All Members

Professional Selection Board:

All Members

Public Administrator:

Public Administrator

Department of Public Safety:

Chairman-Westchester County Taxi and Limousine Commission

Commissioner/Sheriff

Deputy Commissioner of Public Safety

Director of Administrative Services (Management Operations)

Director of Intelligence, Security and Counterterrorism

Director-Office of Criminal Justice Services

Department of Public Works and Transportation:

Commissioner of Public Works and Transportation

Deputy Commissioner of Public Works and Transportation

Director of Administrative Services (Management Operations)

Director of Design Coordination

Director of Operations (Public Works and Transportation)

First Deputy Commissioner of Public Works and Transportation

Principal Engineer (Field)

Department of Senior Programs and Services:

Commissioner of Senior Programs and Services

Deputy Commissioner of Senior Programs and Services

Director of Operations (Senior Programs and Services)

Department of Social Services:

Assistant Commissioner of Social Services.

Assistant Director of Division (Case Management Development)

Associate Commissioner of Social Services

Child Welfare Manager III

Commissioner of Social Services

Coordinator of Veterans Affairs

Deputy Commissioner of Social Services (General)
Director of Child Welfare
Director of Operations (Commissioner's Office-Social Services)
Director of Program Development II (Child Support Enforcement)
Director of Program Development II (Staff Development)
Director of Temporary Assistance
Director-Fiscal Operations
Director-Office of Employment and Training
First Deputy Commissioner of Social Services
Manager III
Manager-Fiscal Operations
Personnel Director-Social Services
Temporary Assistance Manager III

Soil and Water Conservation Board:

All Members

Solid Waste Licensing Commission:

Deputy Director of Solid Waste Licensing
Executive Director of Solid Waste Licensing
All Members

Stream Control Board of Appeals:

All Members

Tax Commission:

All Members
Executive Director of Tax Commission
Program Administrator (Real Property Tax Services)

Taxi and Limousine Commission:

All Members

Transportation Board:

All Members

Department of Weights and Measures:

Deputy County Sealer of Weights and Measures

Deputy Director of Consumer Protection and Sealer of Weights and Measures

Director of Consumer Protection

Westchester Community College:

Assistant Dean (WCC)

Associate Dean (Business Administration and Public Services)

Associate Dean (Community Services and Corporate Education)

Associate Dean (Distance Learning, Learning Resources and Instructional Technology)

Associate Dean (Educational Opportunity Center)

Associate Dean (Institutional Research)

Associate Dean (Instructional and Learning Resources)

Associate Dean (Math, Physical and Engineering Sciences and Medical Technology)

Associate Dean (Natural and Health Sciences)

Associate Dean (Student Personnel Services)

Board of Trustees (All members)

Director of Program Development I (Institutional Advancement)

Director-Fiscal Operations

President-Community College

Vice President and Dean of Academic Affairs-WCC

Vice President and Dean of Administrative Services-WCC

Vice President and Dean of Community Services and Corporate Education

Vice President and Dean of Educational Opportunity Center/Community Services

Vice President and Dean of External Relations

Vice President and Dean of Student Personnel Services-WCC

APPENDIX B

**COUNTY OF WESTCHESTER
ANNUAL STATEMENT OF FINANCIAL DISCLOSURE**

REPORTING PERIOD: CALENDAR YEAR 20_____

ALL QUESTIONS MUST BE COMPLETED.

1. NAME AND ADDRESS.

Last Name Middle Initial First Name

Title

Department or Agency

Department or Agency Address Telephone No.

Residence Address Telephone No.

2. SPOUSE AND CHILDREN.

Provide the name of your spouse (if married) and the names of any dependent children: If none, place a check mark in the following box.

☐ none.

Spouse

☐ none.

Child/Age

Child/Age

Child/Age

Child/Age

NOTE: FOR QUESTIONS 3 TO 6. DO NOT REPORT EXACT DOLLAR AMOUNTS. INSTEAD, REPORT CATEGORIES OF AMOUNTS, USING THE FOLLOWING:

CATEGORY A: UNDER \$5,000

CATEGORY B: \$5,001 TO UNDER \$10,000

CATEGORY C: \$10,001 TO UNDER \$25,000

CATEGORY D: \$25,001 TO UNDER \$50,000

CATEGORY E: \$50,001 TO UNDER \$100,000

CATEGORY F: OVER \$100,000

3. FINANCIAL INTERESTS.

a. *Business Positions.* List any office, trusteeship, directorship, partnership, or other position in any business, association, proprietary, or not-for-profit organization held by you and your spouse or your dependent children, if any, and indicate whether, to your knowledge, during the reporting period, these entities had any application, request, claim or interest in any proposal before a county department, agency, board or commission, or any litigation, negotiations or matter requiring the exercise of discretion to which the County is a party. If none, place a check mark in the following box.

☐ none

Name of Family Member	Position	Organization	County Department Agency and Nature or Involvement
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

b. *Outside Employment.* Describe any outside occupation, employment, trade, business, or profession providing more than \$1,000 per year for you and your spouse and dependent children, if any, and indicate whether such activities are regulated by any state or local agency. If none, place a check mark in the following box.

☐ none

Name of Family Member	Position	Name, Address, and Description of Organization	State or Local Agency	Category of Amount
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

c. *Clients and Customers Doing Business with the County.* Identify any client or customer: (i) from which you know that you, your outside employer, firm, limited liability company, partnership, association, or corporation in which you are the owner of more than five percent of the outstanding shares of corporate stock, derived income in excess of five thousand dollars (\$5,000), and (ii) that you know, during the reporting period, had any application, request, claim or interest in any proposal before a County department, agency, board or commission, or any litigation, negotiations or matter requiring the exercise of discretion to which the County is a party.

Do not identify any client or customer that received medical, pharmaceutical or dental services, or mental health services.

Do not identify any client or customer that received residential real estate services, other than services rendered in connection with a land use application.

Do not identify any client or customer represented in connection with an investigation or prosecution by law enforcement authorities, bankruptcy, family court, estate planning, or domestic relations matters.

Do not identify any client or customer represented pursuant to an insurance policy, but identify the source of compensation paid to you or the firm.

Do not disclose information prohibited from disclosure by federal or state law, such as information governed by the Family Court Act or the identity of any minor client or customer.

You may seek other exemptions from the Board of Ethics in connection with the disclosure of identifying client or customer information.

If none, place a check mark in the following box.

☐ none

Client or Customer	County Application Claim, Request or Proposal	Amount of Income by Category
_____	_____	_____
_____	_____	_____
_____	_____	_____

d. *Future Employment.* Describe any contract, promise, or other agreement between you and anyone else with respect to your employment after leaving your County office or position. If none, place a check mark in the following box.

☐ none

e. *Past Employment.* Identify the source and nature of any income in excess of \$1,000 per year from any prior employer, including deferred income, contributions to a pension or retirement fund, profit sharing plan, severance pay, or payments under a buy-out agreement. If none, place a check mark in the following box.

☐ none

Name and Address of Income Source	Description of Income (i.e., pension, deferred, etc.)	Category of Amount
<hr/>	<hr/>	<hr/>
<hr/>	<hr/>	<hr/>
<hr/>	<hr/>	<hr/>

f. *Investments.* Itemize and describe all investments of you, your spouse, and your dependent children, if any, which have a value in excess of \$5,000, or that constitute five percent or more of the debt or equity of any business, limited liability company, partnership, association, or corporation. Include stocks, bonds, loans, pledged collateral, and other investments. Investments in publicly traded stocks and bonds amounting to less than five percent of the debt or equity of the issuer entity may be listed in the aggregate (e.g., in lieu of listing each stock individually, you can provide the name of the Bank/Brokerage Account and category of value for the total amount invested). List the location of all real estate within the County of Westchester or within five hundred feet of a boundary of the County, in which you, your spouse, or your dependent children, if any, have an interest, regardless of its value. If none, place a check mark in the following box.

☐ none

Name of Family Member	Name and Address of Business or Real Estate of Investment	Description of Investment	Category of Amount
<hr/>	<hr/>	<hr/>	<hr/>
<hr/>	<hr/>	<hr/>	<hr/>

g. *Trusts.* Identify each interest of you, your spouse, and your dependent children in a trust or estate or similar beneficial interest in any assets in excess of \$2,000. Do not list IRS eligible retirement plans or interests in an estate or trust of a spouse, child, stepchild, dependent, parent, stepparent, sibling or stepsibling. If none, place a check mark in the following box.

☐ none

Name of Family Member	Trustee/Executor	Description Trust/Estate	Category of Amount
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

h. *Other Income.* Identify the source and nature of any other income in excess of \$1,000 per year from any source not described above, including fiduciary positions, teaching income, lecture fees, consultant fees, contractual income, rents or other income of any nature, or you, your spouse and your dependent children, if any. Income from real estate rents derived from real property located in the County of Westchester, or within five hundred feet of a boundary of the County should be identified by the property address. Do not list maintenance, alimony or child support. If none, place a check mark in the following box.

☐ none

Name of Family Member	Name and Address of Income Source	Nature of Income	Category of Amount
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

4. GIFTS AND HONORARIUMS.

List the source of all gifts aggregating in excess of \$250 received during the last year by you, your spouse or dependent child, excluding gifts from a Relative. The term "gifts" includes gifts of cash, property, personal items, payments to third parties on your behalf, forgiveness of debt, honorariums, and any other payments that are not reportable as income. If none, place a check mark in the following box.

☐ none

Name of Family Member	Name and Address of Donor	Category of Amount
_____	_____	_____
_____	_____	_____
_____	_____	_____

5. THIRD-PARTY REIMBURSEMENTS.

Identify and describe the source of any third-party reimbursement for travel-related expenditures in excess of \$250 for any matter that relates to your official duties. The term "reimbursement" includes any travel-related expenses provided by anyone other than the County of Westchester for speaking engagements, conferences, or fact-finding events that relate to your official duties. If none, place a check mark in the following box.

☐ none

Source	Description	Category of Amount
_____	_____	_____
_____	_____	_____
_____	_____	_____

6. DEBTS.

Describe all debts of you, your spouse, and your dependent children in excess of \$5,000. Do not list any obligation to pay maintenance, alimony or child support. Do not list any loan issued in the ordinary course of business by a financial institution to finance educational costs, the cost of home purchase or improvements for a primary or secondary residence, or purchase of a personally owned motor vehicle, household furniture or appliances. Do not list credit card debt. If none, place a check mark in the following box.

☐ none

Name of Family Member	Name and Address of Creditor	Category of Amount
_____	_____	_____
_____	_____	_____
_____	_____	_____

7. INTEREST IN CONTRACTS.

Describe any interest of you, your spouse, or your dependent children in any contract involving the County of Westchester or any municipality located within the County. If none, place a check mark in the following box.

☐ none

Name of Family Member	Contract Description
_____	_____
_____	_____
_____	_____

8. POLITICAL PARTIES.

List any position you held within the last five years as an officer of any political party, political committee, or political organization. The term "political organization" includes any independent body or any organization that is affiliated with or a subsidiary of a political party. If none, place a check mark in the following box.

☐ none

8. DISCLOSURE BY LICENSED PROFESSIONALS AND LOBBYISTS.

a. If you were licensed to practice law, worked as a licensed real estate broker or agent, practiced a profession licensed by the New York State Education Department, or worked as a member or employee of a firm required by law to register as a lobbyist, give a general description of the principal subject areas of matters that you handled during the reporting period, the compensated services that you performed, and whether you personally provided services directly to clients. If none, place a check mark in the following box. Do not report services provided as an employee of the County.

☐ none

b. If you were licensed to practice law, worked as a licensed real estate broker or agent, practiced a profession licensed by the New York State Education Department, or worked as a member or employee of a firm required by law to register as a lobbyist, and are a partner or shareholder in the firm or corporation that engaged in such activities, give a general description of the principal subject areas of matters that the firm or corporation handled during the reporting period. If none, place a check mark in the following box. Do not report services provided as an employee of the County.

☐ none

I have received and read a copy of the County of Westchester Code of Ethics.

Signature

Date

DO YOU HAVE QUESTIONS ABOUT THE CODE OF ETHICS? For a confidential advisory opinion, contact the Board of Ethics at the following address, or as provided on the County's web site:

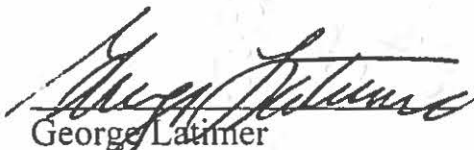
SECRETARY TO BOARD OF ETHICS
148 Martine Avenue
White Plains, New York 10601
(914) 995-XXXX
XXXX@westchestergov.com

George Latimer
County Executive

WHEREAS, a vacancy exists in the membership of the Westchester County Emergency Medical Services Advisory Board:

NOW, THEREFORE, I, George Latimer, County Executive of Westchester County, in accordance with the terms and provisions of the Westchester County Charter, appoint Jill Swanson, 21 Woodland Avenue, Tarrytown, New York as a member of the Westchester County Emergency Medical Services Advisory Board, for the term February 18, 2021 to December 31, 2022.

Given under my hand
and seal this 18th day
of February, 2021.



George Latimer
County Executive

Office of the County Executive

Michaelian Office Building
148 Martine Avenue
White Plains, New York 10601

Email: CE@westchestergov.com
Telephone: (914)995-2900

westchestergov.com

George Latimer
County Executive

February 18, 2021

Jill Swanson
21 Woodland Avenue
Tarrytown, NY 10591

Dear Ms. Swanson,

It is my pleasure to appoint you to serve as a member of the Westchester County Emergency Medical Services Advisory Board, effective today, Thursday, February 18, 2021, pursuant to the Laws of Westchester County §193.04. This appointment is for a term to expire on December 31, 2022

Your appointment is subject to confirmation by the Westchester County Board of Legislators, but your service begins immediately. You must complete the attached Oath of Office and file it with the County Clerk, and provide this office with a copy within 30 days. Please contact the Westchester County Department of Emergency Services at (914) 231-1927 for the date, place, and time of the Emergency Medical Services Advisory Board's upcoming meeting for your participation.

When you have filed your Oath of Office, a Resolution to confirm your appointment will be submitted to the County Board of Legislators. As part of the confirmation process, you may be called before the Board to be interviewed.

Pursuant to Local Law, as a member of a Westchester County Board and/or Commission, you are responsible for adhering to the requirements of our Code of Ethics, which includes the annual filing of a financial disclosure statement with the County Board of Ethics. A financial disclosure form will be mailed to you under separate cover.

Warmest wishes for a successful tenure.

Very Truly Yours,



George Latimer
Westchester County Executive

GL/tts

cc: Honorable Board of Legislators
Richard Wishnie – Acting Commissioner, Department of Emergency Services
Joan McDonald, Director of Operations

Office of the County Executive

Michaelian Office Building
148 Martine Avenue
White Plains, New York 10601

Email: ce@westchestergov.com
Telephone: (914)995-2900

westchestergov.com

Jill Swanson has been a Westchester resident for most of her life, with family in the area since the 1920s. She is the volunteer Captain of the Tarrytown Volunteer Ambulance Corps (TVAC), a position she began in March 2019. She began volunteering with TVAC in October, 2012 and became an EMT in July, 2018. During her time at TVAC, she has served as the Membership Chair (2017 – 2019) and was elected member of the Board of Directors (October 2016). She continues in that role since being appointed as Captain.

Outside of TVAC, Ms. Swanson has worked for Columbia University Press since 1986, beginning as an Administrative Assistant and currently in their accounting department. She volunteers with the Washington Engine Ladies Auxiliary and Disaster Response Team along with two of her daughters. She comes from a family of TVAC and other emergency response volunteers; her mother is a 30+ year member and former TVAC Captain, and her other daughter is a volunteer EMT and firefighter.

George Latimer
County Executive

WHEREAS, a vacancy exists in the membership of the Westchester County Emergency Medical Services Advisory Board:

NOW, THEREFORE, I, George Latimer, County Executive of Westchester County, in accordance with the terms and provisions of the Westchester County Charter, appoint Joseph Barca, 341 Park Avenue, Yonkers, New York as a member of the Westchester County Emergency Medical Services Advisory Board, for the term February 18, 2021 to December 31, 2022.

Given under my hand
and seal this 18th day
of February, 2021.



George Latimer
County Executive

Office of the County Executive

Michaelian Office Building
148 Martine Avenue
White Plains, New York 10601

Email: CE@westchestergov.com
Telephone: (914)995-2900

westchestergov.com

George Latimer
County Executive

February 18, 2021

Joseph Barca
341 Park Avenue
Yonkers, NY 10703

Dear Mr. Barca,

It is my pleasure to appoint you to serve as a member of the Westchester County Emergency Medical Services Advisory Board, effective today, Thursday, February 18, 2021, pursuant to the Laws of Westchester County §193.04. This appointment is for a term to expire on December 31, 2022

Your appointment is subject to confirmation by the Westchester County Board of Legislators, but your service begins immediately. You must complete the attached Oath of Office and file it with the County Clerk, and provide this office with a copy within 30 days. Please contact the Westchester County Department of Emergency Services at (914) 231-1927 for the date, place, and time of the Emergency Medical Services Advisory Board's upcoming meeting for your participation.

When you have filed your Oath of Office, a Resolution to confirm your appointment will be submitted to the County Board of Legislators. As part of the confirmation process, you may be called before the Board to be interviewed.

Pursuant to Local Law, as a member of a Westchester County Board and/or Commission, you are responsible for adhering to the requirements of our Code of Ethics, which includes the annual filing of a financial disclosure statement with the County Board of Ethics. A financial disclosure form will be mailed to you under separate cover.

Warmest wishes for a successful tenure.

Very Truly Yours,



George Latimer
Westchester County Executive

GL/tts

cc: Honorable Board of Legislators
Richard Wishnie – Acting Commissioner, Department of Emergency Services
Joan McDonald, Director of Operations

Office of the County Executive

Michaelian Office Building
148 Martine Avenue
White Plains, New York 10601

Email: ce@westchestergov.com
Telephone: (914)995-2900

westchestergov.com

Biography of Joseph Barca

I was born and raised in the City of Yonkers. I continue to live in Yonkers with my wife Helen for the last 48 years. We have 3 children, who all live and work in Yonkers. Christopher 47 years old, married with a daughter. A Yonkers Police Officer assigned to the K-9 Unit. Matthew, 44 years old, single, a school teacher with the Yonkers Board of Education. And David, 40 years old married with 3 children (1 boy, 2 girls). He is a Sergeant, also with the Yonkers Police Department assigned to the Emergency Service Unit.

I was appointed to the Yonkers Police Department in 1969 as a Police Cadet. In 1971 upon completion of the Cadet program, I was appointed a Police Officer. Initially assigned to Patrol in the South Command. In 1972 the Department sent me to Emergency Medical Technician (EMT) School. This was my first exposure to Emergency Medicine on the street. After I graduated from EMT school, I was assigned to the Emergency Service Unit (ESU). In 1981 the Department sent myself and 5 other ESU Officers to Paramedic School. Upon graduation from Paramedic school, we were the first group of Paramedics working on the streets of Yonkers providing Advance Life Support to the citizens. I continued in that assignment until I was promoted to Sergeant in 1991. As a Sergeant, I was assigned to the 3rd Precinct as a Patrol Supervisor. In 1992 I was transferred back to the Emergency Service Unit. Besides supervising the ESU Officers I coordinated the Officers Medical and Rescue training. I was also given the responsibilities of seeing that the medical and rescue equipment was kept in a state of good repair. I was promoted to the rank of Lieutenant in 1997 assigned to the Field Services Unit assigned as a City-Wide Supervisor. In 1999 I was returned to ESU as a Supervisor and continued to oversee training and maintenance of equipment. In 2006 I was promoted to Captain and was assigned as the Midnight Tour Watch Commander. I held that position till I retired in 2015 with a total of 46 years of police service.

In 2000 the New York State – Department of Health designated Westchester County to have its own Regional Emergency Medical Service Council. James O'Connor the first Chairman of this new Council asked me to serve as Chairman of the Operations Committee. This committee has the responsibility of reviewing

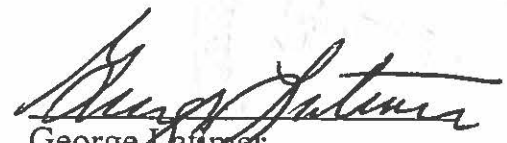
applications for the various ambulance services that want to operate here in Westchester County. I continued as chairman of this committee until I was elected as Chairman of the council in 2016. I am presently still the Chairman of the Westchester Regional EMS Council.

George Latimer
County Executive

WHEREAS, a vacancy exists in the membership of the Westchester County Emergency Medical Services Advisory Board:

NOW, THEREFORE, I, George Latimer, County Executive of Westchester County, in accordance with the terms and provisions of the Westchester County Charter, appoint Al Kim, 425 Mills Road, North Salem, New York as a member of the Westchester County Emergency Medical Services Advisory Board, for the term February 18, 2021 to December 31, 2022.

Given under my hand
and seal this 18th day
of February, 2021.



George Latimer
County Executive

Office of the County Executive

Michaelian Office Building
148 Martine Avenue
White Plains, New York 10601

Email: CE@westchestergov.com
Telephone: (914)995-2900

westchestergov.com



George Latimer
County Executive

February 18, 2021

Al Kim
425 Mills Road
Somers, NY 10560

Dear Mr. Kim,

It is my pleasure to appoint you to serve as a member of the Westchester County Emergency Medical Services Advisory Board, effective today, Thursday, February 18, 2021, pursuant to the Laws of Westchester County §193.04. This appointment is for a term to expire on December 31, 2022

Your appointment is subject to confirmation by the Westchester County Board of Legislators, but your service begins immediately. You must complete the attached Oath of Office and file it with the County Clerk, and provide this office with a copy within 30 days. Please contact the Westchester County Department of Emergency Services at (914) 231-1927 for the date, place, and time of the Emergency Medical Services Advisory Board's upcoming meeting for your participation.

When you have filed your Oath of Office, a Resolution to confirm your appointment will be submitted to the County Board of Legislators. As part of the confirmation process, you may be called before the Board to be interviewed.

Pursuant to Local Law, as a member of a Westchester County Board and/or Commission, you are responsible for adhering to the requirements of our Code of Ethics, which includes the annual filing of a financial disclosure statement with the County Board of Ethics. A financial disclosure form will be mailed to you under separate cover.

Warmest wishes for a successful tenure.

Very Truly Yours,

George Latimer
Westchester County Executive

GL/tts

cc: Honorable Board of Legislators
Richard Wishnie – Acting Commissioner, Department of Emergency Services
Joan McDonald, Director of Operations

Office of the County Executive

Michaelian Office Building
148 Martine Avenue
White Plains, New York 10601

Email: ce@westchestergov.com
Telephone: (914)995-2900

westchestergov.com

ALFRED KIM
425 Mills Road
North Salem, New York 10560

Office: 914.244.0440
Cell: 917.662.0650

e-mail: akim@WEMSNY.org

Summary of Qualifications

- Experienced administrator utilizing a collaborative team approach to finding solutions to the challenges in a diverse, changing EMS environment.
- Professional with over thirty (30) years' experience in emergency service provision, healthcare administration, planning, community education and policy development.
- Extensive knowledge in the discipline of resource utilization and planning with respect to the diverse stakeholders in EMS.
- Unique insight and understanding of the economic challenges faced by volunteer and paid EMS services.

Professional Experience

Executive Director – Westchester EMS

November 2005 – Present

Responsibilities- Management and direction of an EMS agency providing 911 emergency paramedic services to 14 contiguous municipalities, and a BLS and ALS ambulance transport division providing essential interfacility ambulance transports throughout the tristate region. Direction and oversight in the development of the budgeting process with a consortium of 14 municipalities and the board of directors of the agency. Collaborative QA/QI program development with 10 different volunteer EMS and Fire organizations. Management and coordination of emergency preparedness program for principal facilities. (Northern Westchester Hospital, White Plains Hospital, NYP Lawrence Hospital and Phelps Hospital.)

Accomplishments:

- Multiyear successful Joint Commission accreditation for emergency preparedness.
- Creation of a single platform for surrounding volunteer agencies in electronic patient care reporting.
- Successful implementation of system status management.
- Improved utilization and productivity by 150%
- Quality initiative programs with benchmark data inclusive of all principal volunteer EMS agencies.

Vice President – Operations

TransCare Corporation, Brooklyn, New York
Dec. 2000 – August, 2005

Responsibilities - Planning, direction and management of a large medical transportation company. Manage and direct all aspects for delivering 911 emergency medical services and inter-facility ambulance transports to hospitals in the greater New York area.

Accomplishments

- Oversee and administrate departmental budget over \$30 MM
- Systems analysis for labor and utilization efficiency on over three hundred(300) ambulance units
- Improved utilization and productivity by 40 %
- Standardized operating procedures for field and communications division
- Develop and market private emergency dispatching and response
- Planning and development of new communication center

- Identify interdepartmental and interfacility performance improvement projects; develop quality assurance programs that address said issues; implement and assess interventions.

Director - Emergency Services, Prehospital Care

Cabrini Medical Center, New York, NY

June 1996 – August 2001

Accomplishments

- Implement and operate a billing system, realizing 500% increase in gross revenues over two years.
- Improved in-service field operations by decreasing out-of-service time from 48% to 1%, through operational analyses and field audits.
- Develop and implement communication system and supporting procedural manual to qualify the Emergency Department as a medical control facility in accordance with the New York Regional Emergency Medical Advisory Committee.
- In collaboration with FDNY, expanded service with the addition of basic life support services.
- Developed and managed the Hospitals BCLS and ACLS training program for incoming medical residents.
- JCAHO prep task force member for Emergency Medicine
- Developed and implemented the Hospital Emergency Incident Command System (HEICS)

Paramedic Supervisor

St. Lukes-Roosevelt Hospital, New York, NY

June 1994 - May 1997

Responsibilities - Shift Supervisor overseeing daily operations for 911 and private ambulance units. Direct oversight of the departmental policy and procedure manual. Managed and directed 100 employees through personal development training.

Accomplishments

- Produced a comprehensive statistical analysis of daily operations, admission revenue and expenditures; utilized reports to implement decisive change throughout the department.
- Personally investigated all field incidents and developed QA/QI program for improving patient care.
- Successfully wrote and implemented departmental policy and procedure manual.

Active Volunteer Service

North Salem Volunteer Ambulance – Riding member

NYC Medics- Global Disaster Medical Response NGO – Founding member

Westchester REMSCO council member

NYS SEMSCO council member

NY Medical School admission MMI

Active Board Membership

NYC Medics. NGO providing global emergency disaster relief.

WWW.NYCMedics.org

Westchester EMS.

WWW.WEMSny.org

Paramedic Certification, 116023

Paramedic, 1987 – Present

BCLS, ACLS

References

Susan Fox
CEO, President
White Plains Hospital
914-681-1214

Derek Anderson
Executive Director
Northern Westchester Hospital
914-666-1301

Barry Geller
Director, Emergency Medicine
Phelps Memorial Hospital
914-366-3598

John Fitzgerald
Commissioner
Somers Fire Department
914-645-5386

Dave Ryan
Chief of Police
Pound Ridge
914-764-4206

Peter Parsons
Town Supervisor
Lewisboro
914-763-3151

Rick Morrissey
Town Supervisor
Somers
914-277-3637

Paul Oliva
Chief of Police
Mount Pleasant
914-769-1551

Melvin Padilla
Chief of Police
Bedford
917-295-7516

Michael Fosina
President
NYP, Lawrence Hospital
914-787-1601

Eileen Eagan
Executive Director
Phelps Hospital
914-366-1000

Jim Dwyer
Director, Emergency Medicine
Northern Westchester Hospital
914-232-0622


Chris Burdick
NYS Assemblyman
914-924-5210

George Latimer
County Executive

WHEREAS, a vacancy exists in the membership of the Westchester County Arab American Advisory Board, due to the newly created Board:

NOW, THEREFORE, I, George Latimer, County Executive of Westchester County, in accordance with the terms and provisions of the Westchester County Charter, appoint Hussein Elzoghby, P.E., 241 Andrea Road, Yorktown Heights, New York as a member of the Westchester County Arab American Advisory Board, for the term February 25, 2021 to December 31, 2022.

Given under my hand
and seal this 25th day
of February, 2021.



George Latimer
County Executive

Office of the County Executive

Michaelian Office Building
148 Martine Avenue
White Plains, New York 10601

Email: CE@westchestergov.com
Telephone: (914)995-2900

westchestergov.com

George Latimer
County Executive

February 25, 2021

Mr. Hussein Elzoghby
241 Andrea Road
Yorktown Heights, NY 10598

Dear Mr. Elzoghby,

It is my pleasure to appoint you to serve as a member of the Westchester County Arab American Advisory Board, effective today, Thursday, February 25, 2021, pursuant to Executive Order Number 8 of 2019. This appointment is for a term to expire on December 31, 2022.

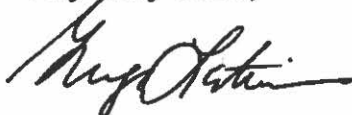
Your appointment is subject to confirmation by the Westchester County Board of Legislators, but your service begins immediately. You must complete the attached Oath of Office and file it with the County Clerk prior to the next Arab American Advisory Board meeting, and provide this office with a copy within 30 days. Please contact Martha Lopez at (914) 995-2947 for the date, place, and time of the Arab American Advisory Board's upcoming meeting for your participation.

When you have filed your Oath of Office, a Resolution to confirm your appointment will be submitted to the County Board of Legislators. As part of the confirmation process, you may be called before the Board to be interviewed.

Pursuant to Local Law, as a member of a Westchester County Board and/or Commission, you are responsible for adhering to the requirements of our Code of Ethics, which includes the annual filing of a financial disclosure statement with the County Board of Ethics. A financial disclosure form will be mailed to you under separate cover.

Warmest wishes for a successful tenure.

Very Truly Yours,



George Latimer
Westchester County Executive

GL/wm

cc: Honorable Board of Legislators
Joan McDonald, Director of Operations

Office of the County Executive

Michaelian Office Building
148 Martine Avenue
White Plains, New York 10601

Email: ce@westchestergov.com
Telephone: (914)995-2900

westchestergov.com

Hussein M. Elzoghby, P.E.

helzoghby@savinengineers.com

EDUCATION

M.E. in Civil Engineering, The Cooper Union, NY
B.E. in Civil Engineering, The Cooper Union, NY

CERTIFICATION

Licensed Professional Engineer; New York, New Jersey, Washington DC

COMMUNITY EXPERIENCE

Member and Board Trustee, Upper Westchester Muslim Society, Pleasantville, NY 2004 - Present

Active member and Trustee of this Community Non-Profit since 2004. Working with other communities of faith in Upper Westchester to foster greater understanding and tolerance. Chair of the Project committee to build a new Community center. Chair of the Buildings & Grounds committee for the recently acquired UWMS Community Center at 600 Bear Ridge Rd. Member of Public Relations Committee.

Member Town of Mt. Pleasant Police Advisory Committee, Valhalla, NY 2020

Selected by the Town of Mt. Pleasant to sit on this important Committee to assess various police reform measures. Attended various listening meetings with Town Residents and working meetings with Committee members and Mt. Pleasant Police Chief.

PROFESSIONAL EXPERIENCE

Senior Vice President, Savin Engineers, P.C., Pleasantville, NY 1989 - Present

Mr. Elzoghby's professional experience is diverse and includes work on projects in the areas of Environmental, Civil, Sanitary, Structural, Marine, Port and Bridge Engineering. In addition, he is proficient in the application of computers to structural analysis and has authored several papers on the subject. His 35 years of experience on various projects includes structural design of new structures and rehabilitation of existing structures including oxygenation tanks, swirl concentrators, incinerator buildings, pipe galleries, pumping stations, oxygen generating buildings, chlorine contact tanks, underground pipe supports, electrical duct banks, and other miscellaneous structures. All designs were in accordance with ACI Sanitary Code and Seismic Provisions of local Building Codes. Mr. Elzoghby is currently working on the Guilford Water Reservoir Improvements for Baltimore Maryland and North River WWTP projects.

WSSC – Tiber Drive Water Main Replacement

Provided review and oversight of:

- Detailed design of 8-inch diameter water main to replace the existing system.
- Thrust restraint calculations to determine required restrained lengths for proposed water main.
- Prepared construction documents for approximately 2,800 linear feet of water main replacement, associated water house connections, fire hydrant connections, crossing between existing utilities, and stakeout data.

WSSC – Broad Creek Sewer Diversion

Provided review and oversight of:

- Detailed design of a new 8-inch diameter sewer main to divert wastewater flow from the Broad Creek Sewer Basin to the Western Branch Sewer Basin.

- Prepared construction documents for approximately 1,800 linear feet of sewer pipe installation, four (4) new sewer manholes, and associated pavement restoration in accordance with Prince Georges County requirements.

WSSC – Patuxent Raw Water Main Piggings Vaults

- Responsible for structural and mechanical design associated with two (2) new Piggings Vaults, Pig Launching Vault and Pig Receiving Vault, for a new 48-inch diameter water main.
- Performed detailed mechanical design in accordance with WSSC Standards for new 48-inch diameter water main with gate valves.
- Performed detailed structural design for reinforced concrete below grade vaults in accordance with WSSC Standards. Structural design included interior aluminum framed platforms, and reinforced concrete was designed to resist the required H-20 truck loading.

Guilford Water Reservoir Improvements, Baltimore, Maryland. Responsible charge of all structural design services. Project includes 2 new 240 ft. diameter potable water storage tanks, drilled pier foundation, reinforced concrete mat, seismic design and related work.

DC Water – Water Distribution System – PRV Vault, Washington DC

Performed detailed structural analysis and design of a below grade Pressure Reducing Valve (PRV) vault. Vault design consisted of reinforced concrete base slab, walls, and roof slab and framing subject to H-20 loading and surcharge. Performed buoyancy calculations and sliding resisting calculations for the new PRV vault. All design was in accordance with the District of Columbia Building Code supplement, ACI 350 and ASCE 7.

Ashburton Pumping Station Improvements, Baltimore, Maryland - Performed structural engineering services for the study and design of improvements at the Ashburton Pumping Station. This includes the main pumping station sub-structure, superstructure, numerous valve chambers and miscellaneous structural elements.

Montebello Water Filtration Treatment Plant, Baltimore, Maryland - Performed structural design services for a new pumping station, storm water diversion structure s and modifications to a raw water distribution center. All design was completed in accordance with ACI 350 and the City of Baltimore Building Code.

Condition Assessment, New York City - Performed conditions assessment services for many NYCDEP owned and operated facilities for the Risk and Prioritization Study. This included structural evaluation of over 50 pump stations and 14 wastewater treatment plants ranging from 100,000 gpd to 310 MGD (including pump stations, clarifiers, tricking filters, activated sludge, conventional filtration, membrane filtration, digestion, digester gas systems, and many other processes).

Westchester County Structural Inspection Program

Performed structural inspection and evaluation of 19 pumping stations and five wastewater treatment plants, and numerous associated structures to identify structural deficiencies in the steel and concrete facilities. The inspections included visual observation and sounding of concrete elements. All inspections were conducted in accordance with ACI inspection standards. The facilities inspected included:

- Below-grade tanks – grit removal, primary and secondary sedimentation, aeration, and disinfection.
- Above-grade tanks – sludge thickeners, primary and secondary digesters.
- Superstructures – process buildings, administration buildings, pump stations.

Inspections included identifying corrosion, cracks, section loss, misalignment, and other elements in need of repair. The repair of the deficient elements was prioritized based on risk of failure.

Cryders Lane Outfall, New York City - Responsible charge of all structural design for the Cryders Lane Outfall Modifications. This project involved the structural design for a concrete box culvert extension of an existing outfall. The new box culvert was designed to divert the outfall flow towards a diversion channel, where a floating netting system provides floatables control. Performed field inspection of existing concrete outfall to

assess its condition, coordinated soil boring services and performed structural design of the new precast concrete culvert.

Flood Protection, Johnson City, NY - Responsible charge of engineering services to perform an evaluation of flood protection alternatives that could be implemented at the Binghamton Johnson City Joint Sewage Treatment and the TPS. The alternatives studied included a flood barrier wall, watertight structures, submersible equipment and sump pumping improvements. The alternatives were evaluated against criteria for the level of protection for the plant facilities, compatibility with existing facilities, reliability of installation, maintenance requirements, impacts to plant operations and cost. The evaluation concluded that a flood barrier wall was the preferred alternative. The recommended project included approximately 2500 linear feet of steel sheet pile wall, backflow control gates on all outfall and drainage pipes penetrating the barrier wall, and a seepage pumping system inside the perimeter of the barrier wall.

Project Manager for On-Call Construction Management Services for Port Authority of New York and New Jersey - Coordinate needs of Authority for construction management staff including construction managers, construction inspectors, field engineers, fire protection engineers, schedulers and cost estimators. Performed regular field monitoring and QA checks. Project Manager for various "Term" or "On-Call" type agreements including:

- Port Authority "Call-In" Construction Management Services, for Teterboro Airport – 2002-2006
- Port Authority "Call-In" Construction Management Services – 2007-Present
- NYSDOT Region 10 On-Call Construction Inspection Services – Term Agreement. 2001-2003
- TBTA Term Agreement - Construction Inspection Services –2001-2005
- NYC Dept. Parks & Recreation On-Call Construction Management Services Throughout the Five Boroughs of New York City, 2001-2002
- New York State Thruway "On-Call", Construction Inspection Services 2007-2019
- NYSOGS – "On-Call" Engineering Services

Performed Local Law 11 inspection and evaluation of an existing steel-framed high rise structure located at 655 Madison Avenue, New York, NY. Field reconnaissance was performed of the existing building exteriors and in accordance with NYCDOB.

Responsible charge of all structural designs of New BNR facilities at the New Rochelle WWTP. This \$200 million project involved the design of a 170 feet by 300 feet complex of reinforced concrete and steel structures housing N-Units, DN-Units (part of BAF process), UV facility, NaOH facility and a multistory-steel framed process control building. All concrete design was in accordance with ACI-350 and latest seismic provision of NYS Building Code.

SELECTED PUBLICATIONS

Optimization of Berthing Impact Force Distribution. Presented at the ASCE Ports' 86 Specialty Conference, Oakland, CA.

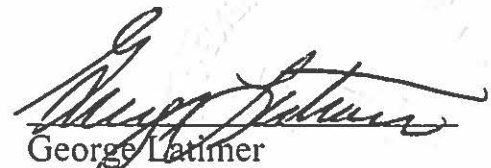
Computation of Critical Stresses for Precast, Prestressed Composite Beams. Presented at the Annual ACI Convention, Seattle WA.

George Latimer
County Executive

WHEREAS, a vacancy exists in the membership of the Westchester County Asian American Advisory Board, due to the newly created Board:

NOW, THEREFORE, I, George Latimer, County Executive of Westchester County, in accordance with the terms and provisions of the Westchester County Charter, appoint, Howard Shih, 4 Ivy Ridge Court, Mount Kisco, New York as a member of the Westchester County Asian American Advisory Board, for the term February 24, 2021 to December 31, 2022.

Given under my hand
and seal this 24th day
of February, 2021.



George Latimer
County Executive



George Latimer
County Executive

February 24, 2021

Mr. Howard Shih
4 Ivy Ridge Court
Mount Kisco, NY 10549

Dear Mr. Shih,

It is my pleasure to appoint you to serve as a member of the Westchester County Asian American Advisory Board, effective today Wednesday, February 24, 2021, pursuant to Executive Order Number 7 of 2019. This appointment is for a term to expire on December 31, 2022.

Your appointment is subject to confirmation by the Westchester County Board of Legislators, but your service begins immediately. You must complete the attached Oath of Office and file it with the County Clerk prior to the next Asian American Advisory Board meeting, and provide this office with a copy within 30 days. Please contact Martha Lopez at (914) 995-2947 for the date, place, and time of the Asian American Advisory Board's upcoming meeting for your participation.

When you have filed your Oath of Office, a Resolution to confirm your appointment will be submitted to the County Board of Legislators. As part of the confirmation process, you may be called before the Board to be interviewed.

Pursuant to Local Law, as a member of a Westchester County Board and/or Commission, you are responsible for adhering to the requirements of our Code of Ethics, which includes the annual filing of a financial disclosure statement with the County Board of Ethics. A financial disclosure form will be mailed to you under separate cover.

Warmest wishes for a successful tenure.

Very Truly Yours,

George Latimer
Westchester County Executive

GL/wm

cc: Honorable Board of Legislators
Joan McDonald, Director of Operations

Office of the County Executive

Michaelian Office Building
148 Martine Avenue
White Plains, New York 10601

Email: ce@westchestergov.com
Telephone: (914)995-2900

westchestergov.com

Howard Shih is the Research and Policy Director for the Asian American Federation (AAF). He oversees AAF's policy research work that highlights the accomplishments and the needs of the diverse range of Asian American communities in the New York City metro area. Howard has authored or co-authored reports on Asian Americans, covering topics such as economic contributions, poverty, seniors, mental health and children's issues. Among the most recent report were *The Impact of COVID-19 on Asian American Employment in New York City (2021)*, *Hidden in Plain Sight: Asian Poverty in New York City (2018)*, *NYC's Economic Engine: Contributions & Challenges of Asian Small Businesses (2016)*, and *Analysis of City Government Funding on Social Service Organizations Serving the Asian American Community in New York City (2015)*. Mr. Shih has also been published two articles in UCLA's *AAPJ Nexus Journal*.

Howard oversees the AAF's Census Information Center (CIC), officially designated by the Census Bureau as a repository of Census data for improving data access to underserved communities. The CIC acts as a data resource to the Asian American community and has published periodic briefs covering neighborhoods, legislative districts and ethnic groups of the Asian American communities of New York City and State. Mr. Shih also led AAF's Census outreach initiatives in 2010 and 2020 to encourage Asian Americans to fully participate in the decennial census.

George Latimer
County Executive

Office of the County Executive

March 15, 2021

Westchester County Board of Legislators
800 Michaelian Office Building
White Plains, New York 10601

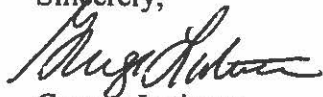
Dear Members of the Board of Legislators:

In accordance with Section 110.21 of the Laws of Westchester County, transmitted herewith for your approval is a resolution to confirm the appointment, effective February 25, 2021, of Leonard Townes as the Commissioner of the Westchester County Department of Social Services.

I have made a thorough review of Mr. Townes' credentials and experience and have determined that his appointment as Commissioner of the Westchester County Department of Social Services is in the best interests of the Department of Social Services and the County, generally. In addition, the Commissioner of the Office of Temporary and Disability Assistance has approved the appointment of Mr. Townes as he meets the qualifications to serve as Commissioner.

Therefore, I most respectfully recommend and urge your Honorable Board to adopt the attached resolution for the appointment of Leonard Townes as Commissioner of the Westchester County Department of Social Services for a five (5) year term of office to commence on February 25, 2021 and to expire on February 25, 2025.

Sincerely,



George Latimer
County Executive

GL/nn
Enclosure

George Latimer
County Executive

March 12, 2021

Mr. Leonard Townes
782 Tuckahoe Road 2F
Yonkers, NY 10701

Dear Mr. Townes:

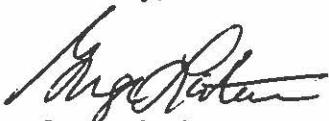
It is my pleasure to appoint you as Commissioner of the Westchester County Department of Social Services for a five (5) year term in accordance with Section 116 of the New York State Social Services Law. Please be advised that while your appointment authorizes you to immediately assume the duties and responsibilities of said office, your appointment is subject to approval of your qualifications to serve as Commissioner of the Westchester County Department of Social Services by the Commissioner of the New York State Office of Temporary and Disability Assistance as well as confirmation by the Westchester County Board of Legislators. Once approved by the Commissioner of the New York State Office of Temporary and Disability Assistance and confirmed by the Westchester County Board of Legislators, your term of office, commenced on February 25, 2021, will expire on February 25, 2025.

As you know, the Department of Social Services is responsible for the management and control of the division of family and child social services of the County of Westchester.

Pending your confirmation by the Board of Legislators, and in accordance with New York State law, you must take and file an oath of office in the Office of the Westchester County Clerk. Please be advised that your failure to take and file such an oath within thirty days of the date of this reappointment letter, or within thirty days after the commencement of your term (whichever is later), will result in the office of Commissioner of Social Services being deemed vacant. If you have any questions with regard to these legal requirements, please contact the County Attorney.

I look forward to continuing to work with you.

Sincerely,



George Latimer
County Executive

GL/SDK/nn

Office of the County Executive

Michaelian Office Building
148 Martine Avenue
White Plains, New York 10601

Email: CE@westchestergov.com
Telephone: (914)995-2900

westchestergov.com

Leonard G. Townes
Yonkers, New York 10710
914-364-2839
LTT1@WESTCHESTER.GOV.COM

8/2/20

As a lifelong Westchester County resident and a current Deputy Commissioner in the Department, I am uniquely positioned to hit the ground running and provide a seamless transition as the department's next Commissioner. I'm confident that my extensive experience, positive attitude and dedication to the needs of staff and those we serve make me the perfect fit for the position.

As a natural self-starter and dedicated team player, I've been able to inspire and bring out the best in others. I bring to the table over 30 years of experience working in multiple divisions in the department with a proven track record of success.

Key achievements and include:

- Participant in the DSS management fellows training program
- Successfully lead both the Mount Vernon and Yonkers district offices as Director of Temporary assistance
- Lead the Child Care Subsidy and finance teams through a NYS state audit preventing a \$36 million-dollar fiscal sanction against the county
- Took over leadership of the SNAP division to lead the team through a clean-up of overdue applications brought on by the coronavirus pandemic
- Reorganized the management structure of the Temporary assistance division

With my proven ability to organize and maximize staff performance combined with my in-depth experience and creative leadership style, I am prepared to excel in providing outstanding service to the department and community. I believe my skills and high level of motivation will serve me well in the position of commissioner. I look forward to discussing the position and my qualifications in further detail.

Best regards,
Leonard G. Townes

OBJECTIVE

As a career employee of Westchester county, my objective is to utilize the experience I've gained throughout my employment with the department along with my educational background in Public Administration to plan and implement efficient services and initiatives to meet the needs of the public.

EXPERIENCE

WESTCHESTER COUNTY DEPARTMENT OF SOCIAL SERVICES

Deputy Commissioner

March 2018-Present

Providing guidance and oversight to directors and managers in charge of the Temporary Assistance, Office of work activities, Office of program integrity, Career center, Medicaid and Child Care subsidy divisions.

Director of Temporary Assistance

January 2008-March 2018

Served as Director of the Temporary assistance programs at the Mount Vernon (2008-2009) and Yonkers (2010-2018) district offices.

Manager I

November 2002-January 2008

Managed the Temporary assistance, SNAP and Medicaid divisions in the Mount Vernon district office.

Public Administration Intern

January 2002-November 2002

Selected to participate in the Management Fellows program and assigned as a direct report to the 1st Deputy Commissioner. I assisted in the development of different projects, programs, policies and provided analysis of field operations and did troubleshooting.

EDUCATION

-
- MPA
PACE University, White Plains, N.Y.
 - Bachelor of Science-Business Administration
North Carolina A&T State University, Greensboro, N.C.

ADDITIONAL ACHIEVEMENTS

- Created a landlord line to expedite response to landlord calls regarding DSS tenants
- Co-created a broker's forum to assist homeless customers obtain housing
- Developed work procedures to enhance meeting personal needs of our customers through upfront case work and centralization of TA service cases in the Yonkers district office
- Connected the OWA and TA divisions to the career center to satisfy WIOA requirements
- Sponsored a holiday clothing give away to customers in the Yonkers area by obtaining staff and church clothing donations
- Developed in house acknowledgement initiatives to recognize staff
- Created an office workplace committee in the Yonkers district office (WIC) made up of
- CW and TA staff to enhance the working relationship between the two divisions

GOALS

- Establish department wide evaluation of staff for improved staff development and succession planning
- Invigorate the culture of the department
- Continue building a positive working relationship with the employee Union
- Continue creating an environment where staff feel valued
- Enhance the relationships with community partners
- Prioritize the use of technology and educate staff on how to maximize its benefits
- Analyze the operation to create efficiency and eliminate duplication of work
- Seeking to ensure education and opportunity to those we serve in order to provide hope for a better future
- Provide the leadership needed to get the department through the transition period brought on by the recent departure of 93 staff members
- Utilize my understanding of the community we serve to meet its needs more effectively



Office of Temporary and Disability Assistance

ANDREW M. CUOMO
Governor

MICHAEL P. HEIN
Commissioner

BARBARA C. GUINN
Executive Deputy Commissioner

March 11, 2021

Ms. Joan McDonald
Director of Operations
Office of the County Executive
Westchester County
Michaelian Office Building
148 Martine Avenue
White Plains, NY 10601

Dear Ms. McDonald:

Thank you for providing the resume of Leonard Townes, whom you wish to appoint to Commissioner of the Westchester County Department of Social Services.

This is to confirm Mr. Townes meets the minimum qualifications for Commissioner pursuant to Section 679.4 of Title 18 of New York Codes, Rules and Regulations.

As Mr. Townes is the selected candidate for the Commissionership, please provide me with the County Legislature's Resolution so I may formally approve his appointment.

In addition, as Mr. Townes' appointment to Deputy Commissioner had not been submitted to this Agency for approval pursuant to Section 679.8(b) of Title 18 of the New York Codes, Rules and Regulations, we reached out to the county Department of Human Resources for information and received a copy of the letter appointing him as Deputy Commissioner, effective March 9, 2020. A review of Mr. Townes' resume confirms, at the time of his appointment, he met the minimum qualifications for Deputy Commissioner as established for Westchester County pursuant to Section 680.1 of the Social Services Regulations. Therefore, I formally approve Mr. Townes' appointment to Deputy Commissioner retroactive to March 9, 2020.

If we can be of any further assistance, please contact Colleen Brooks, of my staff, at (518) 474-9630.

Sincerely,

Michael P. Hein
Commissioner

cc: Sheila Poole
George Latimer

RESOLUTION - 2021

TO THE COUNTY BOARD OF LEGISLATORS
OF THE COUNTY OF WESTCHESTER, NEW YORK

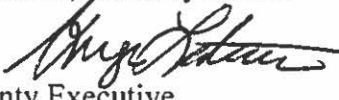
WHEREAS, the County Executive having on the 25th day of February, 2021, appointed Leonard Townes as the Commissioner of Social Services to serve a five (5) year term to commence on February 25, 2021 and expire on February 25, 2025 in accordance with the terms and provisions of the Westchester County Charter, as amended, and subject to the confirmation of this Board; it is

RESOLVED, that said appointment be and is hereby confirmed.

Dated: March , 2021
White Plains, New York

March 19, 2021

TO: Hon. Benjamin Boykin, Chair
Hon. Alfreda Williams, Vice Chair
Hon. MaryJane Shimsky, Majority Leader
Hon. Margaret Cunzio, Minority Leader

FROM: George Latimer 
Westchester County Executive

RE: Message Requesting Immediate Consideration: **Westchester County –
2021 Priorities for Federal Legislation and FY22 Appropriations.**

This will confirm my request that the Board of Legislators allow submission of the referenced communication to be submitted to the Board of Legislators March 22, 2021 Agenda.

Transmitted herewith is the above-referenced package.

Therefore, since this communication is of the utmost importance, it is respectfully submitted that the County Board of Legislators accepts this submission for March 22, 2021 “blue sheet” calendar.

Thank you for your prompt attention to this matter.

Westchester County -- 2021 Priorities for Federal Legislation and FY22 Appropriations

Westchester County has a population of almost a million people, and covers 500 square miles. With 6 cities, 19 towns and 20 villages, the County has a rich mix of many cultures and landscapes, a blend of vibrant cities, quaint villages and picturesque towns as well as open spaces and a network of beautiful parks. Westchester County is known for top-notch public schools, and a high quality of life, boasting a highly educated workforce, competitive colleges and universities, Fortune 500 companies, world changing non-profits, cutting-edge research centers, many arts and cultural opportunities, and a beautiful river valley and sound shore environment.

We recognize the many financial challenges faced by the Federal, State, County, and local governments in the wake of the coronavirus pandemic and we applaud our federal delegation for their skillful efforts securing the passage of the American Rescue Plan Act this March. Thanks to their hard work, our County, its residents and businesses, will benefit directly from millions of dollars in federal aid. This funding will jumpstart Westchester and support all 45 of our municipalities in their recovery efforts post-COVID. We believe that working together is the best way to find solutions, in a cost effective way, in order to protect our taxpayers while continuing to deliver essential services. Westchester has some of the highest taxes of any county in our nation. Together we must find ways to provide financial relief for our taxpayers, address the daily challenges of COVID-19, and maintain the excellent quality of life we enjoy.

We thank our federal legislative delegation for their leadership and their strong role in advocating for many initiatives important to Westchester. Enclosed you will find information regarding federal appropriations and legislative items that will benefit our County. We look forward to working with you in support of these priorities.

Top Priority: State and Local Tax Deductibility (SALT)

Westchester County strongly supports repeal of the limitations imposed on the amount of state and local taxes that can be deducted from federal income taxes. Prior to enactment of the federal tax reform act in December 2017, New York taxpayers who itemized their federal tax deductions could deduct the full amount of their state and local property and income taxes. This SALT deduction was a major source of tax fairness for high-taxed and donor states like New York, and especially Westchester with its high property taxes. Prior to 2017, 47% of Westchester residents itemized their federal tax deductions and had an average of \$34,300 in SALT deductions. The law now caps the SALT deduction at \$10,000, resulting in double taxation and raised taxes on thousands of middle-class families in Westchester who depended on that deduction. Westchester County greatly appreciated the strong leadership and advocacy of our federal delegation in fighting the 2017 tax reforms, and subsequent efforts to repeal the limits on the SALT deduction. We express our support for S. 85/H.R. 613 due to the level of importance they hold to Westchester residents and ask for bi-partisan legislation to fix this problem:

- **S. 85: SALT Deductibility Act (Schumer):** A bill to amend the Internal Revenue Code of 1986 to repeal the limitation on the deduction for certain taxes, including State and local property and income taxes.
 - Introduced to 117th Congress on January 28th, 2021 (not included in Cares Act/COVID Stimulus)
- **H.R. 613: SALT Deductibility Act (Suozzi, Jones, Zeldin, S.P.Maloney, Bowman, Delgado, C.Maloney)**
 - Introduced to 117th Congress on January 28th, 2021

Westchester County's Federal Appropriations Priorities

Medicaid/Affordable Care Act (ACA)

Westchester County has reaped tremendous benefits as a result of Enhanced Federal Medicaid Assistance Percentages (eFMAP) that we earned due to our and New York State's expansions of Medicaid programs in conjunction with the ACA. When the Public Health Emergency (PHE) was declared in January of 2020, states saw a 6.2% increase of the federal share of total eFMAP spending. We were very pleased to see the new administration extended this provision through the end of 2021, and encourage that this ambitious reallocation continue beyond COVID-19. Westchester County rejects any cuts to Medicaid and any shift of costs from the federal to state government.

Westchester County -- 2021 Priorities for Federal Legislation and FY22 Appropriations

- Westchester County opposes block granting and/or privatizing programs such as Medicaid, Medicare, and Social Security that would result in people losing benefits, adding to their financial stress, and thereby turning to other social service programs for needed support.
- Westchester County is pleased that the recent COVID stimulus funds allocated significant funds towards Federally Qualified Health Centers (FQHC). We have active FQHCs throughout the county that provide essential and affordable care and services to underserved populations. We support ongoing funding for these centers, including funding for prevention and treatment for substance abuse and teen pregnancy prevention.
- Westchester County also supports funding for mental health services, and requiring adequate coverage for mental health and substance abuse prevention and treatment. COVID-19 has greatly exacerbated the mental health crisis in our County, and we support community based mental health services for youth and young adults.
- In the wake of COVID-19 access to telehealth and telemedicine resources are more important than ever. These services can reduce the short and long term costs of care and increase access to care, especially for people with mobility issues. The Telehealth Intervention Programs for Seniors (TIPS) can provide a unique collaborative approach with the capacity to reach more people in need of care and help them stay in their homes longer.

Related Legislation:

- HR 366: Protecting Access to Post-COVID-19 Telehealth Act of 2021 (proposed 1/19/2021): To amend title XI of the Social Security Act to authorize the Secretary of Health and Human Services to waive or modify application of Medicare requirements with respect to telehealth services during any emergency period, and for other purposes.
- HR 341: Ensuring Telehealth Expansion Act of 2021 (proposed 1/5/2021): to make permanent certain telehealth flexibilities in response to COVID-19
- HR 434: Preventing Mental Health and Substance Use Crises During Emergencies Act (proposed 1/21/2021): To direct the Secretary of Health and Human Services to convene a task force to advise the Assistant Secretary for Mental Health and Substance Use on a national strategy for preventing mental health and substance use crises during a public health emergency, and for other purposes.

Section 8 Funding

Westchester County supports full funding for the HUD Section 8 Program. This has not occurred in years and there has been a seen a steady decline in program funding due to budget sequestration. Fully funding this program would reduce Section 8 waiting lists, thereby dramatically reducing the number of homeless people and families. President Biden has pledged to make Section 8 vouchers an entitlement to all eligible families. With such a great need for affordable housing and consistent cuts to funding over many years, Westchester County asks our federal delegation to fully fund the Section 8 program.

Maintenance of Existing Funds to Social Services

Westchester County benefits a great deal from the existing federal allocations of several social programs. As the new administration outlines its budgetary priorities with Congress, we ask our Federal Legislative Partners to fully fund each of the following services:

- Social Services Block Grants (SSBG "Title XX")
 - The SSBG has 29 broad service categories and funds a wide variety of services, including child care, child and adult protective services, foster care, and special services for the disabled. Westchester County strongly supports the full funding for these critical programs because of the wide variety of services they support in Westchester.
- Temporary Assistance to Needy Families (TANF)
 - While TANF caseloads have steadily decreased in Westchester County with the introduction of the County-run Safety Net Program, any federal cuts to TANF will destabilize this balance and imperil the Safety Net. Additionally, the Flexible Fund for Family Services (FFFS) covers a variety of services including child welfare,

Westchester County -- 2021 Priorities for Federal Legislation and FY22 Appropriations

domestic violence liaison, drug and alcohol treatment, employment programs, foster care and other TANF services. This would also be subject to reduction if federal cuts forced our County to shift this program to County funding.

- Community Development Block Grants (CDBG) and HOME Programs
 - Within two years of its reinstatement by the current County Administration, the Westchester County Urban Consortium has grown to 31 communities and is preparing to accept a new slate of infrastructural projects. These communities, along with 4 entitled cities, currently rely on CDBG funds for these projects and the tangible benefits they provide. For 2021, the County Consortium received approximately \$4.2 million in CDBG grants, approximately \$1.1 million in HOMES grants, and \$316,000 in Emergency Solutions Grants. We were pleased to see increased funding across the board. Westchester County urges our federal delegation to stand firm on fully funding CDBG, HOME, and ESG Programs.
- Supplemental Nutrition Assistance Program (SNAP)
 - SNAP funding provides crucial assistance to families with the greatest need. Cash assistance and SNAP benefits require that most, but not all, recipients engage in work activity. The County has implemented federal guidelines requiring Able-Bodied Adults Without Dependents (ABAWDs) to participate in a work activity to continue receiving SNAP benefits. We receive federal assistance to implement education and training related to this regulation, and request that SNAP continues to be fully funded by Congress.
- Senior Community Service Employment Program (SCSEP)
 - Under SCSEP, the U.S. Department of Labor distributes grants to states and non-profit organizations to provide part-time work experience in community service activities to unemployed low-income persons aged 55 and older. The goal of the program is to provide income support and work experience to enable clients to transition to unsubsidized employment and avoid public assistance. Westchester County contracts with the Urban League for these services. In 2018 the County budgeted federal funding for this program in the amount of \$322,194, this payment remains intact today. The federal money directed to SCSEP directly funds our Countywide Senior Services Program. Therefore any federal cuts, would defund Westchester County's Senior Services. We ask federal lawmakers to maintain all existing funds to SCSEP.

Westchester County's Federal Legislative and Agency Priorities

(Note: The legislation listed for each topic is not intended to be exhaustive, but to highlight key related bills introduced or co-sponsored by our delegation members.)

Federal Infrastructure Proposal

We encourage the resumption of bi-partisan efforts to develop major federal infrastructure legislation. We understand there are many challenges and details to be worked out, but we believe the benefits to our aging infrastructure and the job creation impacts would be worth the efforts. Westchester County asks that following aspects be included in the framework of Congress's final bill:

- A broad definition of infrastructure to include not only roads and bridges but also public transit, airports, dams, water and sewer, electric grid improvements, and high speed broadband access
- Recognition of climate change's vast impact on aging infrastructure, and the incorporation of sustainability and environmental justice into all federal infrastructure projects moving forward
- No decrease in the federal share of the project cost
- Increased flexibility to State, County, and local governments for project design, approval, build, and administration
- The creation of a National Infrastructure Bank, through the reintroduction and passage of H.R. 6422: The National Infrastructure Bank Act of 2020
- Westchester would encourage any infrastructure bill to include the following projects:
 - **Bridge Strikes**

Westchester County -- 2021 Priorities for Federal Legislation and FY22 Appropriations

- Westchester County seeks the assistance of the delegation in addressing the important public safety concerns regarding trucks striking low bridges on parkways. While this is a nationwide problem, it is of particular concern in northeastern states where there is a greater prevalence of older, lower bridges. While New York State Law Prohibits commercial vehicles of all sizes from using State parkways, many drivers use personal GPS applications that don't mention these state laws. In Westchester County alone there were 329 bridge strikes on County and State parkways between 2017 and 2020. Each bridge strike is dangerous to the travelling public, costly to drivers and companies, and damaging to bridges. Additionally these accidents cause traffic congestion and require a significant time investment by local law enforcement and emergency responders. Trucks striking low bridges on State and County parkways is a problem that will require federal action to alleviate because it usually involves interstate trucking and driving. We seek your assistance in asking the U.S. Department of Transportation to consider the following actions:
 - Work with mapping companies (Google, Waze) to recognize their products are being used by commercial vehicles, either by commercial drivers or drivers using rented trucks, and require the companies to update their software to add warnings for trucks and other commercial vehicles
 - Require the truck height to be posted inside the truck cabin in visible view of the driver
 - Require the low bridge / parkways system to be included in Commercial Drivers License training
 - Require truck rental companies to share information on commercial vehicles route limitations with drivers
 - Require trucking companies to install commercial GPS in the cabs of all 53' trucks and rental companies to do the same with rental vehicles
- **Penn Station Access**
 - Penn Station Access is a project planned by the Metropolitan Transportation Authority in order to allow Metro-North Railroad commuter trains to access Penn Station on Manhattan's West Side, using existing tracks owned by Amtrak. Metro-North trains currently terminate exclusively at Grand Central Station on Manhattan's East Side. This project will have significant benefits for Westchester commuters who travel to the West Side, saving valuable time from their daily commutes. The opening of that project will divert some LIRR trains to Grand Central, thereby opening up slots at Penn Station for Metro-North service. So once completed, Metro-North and the LIRR commuters will have access to both the West side and the East side of Manhattan. The project includes the addition of four new stations in the Bronx on the New Haven Line: Co-op City, Morris Park, Parkchester/Van Nest, and Hunts Point.
 - These new stations will provide transit access to the underserved East Bronx, providing direct rides to Midtown and reverse-commuting trips to Westchester. In order to accommodate more trains, there would be upgraded power and signal systems, the installation of new track and the realignment of existing track, and the replacement of railroad bridges. Yard upgrades at Penn Station and at New Rochelle Yard will be part of the project.
 - The County has strongly advocated for this project, and was very pleased that the MTA and Amtrak came to an agreement and signed a Memorandum of Understanding that would allow the project to move forward. Westchester County appreciates the strong support his project has received from the delegation, and urge its ongoing advocacy for Amtrak's continued and full cooperation, as well as any opportunities for federal funding. MTA's 2015–2019 Capital Program budgeted \$695 million for New Haven Line Penn Station Access work. As New York State seeks to rebuild post-COVID, we are confident this initiative will serve to spur economic development and aide the downstate region's recovery efforts. We ask that our federal legislative representatives prioritize this project, incorporate it into any transit oriented development plans from Congress, and ensure it is completed.
- **Lake Isle Dam Repair**
 - When initially constructed 126 years ago, the Lake Isle dam stemmed the Hutchinson River's flow, created a man-made lake for area residents, and provided drinking water to the city of New Rochelle. Today, the dam

Westchester County -- 2021 Priorities for Federal Legislation and FY22 Appropriations

and its lake are surrounded by several residential communities in Eastchester and New Rochelle. Additionally, the dam is upstream from Mount Vernon and immediately north of the Hutchinson River Parkway. Since the company that owned the dam went insolvent over 30 years ago, the structure is absent an owner. In 2020 the Lake Isle dam was rated unsound by the State of New York's Department of Environmental Conservation (NYS DEC), this report indicated that the dam is structurally compromised in certain areas and could break if enough pressure builds up on its drainage system. In the aftermath of the NYS DEC's 2020 findings, a state lawsuit has been filed. At the moment, there is no municipality claiming responsibility for Eastchester's segment of the dam. With costs ranging from six to twenty million dollars, Westchester County requests the assistance of our federal legislative representatives in creating a system to pay for this repair among the surrounding municipalities, preparing a plan to renovate the dam, and providing the proper allocation of federal funds to go toward the project's ultimate completion.

- **Yonkers Wastewater Treatment Plant**

- The Yonkers Wastewater Treatment Plant on the city's west side caters to approximately 500,000 Westchester residents and filters over a million gallons of waste each day. However, area residents and neighboring municipalities have reported smelling noxious odors ruminating from the plant due to aging scrubbers and poor facility insulation. In response to these claims, the County sponsored an odor control study by CDM Smith completed in June 2019. Based on the findings of this report, Westchester County requests \$69.1 million in budgeted federal grants to address long term infrastructural repairs to the plant's odor insulation. As the new administration has prioritized addressing the intrinsic impacts of environmental justice, we believe this project will improve the quality of life for Yonkers's predominantly Black and Hispanic middle-class communities living on the city's west side.

- **Environmental Protection and Clean Water**

- The Long Island Sound is a natural treasure and an economic engine for the region that draws families, boaters, tourists and anglers to the sound shore communities. We were glad to see the Long Island Sound Future Fund receive approximately \$3.8 million in federal/state grants in 2020. We ask that our congressional representatives reinstate all EPA and environmental funds stripped away by the last administration.
- Clean, safe water is essential. Many communities in Westchester have aging or insufficient waste water and drinking water systems. These systems are very costly to build, maintain, and upgrade, well beyond the reach of most municipalities, so federal and state funding is essential. Despite strong bipartisan backing, the Drinking Water Infrastructure Act of 2020 failed to receive a vote in the 116th Congress. If passed, both the Clean Water State Revolving Fund (CWSRF) and the Water Infrastructure Finance and Innovation Act (WIFIA) will be reauthorized. As this federal funding provides critical support for water and sewer projects, many of which are long overdue, Westchester County strongly urges the re-introduction and swift passage of S. 3590 and a sufficient allocation of associated federal funding.
 - S. 3590 (116th): Drinking Water Infrastructure Act of 2020: A bill to amend the Safe Drinking Water Act to reauthorize certain provisions, and for other purposes.

Westchester County Airport: PFAS/PFOs remediation – seeking support from the National Defense Authorization Act (NDAA)

As a result of Air National Guard activities based at the Westchester County Airport decades ago, PFOA and PFOS (PFAS) levels above the current EPA health advisory limit of 70ppt have been found in samples from monitoring wells on the airport property. As part of the training, the ANG they would practice putting out fires using foam manufactured with PFAS. In 2018, the County reinstated the groundwater testing program at the airport that had been discontinued during the prior administration. Based on the sampling and ground water flow patterns, the County, in cooperation with the NYS Department of Environmental Conservation, is developing a remediation plan for the airport. The County is also working closely with the NYC Department of Environmental Protection due to the proximity of the airport to the reservoir system. So far PFAS levels have been non-detectable in the reservoir. We are seeking financial support from the US Dept of Defense to assist with remediation costs due to their responsibility for the contamination.

Westchester County -- 2021 Priorities for Federal Legislation and FY22 Appropriations

- S.231: PFAS Act (proposed 2/4/2021): bill to direct the Administrator of the Federal Emergency Management Agency to develop guidance for firefighters and other emergency response personnel on best practices to protect them from exposure to PFAS and to limit and prevent the release of PFAS into the environment, and for other purposes.

Indian Point Energy Center

The planned closure of the Indian Point nuclear power plant this year creates several economic challenges for the local school district, host municipalities, and the County. When the plant officially closes, there will be significant annual property tax revenue losses for the Hendrick Hudson School District and Library, Village of Buchanan, Town of Cortlandt, Verplanck Volunteer Fire District, and Westchester County. In addition, hundreds of jobs could be lost, and the resulting impact will be felt on businesses throughout the area. The County is working with the communities and the State to identify potential shared services, replacement revenue sources, opportunities for future reuse of the property, and other economic development and workforce development opportunities. We appreciate the federal initiatives designed to assist with these efforts, such as prioritization for EDA grant opportunities. We ask our federal legislators to develop a safe solution for high level radioactive waste transportation and disposal. Without a solution by the federal government, every nuclear power plant, including Indian Point, becomes a defacto long term radioactive waste storage site. This creates environmental and public health risks, emergency preparedness concerns, and severely limits opportunities to re-purpose the Indian Point site in the future. Westchester County urges the federal government to make a decision on how best to safely transport and dispose of the plant's waste once Indian Point closes in 2021. Additionally, we encourage transparency and community involvement in developing the plans, financing, and activities. We ask federal legislators to ensure that the company responsible for decommissioning works with surrounding municipalities and the County to decommission Indian Point in a way that makes the property accessible for future development. The following related bills were introduced in the previous session of Congress. We urge their reintroduction and enactment in this session of Congress.

- H.R. 3970: *STRANDED Act* (proposed in 115th and 116th Congress)
- H.R. 4440: *Redistribution of Fines to our Communities Act* (failed 2017 in 115th Congress)
- H.R. 4441: *Safe and Secure Decommissioning Act* (proposed in 115th Congress)
- H.R. 4442: *Removing Nuclear Waste from our Communities Act* (proposed in 115th Congress)
- H.R. 4891: *Dry Cask Storage Act* (proposed in 115th Congress)
- S. 2396: *Safe and Secure Decommissioning Act* (proposed in 115th Congress)

Net Neutrality and Reliable, Affordable Broadband Access

Reliable and affordable access to high speed internet networks, and the free flow of information are essential to a vibrant economy and to our lifestyles. Our businesses, schools, health care facilities, libraries, community centers, and our homes all rely on such access. As all Americans witnessed, the coronavirus amplified the digital divide between communities and schools across our country. Westchester County believes that all students should have access to affordable broadband access, regardless of where they live or where they attend school. We urge our delegation to support net neutrality, Congressional action to overturn the prior administration's FCC decision, and the reintroduction of 2019's Save the Internet Act to both chambers of Congress.

- S.682 and H.R. 1644: Save the Internet Act of 2019 (Schumer, Gillibrand, Engel, Lowey, S.P.Maloney, Espallat, Suozzi, Meeks, Rose, Tonko, Nadler, Velazquez, Higgins, Clarke, Morelle, Jeffries, C.Maloney, Meng, Ocasio-Cortez, Rice, Serrano, Delgado)

Domestic Violence Prevention and Services

The US Department of Justice, Office on Violence Against Women administers several grant programs designed to reduce domestic violence, dating violence, sexual assault, and stalking by strengthening services and holding offenders accountable. Westchester County, along with our partner non-profit agencies, and most importantly our residents and families, have benefitted from this federal funding. As we strive to end domestic violence through comprehensive

Westchester County -- 2021 Priorities for Federal Legislation and FY22 Appropriations

services, advocacy, and community education, continued federal funding would provide important support for our ongoing, effective programs and services, and help ensure that every individual has the basic human rights to be free from violence and to engage in relationships with respect, equality, and safety. We support the bipartisan efforts in both chambers to implement the VOCA Fix to Sustain the Crime Victims Fund Act of 2021.

Opioid Abuse Prevention, Treatment and Enforcement

The opioid epidemic has already torn apart too many families and destroyed too many lives, and we must use every tool and resource we have to work together to end this crisis. In 2020 Westchester police departments reported 247 opioid related deaths. The ripple impacts of COVID's social isolation and lack of connection are increased rates of anxiety, depression, and other mental health issues. All of these factors correlate to higher opioid usage, and must be addressed in a multifaceted, coordinated approach through health care and mental health services, and law enforcement to safeguard our communities. We support efforts to limit opioid prescriptions to needed doses and timelines, expanded addiction treatment programs, and continual funding towards law enforcement coordination such as the High Intensity Drug Trafficking Area (HIDTA) program, and INTERDICT ACT Funding – CBP Fentanyl Detection Devices to help stop narcotics from entering through our ports and mail, and assistance with pharmaceutical take back programs. In the wake of the coronavirus pandemic, addressing the opioid crisis is more relevant than ever before. Attached are three pieces of legislation that we encourage our federal delegation to support:

Related Legislation:

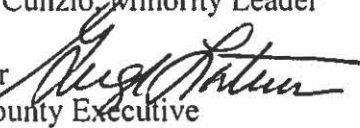
- H.R. 1026 (proposed 2/4/2021): To amend the Controlled Substances Act to require dispensers of certain opioids and opiates to affix on the container or package thereof a clear, concise warning that the opioid or opiate can cause dependence, addiction, and overdose, and for other purposes.
- S 166 and HR 706 Emergency Use for Substance Abuse Disorders Act (proposed 2/2/2021): A bill to authorize grants to address substance use during COVID-19.
- H.R. 433: Family Support Services for Addiction Act of 2021 (proposed 1/21/2021): To establish a grant program for family community organizations that provide support for individuals struggling with substance use disorder and their families.

Flood Hazard Mapping and Risk Analysis Program

In recent years, climate change has had an undeniable impact of increasing the frequency and severity of rainfall and flooding in Westchester County. The Federal Emergency Management Agency (FEMA) has mapped over a million stream miles in order to communicate flood risks to communities and residents, inform local floodplain management regulations, help communities set minimum floodplain and building standards, determine who is required to purchase flood insurance, and help FEMA to accurately price flood insurance. While a plurality of these maps are up to date, they do not account for rising sea levels and their maintenance requires an ongoing expense. Although this program does not provide direct funding to the County, flood mapping does add significant value to our hazard/disaster mitigation programs in the departments of Planning and Emergency Services, and benefits many communities. The most recent update of Westchester County's Flood Insurance Rate Maps (FIRMs) was in 2007. While updated maps for the County's coastal panels are due to be finished by 2024, our region is still awaiting updated maps, and any budget reductions may further delay their issuance. Recent studies have indicated that the dual impact of climate change and aging infrastructure will combine to put thousands of homeowners at risk across New York State. Westchester County's emergency management mitigation efforts would benefit immensely from updated flood maps, and we ask our federal representatives to make the completion of these maps a top priority.

March 19, 2021

TO: Hon. Benjamin Boykin, Chair
Hon. Alfreda Williams, Vice Chair
Hon. MaryJane Shimsky, Majority Leader
Hon. Margaret Cunzio, Minority Leader

FROM: George Latimer 
Westchester County Executive

RE: Message Requesting Immediate Consideration: **CBA & Amended Bond Act – B0082 – Low Rise Building Renovation, White Plains.**

This will confirm my request that the Board of Legislators allow submission of the referenced communication to be submitted to the Board of Legislators March 22, 2021 Agenda.

Transmitted herewith for your review and approval is an Act which, if adopted, would authorize the County of Westchester ("County") to amend its current year Capital Budget (the "Capital Budget Amendment"), as well as adopt a related amended Bond Act ("Bond Act") to finance the following capital project: B0082.

Therefore, since this communication is of the utmost importance, it is respectfully submitted that the County Board of Legislators accepts this submission for March 22, 2021 "blue sheet" calendar.

Thank you for your prompt attention to this matter.



George Latimer
County Executive

March 3, 2021

Westchester County Board of Legislators
800 Michaelian Office Building
White Plains, New York 10601

Dear Members of the Board of Legislators:

Transmitted herewith for your review and approval is an Act which, if adopted, would authorize the County of Westchester ("County") to amend its current year Capital Budget ("Capital Budget Amendment"), as well to as adopt a related amended Bond Act ("Bond Act") to finance the following capital project:

B0082 – Low Rise Building Renovation, White Plains ("B0082").

The proposed Capital Budget Amendment will amend the County's capital budget to increase the appropriation for B0082 by \$9,015,000. The Department of Public Works and Transportation ("Department") has advised that the additional funds are required based upon the current scope and cost estimate for B0082. B0082 will now also replace the expansion joints on both sides of the building that spans Dr. Martin Luther King, Jr. Boulevard, replace the HVAC distribution system and install a fire suppression system on the third floor. The companion capital project (B0104) will provide for installation of a fire suppression system on the other floors. These components were not contemplated in the original scope of work.

The Bond Act, in the total amount of \$17,345,000, which includes \$700,000 in previously authorized bonds of the County, would finance the cost of design, construction and construction management of renovations to the third floor of the County's Low Rise Building including the offices of the County Clerk.

The Department has advised that the third floor of the Low Rise building has not undergone a full renovation since its construction in the early 1970s. Since the County Clerk has switched to keeping digital records, the existing spatial configuration is no longer efficient. B0082 will improve the organization of the third floor to better serve the visiting public and meet the needs of the Office of the County Clerk. In addition, the updates will be made to comply with current building code.

Design is currently being undertaken by a consultant and is expected to be completed by the second quarter of 2021. It is anticipated that construction will take approximately eighteen months to complete and will begin after award and execution of the construction contracts.

Office of the County Executive

Michaelian Office Building
148 Martine Avenue
White Plains, New York 10601

Telephone: (914) 995-2900 E-mail: ceo@westchestergov.com

It should be noted that your Honorable Board has authorized the County to issue bonds for prior components of B0082 as indicated in the annexed fact sheet and as follows: Bond Act No. 179-2015 in the amount of \$700,000, which financed design in connection with renovations to the third floor of the County's Low Rise Building including the offices of the County Clerk. These bonds have been partially sold. Accordingly, it is now requested that Bond Act No. 179-2015 be amended to (i) increase the initial amount authorized by \$16,645,000, for a total authorized amount, as amended, of \$17,345,000, (ii) revise the scope of Bond Act No. 179-2015 to include work associated with the construction phase of B0082, and (iii) increase the period of probable usefulness of said bonds.

The Planning Department has advised that based on its review, the above-referenced capital project has been classified as a "Type II" action pursuant to the State Environmental Quality Review Act ("SEQR") and its implementing regulations, 6 NYCRR Part 617. Therefore, no further environmental review is required. As you know, your Honorable Board may use such expert advice to reach its own conclusion.

The Planning Department has advised that the Planning Board has previously reviewed this project and issued a report, and that since there is no change in the scope of the work and this is simply a change in the financing plan, no further action by the Planning Board is necessary at this time.

Based on the importance of this project to the County, favorable action on the proposed Capital Budget Amendment and Bond Act is respectfully requested.

Sincerely,

A handwritten signature in black ink, appearing to read "George Latimer", written in a cursive style.

George Latimer
County Executive

**HONORABLE BOARD OF LEGISLATORS
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmission from the County Executive recommending approval by the County of Westchester (“County”) of an act amending the County’s current-year Capital Budget (“Capital Budget Amendment”), as well as a related amended bond act (“Bond Act”) which, if adopted, will authorize the County to issue up to \$16,645,000 in additional bonds of the County to finance capital project B0082 – Low Rise Building Renovation, White Plains (“B0082”).

Your Committee is advised that the Capital Budget Amendment will amend the County’s capital budget to increase the appropriation for B0082 by \$9,015,000. The Department of Public Works and Transportation (“Department”) has advised your Committee that the additional funds are required based upon the current scope and cost estimate for B0082. B0082 will now also replace the expansion joints on both sides of the building that spans Dr. Martin Luther King, Jr. Boulevard, replace the HVAC distribution system and install a fire suppression system on the third floor. The companion capital project (B0104) will provide for installation of a fire suppression system on the other floors. These components were not contemplated in the original scope of work.

The related Bond Act in the total amount of \$17,345,000 was prepared by the law firm Hawkins, Delafield & Wood and includes \$700,000 in previously authorized bonds of the County. The Bond Act will finance the cost of design, construction and construction management of renovations to the third floor of the County’s Low Rise Building including the offices of the County Clerk.

The Department has advised that the third floor of the Low Rise building has not undergone a full renovation since its construction in the early 1970s. Since the County Clerk has switched to keeping digital records, the existing spatial configuration is no longer efficient. B0082 will improve the organization of the third floor to better serve the visiting public and meet the needs of the Office of the County Clerk. In addition, the updates will be made to comply with current building code.

Design is currently being undertaken by a consultant and is expected to be completed by the second quarter of 2021. It is anticipated that construction will take approximately eighteen months to complete and will begin after award and execution of the construction contracts.

It should be noted that your Honorable Board has authorized the County to issue bonds for prior components of B0082 as indicated in the annexed fact sheet and as follows: Bond Act No. 179-2015 in the amount of \$700,000 which financed design in connection with renovations to the third floor of the County's Low Rise Building including the offices of the County Clerk. These bonds have been partially sold. Accordingly, it is now requested that Bond Act No. 179-2015 be amended to (i) increase the initial amount authorized by \$16,645,000, for a total authorized amount, as amended, of \$17,345,000, (ii) revise the scope of Bond Act No. 179-2015 to include work associated with the construction phase of B0082, and (iii) increase the period of probable usefulness of said bonds.

The Planning Department has advised your Committee that based on its review, the above-referenced capital project has been classified as a Type "II" action pursuant to the State Environmental Quality Review Act ("SEQR") and its implementing regulations, 6 NYCRR Part 617. Therefore, no further environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this conclusion.

The Planning Department has advised your Committee that the Planning Board has previously reviewed this project and issued a report, and that since there is no change in the scope of the work and this is simply a change in the financing plan, no further action by the Planning Board is necessary at this time.

Your Committee has carefully considered the proposed Capital Budget Amendment, as well as the related Bond Act, and recommends approval of both of the proposed Acts, noting that the Bond Act can only be enacted following adoption of the Capital Budget Amendment. It should be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to amend the County's Capital Budget and to adopt the Bond Act.

Dated: _____, 20____.
White Plains, New York

FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: B0082

☐ NO FISCAL IMPACT PROJECTED

SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☒ GENERAL FUND

☐ AIRPORT FUND

☐ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☒ Current Appropriations

☒ Capital Budget Amendment

SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 17,345,000 PPU 25 Anticipated Interest Rate 1.50%

Anticipated Annual Cost (Principal and Interest): \$ 830,489

Total Debt Service (Annual Cost x Term): \$ 20,762,225

Finance Department: Interest rates from February 25, 2021 Bond Buyer - ASBA

SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations

(describe in detail for current and next four years):

SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded: 189

SECTION E - EXPECTED DESIGN WORK PROVIDER

☐ County Staff

☒ Consultant

☐ Not Applicable

Prepared by: Robert Abbamont

Title: Prog Coord (Capital Planning)

Department: Public Works/Transportation


Date: 3/11/21

Reviewed By: 

DB Budget Director

Date: 3/16/21

TO: Michelle Greenbaum, Assistant County Attorney
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM 
Director of Environmental Planning

DATE: February 26, 2021

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:
B0082 LOW RISE BUILDING RENOVATION, WHITE PLAINS**

PROJECT/ACTION: Per Capital Project Fact Sheet as approved by the Planning Department on 2/22/2021 (Unique ID: 1576)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(2):** replacement, rehabilitation or reconstruction of a structure or facility, in kind, on the same site, including upgrading buildings to meet building, energy, or fire codes unless such action meets or exceeds any of the thresholds in section 617.4 of this Part.

COMMENTS: None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff
Paula Friedman, Assistant to the County Executive
Tami Altschiller, Assistant Chief Deputy County Attorney
Gideon Grande, Deputy Budget Director
Lorraine Yazzetta, Associate Budget Director
Anthony Zaino, Assistant Commissioner
William Brady, Chief Planner
Michael Lipkin, Associate Planner
Claudia Maxwell, Associate Environmental Planner

Department of Planning

432 Michaelian Office Building
White Plains, NY 10601

To: The Westchester County Planning Board

From: Anthony Zaino, Assistant Commissioner

Date: February 23, 2021



RE: **Capital Budget Amendment –
B0082 Low Rise Building Renovations, White Plains**

The County Executive is requesting an amendment to the 2021 Capital Budget to modify the funding of the above project. This project provides funding for construction and construction management associated with the renovations of the third floor in the Low Rise Building including the offices of the County Clerk.

A Capital Budget Amendment is requested to add a 2021 appropriation of \$9,015,000.

The Planning Board adopted a report on this project (rated PL1) at its meeting on July 11, 2006.

There are no changes to the physical planning aspects of this project as reviewed by the Board; therefore, no further action by your Board is necessary.

cc: Norma V. Drummond, Commissioner
David Kvinge, Director, Environmental Planning
William Brady, Chief Planner
Michael Lipkin, Associate Planner

An Act amending the 2021 County
Capital Budget Appropriations for
Capital Project - B0082 - Low Rise
Building Renovation, White Plains

BE IT ENACTED by the Board of Legislators of the County of Westchester as follows:

Section 1. The Capital section of the 2021 County Budget is hereby amended as follows:

	Previous 2021 Appropriation	Change	Revised 2021 Appropriation
I. Appropriation	\$12,450,000	\$9,015,000	\$21,465,000

Section 2. The estimated method of financing in the Capital Section of the 2021 Westchester County Capital Budget is amended as follows:

II. METHOD OF FINANCING

Bonds and/or Notes	\$12,450,000	\$9,015,000	\$21,465,000
Non County Shares	\$0		\$0
Cash	\$0		\$0
Total	\$12,450,000	\$9,015,000	\$21,465,000

Section 3. The ACT shall take effect immediately.

ACT NO. -20_____

BOND ACT OF THE COUNTY OF WESTCHESTER, NEW YORK, AMENDING THE BOND ACT ADOPTED OCTOBER 5, 2015 IN RELATION TO THE CONSTRUCTION OF RENOVATIONS OF THE THIRD FLOOR OF THE LOW RISE BUILDING, AT THE MAXIMUM ESTIMATED COST OF \$17,345,000. (Adopted _____, 20_____).

WHEREAS, this Board has heretofore duly authorized the issuance of \$700,000 bonds to finance the cost of preparation of surveys, preliminary and detailed plans, specifications and estimates necessary for the construction of renovations to the third floor of the Low Rise Building, pursuant to Act No. 179-2015 duly adopted on October 5, 2015; and

WHEREAS, it is now appropriate to authorize such improvements, and it is necessary to increase the amount of bonds to be issued and the appropriation for such project for estimated cost of such improvement;

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER, NEW YORK (by the affirmative vote of not less than two-thirds of the voting strength of said Board), AS FOLLOWS:

Section (A). The bond act duly adopted by this Board on October 5, 2015, entitled:

“ACT NO. 179-2015

BOND ACT AUTHORIZING THE ISSUANCE OF \$700,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE

COST OF PREPARATION OF SURVEYS, PRELIMINARY AND DETAILED PLANS, SPECIFICATIONS AND ESTIMATES NECESSARY FOR PLANNING THE RENOVATIONS OF THE THIRD FLOOR OF THE LOW RISE BUILDING; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$700,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$700,000 BONDS HEREIN AUTHORIZED; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS.”

is hereby amended to read as follows:

BOND ACT AUTHORIZING THE ISSUANCE OF \$17,345,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF THE CONSTRUCTION OF RENOVATIONS TO THE THIRD FLOOR OF THE LOW RISE BUILDING INCLUDING THE OFFICES OF THE COUNTY CLERK, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$17,345,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$17,345,000 BONDS HEREIN AUTHORIZED; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted , 20____)

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER, NEW YORK (by the affirmative vote of not less than two-thirds of the voting strength of said Board), AS FOLLOWS:

Section 1. Pursuant to the provisions of the Local Finance Law, constituting Chapter 33-a of the Consolidated Laws of the State of New York (the “Law”), the Westchester County Administrative Code, being Chapter 852 of the Laws of 1948, as amended, and to the provisions of other laws applicable thereto; \$17,345,000 bonds of the County, or so much thereof

as may be necessary, are hereby authorized to be issued to finance the design, construction and construction management of renovations to the third floor of the Low Rise Building including the offices of the County Clerk, all as set forth in the County's Current Year Capital Budget, as amended. To the extent that the details set forth in this act are inconsistent with any details set forth in the Current Year Capital Budget of the County, such Budget shall be deemed and is hereby amended. The estimated maximum cost of said specific object or purpose, including preliminary costs and costs incidental thereto and the financing thereof is \$17,345,000. The plan of financing includes the issuance of \$17,345,000 bonds herein authorized; and any bond anticipation notes issued in anticipation of the sale of such bonds and the levy of a tax to pay the principal of and interest on said bonds and notes.

Section 2. The period of probable usefulness of the specific object or purpose for which said \$17,345,000 bonds authorized by this Act are to be issued, within the limitations of Section 11.00 a. 12(a)(1) of the Law, is twenty-five (25) years;

Section 3. Current funds are not required to be provided as a down payment pursuant to Section 107.00 d. 9. of the Law prior to issuance of the bonds authorized herein, or any bond anticipation notes issued in anticipation of the sale of such bonds. The County intends to finance, on an interim basis, the costs or a portion of the costs of said improvements for which bonds are herein authorized, which costs are reasonably expected to be reimbursed with the proceeds of debt to be incurred by the County, pursuant to this Act, in the maximum amount of \$17,345,000. This Act is a declaration of official intent adopted pursuant to the requirements of Treasury Regulation Section 1.150-2.

Section 4. The estimate of \$17,345,000 as the estimated maximum cost of the aforesaid specific object or purpose is hereby approved.

Section 5. Subject to the provisions of this Act and of the Law, and pursuant to the provisions of §30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals thereof, and of §§50.00, 56.00 to 60.00 and 168.00 of said Law, the powers and duties of the County Board of Legislators relative to authorizing the issuance of any notes in anticipation of the sale of the bonds herein authorized, or the renewals thereof, relative to providing for substantially level or declining annual debt service, relative to prescribing the terms, form and contents and as to the sale and issuance of the respective amounts of bonds herein authorized, and of any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and relative to executing agreements for credit enhancement, are hereby delegated to the Commissioner of Finance of the County, as the chief fiscal officer of the County.

Section 6. Each of the bonds authorized by this Act and any bond anticipation notes issued in anticipation of the sale thereof shall contain the recital of validity prescribed by §52.00 of said Local Finance Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County of Westchester, payable as to both principal and interest by general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and provision shall be made annually in the budgets of the County by appropriation for (a) the amortization and redemption of the notes and bonds to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 7. The validity of the bonds authorized by this Act and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

(a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or

(b) the provisions of law which should be complied with at the date of the publication of this Act or a summary hereof, are not substantially complied with,

and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or

(c) such obligations are authorized in violation of the provisions of the Constitution.

Section 8. This Act shall take effect in accordance with Section 107.71 of the Westchester County Charter.

Section (B). The amendment of the bond act set forth in Section (A) of this act shall in no way affect the validity of the liabilities incurred, obligations issued, or action taken pursuant to said bond act, and all such liabilities incurred, obligations issued, or action taken shall be deemed to have been incurred, issued or taken pursuant to said bond act, as so amended.

Section (C). This Act shall take effect in accordance with Section 107.71 of the Westchester County Charter.

* * *

STATE OF NEW YORK)
 : ss.:
COUNTY OF NEW YORK)

I HEREBY CERTIFY that I have compared the foregoing Act No. -20____ with the original on file in my office, and that the same is a correct transcript therefrom and of the whole of the said original Act, which was duly adopted by the County Board of Legislators of the County of Westchester on _____, 20____ and approved by the County Executive on _____, 20____.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said County Board of Legislators this _____ day of _____, 20____.

(SEAL)

The Clerk and Chief Administrative Office of the
County Board of Legislators County of Westchester,
New York

LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on October 5, 2015 and amended on _____, 20____ and approved, as amended, by the County Executive on _____, 20____ and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the amended Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. _____-20_____

BOND ACT AUTHORIZING THE ISSUANCE OF \$17,345,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF THE CONSTRUCTION OF RENOVATIONS TO THE THIRD FLOOR OF THE LOW RISE BUILDING INCLUDING THE OFFICES OF THE COUNTY CLERK, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$17,345,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$17,345,000 BONDS HEREIN AUTHORIZED; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (adopted on October 5, 2015 and amended on _____, 20____)

object or purpose: to finance the design, construction and construction management of renovations to the third floor of the Low Rise Building including the offices of the County Clerk, all as set forth in the County's Current Year Capital Budget, as amended.

amount of obligations to be issued:

and period of probable usefulness: \$17,345,000; twenty-five (25) years

Dated: _____, 20____
White Plains, New York

Clerk and Chief Administrative Officer of the County Board of
Legislators of the County of Westchester, New York

Project ID:*

B0082

☒ CBA**Fact Sheet Date:***

01-04-2021

Fact Sheet Year:*

2021

Project Title:*LOW RISE BUILDING RENOVATION, 8,
WHITE PLAINS**Legislative District ID:****Category***BUILDINGS, LAND &
MISCELLANEOUS**Department:***

PUBLIC WORKS

CP Unique ID:

1576

Overall Project Description

This project involves the renovation of the Low Rise Building in White Plains.

☒ Best Management Practices☒ Energy Efficiencies☒ Infrastructure☒ Life Safety☐ Project Labor Agreement☐ Revenue☐ Security☐ Other**FIVE-YEAR CAPITAL PROGRAM (in thousands)**

	Estimated Ultimate Total Cost	Appropriated	2021	2022	2023	2024	2025	Under Review
Gross	21,465	9,700	2,750	0	0	0	0	9,015
Less Non-County Shares	0	0	0	0	0	0	0	0
Net	21,465	9,700	2,750	0	0	0	0	9,015

Expended/Obligated Amount (in thousands) as of : 1,475**Current Bond Description:** Funding is requested for construction management and construction associated with the renovations of the third floor in the Low Rise Building including the offices of the County Clerk.**Financing Plan for Current Request:**

Non-County Shares:	\$ 0
Bonds/Notes:	16,645,000
Cash:	0
Total:	\$ 16,645,000

SEQR Classification:

TYPE II

Amount Requested:

16,645,000

Comments:

A Capital Budget Amendment in the amount of \$9,015,000 (shown under review) is being requested to provide additional funding based upon the current cost estimates. (Amend BA #179-2015)

Energy Efficiencies:

THE RENOVATIONS WILL INCLUDE LED LIGHTING AND WATER-EFFICIENT FIXTURES IN THE RESTROOMS.

Appropriation History:

Year	Amount	Description
2006	1,370,000	DESIGN - COUNTY CLERK; DESIGN AND CONSTRUCTION - DISTRICT ATTORNEY'S OFFICES
2007	8,330,000	RENOVATION OF 35,000 SQ FT OF OFFICE SPACE AT THE COUNTY CLERKS' OFFICE

Total Appropriation History:

9,700,000

Year	Bond Act #	Amount	Issued	Description
06	212	620,000	286,497	PRELIMS: LOW RISE BUILDING REHAB.- COUNTY CLERK'S OFFICE
06	E-212	-285,593	-285,593	
06	213	750,000	750,000	PARTIAL RECON. OF DISTRICT ATTORNEY'S OFFICE
15	179	700,000	401,438	LOW RISE BUILDING RENOVATION, WHITE PLAINS

Total Financing History:

1,784,407

Recommended By:

Department of Planning
WBB4

Date
02-22-2021

Department of Public Works
RJB4

Date
02-22-2021

Budget Department
LMY1

Date
02-24-2021

Requesting Department
RJB4

Date
02-24-2021

LOW RISE BUILDING RENOVATION, WHITE PLAINS (B0082)

User Department : Public Works

Managing Department(s) : Public Works ;

Estimated Completion Date: TBD

Planning Board Recommendation: Project without physical planning aspects of concern to the Westchester County Planning Board.

FIVE YEAR CAPITAL PROGRAM (in thousands)

	Est Ult Cost	Appropriated	Exp / Obl	2021	2022	2023	2024	2025	Under Review
Gross	12,450	9,700	1,600	2,750					
Non County Share									
Total	12,450	9,700	1,600	2,750					

Project Description

This project involves the renovation of the Low Rise Building in White Plains.

Current Year Description

The current year request funds improvements to the first and second floors of the building, in addition to supplemental HVAC upgrades.

Current Year Financing Plan

Year	Bonds	Cash	Non County Shares	Total
2021	2,750,000			2,750,000

Impact on Operating Budget

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

Appropriation History

Year	Amount	Description	Status
2006	1,370,000	Design - County Clerk; Design and construction - District Attorney's offices	COMPLETE
2007	8,330,000	Renovation of 35,000 sq ft of office space at the County Clerks' office	\$700,000 - DESIGN ; \$7,630,000 - AWAITING BOND AUTHORIZATION
Total	9,700,000		

Prior Appropriations

	Appropriated	Collected	Uncollected
Bond Proceeds	9,700,000	1,437,935	8,262,065
Total	9,700,000	1,437,935	8,262,065


LOW RISE BUILDING RENOVATION, WHITE PLAINS (B0082)

Bonds Authorized

Bond Act	Amount	Date Sold	Amount Sold	Balance
212 06	620,000	10/24/12	147,917	333,503
		10/24/12	15,083	
		10/24/12	1,247	
		11/19/15	1,675	
		11/19/15	325	
		11/19/15	3	
		04/30/20	120,247	
213 06	750,000	12/02/10	750,000	
		12/02/10	(750,000)	
		11/30/11	655,442	
		11/30/11	94,558	
179 15	700,000	12/10/19	57,017	298,562
		12/10/19	11,260	
		04/30/20	333,161	
E-212 06	(285,593)			(285,593)
Total	1,784,407		1,437,935	346,472

March 19, 2021

TO: Hon. Benjamin Boykin, Chair
Hon. Alfreda Williams, Vice Chair
Hon. MaryJane Shimsky, Majority Leader
Hon. Margaret Cunzio, Minority Leader

FROM: George Latimer 
Westchester County Executive

RE: Message Requesting Immediate Consideration: **CBA & Amended Bond Act – B0104 – Low Rise Building Improvements, White Plains '15-'19.**

This will confirm my request that the Board of Legislators allow submission of the referenced communication to be submitted to the Board of Legislators March 22, 2021 Agenda.

Transmitted herewith for your review and approval is an Act which, if adopted, would authorize the County of Westchester ("County") to amend its current year Capital Budget (the "Capital Budget Amendment"), as well as adopt a related amended Bond Act ("Bond Act") to finance the following capital project: B0104.

Therefore, since this communication is of the utmost importance, it is respectfully submitted that the County Board of Legislators accepts this submission for March 22, 2021 "blue sheet" calendar.

Thank you for your prompt attention to this matter.



George Latimer
County Executive

March 3, 2021

Westchester County Board of Legislators
800 Michaelian Office Building
White Plains, New York 10601

Dear Members of the Board of Legislators:

Transmitted herewith for your review and approval is an Act which, if adopted, would authorize the County of Westchester ("County") to amend its current year Capital Budget ("Capital Budget Amendment"), as well to as adopt a related amended Bond Act ("Bond Act") to finance the following capital project:

B0104 – Low Rise Building Improvements, White Plains (2015 – 2019) ("B0104").

The proposed Capital Budget Amendment will amend the County's capital budget to increase the appropriation for B0104 by \$7,260,000. The Department of Public Works and Transportation ("Department") has advised that additional funding is required based upon the current scope and cost estimate for the project. The work will now also include renovation of lobbies and hallways as well as installation of a fire suppression system on the first and second floors in County's Low Rise Building located at 110 Dr. Martin Luther King Jr. Boulevard in White Plains ("Low Rise Building"). The companion capital project (B0082) provides for installation of a fire suppression system on the third floor. These components were not contemplated in the original scope of work.

The Bond Act, in the total amount of \$10,360,000 which includes \$250,000 in previously authorized bonds of the County, would finance the cost of infrastructure improvements at the Low Rise Building, including upgrades to the building mechanical systems, installation of fire sprinklers (suppression system) throughout the first and second floors, renovations to the lobbies and hallways of the first and second floors and associated work.

The Department has advised that the Low Rise Building mechanical systems have exceeded their useful life and do not meet the current HVAC demands of the building. In addition, due to the extensive scope of the renovations, it is now necessary to add a fire suppression system to the building.

Design is currently being undertaken by a consultant and is expected to be completed by the third quarter of 2021. It is anticipated that construction will take approximately twelve months and will begin after award and execution of the construction contracts.

Office of the County Executive

Michaelian Office Building
118 Martine Avenue
White Plains, New York 10601

Telephone: (914) 995-2900 E-mail: ceo@westchestergov.com

It should be noted that your Honorable Board has authorized the County to issue bonds for prior components of B0104 as follows: Bond Act No. 181-2015 in the amount of \$250,000 to finance design of B0104. These bonds have not been sold. Accordingly, it is now requested that Bond Act No. 181-2015 be amended to increase the initial amount authorized by \$10,110,000, for a total authorized amount, as amended, of \$10,360,000, to revise the scope of Bond Act No. 181-2015 to include work associated with the construction phase of the project, and to increase the period of probable usefulness of said bonds.

The Planning Department has advised that based on its review, the above-referenced capital project has been classified as a "Type II" action pursuant to the State Environmental Quality Review Act ("SEQR") and its implementing regulations, 6 NYCRR Part 617. Therefore, no further environmental review is required. As you know, your Honorable Board may use such expert advice to reach its own conclusion.

The Planning Department has advised that the Planning Board has previously reviewed this project and issued a report, and that since there is no change in the scope of the work and this is simply a change in the financing plan, no further action by the Planning Board is necessary at this time.

Based on the importance of this project to the County, favorable action on the proposed Bond Act and Capital Budget Amendment is respectfully requested.

Sincerely,

A handwritten signature in dark ink, appearing to read "George Latimer", with a stylized flourish at the end.

George Latimer
County Executive

**HONORABLE BOARD OF LEGISLATORS
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmission from the County Executive recommending approval by the County of Westchester (“County”) of an act amending the County’s current-year Capital Budget (“Capital Budget Amendment”), as well as adoption of a related amended bond act (“Bond Act”) which, if adopted, will authorize the County to issue up to \$10,110,000 in additional bonds of the County to finance capital project B0104 – Low Rise Building Improvements, White Plains (2015 – 2019) (“B0104”).

Your Committee is advised that the Capital Budget Amendment will amend the County’s capital budget to increase the appropriation for B0104 by \$7,260,000. The Department of Public Works and Transportation (“Department”) has advised that additional funding is required based upon the current scope and cost estimate for the project. The work will now also include renovation of lobbies and hallways as well as installation of a fire suppression system on the first and second floors in County’s Low Rise Building located at 110 Dr. Martin Luther King Jr. Boulevard in White Plains (“Low Rise Building”). The companion capital project (B0082) provides for installation of a fire suppression system on the third floor. These components were not contemplated in the original scope of work.

The related Bond Act in the total amount of \$10,360,000 was prepared by the law firm Harris Beach, PLLC, and includes \$250,000 in previously authorized bonds of the County. The Bond Act would finance the cost of infrastructure improvements at the Low Rise Building, including upgrades to the building mechanical systems, installation of fire sprinklers (suppression system) throughout the first and second floors, renovations to the lobbies and hallways of the first and second floors and associated work.

The Department has advised that the Low Rise Building mechanical systems have exceeded their useful life and do not meet the current HVAC demands of the building. In addition, due to the extensive scope of the renovations, it is now necessary to add a fire suppression system to the building.

Design is currently being undertaken by a consultant and is expected to be completed by the third quarter of 2021. It is anticipated that construction will take approximately twelve months and will begin after award and execution of the construction contracts.

It should be noted that your Honorable Board has authorized the County to issue bonds for prior components of B0104 as follows: Bond Act No. 181-2015 in the amount of \$250,000 to finance design of B0104. These bonds have not been sold. Accordingly, it is now requested that Bond Act No. 181-2015 be amended to increase the initial amount authorized by \$10,110,000, for a total authorized amount, as amended, of \$10,360,000, to revise the scope of Bond Act No. 181-2015 to include work associated with the construction phase of the project, and to increase the period of probable usefulness of said bonds.

The Planning Department has advised your Committee that based on its review, the above-referenced capital project has been classified as a Type “II” action pursuant to the State Environmental Quality Review Act (“SEQR”) and its implementing regulations, 6 NYCRR Part 617. Therefore, no further environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this conclusion.

The Planning Department has advised your Committee that the Planning Board has previously reviewed this project and issued a report, and that since there is no change in the scope of the work and this is simply a change in the financing plan, no further action by the Planning Board is necessary at this time.

Your Committee has carefully considered the proposed Capital Budget Amendment, as well as the related Bond Act, and recommends approval of both of the proposed Acts, noting that the Bond Act can only be enacted following adoption of the Capital Budget Amendment. It should be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to amend the County's Capital Budget and to adopt the Bond Act.

Dated: _____, 20____.
White Plains, New York

FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: B0104

☐ NO FISCAL IMPACT PROJECTED

SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☒ GENERAL FUND

☐ AIRPORT FUND

☐ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☒ Current Appropriations

☒ Capital Budget Amendment

SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 10,360,000 PPU 15 Anticipated Interest Rate 1.14%

Anticipated Annual Cost (Principal and Interest): \$ 753,811

Total Debt Service (Annual Cost x Term): \$ 11,307,165

Finance Department: Interest rates from February 25, 2021 Bond Buyer - ASBA

SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations
(describe in detail for current and next four years):

SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded: 113

SECTION E - EXPECTED DESIGN WORK PROVIDER

☐ County Staff

☒ Consultant

☐ Not Applicable

Prepared by: Robert Abbamont

Title: Prog Coord (Capital Planning)

Department: Public Works/Transportation


Date: 3/11/21

Reviewed By: 

Dep. Budget Director

Date: 3/16/21

TO: Michelle Greenbaum, Assistant County Attorney
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM 
Director of Environmental Planning

DATE: February 26, 2021

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:
B0104 Low Rise Building Improvements, White Plains (2015-2019)**

PROJECT/ACTION: Per Capital Project Fact Sheet as approved by the Planning Department on 2/22/2021 (Unique ID: 1577)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(2):** replacement, rehabilitation or reconstruction of a structure or facility, in kind, on the same site, including upgrading buildings to meet building, energy, or fire codes unless such action meets or exceeds any of the thresholds in section 617.4 of this Part.

COMMENTS: None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff
Paula Friedman, Assistant to the County Executive
Tami Altschiller, Assistant Chief Deputy County Attorney
Gideon Grande, Deputy Budget Director
Lorraine Yazzetta, Associate Budget Director
Anthony Zaino, Assistant Commissioner
William Brady, Chief Planner
Michael Lipkin, Associate Planner
Claudia Maxwell, Associate Environmental Planner

Department of Planning

432 Michaelian Office Building
White Plains, NY 10601

To: The Westchester County Planning Board

From: Anthony Zaino, Assistant Commissioner



Date: February 23, 2021

RE: **Capital Budget Amendment –
B0104 Low Rise Building Improvements, White Plains (2015-2019)**

The County Executive is requesting an amendment to the 2021 Capital Budget to modify the funding of the above project. This project provides funding for construction and construction management associated with the HVAC infrastructure improvements at the Low Rise Building in White Plains.

A Capital Budget Amendment is requested to add a 2021 appropriation of \$7,260,000.

The Planning Board adopted a report on this project (rated PL1) at its meeting on July 2, 2019.

There are no changes to the physical planning aspects of this project as reviewed by the Board; therefore, no further action by your Board is necessary.

cc: Norma V. Drummond, Commissioner
David Kvinge, Director, Environmental Planning
William Brady, Chief Planner
Michael Lipkin, Associate Planner

ACT No. _____ 2021

An Act amending the 2021 County
Capital Budget Appropriations for
Capital Project - B0104 - Low Rise
Building Improvements, White Plains
(2015-2019)

BE IT ENACTED by the Board of Legislators of the County of Westchester as follows:

Section 1. The Capital section of the 2021 County Budget is hereby amended as follows:

	Previous 2021 Appropriation	Change	Revised 2021 Appropriation
I. Appropriation	\$3,100,000	\$7,260,000	\$10,360,000

Section 2. The estimated method of financing in the Capital Section of the 2021 Westchester County Capital Budget is amended as follows:

II. METHOD OF FINANCING

Bonds and/or Notes	\$3,100,000	\$7,260,000	\$10,360,000
Non County Shares	\$0		\$0
Cash	\$0		\$0
Total	\$3,100,000	\$7,260,000	\$10,360,000

Section 3. The ACT shall take effect immediately.

ACT NO. -20__

BOND ACT OF THE COUNTY OF WESTCHESTER, NEW YORK, AMENDING THE BOND ACT ADOPTED OCTOBER 5, 2015 IN RELATION TO FINANCING THE COST OF INFRASTRUCTURE IMPROVEMENTS AT THE LOW RISE BUILDING IN WHITE PLAINS; AT THE TOTAL ESTIMATED COST OF \$10,360,000. (Adopted , 20__).

WHEREAS, this Board has heretofore duly authorized the issuance of bonds to finance planning HVAC infrastructure improvements at the Low Rise Building located at 110 Dr. Martin Luther King Jr. Boulevard in White Plains, at the estimated maximum cost of \$250,000, pursuant to Act No. 181-2015 duly adopted on October 5, 2015, and it has now been determined that the period of probable usefulness for such planning may be increased so that it shall be equal to the period of probable usefulness for such improvements; and

WHEREAS, it is now appropriate to authorize and expand the scope of such improvements, and it is necessary to increase the appropriation for such project by \$10,110,000 for estimated costs of such improvements;

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER, NEW YORK (by the affirmative vote of not less than two-thirds of the voting strength of said Board), AS FOLLOWS:

Section (A). The bond act duly adopted by this Board on October 5, 2015, entitled:

“(BOND) ACT NO. 181-2015

BOND ACT AUTHORIZING THE ISSUANCE OF \$250,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF PLANNING HVAC INFRASTRUCTURE IMPROVEMENTS AT THE LOW RISE BUILDING IN WHITE PLAINS; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$250,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$250,000 BONDS HEREIN AUTHORIZED TO FINANCE SUCH COST; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS.”

is hereby amended to read as follows:

BOND ACT AUTHORIZING THE ISSUANCE OF \$10,360,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF INFRASTRUCTURE IMPROVEMENTS AT THE LOW RISE BUILDING IN WHITE PLAINS; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$10,360,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$10,360,000 BONDS HEREIN AUTHORIZED TO FINANCE SUCH COST; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS.

(Adopted , 20__)

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER, NEW YORK (by the affirmative vote of not less than two-thirds of the voting strength of said Board), AS FOLLOWS:

Section 1. Pursuant to the provisions of the Local Finance Law, constituting Chapter 33-a of the Consolidated Laws of the State of New York (the "Law"), the Westchester County Administrative Code, being Chapter 852 of the Laws of 1948, as amended, to the provisions of other laws applicable thereto, \$10,360,000 bonds of the County, or so much thereof as may be necessary, are hereby authorized to be issued to finance the cost of infrastructure improvements at the Low Rise Building located at 110 Dr. Martin Luther King Jr. Boulevard in White Plains, including upgrades to the building mechanical systems, installation of fire sprinklers (suppression system) throughout the first and second floors, renovations to the lobbies and hallways of the first and second floors and associated work; all as set forth in the County's current year Capital Budget, as amended. To the extent that the details set forth in this act are inconsistent

with any details set forth in the current year Capital Budget of the County, such Budget shall be deemed and is hereby amended. The estimated maximum cost of said objects or purposes, including preliminary costs and costs incidental thereto and the financing thereof is \$10,360,000. The plan of financing includes the issuance of \$10,360,000 bonds herein authorized, and any bond anticipation notes issued in anticipation of the sale of such bonds, and the levy of a tax to pay the principal of and interest on said bonds.

Section 2. The existing building is of at least Class "B" construction as defined by Section 11.00 a. 11. (b) of the Law, and the period of probable usefulness applicable to the specific object or purpose for which the bonds authorized by this resolution is to be issued, within the limitations of Section 11.00 a. 12.(a)(2) of the Law, is fifteen (15) years.

Section 3. Current funds are not required to be provided as a down payment pursuant to Section 107.00 d. 9. of the Law prior to issuance of the bonds authorized herein, or any bond anticipation notes issued in anticipation of the sale of such bonds. The County intends to finance, on an interim basis, the costs or a portion of the costs of said improvements for which bonds are herein authorized, which costs are reasonably expected to be reimbursed with the proceeds of debt to be incurred by the County, pursuant to this Act, in the maximum amount of \$10,360,000. This Act is a declaration of official intent adopted pursuant to the requirements of Treasury Regulation Section 1.150-2.

Section 4. Subject to the provisions of this Act and of the Law, and pursuant to the provisions of §30.00 relative to the authorization of the issuance of bond anticipation notes

or the renewals thereof, and of §§50.00, 56.00 to 60.00 and 168.00 of said Law, the powers and duties of the County Board of Legislators relative to authorizing the issuance of any notes in anticipation of the sale of the bonds herein authorized, or the renewals thereof, relative to providing for substantially level or declining annual debt service, relative to prescribing the terms, form and contents and as to the sale and issuance of the respective amounts of bonds herein authorized, and of any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and relative to executing agreements for credit enhancement, are hereby delegated to the Commissioner of Finance of the County, as the chief fiscal officer of the County.

Section 5. Each of the bonds authorized by this Act and any bond anticipation notes issued in anticipation of the sale thereof shall contain the recital of validity prescribed by §52.00 of said Local Finance Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County of Westchester, payable as to both principal and interest by general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and provision shall be made annually in the budgets of the County by appropriation for (a) the amortization and redemption of the notes and bonds to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 6. The validity of the bonds authorized by this Act and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

(a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or

(b) the provisions of law which should be complied with at the date of the publication of this Act or a summary hereof, are not substantially complied with,

and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or

(c) such obligations are authorized in violation of the provisions of the Constitution.

Section 7. This Act shall take effect in accordance with Section 107.71 of the Westchester County Charter.

Section (B). The amendment of the bond act set forth in Section (A) of this act shall in no way affect the validity of the liabilities incurred, obligations issued, or action taken pursuant to said bond act, and all such liabilities incurred, obligations issued, or action taken shall be deemed to have been incurred, issued or taken pursuant to said bond act, as so amended.

Section (C). This Act shall take effect in accordance with Section 107.71 of the Westchester County Charter.

* * *

STATE OF NEW YORK)
 : ss.:
COUNTY OF NEW YORK)

I HEREBY CERTIFY that I have compared the foregoing Act No. -20__ with the original on file in my office, and that the same is a correct transcript therefrom and of the whole of the said original Act, which was duly adopted by the County Board of Legislators of the County of Westchester on , 20__ and approved by the County Executive on , 20__.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said County Board of Legislators this day of , 20__.

(SEAL) The Clerk and Chief Administrative Officer of the
County Board of Legislators
County of Westchester, New York

LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on October 5, 2015 and amended on _____, 20__ and approved, as amended, by the County Executive on _____, 20__ and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the amended Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. _____-20__

BOND ACT AUTHORIZING THE ISSUANCE OF \$10,360,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF INFRASTRUCTURE IMPROVEMENTS AT THE LOW RISE BUILDING IN WHITE PLAINS; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$10,360,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$10,360,000 BONDS HEREIN AUTHORIZED TO FINANCE SUCH COST; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted _____, 20__)

object or purpose: to finance the cost of infrastructure improvements at the Low Rise Building located at 110 Dr. Martin Luther King Jr. Boulevard in White Plains, including upgrades to the building mechanical systems, installation of fire sprinklers (suppression system) throughout the first and second floors, renovations to the lobbies and hallways of the first and second floors and associated work; all as set forth in the County's 20__ Capital Budget, as amended

amount of obligations to be issued:

and period of probable usefulness: \$10,360,000; fifteen (15) years

Dated: _____, 20__
White Plains, New York

Clerk and Chief Administrative Officer of the County Board of
Legislators of the County of Westchester, New York

Project ID:*

B0104

☒ CBA

Fact Sheet Date:*

01-04-2021

Fact Sheet Year:*

2021

Project Title:*

LOW RISE BUILDING
IMPROVEMENTS, WHITE PLAINS
(2015-2019)

Legislative District ID:

8,

Category*

BUILDINGS, LAND &
MISCELLANEOUS

Department:*

PUBLIC WORKS

CP Unique ID:

1577

Overall Project Description

This project will fund the design and construction of various infrastructure improvements at the Low Rise Building. Rehabilitation will include but not limited to masonry repairs, window and door replacement/repairs, waterproofing/cleaning, flooring repairs, painting, security & lighting systems, site renovations, walkways and driveway repairs, plumbing, data/telephone, HVAC, lighting, and electrical distribution systems.

☒ Best Management Practices☒ Energy Efficiencies☒ Infrastructure☒ Life Safety☐ Project Labor Agreement☐ Revenue☐ Security☐ Other**FIVE-YEAR CAPITAL PROGRAM (in thousands)**

	Estimated Ultimate Total Cost	Appropriated	2021	2022	2023	2024	2025	Under Review
Gross	10,360	3,100	0	0	0	0	0	7,260
Less Non-County Shares	0	0	0	0	0	0	0	0
Net	10,360	3,100	0	0	0	0	0	7,260

Expended/Obligated Amount (in thousands) as of : 184

Current Bond Description: Funding is requested for construction management and construction associated with the infrastructure improvements at the Low Rise Building in White Plains.

Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	10,110,000
Cash:	0
Total:	<u>\$ 10,110,000</u>

SEQR Classification:

TYPE II

Amount Requested:

10,110,000

Comments:

A Capital Budget Amendment in the amount of \$7,260,000 (shown under review) is being requested to provide additional funding based upon the current cost estimates. (Amend BA #181-2015)

Energy Efficiencies:

THE HVAC INFRASTRUCTURE WILL UTILIZE ENERGY EFFICIENT EQUIPMENT WHICH WILL BE INTEGRATED INTO THE BUILDING MANAGEMENT SYSTEM.

Year	Amount	Description
2015	2 850,000	HVAC INFRASTRUCTURE IMPROVEMENTS
2020	250,000	COST ESCALATION

Total Appropriation History:

3,100,000

Financing History:

Year	Bond Act #	Amount	Issued	Description
15	181	250,000	0	LOW RISE BUILDING IMPROVEMENTS, WHITE PLAINS (2015-2019)

Total Financing History:

250,000

Recommended By:

Department of Planning

WBB4

Date

02-22-2021

Department of Public Works

RJB4

Date

02-25-2021

Budget Department

LMY1

Date

02-25-2021

Requesting Department

RJB4

Date

02-25-2021

LOW RISE BUILDING IMPROVEMENTS, WHITE PLAINS (2015-2019) (B0104)

User Department : Public Works

Managing Department(s) : Public Works ;

Estimated Completion Date: TBD

Planning Board Recommendation: Project without physical planning aspects of concern to the Westchester County Planning Board.

FIVE YEAR CAPITAL PROGRAM (in thousands)

	Est Ult Cost	Appropriated	Exp / Obl	2021	2022	2023	2024	2025	Under Review
Gross	3,100	3,100	184						
Non County Share									
Total	3,100	3,100	184						

Project Description

This project will fund the design and construction of various infrastructure improvements at the Low Rise Building. Rehabilitation will include but not limited to masonry repairs, window and door replacement/repairs, waterproofing/cleaning, flooring repairs, painting, security & lighting systems, site renovations, walkways and driveway repairs, plumbing, data/telephone, HVAC, lighting, and electrical distribution systems.

Current Year Description

There is no current year request.

Impact on Operating Budget

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

Appropriation History

Year	Amount	Description	Status
2015	2,850,000	HVAC Infrastructure Improvements	\$250,000 - DESIGN; \$2,600,000 - AWAITING BOND AUTHORIZATION
2020	250,000	Cost escalation	
Total	3,100,000		

Prior Appropriations

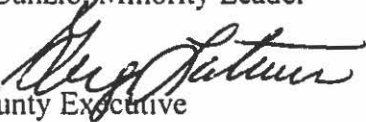
	Appropriated	Collected	Uncollected
Bond Proceeds	3,100,000		3,100,000
Total	3,100,000		3,100,000

Bonds Authorized

Bond Act	Amount	Date Sold	Amount Sold	Balance
181 15	250,000			250,000
Total	250,000			250,000

March 19, 2021

TO: Hon. Benjamin Boykin, Chair
Hon. Alfreda Williams, Vice Chair
Hon. MaryJane Shimsky, Majority Leader
Hon. Margaret Cunzio, Minority Leader

FROM: George Latimer 
Westchester County Executive

RE: Message Requesting Immediate Consideration: **CBA & Bond Act – BSS13 – Infrastructure Improvements to Shelter Facilities – Vernon Plaza, Mount Vernon.**

This will confirm my request that the Board of Legislators allow submission of the referenced communication to be submitted to the Board of Legislators March 22, 2021 Agenda.

Transmitted herewith for your review and approval is an Act to amend the current-year capital budget (the “Capital Budget Amendment”) as well as a related bond act (the “Bond Act”) in connection with the following capital project: BSS13.

Therefore, since this communication is of the utmost importance, it is respectfully submitted that the County Board of Legislators accepts this submission for March 22, 2021 “blue sheet” calendar.

Thank you for your prompt attention to this matter.



George Latimer
County Executive

March 10, 2021

Westchester County Board of Legislators
800 Michaelian Office Building
White Plains, New York 10601

Dear Members of the Board of Legislators:

Transmitted herewith for your review and approval is an Act to amend the current-year capital budget ("Capital Budget Amendment") as well as a related bond act (the "Bond Act"), which if adopted, would authorize the County of Westchester ("County") to issue up to \$1,700,000 in bonds of the County to finance a component of the following capital project:

BSS13 – Infrastructure Improvements to Shelter Facilities – Vernon Plaza Mt. Vernon ("BSS13").

The proposed Capital Budget Amendment will amend the County's current-year capital budget to increase the County funds for this project by \$173,000.

The Bond Act, in the total amount of \$1,700,000, would finance the cost of design, construction management and construction associated with the replacement of the existing boilers, burners, boiler controls, radiator control valves, steam traps; upgrades to the boiler room; and associated work at the Vernon Plaza Center in Mt. Vernon.

The Department of Public Works and Transportation ("Department") has advised that the cast iron boiler sections used to heat the building are cracked at several locations and are beyond repair. As a consequence, the facility is currently operating on temporary boilers and replacement boilers are desperately needed.

The Department has further advised that upon bonding authorization, design shall begin by in-house staff and is expected to be completed in two (2) months. It is anticipated that construction will take approximately six (6) months and shall begin after award and execution of the construction contracts.

It should be noted that your Honorable Board has previously authorized the County to issue bonds for a prior component of project BSS13 as follows: Bond Act No. 84-2012 in the amount of \$80,000 which funded the cost of design in connection with the replacement of existing roofing, air conditioning units and miscellaneous repairs at the Vernon Plaza Center in Mt. Vernon. These bonds have not been sold.

Office of the County Executive

Michaelian Office Building
148 Martine Avenue
White Plains, New York 10601

Email: CE@westchestergov.com
Telephone: (914) 995-2900


westchestergov.com

The Planning Department has advised that based on its review, the above-referenced capital project has been classified as a "Type II" action pursuant to the State Environmental Quality Review Act ("SEQR") and its implementing regulations, 6 NYCRR Part 617. Therefore, no further environmental review is required. As you know, your Honorable Board may use such expert advice to reach its own conclusion.

In addition, Section 167.131 of the County Charter mandates that a capital budget amendment that introduces a new capital project or changes the location, size or character of an existing capital project be accompanied to the Board of Legislators by a report of the Westchester County Planning Board (the "Planning Board") with respect to the physical planning aspects of the project. Accordingly, the required Planning Board report is herewith attached.

Based on the importance of this capital project to the County, favorable action on the annexed proposed Acts is respectfully requested.

Sincerely,

A handwritten signature in dark ink, appearing to read "George Latimer", written over a horizontal line.

George Latimer
County Executive

Attachments

**HONORABLE BOARD OF LEGISLATORS
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmission from the County Executive recommending approval by the County of Westchester (“County”) of an act amending the County’s current-year Capital Budget (the “Capital Budget Amendment”), as well as adoption of a related bond act (the “Bond Act”) which, if adopted, will authorize the County to issue up to \$1,700,000 in bonds of the County to finance a component of capital project BSS13 – Infrastructure Improvements to Shelter Facilities – Vernon Plaza Mt. Vernon (“BSS13”).

Your Committee is advised that the Capital Budget Amendment will amend the County’s current-year capital budget to increase the County funds for this project by \$173,000.

The Bond Act, in the amount of \$1,700,000, which was prepared by the law firm of Hawkins Delafield & Wood, LLP, will finance the cost of design, construction management and construction associated with the replacement of the existing boilers, burners, boiler controls, radiator control valves, steam traps; upgrades to the boiler room; and associated work at the Vernon Plaza Center in Mt. Vernon.

The Department of Public Works and Transportation (“Department”) has advised that the cast iron boiler sections used to heat the building are cracked at several locations and are beyond repair. As a consequence, the facility is currently operating on temporary boilers and replacement boilers are desperately needed.

The Department has further advised that upon bonding authorization, design shall begin by in-house staff and is expected to be completed in two (2) months. It is anticipated that construction will take approximately six (6) months and shall begin after award and execution of the construction contracts.

It should be noted that your Honorable Board has previously authorized the County to issue bonds for a prior component of project BSS13 as follows: Bond Act No. 84-2012 in the amount of \$80,000 which funded the cost of design in connection with the replacement of

existing roofing, air conditioning units and miscellaneous repairs at the Vernon Plaza Center in Mt. Vernon. These bonds have not been sold.

The Planning Department has advised your Committee that based on its review, the above-referenced capital project has been classified as a Type “II” action pursuant to the State Environmental Quality Review Act (“SEQR”) and its implementing regulations, 6 NYCRR Part 617. Therefore, no further environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this conclusion.

In addition, Section 167.131 of the County Charter mandates that a capital budget amendment that introduces a new capital project or changes the location, size or character of an existing capital project be accompanied to the Board of Legislators by a report of the Westchester County Planning Board (the “Planning Board”) with respect to the physical planning aspects of the project. Accordingly, the required Planning Board report is herewith attached.

Your Committee has carefully considered the proposed Capital Budget Amendment, as well as the related Bond Act, and recommends approval of both of the proposed Acts, noting that the Bond Act can only be enacted following adoption of the Capital Budget Amendment. It should be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to amend the County’s Capital Budget and to adopt the Bond Act.

Dated: _____, 2021
White Plains, New York

COMMITTEE ON

s/jpg/2-25-2021

FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: BSS13

☐ NO FISCAL IMPACT PROJECTED

SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☒ GENERAL FUND

☐ AIRPORT FUND

☐ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☒ Current Appropriations

☒ Capital Budget Amendment

SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 1,700,000 PPU 15 Anticipated Interest Rate 1.14%

Anticipated Annual Cost (Principal and Interest): \$ 1,236,948

Total Debt Service (Annual Cost x Term): \$ 18,554,220

Finance Department: Interest rates from March 4, 2021 Bond Buyer

SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations
(describe in detail for current and next four years):

SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded: 18

SECTION E - EXPECTED DESIGN WORK PROVIDER

☒ County Staff

☐ Consultant

☐ Not Applicable

Prepared by: Robert Abbamont

Title: Prog Coord (Capital Planning)

Department: Public Works/Transportation


Date: 3/15/21

Reviewed By: 

 Budget Director

Date: 3/16/21

TO: Michelle Greenbaum, Assistant County Attorney
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM 
Director of Environmental Planning

DATE: March 3, 2021

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:
BSS13 Infrastructure Improvements To Shelter Facilities–Vernon Plaza, Mt.
Vernon**

PROJECT/ACTION: Per Capital Project Fact Sheet as approved by the Planning Department on
02-18-2021 (Unique ID: 1636)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(2):** replacement, rehabilitation or reconstruction of a structure or facility, in kind, on the same site, including upgrading buildings to meet building, energy, or fire codes unless such action meets or exceeds any of the thresholds in section 617.4 of this Part.

COMMENTS: None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff
Paula Friedman, Assistant to the County Executive
Tami Altschiller, Assistant Chief Deputy County Attorney
Gideon Grande, Deputy Budget Director
Lorraine Yazzetta, Associate Budget Director
Anthony Zaino, Assistant Commissioner
William Brady, Chief Planner
Michael Lipkin, Associate Planner
Claudia Maxwell, Associate Environmental Planner

RESOLUTION 21-09

WESTCHESTER COUNTY PLANNING BOARD

Amendment of Planning Board Report on 2021 Capital Project Requests

BSS13 Infrastructure Improvements to Shelter Facilities – Vernon Plaza, Mount Vernon

WHEREAS, the County Executive will submit legislation to the County Board of Legislators which would authorize amending capital project **BSS13 – Infrastructure Improvements to Shelter Facilities – Vernon Plaza, Mount Vernon** for a bonding request of \$1,700,000 for design, construction and construction management associated with the replacement of the existing boilers, burners, boiler controls, radiator control valves, steam traps; upgrades to the boiler room; and associated work at the Vernon Plaza Center, and

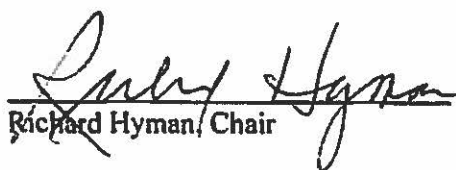
WHEREAS, the cast iron boiler sections are cracked at several locations and the boilers are beyond repair. The facility is currently operating on temporary boilers, and

WHEREAS, the project will provide shelter for up to 20 singles or families; and

WHEREAS, the project is generally consistent with *Westchester 2025 – Policies to Guide County Planning*, as adopted by the Planning Board on May 6, 2008 and amended on January 5, 2010 by contributing to the development of fair and affordable housing; now therefore, be it

RESOLVED, that the County Planning Board approves an amendment to its Report on the 2021 Capital Projects Requests to include the use of funding from **BSS13 Infrastructure Improvements to Shelter Facilities – Vernon Plaza, Mount Vernon** to be used for costs associated with a boiler replacement at this location.

Adopted this 2nd day of March, 2021.


Richard Hyman, Chair

BSS13 Infrastructure Improvements to Shelter Facilities – Vernon Plaza, Mount Vernon**FIVE YEAR CAPITAL PROGRAM (in thousands)**

	Estimated							
	Ultimate	Approp-						Under
	Total Cost	riated	2021	2022	2023	2024	2025	Review
Gross	2,423	2,250						173
Less non-County Shares								
Net	2,423	2,250						173

Project Description:

This DSS project funds a phased, multi-year cycle of infrastructure improvements at the County's Vaughn Glanton Employment Residence (Vernon Plaza Center) in Mount Vernon. The Capital Budget Amendment (CBA), totaling \$1,700,000, is for design, construction and construction management associated with the replacement of the existing boilers, burners, boiler controls, radiator control valves, steam traps; upgrades to the boiler room; and associated work at the Vernon Plaza Center. This (CBA) includes a request for an additional \$173,000.

APPROPRIATION/FUNDING REQUESTS:

2011: \$650,000 for replacement of roofing and air conditioning units, also miscellaneous repairs
2014: \$900,000 for renovations at the facility to include all residence bathrooms and common hallways along with upgrades to lighting throughout the facility to energy-efficient lighting. The project will also include miscellaneous site improvements including rehabilitation of walkways, retaining walls and fencing.
2019: \$700,000 for acquisition and renovation for a building for at-risk youths
2021: CBA for an additional \$173,000 for replacement of boilers, burners, boiler controls, radiator control valves, steam traps; upgrades to the boiler room; and associated work at the Vernon Plaza Center.

JUSTIFICATION: The proposed work is required to keep the facility in good condition.

CONSISTENCY WITH PROGRAMS OR PLANS: The project is generally consistent with the policies of *Westchester 2025*, the County Planning Board's long-range land use policies, in that it is a capital improvement to keep physical facilities in good condition and to deliver services in an economic and humane manner.

Planning Board Analysis:

PL2: The County Planning Board supports this project in that it will keep the County facility in good condition. Plans for exterior site work will be reviewed by Planning Department staff to ensure that it will be consistent with all County policies and recommendations.

An Act amending the 2021 County
Capital Budget Appropriations for
Capital Project - BSS13 -
Infrastructure Improvements to
Shelter Facilities - Vernon Plaza, Mt.
Vernon

BE IT ENACTED by the Board of Legislators of the County of Westchester as follows:

Section 1. The Capital section of the 2021 County Budget is hereby amended as follows:

	Previous 2021 Appropriation	Change	Revised 2021 Appropriation
I. Appropriation	\$2,250,000	\$173,000	\$2,423,000

Section 2. The estimated method of financing in the Capital Section of the 2021 Westchester County Capital Budget is amended as follows:

II. METHOD OF
FINANCING

Bonds and/or Notes	\$2,250,000	\$173,000	\$2,423,000
Non County Shares	\$0		\$0
Cash	\$0		\$0
Total	\$2,250,000	\$173,000	\$2,423,000

Section 3. The ACT shall take effect immediately.

ACT NO. - 20____

BOND ACT AUTHORIZING THE ISSUANCE OF \$1,700,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF THE DESIGN, CONSTRUCTION AND CONSTRUCTION MANAGEMENT IN CONNECTION WITH THE REPLACEMENT OF BOILERS, BURNERS, BOILER CONTROLS, RADIATOR CONTROL VALES, STEAM TRAPS AND UPGRADES TO THE BOILER ROOM AT THE VERNON PLAZA CENTER IN THE CITY OF MOUNT VERNON; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$1,700,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$1,700,000 BONDS HEREIN AUTHORIZED; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted _____, 20____)

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER, NEW YORK (by the affirmative vote of not less than two-thirds of the voting strength of said Board), AS FOLLOWS:

Section 1. Pursuant to the provisions of the Local Finance Law, constituting Chapter 33-a of the Consolidated Laws of the State of New York (the "Law"), the Westchester County Administrative Code, being Chapter 852 of the Laws of 1948, as amended, and to the provisions of other laws applicable thereto, \$1,700,000 bonds of the County, or so much thereof as may be necessary, are hereby authorized to be issued to finance the cost of the design, construction management and construction associated with the replacement of the existing boilers, burners, boiler controls, radiator control valves, steam traps; upgrades to the boiler room; and associated work at the Vernon Plaza Center in the city of Mount Vernon, all as set forth in the County's

Current Year Capital Budget, as amended. To the extent that the details set forth in this act are inconsistent with any details set forth in the Current Year Capital Budget of the County, such Budget shall be deemed and is hereby amended. The estimated maximum cost of said class of objects or purposes, including preliminary costs and costs incidental thereto and the financing thereof is \$1,700,000. The plan of financing includes the issuance of \$1,700,000 bonds herein authorized, and any bond anticipation notes issued in anticipation of the sale of such bonds, and the levy of a tax to pay the principal of and interest on said bonds.

Section 2. The period of probable usefulness of the class of objects or purposes for which the \$1,700,000 bonds authorized by section 1 of this Act are to be issued, within the limitations of Section 11.00 a.13 of the Law, is fifteen (15) years.

Section 3. Current funds are not required to be provided as a down payment pursuant to Section 107.00 d. 9. of the Law prior to issuance of the bonds authorized herein, or any bond anticipation notes issued in anticipation of the sale of such bonds. The County intends to finance, on an interim basis, the costs or a portion of the costs of said improvements for which bonds are herein authorized, which costs are reasonably expected to be reimbursed with the proceeds of debt to be incurred by the County, pursuant to this Act, in the maximum amount of \$1,700,000. This Act is a declaration of official intent adopted pursuant to the requirements of Treasury Regulation Section 1.150-2.

Section 4. The estimate of \$1,700,000 as the estimated total cost of the aforesaid objects or purposes is hereby approved.

Section 5. Subject to the provisions of this Act and of the Law, and pursuant to the provisions of §30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals thereof, and of §§50.00, 56.00 to 60.00 and 168.00 of said Law, the powers and duties

of the County Board of Legislators relative to authorizing the issuance of any notes in anticipation of the sale of the bonds herein authorized, or the renewals thereof, relative to providing for substantially level or declining annual debt service, relative to prescribing the terms, form and contents and as to the sale and issuance of the respective amounts of bonds herein authorized, and of any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and relative to executing agreements for credit enhancement, are hereby delegated to the Commissioner of Finance of the County, as the chief fiscal officer of the County.

Section 6. Each of the bonds authorized by this Act and any bond anticipation notes issued in anticipation of the sale thereof shall contain the recital of validity prescribed by §52.00 of said Local Finance Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County of Westchester, payable as to both principal and interest by general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and provision shall be made annually in the budgets of the County by appropriation for (a) the amortization and redemption of the notes and bonds to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 7. The validity of the bonds authorized by this Act and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

(a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or

(b) the provisions of law which should be complied with at the date of the publication of this Act or a summary hereof, are not substantially complied with, and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or

(c) such obligations are authorized in violation of the provisions of the Constitution.

Section 8. This Act shall take effect in accordance with Section 107.71 of the Westchester County Charter.

* * *

STATE OF NEW YORK)
 : ss.:
COUNTY OF WESTCHESTER)

I HEREBY CERTIFY that I have compared the foregoing Act No. -20____ with the original on file in my office, and that the same is a correct transcript therefrom and of the whole of the said original Act, which was duly adopted by the County Board of Legislators of the County of Westchester on _____, 20____ and approved by the County Executive on _____, 20____.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said County Board of Legislators this _____ day of _____, 20____.

Clerk and Chief Administrative Officer of the County Board of Legislators of the County of Westchester, New York

(SEAL)

LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on _____, 20____, and approved by the County Executive on _____, 20____, and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. _____-20____

BOND ACT AUTHORIZING THE ISSUANCE OF \$1,700,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF THE DESIGN, CONSTRUCTION AND CONSTRUCTION MANAGEMENT IN CONNECTION WITH THE REPLACEMENT OF BOILERS, BURNERS, BOILER CONTROLS, RADIATOR CONTROL VALES, STEAM TRAPS AND UPGRADES TO THE BOILER ROOM AT THE VERNON PLAZA CENTER IN THE CITY OF MOUNT VERNON; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$1,700,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$1,700,000 BONDS HEREIN AUTHORIZED; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted _____, 20____)

object or purpose: to finance the cost of the design, construction management and construction associated with the replacement of the existing boilers, burners, boiler controls, radiator control valves, steam traps; upgrades to the boiler room; and associated work at the Vernon Plaza Center in the city of Mount Vernon, all as set forth in the County's Current Year Capital Budget, as amended.

amount of obligations to be issued

and period of probable usefulness: \$1,700,000; fifteen (15) years

Dated: _____, 20____
White Plains, New York

Clerk and Chief Administrative Officer of the County
Board of Legislators of the County of Westchester, New
York

Project ID:*

BSS13

☒ CBA

Fact Sheet Date:*

02-11-2021

Fact Sheet Year:*

2021

Project Title:*

INFRASTRUCTURE IMPROVEMENTS 13,
TO SHELTER FACILITIES-VERNON
PLAZA, MT. VERNON

Legislative District ID:

Category*

BUILDINGS, LAND &
MISCELLANEOUS

Department:*

SOCIAL SERVICES

CP Unique ID:

1636

Overall Project Description

A continuing multi-year cycle of infrastructure improvements at the Vernon Plaza Center, Mt. Vernon. Work involves exterior infrastructure, including roofing, window replacement, facade repairs, waterproofing and site work. Interior infrastructure includes painting, ceilings, hardware, security system upgrade, building management system upgrade, elevator upgrade, upgrade to the mechanical, plumbing, electrical and fire alarm systems. All related work is to be included.

☒ Best Management Practices☒ Energy Efficiencies☒ Infrastructure☒ Life Safety☐ Project Labor Agreement☐ Revenue☐ Security☐ Other**FIVE-YEAR CAPITAL PROGRAM (in thousands)**

	Estimated Ultimate Total Cost	Appropriated	2021	2022	2023	2024	2025	Under Review
Gross	2,423	2,250	0	0	0	0	0	173
Less Non-County Shares	0	0	0	0	0	0	0	0
Net	2,423	2,250	0	0	0	0	0	173

Expended/Obligated Amount (in thousands) as of : 22

Current Bond Description: Funding is requested for design, construction management and construction associated with the replacement of the existing boilers, burners, boiler controls, radiator control valves, steam traps; upgrades to the boiler room; and associated work at the Vernon Plaza Center.

Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	1,700,000
Cash:	0
Total:	\$ 1,700,000

SEQR Classification:

TYPE II

Amount Requested:

1,700,000

Comments:

A Capital Budget Amendment in the amount of \$173,000 (shown under review) is being requested to provide the additional funds estimated to replace the existing boilers. The cast iron boiler sections are cracked at several locations and the boilers are beyond repair. The facility is currently operating on temporary boilers.

Energy Efficiencies:

THE NEW BOILERS WILL BE ENERGY EFFICIENT GAS BOILERS.

Year	Amount	Description
2011	650,000	DESIGN & CONSTRUCTION OF INFRASTRUCTURE REPAIRS
2014	900,000	RENOVATIONS AT THE VAUGHN GLANTON EMPLOYMENT RESIDENCE - VERNON PLAZA
2019	700,000	ACQUISITION AND RENOVATION OF A BUILDING FOR AT-RISK YOUTHS

Total Appropriation History:

2,250,000

Financing History:

Year	Bond Act #	Amount	Issued	Description
12	84	80,000	0	PLANNING FOR PARTIAL RECONSTRUCTION OF VAUGHN GLANTON EMPLOYMENT RESIDENCE AT VERNON PLAZA

Total Financing History:

80,000

Recommended By:

Department of Planning
WBB4

Date
02-18-2021

Department of Public Works
RJB4

Date
02-18-2021

Budget Department
LMY1

Date
02-25-2021

Requesting Department
RJB4

Date
02-25-2021

INFRASTRUCTURE IMPROVEMENTS TO SHELTER FACILITIES-VERNON PLAZA, MT. VERNON (BSS13)

User Department : Social Services

Managing Department(s) : Public Works ; Social Services ;

Estimated Completion Date: TBD

Planning Board Recommendation: Project approved in concept but subject to subsequent staff review.

FIVE YEAR CAPITAL PROGRAM (in thousands)

	Est Ult Cost	Appropriated	Exp / Obl	2021	2022	2023	2024	2025	Under Review
Gross	2,250	2,250	22						
Non County Share									
Total	2,250	2,250	22						

Project Description

A continuing multi-year cycle of infrastructure improvements at the Vernon Plaza Center, Mt. Vernon. Work involves exterior infrastructure, including roofing, window replacement, facade repairs, waterproofing and site work. Interior infrastructure includes painting, ceilings, hardware, security system upgrade, building management system upgrade, elevator upgrade, upgrade to the mechanical, plumbing, electrical and fire alarm systems. All related work is to be included.

Current Year Description

There is no current year request.

Impact on Operating Budget

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

Appropriation History

Year	Amount	Description	Status
2011	650,000	Design & construction of infrastructure repairs	\$80,000 - DESIGN; \$570,000 - AWAITING BOND AUTHORIZATION
2014	900,000	Renovations at the Vaughn Glaton Employment Residence - Vernon Plaza	AWAITING BOND AUTHORIZATION
2019	700,000	Acquisition and renovation of a building for at-risk youths	AWAITING BOND AUTHORIZATION
Total	2,250,000		

Prior Appropriations


	Appropriated	Collected	Uncollected
Bond Proceeds	2,250,000		2,250,000
Total	2,250,000		2,250,000

Bonds Authorized

Bond Act	Amount	Date Sold	Amount Sold	Balance
84 12	80,000			80,000
Total	80,000			80,000

March 19, 2021

TO: Hon. Benjamin Boykin, Chair
Hon. Alfreda Williams, Vice Chair
Hon. MaryJane Shimsky, Majority Leader
Hon. Margaret Cunzio, Minority Leader

FROM: George Latimer 
Westchester County Executive

RE: Message Requesting Immediate Consideration: **CBA & Bond Act – B015D – Interior Infrastructure Repairs, White Plains Complex '16-'20.**

This will confirm my request that the Board of Legislators allow submission of the referenced communication to be submitted to the Board of Legislators March 22, 2021 Agenda.

Transmitted herewith for your review and approval is an Act to amend the current-year capital budget (the "Capital Budget Amendment") as well as a related bond act (the "Bond Act") in connection with the following capital project: B015D.

Therefore, since this communication is of the utmost importance, it is respectfully submitted that the County Board of Legislators accepts this submission for March 22, 2021 "blue sheet" calendar.

Thank you for your prompt attention to this matter.



George Latimer
County Executive

March 3, 2021

Westchester County Board of Legislators
800 Michaelian Office Building
White Plains, New York 10601

Dear Members of the Board of Legislators:

Transmitted herewith for your review and approval is an Act to amend the current-year capital budget ("Capital Budget Amendment") as well as a related bond act ("Bond Act,") which, if adopted, would authorize the County of Westchester ("County") to issue up to \$3,870,000 in bonds of the County to finance the following capital project:

B015D – Interior Infrastructure Repairs, White Plains Complex (2016-2020) ("B015D").

The proposed Capital Budget Amendment will amend the County's current-year capital budget to increase the appropriation share for B015D by \$220,000. The Department of Public Works and Transportation ("Department") has advised that the additional funds are required due to cost escalation.

The Bond Act, in the amount of \$3,870,000, would finance the cost of elevator improvements at the White Plains Office Complex, including design, construction management and construction associated with the rehabilitation of the judge's elevator in the Richard J. Daronco Westchester County Courthouse, two passenger elevators in the County Low Rise Building, and the passenger elevator in 143 Grand Street.

The Department has further advised that the elevators and related systems have exceeded all intended services expectations and are becoming increasingly difficult to repair. In addition, the elevators' finishes are worn and beyond refurbishment. The elevator rehabilitation will improve reliability and increase safety.

It should be noted that your Honorable Board has previously authorized the County to issue bonds for prior separate components of this project as provided in the annexed fact sheet.

Following bonding authorization, the design will be undertaken by a consultant and is expected to be completed by the third quarter of 2021. It is anticipated that construction will take approximately twelve months and will begin after award and execution of the construction contracts.

Office of the County Executive

Michaelian Office Building
148 Martine Avenue
White Plains, New York 10601

Email: CE@westchestergov.com
Telephone: (914) 995-2900

westchestergov.com

The Planning Department has advised that based on its review, the above-referenced capital project has been classified as a "Type II" action pursuant to the State Environmental Quality Review Act ("SEQR") and its implementing regulations, 6 NYCRR Part 617. Therefore, no further environmental review is required. As you know, your Honorable Board may use such expert advice to reach its own conclusion.

The Planning Department has advised that the Planning Board has previously reviewed this project and issued a report, and that since there is no change in the scope of the work and this is simply a change in the financing plan, no further action by the Planning Board is necessary at this time.

Based on the importance of this capital project to the County, favorable action on the annexed proposed Acts is respectfully requested.

Sincerely,

A handwritten signature in cursive script, appearing to read "George Latimer".

George Latimer
County Executive

Attachments

**HONORABLE BOARD OF LEGISLATORS
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmittal from the County Executive recommending approval by the County of Westchester ("County") of an act, which, if adopted, will amend the County's current-year capital budget ("Capital Budget Amendment"), as well as adoption of a related bond act ("Bond Act") in the amount of \$3,870,000 prepared by the law firm Harris Beach, PLLC to finance capital project B015D – Interior Infrastructure Repairs, White Plains Complex (2016-2020) ("B015D").

Your Committee is advised that the Capital Budget Amendment will amend the County's current-year capital budget to increase the appropriation for B015D by \$220,000. The Department of Public Works and Transportation ("Department") has advised your Committee that the additional funds are required due to cost escalation.

The Bond Act, in the amount of \$3,870,000, would finance the cost of elevator improvements at the White Plains Office Complex, including design, construction management and construction associated with the rehabilitation of the judge's elevator in the Richard J. Daronco Westchester County Courthouse, two passenger elevators in the County Low Rise Building, and the passenger elevator in 143 Grand Street.

The Department has further advised that the elevators and related systems have exceeded all intended services expectations and are becoming increasingly difficult to repair. In addition, the elevators' finishes are worn and beyond refurbishment. The elevator rehabilitation will improve reliability and increase safety.

It should be noted that your Honorable Board has previously authorized the County to issue bonds for prior separate components of this project as provided in the annexed fact sheet

Following bonding authorization, the design will be undertaken by a consultant and is expected to be completed by the third quarter of 2021. It is anticipated that construction will

take approximately twelve months and will begin after award and execution of the construction contracts.

The Planning Department has advised your Committee that based on its review, the above-referenced capital project has been classified as a Type “II” action pursuant to the State Environmental Quality Review Act (“SEQR”) and its implementing regulations, 6 NYCRR Part 617. Therefore, no further environmental review is required. Your Committee has reviewed the annexed SEQR document and concurs with this conclusion.

The Planning Department has advised your Committee that the Planning Board has previously reviewed this project and issued a report, and that since there is no change in the scope of the work and this is simply a change in the financing plan, no further action by the Planning Board is necessary at this time.

Your Committee has carefully considered the proposed Capital Budget Amendment, as well as the related Bond Act, and recommends approval of both of the proposed Acts, noting that the Bond Act may only be enacted following adoption of the Capital Budget Amendment. It should also be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to amend the County’s Capital Budget and to adopt the Bond Act.

Dated: _____, 20____
White Plains, New York

COMMITTEE ON

s: MG 2-24-21

FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: B015D

☐ NO FISCAL IMPACT PROJECTED

SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☒ GENERAL FUND

☐ AIRPORT FUND

☐ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☒ Current Appropriations

☒ Capital Budget Amendment

SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 3,870,000 PPU 15 Anticipated Interest Rate 1.14%

Anticipated Annual Cost (Principal and Interest): \$ 281,588

Total Debt Service (Annual Cost x Term): \$ 4,223,820

Finance Department: Interest rates from February 25, 2021 Bond Buyer - ASBA

SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations

(describe in detail for current and next four years):

SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded: 42

SECTION E - EXPECTED DESIGN WORK PROVIDER

☐ County Staff

☒ Consultant

☐ Not Applicable

Prepared by: Robert Abbamont

Title: Prog Coord (Capital Planning)

Department: Public Works/Transportation


Date: 3/11/21

Reviewed By: 

Dep. Budget Director

Date: 3/16/21

TO: Michelle Greenbaum, Assistant County Attorney
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM 
Director of Environmental Planning

DATE: February 26, 2021

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:
B015D Interior Infrastructure Repairs, White Plains Complex (2016-2020)**

PROJECT/ACTION: Per Capital Project Fact Sheet as approved by the Planning Department on
2/22/2021 (Unique ID: 1575)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(2):** replacement, rehabilitation or reconstruction of a structure or facility, in kind, on the same site, including upgrading buildings to meet building, energy, or fire codes unless such action meets or exceeds any of the thresholds in section 617.4 of this Part.
-

COMMENTS: None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff
Paula Friedman, Assistant to the County Executive
Tami Altschiller, Assistant Chief Deputy County Attorney
Gideon Grande, Deputy Budget Director
Lorraine Yazzetta, Associate Budget Director
Anthony Zaino, Assistant Commissioner
William Brady, Chief Planner
Michael Lipkin, Associate Planner
Claudia Maxwell, Associate Environmental Planner

Department of Planning

432 Michaelian Office Building
White Plains, NY 10601

To: The Westchester County Planning Board

From: Anthony Zaino, Assistant Commissioner



Date: February 23, 2021

RE: **Capital Budget Amendment –
B015D Interior Infrastructure Repairs, White Plains Complex (2016-2020)**

The County Executive is requesting an amendment to the 2021 Capital Budget to modify the funding of the above project. This project provides funding for the design, construction management and construction associated with the rehabilitation of the judge's elevator in the Richard J. Daronco Courthouse, two passenger elevators in the Low Rise Building and the passenger elevator at 143 Grand Street in White Plains.

A Capital Budget Amendment is requested to add a 2021 appropriation of \$220,000.

The Planning Board adopted a report on this project (rated PL1) at its meeting on July 12, 2016.

There are no changes to the physical planning aspects of this project as reviewed by the Board; therefore, no further action by your Board is necessary.

cc: Norma V. Drummond, Commissioner
David Kvinge, Director, Environmental Planning
William Brady, Chief Planner
Michael Lipkin, Associate Planner

ACT No. _____ 2021

An Act amending the 2021 County
Capital Budget Appropriations for
Capital Project - B015D - Interior
Infrastructure Repairs, White Plains
Complex (2016-2020)

BE IT ENACTED by the Board of Legislators of the County of Westchester as follows:

Section 1. The Capital section of the 2021 County Budget is hereby amended as follows:

	Previous 2021 Appropriation	Change	Revised 2021 Appropriation
I. Appropriation	\$4,952,000	\$220,000	\$5,172,000

Section 2. The estimated method of financing in the Capital Section of the 2021 Westchester County Capital Budget is amended as follows:

II. METHOD OF FINANCING

Bonds and/or Notes	\$4,958,000	\$220,000	\$5,178,000
Non County Shares	\$0		\$0
Cash	\$0		\$0
Total	\$4,958,000	\$220,000	\$5,178,000

Section 3. The ACT shall take effect immediately.

ACT NO. -20__

BOND ACT AUTHORIZING THE ISSUANCE OF \$3,870,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF ELEVATOR IMPROVEMENTS AT THE WHITE PLAINS OFFICE COMPLEX; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$3,870,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$3,870,000 BONDS HEREIN AUTHORIZED TO FINANCE SUCH COST; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted , 20__)

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER, NEW YORK (by the affirmative vote of not less than two-thirds of the voting strength of said Board), AS FOLLOWS:

Section 1. Pursuant to the provisions of the Local Finance Law, constituting Chapter 33-a of the Consolidated Laws of the State of New York (the "Law"), the Westchester County Administrative Code, being Chapter 852 of the Laws of 1948, as amended, to the provisions of other laws applicable thereto, \$3,870,000 bonds of the County, or so much thereof as may be necessary, are hereby authorized to be issued to finance the cost of elevator improvements at the White Plains Office Complex, including design, construction management and construction associated with the rehabilitation of the judge's elevator in the Richard J. Daronco Westchester County Courthouse, two passenger elevators in the Low Rise Building, and the

passenger elevator in 143 Grand Street; all as set forth in the County's current year Capital Budget, as amended. To the extent that the details set forth in this act are inconsistent with any details set forth in the current year Capital Budget of the County, such Budget shall be deemed and is hereby amended. The estimated maximum cost of said objects or purposes, including preliminary costs and costs incidental thereto and the financing thereof is \$3,870,000. The plan of financing includes the issuance of \$3,870,000 bonds herein authorized, and any bond anticipation notes issued in anticipation of the sale of such bonds, and the levy of a tax to pay the principal of and interest on said bonds.

Section 2. The existing buildings are of at least Class "B" construction as defined by Section 11.00 a. 11. (b) of the Law, and the period of probable usefulness applicable to the specific objects or purposes for which the bonds authorized by this resolution is to be issued, within the limitations of Section 11.00 a. 12.(a)(2) of the Law, is fifteen (15) years.

Section 3. Current funds are not required to be provided as a down payment pursuant to Section 107.00 d. 9. of the Law prior to issuance of the bonds authorized herein, or any bond anticipation notes issued in anticipation of the sale of such bonds. The County intends to finance, on an interim basis, the costs or a portion of the costs of said improvements for which bonds are herein authorized, which costs are reasonably expected to be reimbursed with the proceeds of debt to be incurred by the County, pursuant to this Act, in the maximum amount of \$3,870,000. This Act is a declaration of official intent adopted pursuant to the requirements of Treasury Regulation Section 1.150-2.

Section 4. Subject to the provisions of this Act and of the Law, and pursuant to the provisions of §30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals thereof, and of §§50.00, 56.00 to 60.00 and 168.00 of said Law, the powers and duties of the County Board of Legislators relative to authorizing the issuance of any notes in anticipation of the sale of the bonds herein authorized, or the renewals thereof, relative to providing for substantially level or declining annual debt service, relative to prescribing the terms, form and contents and as to the sale and issuance of the respective amounts of bonds herein authorized, and of any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and relative to executing agreements for credit enhancement, are hereby delegated to the Commissioner of Finance of the County, as the chief fiscal officer of the County.

Section 5. Each of the bonds authorized by this Act and any bond anticipation notes issued in anticipation of the sale thereof shall contain the recital of validity prescribed by §52.00 of said Local Finance Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County of Westchester, payable as to both principal and interest by general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and provision shall be made annually in the budgets of the County by appropriation for (a) the amortization and redemption of the notes and bonds to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 6. The validity of the bonds authorized by this Act and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

(a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or

(b) the provisions of law which should be complied with at the date of the publication of this Act or a summary hereof, are not substantially complied with, and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or

(c) such obligations are authorized in violation of the provisions of the Constitution.

Section 7. This Act shall take effect in accordance with Section 107.71 of the Westchester County Charter.

* * *

STATE OF NEW YORK)
 : ss.:
COUNTY OF WESTCHESTER)

I HEREBY CERTIFY that I have compared the foregoing Act No. -20__ with the original on file in my office, and that the same is a correct transcript therefrom and of the whole of the said original Act, which was duly adopted by the County Board of Legislators of the County of Westchester on , 20__ and approved by the County Executive on , 20__.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said County Board of Legislators this day of , 20__.

(SEAL)

The Clerk and Chief Administrative Officer of the
County Board of Legislators
County of Westchester, New York

LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on _____, 20__ and approved, as amended, by the County Executive on _____, 20__ and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the amended Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. _____-20__

BOND ACT AUTHORIZING THE ISSUANCE OF \$3,870,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF ELEVATOR IMPROVEMENTS AT THE WHITE PLAINS OFFICE COMPLEX; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$3,870,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$3,870,000 BONDS HEREIN AUTHORIZED TO FINANCE SUCH COST; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted _____, 20__)

object or purpose: to finance the cost of elevator improvements at the White Plains Office Complex, including design, construction management and construction associated with the rehabilitation of the judge's elevator in the Richard J. Daronco Westchester County Courthouse, two passenger elevators in the Low Rise Building, and the passenger elevator in 143 Grand Street; all as set forth in the County's current year Capital Budget, as amended

amount of obligations to be issued:

and period of probable usefulness: \$3,870,000; fifteen (15) years

Dated: _____, 20__
White Plains, New York

Clerk and Chief Administrative Officer of the
County Board of Legislators of the County of
Westchester, New York

Project ID:*

B015D

☒ CBA

Fact Sheet Date:*

01-04-2021

Fact Sheet Year:*

2021

Project Title:*

INTERIOR INFRASTRUCTURE
REPAIRS, WHITE PLAINS COMPLEX
(2016-2020)

Legislative District ID:

8,

Category*

BUILDINGS, LAND &
MISCELLANEOUS

Department:*

PUBLIC WORKS

CP Unique ID:

1575

Overall Project Description

Rehabilitation of building interiors and infrastructure including all building systems in the White Plains Complex (Michaelian Office Building, Low Rise Building, Richard J. Daronco Courthouse and Annex, Parking Structure, 112 East Post Road, 85 Court Street, and 143 Grand Street).

☒ Best Management Practices☒ Energy Efficiencies☒ Infrastructure☒ Life Safety☐ Project Labor Agreement☐ Revenue☐ Security☐ Other**FIVE-YEAR CAPITAL PROGRAM (in thousands)**

	Estimated Ultimate Total Cost	Appropriated	2021	2022	2023	2024	2025	Under Review
Gross	5,145	4,925	0	0	0	0	0	220
Less Non-County Shares	0	0	0	0	0	0	0	0
Net	5,145	4,925	0	0	0	0	0	220

Expended/Obligated Amount (in thousands) as of : 118

Current Bond Description: Funding is requested for design, construction management and construction associated with the rehabilitation of the judge's elevator in the Richard J. Daronco Westchester County Courthouse, two (2) passenger elevators in the Low Rise Building, and the passenger elevator in 143 Grand Street.

Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	3,870,000
Cash:	0
Total:	\$ 3,870,000

SEQR Classification:

TYPE II

Amount Requested:

3,870,000

Comments:

A Capital Budget Amendment in the amount of \$220,000 (shown under review) is being requested to provide additional funds associated with cost escalation.

Energy Efficiencies:

THE NEW MECHANICAL EQUIPMENT (DRIVES AND MOTORS) WILL BE ENERGY EFFICIENT AND THEREFORE WILL REDUCE ENERGY CONSUMPTION.

Year	Amount	Description
2017	3,650,000	DESIGN, CONSTRUCTION AND CONSTRUCTION MANAGEMENT FOR WORK AT RICHARD J. DARONCO COURTHOUSE AND ANNEX; LOW RISE AND 143 GRAND ST.
2018	850,000	FUNDS WORK AT THE RICHARD J. DARONCO COURTHOUSE ANNEX.
2019	425 000	COST OF ACQUISITION

Total Appropriation History:

4,925,000

Financing History:

Year	Bond Act #	Amount	Issued	Description
19	196	425,000	0	INTERIOR INFRASTRUCTURE REPAIRS, WHITE PLAINS COMPLEX - 112 EAST POST ROAD & 85 COURT STREET
20	197	100,000	0	ELECTRICAL IMPROVEMENTS AT THE RICHARD J. DARONCO COURTHOUSE BUILDING

Total Financing History:

525,000

Recommended By:

Department of Planning
WBB4

Date
02-22-2021

Department of Public Works
RJB4

Date
02-22-2021

Budget Department
LMY1

Date
02-24-2021

Requesting Department
RJB4

Date
02-24-2021

INTERIOR INFRASTRUCTURE REPAIRS, WHITE PLAINS COMPLEX (2016-2020) (B015D)

User Department : Public Works

Managing Department(s) : Public Works ;

Estimated Completion Date: TBD

Planning Board Recommendation: Project without physical planning aspects of concern to the Westchester County Planning Board.

FIVE YEAR CAPITAL PROGRAM (in thousands)

	Est Ult Cost	Appropriated	Exp / Obl	2021	2022	2023	2024	2025	Under Review
Gross	4,925	4,925	119						
Non County Share									
Total	4,925	4,925	119						

Project Description

Rehabilitation of building interiors and infrastructure including all building systems in the White Plains Complex (Michaelian Office Building, Low Rise Building, Richard J. Daronco Courthouse and Annex, Parking Structure, 112 East Post Road, 85 Court Street, and 143 Grand Street).

Current Year Description

There is no current year request.

Impact on Operating Budget

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

Appropriation History

Year	Amount	Description	Status
2017	3,650,000	Design, construction and construction management for work at Richard J. Daronco Courthouse and Annex; Low Rise and 143 Grand St.	AWAITING BOND AUTHORIZATION
2018	850,000	Funds work at the Richard J. Daronco Courthouse Annex.	AWAITING BOND AUTHORIZATION
2019	425,000	Cost of acquisition	IN PROGRESS
Total	4,925,000		

Prior Appropriations


	Appropriated	Collected	Uncollected
Bond Proceeds	4,925,000		4,925,000
Total	4,925,000		4,925,000

Bonds Authorized

Bond Act	Amount	Date Sold	Amount Sold	Balance
196 19	425,000			425,000
197 20	100,000			100,000
Total	525,000			525,000

March 19, 2021

TO: Hon. Benjamin Boykin, Chair
Hon. Alfreda Williams, Vice Chair
Hon. MaryJane Shimsky, Majority Leader
Hon. Margaret Cunzio, Minority Leader

FROM: George Latimer 
Westchester County Executive

RE: Message Requesting Immediate Consideration: **Bond Act – RMAC4 – Woodlands Lake Dam at V.E. Macy Park.**

This will confirm my request that the Board of Legislators allow submission of the referenced communication to be submitted to the Board of Legislators March 22, 2021 Agenda.

Transmitted herewith for your review and approval is a bond act (the “Bond Act”) which, if adopted, would authorize the County of Westchester (the “County”) to issue bonds in the amount of \$5,000,000 to finance the following capital project: RMAC4.

Therefore, since this communication is of the utmost importance, it is respectfully submitted that the County Board of Legislators accepts this submission for March 22, 2021 “blue sheet” calendar.

Thank you for your prompt attention to this matter.



George Latimer
County Executive

March 10, 2021

Westchester County Board of Legislators
800 Michaelian Office Building
White Plains, New York 10601

Dear Members of the Board of Legislators:

Transmitted herewith for your review and approval is a bond act (the "Bond Act") which, if adopted, would authorize the County of Westchester (the "County") to issue bonds in the amount of \$5,000,000 to finance the following capital project:

RMAC4 – Woodlands Lake Dam at V.E. Macy Park ("RMAC4").

The Bond Act, in the amount of \$5,000,000, would finance the cost of the removal of a portion of the existing Woodlands Lake Dam at V.E. Macy Park in Ardsley, stabilize and reconstruct the river adjacent to the dam, replace the bridge and railing, as well as associated infrastructure and site work.

The Department of Parks, Recreation and Conservation (the "Department") has advised that this project provides funding for extensive repairs to the component dam, bridge and sidewalk, as well as the decommissioning of the dam. This dam is in poor condition and is within the permitting threshold of the New York State Department of Environmental Conservation. Work under this project will include rebuilding the masonry, repairs to the bridge structure, repointing and regrouting, installation of shot-crete, concrete and reinforcement bars, as well as waterproofing, railing replacement, and new asphalt pavement, site work, and safety items such as additional railing, curbing, shoreline stabilization adjacent to the dam and spillway and complete revegetation of former lake basin.

Design is currently underway using outside consultants and is expected to be completed by the 1st Quarter of 2021. It is anticipated that construction will take approximately eighteen (18) months and shall begin after award and execution of the construction contracts.

The Planning Department has advised that based upon its review, this project falls within the definition of a "Type I" Action under SEQRA and its implementing regulations 6 NYCRR, Part 617. The Planning Department has prepared the attached Full Environmental Assessment Form to assist your Honorable Board in making the required determination of significance pursuant to SEQRA.

Office of the County Executive

Michaelian Office Building
118 Martine Avenue
White Plains, New York 10601

Email: CE@westchestergov.com
Telephone: (914)995-2900

westchestergov.com

Based on the importance of this project to the County, favorable action on the proposed Bond Act is respectfully requested.

Sincerely,

A handwritten signature in black ink, appearing to read "George Latimer", written over a horizontal line.

George Latimer
County Executive

GL/KOC/jpg
Attachments

**HONORABLE BOARD OF LEGISLATORS
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a bond act (the “Bond Act”) in the amount of \$5,000,000, which, if approved, would authorize the County of Westchester (“County”) to finance Capital Project RMAC4 – Woodlands Lake Dam at V.E. Macy Park (“RMAC4”). The Bond Act, which was prepared by the law firm Hawkins, Delafield & Wood, LLP, would finance the cost of the removal of a portion of the existing Woodlands Lake Dam at V.E. Macy Park in Ardsley, stabilize and reconstruct the river adjacent to the dam, replace the bridge and railing, as well as associated infrastructure and site work.

The Department of Parks, Recreation and Conservation (the “Department”) has advised that this project provides funding for extensive repairs to the component dam, bridge and sidewalk, as well as the decommissioning of the dam. This dam is in poor condition and is within the permitting threshold of the New York State Department of Environmental Conservation. Work under this project will include rebuilding the masonry, repairs to the bridge structure, repointing and regrouting, installation of shot-crete, concrete and reinforcement bars, as well as waterproofing, railing replacement, and new asphalt pavement, site work, and safety items such as additional railing, curbing and shoreline stabilization adjacent to the dam and spillway and complete revegetation of the former lake basin.

Your Committee is advised that design is currently underway using outside consultants and is expected to be completed by the 1st Quarter of 2021. It is anticipated that construction will take approximately eighteen (18) months and shall begin after award and execution of the construction contracts.

The Planning Department has advised that, based on its review, this project falls within the definition of a “Type I” Action under Article 8 of SEQRA and its implementing regulations 6 NYCRR Part 617, which requires an assessment of environmental impacts. Your Committee has carefully considered the proposed legislation. It has reviewed the attached Full Environmental Assessment Form (EAF) and the criteria contained in Section 617.7 of the SEQRA regulations, to identify the relevant areas of environmental concern. For the reasons set forth in the attached EAF, your Committee believes that the proposed action will not have any significant adverse impact on

the environment and urges your Honorable Board to adopt the annexed resolution by which this Board would issue a Negative Declaration for this proposed action.

It should be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to adopt the Bond Act. Your Committee recommends the adoption of the proposed Bond Act.

Dated: _____, 2021
White Plains, New York

COMMITTEE ON

C:\jpg\12-24-2020

FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: RMAC4

☐ NO FISCAL IMPACT PROJECTED

SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☒ GENERAL FUND

☐ AIRPORT FUND

☐ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☒ Current Appropriations

☐ Capital Budget Amendment

SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 5,000,000 PPU 30 Anticipated Interest Rate 1.61%

Anticipated Annual Cost (Principal and Interest): \$ 208,736

Total Debt Service (Annual Cost x Term): \$ 6,262,080

Finance Department: Interest rate from March 4, 2021 Bond Buyer

SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations
(describe in detail for current and next four years):

SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded: 54

SECTION E - EXPECTED DESIGN WORK PROVIDER

☐ County Staff

☒ Consultant

☐ Not Applicable

Prepared by: Ken Uhle

Title: Program Coord. Capital Planning-PRC

Department: DPW&T

Date: 3/15/21

Reviewed By: 

Dep. Budget Director

Date: 3/16/21

Full Environmental Assessment Form
Part 1 - Project and Setting

Instructions for Completing Part 1

Part 1 is to be completed by the applicant or project sponsor. Responses become part of the application for approval or funding, are subject to public review, and may be subject to further verification.

Complete Part 1 based on information currently available. If additional research or investigation would be needed to fully respond to any item, please answer as thoroughly as possible based on current information; indicate whether missing information does not exist, or is not reasonably available to the sponsor; and, when possible, generally describe work or studies which would be necessary to update or fully develop that information.

Applicants/sponsors must complete all items in Sections A & B. In Sections C, D & E, most items contain an initial question that must be answered either "Yes" or "No". If the answer to the initial question is "Yes", complete the sub-questions that follow. If the answer to the initial question is "No", proceed to the next question. Section F allows the project sponsor to identify and attach any additional information. Section G requires the name and signature of the project sponsor to verify that the information contained in Part 1 is accurate and complete.

A. Project and Sponsor Information.

Name of Action or Project: Woodlands Lake Dam at V.E. Macy Park (RMAC4)		
Project Location (describe, and attach a general location map): Saw Mill River Parkway, Village of Irvington, Westchester County, New York		
Brief Description of Proposed Action (include purpose or need): Westchester County is proposing that the dam be rehabilitated by partially removing the existing dam structure and managing future flows through the existing land and dam with an adequately sized outlet structure and control channel. The purpose of the proposed action is to decommission the existing dam. Proposed improvements to the project site include removal of the existing bridge and consequent replacement with a pre-engineered bridge. Proposed modifications to Woodlands Lake include a new low-flow channel and a series of armored stone weirs. Re-grading and bank stabilization using riprap are proposed practices to ensure that landscaping improvements accommodate wildlife habitat and maintain the aesthetic appeal of the park.		
Name of Applicant/Sponsor: County of Westchester	Telephone: (914) 995-2000	
	E-Mail:	
Address: 148 Martine Avenue		
City/PO: White Plains	State: New York	Zip Code: 10601
Project Contact (if not same as sponsor; give name and title/role): David Kvinge, Director of Environmental Planning	Telephone: (914) 995-4400	
	E-Mail: dsk2@westchestergov.com	
Address: 148 Martine Avenue, 4th Floor		
City/PO: White Plains	State: NY	Zip Code: 10601
Property Owner (if not same as sponsor):	Telephone:	
	E-Mail:	
Address:		
City/PO:	State:	Zip Code:

B. Government Approvals

B. Government Approvals, Funding, or Sponsorship. ("Funding" includes grants, loans, tax relief, and any other forms of financial assistance.)

Government Entity	If Yes: Identify Agency and Approval(s) Required	Application Date (Actual or projected)
a. City Council, Town Board, <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No or Village Board of Trustees		
b. City, Town or Village <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Planning Board or Commission		
c. City Council, Town or <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Village Zoning Board of Appeals		
d. Other local agencies <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
e. County agencies <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
f. Regional agencies <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
g. State agencies <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	NYSDEC: Dams & Impoundment Structures, Stream Disturbance, 401 Water Quality Cert.	October 2019
h. Federal agencies <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	US Army Corps of Engineers: Section 404 Clean Water Act, Nationwide Permit 53	October 2019
i. Coastal Resources.		
i. Is the project site within a Coastal Area, or the waterfront area of a Designated Inland Waterway?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
ii. Is the project site located in a community with an approved Local Waterfront Revitalization Program?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
iii. Is the project site within a Coastal Erosion Hazard Area?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

C. Planning and Zoning

C.1. Planning and zoning actions.

Will administrative or legislative adoption, or amendment of a plan, local law, ordinance, rule or regulation be the only approval(s) which must be granted to enable the proposed action to proceed? ☐ Yes ☒ No

- If Yes, complete sections C, F and G.
- If No, proceed to question C.2 and complete all remaining sections and questions in Part I

C.2. Adopted land use plans.

a. Do any municipally- adopted (city, town, village or county) comprehensive land use plan(s) include the site where the proposed action would be located? ☐ Yes ☒ No

If Yes, does the comprehensive plan include specific recommendations for the site where the proposed action would be located? ☐ Yes ☐ No

b. Is the site of the proposed action within any local or regional special planning district (for example: Greenway Brownfield Opportunity Area (BOA); designated State or Federal heritage area; watershed management plan; or other?) ☒ Yes ☐ No

If Yes, identify the plan(s):

Hudson River Valley Greenway

c. Is the proposed action located wholly or partially within an area listed in an adopted municipal open space plan, or an adopted municipal farmland protection plan? ☒ Yes ☐ No

If Yes, identify the plan(s):

The County park is listed in the Open Space Inventory associated with Irvington's 2003 Comprehensive Plan, but is not specifically addressed in the Village's 2018 Comprehensive Plan Update.

C.3. Zoning

a. Is the site of the proposed action located in a municipality with an adopted zoning law or ordinance. ☒ Yes ☐ No
If Yes, what is the zoning classification(s) including any applicable overlay district?
1F-40 One Family Residence District

b. Is the use permitted or allowed by a special or conditional use permit? ☐ Yes ☒ No

c. Is a zoning change requested as part of the proposed action? ☐ Yes ☒ No
If Yes,

i. What is the proposed new zoning for the site? _____

C.4. Existing community services.

a. In what school district is the project site located? Ardsley

b. What police or other public protection forces serve the project site?

Westchester County Department of Public Safety and Village of Irvington Police Department

c. Which fire protection and emergency medical services serve the project site?

Irvington Fire Department, Irvington EMS

d. What parks serve the project site?

Woodlands Lake is within the V.E. Macy County Park

D. Project Details

D.1. Proposed and Potential Development

a. What is the general nature of the proposed action (e.g., residential, industrial, commercial, recreational; if mixed, include all components)? Decommissioning of existing dam and stream restoration within a recreational park.

b. a. Total acreage of the site of the proposed action? 7 acres

b. Total acreage to be physically disturbed? 5.7 acres

c. Total acreage (project site and any contiguous properties) owned or controlled by the applicant or project sponsor? 172 acres

c. Is the proposed action an expansion of an existing project or use? ☐ Yes ☒ No

i. If Yes, what is the approximate percentage of the proposed expansion and identify the units (e.g., acres, miles, housing units, square feet)? % _____ Units: _____

d. Is the proposed action a subdivision, or does it include a subdivision? ☐ Yes ☒ No

If Yes,

i. Purpose or type of subdivision? (e.g., residential, industrial, commercial; if mixed, specify types) _____

ii. Is a cluster/conservation layout proposed? ☐ Yes ☒ No

iii. Number of lots proposed? _____

iv. Minimum and maximum proposed lot sizes? Minimum _____ Maximum _____

e. Will proposed action be constructed in multiple phases? ☐ Yes ☒ No

i. If No, anticipated period of construction: 12 months

ii. If Yes:

• Total number of phases anticipated _____

• Anticipated commencement date of phase 1 (including demolition) _____ month _____ year

• Anticipated completion date of final phase _____ month _____ year

• Generally describe connections or relationships among phases, including any contingencies where progress of one phase may determine timing or duration of future phases: _____

f. Does the project include new residential uses? ☐ Yes ☒ No
 If Yes, show numbers of units proposed.

	<u>One Family</u>	<u>Two Family</u>	<u>Three Family</u>	<u>Multiple Family (four or more)</u>
Initial Phase	_____	_____	_____	_____
At completion	_____	_____	_____	_____
of all phases	_____	_____	_____	_____

g. Does the proposed action include new non-residential construction (including expansions)? ☐ Yes ☒ No
 If Yes,

i. Total number of structures _____

ii. Dimensions (in feet) of largest proposed structure: _____ height; _____ width; and _____ length

iii. Approximate extent of building space to be heated or cooled: _____ square feet

h. Does the proposed action include construction or other activities that will result in the impoundment of any liquids, such as creation of a water supply, reservoir, pond, lake, waste lagoon or other storage? ☐ Yes ☒ No
 If Yes,

i. Purpose of the impoundment: _____

ii. If a water impoundment, the principal source of the water: ☐ Ground water ☐ Surface water streams ☐ Other specify: _____

iii. If other than water, identify the type of impounded/contained liquids and their source. _____

iv. Approximate size of the proposed impoundment. Volume: _____ million gallons; surface area: _____ acres

v. Dimensions of the proposed dam or impounding structure: _____ height; _____ length

vi. Construction method/materials for the proposed dam or impounding structure (e.g., earth fill, rock, wood, concrete): _____

D.2. Project Operations

a. Does the proposed action include any excavation, mining, or dredging, during construction, operations, or both? ☒ Yes ☐ No
 (Not including general site preparation, grading or installation of utilities or foundations where all excavated materials will remain onsite)

If Yes:

i. What is the purpose of the excavation or dredging? decommissioning of dam and implementation of low-flow channel

ii. How much material (including rock, earth, sediments, etc.) is proposed to be removed from the site?

- Volume (specify tons or cubic yards): none
- Over what duration of time? NA

iii. Describe nature and characteristics of materials to be excavated or dredged, and plans to use, manage or dispose of them.
Sandy loam sediments and wet substratum soil types to be excavated and dredged. Plan to be relocated and managed on-site as part of project.

iv. Will there be onsite dewatering or processing of excavated materials? ☐ Yes ☒ No
 If yes, describe. _____

v. What is the total area to be dredged or excavated? _____ 3 acres

vi. What is the maximum area to be worked at any one time? _____ 1 acres

vii. What would be the maximum depth of excavation or dredging? _____ 10 feet

viii. Will the excavation require blasting? ☐ Yes ☒ No

ix. Summarize site reclamation goals and plan: _____

The goal of the project is to decommission the existing dam and reclassify it as a Class D hazard classification dam. By decommissioning the dam, the Saw Mill River will be reverted back to its original state of a flowing river as the breached dam will no longer hold an impoundment under normal flow conditions.

b. Would the proposed action cause or result in alteration of, increase or decrease in size of, or encroachment into any existing wetland, waterbody, shoreline, beach or adjacent area? ☒ Yes ☐ No
 If Yes:

i. Identify the wetland or waterbody which would be affected (by name, water index number, wetland map number or geographic description): Woodlands Lake (1301-0103)

ii. Describe how the proposed action would affect that waterbody or wetland, e.g. excavation, fill, placement of structures, or alteration of channels, banks and shorelines. Indicate extent of activities, alterations and additions in square feet or acres:
Decommissioning of dam will require excavation, fill, placement of structures, and alteration of channels, banks, and shorelines. Modifications include partial removal of existing dam structure and management of future flows through the existing land and dam with an adequately sized outlet structure and control channel. Proposed improvements include removal of the existing bridge, replacement with a preengineered bridge, new lowflow channel, series of armor stone weirs, regrading bank stabilization, and landscaping improvements.

iii. Will proposed action cause or result in disturbance to bottom sediments? ☒ Yes ☐ No

If Yes, describe: Excavation of bottom sediments will occur to modify stream flow and implement vortex stone weirs.

iv. Will proposed action cause or result in the destruction or removal of aquatic vegetation? ☐ Yes ☒ No

If Yes:

- acres of aquatic vegetation proposed to be removed: _____
- expected acreage of aquatic vegetation remaining after project completion: _____
- purpose of proposed removal (e.g. beach clearing, invasive species control, boat access): _____
- proposed method of plant removal: _____
- if chemical/herbicide treatment will be used, specify product(s): _____

v. Describe any proposed reclamation/mitigation following disturbance: _____

c. Will the proposed action use, or create a new demand for water? ☐ Yes ☒ No

If Yes:

i. Total anticipated water usage/demand per day: _____ gallons/day

ii. Will the proposed action obtain water from an existing public water supply? ☐ Yes ☐ No

If Yes:

- Name of district or service area: _____
- Does the existing public water supply have capacity to serve the proposal? ☐ Yes ☐ No
- Is the project site in the existing district? ☐ Yes ☐ No
- Is expansion of the district needed? ☐ Yes ☐ No
- Do existing lines serve the project site? ☐ Yes ☐ No

iii. Will line extension within an existing district be necessary to supply the project? ☐ Yes ☐ No

If Yes:

- Describe extensions or capacity expansions proposed to serve this project: _____
- Source(s) of supply for the district: _____

iv. Is a new water supply district or service area proposed to be formed to serve the project site? ☐ Yes ☐ No

If, Yes:

- Applicant/sponsor for new district: _____
- Date application submitted or anticipated: _____
- Proposed source(s) of supply for new district: _____

v. If a public water supply will not be used, describe plans to provide water supply for the project: _____

vi. If water supply will be from wells (public or private), maximum pumping capacity: _____ gallons/minute.

d. Will the proposed action generate liquid wastes? ☐ Yes ☒ No

If Yes:

i. Total anticipated liquid waste generation per day: _____ gallons/day

ii. Nature of liquid wastes to be generated (e.g., sanitary wastewater, industrial; if combination, describe all components and approximate volumes or proportions of each): _____

iii. Will the proposed action use any existing public wastewater treatment facilities? ☐ Yes ☐ No

If Yes:

- Name of wastewater treatment plant to be used: _____
- Name of district: _____
- Does the existing wastewater treatment plant have capacity to serve the project? ☐ Yes ☐ No
- Is the project site in the existing district? ☐ Yes ☐ No
- Is expansion of the district needed? ☐ Yes ☐ No

<ul style="list-style-type: none"> • Do existing sewer lines serve the project site? _____ • Will line extension within an existing district be necessary to serve the project? _____ <p>If Yes:</p> <ul style="list-style-type: none"> • Describe extensions or capacity expansions proposed to serve this project: _____ 	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No	
<p>iv. Will a new wastewater (sewage) treatment district be formed to serve the project site? _____</p> <p>If Yes:</p> <ul style="list-style-type: none"> • Applicant/sponsor for new district: _____ • Date application submitted or anticipated: _____ • What is the receiving water for the wastewater discharge? _____ 	<input type="checkbox"/> Yes <input type="checkbox"/> No	
<p>v. If public facilities will not be used, describe plans to provide wastewater treatment for the project, including specifying proposed receiving water (name and classification if surface discharge, or describe subsurface disposal plans): _____</p>		
<p>vi. Describe any plans or designs to capture, recycle or reuse liquid waste: _____</p>		
<p>e. Will the proposed action disturb more than one acre and create stormwater runoff, either from new point sources (i.e. ditches, pipes, swales, curbs, gutters or other concentrated flows of stormwater) or non-point source (i.e. sheet flow) during construction or post construction? _____</p> <p>If Yes:</p> <p>i. How much impervious surface will the project create in relation to total size of project parcel?</p> <p style="margin-left: 40px;">_____ Square feet or _____ acres (impervious surface)</p> <p style="margin-left: 40px;">_____ Square feet or _____ acres (parcel size)</p> <p>ii. Describe types of new point sources. _____</p> <p>iii. Where will the stormwater runoff be directed (i.e. on-site stormwater management facility/structures, adjacent properties, groundwater, on-site surface water or off-site surface waters)? _____</p> <p style="margin-left: 40px;">• If to surface waters, identify receiving water bodies or wetlands: _____</p> <p style="margin-left: 40px;">• Will stormwater runoff flow to adjacent properties? _____</p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No	
<p>iv. Does proposed plan minimize impervious surfaces, use pervious materials or collect and re-use stormwater? _____</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No	
<p>f. Does the proposed action include, or will it use on-site, one or more sources of air emissions, including fuel combustion, waste incineration, or other processes or operations? _____</p> <p>If Yes, identify:</p> <p>i. Mobile sources during project operations (e.g., heavy equipment, fleet or delivery vehicles) _____</p> <p>ii. Stationary sources during construction (e.g., power generation, structural heating, batch plant, crushers) _____</p> <p>iii. Stationary sources during operations (e.g., process emissions, large boilers, electric generation) _____</p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<p>g. Will any air emission sources named in D.2.f (above), require a NY State Air Registration, Air Facility Permit, or Federal Clean Air Act Title IV or Title V Permit? _____</p> <p>If Yes:</p> <p>i. Is the project site located in an Air quality non-attainment area? (Area routinely or periodically fails to meet ambient air quality standards for all or some parts of the year) _____</p> <p>ii. In addition to emissions as calculated in the application, the project will generate:</p> <ul style="list-style-type: none"> • _____ Tons/year (short tons) of Carbon Dioxide (CO₂) • _____ Tons/year (short tons) of Nitrous Oxide (N₂O) • _____ Tons/year (short tons) of Perfluorocarbons (PFCs) • _____ Tons/year (short tons) of Sulfur Hexafluoride (SF₆) • _____ Tons/year (short tons) of Carbon Dioxide equivalent of Hydrofluorocarbons (HFCs) • _____ Tons/year (short tons) of Hazardous Air Pollutants (HAPs) 		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No

h. Will the proposed action generate or emit methane (including, but not limited to, sewage treatment plants, landfills, composting facilities)? ☐ Yes ☒ No

If Yes:

i. Estimate methane generation in tons/year (metric): _____

ii. Describe any methane capture, control or elimination measures included in project design (e.g., combustion to generate heat or electricity, flaring): _____

i. Will the proposed action result in the release of air pollutants from open-air operations or processes, such as quarry or landfill operations? ☐ Yes ☒ No

If Yes: Describe operations and nature of emissions (e.g., diesel exhaust, rock particulates/dust): _____

j. Will the proposed action result in a substantial increase in traffic above present levels or generate substantial new demand for transportation facilities or services? ☐ Yes ☒ No

If Yes:

i. When is the peak traffic expected (Check all that apply): ☐ Morning ☐ Evening ☐ Weekend
☐ Randomly between hours of _____ to _____.

ii. For commercial activities only, projected number of semi-trailer truck trips/day: _____

iii. Parking spaces: Existing _____ Proposed _____ Net increase/decrease _____

iv. Does the proposed action include any shared use parking? ☐ Yes ☐ No

v. If the proposed action includes any modification of existing roads, creation of new roads or change in existing access, describe: _____

vi. Are public/private transportation service(s) or facilities available within ½ mile of the proposed site? ☐ Yes ☐ No

vii. Will the proposed action include access to public transportation or accommodations for use of hybrid, electric or other alternative fueled vehicles? ☐ Yes ☐ No

viii. Will the proposed action include plans for pedestrian or bicycle accommodations for connections to existing pedestrian or bicycle routes? ☐ Yes ☐ No

k. Will the proposed action (for commercial or industrial projects only) generate new or additional demand for energy? ☐ Yes ☒ No

If Yes:

i. Estimate annual electricity demand during operation of the proposed action: _____

ii. Anticipated sources/suppliers of electricity for the project (e.g., on-site combustion, on-site renewable, via grid/local utility, or other): _____

iii. Will the proposed action require a new, or an upgrade to, an existing substation? ☐ Yes ☐ No

l. Hours of operation. Answer all items which apply.

i. During Construction:	ii. During Operations:
• Monday - Friday: _____ 7am-7pm	• Monday - Friday: _____ N/A
• Saturday: _____	• Saturday: _____ N/A
• Sunday: _____	• Sunday: _____ N/A
• Holidays: _____	• Holidays: _____ N/A

<p>m. Will the proposed action produce noise that will exceed existing ambient noise levels during construction, operation, or both? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes:</p> <p>i. Provide details including sources, time of day and duration: <u>Excavation equipment/demolition practices during the construction times listed above.</u></p>	
<p>ii. Will proposed action remove existing natural barriers that could act as a noise barrier or screen? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>Describe: _____</p>	
<p>n. Will the proposed action have outdoor lighting? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>If yes:</p> <p>i. Describe source(s), location(s), height of fixture(s), direction/aim, and proximity to nearest occupied structures: _____</p>	
<p>ii. Will proposed action remove existing natural barriers that could act as a light barrier or screen? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Describe: _____</p>	
<p>o. Does the proposed action have the potential to produce odors for more than one hour per day? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>If Yes, describe possible sources, potential frequency and duration of odor emissions, and proximity to nearest occupied structures: _____</p>	
<p>p. Will the proposed action include any bulk storage of petroleum (combined capacity of over 1,100 gallons) or chemical products 185 gallons in above ground storage or any amount in underground storage? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>If Yes:</p> <p>i. Product(s) to be stored _____</p> <p>ii. Volume(s) _____ per unit time _____ (e.g., month, year)</p> <p>iii. Generally describe proposed storage facilities: _____</p>	
<p>q. Will the proposed action (commercial, industrial and recreational projects only) use pesticides (i.e., herbicides, insecticides) during construction or operation? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>If Yes:</p> <p>i. Describe proposed treatment(s): _____</p>	
<p>ii. Will the proposed action use Integrated Pest Management Practices? <input type="checkbox"/> Yes <input type="checkbox"/> No</p>	
<p>r. Will the proposed action (commercial or industrial projects only) involve or require the management or disposal of solid waste (excluding hazardous materials)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If Yes:</p> <p>i. Describe any solid waste(s) to be generated during construction or operation of the facility:</p> <ul style="list-style-type: none"> • Construction: <u>Demolition debris 1,300+/-</u> tons per <u>6 months</u> (unit of time) • Operation : <u>0</u> tons per <u>N/A</u> (unit of time) <p>ii. Describe any proposals for on-site minimization, recycling or reuse of materials to avoid disposal as solid waste:</p> <ul style="list-style-type: none"> • Construction: <u>The portion of existing stone masonry that will be removed from the dam will be reused as riprap.</u> • Operation: <u>N/A</u> <p>iii. Proposed disposal methods/facilities for solid waste generated on-site:</p> <ul style="list-style-type: none"> • Construction: <u>Demolished materials that will not be reused will be disposed of at a location proposed by the contractor and approved by the owner. The disposal facility shall provide proof of compliance with federal state and local requirements prior to approval.</u> • Operation: <u>N/A</u> 	

s. Does the proposed action include construction or modification of a solid waste management facility? ☐ Yes ☒ No

If Yes:

i. Type of management or handling of waste proposed for the site (e.g., recycling or transfer station, composting, landfill, or other disposal activities): _____

ii. Anticipated rate of disposal/processing:

- _____ Tons/month, if transfer or other non-combustion/thermal treatment, or
- _____ Tons/hour, if combustion or thermal treatment

iii. If landfill, anticipated site life: _____ years

t. Will proposed action at the site involve the commercial generation, treatment, storage, or disposal of hazardous waste? ☐ Yes ☒ No

If Yes:

i. Name(s) of all hazardous wastes or constituents to be generated, handled or managed at facility: _____

ii. Generally describe processes or activities involving hazardous wastes or constituents: _____

iii. Specify amount to be handled or generated _____ tons/month

iv. Describe any proposals for on-site minimization, recycling or reuse of hazardous constituents: _____

v. Will any hazardous wastes be disposed at an existing offsite hazardous waste facility? ☐ Yes ☐ No

If Yes: provide name and location of facility: _____

If No: describe proposed management of any hazardous wastes which will not be sent to a hazardous waste facility:
 NA there will be no involvement of hazardous waste at the site.

E. Site and Setting of Proposed Action

E.1. Land uses on and surrounding the project site

a. Existing land uses.

i. Check all uses that occur on, adjoining and near the project site.

☒ Urban ☐ Industrial ☐ Commercial ☒ Residential (suburban) ☐ Rural (non-farm)

☒ Forest ☐ Agriculture ☐ Aquatic ☐ Other (specify): _____

ii. If mix of uses, generally describe:
 Surrounding land use is residential; site extents are within the VE Macy Park (forest).

b. Land uses and covertypes on the project site.

Land use or Covertype	Current Acreage	Acreage After Project Completion	Change (Acres +/-)
• Roads, buildings, and other paved or impervious surfaces	0.1	0.1	0
• Forested			
• Meadows, grasslands or brushlands (non-agricultural, including abandoned agricultural)	1.5	5	+3.5
• Agricultural (includes active orchards, field, greenhouse etc.)			
• Surface water features (lakes, ponds, streams, rivers, etc.)	5.4	1.9	-3.5
• Wetlands (freshwater or tidal)			
• Non-vegetated (bare rock, earth or fill)			
• Other Describe: _____			

c. Is the project site presently used by members of the community for public recreation? ☒ Yes ☐ No
i. If Yes: explain: Woodlands Lake is within a county public park

d. Are there any facilities serving children, the elderly, people with disabilities (e.g., schools, hospitals, licensed day care centers, or group homes) within 1500 feet of the project site? ☐ Yes ☒ No
If Yes,
i. Identify Facilities:

e. Does the project site contain an existing dam? ☒ Yes ☐ No
If Yes:
i. Dimensions of the dam and impoundment:
• Dam height: _____ Approx. 15 feet
• Dam length: _____ Approx. 128 feet
• Surface area: _____ Approx. 3.5 acres
• Volume impounded: _____ Approx. 19.8 gallons OR acre-feet
ii. Dam's existing hazard classification: Class B
iii. Provide date and summarize results of last inspection:
NYSDEC inspection letter dated April 9, 2019: Heavy flow through the spillway obstructed the downstream face and apron along the toe. Vine and brush growth were observed along the toe which should be cleared. Spillways appeared generally free of debris. Concrete at spillway piers had deterioration.

f. Has the project site ever been used as a municipal, commercial or industrial solid waste management facility, ☐ Yes ☒ No
or does the project site adjoin property which is now, or was at one time, used as a solid waste management facility?
If Yes:
i. Has the facility been formally closed? ☐ Yes ☐ No
• If yes, cite sources/documentation: _____
ii. Describe the location of the project site relative to the boundaries of the solid waste management facility:

iii. Describe any development constraints due to the prior solid waste activities: _____

g. Have hazardous wastes been generated, treated and/or disposed of at the site, or does the project site adjoin property which is now or was at one time used to commercially treat, store and/or dispose of hazardous waste? ☐ Yes ☒ No
If Yes:
i. Describe waste(s) handled and waste management activities, including approximate time when activities occurred:

h. Potential contamination history. Has there been a reported spill at the proposed project site, or have any remedial actions been conducted at or adjacent to the proposed site? ☒ Yes ☐ No
If Yes:
i. Is any portion of the site listed on the NYSDEC Spills Incidents database or Environmental Site Remediation database? Check all that apply: ☒ Yes ☐ No
☒ Yes – Spills Incidents database Provide DEC ID number(s): 0101688
☐ Yes – Environmental Site Remediation database Provide DEC ID number(s): _____
☐ Neither database
ii. If site has been subject of RCRA corrective activities, describe control measures: _____
iii. Is the project within 2000 feet of any site in the NYSDEC Environmental Site Remediation database? ☐ Yes ☒ No
If yes, provide DEC ID number(s): _____
iv. If yes to (i), (ii) or (iii) above, describe current status of site(s):
20 gallon diesel spill - closed 7/23/2001.

v. Is the project site subject to an institutional control limiting property uses? ☐ Yes ☒ No

- If yes, DEC site ID number: _____
- Describe the type of institutional control (e.g., deed restriction or easement): _____
- Describe any use limitations: _____
- Describe any engineering controls: _____
- Will the project affect the institutional or engineering controls in place? ☐ Yes ☐ No
- Explain: _____

E.2. Natural Resources On or Near Project Site

a. What is the average depth to bedrock on the project site? _____ 7 feet

b. Are there bedrock outcroppings on the project site? ☐ Yes ☒ No
If Yes, what proportion of the site is comprised of bedrock outcroppings? _____ %

c. Predominant soil type(s) present on project site:

<u>Uc-Udorthents wet substratum</u>	<u>38 %</u>
<u>Ff-Fluvaquents-Udfluvents complex</u>	<u>7 %</u>
<u>CrC-Charlton Chatfield complex</u>	<u>17 %</u>

d. What is the average depth to the water table on the project site? Average: _____ 6 feet

e. Drainage status of project site soils: ☐ Well Drained: _____ % of site
☒ Moderately Well Drained: 50 % of site
☒ Poorly Drained 50 % of site

f. Approximate proportion of proposed action site with slopes: ☒ 0-10%: 100 % of site
☐ 10-15%: _____ % of site
☐ 15% or greater: _____ % of site

g. Are there any unique geologic features on the project site? ☐ Yes ☒ No
If Yes, describe: _____

h. Surface water features.

i. Does any portion of the project site contain wetlands or other waterbodies (including streams, rivers, ponds or lakes)? ☒ Yes ☐ No

ii. Do any wetlands or other waterbodies adjoin the project site? ☒ Yes ☐ No
If Yes to either i or ii, continue. If No, skip to E.2.i.

iii. Are any of the wetlands or waterbodies within or adjoining the project site regulated by any federal, state or local agency? ☒ Yes ☐ No

iv. For each identified regulated wetland and waterbody on the project site, provide the following information:

- Streams: Name 859-3, 859-4 Classification A, B(T)
- Lakes or Ponds: Name Woodlands Lake Classification A
- Wetlands: Name Federal Waters, Federal Waters, Federal Waters... Approximate Size 13 acres
- Wetland No. (if regulated by DEC) _____

v. Are any of the above water bodies listed in the most recent compilation of NYS water quality-impaired waterbodies? ☒ Yes ☐ No
If yes, name of impaired water body/bodies and basis for listing as impaired: _____
Name - Pollutants - Uses Saw Mill River, Middle and Tribs - Pathogens, Nutrients, D.O./Oxygen Demand, Aesthetics, Pesticides - Rec

i. Is the project site in a designated Floodway? ☒ Yes ☐ No

j. Is the project site in the 100 year Floodplain? ☒ Yes ☐ No

k. Is the project site in the 500 year Floodplain? ☒ Yes ☐ No

l. Is the project site located over, or immediately adjoining, a primary, principal or sole source aquifer? ☒ Yes ☐ No
If Yes:
i. Name of aquifer: Principal Aquifer

m. Identify the predominant wildlife species that occupy or use the project site: Waterfowl & Shore birds, including: _____ Canada Goose, Mallard, _____ Great Blue Heron _____		Fish in the Saw Mill River include: _____ Sunfish, Dace, Darter, Sucker, Bass _____	
n. Does the project site contain a designated significant natural community? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If Yes: <i>i. Describe the habitat/community (composition, function, and basis for designation):</i> _____ <i>ii. Source(s) of description or evaluation:</i> _____ <i>iii. Extent of community/habitat:</i> • Currently: _____ acres • Following completion of project as proposed: _____ acres • Gain or loss (indicate + or -): _____ acres			
o. Does project site contain any species of plant or animal that is listed by the federal government or NYS as endangered or threatened, or does it contain any areas identified as habitat for an endangered or threatened species? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
p. Does the project site contain any species of plant or animal that is listed by NYS as rare, or as a species of special concern? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
q. Is the project site or adjoining area currently used for hunting, trapping, fishing or shell fishing? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, give a brief description of how the proposed action may affect that use: _____ Although the removal of the dam will eliminate the lake, the restoration of the Saw Mill River will allow for continued fishing opportunity.			
E.3. Designated Public Resources On or Near Project Site			
a. Is the project site, or any portion of it, located in a designated agricultural district certified pursuant to Agriculture and Markets Law, Article 25-AA, Section 303 and 304? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If Yes, provide county plus district name/number: _____			
b. Are agricultural lands consisting of highly productive soils present? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>i. If Yes: acreage(s) on project site?</i> _____ <i>ii. Source(s) of soil rating(s):</i> _____			
c. Does the project site contain all or part of, or is it substantially contiguous to, a registered National Natural Landmark? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If Yes: <i>i. Nature of the natural landmark:</i> <input type="checkbox"/> Biological Community <input type="checkbox"/> Geological Feature <i>ii. Provide brief description of landmark, including values behind designation and approximate size/extent:</i> _____ _____ _____			
d. Is the project site located in or does it adjoin a state listed Critical Environmental Area? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If Yes: <i>i. CEA name:</i> Juhring Estate, Floodplains, County & State Park Lands, Watershed Properties <i>ii. Basis for designation:</i> Drainage and open space resource, Protect water & natural area, Exceptional or unique character <i>iii. Designating agency and date:</i> Agency: Dobbs Ferry, Village of, Agency: Greenburgh, Town of, Agency: Westchester County, ...			

e. Does the project site contain, or is it substantially contiguous to, a building, archaeological site, or district which is listed on, or has been nominated by the NYS Board of Historic Preservation for inclusion on, the State or National Register of Historic Places?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
If Yes: i. Nature of historic/archaeological resource: <input type="checkbox"/> Archaeological Site <input type="checkbox"/> Historic Building or District ii. Name: _____ iii. Brief description of attributes on which listing is based: _____	
f. Is the project site, or any portion of it, located in or adjacent to an area designated as sensitive for archaeological sites on the NY State Historic Preservation Office (SHPO) archaeological site inventory?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <small>Per SHPO letter dated 6/27/16</small>
g. Have additional archaeological or historic site(s) or resources been identified on the project site?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
If Yes: i. Describe possible resource(s): _____ ii. Basis for identification: _____	
h. Is the project site within five miles of any officially designated and publicly accessible federal, state, or local scenic or aesthetic resource?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
If Yes: i. Identify resource: <u>Woodlands Lake</u> ii. Nature of, or basis for, designation (e.g., established highway overlook, state or local park, state historic trail or scenic byway, etc.): <u>County Park</u> iii. Distance between project and resource: _____ 0 miles.	
i. Is the project site located within a designated river corridor under the Wild, Scenic and Recreational Rivers Program 6 NYCRR 666?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
If Yes: i. Identify the name of the river and its designation: _____ ii. Is the activity consistent with development restrictions contained in 6NYCRR Part 666?	
<input type="checkbox"/> Yes <input type="checkbox"/> No	

F. Additional Information

Attach any additional information which may be needed to clarify your project.

If you have identified any adverse impacts which could be associated with your proposal, please describe those impacts plus any measures which you propose to avoid or minimize them.

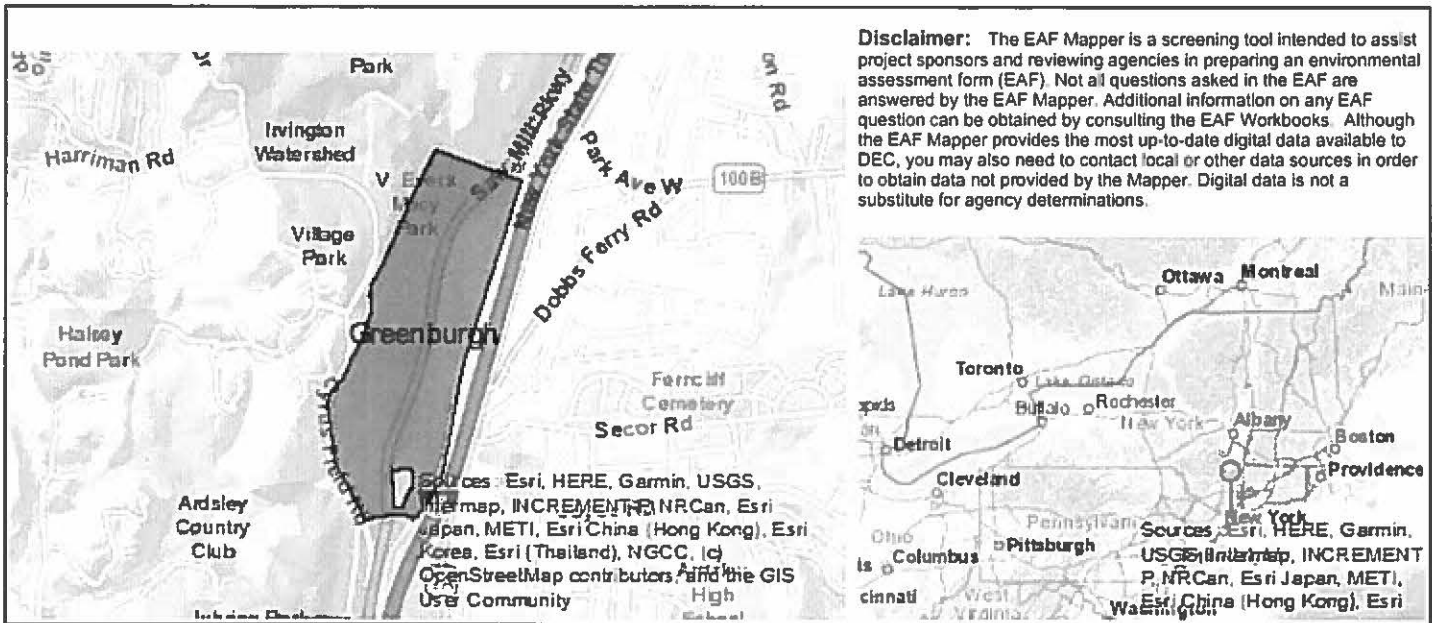
G. Verification

I certify that the information provided is true to the best of my knowledge.

Applicant/Sponsor Name County of Westchester Date October 28, 2019 (Revised February 26, 2021)

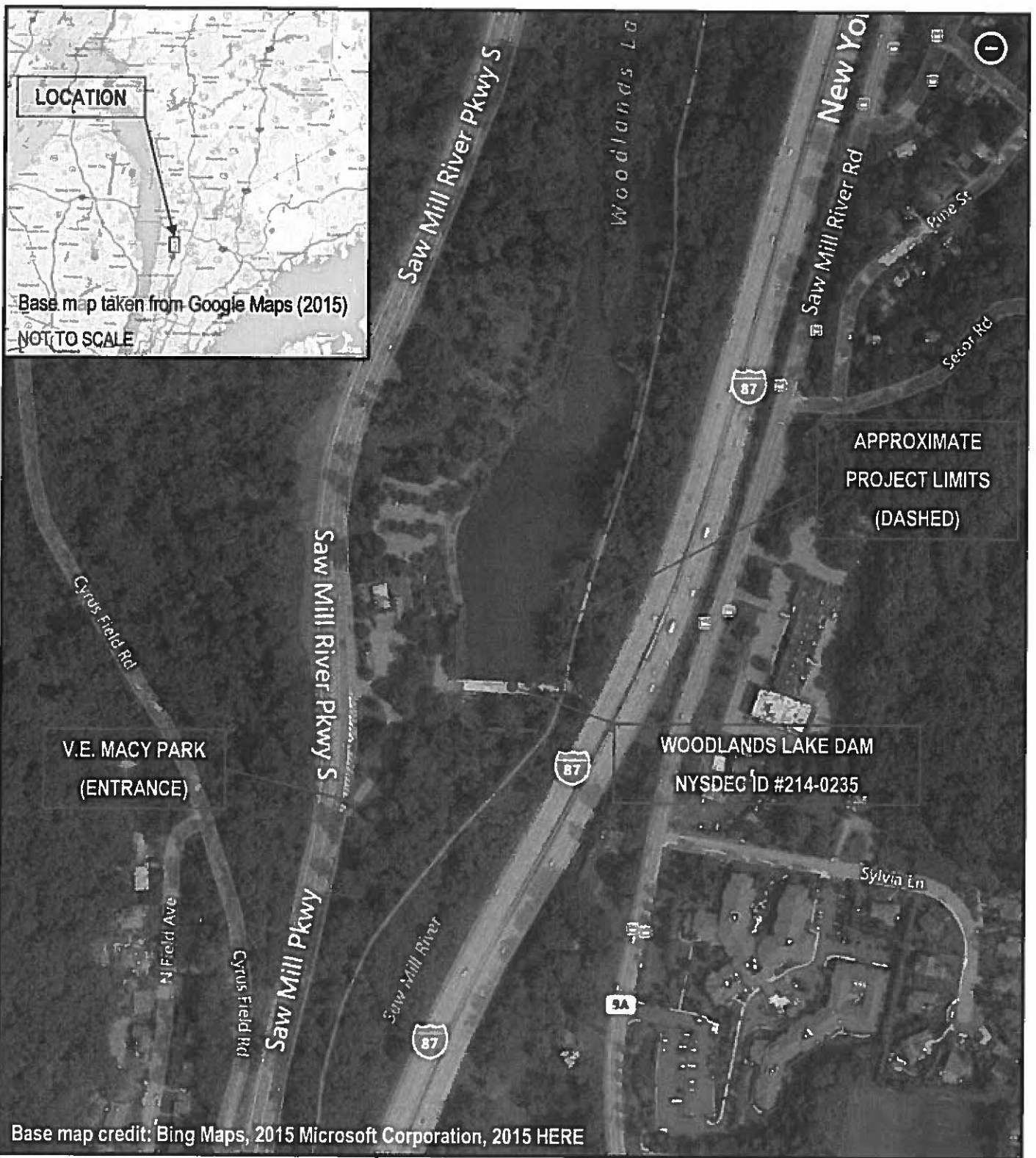
Signature  Title Director of Environmental Planning

PRINT FORM



B.i.i [Coastal or Waterfront Area]	No
B.i.ii [Local Waterfront Revitalization Area]	No
C.2.b. [Special Planning District]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.1.h [DEC Spills or Remediation Site - Potential Contamination History]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.1.h.i [DEC Spills or Remediation Site - Listed]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.1.h.i [DEC Spills or Remediation Site - Environmental Site Remediation Database]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.1.h.iii [Within 2,000' of DEC Remediation Site]	No
E.2.g [Unique Geologic Features]	No
E.2.h.i [Surface Water Features]	Yes
E.2.h.ii [Surface Water Features]	Yes
E.2.h.iii [Surface Water Features]	Yes - Digital mapping information on local and federal wetlands and waterbodies is known to be incomplete. Refer to EAF Workbook.
E.2.h.iv [Surface Water Features - Stream Name]	859-3, 859-4
E.2.h.iv [Surface Water Features - Stream Classification]	A, B(T)
E.2.h.iv [Surface Water Features - Wetlands Name]	Federal Waters
E.2.h.v [Impaired Water Bodies]	Yes
E.2.h.v [Impaired Water Bodies - Name and Basis for Listing]	Name - Pollutants - Uses:Saw Mill River, Middle, and tribs - Pathogens;Nutrients;D.O./Oxygen Demand;Aesthetics;Pesticides - Recreation;Fish Consumption;Public Bathing;Aquatic Life, Upper, and tribs - Pesticides - Fish Consumption

E.2.i. [Floodway]	Yes
E.2.j. [100 Year Floodplain]	Yes
E.2.k. [500 Year Floodplain]	Yes
E.2.l. [Aquifers]	Yes
E.2.l. [Aquifer Names]	Principal Aquifer
E.2.n. [Natural Communities]	No
E.2.o. [Endangered or Threatened Species]	No
E.2.p. [Rare Plants or Animals]	No
E.3.a. [Agricultural District]	No
E.3.c. [National Natural Landmark]	No
E.3.d [Critical Environmental Area]	Yes
E.3.d [Critical Environmental Area - Name]	Juhring Estate, Floodplains, County & State Park Lands, Watershed Properties
E.3.d.ii [Critical Environmental Area - Reason]	Drainage and open space resource, Protect water & natural area, Exceptional or unique character
E.3.d.iii [Critical Environmental Area – Date and Agency]	Agency:Dobbs Ferry, Village of, Agency:Greenburgh, Town of, Agency:Westchester County, Date:1-27-96, Date:1-30-79, Date:1-31-90
E.3.e. [National or State Register of Historic Places or State Eligible Sites]	Digital mapping data are not available or are incomplete. Refer to EAF Workbook.
E.3.f. [Archeological Sites]	Yes
E.3.i. [Designated River Corridor]	No



CAZENOVIA, NEW YORK
DATE: 08/2015
JOB No.: 8616100

WESTCHESTER COUNTY

DPWT & DPRC

WOODLANDS LAKE DAM



0 250
feet

FIGURE 1
LOCATION MAP

Full Environmental Assessment Form
Part 2 - Identification of Potential Project Impacts

Agency Use Only (If applicable)

Project :

Date :

Part 2 is to be completed by the lead agency. Part 2 is designed to help the lead agency inventory all potential resources that could be affected by a proposed project or action. We recognize that the lead agency's reviewer(s) will not necessarily be environmental professionals. So, the questions are designed to walk a reviewer through the assessment process by providing a series of questions that can be answered using the information found in Part 1. To further assist the lead agency in completing Part 2, the form identifies the most relevant questions in Part 1 that will provide the information needed to answer the Part 2 question. When Part 2 is completed, the lead agency will have identified the relevant environmental areas that may be impacted by the proposed activity.

If the lead agency is a state agency and the action is in any Coastal Area, complete the Coastal Assessment Form before proceeding with this assessment.

Tips for completing Part 2:

- Review all of the information provided in Part 1.
- Review any application, maps, supporting materials and the Full EAF Workbook.
- Answer each of the 18 questions in Part 2.
- If you answer "Yes" to a numbered question, please complete all the questions that follow in that section.
- If you answer "No" to a numbered question, move on to the next numbered question.
- Check appropriate column to indicate the anticipated size of the impact.
- Proposed projects that would exceed a numeric threshold contained in a question should result in the reviewing agency checking the box "Moderate to large impact may occur."
- The reviewer is not expected to be an expert in environmental analysis.
- If you are not sure or undecided about the size of an impact, it may help to review the sub-questions for the general question and consult the workbook.
- When answering a question consider all components of the proposed activity, that is, the "whole action".
- Consider the possibility for long-term and cumulative impacts as well as direct impacts.
- Answer the question in a reasonable manner considering the scale and context of the project.

1. Impact on Land Proposed action may involve construction on, or physical alteration of, the land surface of the proposed site. (See Part 1. D.1) <i>If "Yes", answer questions a - j. If "No", move on to Section 2.</i>		<input type="checkbox"/> NO	<input type="checkbox"/> YES
	Relevant Part I Question(s)	No, or small impact may occur	Moderate to large impact may occur
a. The proposed action may involve construction on land where depth to water table is less than 3 feet.	E2d	<input type="checkbox"/>	<input type="checkbox"/>
b. The proposed action may involve construction on slopes of 15% or greater.	E2f	<input type="checkbox"/>	<input type="checkbox"/>
c. The proposed action may involve construction on land where bedrock is exposed, or generally within 5 feet of existing ground surface.	E2a	<input type="checkbox"/>	<input type="checkbox"/>
d. The proposed action may involve the excavation and removal of more than 1,000 tons of natural material.	D2a	<input type="checkbox"/>	<input type="checkbox"/>
e. The proposed action may involve construction that continues for more than one year or in multiple phases.	D1e	<input type="checkbox"/>	<input type="checkbox"/>
f. The proposed action may result in increased erosion, whether from physical disturbance or vegetation removal (including from treatment by herbicides).	D2e, D2q	<input type="checkbox"/>	<input type="checkbox"/>
g. The proposed action is, or may be, located within a Coastal Erosion hazard area.	B1i	<input type="checkbox"/>	<input type="checkbox"/>
h. Other impacts: _____		<input type="checkbox"/>	<input type="checkbox"/>

2. Impact on Geological Features The proposed action may result in the modification or destruction of, or inhibit access to, any unique or unusual land forms on the site (e.g., cliffs, dunes, minerals, fossils, caves). (See Part 1. E.2.g) <input checked="" type="checkbox"/> NO <input type="checkbox"/> YES <i>If "Yes", answer questions a - c. If "No", move on to Section 3.</i>			
	Relevant Part I Question(s)	No, or small impact may occur	Moderate to large impact may occur
a. Identify the specific land form(s) attached: _____	E2g	<input type="checkbox"/>	<input type="checkbox"/>
b. The proposed action may affect or is adjacent to a geological feature listed as a registered National Natural Landmark. Specific feature: _____	E3c	<input type="checkbox"/>	<input type="checkbox"/>
c. Other impacts: _____		<input type="checkbox"/>	<input type="checkbox"/>

3. Impacts on Surface Water The proposed action may affect one or more wetlands or other surface water bodies (e.g., streams, rivers, ponds or lakes). (See Part 1. D.2, E.2.h) <input type="checkbox"/> NO <input checked="" type="checkbox"/> YES <i>If "Yes", answer questions a - l. If "No", move on to Section 4.</i>			
	Relevant Part I Question(s)	No, or small impact may occur	Moderate to large impact may occur
a. The proposed action may create a new water body.	D2b, D1h	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. The proposed action may result in an increase or decrease of over 10% or more than a 10 acre increase or decrease in the surface area of any body of water.	D2b	<input type="checkbox"/>	<input checked="" type="checkbox"/>
c. The proposed action may involve dredging more than 100 cubic yards of material from a wetland or water body.	D2a	<input type="checkbox"/>	<input checked="" type="checkbox"/>
d. The proposed action may involve construction within or adjoining a freshwater or tidal wetland, or in the bed or banks of any other water body.	E2h	<input type="checkbox"/>	<input checked="" type="checkbox"/>
e. The proposed action may create turbidity in a waterbody, either from upland erosion, runoff or by disturbing bottom sediments.	D2a, D2h	<input type="checkbox"/>	<input checked="" type="checkbox"/>
f. The proposed action may include construction of one or more intake(s) for withdrawal of water from surface water.	D2c	<input checked="" type="checkbox"/>	<input type="checkbox"/>
g. The proposed action may include construction of one or more outfall(s) for discharge of wastewater to surface water(s).	D2d	<input checked="" type="checkbox"/>	<input type="checkbox"/>
h. The proposed action may cause soil erosion, or otherwise create a source of stormwater discharge that may lead to siltation or other degradation of receiving water bodies.	D2e	<input checked="" type="checkbox"/>	<input type="checkbox"/>
i. The proposed action may affect the water quality of any water bodies within or downstream of the site of the proposed action.	E2h	<input checked="" type="checkbox"/>	<input type="checkbox"/>
j. The proposed action may involve the application of pesticides or herbicides in or around any water body.	D2q, E2h	<input checked="" type="checkbox"/>	<input type="checkbox"/>
k. The proposed action may require the construction of new, or expansion of existing, wastewater treatment facilities.	D1a, D2d	<input checked="" type="checkbox"/>	<input type="checkbox"/>

I. Other impacts: _____ _____		<input type="checkbox"/>	<input type="checkbox"/>
----------------------------------	--	--------------------------	--------------------------

4. Impact on groundwater The proposed action may result in new or additional use of ground water, or may have the potential to introduce contaminants to ground water or an aquifer. (See Part I. D.2.a, D.2.c, D.2.d, D.2.p, D.2.q, D.2.t) If "Yes", answer questions a - h. If "No", move on to Section 5.			
		<input checked="" type="checkbox"/> NO	<input type="checkbox"/> YES
	Relevant Part I Question(s)	No, or small impact may occur	Moderate to large impact may occur
a. The proposed action may require new water supply wells, or create additional demand on supplies from existing water supply wells.	D2c	<input type="checkbox"/>	<input type="checkbox"/>
b. Water supply demand from the proposed action may exceed safe and sustainable withdrawal capacity rate of the local supply or aquifer. Cite Source: _____	D2c	<input type="checkbox"/>	<input type="checkbox"/>
c. The proposed action may allow or result in residential uses in areas without water and sewer services.	D1a, D2c	<input type="checkbox"/>	<input type="checkbox"/>
d. The proposed action may include or require wastewater discharged to groundwater.	D2d, E2l	<input type="checkbox"/>	<input type="checkbox"/>
e. The proposed action may result in the construction of water supply wells in locations where groundwater is, or is suspected to be, contaminated.	D2c, E1f, E1g, E1h	<input type="checkbox"/>	<input type="checkbox"/>
f. The proposed action may require the bulk storage of petroleum or chemical products over ground water or an aquifer.	D2p, E2l	<input type="checkbox"/>	<input type="checkbox"/>
g. The proposed action may involve the commercial application of pesticides within 100 feet of potable drinking water or irrigation sources.	E2h, D2q, E2l, D2c	<input type="checkbox"/>	<input type="checkbox"/>
h. Other impacts: _____ _____		<input type="checkbox"/>	<input type="checkbox"/>

5. Impact on Flooding The proposed action may result in development on lands subject to flooding. (See Part I. E.2) If "Yes", answer questions a - g. If "No", move on to Section 6.			
		<input type="checkbox"/> NO	<input checked="" type="checkbox"/> YES
	Relevant Part I Question(s)	No, or small impact may occur	Moderate to large impact may occur
a. The proposed action may result in development in a designated floodway.	E2i	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. The proposed action may result in development within a 100 year floodplain.	E2j	<input checked="" type="checkbox"/>	<input type="checkbox"/>
c. The proposed action may result in development within a 500 year floodplain.	E2k	<input checked="" type="checkbox"/>	<input type="checkbox"/>
d. The proposed action may result in, or require, modification of existing drainage patterns.	D2b, D2e	<input checked="" type="checkbox"/>	<input type="checkbox"/>
e. The proposed action may change flood water flows that contribute to flooding.	D2b, E2i, E2j, E2k	<input checked="" type="checkbox"/>	<input type="checkbox"/>
f. If there is a dam located on the site of the proposed action, is the dam in need of repair, or upgrade?	E1e	<input type="checkbox"/>	<input checked="" type="checkbox"/>

g. Other impacts: _____ _____		<input type="checkbox"/>	<input type="checkbox"/>
----------------------------------	--	--------------------------	--------------------------

6. Impacts on Air The proposed action may include a state regulated air emission source. <input checked="" type="checkbox"/> NO <input type="checkbox"/> YES (See Part 1. D.2.f., D.2.h, D.2.g) <i>If "Yes", answer questions a - f. If "No", move on to Section 7.</i>			
	Relevant Part I Question(s)	No, or small impact may occur	Moderate to large impact may occur
a. If the proposed action requires federal or state air emission permits, the action may also emit one or more greenhouse gases at or above the following levels: i. More than 1000 tons/year of carbon dioxide (CO ₂) ii. More than 3.5 tons/year of nitrous oxide (N ₂ O) iii. More than 1000 tons/year of carbon equivalent of perfluorocarbons (PFCs) iv. More than .045 tons/year of sulfur hexafluoride (SF ₆) v. More than 1000 tons/year of carbon dioxide equivalent of hydrochloroflourocarbons (HFCs) emissions vi. 43 tons/year or more of methane	D2g D2g D2g D2g D2g D2h	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
b. The proposed action may generate 10 tons/year or more of any one designated hazardous air pollutant, or 25 tons/year or more of any combination of such hazardous air pollutants.	D2g	<input type="checkbox"/>	<input type="checkbox"/>
c. The proposed action may require a state air registration, or may produce an emissions rate of total contaminants that may exceed 5 lbs. per hour, or may include a heat source capable of producing more than 10 million BTU's per hour.	D2f, D2g	<input type="checkbox"/>	<input type="checkbox"/>
d. The proposed action may reach 50% of any of the thresholds in "a" through "c", above.	D2g	<input type="checkbox"/>	<input type="checkbox"/>
e. The proposed action may result in the combustion or thermal treatment of more than 1 ton of refuse per hour.	D2s	<input type="checkbox"/>	<input type="checkbox"/>
f. Other impacts: _____ _____		<input type="checkbox"/>	<input type="checkbox"/>

7. Impact on Plants and Animals The proposed action may result in a loss of flora or fauna. (See Part 1. E.2. m.-q.) <input type="checkbox"/> NO <input checked="" type="checkbox"/> YES <i>If "Yes", answer questions a - j. If "No", move on to Section 8.</i>			
	Relevant Part I Question(s)	No, or small impact may occur	Moderate to large impact may occur
a. The proposed action may cause reduction in population or loss of individuals of any threatened or endangered species, as listed by New York State or the Federal government, that use the site, or are found on, over, or near the site.	E2o	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. The proposed action may result in a reduction or degradation of any habitat used by any rare, threatened or endangered species, as listed by New York State or the federal government.	E2o	<input checked="" type="checkbox"/>	<input type="checkbox"/>
c. The proposed action may cause reduction in population, or loss of individuals, of any species of special concern or conservation need, as listed by New York State or the Federal government, that use the site, or are found on, over, or near the site.	E2p	<input checked="" type="checkbox"/>	<input type="checkbox"/>
d. The proposed action may result in a reduction or degradation of any habitat used by any species of special concern and conservation need, as listed by New York State or the Federal government.	E2p	<input checked="" type="checkbox"/>	<input type="checkbox"/>

e. The proposed action may diminish the capacity of a registered National Natural Landmark to support the biological community it was established to protect.	E3c	<input checked="" type="checkbox"/>	<input type="checkbox"/>
f. The proposed action may result in the removal of, or ground disturbance in, any portion of a designated significant natural community. Source: _____	E2n	<input checked="" type="checkbox"/>	<input type="checkbox"/>
g. The proposed action may substantially interfere with nesting/breeding, foraging, or over-wintering habitat for the predominant species that occupy or use the project site.	E2m	<input type="checkbox"/>	<input checked="" type="checkbox"/>
h. The proposed action requires the conversion of more than 10 acres of forest, grassland or any other regionally or locally important habitat. Habitat type & information source: _____	E1b	<input checked="" type="checkbox"/>	<input type="checkbox"/>
i. Proposed action (commercial, industrial or recreational projects, only) involves use of herbicides or pesticides.	D2q	<input checked="" type="checkbox"/>	<input type="checkbox"/>
j. Other impacts: _____		<input type="checkbox"/>	<input type="checkbox"/>

8. Impact on Agricultural Resources The proposed action may impact agricultural resources. (See Part 1. E.3.a. and b.) <i>If "Yes", answer questions a - h. If "No", move on to Section 9.</i>		<input checked="" type="checkbox"/> NO	<input type="checkbox"/> YES
	Relevant Part I Question(s)	No, or small impact may occur	Moderate to large impact may occur
a. The proposed action may impact soil classified within soil group 1 through 4 of the NYS Land Classification System.	E2c, E3b	<input type="checkbox"/>	<input type="checkbox"/>
b. The proposed action may sever, cross or otherwise limit access to agricultural land (includes cropland, hayfields, pasture, vineyard, orchard, etc).	E1a, E1b	<input type="checkbox"/>	<input type="checkbox"/>
c. The proposed action may result in the excavation or compaction of the soil profile of active agricultural land.	E3b	<input type="checkbox"/>	<input type="checkbox"/>
d. The proposed action may irreversibly convert agricultural land to non-agricultural uses, either more than 2.5 acres if located in an Agricultural District, or more than 10 acres if not within an Agricultural District.	E1b, E3a	<input type="checkbox"/>	<input type="checkbox"/>
e. The proposed action may disrupt or prevent installation of an agricultural land management system.	E1a, E1b	<input type="checkbox"/>	<input type="checkbox"/>
f. The proposed action may result, directly or indirectly, in increased development potential or pressure on farmland.	C2c, C3, D2c, D2d	<input type="checkbox"/>	<input type="checkbox"/>
g. The proposed project is not consistent with the adopted municipal Farmland Protection Plan.	C2c	<input type="checkbox"/>	<input type="checkbox"/>
h. Other impacts: _____		<input type="checkbox"/>	<input type="checkbox"/>

9. Impact on Aesthetic Resources The land use of the proposed action are obviously different from, or are in sharp contrast to, current land use patterns between the proposed project and a scenic or aesthetic resource. (Part 1. E.1.a, E.1.b, E.3.h.) If "Yes", answer questions a - g. If "No", go to Section 10. <div style="text-align: right;"> <input type="checkbox"/> NO <input checked="" type="checkbox"/> YES </div>			
	Relevant Part I Question(s)	No, or small impact may occur	Moderate to large impact may occur
a. Proposed action may be visible from any officially designated federal, state, or local scenic or aesthetic resource.	E3h	<input type="checkbox"/>	<input checked="" type="checkbox"/>
b. The proposed action may result in the obstruction, elimination or significant screening of one or more officially designated scenic views.	E3h, C2b	<input checked="" type="checkbox"/>	<input type="checkbox"/>
c. The proposed action may be visible from publicly accessible vantage points: i. Seasonally (e.g., screened by summer foliage, but visible during other seasons) ii. Year round	E3h	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input checked="" type="checkbox"/>
d. The situation or activity in which viewers are engaged while viewing the proposed action is: i. Routine travel by residents, including travel to and from work ii. Recreational or tourism based activities	E3h E2q. E1c	<input checked="" type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input checked="" type="checkbox"/>
e. The proposed action may cause a diminishment of the public enjoyment and appreciation of the designated aesthetic resource.	E3h	<input checked="" type="checkbox"/>	<input type="checkbox"/>
f. There are similar projects visible within the following distance of the proposed project: 0-1/2 mile 1/4 -3 mile 3-5 mile 5+ mile	D1a, E1a, D1f, D1g	<input checked="" type="checkbox"/>	<input type="checkbox"/>
g. Other impacts: _____ _____		<input type="checkbox"/>	<input type="checkbox"/>

10. Impact on Historic and Archeological Resources The proposed action may occur in or adjacent to a historic or archaeological resource. (Part 1. E.3.e, f. and g.) If "Yes", answer questions a - e. If "No", go to Section 11. <div style="text-align: right;"> <input type="checkbox"/> NO <input checked="" type="checkbox"/> YES </div>			
	Relevant Part I Question(s)	No, or small impact may occur	Moderate to large impact may occur
a. The proposed action may occur wholly or partially within, or substantially contiguous to, any buildings, archaeological site or district which is listed on or has been nominated by the NYS Board of Historic Preservation for inclusion on the State or National Register of Historic Places.	E3e	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. The proposed action may occur wholly or partially within, or substantially contiguous to, an area designated as sensitive for archaeological sites on the NY State Historic Preservation Office (SHPO) archaeological site inventory.	E3f	<input checked="" type="checkbox"/>	<input type="checkbox"/>
c. The proposed action may occur wholly or partially within, or substantially contiguous to, an archaeological site not included on the NY SHPO inventory. Source: _____	E3g	<input checked="" type="checkbox"/>	<input type="checkbox"/>

d. Other impacts: _____ _____		<input type="checkbox"/>	<input type="checkbox"/>
If any of the above (a-d) are answered "Moderate to large impact may occur", continue with the following questions to help support conclusions in Part 3:			
i. The proposed action may result in the destruction or alteration of all or part of the site or property.	E3e, E3g, E3f	<input type="checkbox"/>	<input type="checkbox"/>
ii. The proposed action may result in the alteration of the property's setting or integrity.	E3e, E3f, E3g, E1a, E1b	<input type="checkbox"/>	<input type="checkbox"/>
iii. The proposed action may result in the introduction of visual elements which are out of character with the site or property, or may alter its setting.	E3e, E3f, E3g, E3h, C2, C3	<input type="checkbox"/>	<input type="checkbox"/>

11. Impact on Open Space and Recreation The proposed action may result in a loss of recreational opportunities or a reduction of an open space resource as designated in any adopted municipal open space plan. (See Part 1. C.2.c, E.1.c., E.2.q.) If "Yes", answer questions a - e. If "No", go to Section 12.			
		<input type="checkbox"/> NO	<input checked="" type="checkbox"/> YES
	Relevant Part I Question(s)	No, or small impact may occur	Moderate to large impact may occur
a. The proposed action may result in an impairment of natural functions, or "ecosystem services", provided by an undeveloped area, including but not limited to stormwater storage, nutrient cycling, wildlife habitat.	D2e, E1b E2h, E2m, E2o, E2n, E2p	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. The proposed action may result in the loss of a current or future recreational resource.	C2a, E1c, C2c, E2q	<input checked="" type="checkbox"/>	<input type="checkbox"/>
c. The proposed action may eliminate open space or recreational resource in an area with few such resources.	C2a, C2c E1c, E2q	<input checked="" type="checkbox"/>	<input type="checkbox"/>
d. The proposed action may result in loss of an area now used informally by the community as an open space resource.	C2c, E1c	<input checked="" type="checkbox"/>	<input type="checkbox"/>
e. Other impacts: _____ _____		<input type="checkbox"/>	<input type="checkbox"/>

12. Impact on Critical Environmental Areas The proposed action may be located within or adjacent to a critical environmental area (CEA). (See Part 1. E.3.d) If "Yes", answer questions a - c. If "No", go to Section 13.			
		<input type="checkbox"/> NO	<input checked="" type="checkbox"/> YES
	Relevant Part I Question(s)	No, or small impact may occur	Moderate to large impact may occur
a. The proposed action may result in a reduction in the quantity of the resource or characteristic which was the basis for designation of the CEA.	E3d	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. The proposed action may result in a reduction in the quality of the resource or characteristic which was the basis for designation of the CEA.	E3d	<input checked="" type="checkbox"/>	<input type="checkbox"/>
c. Other impacts: _____ _____		<input type="checkbox"/>	<input type="checkbox"/>

13. Impact on Transportation
The proposed action may result in a change to existing transportation systems. ☒ NO ☐ YES
(See Part 1. D.2.j)
If "Yes", answer questions a - f. If "No", go to Section 14.

	Relevant Part I Question(s)	No, or small impact may occur	Moderate to large impact may occur
a. Projected traffic increase may exceed capacity of existing road network.	D2j	<input type="checkbox"/>	<input type="checkbox"/>
b. The proposed action may result in the construction of paved parking area for 500 or more vehicles.	D2j	<input type="checkbox"/>	<input type="checkbox"/>
c. The proposed action will degrade existing transit access.	D2j	<input type="checkbox"/>	<input type="checkbox"/>
d. The proposed action will degrade existing pedestrian or bicycle accommodations.	D2j	<input type="checkbox"/>	<input type="checkbox"/>
e. The proposed action may alter the present pattern of movement of people or goods.	D2j	<input type="checkbox"/>	<input type="checkbox"/>
f. Other impacts: _____		<input type="checkbox"/>	<input type="checkbox"/>

14. Impact on Energy
The proposed action may cause an increase in the use of any form of energy. ☒ NO ☐ YES
(See Part 1. D.2.k)
If "Yes", answer questions a - e. If "No", go to Section 15.

	Relevant Part I Question(s)	No, or small impact may occur	Moderate to large impact may occur
a. The proposed action will require a new, or an upgrade to an existing, substation.	D2k	<input type="checkbox"/>	<input type="checkbox"/>
b. The proposed action will require the creation or extension of an energy transmission or supply system to serve more than 50 single or two-family residences or to serve a commercial or industrial use.	D1f, D1q, D2k	<input type="checkbox"/>	<input type="checkbox"/>
c. The proposed action may utilize more than 2,500 MWhrs per year of electricity.	D2k	<input type="checkbox"/>	<input type="checkbox"/>
d. The proposed action may involve heating and/or cooling of more than 100,000 square feet of building area when completed.	D1g	<input type="checkbox"/>	<input type="checkbox"/>
e. Other Impacts: _____		<input type="checkbox"/>	<input type="checkbox"/>

15. Impact on Noise, Odor, and Light
The proposed action may result in an increase in noise, odors, or outdoor lighting. ☐ NO ☒ YES
(See Part 1. D.2.m., n., and o.)
If "Yes", answer questions a - f. If "No", go to Section 16.

	Relevant Part I Question(s)	No, or small impact may occur	Moderate to large impact may occur
a. The proposed action may produce sound above noise levels established by local regulation.	D2m	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. The proposed action may result in blasting within 1,500 feet of any residence, hospital, school, licensed day care center, or nursing home.	D2m, E1d	<input checked="" type="checkbox"/>	<input type="checkbox"/>
c. The proposed action may result in routine odors for more than one hour per day.	D2o	<input checked="" type="checkbox"/>	<input type="checkbox"/>

d. The proposed action may result in light shining onto adjoining properties.	D2n	<input checked="" type="checkbox"/>	<input type="checkbox"/>
e. The proposed action may result in lighting creating sky-glow brighter than existing area conditions.	D2n, E1a	<input checked="" type="checkbox"/>	<input type="checkbox"/>
f. Other impacts: <u>Temporary construction impacts.</u>		<input checked="" type="checkbox"/>	<input type="checkbox"/>

16. Impact on Human Health

The proposed action may have an impact on human health from exposure to new or existing sources of contaminants. (See Part I.D.2.q., E.1. d. f. g. and h.)

☒ NO

☐ YES

If "Yes", answer questions a - m. If "No", go to Section 17.

	Relevant Part I Question(s)	No, or small impact may occur	Moderate to large impact may occur
a. The proposed action is located within 1500 feet of a school, hospital, licensed day care center, group home, nursing home or retirement community.	E1d	<input type="checkbox"/>	<input type="checkbox"/>
b. The site of the proposed action is currently undergoing remediation.	E1g, E1h	<input type="checkbox"/>	<input type="checkbox"/>
c. There is a completed emergency spill remediation, or a completed environmental site remediation on, or adjacent to, the site of the proposed action.	E1g, E1h	<input type="checkbox"/>	<input type="checkbox"/>
d. The site of the action is subject to an institutional control limiting the use of the property (e.g., easement or deed restriction).	E1g, E1h	<input type="checkbox"/>	<input type="checkbox"/>
e. The proposed action may affect institutional control measures that were put in place to ensure that the site remains protective of the environment and human health.	E1g, E1h	<input type="checkbox"/>	<input type="checkbox"/>
f. The proposed action has adequate control measures in place to ensure that future generation, treatment and/or disposal of hazardous wastes will be protective of the environment and human health.	D2t	<input type="checkbox"/>	<input type="checkbox"/>
g. The proposed action involves construction or modification of a solid waste management facility.	D2q, E1f	<input type="checkbox"/>	<input type="checkbox"/>
h. The proposed action may result in the unearthing of solid or hazardous waste.	D2q, E1f	<input type="checkbox"/>	<input type="checkbox"/>
i. The proposed action may result in an increase in the rate of disposal, or processing, of solid waste.	D2r, D2s	<input type="checkbox"/>	<input type="checkbox"/>
j. The proposed action may result in excavation or other disturbance within 2000 feet of a site used for the disposal of solid or hazardous waste.	E1f, E1g E1h	<input type="checkbox"/>	<input type="checkbox"/>
k. The proposed action may result in the migration of explosive gases from a landfill site to adjacent off site structures.	E1f, E1g	<input type="checkbox"/>	<input type="checkbox"/>
l. The proposed action may result in the release of contaminated leachate from the project site.	D2s, E1f, D2r	<input type="checkbox"/>	<input type="checkbox"/>
m. Other impacts: _____		<input type="checkbox"/>	<input type="checkbox"/>

17. Consistency with Community Plans The proposed action is not consistent with adopted land use plans. (See Part 1. C.1, C.2. and C.3.) <i>If "Yes", answer questions a - h. If "No", go to Section 18.</i>			
		<input checked="" type="checkbox"/> NO	<input type="checkbox"/> YES
	Relevant Part I Question(s)	No, or small impact may occur	Moderate to large impact may occur
a. The proposed action's land use components may be different from, or in sharp contrast to, current surrounding land use pattern(s).	C2, C3, D1a E1a, E1b	<input type="checkbox"/>	<input type="checkbox"/>
b. The proposed action will cause the permanent population of the city, town or village in which the project is located to grow by more than 5%.	C2	<input type="checkbox"/>	<input type="checkbox"/>
c. The proposed action is inconsistent with local land use plans or zoning regulations.	C2, C2, C3	<input type="checkbox"/>	<input type="checkbox"/>
d. The proposed action is inconsistent with any County plans, or other regional land use plans.	C2, C2	<input type="checkbox"/>	<input type="checkbox"/>
e. The proposed action may cause a change in the density of development that is not supported by existing infrastructure or is distant from existing infrastructure.	C3, D1c, D1d, D1f, D1d, E1b	<input type="checkbox"/>	<input type="checkbox"/>
f. The proposed action is located in an area characterized by low density development that will require new or expanded public infrastructure.	C4, D2c, D2d D2j	<input type="checkbox"/>	<input type="checkbox"/>
g. The proposed action may induce secondary development impacts (e.g., residential or commercial development not included in the proposed action)	C2a	<input type="checkbox"/>	<input type="checkbox"/>
h. Other: _____		<input type="checkbox"/>	<input type="checkbox"/>

18. Consistency with Community Character The proposed project is inconsistent with the existing community character. (See Part 1. C.2, C.3, D.2, E.3) <i>If "Yes", answer questions a - g. If "No", proceed to Part 3.</i>			
		<input checked="" type="checkbox"/> NO	<input type="checkbox"/> YES
	Relevant Part I Question(s)	No, or small impact may occur	Moderate to large impact may occur
a. The proposed action may replace or eliminate existing facilities, structures, or areas of historic importance to the community.	E3e, E3f, E3g	<input type="checkbox"/>	<input type="checkbox"/>
b. The proposed action may create a demand for additional community services (e.g. schools, police and fire)	C4	<input type="checkbox"/>	<input type="checkbox"/>
c. The proposed action may displace affordable or low-income housing in an area where there is a shortage of such housing.	C2, C3, D1f D1g, E1a	<input type="checkbox"/>	<input type="checkbox"/>
d. The proposed action may interfere with the use or enjoyment of officially recognized or designated public resources.	C2, E3	<input type="checkbox"/>	<input type="checkbox"/>
e. The proposed action is inconsistent with the predominant architectural scale and character.	C2, C3	<input type="checkbox"/>	<input type="checkbox"/>
f. Proposed action is inconsistent with the character of the existing natural landscape.	C2, C3 E1a, E1b E2g, E2h	<input type="checkbox"/>	<input type="checkbox"/>
g. Other impacts: _____		<input type="checkbox"/>	<input type="checkbox"/>

PRINT FULL FORM

Full Environmental Assessment Form
Part 3 - Evaluation of the Magnitude and Importance of Project Impacts
and
Determination of Significance

Part 3 provides the reasons in support of the determination of significance. The lead agency must complete Part 3 for every question in Part 2 where the impact has been identified as potentially moderate to large or where there is a need to explain why a particular element of the proposed action will not, or may, result in a significant adverse environmental impact.

Based on the analysis in Part 3, the lead agency must decide whether to require an environmental impact statement to further assess the proposed action or whether available information is sufficient for the lead agency to conclude that the proposed action will not have a significant adverse environmental impact. By completing the certification on the next page, the lead agency can complete its determination of significance.

Reasons Supporting This Determination:

To complete this section:

- Identify the impact based on the Part 2 responses and describe its magnitude. Magnitude considers factors such as severity, size or extent of an impact.
- Assess the importance of the impact. Importance relates to the geographic scope, duration, probability of the impact occurring, number of people affected by the impact and any additional environmental consequences if the impact were to occur.
- The assessment should take into consideration any design element or project changes.
- Repeat this process for each Part 2 question where the impact has been identified as potentially moderate to large or where there is a need to explain why a particular element of the proposed action will not, or may, result in a significant adverse environmental impact.
- Provide the reason(s) why the impact may, or will not, result in a significant adverse environmental impact
- For Conditional Negative Declarations identify the specific condition(s) imposed that will modify the proposed action so that no significant adverse environmental impacts will result.
- Attach additional sheets, as needed.

See attachment.

Determination of Significance - Type 1 and Unlisted Actions

SEQR Status: ☒ Type 1 ☐ Unlisted

Identify portions of EAF completed for this Project: ☒ Part 1 ☒ Part 2 ☒ Part 3

Upon review of the information recorded on this EAF, as noted, plus this additional support information

and considering both the magnitude and importance of each identified potential impact, it is the conclusion of the
County of Westchester, acting by and through its Board of Legislators, _____ as lead agency that:

☒ A. This project will result in no significant adverse impacts on the environment, and, therefore, an environmental impact statement need not be prepared. Accordingly, this negative declaration is issued.

☐ B. Although this project could have a significant adverse impact on the environment, that impact will be avoided or substantially mitigated because of the following conditions which will be required by the lead agency:

There will, therefore, be no significant adverse impacts from the project as conditioned, and, therefore, this conditioned negative declaration is issued. A conditioned negative declaration may be used only for UNLISTED actions (see 6 NYCRR 617.7(d)).

☐ C. This Project may result in one or more significant adverse impacts on the environment, and an environmental impact statement must be prepared to further assess the impact(s) and possible mitigation and to explore alternatives to avoid or reduce those impacts. Accordingly, this positive declaration is issued.

Name of Action: Woodlands Lake Dam at V.E. Macy Park (RMAC4)

Name of Lead Agency: County of Westchester

Name of Responsible Officer in Lead Agency: Malika Vanderberg

Title of Responsible Officer: Clerk and Chief Administrative Officer of the Westchester County Board of Legislators

Signature of Responsible Officer in Lead Agency:

Date:

Signature of Preparer (if different from Responsible Officer)

Dan Kvinge

Date:

March 4, 2021

For Further Information:

Contact Person: David S. Kvinge, Director of Environmental Planning

Address: 148 Martine Avenue, 4th floor, White Plains, NY 10601

Telephone Number: 914-995-4400

E-mail: dsk2@westchestergov.com

For Type 1 Actions and Conditioned Negative Declarations, a copy of this Notice is sent to:

Chief Executive Officer of the political subdivision in which the action will be principally located (e.g., Town / City / Village of)

Other involved agencies (if any)

Applicant (if any)

Environmental Notice Bulletin: <http://www.dec.ny.gov/enb/enb.html>

PRINT FULL FORM

WOODLANDS LAKE DAM AT V.E. MACY PARK

EAF Part 3

Impact on Land

The project will have an impact on land as it will convert the current 5.4-acre lake site back into a river with adjoining floodplains. The removal of portions of the dam will allow land that was previously underwater to resurface and return to a vegetative state. Site alterations include regrading of the lake embankments, placement of riprap in select areas, installation of a widened low-flow channel with a series of stone weirs, and extensive plantings.

While there is no onsite data confirming the depth to the water table within the project area, it is anticipated that the project may have an impact on the local water table as a new equilibrium may be established under the new site conditions. Since the project involves removal of impounded water, the change would likely lean towards a lowering of the water table. Since the project is returning a segment of the watercourse back to its native riverine condition, the new equilibrium is anticipated to be consistent with the surrounding water table.

Although the average depth to bedrock is estimated at greater than 7 feet, existing record drawings show the presence of bedrock below the existing dam. The slope of the watercourse channel will vary to avoid excavating into bedrock.

The alterations will involve regrading, including excavation of the riverbed to establish a widened channel flow that will allow for decreased stream velocities and accommodate sufficient water flow during storm events. Most of the regrading will involve cut and fill within the project area. Any excess soils are anticipated to be redistributed at an adjacent cleared area of the park.

The project includes both temporary and permanent measures to control erosion. Temporary measures include best management practices in accordance with the *New York State Standards and Specifications for Erosion and Sediment Control*, as more fully described in the following section.

Permanent measures include the installation of grouted and ungrouted rip-rap on the downstream side of the breached dam. Riprap will be added at stormwater outfalls. Rock buttresses with live stakes will be installed along the west bank where the river flow will be turning. Newly exposed embankments will be stabilized by proper grading and landscaping with native shrubs and seeding. The resurfaced former lakebed will be re-vegetated with a mixture of wildlife food and shelter seed mix, wetland meadow mix and common rye. A biodegradable erosion control matting will be applied to ensure vegetation establishment. As such, this project will have a positive impact pertaining to land.

Impact on Surface Water

This project will have a substantial impact on existing surface waters within the project site, which includes Woodlands Lake, a 5.4-acre man-made lake that was created by the installation of a masonry dam within the Saw Mill River more than a century and a half ago. The NYSDEC water quality classification of the lake and stream below the existing dam is A. The stream north/upstream of the lake has been classified as B(T).

As previously described, the project involves decommissioning of the dam, which will result in the conversion of the lake back into a watercourse with adjoining floodplains.

In order to achieve a flow channel and diminished stream velocities, while accommodating fish habitat, the riverbed must be disturbed from the existing conditions. Additionally, the proposed design must meet the dam decommissioning requirement of no more than a one-foot rise in water elevation within the riverbed or no more rise than is typical for a comparable section of stream during a 100-year storm event. Dredging of 100 cubic yards of material or more may be required to accommodate a widened stream channel and the installation of vortex stone weirs. The stone weirs will drop the channel elevation at incremental steps and regulate stream flow.

Temporary turbidity will result from construction activity, but will not remain following completion of construction. A Stormwater Pollution Prevention Plan (SWPPP) has been developed that will minimize turbidity from upland erosion, runoff, and bottom sediment disturbance during construction. An SWPPP for post-construction is not required as there will be no increase in impervious surfaces.

Construction involving instream work is generally timed around the winter months, during periods of low flow. A coffer dam and flow bypasses will be installed to divert water flow and runoff around the area of work during construction. An existing onsite parking lot can be used for staging. Temporary erosion control measures will include silt fencing, check dams, stabilized construction entrances, catch basin sacks, stockpile protection, temporary mulching and seeding as needed and good housekeeping practices, among others, in conformance with *New York State Standards and Specifications for Erosion and Sediment Control*.

As previously mentioned, permanent erosion control measures will include the installation of riprap, rock buttresses with live stakes, native plantings, and no mow seed mixes covered by biodegradable erosion control blankets within the former lakebed. The proposed improvements will restore the river corridor and floodplains and promote a healthy riparian ecosystem.

Impact on Flooding

The project site is within the floodway as well as the 100-year flood zone. However, the project does not involve “development” per se, but rather the partial removal of existing structures within the floodway and the restoration of the floodplain. The dam has been assigned a Class B rating, which is an “Intermediate Hazard” dam. However, the dam could potentially be reclassified as a Class C “High Hazard” dam under current conditions/guidelines. The change to Class C would require significant modifications to the original dam structure and surrounding site to bring it into compliance with Class C requirements.

The proposed partial breaching of the existing dam will reduce its hazard classification to Class D, a “Negligible or No Hazard” dam. As the breached dam will no longer hold an impoundment under normal flow conditions, this will remove the risk of downstream flooding from an accidental breach of the dam. As required for the decommissioning of the dam, the planned breach has been designed to pass the 100-year frequency storm event while resulting in no more rise in water surface elevation than typical for a comparable section of stream. Based on the hydraulic analysis, the majority of the river will not see a change in water surface elevations under either normal flow or a 100-year frequency storm event. A few sections near the breached dam will see a drop in elevation. Any areas where the water surface elevation is projected to increase will remain within County property or within the undeveloped land adjacent to the New York State Thruway. The installation of a widened stream channel at the former lake site will allow for decreased stream velocities. As such, the project will eliminate the potential hazard situation and will not have an adverse impact on flooding.

Impact on Plants and Animals

The project will change the site from a lake habitat to a river/riparian habitat. The riverbed will be altered into a flow channel that will support aquatic life. The stone weirs of less than one-foot will create pools that will accommodate fish and allow for fish passage. The project includes an extensive planting plan that will restore the site to natural habitat that will support native wildlife. No endangered species have been identified by the EAF mapper that could be affected by the proposed modifications. Additionally, the New York Natural Heritage Program has no records of rare or state-listed animals or plants, or other significant natural communities at the project site or in its immediate vicinity.

Disturbance to wildlife will be limited to temporary displacement during construction. Construction is estimated to take approximately 12 months. The drawdown period will be slow enough to allow redistribution of wildlife and flow by-passes will maintain downstream water levels throughout construction. Overwintering wildlife that may reside at the site are predominantly common species that will be able to utilize the remaining unaffected areas of the 172-acre park.

There will be minimal tree removals—only four trees in excess of 6 inches in diameter at breast height (DBH) will need to be removed. Three of the trees to be removed are less than 8 inches DBH and are in front of the spillway. One tree is greater than 24 inches, but is too close to the dam and exhibits dead branches. Over 20 new trees, all native species, will be planted that will offset the tree removals.

Impact on Aesthetic Resources/Open Space & Recreation/Critical Environmental Areas

Woodlands Lake is not an officially designated scenic/aesthetic resource. However, it is within a County park that is open to the public year round for recreational enjoyment. All County parks within Westchester were designated as Critical Environmental Areas by the County for the variety of benefits they provide, including recreational, educational, social, cultural and ecological benefits.

The proposed modifications will replace the open lake with a riparian setting. While noticeably different, the vortex stone weir construct will be aesthetically appealing. An extensive planting plan has been developed to restore the site to native floodplain conditions. Construction activities will limit use of the 7-acre site for approximately one year, but the remaining 160+ acres of the park, which include active recreation facilities to the south and passive recreation opportunities to the north, will remain open for public use. Once construction is complete, the subject area will be open to the public again for passive enjoyment.

Historically, the site once featured a restaurant/catering hall. However, due to a lack of a concessionaire, this facility has not been available for many years. Since the breaching of the dam will facilitate fish passage, the project will enhance fishing opportunities along the Saw Mill River, although not in the immediate area due to the reconstructed embankments and floodplain.

The other CEAs identified by the EAF Mapper include: the *Juhring Estate*, which was designated by Village of Dobbs Ferry for drainage and open space reasons; *Floodplains*, which was designated by Greenburgh to protect water resources and natural areas; and *Watershed Properties*—in this case, the Village of Irvington Reservoir—which was designated by the County for the protection of local drinking water supplies. Neither the Juhring Estate nor the Village of Irvington Reservoir are adjacent to or located in a position downstream of the site that would potentially be affected by construction or any changes in hydrology. Since the project will restore the floodplain, it will advance the purposes of the Floodplains CEA.

Impact on Historic and Archeological Resources

Although the dam was constructed in 1840, it is not listed on the National or State Register of Historic Places. The dam has been rebuilt over time, changing in materials and character. The

deconstruction of the dam will essentially revert the Saw Mill River back to its original state of a flowing river in this area.

The site has been identified on the New York State Historic Preservation Office (SHPO) archeological site inventory as being in an area designated as sensitive for archaeological resources. Due to the fact that the site has been significantly disturbed and that the regrading will primarily affect the sediment that has settled in the lake since the last dredging, which occurred approximately 20 years ago, no impact on archaeological resources are anticipated.

In a letter dated June 27, 2016, the Division for Historic Preservation of the New York State Office of Parks, Recreation and Historic Preservation concluded that the project will have no impact on archaeological and/or historic resources listed in or eligible for the New York State and National Registers of Historic Places.

Impact on Noise, Odor and Light

The project will bring heavy equipment into a passive park for approximately 12 months, with heavy construction targeted to be done in the months from autumn to spring in order to avoid the height of park usage. The project site is bordered by the Saw Mill Parkway and the New York State Thruway to the west and east, respectively, with publicly-owned lands continuing to the north and south. As such, there will be no noise or other impacts to surrounding land uses during construction. There will be no noise, odor or light emissions following completion of construction.

RESOLUTION

WHEREAS, there is pending before this Honorable Board an Act to authorize the County to issue bonds in connection with capital project RMAC4 – Woodlands Lake Dam at V.E. Macy Park (the “Capital Project”); and

WHEREAS, this Honorable Board has determined that the proposed Capital Project would constitute an action under Article 8 of the Environmental Conservation Law, known as the New York State Environmental Quality Review Act (“SEQRA”); and

WHEREAS, pursuant to SEQRA and its implementing regulations (6 NYCRR Part 617), this project is classified as a “Type I action,” which requires a determination as to whether the proposed action will have a significant impact on the environment; and

WHEREAS, the County of Westchester conducted coordinated review as required for Type I actions pursuant to Section 617.6(b)(3) of the implementing regulations and is assuming the role of Lead Agency for the environmental review of this project; and

WHEREAS, in accordance with SEQRA and its implementing regulations, a Full Environmental Assessment Form has been prepared to assist this Honorable Board in its environmental assessment of this proposed action; and

WHEREAS, this Honorable Board has carefully considered the proposed action and has reviewed the attached Full Environmental Assessment Form and the criteria set forth in Section 617.7 of the implementing regulations and has identified the relevant areas of environmental concern, as described in the attached Full Environmental Assessment Form, to determine if this proposed action will have a significant impact on the environment.

NOW, THEREFORE, be it resolved by the County Board of Legislators of the County of Westchester, State of New York, as follows:

RESOLVED, that based upon the Honorable Board’s review of the Full Environmental Assessment Form and for the reasons set forth therein, this Board finds that there will be no significant adverse impact on the environment from the Capital Project and be it further

RESOLVED, that the Clerk of the Board of Legislators is authorized and directed to sign the “Determination of Significance” in the Full Environmental Assessment Form, which is attached hereto and made a part hereof, as the “Responsible Officer in Lead Agency”; to issue this “Negative Declaration” on behalf of this Board in satisfaction of SEQRA and its implementing regulations; and to immediately transmit same to the Commissioner of Planning to be filed, published and made available pursuant to the requirements of Part 617 of 6 NYCRR; and be it further

RESOLVED, that the Resolution shall take effect immediately.

ACT NO. -20____

BOND ACT AUTHORIZING THE ISSUANCE OF \$5,000,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF THE RECONSTRUCTION OF THE COUNTY-OWNED WOODLANDS LAKE DAM, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$5,000,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$5,000,000 BONDS HEREIN AUTHORIZED; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted _____, 20____)

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER, NEW YORK (by the affirmative vote of not less than two-thirds of the voting strength of said Board), AS FOLLOWS:

Section 1. Pursuant to the provisions of the Local Finance Law, constituting Chapter 33-a of the Consolidated Laws of the State of New York (the "Law"), the Westchester County Administrative Code, being Chapter 852 of the Laws of 1948, as amended, and to the provisions of other laws applicable thereto, \$5,000,000 bonds of the County, or so much thereof as may be necessary, are hereby authorized to be issued to finance the reconstruction of the County-owned Woodlands Lake Dam, including removal of a portion of the existing dam, stabilization and reconstruction of the river adjacent to the dam, replacement of the bridge and railing as well as associated infrastructure and site work, as set forth in the County's Current Year Capital Budget, as amended. To the extent that the details set forth in this act are inconsistent with any details set forth in the Current Year Capital Budget of the County, such Budget shall be deemed and is hereby amended. The estimated maximum cost of said specific object or purpose, including preliminary

costs and costs incidental thereto and the financing thereof is \$5,000,000. The plan of financing includes the issuance of \$5,000,000 bonds herein authorized and any bond anticipation notes issued in anticipation of the sale of such bonds, the levy of a tax to pay the principal of and interest on said bonds and notes.

Section 2. The period of probable usefulness of said specific object or purpose, within the limitations of Section 11.00 a. 22(a) of the Law, is thirty (30) years.

Section 3. Current funds are not required to be provided as a down payment pursuant to Section 107.00 d. 9. of the Law prior to issuance of the bonds authorized herein, or any bond anticipation notes issued in anticipation of the sale of such bonds. The County intends to finance, on an interim basis, the costs or a portion of the costs of said improvements for which bonds are herein authorized, which costs are reasonably expected to be reimbursed with the proceeds of debt to be incurred by the County, pursuant to this Act, in the maximum amount of \$5,000,000. This Act is a declaration of official intent adopted pursuant to the requirements of Treasury Regulation Section 1.150-2.

Section 4. The estimate of \$5,000,000 as the estimated total cost of the aforesaid specific object or purpose is hereby approved, and as the amount of bonds herein authorized is not in excess of \$10,000,000, this Act is not subject to referendum.

Section 5. Subject to the provisions of this Act and of the Law, and pursuant to the provisions of §30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals thereof, and of §§50.00, 56.00 to 60.00 and 168.00 of said Law, the powers and duties of the County Board of Legislators relative to authorizing the issuance of any notes in anticipation of the sale of the bonds herein authorized, or the renewals thereof, relative to providing for substantially level or declining annual debt service, relative to prescribing the terms, form and

contents and as to the sale and issuance of the respective amounts of bonds herein authorized, and of any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and relative to executing agreements for credit enhancement, are hereby delegated to the Commissioner of Finance of the County, as the chief fiscal officer of the County.

Section 6. Each of the bonds authorized by this Act and any bond anticipation notes issued in anticipation of the sale thereof shall contain the recital of validity prescribed by §52.00 of said Local Finance Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County of Westchester, payable as to both principal and interest by general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and provision shall be made annually in the budgets of the County by appropriation for (a) the amortization and redemption of the notes and bonds to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 7. The validity of the bonds authorized by this Act and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

(a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or

(b) the provisions of law which should be complied with at the date of the publication of this Act or a summary hereof, are not substantially complied with, and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or

(c) such obligations are authorized in violation of the provisions of the Constitution.

Section 8. This Act shall take effect in accordance with Section 107.71 of the Westchester County Charter.

* * *

STATE OF NEW YORK)
 : ss.:
COUNTY OF WESTCHESTER)

I HEREBY CERTIFY that I have compared the foregoing Act No. -20____ with the original on file in my office, and that the same is a correct transcript therefrom and of the whole of the said original Act, which was duly adopted by the County Board of Legislators of the County of Westchester on _____, 20____ and approved by the County Executive on _____, 20____.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said County Board of Legislators this _____ day of _____, 20____.

(SEAL)

The Clerk and Chief Administrative Officer of the
County Board of Legislators, County of Westchester,
New York

LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on _____, 20____ and approved by the County Executive on _____, 20____ and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. _____-20____

BOND ACT AUTHORIZING THE ISSUANCE OF \$5,000,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF THE RECONSTRUCTION OF THE COUNTY-OWNED WOODLANDS LAKE DAM, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$5,000,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$5,000,000 BONDS HEREIN AUTHORIZED; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted _____, 20____)

object or purpose: to finance the reconstruction of the County-owned Woodlands Lake Dam, including removal of a portion of the existing dam, stabilization and reconstruction of the river adjacent to the dam, replacement of the bridge and railing as well as associated infrastructure and site work, as set forth in the County's Current Year Capital Budget, as amended.

amount of obligations to be issued
and period of probable usefulness: \$5,000,000; thirty (30) years

Dated: _____, 20____
 White Plains, New York

The Clerk and Chief Administrative Officer of the County Board of Legislators, County of Westchester, New York

WOODLANDS LAKE DAM AT V.E.MACY PARK (RMAC4)

User Department : Parks, Recreation & Conservation
Managing Department(s) : Parks, Recreation & Conservation ; Public Works ;
Estimated Completion Date: TBD
Planning Board Recommendation: Project approved in concept but subject to subsequent staff review.

FIVE YEAR CAPITAL PROGRAM (in thousands)

	Est Ult Cost	Appropriated	Exp / Obl	2021	2022	2023	2024	2025	Under Review
Gross	5,000	5,000							
Non County Share									
Total	5,000	5,000							

Project Description

This project includes extensive repairs to the component dam, bridge and sidewalk and decommissioning the Woodlands Lake Dam. This Dam is in poor condition and is within the permitting threshold of the New York State DEC. Work includes rebuilding the masonry, repairs to the bridge structure, repointing and regrouting, installation of shot-crete, concrete and reinforcement bars, as well as waterproofing, railing replacement, and new asphalt pavement, site work, and safety items such as additional railing, curbing and shoreline stabilization adjacent to the dam and spillway.

Current Year Description

There is no current year request.

Impact on Operating Budget

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

Appropriation History

Year	Amount	Description	Status
2011	2,500,000	Design & construction of dam repairs	AWAITING BOND AUTHORIZATION
2017	1,700,000	Additional construction costs.	AWAITING BOND AUTHORIZATION
2019	800,000	Funds for construction	AWAITING BOND AUTHORIZATION
Total	5,000,000		

Prior Appropriations

	Appropriated	Collected	Uncollected
Bond Proceeds	5,000,000		5,000,000
Total	5,000,000		5,000,000

Project ID:*

RMAC4

☐ CBA

Fact Sheet Date:*

01-04-2021

Fact Sheet Year:*

2021

Project Title:*

WOODLANDS LAKE DAM AT
V.E.MACY PARK

Legislative District ID:

12,

Category*

RECREATION FACILITIES

Department:*

PARKS, RECREATION &
CONSERVATION

CP Unique ID:

1605

Overall Project Description

This project includes extensive repairs to the component dam, bridge and sidewalk and decommissioning the Woodlands Lake Dam. This Dam is in poor condition and is within the permitting threshold of the New York State DEC. Work includes rebuilding the masonry, repairs to the bridge structure, repointing and regrouting, installation of shot-crete, concrete and reinforcement bars, as well as waterproofing, railing replacement, and new asphalt pavement, site work, and safety items such as additional railing, curbing and shoreline stabilization adjacent to the dam and spillway.

☒ Best Management Practices☐ Energy Efficiencies☒ Infrastructure☒ Life Safety☐ Project Labor Agreement☐ Revenue☒ Security☐ Other**FIVE-YEAR CAPITAL PROGRAM (in thousands)**

	Estimated Ultimate Total Cost	Appropriated	2021	2022	2023	2024	2025	Under Review
Gross	5,000	5,000	0	0	0	0	0	0
Less Non-County Shares	0	0	0	0	0	0	0	0
Net	5,000	5,000	0	0	0	0	0	0

Expended/Obligated Amount (in thousands) as of : 0

Current Bond Description: This bond request will fund the removal of a portion of the existing dam, stabilize and reconstruct the river adjacent to the dam, replace the bridge and railing as well as associated infrastructure and site work.

Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	5,000,000
Cash:	0
Total:	<u>\$ 5,000,000</u>

SEQR Classification:

TYPE I

Amount Requested:

5,000,000

Comments:**Energy Efficiencies:****Appropriation History:**

Year	Amount	Description
2011	2,500,000	DESIGN & CONSTRUCTION OF DAM REPAIRS
2017	1,700,000	ADDITIONAL CONSTRUCTION COSTS.
2019	800,000	FUNDS FOR CONSTRUCTION

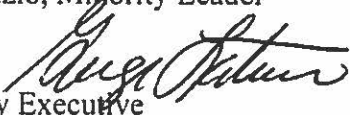
Total Appropriation History:

5,000,000

Recommended By:**Department of Planning**
WBB4**Date**
12-21-2020**Department of Public Works**
RJB4**Date**
12-22-2020**Budget Department**
LMYI**Date**
12-23-2020**Requesting Department**
KUU1**Date**
12-23-2020

March 19, 2021

TO: Hon. Benjamin Boykin, Chair
Hon. Alfreda Williams, Vice Chair
Hon. MaryJane Shimsky, Majority Leader
Hon. Margaret Cunzio, Minority Leader

FROM: George Latimer 
Westchester County Executive

RE: Message Requesting Immediate Consideration: **Bond Act – T0048 –
Central Maintenance Facility Roof Alterations.**

This will confirm my request that the Board of Legislators allow submission of the referenced communication to be submitted to the Board of Legislators March 22, 2021 Agenda.

Transmitted herewith for your review and approval is a bond act (the “Bond Act”) which, if adopted, would authorize the County of Westchester (the “County”) to issue bonds in the amount of \$860,000 to finance the following capital project: T0048.

Therefore, since this communication is of the utmost importance, it is respectfully submitted that the County Board of Legislators accepts this submission for March 22, 2021 “blue sheet” calendar.

Thank you for your prompt attention to this matter.

George Latimer
County Executive

March 3, 2021

Westchester County Board of Legislators
800 Michaelian Office Building
White Plains, New York 10601

Dear Members of the Board of Legislators:

Transmitted herewith for your review and approval is a bond act ("Bond Act") which, if adopted, would authorize the County of Westchester ("County") to issue bonds in the amount of \$860,000 to finance the following capital project:

T0048 – Central Maintenance Facility Roof Alterations ("T0048").

The Bond Act, in the amount of \$860,000 would finance the cost of improvements to the County's Central Maintenance Facility in Yonkers, including replacement of the roof on the high rise portion.

The Department of Public Works and Transportation ("Department") has advised that the existing roof was installed in 1982, is no longer under warranty and has exceeded its useful life. The new roof will comply with the New York State energy code and be a highly reflective (cool roof) to minimize the heat island effect.

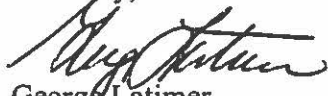
Following bonding authorization, design will be scheduled and is anticipated to take three months to complete. It is anticipated that the design work will be completed by in-house staff. It is estimated that construction will take four months to complete and will begin after award and execution of the construction contracts.

It should be noted that your Honorable Board has previously issued bonds for separable components of T0048 as indicated in the annexed fact sheet.

The Planning Department has advised that based on its review, the above-referenced capital project has been classified as a "Type II" action pursuant to the State Environmental Quality Review Act ("SEQR") and its implementing regulations, 6 NYCRR Part 617. Therefore, no further environmental review is required. As you know, your Honorable Board may use such expert advice to reach its own conclusion.

Based on the importance of this project to the County, favorable action on the proposed Bond Act is respectfully requested.

Sincerely,

A handwritten signature in cursive script, appearing to read "George Latimer".

George Latimer
County Executive

**HONORABLE BOARD OF LEGISLATORS
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmittal from the County Executive recommending approval by the County of Westchester (“County”) of a bond act (“Bond Act”) in the amount of \$860,000 to finance capital project T0048 – Central Maintenance Facility Roof Alterations (“T0048”). The Bond Act, which was prepared by the law firm Harris Beach, PLLC, will finance the cost of improvements to the County’s Central Maintenance Facility in Yonkers, including replacement of the roof on the high rise portion.

The Department of Public Works and Transportation (“Department”) has advised that the existing roof was installed in 1982, is no longer under warranty and has exceeded its useful life. The new roof will comply with the New York State energy code and be a highly reflective (cool roof) to minimize the heat island effect.

Following bonding authorization, design will be scheduled and is anticipated to take three months to complete. It is anticipated that the design work will be completed by in-house staff. It is estimated that construction will take four months to complete and will begin after award and execution of the construction contracts.

It should be noted that your Honorable Board has previously issued bonds for separable components of T0048 as indicated in the annexed fact sheet.

The Planning Department has advised your Committee that based on its review, the above-referenced capital project has been classified as a Type “II” action pursuant to the State Environmental Quality Review Act (“SEQR”) and its implementing regulations, 6 NYCRR Part 617. Therefore, no further environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this conclusion.

FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: T0048

☐ NO FISCAL IMPACT PROJECTED

SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☒ GENERAL FUND

☐ AIRPORT FUND

☐ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☒ Current Appropriations

☐ Capital Budget Amendment

SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 860,000 PPU 15 Anticipated Interest Rate 1.14%

Anticipated Annual Cost (Principal and Interest): \$ 62,575

Total Debt Service (Annual Cost x Term): \$ 938,625

Finance Department: Interest rates from February 25, 2021 Bond Buyer - ASBA

SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations
(describe in detail for current and next four years):

SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded: 9

SECTION E - EXPECTED DESIGN WORK PROVIDER

☒ County Staff

☐ Consultant

☐ Not Applicable

Prepared by: Robert Abbamont

Title: Prog Coord (Capital Planning)

Department: Public Works/Transportation


Date: 3/11/21

Reviewed By: 

DE Budget Director

Date: 3/16/21

TO: Michelle Greenbaum, Assistant County Attorney
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM 
Director of Environmental Planning

DATE: February 25, 2021

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:
T0048 CENTRAL MAINTENANCE FACILITY ROOF ALTERATIONS**

PROJECT/ACTION: Per Capital Project Fact Sheet as approved by the Planning Department on 2/02/2021 (Unique ID: 1506)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(2):** replacement, rehabilitation or reconstruction of a structure or facility, in kind, on the same site, including upgrading buildings to meet building, energy, or fire codes unless such action meets or exceeds any of the thresholds in section 617.4 of this Part.
-

COMMENTS: None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff
Paula Friedman, Assistant to the County Executive
Tami Altschiller, Assistant Chief Deputy County Attorney
Gideon Grande, Deputy Budget Director
Lorraine Yazzetta, Associate Budget Director
Anthony Zaino, Assistant Commissioner
William Brady, Chief Planner
Michael Lipkin, Associate Planner
Claudia Maxwell, Associate Environmental Planner

ACT NO. -20__

BOND ACT AUTHORIZING THE ISSUANCE OF \$860,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF IMPROVEMENTS TO THE CENTRAL MAINTENANCE FACILITY IN YONKERS; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$860,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$860,000 BONDS HEREIN AUTHORIZED TO FINANCE SUCH COST; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS.
(Adopted _____, 20__)

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER, NEW YORK (by the affirmative vote of not less than two-thirds of the voting strength of said Board), AS FOLLOWS:

Section 1. Pursuant to the provisions of the Local Finance Law, constituting Chapter 33-a of the Consolidated Laws of the State of New York (the "Law"), the Westchester County Administrative Code, being Chapter 852 of the Laws of 1948, as amended, to the provisions of other laws applicable thereto, \$860,000 bonds of the County, or so much thereof as may be necessary, are hereby authorized to be issued to finance the cost of improvements to the Central Maintenance Facility in Yonkers, including replacement of the roof on the high rise portion; all as set forth in the County's current year Capital Budget, as amended. To the extent that the details set forth in this act are inconsistent with any details set forth in the current year Capital Budget of the

320395\4824-6986-6715\ v1

County, such Budget shall be deemed and is hereby amended. The estimated maximum cost of said object or purpose, including preliminary costs and costs incidental thereto and the financing thereof is \$860,000. The plan of financing includes the issuance of \$860,000 bonds herein authorized, and any bond anticipation notes issued in anticipation of the sale of such bonds, and the levy of a tax to pay the principal of and interest on said bonds.

Section 2. The existing building is of at least Class “B” construction as defined by Section 11.00 a. 11. (b) of the Law, and the period of probable usefulness applicable to the specific object or purpose for which the bonds authorized by this resolution is to be issued within the limitations of Section 11.00 a. 12.(a)(2) of the Law, is fifteen (15) years.

Section 3. Current funds are not required to be provided as a down payment pursuant to Section 107.00 d. 9. of the Law prior to issuance of the bonds authorized herein, or any bond anticipation notes issued in anticipation of the sale of such bonds. The County intends to finance, on an interim basis, the costs or a portion of the costs of said improvements for which bonds are herein authorized, which costs are reasonably expected to be reimbursed with the proceeds of debt to be incurred by the County, pursuant to this Act, in the maximum amount of \$860,000. This Act is a declaration of official intent adopted pursuant to the requirements of Treasury Regulation Section 1.150-2.

Section 4. Subject to the provisions of this Act and of the Law, and pursuant to the provisions of §30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals thereof, and of §§50.00, 56.00 to 60.00 and 168.00 of said Law, the powers and duties of the County Board of Legislators relative to authorizing the issuance of any notes in

anticipation of the sale of the bonds herein authorized, or the renewals thereof, relative to providing for substantially level or declining annual debt service, relative to prescribing the terms, form and contents and as to the sale and issuance of the respective amounts of bonds herein authorized, and of any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and relative to executing agreements for credit enhancement, are hereby delegated to the Commissioner of Finance of the County, as the chief fiscal officer of the County.

Section 5. Each of the bonds authorized by this Act and any bond anticipation notes issued in anticipation of the sale thereof shall contain the recital of validity prescribed by §52.00 of said Local Finance Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County of Westchester, payable as to both principal and interest by general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and provision shall be made annually in the budgets of the County by appropriation for (a) the amortization and redemption of the notes and bonds to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 6. The validity of the bonds authorized by this Act and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

- (a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or

(b) the provisions of law which should be complied with at the date of the publication of this Act or a summary hereof, are not substantially complied with,
and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or

(c) such obligations are authorized in violation of the provisions of the Constitution.

Section 7. This Act shall take effect in accordance with Section 107.71 of the Westchester County Charter.

* * *

STATE OF NEW YORK)
 : ss.:
COUNTY OF WESTCHESTER)

I HEREBY CERTIFY that I have compared the foregoing Act No. -20__ with the original on file in my office, and that the same is a correct transcript therefrom and of the whole of the said original Act, which was duly adopted by the County Board of Legislators of the County of Westchester on _____, 20__ and approved by the County Executive on _____, 20__.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said County Board of Legislators this day of , 20__.

(SEAL) The Clerk and Chief Administrative Officer of the
County Board of Legislators
County of Westchester, New York

LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on _____, 20__ and approved by the County Executive on _____, 20__ and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. _____-20__

BOND ACT AUTHORIZING THE ISSUANCE OF \$860,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF IMPROVEMNTS TO THE CENTRAL MAINTENANCE FACILITY IN YONKERS; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$860,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$860,000 BONDS HEREIN AUTHORIZED TO FINANCE SUCH COST; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted _____, 20__)

object or purpose: to finance the cost of improvements to the Central Maintenance Facility in Yonkers, including replacement of the roof on the high rise portion; at the estimated maximum cost of \$860,000; all as set forth in the County's current year Capital Budget, as amended.

amount of obligations to be issued:

and period of probable usefulness: \$860,000; fifteen (15) years

Dated: _____, 20__
White Plains, New York

Clerk and Chief Administrative Officer of the County
Board of Legislators of the County of Westchester, New York

Project ID:* T0048	<input type="checkbox"/> CBA	Fact Sheet Date:* 01-04-2021
Fact Sheet Year:* 2021	Project Title:* CENTRAL MAINTENANCE FACILITY ROOF ALTERATIONS	Legislative District ID: 15
Category* TRANSPORTATION	Department:* AIRPORT/DOT	CP Unique ID: 1506

Overall Project Description

This project will increase the ceiling height in the bus maintenance area, provide for the replacement of the roof on the high rise portion of the Central Maintenance Facility in Yonkers and the cleaning and painting of the ceiling at the Central Maintenance Facility in Yonkers.

- | | | |
|---|--|--|
| <input checked="" type="checkbox"/> Best Management Practices | <input type="checkbox"/> Energy Efficiencies | <input checked="" type="checkbox"/> Infrastructure |
| <input type="checkbox"/> Life Safety | <input type="checkbox"/> Project Labor Agreement | <input type="checkbox"/> Revenue |
| <input type="checkbox"/> Security | <input type="checkbox"/> Other | |

FIVE-YEAR CAPITAL PROGRAM (in thousands)

	Estimated Ultimate Total Cost	Appropriated	2021	2022	2023	2024	2025	Under Review
Gross	5,635	5,635	0	0	0	0	0	0
Less Non-County Shares	1,808	1,808	0	0	0	0	0	0
Net	3,827	3,827	0	0	0	0	0	0

Expended/Obligated Amount (in thousands) as of : 2,254

Current Bond Description: Replacement of the roof on the high rise portion of the Central Maintenance Facility in Yonkers.	
Financing Plan for Current Request:	
Non-County Shares:	\$ 0
Bonds/Notes:	860,000
Cash:	0
Total:	\$ 860,000

SEQR Classification:

TYPE II

Amount Requested:

860,000

Comments:

Energy Efficiencies:

Appropriation History:

Year	Amount	Description
2017	2,335,000	RELOCATION OF OVERHEAD UTILITIES.
2018	3,060,000	CLEANING AND PAINTING OF THE INTERIOR CEILING AND ROOF REPLACEMENT ON A PORTION OF THE BUILDING.
2019	240,000	COST ESCALATION

Total Appropriation History:

5,635,000

Year	Bond Act #	Amount	Issued	Description
17	136	2,335,000	1,387,315	COST OF RELOCATION OF OVERHEAD UTILITIES AT THE BUS MAINTENENACE IN YONKERS FACILITY

Total Financing History:

2,335,000

Recommended By:

Department of Planning

WBB4

Date

02-02-2021

Department of Public Works

RJB4

Date

02-02-2021

Budget Department

LMY1

Date

02-02-2021

Requesting Department

RJB4

Date

02-08-2021

CENTRAL MAINTENANCE FACILITY ROOF ALTERATIONS (T0048)

User Department : Airport/DOT

Managing Department(s) : Airport/DOT ;

Estimated Completion Date: TBD

Planning Board Recommendation: Project without physical planning aspects of concern to the Westchester County Planning Board.

FIVE YEAR CAPITAL PROGRAM (in thousands)

	Est Ult Cost	Appropriated	Exp / Obl	2021	2022	2023	2024	2025	Under Review
Gross	5,635	5,635	2,324						
Non County Share	(1,808)	(1,808)	28						
Total	3,827	3,827	2,352						

Project Description

This project will increase the ceiling height in the bus maintenance area as well as provide for the cleaning and painting of the ceiling at the Central Maintenance Facility in Yonkers.

Current Year Description

There is no current year request.

Impact on Operating Budget

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

Appropriation History

Year	Amount	Description	Status
2017	2,335,000	Relocation of overhead utilities.	IN PROGRESS
2018	3,060,000	Cleaning and painting of the interior ceiling and roof replacement on a portion of the building.	AWAITING BOND AUTHORIZATION
2019	240,000	Cost escalation	AWAITING BOND AUTHORIZATION
Total	5,635,000		

Prior Appropriations

	Appropriated	Collected	Uncollected
Bond Proceeds	3,827,000	2,227,041	1,599,959
Federal Funds	1,808,000		1,808,000
Others		(27,525)	27,525
Total	5,635,000	2,199,516	3,435,484

Bonds Authorized


Bond Act	Amount	Date Sold	Amount Sold	Balance
136 17	2,335,000	12/10/19	165,479	107,959
		12/10/19	32,678	
		04/30/20	1,189,158	
		10/28/20	712,386	
		10/28/20	99,814	
		10/28/20	27,525	
Total	2,335,000		2,227,041	107,959

Memorandum

Office of the County Executive
Michaelian Office Building

March 19, 2021

TO: Hon. Benjamin Boykin, Chair
Hon. Alfreda Williams, Vice Chair
Hon. MaryJane Shimsky, Majority Leader
Hon. Margaret Cunzio, Minority Leader

FROM: George Latimer 
Westchester County Executive

RE: Message Requesting Immediate Consideration: **Bond Act – B077D –
Infrastructure Rehabilitation, Valhalla Campus '14-'18.**

This will confirm my request that the Board of Legislators allow submission of the referenced communication to be submitted to the Board of Legislators March 22, 2021 Agenda.

Transmitted herewith for your review and approval is a bond act (the “Bond Act”) which, if adopted, would authorize the County of Westchester (the “County”) to issue bonds in the amount of \$2,800,000 to finance the following capital project: B077D.

Therefore, since this communication is of the utmost importance, it is respectfully submitted that the County Board of Legislators accepts this submission for March 22, 2021 “blue sheet” calendar.

Thank you for your prompt attention to this matter.



George Latimer
County Executive

March 10, 2021

Westchester County Board of Legislators
800 Michaelian Office Building
White Plains, New York 10601

Dear Members of the Board of Legislators:

Transmitted herewith for your review and approval is a bond act (the "Bond Act") which, if adopted, would authorize the County of Westchester (the "County") to issue bonds in the amount of \$2,800,000 to finance a component of the following capital project:

B077D – Infrastructure Rehabilitation, Valhalla Campus (2014 – 2018) ("B077D").

The Bond Act, in the amount of \$2,800,000, would fund design, construction management and construction in connection with the replacement of the deaerator tank and all associated work at the Central Heating Plant ("CHP") at the Valhalla Campus.

The Department of Public Works and Transportation (the "Department") has advised that the CHP provides steam and hot water to the Westchester Medical Center and the Norwood E. Jackson Correctional Facility. The CHP also provides steam to the Department of Laboratories and Research Facility and Woodfield Cottage. During the CHP equipment and control system upgrade project, the existing deaerator tank was discovered to be pitted and excessively corroded. As such, repairs were made to the tank to extend its useful life as part of that project. Although funding to replace the deaerator tank was originally programmed for 2024 under capital project B0114 - Infrastructure Rehabilitation, Valhalla Campus (2021-2025), because recent inspections have revealed that the tank has deteriorated faster than expected, it is now imperative that the tank be replaced as soon as possible.

Upon bonding authorization, design will begin and will be performed by an outside consultant (New York Power Authority) and is expected to be completed in two (2) months. It is anticipated that construction will take approximately six (6) months and will begin after award and execution of the construction contracts.

It should be noted that your Honorable Board has previously authorized the County to issue bonds for a prior separate component of this capital project as set forth in the attached fact sheet.

Office of the County Executive

Michaelian Office Building
118 Martine Avenue
White Plains, New York 10601

Email: CE@westchestergov.com
Telephone: (914)995-2900

westchestergov.com

The Planning Department has advised that based on its review, the above-referenced capital project has been classified as a "Type II" action pursuant to the State Environmental Quality Review Act ("SEQR") and its implementing regulations, 6 NYCRR Part 617. Therefore, no further environmental review is required. As you know, your Honorable Board may use such expert advice to reach its own conclusion.

In order to address this immediate need, it is requested that the existing 2021 appropriations under B077D be amended to authorize the replacement of the deaerator tank. The exterior and interior work at the CHP, which was originally programmed for this funding, will be addressed under future capital. As your Honorable Board may know, Section 1 of the Bond Act authorizes an amendment to the County's Capital Budget to the extent the project scope is inconsistent with any details set forth in the current Capital Budget. Accordingly, the Bond Act, in addition to authorizing the issuance of bonds for this project, will also amend the County's 2021 Capital Budget to reflect this change in project scope.

In addition, section 167.131 of the County Charter mandates that a capital budget amendment that introduces a new capital project or changes the location, size or character of an existing capital project be accompanied to the Board of Legislators by a report of the Westchester County Planning Board (the "Planning Board") with respect to the physical planning aspects of the project. Accordingly, the Planning Board Report for B077D is annexed.

Based on the importance of this project to the County, favorable action on the proposed Bond Act is most respectfully requested.

Sincerely,

A handwritten signature in black ink, appearing to read "George Latimer", written over a horizontal line.

George Latimer
County Executive

GL/HG/RA/jpg

**HONORABLE BOARD OF LEGISLATORS
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmittal from the County Executive recommending approval of bonding legislation (the “Bond Act”) in the amount of \$2,800,000 to authorize the County of Westchester (the “County”) to finance a component of capital project B077D – Infrastructure Rehabilitation, Valhalla Campus (2014 – 2018) (“B077D”). The Bond Act, which was prepared by the law firm of Hawkins Delafield & Wood, LLP, will fund design, construction management and construction in connection with the replacement of the deaerator tank and all associated work at the Central Heating Plant (“CHP”) at the Valhalla Campus.

The Department of Public Works and Transportation (the “Department”) has advised that the CHP provides steam and hot water to the Westchester Medical Center and the Norwood E. Jackson Correctional Facility. The CHP also provides steam to the Department of Laboratories and Research Facility and Woodfield Cottage. During the CHP equipment and control system upgrade project, the existing deaerator tank was discovered to be pitted and excessively corroded. As such, repairs were made to the tank to extend its useful life as part of that project. Although funding to replace the deaerator tank was originally programmed for 2024 under capital project B0114 - Infrastructure Rehabilitation, Valhalla Campus (2021-2025), because recent inspections have revealed that the tank has deteriorated faster than expected, it is now imperative that the tank be replaced as soon as possible.

Your Committee is advised that upon bonding authorization, design will begin and will be performed by an outside consultant (New York Power Authority) and is expected to be completed in two (2) months. It is anticipated that construction will take approximately six (6) months and shall begin after award and execution of the construction contracts.

Your Committee notes that this Honorable Board has previously authorized the County to issue bonds for a prior separate component of this capital project as set forth on the attached fact sheet.

The Planning Department has advised your Committee that based on its review, the above-referenced capital project has been classified as a Type “II” action pursuant to the State Environmental Quality Review Act (“SEQR”) and its implementing regulations, 6 NYCRR Part 617. Therefore, no further environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this conclusion.

Your Committee is further advised that in order to address this immediate need, it is requested that the existing 2021 appropriations under B077D be amended to authorize the replacement of the deaerator tank. The exterior and interior work at the CHP, which was originally programmed for this funding, will be addressed under future capital. As your Honorable Board may know, Section 1 of the Bond Act authorizes an amendment to the County’s Capital Budget to the extent the project scope is inconsistent with any details set forth in the current year’s Capital Budget. Accordingly, the Bond Act, in addition to authorizing the issuance of bonds for this project, will also amend the County’s 2021 Capital Budget to reflect this change in project scope.

In addition, section 167.131 of the County Charter mandates that a capital budget amendment that introduces a new capital project or changes the location, size or character of an existing capital project be accompanied to the Board of Legislators by a report of the Westchester County Planning Board (the “Planning Board”) with respect to the physical planning aspects of the project. Accordingly, the Planning Board Report for B077D is annexed.

It should be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to adopt the Bond Act. Your Committee recommends the adoption of the proposed Bond Act.

Dated: _____, 2021

White Plains, New York

COMMITTEE ON

FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: B077D

☐ NO FISCAL IMPACT PROJECTED

SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☒ GENERAL FUND

☐ AIRPORT FUND

☐ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☒ Current Appropriations

☒ Capital Budget Amendment

SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 2,800,000 PPU 15 Anticipated Interest Rate 1.14%

Anticipated Annual Cost (Principal and Interest): \$ 203,733

Total Debt Service (Annual Cost x Term): \$ 3,055,995

Finance Department: Interest rates from March 4, 2021 Bond Buyer

SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations
(describe in detail for current and next four years):

SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded: 30

SECTION E - EXPECTED DESIGN WORK PROVIDER

☐ County Staff

☒ Consultant

☐ Not Applicable

Prepared by: Robert Abbamont

Title: Prog Coord (Capital Planning)

Department: Public Works/Transportation


Date: 3/15/21

Reviewed By: 

Dep. Budget Director

Date: 3/16/21

TO: Michelle Greenbaum, Assistant County Attorney
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM 
Director of Environmental Planning

DATE: March 3, 2021

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:
B077D Infrastructure Rehabilitation, Valhalla Campus (2014-2018)**

PROJECT/ACTION: Per Capital Project Fact Sheet as approved by the Planning Department on
02-18-2021 (Unique ID: 1626)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(2):** replacement, rehabilitation or reconstruction of a structure or facility, in kind, on the same site, including upgrading buildings to meet building, energy, or fire codes unless such action meets or exceeds any of the thresholds in section 617.4 of this Part.
-

COMMENTS: None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff
Paula Friedman, Assistant to the County Executive
Tami Altschiller, Assistant Chief Deputy County Attorney
Gideon Grande, Deputy Budget Director
Lorraine Yazzetta, Associate Budget Director
Anthony Zaino, Assistant Commissioner
William Brady, Chief Planner
Michael Lipkin, Associate Planner
Claudia Maxwell, Associate Environmental Planner

RESOLUTION 21-07

WESTCHESTER COUNTY PLANNING BOARD

Amendment of Planning Board Report on 2021 Capital Project Requests

B077D - Infrastructure Rehabilitation, Valhalla Campus (2014-2018)

WHEREAS, the County Executive will submit legislation to the County Board of Legislators which would authorize amending the capital project **B077D - Infrastructure Rehabilitation, Valhalla Campus (2014-2018)** to use appropriated funds in the 2021 Capital Program in the amount of \$2,800,000 for design, construction and construction management for the replacement of the deaerator tank at the Central Heating Plant at the Valhalla Campus, and

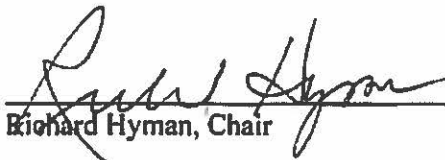
WHEREAS, the Central Heating Plant (CHP) provides steam and hot water to the Westchester Medical Center and the Norwood E. Jackson Correctional Facility. The CHP also provides steam to the Department of Laboratories and Research Facility and Woodfield Cottage, and

WHEREAS, the existing deaerator tank was discovered to be pitted and excessively corroded, and recent inspections have indicated that the tank has deteriorated faster than expected and it is critical to replace the tank at this time, and

WHEREAS, the project is generally consistent with *Westchester 2025 – Policies to Guide County Planning*, as adopted by the Planning Board on May 6, 2008 and amended on January 5, 2010 by maintaining county facilities; now therefore, be it

RESOLVED, that the County Planning Board recommends amending the 2021 Capital Projects Requests to include the use of funds for **B077D - Infrastructure Rehabilitation, Valhalla Campus (2014-2018)** in the amount of \$2,800,000 for design, construction and construction management for the replacement of the deaerator tank at the Central Heating Plant at the Valhalla Campus.

Adopted this 2nd day of March, 2021.


Richard Hyman, Chair

B077D Infrastructure Rehabilitation, Valhalla Campus (2014-2018)**FIVE YEAR CAPITAL PROGRAM (in thousands)**

	Estimated							
	Ultimate	Approp-						Under
	Total Cost	riated	2021	2022	2023	2024	2025	Review
Gross	5,600	5,600						
Less non-County Shares								
Net	5,600	5,600						

Project Description:

This project funds the rehabilitation of exterior, interior and site infrastructure which is at the end of its useful life at the County's Valhalla Campus. Infrastructure rehabilitation may include masonry repairs/re-pointing, window repairs/replacement, roofing, parapets, flashing, gutters, waterproofing, painting, partition wall repair or additions, hardware, ceilings, lighting, security systems, building management systems, fuel inventory and dispensing systems, fire alarm, air conditioning, electrical power, data/telecommunications, carpeting/flooring, architectural elements refurbishing or replacement, landscaping, sidewalks, fencing, walls, pavement and site utilities/services. The current Capital Budget Amendment (CBA) is a request for bonding for use of \$2,800,000 in appropriated funds for the replacement of the deaerator tank at the central heating plant.

APPROPRIATION/FUNDING REQUESTS:

2014: \$500,000 Addition to Central Electrical Distribution Facility
 2015: \$1,050,000 Rehabilitation of the Central Heating Plant exterior, including masonry repairs, waterproofing and sealing. Replacement of domestic hot water shut-off valves serving the hospital tunnel. Construction of a new ten-foot-wide driveway from Woods Road curb line to Water District #3 Campus Valve Chamber
 2016: \$1,800,000 Upgrade of Valhalla Campus street lighting system
 2017: \$375,000 Upgrade of Valhalla Campus Veeder Root fuel-monitoring system.
 2018: \$1,475,000 Upgrade of various items at the central heating plant
 2020: \$400,000 for Cost Escalation
 2021: CBA to use \$2,800,000 in appropriated funds for the design, construction and construction management for the replacement of the deaerator tank at the Central Heating Plant at the Valhalla Campus.

JUSTIFICATION: The project is required to maintain the County-owned facilities.

CONSISTENCY WITH PROGRAMS OR PLANS: This project is generally consistent with the policies of *Westchester 2025* in that it helps maintain County physical facilities in good condition in order to deliver services in an efficient and economic manner.

Planning Board Analysis:

PL2 The Planning Board supports this multi-phase project for infrastructure rehabilitation. The request is primarily for the installation and replacement of equipment and is not anticipated to have significant site impacts. However, Planning staff will review plans to ensure that all County and campus policies and recommendations are followed.

ACT NO. - 20____

BOND ACT AUTHORIZING THE ISSUANCE OF \$2,800,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF THE DESIGN, CONSTRUCTION AND CONSTRUCTION MANAGEMENT IN CONNECTION WITH THE REPLACEMENT OF THE DEAEATOR TANK AND ALL ASSOCIATED WORK AT THE CENTRAL HEATING PLANT AT THE VALHALLA CAMPUS; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$2,800,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$2,800,000 BONDS HEREIN AUTHORIZED; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted _____, 20____)

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER, NEW YORK (by the affirmative vote of not less than two-thirds of the voting strength of said Board), AS FOLLOWS:

Section 1. Pursuant to the provisions of the Local Finance Law, constituting Chapter 33-a of the Consolidated Laws of the State of New York (the "Law"), the Westchester County Administrative Code, being Chapter 852 of the Laws of 1948, as amended, and to the provisions of other laws applicable thereto, \$2,800,000 bonds of the County, or so much thereof as may be necessary, are hereby authorized to be issued to finance the cost of the design, construction and construction management in connection with the replacement of the deaerator tank and all associated work at the central heating plant at the Valhalla Campus, all as set forth in the County's Current Year Capital Budget, as amended. To the extent that the details set forth in this act are inconsistent with any details set forth in the Current Year Capital Budget of the County, such

Budget shall be deemed and is hereby amended. The estimated maximum cost of said class of objects or purposes, including preliminary costs and costs incidental thereto and the financing thereof is \$2,800,000. The plan of financing includes the issuance of \$2,800,000 bonds herein authorized, and any bond anticipation notes issued in anticipation of the sale of such bonds, and the levy of a tax to pay the principal of and interest on said bonds.

Section 2. The period of probable usefulness of the class of objects or purposes for which the \$2,800,000 bonds authorized by section 1 of this Act are to be issued, within the limitations of Section 11.00 a.13 of the Law, is fifteen (15) years.

Section 3. Current funds are not required to be provided as a down payment pursuant to Section 107.00 d. 9. of the Law prior to issuance of the bonds authorized herein, or any bond anticipation notes issued in anticipation of the sale of such bonds. The County intends to finance, on an interim basis, the costs or a portion of the costs of said improvements for which bonds are herein authorized, which costs are reasonably expected to be reimbursed with the proceeds of debt to be incurred by the County, pursuant to this Act, in the maximum amount of \$2,800,000. This Act is a declaration of official intent adopted pursuant to the requirements of Treasury Regulation Section 1.150-2.

Section 4. The estimate of \$2,800,000 as the estimated total cost of the aforesaid objects or purposes is hereby approved.

Section 5. Subject to the provisions of this Act and of the Law, and pursuant to the provisions of §30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals thereof, and of §§50.00, 56.00 to 60.00 and 168.00 of said Law, the powers and duties of the County Board of Legislators relative to authorizing the issuance of any notes in anticipation of the sale of the bonds herein authorized, or the renewals thereof, relative to providing for

substantially level or declining annual debt service, relative to prescribing the terms, form and contents and as to the sale and issuance of the respective amounts of bonds herein authorized, and of any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and relative to executing agreements for credit enhancement, are hereby delegated to the Commissioner of Finance of the County, as the chief fiscal officer of the County.

Section 6. Each of the bonds authorized by this Act and any bond anticipation notes issued in anticipation of the sale thereof shall contain the recital of validity prescribed by §52.00 of said Local Finance Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County of Westchester, payable as to both principal and interest by general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and provision shall be made annually in the budgets of the County by appropriation for (a) the amortization and redemption of the notes and bonds to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 7. The validity of the bonds authorized by this Act and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

(a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or

(b) the provisions of law which should be complied with at the date of the publication of this Act or a summary hereof, are not substantially complied with, and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or

(c) such obligations are authorized in violation of the provisions of the Constitution.

Section 8. This Act shall take effect in accordance with Section 107.71 of the Westchester County Charter.

* * *

STATE OF NEW YORK)
 : ss.:
COUNTY OF WESTCHESTER)

I HEREBY CERTIFY that I have compared the foregoing Act No. -20____ with the original on file in my office, and that the same is a correct transcript therefrom and of the whole of the said original Act, which was duly adopted by the County Board of Legislators of the County of Westchester on _____, 20____ and approved by the County Executive on _____, 20____.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said County Board of Legislators this day
of , 20____.

Clerk and Chief Administrative Officer of the County
Board of Legislators of the County of Westchester, New
York

(SEAL)

LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on _____, 20____, and approved by the County Executive on _____, 20____, and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. _____-20____

BOND ACT AUTHORIZING THE ISSUANCE OF \$2,800,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF THE DESIGN, CONSTRUCTION AND CONSTRUCTION MANAGEMENT IN CONNECTION WITH THE REPLACEMENT OF THE DEAERATOR TANK AND ALL ASSOCIATED WORK AT THE CENTRAL HEATING PLANT AT THE VALHALLA CAMPUS; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$2,800,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$2,800,000 BONDS HEREIN AUTHORIZED; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted _____, 20____)

object or purpose: to finance the cost of the design, construction and construction management in connection with the replacement of the deaerator tank and all associated work at the central heating plant at the Valhalla Campus, all as set forth in the County's Current Year Capital Budget, as amended.

amount of obligations to be issued

and period of probable usefulness: \$2,800,000; fifteen (15) years

Dated: _____, 20____
White Plains, New York

Clerk and Chief Administrative Officer of the County
Board of Legislators of the County of Westchester, New
York

Project ID:*

B077D

☒ CBA

Fact Sheet Date:*

02-01-2021

Fact Sheet Year:*

2021

Project Title:*

INFRASTRUCTURE
REHABILITATION, VALHALLA
CAMPUS (2014-2018)

Legislative District ID:

3,

Category*

BUILDINGS, LAND &
MISCELLANEOUS

Department:*

PUBLIC WORKS

CP Unique ID:

1626

Overall Project Description

To rehabilitate exterior, interior or site infrastructure which is at the end of its useful life. Infrastructure rehabilitations can include, but not be limited to, masonry repairs/repointing, window repairs/replacement, roofing, parapets, flashing, gutters, waterproofing, painting, partition wall repair or additions, hardware, ceilings, lighting, security systems, BMS systems, fuel inventory and dispensing systems, fire alarm, air conditioning, electrical power, data/telecommunications, carpeting/flooring, architectural element refurbishing or replacement, landscaping, sidewalks, fences, walls, pavement, and site utilities/services.

☒ Best Management Practices☐ Energy Efficiencies☒ Infrastructure☐ Life Safety☐ Project Labor Agreement☐ Revenue☐ Security☐ Other**FIVE-YEAR CAPITAL PROGRAM (in thousands)**

	Estimated Ultimate Total Cost	Appropriated	2021	2022	2023	2024	2025	Under Review
Gross	5,600	5,600	0	0	0	0	0	0
Less Non-County Shares	0	0	0	0	0	0	0	0
Net	5,600	5,600	0	0	0	0	0	0

Expended/Obligated Amount (in thousands) as of : 497

Current Bond Description: Funding is requested for the design, construction management and construction in connection with the replacement of the deaerator tank and all associated work at the Central Heating Plant at the Valhalla Campus.

Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	2,800,000
Cash:	0
Total:	\$ 2,800,000

SEQR Classification:

TYPE II

Amount Requested:

2,800,000

Comments:

The Central Heating Plant (CHP) provides steam and hot water to the Westchester Medical Center and the Norwood E. Jackson Correctional Facility. The CHP also provides steam to the Department of Laboratories and Research Facility and Woodfield Cottage.

During the CHP equipment and control system upgrade project, the existing deaerator tank was discovered to be pitted and excessively corroded. Repairs were made to the tank to extend its useful life as part of that project. Funding to replace the deaerator tank is currently programmed in 2024 under capital project B0114 Infrastructure Rehabilitation, Valhalla Campus (2021-2025).

Recent inspections however have indicated that tank has deteriorated faster than expected and it is critical to replace the tank at this time.

In order to address this immediate need, it is requested that the existing appropriations under B077D be amended to replace the deaerator tank. The exterior and interior work at the Central Heating Plant which was originally programmed for this funding will be addressed under future capital.

Appropriation History:

Year	Amount	Description
2014	500,000	DESIGN AND CONSTRUCTION FOR ADDITION TO CENTRAL ELECTRICAL DISTRIBUTION FACILITY
2015	1,050,000	CENTRAL HEATING PLANT EXTERIOR REHABILITATION; HOSPITAL TUNNEL DHW VALVE REPLACEMENT; CWD #3 VALHALLA CAMPUS VALVE CHAMBER DRIVEWAY
2016	1,800,000	STREET LIGHTING SYSTEMS UPGRADE
2017	375,000	UPGRADES TO THE FUEL MONITORING SYSTEM.
2018	1,475,000	REHABILITATION OF VARIOUS ITEMS AT THE CENTRAL HEATING PLANT.
2020	400,000	COST ESCALATION

Total Appropriation History:

5,600,000

Financing History:

Year	Bond Act #	Amount	Issued	Description
14	202	500,000	493,008	INFRASTRUCTURE REHABILITATION VALHALLA CAMPUS (2014 - 2018)

Total Financing History:

500,000

Recommended By:

Department of Planning
WBB4

Date
02-18-2021

Department of Public Works
RJB4

Date
02-18-2021

Budget Department
LMY1

Date
02-25-2021

Requesting Department
RJB4

Date
02-25-2021

INFRASTRUCTURE REHABILITATION, VALHALLA CAMPUS (2014-2018) (B077D)

User Department : Public Works

Managing Department(s) : Public Works ;

Estimated Completion Date: TBD

Planning Board Recommendation: Project without physical planning aspects of concern to the Westchester County Planning Board.

FIVE YEAR CAPITAL PROGRAM (in thousands)

	Est Ult Cost	Appropriated	Exp / Obl	2021	2022	2023	2024	2025	Under Review
Gross	5,600	5,600	497						
Non County Share									
Total	5,600	5,600	497						

Project Description

To rehabilitate exterior, interior or site infrastructure which is at the end of its useful life. Infrastructure rehabilitations can include, but not be limited to, masonry repairs/repointing, window repairs/replacement, roofing, parapets, flashing, gutters, waterproofing, painting, partition wall repair or additions, hardware, ceilings, lighting, security systems, BMS systems, fuel inventory and dispensing systems, fire alarm, air conditioning, electrical power, data/telecommunications, carpeting/flooring, architectural element refurbishing or replacement, landscaping, sidewalks, fences, walls, pavement, and site utilities/services.

Current Year Description

There is no current year request.

Impact on Operating Budget

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

Appropriation History

Year	Amount	Description	Status
2014	500,000	Design and construction for addition to Central Electrical Distribution Facility	COMPLETE
2015	1,050,000	Central Heating Plant Exterior Rehabilitation; Hospital Tunnel DHW Valve Replacement; CWD #3 Valhalla Campus Valve Chamber Driveway	AWAITING BOND AUTHORIZATION
2016	1,800,000	Street lighting systems upgrade	AWAITING BOND AUTHORIZATION
2017	375,000	Upgrades to the fuel monitoring system.	AWAITING BOND AUTHORIZATION
2018	1,475,000	Rehabilitation of various items at the Central Heating Plant.	AWAITING BOND AUTHORIZATION
2020	400,000	Cost escalation	AWAITING BOND AUTHORIZATION
Total	5,600,000		

Prior Appropriations

	Appropriated	Collected	Uncollected
Bond Proceeds	5,600,000	493,008	5,106,992
Total	5,600,000	493,008	5,106,992


INFRASTRUCTURE REHABILITATION, VALHALLA CAMPUS (2014-2018) (B077D)

Bonds Authorized

Bond Act	Amount	Date Sold	Amount Sold	Balance
202 14	500,000	12/15/16	70,121	6,992
		12/15/17	135,470	
		12/15/17	24,874	
		12/15/17	210	
		12/10/18	262,334	
Total	500,000		493,008	6,992

March 22, 2021

TO: Hon. Benjamin Boykin, Chair
Hon. Alfreda Williams, Vice Chair
Hon. MaryJane Shimsky, Majority Leader
Hon. Margaret Cunzio, Minority Leader

FROM: George Latimer 
Westchester County Executive

RE: Message Requesting Immediate Consideration: **Bond Act – WD309 –
Water Storage Facilities and Maintenance Program.**

This will confirm my request that the Board of Legislators allow submission of the referenced communication to be submitted to the Board of Legislators March 22, 2021 Agenda.

Transmitted herewith for your review and approval is a legislative package which, if approved by your Honorable Board, would authorize the County of Westchester ("County") to proceed with capital project WD309 – Water Storage Facilities and Maintenance Program. In addition to related documents: PH, ENV RES, 2 ACTS, STATE REGULATION RESOLUTION.

Therefore, since this communication is of the utmost importance, it is respectfully submitted that the County Board of Legislators accepts this submission for March 22, 2021 "blue sheet" calendar.

Thank you for your prompt attention to this matter.

George Latimer
County Executive

March 17, 2021

Westchester County Board of Legislators
800 Michaelian Office Building
White Plains, New York 10601

Dear Members of the Board of Legislators:

Transmitted herewith for your review and approval is a legislative package which, if approved by your Honorable Board, would authorize the County of Westchester ("County") to proceed with capital project WD309 – Water Storage Facilities and Maintenance Program ("WD309" or "Project"), for the benefit of County Water District No. 3 ("District"), subject to an order issued by the Comptroller of the State of New York ("State Comptroller") permitting the expenditure, as described herein. WD309 provides for the rehabilitation and maintenance of the existing water storage tower for the Grasslands Reservation ("Grasslands"), including but not limited to, painting and coating of the interior and exterior of the storage tower, paving of the existing service roadway and area surrounding the tank, required drainage remediation work, housekeeping site work, electrical and control instrumentation improvements, and piping and valve work, and incidental expenses in connection therewith, which is a specific object or purpose, in and for the benefit of the District.

The Department of Environmental Facilities ("Department") has advised that the 1.5 million gallon water storage tower was last recoated in 1997 and at that time a cathodic protection system was installed. The life expectancy of a recoated water storage tower with cathodic protection is approximately twenty (20) years. The Department has advised that the outside of the water tank itself has started to rust. In order to maintain the integrity of the tower, it is necessary to address the rusting of the tank. Further, I am advised that the County Department of Health has determined that it is imperative that the Department implement this rehabilitation Project.

As you may recall, your Honorable Board, by Act No. 193-2015, previously authorized the County to issue bonds in an amount not-to-exceed \$500,000 to finance design and construction management for the Project. I am advised that these bonds have not been sold. The design has been completed. It is now requested that Act No. 193-2015 be amended to increase the amount authorized by \$9,300,000 to finance the construction portion of WD309 bringing the total authorized amount, as amended, to \$9,800,000 which is the maximum estimated cost of the Project. Construction is estimated to take approximately twelve (12) months to complete.

The Department has prepared a report dated January 5, 2021 ("District Report") on behalf of the District, which recommends the Project. The Department has advised that WD309 is necessary for the proper maintenance and service of District facilities to ensure the District's continued effective operation in order to provide a continuous, uninterrupted supply of drinking water in compliance with federal, state and local regulatory requirements. Based on its review, the Department has determined that the Project is the best, most cost effective option available to the District for this purpose. A copy of the District Report is attached hereto for your Honorable Board's review.

By Act No. 63-1977, the District was duly established pursuant to Article 5-A of the New York County Law ("Article 5-A"). It comprises the territorial limits of the Valhalla Campus at Grasslands. The District provides water to over fifty separate County, State, and private facilities including, but not limited to, the Westchester Medical Center, County Departments of Correction, Public Works and Transportation, Social Services and Emergency Services, the New York Medical College, and the New York State Department of Transportation. The average daily consumption rate for the District is approximately 0.65 million gallons. Consumers of District water are both County and non-County users and are billed for their water consumption and related District costs.

As your Honorable Board may recall, Article 5-A imposes certain legal requirements on the County before projects can move forward. One such requirement is that your Honorable Board must conclude, after holding a public hearing that the proposed Project is in the public interest. A proposed resolution authorizing legal notice for the public hearing is attached hereto ("Public Hearing Resolution"). Should your Honorable Board, after the public hearing, determine that the Project is in the public interest, it is respectfully requested that your Honorable Board approve the first Act annexed hereto.

Further, pursuant to Article 5-A, permission of the State Comptroller is required in certain instances for the issuance of bonds to finance an increase and improvement of water district facilities. Section 268.3 of Article 5-A provides, in pertinent part, that:

"Whenever it is proposed or required that the county in which a district is located shall finance an expenditure or contract for the purposes authorized in this section by the issuance of the bonds...and the cost to the typical property or, if different, the typical one or two family home...is above the average estimated cost to the typical properties or or homes for similar types of expenditures as may be annually computed by the state comptroller, no such expenditure shall be made or contract let, unless the state comptroller, on behalf of the state, shall consent to such expenditure." (Emphasis added).

In accordance with the above-referenced section, the State Comptroller issues annual guidelines setting forth average estimated costs with respect to, among other things, county water districts. The guidelines designate cost thresholds for such special districts at or below which permission of the State Comptroller is determined to be unnecessary. For 2021, the State Comptroller provided "there was insufficient data to calculate meaningful average estimated costs. Therefore, any type of district not listed above will be subject to applicable requirements for obtaining the Comptroller's approval, irrespective of the cost to the typical property or home, if debt is proposed to be issued to finance the improvement." A copy of the guidelines is annexed hereto.

As noted, the District is not a typical district, as it does not consist of any “typical property” or “typical one or two-family homes”. Rather, it is a District substantially owned by the County. Accordingly, where District property is owned by the County and district improvements are not taxed to or paid for by “typical property” or “typical one or two-family home” owners as defined in 2 N.Y.C.R.R. Section 85.2, the cost of the proposed increase and improvement must be calculated over all taxable parcels in the County. The Finance Department has advised that debt issuances associated with District capital expenses are expensed through bills to the County's General Fund and are a contributing factor to the County's tax levy. The District's operating budget is also a contributing factor to the County's tax levy.

The Department, in conjunction with the Finance Department, has calculated that the average cost that will result from the increase and improvement to the facilities of the District in connection with WD309 equates to a single year debt payment of approximately \$376,000, which, if distributed over the 256,792 taxable parcels in the County, equates to an estimated average increase in tax assessment of \$1.46 per parcel.

In order to obtain the permission of the State Comptroller, State regulations require that the County submit a verified application (“Application”) in the form prescribed by 2 N.Y.C.R.R. Part 85 (“State Regulations”), to the Office of the State Comptroller. The State Regulations further require that your Honorable Board adopt a resolution (“State Regulations Resolution”) stating that it believes the following: the contents of the Application are accurate; that the proposed improvements are in the public interest; that said improvements will not constitute an undue burden on the property that will bear the cost thereof; and if the cost of the proposed improvements are to be assessed in whole or in part against benefited property, that all real property to be so assessed will be benefited by the proposed improvements and that no benefited property will be excluded. A second Act is annexed hereto which, if approved by your Honorable Board, will direct that a verified application be submitted to the State Comptroller.

The Department of Planning (“Planning”) has advised that based on its review, WD309 falls within the definition of an Unlisted action under the State Environmental Quality Review (“SEQR”) Act and its implementing regulations 6 N.Y.C.R.R. Part 617, which requires an assessment of environmental impacts. A Short Environmental Assessment Form prepared by Planning is attached to assist your Honorable Board in complying with SEQR. Should your Honorable Board conclude that the proposed action will not have any significant adverse impact on the environment, it must approve the Resolution (“SEQR” Resolution”) issuing a Negative Declaration prior to enacting the Acts and Bond Act. As you know, your Honorable Board may utilize the professional advice and assistance of the Planning Department in making your required determination under the applicable SEQR regulations.

In order to proceed with the Project, approval of your Honorable Board is required as follows:

1. Public Hearing Resolution – authorizing a public hearing to be held;
2. SEQR Resolution – issuing a Negative Declaration pursuant to SEQR regulations;
3. Act – authorizing the County to proceed with WD309 for the benefit of the District, subject to the permission of the State Comptroller;
4. Act - directing that a verified application be submitted to the State Comptroller;

5. State Regulations Resolution – as required by the State Regulations (2 N.Y.C.R.R. Part 85) in order to submit the verified application to the State Comptroller; and

6. Bond Act – authorizing the issuance of up to \$9,800,000 in bonds to finance WD309 subject to the permission of the State Comptroller authorizing such expenditure.

Accordingly, based upon the importance of WD309, I respectfully recommend that your Honorable Board adopt the Public Hearing Resolution. Furthermore, in the event that your Honorable Board should, following the public hearing, conclude that the proposed Project is in the public interest, then I urge adoption of the remainder of the legislative package.

Very truly yours,

A handwritten signature in black ink, appearing to read "George Latimer", written in a cursive style.

George Latimer
County Executive

GL/LAC
Attachments

**HONORABLE BOARD OF LEGISLATORS
THE COUNTY OF WESTCHESTER**

Your Committee is in receipt of a transmittal from the County Executive, which, if approved by your Honorable Board, would authorize the County of Westchester ("County") to proceed with capital project WD309 – Water Storage Facilities and Maintenance Program ("WD309" or "Project"), for the benefit of County Water District No. 3 ("District"), subject to an order issued by the Comptroller of the State of New York ("State Comptroller") permitting the expenditure, as described herein. WD309 provides for the rehabilitation and maintenance of the existing water storage tower for the Grasslands Reservation ("Grasslands"), including but not limited to, painting and coating of the interior and exterior of the storage tower, paving of the existing service roadway and area surrounding the tank, required drainage remediation work, housekeeping site work, electrical and control instrumentation improvements, and piping and valve work, and incidental expenses in connection therewith, which is a specific object or purpose, in and for the benefit of the District.

The Department of Environmental Facilities ("Department") has advised that the 1.5 million gallon water storage tower was last recoated in 1997 and at that time a cathodic protection system was installed. The life expectancy of a recoated water storage tower with cathodic protection is approximately twenty (20) years. The Department has advised that the outside of the water tank itself has started to rust. In order to maintain the integrity of the tower, it is necessary to address the rusting of the tank. Further, I am advised that the County Department of Health has determined that it is imperative that the Department implement this rehabilitation Project.

As you may recall, your Honorable Board, by Act No. 193-2015, previously authorized the County to issue bonds in an amount not-to-exceed \$500,000 to finance design and construction management for the Project. I am advised that these bonds have not been sold. The design has been completed. It is now requested that Act No. 193-2015 be amended to increase the amount authorized by \$9,300,000 to finance the construction portion of WD309 bringing the total authorized amount, as amended, to \$9,800,000 which is the maximum estimated cost of the Project. Construction is estimated to take approximately twelve (12) months to complete.

The Department has prepared a report dated January 5, 2021 (“District Report”) on behalf of the District, which recommends the Project. The Department has advised that WD309 is necessary for the proper maintenance and service of District facilities to ensure the District’s continued effective operation in order to provide a continuous, uninterrupted supply of drinking water in compliance with federal, state and local regulatory requirements. Based on its review, the Department has determined that the Project is the best, most cost effective option available to the District for this purpose. A copy of the District Report is attached hereto for your Honorable Board’s review.

By Act No. 63-1977, the District was duly established pursuant to Article 5-A of the New York County Law (“Article 5-A”). It comprises the territorial limits of the Valhalla Campus at Grasslands. The District provides water to over fifty separate County, State, and private facilities including, but not limited to, the Westchester Medical Center, County Departments of Correction, Public Works and Transportation, Social Services and Emergency Services, the New York Medical College, and the New York State Department of Transportation. The average daily consumption rate for the District is approximately 0.65 million gallons. Consumers of District water are both County and non-County users and are billed for their water consumption and related District costs.

As your Honorable Board may recall, Article 5-A imposes certain legal requirements on the County before projects can move forward. One such requirement is that your Honorable Board must conclude, after holding a public hearing that the proposed Project is in the public interest. A proposed resolution authorizing legal notice for the public hearing is attached hereto (“Public Hearing Resolution”). Should your Honorable Board, after the public hearing, determine that the Project is in the public interest, it is respectfully requested that your Honorable Board approve the first Act annexed hereto.

Further, pursuant to Article 5-A, permission of the State Comptroller is required in certain instances for the issuance of bonds to finance an increase and improvement of water district facilities. Section 268.3 of Article 5-A provides, in pertinent part, that:

“Whenever it is proposed or required that the county in which a district is located shall finance an expenditure or contract for the purposes authorized in this section by the issuance of the bonds...and the cost to the typical property or, if different, the typical

one or two family home...is above the average estimated cost to the typical properties or or homes for similar types of expenditures as may be annually computed by the state comptroller, no such expenditure shall be made or contract let, unless the state comptroller, on behalf of the state, shall consent to such expenditure.” (Emphasis added).

In accordance with the above-referenced section, the State Comptroller issues annual guidelines setting forth average estimated costs with respect to, among other things, county water districts. The guidelines designate cost thresholds for such special districts at or below which permission of the State Comptroller is determined to be unnecessary. For 2021, the State Comptroller provided “there was insufficient data to calculate meaningful average estimated costs. Therefore, any type of district not listed above will be subject to applicable requirements for obtaining the Comptroller’s approval, irrespective of the cost to the typical property or home, if debt is proposed to be issued to finance the improvement.” A copy of the guidelines is annexed hereto.

As noted, the District is not a typical district, as it does not consist of any “typical property” or “typical one or two-family homes”. Rather, it is a District substantially owned by the County. Accordingly, where District property is owned by the County and district improvements are not taxed to or paid for by “typical property” or “typical one or two-family home” owners as defined in 2 N.Y.C.R.R. Section 85.2, the cost of the proposed increase and improvement must be calculated over all taxable parcels in the County. The Finance Department has advised that debt issuances associated with District capital expenses are expensed through bills to the County's General Fund and are a contributing factor to the County's tax levy. The District’s operating budget is also a contributing factor to the County’s tax levy.

Your Committee has been advised that the Department, in conjunction with the Finance Department, has calculated that the average cost that will result from the increase and improvement to the facilities of the District in connection with WD309 equates to a single year debt payment of approximately \$376,000, which, if distributed over the 256,792 taxable parcels in the County, equates to an estimated average increase in tax assessment of \$1.46 per parcel.

Your Committee has been further advised that in order to obtain the permission of the State Comptroller, State regulations require that the County submit a verified application (“Application”) in the form prescribed by 2 N.Y.C.R.R. Part 85 (“State Regulations”), to the Office of the State Comptroller. The State Regulations further require that your Honorable Board adopt a resolution (“State Regulations Resolution”) stating that it believes the following: the contents of the Application

are accurate; that the proposed improvements are in the public interest; that said improvements will not constitute an undue burden on the property that will bear the cost thereof; and if the cost of the proposed improvements are to be assessed in whole or in part against benefited property, that all real property to be so assessed will be benefited by the proposed improvements and that no benefited property will be excluded. A second Act is annexed hereto which, if approved by your Honorable Board, will direct that a verified application be submitted to the State Comptroller.

Your Committee notes that the Department of Planning (“Planning”) has advised that based on its review, WD309 falls within the definition of an Unlisted action under the State Environmental Quality Review (“SEQR”) Act and its implementing regulations 6 N.Y.C.R.R. Part 617, which requires an assessment of environmental impacts. A Short Environmental Assessment Form (“EAF”) prepared by Planning is annexed hereto. Your Committee has carefully considered the proposed legislation and has reviewed the EAF. For the reasons set forth in the EAF, your Committee believes that the proposed action will not have any significant adverse impact on the environment and urges your Honorable Board to adopt the resolution (“SEQR Resolution”) issuing a Negative Declaration prior to enacting the Acts and Bond Act.

In order to proceed with the Project, approval of your Honorable Board is required as follows:

1. Public Hearing Resolution – authorizing a public hearing to be held;
2. SEQR Resolution – issuing a Negative Declaration pursuant to SEQR regulations;
3. Act – authorizing the County to proceed with WD309 for the benefit of the District, subject to the permission of the State Comptroller;
4. Act - directing that a verified application be submitted to the State Comptroller;
5. State Regulations Resolution – as required by the State Regulations (2 N.Y.C.R.R. Part 85) in order to submit the verified application to the State Comptroller; and
6. Bond Act – authorizing the issuance of up to \$9,800,000 in bonds to finance WD309 subject to the permission of the State Comptroller authorizing such expenditure.

Your Committee has carefully considered WD309 and based on its importance, it is recommended that your Honorable Board adopt the Public Hearing Resolution. Further, in the event that your Honorable Board should, following the public hearing, conclude that the

proposed actions are in the public interest, then it is recommended that your Honorable Board adopt the remainder of the legislative package.

Dated: _____, 2021
White Plains, New York

COMMITTEE ON:
c/lac/3.1.21

FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: WD309

☐ NO FISCAL IMPACT PROJECTED

SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☐ GENERAL FUND

☐ AIRPORT FUND

☒ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☒ Current Appropriations

☐ Capital Budget Amendment

SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 9,800,000 PPU 40 Anticipated Interest Rate 1.75%

Anticipated Annual Cost (Principal and Interest): \$ 334,158

Total Debt Service (Annual Cost x Term): \$ 13,366,320

Finance Department: Interest rate from March 4, 2021 Bond Buyer

SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations
(describe in detail for current and next four years):

SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded: 106

SECTION E - EXPECTED DESIGN WORK PROVIDER

☐ County Staff

☒ Consultant

☐ Not Applicable

Prepared by: CJ Gelardo

Title: Capital Program Coordinator

Department: Environmental Facilities

Date: 3/15/21

Reviewed By: 

Det. Budget Director

Date: 3/16/21

RESOLUTION NO. ____ – 2021

WHEREAS, the Westchester County Board of Legislators (“Board”) duly established County Water District No. 3 (“District”) in 1977 pursuant to Article 5-A of the New York State County Law (“Article 5-A”); and

WHEREAS, the District is comprised of the territorial limits of the County’s Valhalla Campus at Grasslands; and

WHEREAS, the District is wholly owned by the County of Westchester (“County”); and

WHEREAS, the Department of Environmental Facilities has prepared and submitted to this Honorable Board a report dated January 5, 2021, as may be amended from time to time (“District Report”), which recommends capital project: WD309 – Water Storage Facilities and Maintenance Program (“WD309”), which represents an increase and improvement of District facilities; and

WHEREAS, WD309 will finance design, construction management and construction costs for the rehabilitation and capital maintenance of the existing water storage tower for the Grasslands Reservation, including but not limited to, painting and coating of the interior and exterior of the storage tower, paving of the existing service roadways and area surrounding the tank, required drainage remediation work, housekeeping site work, electrical and control instrumentation improvements, and piping and valve work, and incidental expenses in connection therewith, which is a specific object or purpose, in and for the benefit of the District.; and

WHEREAS, the County is currently seeking authorization of the issuance of up to \$9,300,000 in bonds of the County to finance WD309, stating the estimated maximum cost of the capital project is \$9,800,000 subject to the permission of the Comptroller of the State of New York; noting that the financing plan of the WD309 includes a \$500,000 in bonds previously authorized by Act 193-2015; and

WHEREAS, the Department has advised that the cost resulting from the proposed increase and improvement of district facilities to all taxable parcels in the County represents an estimated average tax assessment increase of \$1.46 per parcel, as more fully set forth in the District Report; and

WHEREAS, in accordance with Article 5-A, this Board is required to schedule a public hearing.

NOW, THEREFORE, BE IT

RESOLVED, that a public hearing shall be held upon the proposed increase and improvement of facilities for the District, as more fully set forth in the District Report dated January 5, 2021, as may be amended from time to time; said hearing to be held in the Board of Legislators Chambers, Room 800, Michaelian Office Building, 148 Martine Avenue, White Plains, New York 10601 on the _____ day of _____, 2021 at _____ .m.; and be it further

RESOLVED, that the Clerk of the Board is hereby authorized and empowered take all necessary steps in furtherance hereof; and be it further

RESOLVED, that the Clerk of the Board is hereby directed to cause notice of such public hearing to be published in the official newspapers of the County of Westchester having a general circulation in the District and in the manner required by law, the first publication thereof to be not less than ten (10) or more than twenty (20) days before the date set forth above for the public hearing. Such Notice shall be substantially in the form attached hereto; and be it further

RESOLVED, that this Resolution shall take effect immediately

NOTICE OF PUBLIC HEARING

Property owners in Westchester County are hereby notified that legislation is currently before the Westchester County Board of Legislators ("Board") to carry out the following proposed capital project WD309 – Water Storage Facilities and Maintenance Program ("WD309") ("Capital Project"), for the benefit of County Water District No. 3 (the "District") as more fully set forth herein and in the District Report dated January 5, 2021, as may be amended from time to time ("District Report"). The District Report is available for review from the Office of the Commissioner of the Westchester County Department of Environmental Facilities.

The issuance of bonds would finance the cost of design, construction management and construction costs for the rehabilitation and capital maintenance of the existing water storage tower for the Grasslands Reservation, including but not limited to, painting and coating of the interior and exterior of the storage tower, paving of the existing service roadways and area surrounding the tank, required drainage remediation work, housekeeping site work, electrical and control instrumentation improvements, and piping and valve work, and incidental expenses in connection therewith, which is a specific object or purpose, in and for the benefit of the District.

It should be noted that the District does not consist of any private one or two family homes. It does not include any property owned by individual private property owners, but rather it is a District wholly owned by the County. The County is currently seeking authorization of a bond act to authorize the issuance of up to \$9,300,000, in bonds of the County, subject to the permission of the New York State Comptroller, stating the estimated maximum cost of the Capital Project is \$9,800,000, including \$500,000 in previously authorized bonds of the County. The average cost that will result from the increase and improvement to the facilities of the District in connection with the Capital Project equates to a single year debt payment of approximately \$376,000, which, if distributed over the 256,792 taxable parcels in the County, equates to an estimated average increase in tax assessment of \$1.46 per parcel.

Notice is hereby given that a public hearing will be held by the Board of Legislators of Westchester County on the ____ day of _____, 20__ at __:____.m. in the chambers of the Westchester County Board of Legislators, 8th floor, 148 Martine Avenue, White Plains, New York for the purpose of hearing persons or parties interested in the aforementioned increase and improvement of facilities for the District.

Dated: _____, 2021
White Plains, New York

Clerk and Chief Administrative Officer of the County
Board of Legislators Westchester County, New York

RESOLUTION NO.____- 2021

WHEREAS, there is pending before this Honorable Board legislation to authorize the County of Westchester to issue bonds in connection with capital project WD309 – Water Storage Facilities and Maintenance Program (“WD309”); and

WHEREAS, this Honorable Board has determined that the proposed authorization would constitute an action under Article 8 of the Environmental Conservation Law, known as the New York State Environmental Quality Review (“SEQR”) Act; and

WHEREAS, pursuant to SEQR and its implementing regulations (6 New York Code of Rules and Regulations Part 617), this project is classified as an Unlisted action, which requires this Honorable Board to make a determination as to whether the proposed action will have a significant impact on the environment; and

WHEREAS, the County of Westchester is the only involved agency with discretionary authority for this action and, therefore, is assuming the role of Lead Agency; and

WHEREAS, in accordance with SEQR and its implementing regulations, an Environmental Assessment Form has been prepared to assist this Honorable Board in its environmental assessment of this proposed action; and

WHEREAS, this Honorable Board has carefully considered the proposed action and has reviewed the attached short Environmental Assessment Form and the criteria set forth in Section 617.7 of the implementing regulations and has identified the relevant areas of environmental concern, as described in the attached Environmental Assessment Form, to determine if this proposed action will have an effect upon the environment.


NOW, THEREFORE, be it resolved by the County Board of Legislators of the County of Westchester, State of New York, as follows:

RESOLVED, that based upon the Honorable Board's review of the Environmental Assessment Form and for the reasons set forth therein, this Board finds that there will be no significant adverse impact on the environment from the capital project; and be it further

RESOLVED, the Clerk of the Board of Legislators, as responsible officer in Lead Agency, is authorized and directed to sign the Determination of Significance in the attached Environmental Assessment Form, which Form is made a part hereof; to issue this "Negative Declaration" on behalf of this Board pursuant to Article 8 of the Environmental Conservation Law; and to immediately transmit same to the Commissioner of Planning to be filed, published and made available pursuant to the requirements of Part 617 of New York Code of Rules and Regulations; and be it further

RESOLVED, that the Resolution shall take effect immediately.

TO: Lynne Colavita, Senior Assistant County Attorney
Department of Law

FROM: David S. Kvinge, AICP, RLA, CFM 
Director of Environmental Planning

DATE: December 30, 2020

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR
WD309 Water Storage Facilities and Maintenance Program**

The Planning Department has reviewed Capital Project WD309 – Water Storage Facilities and Maintenance Program (Unique ID: 1609).

This project has been classified as an Unlisted action pursuant to the State Environmental Quality Review Act and its implementing regulations, 6 NYCRR Part 617 (SEQR). A Short Environmental Assessment Form has been prepared for the project for consideration by the Board of Legislators.

Additionally, please be advised that the County is the only involved agency with discretionary authority and, therefore, must assume the role of Lead Agency under SEQR.

Please contact me if you require any additional information regarding this document.

DSK/cnm

Att.

cc: Andrew Ferris, Chief of Staff
Paula Friedman, Assistant to the County Executive
Tami Altschiller, Assistant Chief Deputy County Attorney
Gideon Grande, Deputy Budget Director
Lorraine Yazzetta, Associate Budget Director
Anthony Zaino, Assistant Commissioner
William Brady, Chief Planner
C.J. Gelardo, Capital Program Coordinator, Department of Environmental Facilities
Michelle Greenbaum, Assistant County Attorney
Michael Lipkin, Associate Planner
Claudia Maxwell, Associate Environmental Planner

Short Environmental Assessment Form

Part 1 - Project Information


Instructions for Completing

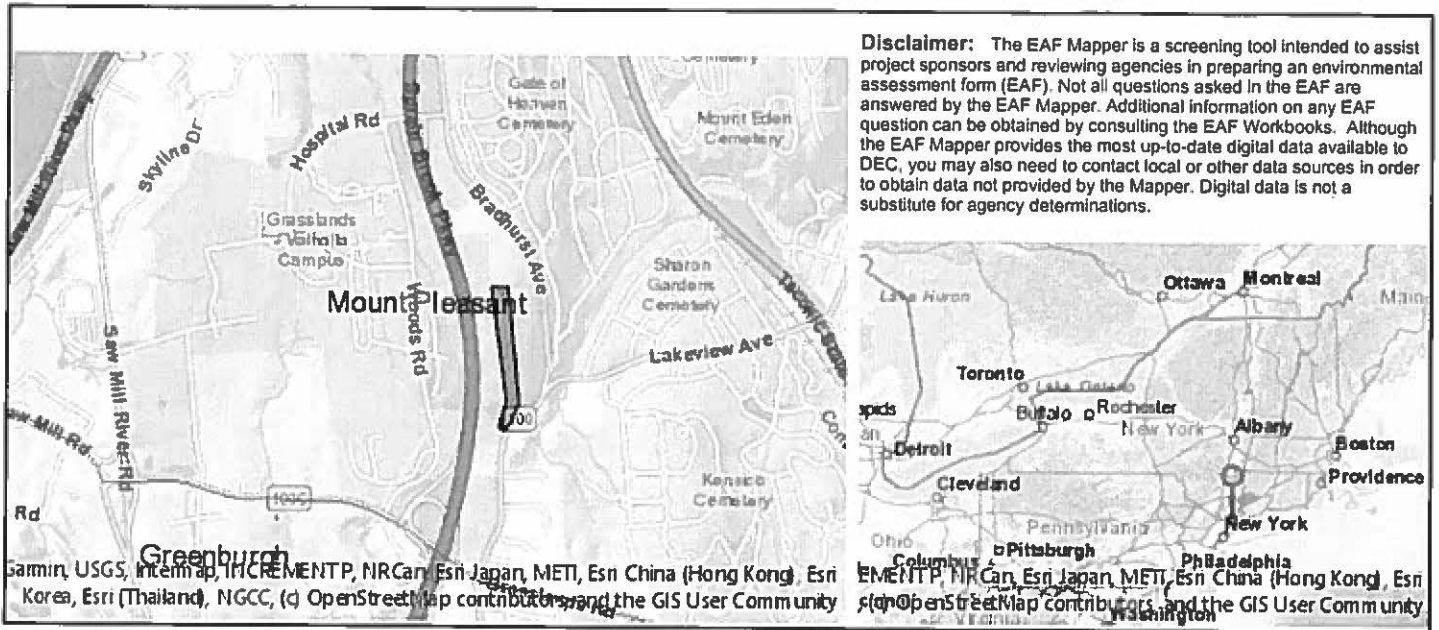
Part 1 – Project Information. The applicant or project sponsor is responsible for the completion of Part 1. Responses become part of the application for approval or funding, are subject to public review, and may be subject to further verification. Complete Part 1 based on information currently available. If additional research or investigation would be needed to fully respond to any item, please answer as thoroughly as possible based on current information.

Complete all items in Part 1. You may also provide any additional information which you believe will be needed by or useful to the lead agency; attach additional pages as necessary to supplement any item.

Part 1 – Project and Sponsor Information			
Name of Action or Project:			
Water Storage Facilities and Maintenance Program (WD309) - Grasslands Water Tower			
Project Location (describe, and attach a location map):			
Bradhurst Avenue, Valhalla (Town of Mount Pleasant), Westchester County, NY			
Brief Description of Proposed Action:			
<p>The project involves the rehabilitation of the water tower facility for County Water District No. 3, which serves the County's Grasslands and Community College campuses. The rehabilitation work includes: removal of antennae from the water tower; repainting and recoating of the water tower interior and exterior; replacement of various vent and access equipment on the water tower; replacement of the cathodic protection system within the tower; electrical and instrumentation upgrades; construction of an instrumentation enclosure within the base of the tower; rehabilitation of equipment within the existing pumping stations and vault chambers around the base of the tower, and construction of a new meter chamber near the base of the tower. The project will also include replacement of the existing security fence and gates, the addition of a 1-foot-wide concrete strip along the fence line, paving of the gravel access road, and the installation of grassed swales for stormwater management.</p>			
Name of Applicant or Sponsor:		Telephone: (914) 995-4400	
County of Westchester		E-Mail: dsk2@westchestergov.com	
Address:			
148 Martine Ave			
City/PO:		State:	Zip Code:
White Plains		NY	10601
1. Does the proposed action only involve the legislative adoption of a plan, local law, ordinance, administrative rule, or regulation?			
If Yes, attach a narrative description of the intent of the proposed action and the environmental resources that may be affected in the municipality and proceed to Part 2. If no, continue to question 2.			
2. Does the proposed action require a permit, approval or funding from any other government Agency?			
If Yes, list agency(s) name and permit or approval: NYSDEC- SPDES General Permit			
3. a. Total acreage of the site of the proposed action?			7 acres
b. Total acreage to be physically disturbed?			1 acres
c. Total acreage (project site and any contiguous properties) owned or controlled by the applicant or project sponsor?			46.74 acres
4. Check all land uses that occur on, are adjoining or near the proposed action:			
5. <input type="checkbox"/> Urban <input type="checkbox"/> Rural (non-agriculture) <input type="checkbox"/> Industrial <input checked="" type="checkbox"/> Commercial <input checked="" type="checkbox"/> Residential (suburban)			
<input checked="" type="checkbox"/> Forest <input type="checkbox"/> Agriculture <input type="checkbox"/> Aquatic <input checked="" type="checkbox"/> Other(Specify): Cemetery, school, parkway			
<input type="checkbox"/> Parkland			

5. Is the proposed action,	NO	YES	N/A
a. A permitted use under the zoning regulations?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
b. Consistent with the adopted comprehensive plan?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
6. Is the proposed action consistent with the predominant character of the existing built or natural landscape?	NO	YES	
	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
7. Is the site of the proposed action located in, or does it adjoin, a state listed Critical Environmental Area?	NO	YES	
If Yes, identify: _____	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
8. a. Will the proposed action result in a substantial increase in traffic above present levels?	NO	YES	
	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
b. Are public transportation services available at or near the site of the proposed action?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
c. Are any pedestrian accommodations or bicycle routes available on or near the site of the proposed action?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
9. Does the proposed action meet or exceed the state energy code requirements?	NO	YES	
If the proposed action will exceed requirements, describe design features and technologies: _____ _____	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
10. Will the proposed action connect to an existing public/private water supply?	NO	YES	
If No, describe method for providing potable water: _____	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
The project involves improvements to an existing water supply facility, but does not include any new water supply connections. _____			
11. Will the proposed action connect to existing wastewater utilities?	NO	YES	
If No, describe method for providing wastewater treatment: _____ _____	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
12. a. Does the project site contain, or is it substantially contiguous to, a building, archaeological site, or district which is listed on the National or State Register of Historic Places, or that has been determined by the Commissioner of the NYS Office of Parks, Recreation and Historic Preservation to be eligible for listing on the State Register of Historic Places?	NO	YES	
	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
b. Is the project site, or any portion of it, located in or adjacent to an area designated as sensitive for archaeological sites on the NY State Historic Preservation Office (SHPO) archaeological site inventory?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
13. a. Does any portion of the site of the proposed action, or lands adjoining the proposed action, contain wetlands or other waterbodies regulated by a federal, state or local agency?	NO	YES	
	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
b. Would the proposed action physically alter, or encroach into, any existing wetland or waterbody?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
If Yes, identify the wetland or waterbody and extent of alterations in square feet or acres: _____ _____ _____			

14. Identify the typical habitat types that occur on, or are likely to be found on the project site. Check all that apply: <input type="checkbox"/> Shoreline <input checked="" type="checkbox"/> Forest <input checked="" type="checkbox"/> Agricultural/grasslands <input checked="" type="checkbox"/> Early mid-successional <input type="checkbox"/> Wetland <input type="checkbox"/> Urban <input type="checkbox"/> Suburban		
15. Does the site of the proposed action contain any species of animal, or associated habitats, listed by the State or Federal government as threatened or endangered?	NO	YES
	<input checked="" type="checkbox"/>	<input type="checkbox"/>
16. Is the project site located in the 100-year flood plan?	NO	YES
	<input checked="" type="checkbox"/>	<input type="checkbox"/>
17. Will the proposed action create storm water discharge, either from point or non-point sources? If Yes,	NO	YES
	<input type="checkbox"/>	<input checked="" type="checkbox"/>
a. Will storm water discharges flow to adjacent properties?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. Will storm water discharges be directed to established conveyance systems (runoff and storm drains)? If Yes, briefly describe:	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Grassed swales and rip-rapped outfalls will be constructed alongside the access road to channel and disperse some of the stormwater runoff from the adjoining hillside and newly paved driveway.		
18. Does the proposed action include construction or other activities that would result in the impoundment of water or other liquids (e.g., retention pond, waste lagoon, dam)? If Yes, explain the purpose and size of the impoundment:	NO	YES
	<input checked="" type="checkbox"/>	<input type="checkbox"/>
19. Has the site of the proposed action or an adjoining property been the location of an active or closed solid waste management facility? If Yes, describe:	NO	YES
	<input checked="" type="checkbox"/>	<input type="checkbox"/>
20. Has the site of the proposed action or an adjoining property been the subject of remediation (ongoing or completed) for hazardous waste? If Yes, describe:	NO	YES
	<input checked="" type="checkbox"/>	<input type="checkbox"/>
I CERTIFY THAT THE INFORMATION PROVIDED ABOVE IS TRUE AND ACCURATE TO THE BEST OF MY KNOWLEDGE		
Applicant/sponsor/name: <u>County of Westchester</u> Date: <u>December 29, 2020</u>		
Signature: <u></u> Title: <u>Director of Environmental Planning</u>		



Part 1 / Question 7 [Critical Environmental Area]	No
Part 1 / Question 12a [National or State Register of Historic Places or State Eligible Sites]	No
Part 1 / Question 12b [Archeological Sites]	Yes
Part 1 / Question 13a [Wetlands or Other Regulated Waterbodies]	Yes - Digital mapping information on local and federal wetlands and waterbodies is known to be incomplete. Refer to EAF Workbook.
Part 1 / Question 15 [Threatened or Endangered Animal]	No
Part 1 / Question 16 [100 Year Flood Plain]	No
Part 1 / Question 20 [Remediation Site]	No

Short Environmental Assessment Form
Part 2 - Impact Assessment

Part 2 is to be completed by the Lead Agency.

Answer all of the following questions in Part 2 using the information contained in Part 1 and other materials submitted by the project sponsor or otherwise available to the reviewer. When answering the questions the reviewer should be guided by the concept "Have my responses been reasonable considering the scale and context of the proposed action?"

	No, or small impact may occur	Moderate to large impact may occur
1. Will the proposed action create a material conflict with an adopted land use plan or zoning regulations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
2. Will the proposed action result in a change in the use or intensity of use of land?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
3. Will the proposed action impair the character or quality of the existing community?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
4. Will the proposed action have an impact on the environmental characteristics that caused the establishment of a Critical Environmental Area (CEA)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
5. Will the proposed action result in an adverse change in the existing level of traffic or affect existing infrastructure for mass transit, biking or walkway?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
6. Will the proposed action cause an increase in the use of energy and it fails to incorporate reasonably available energy conservation or renewable energy opportunities?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7. Will the proposed action impact existing:		
a. public / private water supplies?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. public / private wastewater treatment utilities?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
8. Will the proposed action impair the character or quality of important historic, archaeological, architectural or aesthetic resources?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
9. Will the proposed action result in an adverse change to natural resources (e.g., wetlands, waterbodies, groundwater, air quality, flora and fauna)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
10. Will the proposed action result in an increase in the potential for erosion, flooding or drainage problems?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
11. Will the proposed action create a hazard to environmental resources or human health?	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Short Environmental Assessment Form

Part 3 Determination of Significance

For every question in Part 2 that was answered "moderate to large impact may occur", or if there is a need to explain why a particular element of the proposed action may or will not result in a significant adverse environmental impact, please complete Part 3. Part 3 should, in sufficient detail, identify the impact, including any measures or design elements that have been included by the project sponsor to avoid or reduce impacts. Part 3 should also explain how the lead agency determined that the impact may or will not be significant. Each potential impact should be assessed considering its setting, probability of occurring, duration, irreversibility, geographic scope and magnitude. Also consider the potential for short-term, long-term and cumulative impacts.

Much of the work, which involves internal or surface renovations or replacements in-kind, will have no impact on the environment.

Construction of a new meter chamber will occur within the fenced in and maintained area beneath the water tower will have little to no impact on the environment. The vault, itself, will be approximately 6 feet wide by 4 feet long by 8 feet high, and will be installed to a depth of 10 feet. Due to previous heavy disturbance associated with the construction of the water tower facility, no impact on archaeological resources are anticipated.

The existing gravel access road is approximately 12 feet wide and 2,145 feet in length. Paving of the road will allow for easier access to the water tower and will ensure that the path remains passable as it currently washes out and must be regraded often. Grassed swales will guide stormwater runoff to various discharge points with rip-rap aprons to facilitate percolation into the ground. A tributary of the Bronx River and a man-made pond exist at the south end of the property. The tributary passes in a culvert underneath Bradhurst Avenue at the base of the driveway. The dispersal of storm water at various points along the length of the driveway with outlet protection at each discharge point, along with erosion and sediment controls during construction, will minimize impacts to the tributary.

A chain-link fence currently encircles the water tower approximately 25 feet beyond the water tower pedestal base. The area within the fence is mowed as is a few feet outside the fence. The fence and gate assembly will be replaced in kind. However, a paved apron that will extend 6 inches on each of the fence will be installed to keep weeds and saplings from growing into the fence, which currently cause damage to the fence and allow trespassers to climb over the fence. This new apron and fence will reduce the need for future maintenance and will improve site safety with little to no environmental impact.

The project will ensure the safety of the local drinking water and continued operation of the tower and pumping facilities.

- ☐ Check this box if you have determined, based on the information and analysis above, and any supporting documentation, that the proposed action may result in one or more potentially large or significant adverse impacts and an environmental impact statement is required.
- ☒ Check this box if you have determined, based on the information and analysis above, and any supporting documentation, that the proposed action will not result in any significant adverse environmental impacts.

County of Westchester

Name of Lead Agency

Malika Vanderberg

Print or Type Name of Responsible Officer in Lead Agency

Date

Clerk to Board of Legislators

Title of Responsible Officer

Signature of Responsible Officer in Lead Agency

Signature of Preparer (if different from Responsible Officer)

PRINT FORM

ACT NO. _____ - 2021

AN ACT to increase and improve Westchester County Water District No. 3 facilities to carry out capital project WD309 at a maximum estimated cost of \$9,800,000 in accordance with the recommendation of the District Report of the Department of Environmental Facilities subject to the permission of the Comptroller of the State of New York

NOW, THEREFORE, BE IT ENACTED by the members of the Board of Legislators of the County of Westchester as follows:

Section 1. This Board finds, after holding a public hearing pursuant to Section 268 of Article 5-A of the New York County Law, that the proposed increase in improvement to Westchester County Water District No. 3 (“District”), described as capital project WD309 – Water Storage Facilities and Maintenance Program (“WD309”) as set forth in the District Report of the Department of Environmental Facilities dated January 5, 2021 (“District Report”), at a maximum estimated cost of Nine Million Eight Hundred Thousand Dollars (\$9,800,000), is in the public interest as it is necessary and desirable for the proper maintenance and service of District facilities to ensure the District’s continued effective operation in order to provide a continuous, uninterrupted supply of drinking water in compliance with federal, state and local regulatory requirements.

§2. The County of Westchester (the “County”), on behalf of the District is hereby authorized and empowered to proceed with the increase and improvement for the District substantially in accordance with the District Report and the expense shall be assessed against all of the taxable parcels of the County as the District is wholly County owned, subject to the permission of the Comptroller of the State of New York.

§3. The Clerk of the Board of Legislators shall, within ten (10) days of the enactment of this Act, cause certified copies of this Act to be filed with and recorded in the Office of the County Clerk and shall also file certified copies of this Act with the State Department of Audit and Control in Albany, New York.

§4. The County Executive or his duly authorized designee is hereby authorized and empowered to execute all instruments and to take all action necessary and appropriate to effectuate the purposes hereof.

§5. This Act shall take effect immediately.

AVERAGE ESTIMATED COSTS FOR COUNTY AND TOWN SPECIAL IMPROVEMENT DISTRICTS

**(EFFECTIVE FOR PROCEEDINGS FOR WHICH A NOTICE OF HEARING IS PUBLISHED
FROM JANUARY 1, 2021 THROUGH DECEMBER 31, 2021)**

The Comptroller's approval is required if debt is proposed to be issued by a town or county and the "cost of the district or extension" to the "typical property" or, if different, the "typical one or two family home" as stated in the notice of hearing, is above the average estimated cost thresholds listed below.¹

"Costs" include amounts required to be paid for debt service, operation and maintenance and other charges, including user fees, related to the improvement in the first year following formation of the district or extension, or the increase and improvement of facilities in counties (or, if greater, the first year in which both principal and interest and operation and maintenance will be paid). To ensure accurate calculations of estimated costs, towns and counties should not assume the receipt of federal or state aid in the absence of firm commitments from the appropriate agency. In addition, estimated borrowing costs should be based on the proposed maturity of the obligations and interest rate assumptions derived from market surveys or a letter of commitment. Charges imposed by other governmental entities, such as public authorities or other municipalities, should also be included in the computation. Costs, for this purpose, do not include hook-up fees.

TOWN DISTRICTS

The following average estimated costs apply to town special district establishments, extensions or increases in the maximum amount to be expended.²

Sewer	\$ 696
Water	\$ 887

COUNTY DISTRICT INCREASES AND IMPROVEMENTS

¹ For those proceedings that are subject to a permissive referendum requirement, the Comptroller's Office will accept the filing of an application prior to the expiration of the time for filing a petition requesting a referendum or, if a petition is filed, the vote on the proposition. However, no approval order will be granted until after the completion of all such requirements.

² The Comptroller's approval, if required in the case of an increase in the maximum amount to be expended, may be given only after a public hearing and, in the case of Article 12-A districts, permissive referendum requirements are met.

The following average estimated cost applies to county special district increases and improvements of facilities. Please note that this figure represents only the increased cost to the typical property as a result of the increase and improvement.

Sewer \$ 11

OTHER DISTRICTS

For county water and sewer establishments, county water increases and improvements and all other types of districts, there was insufficient data to calculate meaningful average estimated costs. Therefore, any type of district not listed above will be subject to applicable requirements for obtaining the Comptroller's approval, irrespective of the cost to the typical property or home, if debt is proposed to be issued to finance the improvement.

Note that proceedings under Town Law § 202-b to, among other things, repair, improve or replace facilities within an existing town district do not require the Comptroller's consent, except in certain cases within the Adirondack Park (see Town Law § 202-b[5] and Local Finance Law § 104.10[3]).

County of Westchester
Department of Environmental Facilities

Westchester County Water District No. 3
Capital Project WD309
Water Storage Facilities and Maintenance Program

DISTRICT REPORT

Dated: January 5, 2021

Vincent F. Kopicki, P.E.
Commissioner

I. INTRODUCTION

The Department of Environmental Facilities (the “Department”), on behalf of Westchester County Water District No. 3 (the “District”), previously proposed in its District Report dated August 24, 2015, an increase and improvement of District facilities, which is necessary for the proper maintenance and service of District facilities to ensure the District’s continued effective operation in order to provide a continuous, uninterrupted supply of drinking water in compliance with federal, state and local regulatory requirements. At that time, the County Board of Legislators, by Act No. 193-2015, authorized a bond act of the County in an amount not-to-exceed \$500,000 to finance design and construction management for capital project WD309 (“WD309” or “Project”) described below. The Department at this time, is seeking to increase the authorized bonding amount by \$9,300,000 to finance the construction portion of WD309. This would bring the total authorized not-to-exceed amount of the bond to \$9,800,000 which is the maximum estimated cost of the Project.

WD309 – Water Storage Facilities and Maintenance Program

This capital project provides for maintenance, including but not limited to the inspection, cleaning, repair, recoating and painting of the existing 1.5 million gallon water storage tower for the Grasslands Reservation (“Grasslands”) in the District. In addition to the water storage tower rehabilitation and maintenance, other work shall include but not be limited to, asphalt paving of the existing service roadway and required drainage remediation work, housekeeping site work, electrical improvements, piping and valve work and instrumentation.

II. BACKGROUND

By Act No. 63-1977, the District was duly established pursuant to Article 5-A of the New York County Law (“Article 5-A”). It comprises the territorial limits of the Valhalla Campus at Grasslands. The District provides water to over fifty separate County, State, and private facilities including, but not limited to, the Westchester Medical Center, County Departments of Correction, Public Works and Transportation, Social Services and Emergency Services, the New York Medical College, and the New York State Department of Transportation. The average daily consumption rate for the District is approximately 0.65 million gallons. Consumers of District water are both County and non-County users and are billed for their water consumption and related District costs.

III. THE RECOMMENDED PROJECT

This report recommends approval of the construction portion of WD309, which represents an increase and improvement to the facilities of the District.

In 2015, bonding was authorized for design and construction management costs for rehabilitation and capital maintenance of the existing 1.5 million gallon water storage tower at Grasslands, in and for the District. The design is now complete and, pending bonding approval, it is

anticipated that construction will start in 2021 and will take approximately twelve (12) months to complete.

The current bond authorization request, in the amount of \$9,300,000, will finance the construction component of WD309, including rehabilitation and repainting of the District water tower serving Grasslands. Work will also include paving of the existing service roadway, drainage improvements to the existing roadway, miscellaneous site improvements such as fencing and asphalt, electrical improvements, instrumentation and controls, and piping and valve work.

The existing water storage tower was last recoated in 1997 and at that time a cathodic protection system was installed. The life expectancy of a recoated water storage tower with cathodic protection is approximately twenty (20) years. The Department has advised that the outside of the water tank itself has started to rust. In order to maintain the integrity of the tower, it is necessary to address the rusting of the tank. Further, the County Department of Health has determined that it is imperative that the Department complete this rehabilitation Project.

IV. EFFECT ON COST OF OPERATION

The Department anticipates that WD309 will involve on-going operating costs above the current operating budget for additional power consumption and regular equipment maintenance. The anticipated additional cost is \$5,000 annually. It should also be noted that additional infrastructure improvements to the District will be necessary in the future to comply with regulations and establish the required redundancy.

The District is not a typical district, as it does not consist of any private one or two family homes. It does not include any property owned by individual private property owners, but rather it is a district substantially owned by the County. Accordingly, the typical District property is owned by the County and district improvements are not taxed to or paid for by private property owners or the "Typical One- or Two-Family Home" as defined in 2 NYCRR Section 85.2. Therefore the cost to the "typical property" must be calculated over all taxable parcels in the County. The Finance Department has advised that debt issuances associated with the District capital expenses are expensed through bills to the County's General Fund and are a contributing factor to the County's tax levy. The District's operating budget is also a contributing factor to the County's tax levy.

The Department, in conjunction with the Finance Department, has calculated that the average cost that will result from the increase and improvement to the facilities of the District in connection with WD309 equates to a single year debt payment of approximately \$376,000, which, if distributed over the 256,792 taxable parcels in the County, equates to an estimated average increase in tax assessment of \$1.46 per parcel.¹

¹ The cost was calculated, per §278 of Article 5-A of the County Law, based on debt service, operation and maintenance ("O&M") and other charges, related to the improvements in the first year in which both principal and interest on any indebtedness and O&M will be paid.

V. FINDINGS AND RECOMMENDATIONS

The Department has carefully reviewed the proposed increase and improvement of District facilities and recommends approval of WD309. The existing 1.5 million gallon water tower and related infrastructure maintenance and improvements are essential for the County to ensure continuous, uninterrupted supply of drinking water in compliance with federal, state and local regulatory requirements. Based on its review, the Department has determined that the proposed Project is the best, most cost effective option available to the County for this purpose.

-----X
In the Matter of the Application of the
County of Westchester to the State of New
York State Comptroller pursuant to Section 268,
subsection 3, of Article 5-A of the New York
County Law for permission for an increase and
improvement of Westchester County Water
District No. 3 facilities to proceed with capital project
WD309 (Water Storage Facilities and Maintenance Program)
as it is necessary and desirable for the proper
maintenance and service of District facilities and to
ensure the continued effective operation of the District
by issuing an amount not-to-exceed \$9,800,000 in bonds,
notes or other evidences of indebtedness of the County.
-----X

**VERIFIED
APPLICATION**

Benjamin Boykin II, being duly sworn, hereby deposes and says:

1. I am the Chairman of the Board of Legislators of Westchester County (the "Board of Legislators") and am making this application to the Comptroller of the State of New York ("State Comptroller") on behalf of Westchester County (the "County") pursuant to Section 268, subsection 3, of the New York County Law for permission for an increase and improvement of Westchester County Water District No. 3 ("Water District No. 3" or the "District") facilities in order to proceed with a capital project identified as WD309 (Water Storage Facilities and Maintenance Program) (hereafter "WD309" or "Project") which is necessary and desirable for the proper maintenance and service of District facilities to ensure the continued effective operation of the District to provide a continuous, uninterrupted supply of drinking water in compliance with federal, state and local regulatory requirements to the District at a total cost not-to-exceed \$9,800,000 in bonds, notes, certificates or other evidences of indebtedness of the County.

I have been directed by Section ____ of Act No. ____-2021 which was duly adopted on _____, 2021 to execute all instruments and take all actions reasonable, necessary and appropriate to petition the State Comptroller pursuant to Section 268 of the New York

County Law for an order approving the issuance of bonds in a maximum amount not-to-exceed \$9,800,000 by the County for the proposed increase and improvement of facilities of Water District No. 3, substantially in accordance with the district report dated January 5, 2021 (the "District Report") submitted by the Westchester County Department of Environmental Facilities ("Environmental Facilities") on behalf of the District. This application is being made in accordance with the regulations of the State Comptroller which are contained in Title 2, Part 85 of the New York Code of Rules and Regulations ("NYCRR"). For convenience, references will be made in brackets at the end of each point in this application to the applicable provision of the State Comptroller's regulations, which that particular point is addressing. A copy of the Committee Report, a certified copy of Resolution No. _____-2021 (which was adopted to set the public hearing required by Section 268 of the New York County Law) and a certified copy of Act No. ____-2021 are annexed hereto and made a part hereof as Exhibit "A". A copy of the District Report for Water District No. 3 is annexed hereto and made a part hereof as Exhibit "B". [Part 85.3]

3. By Act No. _____-2021, the Board of Legislators determined that the proposed increase and improvement of facilities of Water District No. 3 set forth in the District Report is in the public interest, as it is necessary and desirable for the proper maintenance and service of District facilities to ensure the continued effective operation of the District to provide a continuous, uninterrupted supply of drinking water in compliance with federal, state and local regulatory requirements to the District. (See Exhibit "A"). [Part 85.4(a)]

4. Pursuant to a memorandum from the Westchester County Department of Planning ("Planning Department"), and in accordance with SEQRA regulations, the proposed improvements can be classified as an Unlisted Action. The Planning Department prepared a Short Environmental Assessment Form to assist the County Board of Legislators in determining whether the proposed improvements would have a significant impact on the environment. The Board of Legislators determined that the proposed project would not result in a significant impact on the environment. Said memorandum and Resolution No. _____-2021 are annexed hereto and made a part hereof as Exhibit "C". [Part 85.3]

5. By Resolution No. ____-2021, which was duly adopted on _____, the Board of Legislators directed that this application be prepared, found that the proposed increase and improvement of facilities of Water District No. 3 is in the public interest and will not constitute an undue burden on the property which will bear the cost thereof, that the cost of the proposed improvements will be assessed against all benefited properties in said District and that no benefited property will be excluded, and that said Board of Legislators believes that the contents of this application are accurate. A certified copy of Resolution No. ____-2021 is annexed hereto and made a part hereof as Exhibit "D" ("State Regulations Resolution"). [Part 85.3(b) (1)]

6. The opinion of legal counsel is annexed hereto and made a part hereof as Exhibit "E". [Part 85.3(b) (2) and Part 85.5]

7. All statements below are made upon information and belief. I believe that the following statements are true based upon the exhibits which are annexed hereto and made a part of this application and upon information provided to me by various County officials, including staff of the County Departments of Environmental Facilities, Planning, Budget and Finance.

8. All documents and information required to be included by Section 268 of the County Law are as follows: the plan of the proposed increase and improvement of District facilities is the District Report for Water District No. 3, (see Exhibit "B"); a certified copy of Resolution No. ____-2021, authorizing the publication of a Notice of Hearing, which is annexed hereto and made a part hereof as Exhibit "F"; a copy of the proof of publication of the Notice of Public Hearing which was published on _____, (see also Exhibit "F"); the public hearing was held on _____, at _____pm.; and Act No. ____-2021, which notes that a public hearing was held and that the County Board of Legislators found the proposed increase and improvement of facilities is in the public interest and which directs the County Commissioner of Environmental Facilities to proceed with the improvement. (See Exhibit "A"). [Part 85.4 (a)].

9. A description of the proposed improvements is contained in the District Report (See Exhibit "B"). [Part 85.4 (b)].

10. The statement setting forth the maximum cost of the proposed improvements is contained in the District Report (see Exhibit "B"). [Part 85.4 (c)].

11. The statement containing the factors, which the Board of Legislators considered can be found in Act No. ____-2021 and the accompanying Committee Report (see Exhibit "A"), which references the District Report (see Exhibit "B"). The Board of Legislators determined that the proposed improvements are necessary and desirable for the proper maintenance and service of District facilities and to ensure the continued effective operation of the District to provide a continuous, uninterrupted supply of drinking water in compliance with federal, state and local regulatory requirements to the District. [Part 85.4 (d)].

12. The statement describing the proposed manner of financing the cost of the improvement is attached hereto as Exhibit "G". [Part 85.4 (e) (1)]. There is no proposed State or Federal aid in connection with the proposed improvements. [Part 85.4 (e) (2)].

13. An estimate of the cost of operating and maintaining the proposed improvements can be found in Section IV of the District Report (see Exhibit "B"). [Part 85.4 (f)].

14. The statements detailing the manner in which it is proposed to raise the cost of debt service and operation and maintenance is contained in Section IV of the District Report (see Exhibit "B"). [Part 85.4(g)].

(Parts 85.4 (h) and (i) do not apply to this application.)

15. While this application is being made pursuant to Section 268 of the County Law, it is not proposing to establish two or more zones of assessment within the District. [Part 85.4 (j)].

16. A statement of the average full valuation of the taxable real property of the County computed pursuant to the first paragraph of subdivision seven-a of Section 2.00 of the Local Finance Law is annexed hereto and made a part hereof as Exhibit "H". [Part 85.4 (k)].

17. In lieu of the statements required by subdivisions (l) and (m), the County has submitted its most recent Constitutional Debt Statement which is annexed hereto and made a part hereof as Exhibit "I". [Part 85.4 (n)].

18. The current tax rates and assessments applicable to the taxable real property which will bear the cost of the improvements is annexed hereto and made a part hereof as Exhibit "J". [Part 85.4 (o)].

19. The District is not a typical district, as it does not consist of any "typical property" or "typical one or two family homes". Rather, it is a District substantially owned by the County. Accordingly, where District property is owned by the County and district improvements are not taxed to or paid for by "typical property" or "typical one or two family home" owners as defined in 2 N.Y.C.R.R. Section 85.2, the cost of the proposed increase and improvement must be calculated over all taxable parcels in the County as described in the District Report (See Exhibit "B"). [Part 85.4 (p)].

20. The statement setting forth the amount it is estimated that each owner of each taxable parcel in the County will be required to pay in the first year following approval of the application, if granted, for debt service, operation and maintenance and other charges, related to the proposed improvements, together with an explanation of how such costs have been computed, can be found in the District Report (see Exhibit "B"). The average cost that will result from the increase and improvement to the facilities of the District equates to a single year debt payment of approximately \$376,000 which, if distributed over the 256,792 taxable parcels in the County equates to an estimated average increase in tax assessment of \$1.46 per parcel. [Part 85.4 (q)].

21. The maximum amount any real property owner will be required to pay in the first year following approval of the application, if granted, for debt service, operation, maintenance

and other charges related to the improvements is \$12,784. The largest property owner in the County is Consolidated Edison, owns 3.4% of the equalized full value of all property in the County. Please see Exhibit "K" annexed hereto and made a part hereof. [Part 85.4 (r)].

22. Upon information and belief, the only State lands in Water District No. 3 are as set forth in Exhibit "L," which is attached hereto and forms a part hereof. No costs will be borne by the aforementioned State lands, which are exempt. [Part 85.4 (s)].

23. Upon information and belief, there are no areas of the District that are located in an existing or proposed agricultural district. [Part 85.4 (t)].

24. The statement setting forth the population of the County, which will bear the costs of the improvements including the total assessed value thereof is annexed hereto and made a part hereof as Exhibit "M." [Part 85.4 (u)].

25. It has been determined by the governing board that the proposed improvements may benefit vacant land within the District. The status of any proposals for the development of such land was not a factor in the governing board's determination that the cost of the proposed improvements will not constitute an undue burden on the area which will bear the cost of those improvements. That is, that determination is not dependent, in whole or in part, on the development of vacant land. Any such future development will only serve to reduce the cost of the proposed improvements in future years below the maximum cost contained in the District Report (see Exhibit "B"). [Part 85.4 (v)].

(Part 85.4 (w) does not apply to this application.)

(Part 85.4 (x) does not apply to this application.)

26. No other actions have been taken by the Board of Legislators, other than those actions required by the applicable provisions of the New York County Law, to apprise the owners of properties which will bear the cost of the proposed improvements of the cost, including estimated first year costs. [Part 85.4 (y)]

27. Upon information and belief, no objections have been received from the owners of real property that will bear the cost of the proposed improvements relating to such costs. [Part 85.4 (z)]

DRAFT

VERIFICATION

STATE OF NEW YORK)
)
COUNTY OF WESTCHESTER)

Benjamin Boykin II, being duly sworn, deposes and says that he is the Chairman of the County Board of Legislators of the County of Westchester, the applicant named in the above caption; and that he has read the foregoing application and knows the contents thereof; and that the same is true to his own knowledge, except as to those matters therein stated to be alleged upon information and belief, and as to those matters he believes it to be true.

Benjamin Boykin II
Chairman
Westchester County Board of Legislators

Sworn to before me this
___ day of ___, 2021.

Notary Public

Exhibit "A"

Committee Report
and
Certified Copy of Act No. _____-2021

DRAFT

Exhibit "B"

District Report for Water District No. 3

Attached hereto

DRAFT

County of Westchester
Department of Environmental Facilities

Westchester County Water District No. 3
Capital Project WD309
Water Storage Facilities and Maintenance Program

DISTRICT REPORT

Dated: January 5, 2021

Vincent F. Kopicki, P.E.
Commissioner

I. INTRODUCTION

The Department of Environmental Facilities (the “Department”), on behalf of Westchester County Water District No. 3 (the “District”), previously proposed in its District Report dated August 24, 2015, an increase and improvement of District facilities, which is necessary for the proper maintenance and service of District facilities to ensure the District’s continued effective operation in order to provide a continuous, uninterrupted supply of drinking water in compliance with federal, state and local regulatory requirements. At that time, the County Board of Legislators, by Act No. 193-2015, authorized a bond act of the County in an amount not-to-exceed \$500,000 to finance design and construction management for capital project WD309 (“WD309” or “Project”) described below. The Department at this time, is seeking to increase the authorized bonding amount by \$9,300,000 to finance the construction portion of WD309. This would bring the total authorized not-to-exceed amount of the bond to \$9,800,000 which is the maximum estimated cost of the Project.

WD309 – Water Storage Facilities and Maintenance Program

This capital project provides for maintenance, including but not limited to the inspection, cleaning, repair, recoating and painting of the existing 1.5 million gallon water storage tower for the Grasslands Reservation (“Grasslands”) in the District. In addition to the water storage tower rehabilitation and maintenance, other work shall include but not be limited to, asphalt paving of the existing service roadway and required drainage remediation work, housekeeping site work, electrical improvements, piping and valve work and instrumentation.

II. BACKGROUND

By Act No. 63-1977, the District was duly established pursuant to Article 5-A of the New York County Law (“Article 5-A”). It comprises the territorial limits of the Valhalla Campus at Grasslands. The District provides water to over fifty separate County, State, and private facilities including, but not limited to, the Westchester Medical Center, County Departments of Correction, Public Works and Transportation, Social Services and Emergency Services, the New York Medical College, and the New York State Department of Transportation. The average daily consumption rate for the District is approximately 0.65 million gallons. Consumers of District water are both County and non-County users and are billed for their water consumption and related District costs.

III. THE RECOMMENDED PROJECT

This report recommends approval of the construction portion of WD309, which represents an increase and improvement to the facilities of the District.

In 2015, bonding was authorized for design and construction management costs for rehabilitation and capital maintenance of the existing 1.5 million gallon water storage tower at Grasslands, in and for the District. The design is now complete and, pending bonding approval, it is

anticipated that construction will start in 2021 and will take approximately twelve (12) months to complete.

The current bond authorization request, in the amount of \$9,300,000, will finance the construction component of WD309, including rehabilitation and repainting of the District water tower serving Grasslands. Work will also include paving of the existing service roadway, drainage improvements to the existing roadway, miscellaneous site improvements such as fencing and asphalt, electrical improvements, instrumentation and controls, and piping and valve work.

The existing water storage tower was last recoated in 1997 and at that time a cathodic protection system was installed. The life expectancy of a recoated water storage tower with cathodic protection is approximately twenty (20) years. The Department has advised that the outside of the water tank itself has started to rust. In order to maintain the integrity of the tower, it is necessary to address the rusting of the tank. Further, the County Department of Health has determined that it is imperative that the Department complete this rehabilitation Project.

IV. EFFECT ON COST OF OPERATION

The Department anticipates that WD309 will involve on-going operating costs above the current operating budget for additional power consumption and regular equipment maintenance. The anticipated additional cost is \$5,000 annually. It should also be noted that additional infrastructure improvements to the District will be necessary in the future to comply with regulations and establish the required redundancy.

The District is not a typical district, as it does not consist of any private one or two family homes. It does not include any property owned by individual private property owners, but rather it is a district substantially owned by the County. Accordingly, the typical District property is owned by the County and district improvements are not taxed to or paid for by private property owners or the "Typical One- or Two-Family Home" as defined in 2 NYCRR Section 85.2. Therefore the cost to the "typical property" must be calculated over all taxable parcels in the County. The Finance Department has advised that debt issuances associated with the District capital expenses are expensed through bills to the County's General Fund and are a contributing factor to the County's tax levy. The District's operating budget is also a contributing factor to the County's tax levy.

The Department, in conjunction with the Finance Department, has calculated that the average cost that will result from the increase and improvement to the facilities of the District in connection with WD309 equates to a single year debt payment of approximately \$376,000, which, if distributed over the 256,792 taxable parcels in the County, equates to an estimated average increase in tax assessment of \$1.46 per parcel.¹

¹ The cost was calculated, per §278 of Article 5-A of the County Law, based on debt service, operation and maintenance ("O&M") and other charges, related to the improvements in the first year in which both principal and interest on any indebtedness and O&M will be paid.

V. FINDINGS AND RECOMMENDATIONS

The Department has carefully reviewed the proposed increase and improvement of District facilities and recommends approval of WD309. The existing 1.5 million gallon water tower and related infrastructure maintenance and improvements are essential for the County to ensure continuous, uninterrupted supply of drinking water in compliance with federal, state and local regulatory requirements. Based on its review, the Department has determined that the proposed Project is the best, most cost effective option available to the County for this purpose.

Exhibit "C"

SEQRA Memorandum and Short Environmental Assessment Form
from the County Department of Planning

and

Certified Copy of Resolution No. _____-2021

DRAFT

Exhibit "D"

Certified copy of Resolution No. ____-2021

[State Regulations Resolution]

DRAFT

Exhibit "E"

Opinion of Legal Counsel

To be Attached

DRAFT

Exhibit "F"

Certified Copy of Resolution No. ____-2021

[Public Hearing Resolution]

and

Proof of publication of the Notice of Public Hearing

Published on _____

DRAFT

Exhibit "G"

Statement Describing the Proposed Manner of Financing

According to the Westchester County Department of Finance, it is estimated that \$9,800,000 of General Obligation Bonds could be bonded by the County of Westchester over a forty (40) year period of probable usefulness as an anticipated Net Interest cost of 1.15%. It is believed that the proposed financing is reasonable under current market conditions.

Exhibit "H"

Statement of the Full Valuation of the Taxable Real Property of the County

The average full valuation of the taxable real property of the County computed in accordance the first paragraph of subdivision seven-a of section 2.00 of the Local Finance Law is \$187,487,527,225.

DRAFT

Exhibit "I"

Constitutional Debt Statement

Attached Hereto

DRAFT



George Latimer
County Executive

Department of Finance

Ann Marie Berg
Commissioner

September 28, 2020

Ed Burgess
Office of the State Comptroller
Division of Local Govt & School Accountability
110 State Street
12th Floor
Albany, NY 12236

Re: County of Westchester 2020 Debt

Dear Mr. Burgess,

The County of Westchester intends to price approximately \$126,827,882 of General Obligation Bonds on October 15, 2020. The breakdown is as follows

Series A \$71,865,612 General Obligation
Series B \$3,757,270 General Obligation (Federally Taxable)
Series C \$51,205,000 General Obligation-Refunding Bonds (Federally Taxable)

The Series A & B transactions are scheduled to close on October 28. The Series C transaction is scheduled to close on November 5. Please find attached a Debt Statement as of September 30, 2020.

If you have any questions, please call me at 914-995-3670.

Thank you

Sincerely,

Mario Arena
Director of Fiscal Operations
148 Martine Avenue, Room 720
White Plains, NY 10601
Telephone: 914-995-2757 Fax: 914-995-3230



AC 973 (Rev. 7/85)
Cities under 125,000 population
Counties
Towns
Villages

STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER
ALBANY, NEW YORK 12236
DEBT STATEMENT
OF
WESTCHESTER COUNTY
Name of Municipality

WESTCHESTER COUNTY, NEW YORK

PREPARED AS OF
September 30, 2020

FORWARD TO: State of New York
Office of the State Comptroller
Director, Municipal Research and Statistics
Alfred E. Smith State Office Building
Albany, New York 12236

AC 973 (Rev. 7/85)
Cities under 125,000 population
Counties
Towns
Villages

**STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER**

This is the official debt statement form prescribed by the State Comptroller pursuant to section 141.00 of the Local Finance Law for use by counties, towns, villages and cities having a population of less than 125,000 inhabitants.

Thomas P. DiNapoli
State Comptroller

INSTRUCTIONS

1. **STATUTORY REFERENCE.** A statutory reference can be found after each item of indebtedness to be included and excluded in the debt statement. For example, §135.00 (a)(1) "means " subdivision 1 of paragraph (a) of section 135.00 of the Local Finance Law". Wherever a reference appears, that section of the Local Finance Law should be consulted.
2. **DATE OF PREPARATION.** This statement must not be prepared more than thirty days previous to the date of sale of bonds. Local Finance Law, section 109.00
3. **FILING.** A debt statement must be filed between three and fifteen days before a municipality sells any bonds which are required to be sold at public sale. The statement must be filed with the State Comptroller and a duplicate copy must be filed with the clerk or corresponding officer of the municipality. It is not necessary to file a copy in the county clerk's office. Local Finance Law, section 109.00.
4. **AVERAGE FULL VALUATION OF TAXABLE REAL ESTATE.** In computing "Average Full Valuation of Taxable Real Estate" on page 3, use last completed assessment roll and four preceding rolls. An assessment roll is one which has been completed, verified and filed by the assessors and for which a state equalization rate has been finalized. The words "For Fiscal Year Ending" refers to the year for which taxes have been or will be extended on the assessment roll rather than the year in which the roll was completed.

The amounts to be used in Column 1 should be the amounts shown on such rolls after the hearing of grievances and the filing of the final completed assessment rolls, regardless of subsequent changes. In Column 1, include the assessed valuations of special franchises but exclude the assessed valuations of all exempt properties to the extent they are exempt from general taxation. Full valuation (Column 3) is determined as follows: Divide the taxable assessed valuations (Column 1) by the final equalization rate (Column 2) established by the State Board of Equalization and Assessment for such valuation. Where boundary changes have occurred and in the case of newly-created municipalities, see section 2.00 (7)(a) of the Local Finance Law. In the case of counties, average full valuation is computed by dividing the taxable assessed valuations on the last completed and four preceding assessment rolls for each of the cities and towns therein by the final equalization rates established for such rolls; provided, however, in a county having a county department of assessment the state equalization rates established for the cities and towns therein on the basis of the county roll shall be applied to the appropriate portions of the county roll. The sum of the quotients thus obtained must then be divided by five.

5. **INCLUSIONS:** Re item 8, Page 4. Include the respective amounts of all several indebtedness and allocated or apportioned joint indebtedness contracted or incurred pursuant to Article II, Title 1-A of the Local Finance Law in relation to a joint service or a joint water, sewage or drainage project. The amount of joint indebtedness to be so included should not exceed the amount of such indebtedness allocated and apportioned to the municipality in the bond or note resolution authorizing such indebtedness to be contracted.

Joint indebtedness to be included arising out of real property liabilities and contract liabilities should not exceed the amount of such indebtedness required to be allocated and apportioned to the municipality in the agreement of the participating municipalities in relation to such joint service or project. Where the agreement does not provide for any such allocation or apportionment, or in the case of involuntary joint indebtedness, the amount to be allocated and apportioned and included in the debt statement of a participating municipality should be in the same proportion as the full valuation of the real estate subject to taxation or assessment by such municipality for such joint service or project bears to the full valuation of the real estate subject to taxation or assessment by all of the participating municipalities for such joint service or project. See Local Finance Law, section 15.10. However, if the State Comptroller has issued a certificate allocating and apportioning such joint indebtedness pursuant to the provisions of section 15.10 of the Local Finance Law, the amounts so allocated and apportioned by the State Comptroller should be included in the debt statements of each respective municipality as indebtedness.

6. **GROSS JOINT INDEBTEDNESS.** The aggregate gross amount of all joint indebtedness including borrowings, real property liabilities, contract liabilities, judgments, claims, awards and determinations contracted or incurred and before any apportionment or allocation should be stated at page 4 of the debt statement.
7. **EXCLUSIONS:**
 - a) Item 1, Page 4. Do not include any tax or revenue obligations, or renewals thereof, which have not been retired within five years after the date such original obligations were issued.
 - b) Item 2, Page 4. Include only obligations issued for objects or purposes other than the financing of capital improvements and contracted to be redeemed in one of the two fiscal years immediately succeeding the year of their issue. Do not include bonds, bond anticipation notes, capital notes, budget notes or obligations which have been issued for the direct financing of improvements or equipment. Do not include serial bonds of an issue having a maximum maturity of more than two years.
 - c) Item 3, Page 4. Do not include joint or several indebtedness contracted pursuant to Article II, Title 1-A of the Local Finance Law to finance a joint water project. Such indebtedness is to be included in Item 14, page 6.
 - d) Items 4 and 5, Page 4. Do not include any indebtedness contracted pursuant to Article II, Title 1-A of the Local Finance Law in relation to a joint service or a joint water, sewage or drainage project. Such indebtedness is to be included in either Item 15 or 16 at page 5.
 - e) Item 13, Page 5. Include city indebtedness for education purposes, if any, allocated to the city school district by the State Comptroller pursuant to section 1 of chapter 831 of the Laws of 1951.
 - f) Item 14, Page 5. State the respective amounts of any several indebtedness and the allocated or apportioned amounts of any joint indebtedness contracted or incurred in relation to the financing of a joint water project pursuant to Article II, Title 1-A of the Local Finance Law.
 - g) Item 15 and 16, Page 5. State the respective amounts of any several indebtedness and allocated or apportioned amounts of any joint indebtedness contracted or incurred in relation to the financing of a joint service and a joint sewage or drainage project pursuant to Article II, Title 1-A of the Local Finance Law and excluded pursuant to the provisions of section 15.20, 123.00 and 124.10 of such law.
- 8) **VERIFICATION.** Page 8. This debt statement must be verified by the chief fiscal officer of the municipality. See definition of the term "chief fiscal officer" in the Local Finance Law, section 2.00 (5). If a municipality has no chief fiscal officer, then this statement must be verified by the finance board.

The following is a statement of the County of Westchester, New York, to contract indebtedness, and is prepared as of Sept. 30, 2020
, pursuant to Title 8, Article II of the Local Finance Law.

DEBT LIMIT

Counties (except Nassau), cities, towns and villages \$12,196,586,968
Multiply "Average Full Valuation" (Page 4), Line 7 by .07
(Nassau County .10)

TOTAL NET INDEBTEDNESS

Total Inclusions (Page 4) \$1,283,885,958
Less: Total Exclusions (Page 5) 393,294,043
Total Net Indebtedness \$890,591,915

NET DEBT-CONTRACTING MARGIN

Debt Limit (Above) 11,789,544,391
Less: Total Net Indebtedness (Above) 890,591,915
Net Debt-Contracting Margin \$11,305,995,053

PERCENTAGE OF DEBT-CONTACTING POWER EXHAUSTED

Divide "Total Net Indebtedness" by "Debt Limit" and enter result here 7.30%

PROPOSED BOND ISSUE

The amount of bonds and bond anticipation notes proposed to be sold at public sale on October 15, 2020
In connection with which this statement is made and filed is \$126,827,882

The amount of bond anticipation notes heretofore issued in anticipation of the sale and issuance of
such bonds and notes and included at "Borrowings" at Item 1 of Inclusions at page 4 is \$ _____

STATEMENT OF TOTAL DEBT

AVERAGE FULL VALUATION OF TAXABLE REAL ESTATE

Computation of Average Full Valuation Based on Last Five Completed Assessment Rolls				
Line No.	For Fiscal Year Ending	(1) Taxable Assessed Valuation of Real Estate	(2) Final State Equalization Rate	(3) Full Valuation of Taxable Real Estate (Column 1 + Column 2)
1	2016			163,815,785,746
2	2017			167,758,214,049
3	2018			174,189,428,026
4	2019			180,383,474,053
5	2020			185,037,881,544
6	Total of Lines 1 to 5 Inclusive.....			\$871,184,783,418
7	Average Full Valuation (1/5 of Total of Column 3).....			\$ 174,236,956,684

NOTE: See Instructions 4, Page 1.

INCLUSIONS

1. Borrowings. §135.00(a)(1). **\$1,283,885.958**
2. Real Property Liabilities. §135.00(a)(2), §142.00.
3. Contract Liabilities. §135.00(a)(3).
4. Cities, Towns and Villages:
Contract Liabilities: Housing Guarantees; Subsidies. §135.00(a)(4), (a)(4-a), (a)(4-b).
5. Cities, Towns and Villages:
State Loans to Certain Housing Authorities and Municipalities. §135.00(a)(5).
6. Judgments, Claims, Awards and Determination. §135.00(a)(6).
7. Cities, Towns, and Villages:
Indebtedness Contracted by Certain District Corporations. §135.00(a)(7).
8. Indebtedness Contracted or Incurred Pursuant to Article II, Title 1-A of the Local Finance
Law in relation to a Joint Service or Joint Water, Sewage or Drainage Project: (See Instruction
5, Page 2.)
 - a) Borrowings: Several Indebtedness.
 - b) Borrowings: Allocated or Apportioned Joint Indebtedness,
 - c) Real Property Liabilities.
 - d) Contract Liabilities.
 - e) Judgments, Claims, Awards and Determination.

Total Inclusions **\$1,283,885.958**

GROSS JOINT INDEBTEDNESS

The aggregate gross amount of all joint indebtedness before apportionment or allocation is \$
(See Instruction 6, page 2.)

EXCLUSIONS

1. Tax and Revenue Obligations. §136.00(1).....	\$ _____
2. Obligations Issued for other than Capital Improvements. §136.00(1-a)..... (See Instruction 7(b), page 2.)	_____
3. Water Indebtedness. §136.00(2)..... (See Instruction 7(c), page 2.)	<u>22,475,334</u>
4. Indebtedness Contracted for Self-Liquidating Projects. §136.00(3)..... (See Instruction 7(d), page 3.)(See also, if applicable, contract payments (§136.00(3-a))) and rental payments (§136.00 (3-b)(3-c)))	_____
5. Sewer Indebtedness Contracted on or after January 1, 1962, and prior to January 1, 1994. §136.00(4-a).....	_____
6. Bonds for Pensions. §136.00(4)	_____
7. Cities and Villages with Population of 5,000 or More: Indebtedness for Housing and urban Renewal Purposes. §136.00(8)..... (See also, if applicable, indebtedness for housing or urban renewal purposes (§136.00(8-a)).	_____
8. Towns and Villages with Population of Less than 5,000: Subsidies or Guarantees for Housing Purposes. §136.00(9).....	_____
9. Assets of Sinking Funds. §136.00(10).....	_____
10. Refunded and Refunding bonds. §136.00(10-a)(10-b).....	_____
11. Cash on Hand for Debts. §136.00(11).....	_____
12. Appropriation. §136.00(12).....	<u>32,088,266</u>
13. Cities Only: School Indebtedness. §136.00(13)	_____
(See Instruction 7(e), page 2.)	
14. (a) Several Indebtedness Contracted in relation to a Joint Water Project. §15.20..... (a)	_____
(b) Joint Indebtedness Contracted for such Purpose. (b)	_____
(See Instruction 7(f), page 2.)	
15. (a) Several Indebtedness Contracted for a Joint Service and Excluded Pursuant to Local Finance Law, §15.20 and §123.00. (a)	_____
(b) The Apportioned or Allocated Amount of any such Joint Indebtedness so Excluded. ... (b)	_____
(See Instruction 7(g), page 2.)	
16. (a) Several Indebtedness Contracted for a Joint Sewage and/or Drainage Project and Excluded Pursuant to Local Finance Law, §15.20, §123.00, and §124.10.(a)	<u>338,730,443</u>
(b) The Apportioned or Allocated Amount of any such Joint Indebtedness so Excluded. ... (b)	_____
(See Instruction 7(g), page 2.)	
Total Exclusions	<u>\$193,294,043</u>

SCHEDULE A

PART 1. The following obligations will be sold at the sale in connection with which this debt statement is filed:

Date of Authorization	Type of Obligation	Amount to be Sold		Object or Purpose for which Authorized
Please see attached				
Total.....				

PART 2. The following bond anticipation notes have been issued in anticipation of the bonds listed in PART 1 above, and are now outstanding.

Date of Note	Amount		Object or Purpose for which Authorized
	\$		
Total.....		\$	

The following symbols may be used: Serial Bond-SB; Statutory Installment Bond-SIB; Anticipation Note BAN; Capital Note-CN; Tax Anticipation Note-TAN; Revenue Anticipation Note-RAN; Budget Note-BN; Certificate of Indebtedness-CI; Sinking Fund Bonds-SFB.

PART 1. The following obligations are authorized, unissued and will be sold prior to the sale of the obligations listed in Schedule A, PART 1.

Date of Authorization	Type of Obligation	Amount to be Issued	Object or Purpose for which Authorized
Total.....		\$	

PART 2. The following obligations are authorized, unissued and will not be sold prior to the sale of the obligations listed in Schedule A, PART 1.

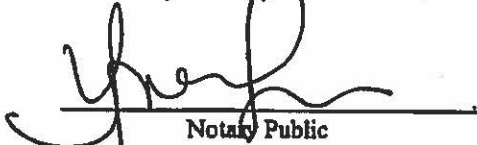
Date of Authorization	Type of Obligation	Amount to be Issued	Object or Purpose for which Authorized
		\$	See attached
Total.....		\$	

VERIFICATION BY CHIEF FISCAL OFFICER

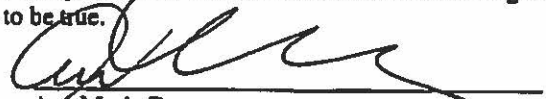
State of New York)
) ss
County of Westchester)

Ann Marie Berg being duly sworn, deposes and says: That she is the duly appointed, qualified, and acting chief fiscal officer of the County of Westchester, New York; that she prepared and has read the foregoing debt statement and knows the contents thereof; that the same is true to her own knowledge except as to the matters therein stated to be alleged upon information and belief, and that as to those matters she believes it to be true.

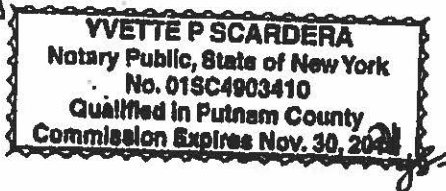
Subscribed and sworn to before me this 28th day
of 2020, September.



Notary Public



Ann Marie Berg
Commissioner of Finance
Michaelian Office Building
148 Martine Avenue
White Plains, New York 10601



County of Westchester
Schedule A Part 1
9/30/2020

Series A page 1 of 2

<u>Date Adopted</u>	<u>Type of Obligation</u>	<u>Ttl Bond</u>	<u>Object or Purpose for which Authorized</u>
5/8/2017	Serial Bond	169,604	BES13-01 Fire Training Site Improvements Phase II
5/8/2017	Serial Bond	2,112,587	BES13-02 Fire Training Yard Building Improvements
6/19/2017	Serial Bond	47,568	BIT34-04 Video Surveillance Security Upgrade
5/20/2019	Serial Bond	296,124	BIT35-05 2019 Appropriation
6/5/2017	Serial Bond	734,647	BIT39-02 Telecom Replace Phase II
4/24/2017	Serial Bond	74,978	BIT3C-01 Replacement or Virtualization of Systems
6/5/2017	Serial Bond	29,879	BIT40-01 Basemap Update Data Access Tools & Software
5/20/2019	Serial Bond	314,569	BIT45-01 Building Access Control & Video Surveillance Systems Upgrade
6/17/2019	Serial Bond	529,123	BIT4C-01 Employee Productivity Systems 2018-2022
9/4/2018	Serial Bond	585,893	BIT6C-04 2019 approp
4/3/2017	Serial Bond	30,640	BIT9A-03 Geographic Info Systems
6/11/2018	Serial Bond	444,490	BPL1A-01 Rt 6 Hdden Meadow at Somers
9/25/2017	Serial Bond	1,123,554	BPL1A-04 1847 Crompond Rd, Peekskill
10/22/2018	Serial Bond	555,266	BPL1A-09 25 S. Repent St, Portchester
7/30/2018	Serial Bond	787,500	BPL30-20 5 Hudson St, Yonkers
8/5/2019	Serial Bond	2,340,000	BPL30-22 645 Main St., Peekskill
3/3/2014	Serial Bond	20,312	BPL40-01 Bronx River Stabilization @ Harney Rd
6/6/2016	Serial Bond	890,130	BSS14-03 Roof & Facade
3/7/2016	Serial Bond	20,900	BLR09-01 Staff Facilities Rehab
4/23/2019	Serial Bond	99,050	BLR2D-04 2019 Equipment
10/3/2011	Serial Bond	119,068	WCC67-00 Health Science Renovation/Improvements
10/4/2010	Serial Bond	9,000	WCC68-00 Administration Bldg-Renovation
4/16/2018	Serial Bond	807,918	WCC79-03 Roof Replacement - Admin & Tech Bldgs
6/12/2018	Serial Bond	4,276,361	BCR52-01 Jail Kitchen Infrastructure
10/18/2019	Serial Bond	228,640	BCR5D-01 Infrastructure Improvements 2016-20
10/16/2017	Serial Bond	10,280	BDA01-04 DA IT Equipment & Implementation
2/25/2019	Serial Bond	22,128	BDA01-05 DA IT Equipment & Implementation
4/23/2019	Serial Bond	1,241,000	BPS23-07 Police Vehicles & Accessories
1/14/2019	Serial Bond	3,126,419	RBM08-01 Blue Mt Reservation Dam Project
4/5/2012	Serial Bond	7,000	RGC18-01 Cart Path Rehab Maple Moor
7/31/2012	Serial Bond	15,000	RGC18-01 Cart Path Rehab Maple Moor
5/21/2018	Serial Bond	711,579	RGE03-01 George's Island Site Work
9/25/2019	Serial Bond	1,184,240	RGHH1-01 Hudson Hills Improvements
3/28/2011	Serial Bond	10,000	RGI03-01 Glen Island Bridge Rehabilitation II
4/3/2018	Serial Bond	1,113,142	RGI05-01 Glen Island Seawall Reconstruction
5/15/2020	Serial Bond	55,263	RLAS5-01 Main House & Chinese Friendship Pavilion
10/18/2019	Serial Bond	1,724,681	RMS01-01 Merestead Main House Rehab
7/15/2019	Serial Bond	4,103,307	RP006-03 Derby Racer
7/15/2019	Serial Bond	4,105,819	RP006-04 Carousel
5/2/2016	Serial Bond	72,000	RP028-03 Bathrooms
5/2/2018	Serial Bond	108,426	RP040-01 Admin Building Rehab
5/2/2016	Serial Bond	49,286	RP047-01 Playland Parking Lot Improvements
8/6/2020	Serial Bond	592,590	RP053-01 Playland Pool Rehab
1/14/2019	Serial Bond	251,733	RP054-01 Playland Bathhouse Canopy Replacement
10/18/2019	Serial Bond	303,238	RSW01-02 Tot Playground & Pathway Improvement Picnic Pavilion
4/8/2019	Serial Bond	644,606	RSW04-01 Saxon Woods Ballfield Rehab
4/8/2019	Serial Bond	1,837,885	RTB10-01 Tibbets Brook Ballfield Rehab
3/11/2019	Serial Bond	-	A0118-01 Runway 16/34 Rehab
3/7/2016	Serial Bond	96,609	T0044-01 Dynamometer
7/17/2017	Serial Bond	812,192	T0048-01 Relocation of Overhead Utilities
5/28/2020	Serial Bond	1,094,720	T0050-01 HVAC at CMF
5/2/2016	Serial Bond	247,896	T0051-01 Bus Exhaust System at CMF

County of Westchester
Schedule A Part 1
9/30/2020

Series A page 2 of 2

<u>Date Adopted</u>	<u>Type of Obligation</u>	<u>Ttl Bond</u>	<u>Object or Purpose for which Authorized</u>
11/18/2019	Serial Bond	1,524,428	T0063-01 Bus Voice & Data System
11/1/2016	Serial Bond	28,921	T016B-01 Maintenance & Supervisory Vehicles III
7/16/2018	Serial Bond	185,000	B0081-08 Record Center Renovations
3/18/2014	Serial Bond	101,704	B0086-01 Condensate & Energy Savings Controls
1/29/2013	Serial Bond	1,062,880	BPF32-01 Infrastructure Repairs, Parking Garage, White Plains 2012-16
9/25/2019	Serial Bond	50,840	P0029-01 BRP over Bronx River (N & S of Leewood Dr) Eastchester
6/11/2018	Serial Bond	117,514	RB03C-01 June Rd (Rte124) Over Titicus River, North Salem BIN1037480
4/9/2018	Serial Bond	144,451	RB03D-01 Palmer Road Over Bronx River, Yonkers and Bronxville
9/25/2019	Serial Bond	23,560	RB03Q-01 Grant Ave over Cent. West. Pkwy, White Plains
9/25/2019	Serial Bond	298,685	RB03U-01 Sherwood Ave over Bx River Pkwy, Yonkers
9/25/2019	Serial Bond	941,111	RB03Y-01 Main St over Bronx River, White Plains
7/15/2019	Serial Bond	20,600	RB131-01 Theo Fremd Ave, CR 54, Rye
10/18/2019	Serial Bond	1,745,680	RB133-01 Bedford Rd, CR 27 & 106, Pleasantville
1/14/2019	Serial Bond	1,635,689	RB141-01 Overhead Sign Replacement
6/11/2018	Serial Bond	2,646,422	RB145-01 Guiderail Replacement
6/11/2018	Serial Bond	3,160,502	RB148-01 Columbus Ave, CR 64, Mt Pleasant
7/15/2019	Serial Bond	1,099,003	RB171-01 Main St, CR 37 & 69H, Eastchester
7/15/2019	Serial Bond	81,270	RB172-01 Mamaroneck Ave, CR 8/8D, Mamaroneck
7/15/2019	Serial Bond	34,889	RB173-01 Mamaroneck Ave, CR 8A/8B, Harrison
1/14/2019	Serial Bond	251,265	RB188-01 Seven Bridges Rd, CR 21, New Castle
1/14/2019	Serial Bond	151,233	RB189-01 Westchester Avenue (EB), CR 62 White Plains
1/14/2019	Serial Bond	181,740	RB191-01 Union Avenue, CR 94, Harrison
1/14/2019	Serial Bond	887,405	RB192-01 Long Ridge Rd, CR 3, Pound Ridge
9/4/2018	Serial Bond	500,000	BIT36-03 2018 Approp
5/2/2016	Serial Bond	98,000	BIT38-01 Comm Log System Phase 1
10/30/2017	Serial Bond	251,000	BIT38-02 Comm Log System Phase 2
5/16/2016	Serial Bond	2,000,000	RBR01-05 Green Acres to Crane Rd
5/16/2016	Serial Bond	1,117,000	RBR02-02 Turf Recreation Field
5/6/2019	Serial Bond	70,000	RGP09-07 Vehicle Replacement
2/14/2020	Serial Bond	928,000	B035D-04 Various Equipment
9/18/2018	Serial Bond	1,033,000	RB03M-01 Mamaroneck Ave over M'neck
3/16/2015	Serial Bond	262,000	RB03R-02 Pier Rehab
9/25/2019	Serial Bond	398,000	RB03V-01 Oak St over Bronx River, Yonkers
10/18/19	Serial Bond	5,000,000	P0024 - 02 P0024-02 Bronx River Parkway Rehab Program
10/18/19	Serial Bond	1,000,000	RB03N-01 Rehab of 9 Bridges
05/08/17	Serial Bond	1,585,000	RB03P-01 Old Albany Post over Sprout Brook
01/14/19	Serial Bond	1,000,000	RB141-01 Overhead Signs
06/11/18	Serial Bond	60,000	BPR01-02 Probation vehicles

Total Series A \$ 71,909,716

County of Westchester
Schedule A Part 1
9/30/2020

Series B

<u>Date Adopted</u>	<u>Type of Obligation</u>	<u>TU Bond</u>	<u>Object or Purpose for which Authorized</u>
12/5/2018	Serial Bond	878,613.99	2019 Tax Certiorari judgements - Due & Payable
4/22/2020	Serial Bond	2,878,656.25	2020 Tax Certiorari judgements - Due & Payable
	TU Certs	<u>\$ 3,757,270</u>	

Series C

<u>Date Adopted</u>	<u>Type of Obligation</u>	<u>TU Bond</u>	<u>Object or Purpose for which Authorized</u>
4/7/2014	Serial Bond	<u>\$ 51,205,000</u>	refund 2011A, 2011B, 2011C, 2012A, 2013B Authorization

County of Westchester
Schedule A Part 2
9/30/2020

<u>Date of Authorization</u>	<u>Type of Obligation</u>	<u>Amount to be Issued</u>	<u>Object or Purpose for which Authorized</u>
10/27/2014	G.O. Bond	40,007,920	SLI01 Reconstruction of Mamaroneck and New Rochelle Waste Water
8/6/2020	G.O. Bond	38,414,000	SY038 Pre-purchase and installation of last two NG/ADG gas fired engine generators at YJWTP
5/28/2020	G.O. Bond	18,700,000	A069A Cost of compliance for Aircraft Deicing System Implementation
6/11/2020	G.O. Bond	18,000,000	SBB07 Cost of process equipment improvements at Blind Brook Waste Water Treatment Plant
8/6/2020	G.O. Bond	17,985,651	RP025 Design & construction of various store fronts along south boardwalk at Playland Park
6/11/2020	G.O. Bond	14,959,000	SNR20 Relining and Reconstruction of Mamaroneck/New Rochelle Twin Sludge Forcemain
4/22/2020	G.O. Bond	13,800,000	SY018 Cost of Sluice Gate replacement at Yonkers Joint Treatment Plant
8/6/2020	G.O. Bond	12,191,000	SY009 Construction associated with odor control and HVAC upgrades at YJWTP
7/23/2020	G.O. Bond	11,250,000	SM002 Rehab of outfall jetty bulkhead from Mamaroneck Wastewater Treatment Plant
10/18/2019	G.O. Bond	10,350,000	SOS95 Pumping Station Improvements - Ossining Sanitary Sewer District
5/28/2020	G.O. Bond	10,304,031	T0050 Replacement of heating units and ventilation fans at Central Maintenance Facility, Yonkers
5/15/2020	G.O. Bond	10,000,000	T001X Acquisition of various buses at Central Maintenance Facility, Yonkers
10/18/2019	G.O. Bond	9,812,654	P0024 Bronx River Pkwy Rehabilitation Program
8/6/2020	G.O. Bond	8,833,354	RP053 Remove 'rehabilitation of brick facade' and 'locker room renovations' from 114-2017
9/25/2019	G.O. Bond	8,700,000	RB03U Reconstruction of Sherwood Ave Bridge over Bronx River Pkwy in City of Yonkers
6/17/2019	G.O. Bond	8,200,000	SNR85 Rehabilitation of Drake Ave and Hudson Park Siphon Chambers
4/22/2020	G.O. Bond	8,172,000	SY024 Rehab of Yonkers Joint Treatment Plant Secondary System
6/11/2018	G.O. Bond	7,826,926	RB148 Rehabilitation of Columbus Ave from Lakeview Ave to Nanny Hagen Road
2/28/2020	G.O. Bond	7,800,000	SY030 Various roof work at Yonkers Joint Water Resource Recovery Facility
7/17/2017	G.O. Bond	7,700,000	SY019 Yonkers Joint Wastewater Treatment Plant Grit Handling Improvements
4/22/2020	G.O. Bond	7,105,000	SM030 Cost of design, construction & roof replacement at Mamaroneck Wastewater Treatment Plant
9/18/2018	G.O. Bond	7,093,377	RB03M Design & construction for replacement of Mamaroneck Avenue bridge over Mamaroneck River
5/28/2020	G.O. Bond	7,020,000	RML03 Cost of reconstruction of three dams at Mountain Lakes Park in North Salem & Lewisboro
4/18/2016	G.O. Bond	6,800,000	SY085 SS Eval Survey & capacity assurance, mgt, operation, rehab work Saw Mill Valley
7/15/2019	G.O. Bond	6,430,000	RB174 Rehabilitation of Mamaroneck Ave, C.R. 8C, White Plains - Bryant Ave to White Plains/Harrison Lin
6/3/2019	G.O. Bond	6,403,003	RD017 Design/construction for leachate collection system at MRF in Yonkers
1/14/2019	G.O. Bond	6,335,894	RB141 Replacement of overhead sign structures on County roadways
9/25/2019	G.O. Bond	6,200,000	BPL32 Hudson River Museum Improvements
9/25/2019	G.O. Bond	6,000,000	RB03V Reconstruction of Oak St Bridge over Bronx River Pkwy in City of Yonkers
7/23/2020	G.O. Bond	5,900,000	SPC38 Rehab of Primary Settling Tank at Port Chester Water Resource Recovery Facility
8/5/2019	G.O. Bond	5,760,000	BPL1A Construction of affordable rental units at 645 Main Street in Peekskill
10/16/2017	G.O. Bond	5,750,000	SY037 Additional Steel Cells for Cellular Bulkhead System at Hudson River Yonkers JWWTP
5/15/2020	G.O. Bond	5,698,000	T001X Acquisition of various buses at Cerrato Bus Garage, Valhalla
3/11/2019	G.O. Bond	5,617,981	A0118 Runway 16/34 Rehabilitation at Westchester County Airport
8/6/2020	G.O. Bond	5,588,818	BPF32 Structural rehabilitation of the parking structure at County's White Plains Complex
9/25/2019	G.O. Bond	5,540,000	RB03Q Reconstruction of Grant Avenue Bridge over Central Westchester Pkwy in City of White Plains

County of Westchester
Schedule A Part 2
9/30/2020

<u>Date of Authorization</u>	<u>Type of Obligation</u>	<u>Amount to be Issued</u>	<u>Object or Purpose for which Authorized</u>
4/22/2020	G.O. Bond	5,398,000	RMF02 Portion of the cost of running track and bleacher seating installation at Memorial Field, Mt Vernon
4/22/2020	G.O. Bond	5,398,000	RMF02 Portion of the cost of synthetic turf field installation at Memorial Field, Mt Vernon
4/22/2020	G.O. Bond	5,398,000	RMF02 Portion of the cost of building constructions at Memorial Field, Mt Vernon
5/15/2020	G.O. Bond	5,300,000	RPA03 Rehab of South County Trailway portion
5/2/2016	G.O. Bond	5,248,792	RP047 Playland Parking Lot Imp
6/24/2020	G.O. Bond	5,130,000	RLN2 Cost of renovating Lenox Preserve buildings
6/11/2020	G.O. Bond	5,000,000	BPL1A Cost of infrastructure improvements of affordable housing units in Yonkers
4/22/2020	G.O. Bond	5,000,000	BPL1A Cost of infrastructure improvements of affordable housing units in New Rochelle
8/7/2017	G.O. Bond	5,000,000	SSM74 Additional Construction Management and Construction Cost Tarrytown Pump Station
12/12/2013	G.O. Bond	5,000,000	B0095 375 Executive Blvd, Elmsford rehabilitation (2012-2016)
1/14/2019	G.O. Bond	4,949,571	RBM06 Blue Mountain Reservation Dam project - Town of Cortlandt and Peekskill
7/16/2018	G.O. Bond	4,787,286	BIT32 Replacement of mobile (vehicle-mounted) radios used by emergency personnel
3/27/2020	G.O. Bond	4,750,000	BIT50 Cost of acquisition & installation of servers, equipment, software & implementation for DoIT
6/17/2019	G.O. Bond	4,750,000	SY031 Rehabilitation or replacement of combined storm & sewer flow regulators and tide gates
8/6/2020	G.O. Bond	4,743,000	RCP7B Construction for a new beach bathhouse at Croton Point Park
7/16/2018	G.O. Bond	4,725,967	BIT32 Replacement of portable and base station radios used by emergency personnel
5/28/2020	G.O. Bond	4,700,000	RB151 Rehab of 1.23 miles of roadway from Stevens Ave to Liberty Street in Mt Pleasant
10/18/2019	G.O. Bond	4,669,278	RB03N Rehabilitation of various bridges
6/12/2018	G.O. Bond	4,654,842	BCR52 Rehabilitation of the Jail Kitchen at the Correctional Facility in Valhalla
9/25/2019	G.O. Bond	4,610,000	P0029 Reconstruction of Bronx River Pkwy Bridge (North and South of Leewood Drive)
8/6/2020	G.O. Bond	4,550,000	B042B Replacement of roof at Bus Maintenance Facility at Valhalla Campus
10/18/2019	G.O. Bond	4,500,000	SM085 Sewer System Rehabilitation - Mamaroneck Sanitary Sewer District
7/15/2019	G.O. Bond	4,494,061	RP006 Design & construction of the rehabilitation of the Derby Racer Building and associated site work
7/23/2020	G.O. Bond	4,475,000	T003A Purchase of 6 electric transit buses, along with related equipment and services
7/15/2019	G.O. Bond	4,410,000	RB136 Rehabilitation of Midland Ave, C.R. 72 - Playland Parkway to Port Chester/Rye Line
10/22/2018	G.O. Bond	4,400,000	BPL1A Infrastructure associated with affordable housing at 135 S. Lexington Ave in White Plains
6/11/2018	G.O. Bond	4,349,516	RB145 Replacement of ASTM A 588 steel guide rail and related work on various county roads
7/15/2019	G.O. Bond	4,314,741	RP006 Design & construction of the rehabilitation of the Carousel Building and associated site work
3/13/2020	G.O. Bond	4,120,000	P0030 Rehabilitation of roadway from North Broadway to the Grant Ave Bridge
8/7/2017	G.O. Bond	4,108,842	SPS05 Elimination of Various Structural Deficiencies at the North Yonkers Pump Station
2/13/2017	G.O. Bond	4,050,000	RD017 Leachate Collection System - overnight haulage vehicle staging area
4/30/2013	G.O. Bond	4,007,245	A083A Airport terminal systems upgrade
10/18/2019	G.O. Bond	4,000,000	SY041 Yonkers Joint Water Resource Recovery Facility - Plant Wide Flood Mitigation
4/21/2014	G.O. Bond	4,000,000	SY037 Yonkers Joint Wastewater Treatment Plant - Cellular Bulkhead rehabilitation
7/15/2019	G.O. Bond	3,930,000	RB172 Rehabilitation of Mamaroneck Ave, C.R. 8/8D, Mamaroneck- Mamaroneck Ave Bridge to Mt. Pleasant
3/13/2020	G.O. Bond	3,900,000	RB199 Rehab of 1.5 miles of roadway from Cassilis Ave to southbound I87 in Yonkers

County of Westchester
Schedule A Part 2
9/30/2020

<u>Date of Authorization</u>	<u>Type of Obligation</u>	<u>Amount to be Issued</u>	<u>Object or Purpose for which Authorized</u>
4/27/2015	G.O. Bond	3,803,610	SPC02 Port Chester wastewater treatment plant rotating biological contractor units
3/2/2015	G.O. Bond	3,797,273	A095B Design contruction and contruction management of baggage screening area
10/18/2019	G.O. Bond	3,765,058	RMS01 Restoration of Merestead Site Development/Buildings - Restoration of Main House
10/18/2019	G.O. Bond	3,750,000	SPC41 Port Chester Water Recovery Facility - Plant Wide Flood Mitigation
4/8/2019	G.O. Bond	3,714,307	RTB10 Rehabilitation of Tibbetts Brooks Park Ballfield in Yonkers
5/9/2011	G.O. Bond	3,710,000	BPL10 Acq. of land in Mt Vernon for Fair and Affordable Housing Units
3/31/2014	G.O. Bond	3,705,520	RB01Q Ashford Avenue Bridge over I-87 and Saw Mill River Parkway
4/9/2018	G.O. Bond	3,600,000	T001S Acquisition of various articulated buses for the Central Ave routes
5/15/2020	G.O. Bond	3,554,588	RLAS5 Rehab Main House at Lasdon Park & Arboretum, Somers
10/25/2019	G.O. Bond	3,508,407	RP031 Replacement of Playland Switchgear Bldg and Mechanisms
9/24/2018	G.O. Bond	3,500,000	SSM02 Design & construction mgmt of new trunk sewer extension in the Saw Mill Sewer District
9/21/2015	G.O. Bond	3,375,222	A0120 Ground support equipment facility hangar C-1 Westchester County Airport
4/24/2017	G.O. Bond	3,328,000	SY025 Construction Management Cost for Thickeners and Sludge Handling Equipment
4/3/2018	G.O. Bond	3,325,978	RGI05 Reconstruction of the seawall at Glen Island Park
5/2/2016	G.O. Bond	3,310,145	RP028 Food Structures - Playland
9/25/2019	G.O. Bond	3,240,090	RB03Y Reconstruction of Main St Bridge over Bronx River Pkwy in City of White Plains
7/15/2019	G.O. Bond	3,070,000	RB173 Rehab of Mamaroneck Ave, C.R. 8A/8B, Harrison - White Plains/Harrison Line to Mamaroneck Ave
12/14/2015	G.O. Bond	3,038,213	RKD05 Kensico Dam Plaza reflecting pool restoration
7/17/2013	G.O. Bond	3,037,000	SY009 Yonkers Joint Treatment plant - odor control and HVAC upgrades
8/6/2020	G.O. Bond	3,028,000	BEL01 Purchase of voting machines
10/22/2012	G.O. Bond	3,025,700	RD075 Construction costs for rehabilitation of pumping stations at Croton Point Park
10/18/2019	G.O. Bond	2,965,462	RB133 Construction and rehabilitation of Bedford Road, C.R. 27 and 106, Pleasantville
11/1/2016	G.O. Bond	2,900,000	SPK14 Peekskill WWTP Secondary Process & Heating upgrades
7/17/2013	G.O. Bond	2,900,000	SPK12 Peekskill wastewater treatment plant mechanical, sludge handling upgrade
7/18/2018	G.O. Bond	2,847,668	BIT32 Integrate Trunked Radio System with Transportation Communication system
4/21/2014	G.O. Bond	2,832,000	SOS09 Ossining WWTP Boiler and Generator Replacement
7/15/2019	G.O. Bond	2,772,460	BIT32 Replacement of Trunked Radio System for emergency service communication
8/21/2012	G.O. Bond	2,750,000	BPL01 Cost of acquisition of Larkin Plaza supporting Warburton Riverview Affordable Housing
5/2/2016	G.O. Bond	2,705,257	RP040 Rehab Admin Bldg at Playland
7/16/2018	G.O. Bond	2,640,170	BIT32 Replacement of County's wireless radio system for fire, EMS, police, and other emergency personnn
3/13/2020	G.O. Bond	2,625,000	BES18 Renovations to Fire Training Yard at Dept of Emergency Services Fire Training Center in Valhalla
7/17/2017	G.O. Bond	2,600,000	BPL30 Acquisition of Land Located on Route 22 in Lewisboro
6/28/2011	G.O. Bond	2,550,000	SY085 Design/const r mgt. -perm. slope stabiliz'n No. Yonkers Trunk Sewer
9/25/2019	G.O. Bond	2,544,721	RGHH1 Construction improvements of the Hudson Hills Golf Course
3/12/2012	G.O. Bond	2,512,792	RB2KK Partial reconstruction Warburton Ave over Factory Lane
3/27/2020	G.O. Bond	2,500,000	BIT41 Cost of services & equipment for renovations at Guard Hill radio site in Bedford

County of Westchester
Schedule A Part 2
9/30/2020

<u>Date of Authorization</u>	<u>Type of Obligation</u>	<u>Amount to be Issued</u>	<u>Object or Purpose for which Authorized</u>
10/25/2019	G.O. Bond	2,500,000	SY085 Relining of various city-owned sewer lines - Yonkers Joint Treatment Plant
9/25/2019	G.O. Bond	2,500,000	BPL1A Construction of affordable rental units at 11 Graden Street, New Rochelle
5/6/2013	G.O. Bond	2,500,000	A0097 Domestic water system improvements at County Airport
9/15/2014	G.O. Bond	2,468,838	B077C Reconstruction of Mt Vernon office and Mt Vernon Annex building
6/11/2020	G.O. Bond	2,450,000	RD019 Cost of constructing food scrap composting and education facility in Valhalla
4/22/2020	G.O. Bond	2,450,000	SW011 Rehab of DEF Yorktown maintenance facility
5/16/2016	G.O. Bond	2,443,310	RBR01 Portion of Bronx River Reservation Pathway
3/30/2015	G.O. Bond	2,430,964	SBB06 Blind Brook WWTP - Performance maintenance
3/13/2020	G.O. Bond	2,400,000	RB183 Rehab of 1.12 miles of roadway from West Street to Route 127
9/26/2016	G.O. Bond	2,400,000	RGSL2 Sprain Lake Fairways - golfcourse renovations
5/6/2019	G.O. Bond	2,389,086	BCR58 Rehabilitation of Inmate Showers and Floors at Westchester County Jail in Valhalla
3/13/2020	G.O. Bond	2,370,000	RB175 Rehab of .46 miles of roadway from the New Rochelle/Pelham Line to First Avenue
3/16/2015	G.O. Bond	2,342,192	RB03R Pier rehabilitation, Ashford Avenue Bridge, Ardsley/Dobbs Ferry
8/5/2019	G.O. Bond	2,340,000	BPL30 Purchase of real property located at 645 Main Street in Peekskill, for Fair Housing
5/8/2017	G.O. Bond	2,334,763	BES13 Fire Training Yard Renovation and Alteration
4/21/2014	G.O. Bond	2,300,000	SM075 Pump station rehabilitation program - Mamaroneck SSD
7/15/2019	G.O. Bond	2,265,000	RB131 Rehabilitation of Theodore Fremd Avenue, C.R. 54 - North Street to Purchase Street
6/2/2014	G.O. Bond	2,263,276	A066C Miscellaneous restoration and rehabilitation (2011 - 2015)
7/15/2019	G.O. Bond	2,214,086	BIT32 Replacement of voice radio paging system used to dispatch fire departments & EMS agencies
4/22/2020	G.O. Bond	2,190,000	SPK30 Cost of roof replacement of various buildings at Peekskill Wastewater Treatment Plant
4/21/2014	G.O. Bond	2,150,000	SPC03 Port Chester WWTP - Electrical System upgrade
3/31/2014	G.O. Bond	2,150,000	B0094 Passenger Elevator rehabilitation, Mount Vernon District Office
5/2/2016	G.O. Bond	2,125,585	RP028 Restrooms - Playland
3/13/2020	G.O. Bond	2,100,000	RB203 Rehab of .94 miles of roadway from Highland Ave to Cortlandt/Peekskill Line in Peekskill
11/27/2017	G.O. Bond	2,020,210	WD305 Design Construction and management of Eastview Pumping Station and Transmission Main
4/26/2011	G.O. Bond	2,014,639	RPL02 Constr & Repairs for Pocantico Lake Dam with NYDEC order
4/22/2020	G.O. Bond	2,000,000	SY028 Cost of design and construction of electric & lighting systems of Yonkers Joint Treatment Plant
10/18/2019	G.O. Bond	2,000,000	BCR5D Improvements to the County Correctional Facility Valhalla Campus
10/18/2019	G.O. Bond	2,000,000	SOS41 Ossining Water Resource Recovery Facility - Plant Wide Flood Mitigation
7/27/2015	G.O. Bond	1,938,310	SBB85 Sewer system rehabilitation - Blind Brook SSD
9/25/2017	G.O. Bond	1,880,085	BPL1A Construction of Infrastructure improvements to 1847 Crompond Road Peekskill
6/28/2011	G.O. Bond	1,872,340	RD004 Final closure - open areas Sprout Brook Disposal Facility
11/18/2019	G.O. Bond	1,872,332	T0063 New voice and data communications system for the Bee-Line bus system
5/15/2020	G.O. Bond	1,860,000	RKD04 Fire Training Yard Renovation and Alteration
1/14/2019	G.O. Bond	1,800,000	RB189 Rehab of roadway - Anderson Hill Road to Corporate Park Drive
6/19/2017	G.O. Bond	1,800,000	B0103 Cost of Planning Improvements to the Woodfield Cottage Detention Center at Valhalla

County of Westchester
Schedule A Part 2
9/30/2020

<u>Date of Authorization</u>	<u>Type of Obligation</u>	<u>Amount to be issued</u>	<u>Object or Purpose for which Authorized</u>
5/16/2016	G.O. Bond	1,790,203	RBR02 Bronx River Reservation - Scout Field
4/26/2011	G.O. Bond	1,773,174	RTL01 Construction of Twin Lakes Dam thru NYSDEC Order
7/15/2019	G.O. Bond	1,725,000	RB171 Rehabilitation of Main Street, C.R. 37 & C.R. 69II, Eastchester - various sections
10/25/2019	G.O. Bond	1,720,347	RP033 Imp/Repair Fire Suppression Systems at Playland
10/18/2019	G.O. Bond	1,700,000	SPK41 Peekskill Water Resource Recovery Facility - Plant Wide Flood Mitigation
12/3/2014	G.O. Bond	1,672,419	B042B Roof replacement program (2012 - 2017) Norwood E Jackson Correctional Center
3/13/2020	G.O. Bond	1,650,000	RB178 Rehab of .64 miles of roadway from Harrison Ave to Boston Post Road
3/13/2020	G.O. Bond	1,650,000	RB204 Rehab of .86 miles of roadway from NYS Rt 120 to Airport entrance in North Castle
3/13/2020	G.O. Bond	1,635,000	RB164 Rehab of roadway on Westchester Ave from South Kensico Ave to Main Street in White Plains
4/9/2018	G.O. Bond	1,611,197	RB020 Reconstruction of Warburton Ave from Hastings/Yonkers Line to Broadway in Hastings
5/21/2018	G.O. Bond	1,602,860	RGE03 Rehabilitation of various sections of George's Island Park
5/8/2017	G.O. Bond	1,585,992	RB03P Replacement Bridge Over Sprout Brook on Old Albany Post Rd
9/25/2019	G.O. Bond	1,550,000	P0028 Planning construction of Bronx River Pkwy Bridge
8/21/2012	G.O. Bond	1,536,937	SPK20 Forcemain replacement and installation for Peekskill Sanitary Sewer District
6/8/2016	G.O. Bond	1,533,446	BSS14 Coachman Family Center White Plains Improvements
6/20/2016	G.O. Bond	1,530,000	SY009 Yonkers Joint Treatment Plant Odor Control HVAC Upgrades Design, Conts, Phase III LEED
8/6/2020	G.O. Bond	1,524,000	BEL01 Purchase of equipment necessary to facilitate voting - poll pad units, print tablets, & accessories
6/11/2018	G.O. Bond	1,515,390	BPL1A Construction of certain public infrastructure improvements in support of Hidden Meadows developm
5/21/2018	G.O. Bond	1,510,563	RPA01 Improvements to the Playland pathway
6/11/2020	G.O. Bond	1,500,000	SSM21 Cost of new relief sewer for section of Saw Mill Section B sanitary trunk sewer in New Castle
2/26/2018	G.O. Bond	1,500,000	SM016 Upgrade to Mamaroneck Wastewater treatment plant
3/16/2015	G.O. Bond	1,500,000	RB03K Access ramp to the Northbound Saw Mill River Parkway Ashford Avenue Bridge
5/2/2016	G.O. Bond	1,484,176	RP028 Gaming Structures - Playland
7/15/2019	G.O. Bond	1,455,000	RB146 Rehabilitation of South Broadway & Post Road, C.R. 108 - Main Street to Mamaroneck Ave
3/13/2020	G.O. Bond	1,450,000	RB185 Rehab of roadway from Charles Point Ave to Route 9/9A
5/21/2013	G.O. Bond	1,447,954	A083A Cost to upgrade County Airport terminal systems
5/2/2016	G.O. Bond	1,443,845	RP042 site improvements at Playland
4/23/2019	G.O. Bond	1,439,931	BPS23 Replacement of various vehicles and equipment for the Department of Public Safety
7/16/2018	G.O. Bond	1,414,532	B0081 Renov conf rm, kitchen, restroom, exterior facade, warehouse, loading dock floor rehab and related
3/13/2020	G.O. Bond	1,400,000	RB201 Rehab .7 miles of roadway from King Street to Pulnam Ave in Port Chester
9/25/2019	G.O. Bond	1,400,000	RB202 Construction rehabilitation of roadway from Farragut Pkwy to Hillside Ave, Hastings on Hudson
9/25/2019	G.O. Bond	1,375,000	BPL30 Property Acquisition
4/7/2014	G.O. Bond	1,342,489	B014C Exterior infrastructure repairs, White Plains (2011 - 2015)
5/2/2016	G.O. Bond	1,327,321	RP028 Playland Employee Areas
6/11/2020	G.O. Bond	1,300,000	T034A Cost of replacing underground diesel tanks with above ground tanks in Valhalla
10/22/2018	G.O. Bond	1,272,813	BPL1A Infrastructure associated with construction of affordable units at 25 Sout Regent St in Port Chester

County of Westchester
Schedule A Part 2
9/30/2020

<u>Date of Authorization</u>	<u>Type of Obligation</u>	<u>Amount to be Issued</u>	<u>Object or Purpose for which Authorized</u>
1/14/2019	G.O. Bond	1,250,000	RB192 Rehab of roadway - Pine Brook Road to Fox Hill Road
6/15/2015	G.O. Bond	1,250,000	SPK14 Peekskill wastewater treatment plant - secondary process and heating systems upgrade
12/6/2010	G.O. Bond	1,215,585	RGIP9 Improvements to Blue Mountain Reservation, George Island Park + Gorge Prk
7/15/2019	G.O. Bond	1,205,000	RB137 Rehabilitation of Pelham Parkway, C.R. 70 - Fulton Ave Bridge to Boston Post Road
9/25/2019	G.O. Bond	1,200,000	P0027 Planning construction of Bronx River Pkwy Bridge (North of Greenacres Ave) over Bronx River Pkw
7/15/2019	G.O. Bond	1,200,000	RG103 Design of rehabilitation of below deck elements of Glen Island Bridge
6/19/2017	G.O. Bond	1,200,000	SM095 Design Contruction Management of Rehabilitation Fenimore Rd and Weaver St Pumping
1/14/2013	G.O. Bond	1,197,838	RP010 Playland storm reconstructionH
4/27/2015	G.O. Bond	1,172,584	T0047 Replacement HVAC system at Cerrato maintenance facility
7/15/2019	G.O. Bond	1,170,546	BIT32 Replacement of radio dispatch & communication systems used by County Police
5/23/2011	G.O. Bond	1,153,969	RB107 Repair to NorthSt White Plains from Hutch Pkwy to W.P. Rd
8/17/2017	G.O. Bond	1,150,000	BSS15 Construction Upgrades to North Wing of County Owned Voulunteers of America Shelter Valhalla
3/27/2013	G.O. Bond	1,140,000	BPL10 Land acqulsition at 150 Lake Street, White Plains for Fair Affordable Housing
4/8/2019	G.O. Bond	1,100,000	BES05 Final phase of rehabilitation of the Westchester County Dept of Emerg Serv Main Facility
6/2/2014	G.O. Bond	1,098,530	BIT31 Health inspections system replacement at Department of Health
5/5/2014	G.O. Bond	1,098,458	X10-19 Clean Air Quality Service Inc & West Fair Elec. Contractors settlement
9/19/2011	G.O. Bond	1,086,000	SY037 Repair of additional steel cells for bulkhead sys. on Hudson River at Yonkers Joint Treatment Plant
12/3/2014	G.O. Bond	1,077,781	B042A Roof replacement program (2007 - 2011) Norwood E Jackson Correctional Center
4/8/2019	G.O. Bond	1,075,242	RSW04 Replacement of the wearing surface of existing ballfield at Saxon Woods Park in White Plains
11/9/2015	G.O. Bond	1,072,507	RB123 Reconstruction of access road
7/15/2019	G.O. Bond	1,050,000	RG104 Design of rehabilitation of above deck elements of Glen Island Bridge
8/1/2016	G.O. Bond	1,050,000	BLR07 HVAC System Upgrade at Labs & Research Building Equipment Upgrade
6/20/2016	G.O. Bond	1,030,000	RB03L Pondfield Road Bridge Planning Reconstructions
7/15/2019	G.O. Bond	1,005,000	RB132 Rehabilitation of Highland Road, C.R. 308 - East Purchase Street to Harrison/Rye Town Line
5/28/2020	G.O. Bond	1,000,000	BPF39 Installation of Electric vehicle charging stations across county
4/22/2020	G.O. Bond	1,000,000	A042C Cost of planning storm water management in Westchester County Airport
2/14/2020	G.O. Bond	1,000,000	B035D Acquisition of various equipment associated with maintenance of County roadway system & facilities
12/17/2019	G.O. Bond	1,000,000	SBB85 Sewer System Rehabilitation - Blind Brook Sanitary Sewer District
12/3/2019	G.O. Bond	1,000,000	BPL26 Reconstruct the Hillside Avenue bridge in the Village of Mamaroneck
10/18/2019	G.O. Bond	1,000,000	SNR41 New Rochelle Water Resource Recovery Facility - Plant Wide Flood Mitigation
10/18/2019	G.O. Bond	1,000,000	SOS95 Pumping Station Improvements - Ossining Sanitary Sewer District
10/18/2019	G.O. Bond	1,000,000	SY095 Rehabilitation of pump stations - Main St Pumping Station, Ludlow Street Pumping Station
9/25/2019	G.O. Bond	1,000,000	RB03T Construction of new Greenwich Road bridge over Mianus River in Town of Bedford
5/2/2016	G.O. Bond	1,000,000	RP23F Structural Rehab of Historic Towers at Playland
10/22/2012	G.O. Bond	1,000,000	SM085 Construction and repair costs to Mamaroneck's sanitary sewer district
5/28/2020	G.O. Bond	980,000	BIT3C Acquisition of computer equipment, hardware and software For DoIT

County of Westchester
Schedule A Part 2
9/30/2020

<u>Date of Authorization</u>	<u>Type of Obligation</u>	<u>Amount to be Issued</u>	<u>Object or Purpose for which Authorized</u>
11/23/2015	G.O. Bond	975,829	RKP01 Kingsland Point Park infrastructure
10/18/2019	G.O. Bond	950,000	BCR53 Partial reconstruction of existing maintenance garage on Valhalla WCC campus
9/4/2018	G.O. Bond	950,000	BLR10 Design, construction & construction mgmt for rehab of loading dock, lobby, & reception area
7/17/2017	G.O. Bond	947,685	T0048 Cost of Relocation of Overhead Utilities at the Bus Maintenance in Yonkers Facility
8/7/2017	G.O. Bond	940,000	RGIP3 Improvements to General Maintenance Facility for Parks Thompson St in Crestwood
2/14/2020	G.O. Bond	925,000	RB04B Design for replacement of the existing Boston Post Road bridge over Playland Parkway
9/17/2018	G.O. Bond	918,154	RPA03 Construction of improvements to the North County Trailway
12/10/2012	G.O. Bond	905,456	SW005 System-wide Post Storm Reconstruction and Rehabilitation
4/22/2020	G.O. Bond	900,000	SBB30 Cost of design, construction & roof replacement at Blind Brook Wastewater Treatment Plant
4/22/2020	G.O. Bond	900,000	SPS30 Cost of design, construction & roof replacement at North Yonkers Pump Station
7/17/2017	G.O. Bond	900,000	SNY95 Rehabilitation of Hastings Pumping Station
6/15/2015	G.O. Bond	900,000	SM075 Pump station rehabilitation program - sewer district
6/6/2016	G.O. Bond	893,030	B0045 Putnam Right-of-Way/ South County Trailway Pedestrian and bicycle pathway
2/14/2020	G.O. Bond	875,000	RB03X Design for reconstruction of the Louisa Street Bridge in Peekskill
6/5/2017	G.O. Bond	867,136	BIT39 Purchase of Equipment to Replace Telephones and Associated Equipment
9/12/2016	G.O. Bond	860,000	BPL50 Property acquisition
6/17/2019	G.O. Bond	850,180	BIT4C Acquisition and installation of software and appliances for IT
3/13/2020	G.O. Bond	850,000	RB194 Rehab of .42 miles of roadway from Buchanan/Peekskill line to Louisa Street in Peekskill
3/13/2020	G.O. Bond	850,000	RB196 Rehab of .36 miles of roadway from Sunnybrook Road to BRP Entrance Ramp in Yonkers
4/18/2016	G.O. Bond	850,000	S0S91 Design & Construction Crotonville Pump Station
10/18/2019	G.O. Bond	845,000	SPK85 Sewer System Rehabilitation - Peekskill Sanitary Sewer District
5/20/2019	G.O. Bond	831,423	BIT6C Purchase of equipment and related services for overhaul of storage system
5/16/2016	G.O. Bond	825,000	RWW04 Planning recreational area improvements to WW parks in Mount Vernon
5/20/2019	G.O. Bond	822,247	BIT45 Purchase of equipment and other services for upgrade of surveillance systems at WC office building
4/16/2018	G.O. Bond	821,954	WCC79 Roof Replacement Various Buildings - WCC
4/20/2015	G.O. Bond	815,000	BES14 Equipment storage building for DES
10/25/2019	G.O. Bond	800,000	SNY95 Design and construction - Dobbs Ferry Pumping Station in North Yonkers Sanitary Sewer District
5/28/2013	G.O. Bond	800,000	SW023 Prelim.: improvements to Briarcliff Pump Stn.
7/30/2018	G.O. Bond	787,500	BPL30 Purchase property at 5 Hudson Street in Yonkers to preserve affordable AFFH rental units
5/9/2011	G.O. Bond	784,000	BPL01 Mt Vernon - La Porte
6/6/2016	G.O. Bond	782,420	BPL50 98 Washington Avenue Village of Pleasantville - Development Cost
6/15/2015	G.O. Bond	769,701	SW070 Flow Monitoring Program
7/11/2011	G.O. Bond	755,328	RB2YY Rehabilitation of bridge located on Old Post Road over Playland Parkway
10/18/2019	G.O. Bond	750,000	SM041 Mamaroneck Water Resource Recovery Facility - Plant Wide Flood Mitigation
12/12/2011	G.O. Bond	750,000	BLA01 Improvements to 4th St Playground in Mount Vernon
5/23/2011	G.O. Bond	750,000	B014B White Plains complex bldg exteriors rehab & repairs 2006-2010

County of Westchester
Schedule A Part 2
9/30/2020

<u>Date of Authorization</u>	<u>Type of Obligation</u>	<u>Amount to be Issued</u>	<u>Object or Purpose for which Authorized</u>
3/13/2020	G.O. Bond	725,000	RB206 Rehab of .4 miles of roadway from NYS Rt 22 to Kensico Park entrance in North Castle
1/14/2019	G.O. Bond	718,570	BPL40 Stormwater Management- Various County Facilities II
1/22/2013	G.O. Bond	703,886	RWPR1 Replacement-Pleasantville Road Bridge over Pocantico River
4/16/2013	G.O. Bond	700,000	SBB85 Sewer system rehabilitation - Blind Brook sanitary sewer district
11/24/2014	G.O. Bond	694,237	BPL50 Construction and improvements to 54 Hunts Place, New Castle
4/20/2015	G.O. Bond	690,000	BES15 FTC instructor & locker facilities additons
11/9/2015	G.O. Bond	688,410	BSS15 Infrastructure improvements to shelter facilities - Volunteers of America shelter
8/7/2017	G.O. Bond	685,000	BPS26 Roof Replacement of the Special Operations Division Garage at Valhalla Campus
1/14/2019	G.O. Bond	681,149	RP054 Replacement of existing canopy system on Playland Bathhouse
6/24/2020	G.O. Bond	675,000	B0121 Rehab and improvements of Hilltop Hanover Farm in Yorktown Heights
6/5/2017	G.O. Bond	670,000	BLR10 Cost of Partial Reconstruction of the Dept of Labs and Research Facility
3/11/2013	G.O. Bond	662,909	BCR24 Installation of tele-corrections video visitation systems and associated equipment
6/4/2018	G.O. Bond	657,000	BPL30 Purchase of land located at 65 Lake Street in White Plains for Fair Housing
6/28/2011	G.O. Bond	651,425	SY016 Yonkers Joint wastewater treatment plant - additional water service
6/24/2020	G.O. Bond	650,000	BIT47 Cost of network & security infrastructure upgrades in various county department's locations
6/4/2018	G.O. Bond	650,000	BPF33 Design in connection with replacement of existing fire suppression system
12/12/2013	G.O. Bond	650,000	RBR04 Bronx River reservation pathway - preperation and design
10/3/2011	G.O. Bond	649,417	RB110 Improvements to East Main Street in the city of Peekskill , CR 25I and 25
6/17/2014	G.O. Bond	639,020	RB127 Fort Hill Road (C.R. 142) rehabilitation in City of Yonkers
6/27/2011	G.O. Bond	632,521	B0101 Replacement of cooling tower and piping at New Jati Valhalla Campus
7/27/2015	G.O. Bond	625,000	RCC14 County Center Robfs
9/4/2018	G.O. Bond	602,337	BIT36 DOIT fund acquisition of equipment, software & Implementation for business & disaster recovery
8/6/2020	G.O. Bond	600,000	BLR10 Humidity control in the microbiology division at the Dept of Labs & Research facility in Valhalla
11/1/2016	G.O. Bond	600,000	RLAS6 Lasdon Infrastructure/Site work II
6/8/2016	G.O. Bond	600,000	SW022 Sewer District Heavy Equipment Replacement
6/2/2014	G.O. Bond	600,000	S0S85 Sewer System Rehabilitation - Ossining Sanitary Sewer District
5/7/2013	G.O. Bond	600,000	BCR50 Infirmary / Suicide Prevention Area facility at Westchester County Corrections facility
6/28/2011	G.O. Bond	580,000	BLA01 Columbus Park, Port Chester
4/24/2012	G.O. Bond	579,686	P0022
7/17/2013	G.O. Bond	569,718	SPS07 Repairs and upgrade of the Alexander Street Influent Structure
6/11/2020	G.O. Bond	561,000	SNR20 Cost of reconstruction of two forcemains from Mamaroneck WWTP to New Rochelle WWP
7/17/2017	G.O. Bond	560,440	BPS29 New Vehicle Storage Facility for Special Operations Division Valhalla
5/6/2019	G.O. Bond	560,000	BIT43 Purchase of equipment to uprade the Repository for Intergrated Criminal Information
6/11/2013	G.O. Bond	544,097	SNY20 Relocation of Haslings Forcemain, North Yonkers Sewer District
4/30/2013	G.O. Bond	542,800	SW006 Vulnerability assessment studies
9/18/2018	G.O. Bond	539,776	RB134 Rehab of roadway from Welcher Ave to South St - milling, resurfacing, curbing, sidewalk ramps, etc..

County of Westchester
Schedule A Part 2
9/30/2020

<u>Date of Authorization</u>	<u>Type of Obligation</u>	<u>Amount to be Issued</u>	<u>Object or Purpose for which Authorized</u>
4/22/2020	G.O. Bond	525,000	RMF02 Portion of the cost of skate park installation at Memorial Field, Mt Vernon
12/7/2018	G.O. Bond	520,373	WCC93 Design & construction associated with replacement of AC system at WCC Library
11/1/2016	G.O. Bond	519,982	B077E Infrastructure rehab Mt Kisco Dist Office
6/24/2020	G.O. Bond	500,000	BIT4C Cost of purchasing and installing software and appliances for employee productivity systems
6/24/2020	G.O. Bond	500,000	BIT6C Cost of purchasing equipments & services for overhauling the data storage systems
2/28/2020	G.O. Bond	500,000	BDA02 First phase of upgrades to technology equipment, software, and systems for DA's Office
10/18/2019	G.O. Bond	500,000	WCC76 WCC Technology Upgrades (new kiosk PC's, PC, laptop, MAC replacements, phones, routers)
6/6/2016	G.O. Bond	500,000	SW014 Studies to Evaluate Design of Cap Imprv at Wastewater Treatment Plants or other for Transp
4/18/2016	G.O. Bond	500,000	SPC37 Design & c/m Rehab of Steel and Stone Bulkheads along Byram Riv - Portchester
4/4/2016	G.O. Bond	500,000	BIT30 E911 replacement equipment phase II
10/19/2015	G.O. Bond	500,000	WD309 Water storage facilities and maintenance program
6/27/2011	G.O. Bond	500,000	RGI11 Improvements and repair to dam at Woodlands Lake at VE Macy Park
4/9/2018	G.O. Bond	490,083	RB03D Cost of design of improvements to Palmer Road over Bronx River Parkway in Yonkers
1/23/2017	G.O. Bond	472,636	BPL26 County portion of flood mitigation project in County (ammended)
4/19/2012	G.O. Bond	460,000	RBM04 Partial reconstruction of buildings at Blue Mountain Reservation in City of Peekskill and Cortlandt
6/6/2011	G.O. Bond	452,955	BPL01 Infrastructure Impr - Fair & Affordable Housing - Heritage Homes
10/15/2013	G.O. Bond	450,000	RBM03 Blue Mountain reservation site work in Town of Cortlandt and Peekskill
1/22/2013	G.O. Bond	450,000	RB03F Rehabilitation of bridges over Ardsley Road over Bronx River and Bronx River Parkway over Scarsc
6/8/2015	G.O. Bond	445,985	BLA01 Property Aquisition
2/18/2014	G.O. Bond	443,471	SY020 Replacement of Tarrytown forcemain for sewage system
5/20/2019	G.O. Bond	442,029	BIT35 IT upgrade and replacement of obsolete network, security, software, and hardware components
10/8/2010	G.O. Bond	433,000	WCC56 Improv to Phys Ed fields
2/28/2020	G.O. Bond	425,000	SPC11 Design, construction management, & costs for replacement of roofs - Port Chester WWTP
10/18/2019	G.O. Bond	425,000	B015D Interior Infrastructer Repairs, White Plains Complex - 112 East Post Road & 85 Court Street
6/15/2015	G.O. Bond	425,000	SPC11 Port Chester Wastewater treatment plant - roof replacements
5/15/2020	G.O. Bond	423,000	RGP09 Acquisition of vehicles & equipment for Parks Dept
6/15/2015	G.O. Bond	420,000	SW020 Forcemain replacement, various districts
10/19/2015	G.O. Bond	417,890	BES11 Emergency communications center renovations
6/3/2019	G.O. Bond	415,626	T009G Purchase of replacement paratransit vehicles and associated equipment
4/24/2017	G.O. Bond	413,137	BIT3C Acquisition of Computer Server Equipment
12/3/2014	G.O. Bond	408,947	B042B Roof replacement program (2012 - 2017) Valhalla Campus Mount Pleasant
10/18/2019	G.O. Bond	400,000	RSW01 Recreational area improvements to the County's Saxon Woods Park
9/25/2019	G.O. Bond	400,000	BPL40 Stormwater Management
11/27/2017	G.O. Bond	400,000	BPL13 Preparation of Sumays Preliminary and Detailed Plans Tarrytown Kensico Trailway/Blkeway
6/19/2017	G.O. Bond	400,000	RGP11 Cost of Planning Salt Storage Facilities For Dept of Parks
6/9/2014	G.O. Bond	400,000	BPS15 Renovations to Public Safety headquarters

County of Westchester
Schedule A Part 2
9/30/2020

<u>Date of Authorization</u>	<u>Type of Obligation</u>	<u>Amount to be Issued</u>	<u>Object or Purpose for which Authorized</u>
12/12/2013	G.O. Bond	400,000	RWW03 Wilson's Woods, Mount Vernon site work
4/19/2012	G.O. Bond	400,000	RBM05 Blue Mountain Sports Center rehabilitation located in Cortlandt
6/13/2011	G.O. Bond	399,083	RML01 Emergency Electric & Pool Rehab
4/16/2018	G.O. Bond	393,000	WCC83 Infrastructure Upgrade Various Buildings - WCC
3/7/2016	G.O. Bond	385,000	BPL30 164 Phyllis Court, Yorktown - Cost of acquisition
4/21/2014	G.O. Bond	384,688	SW022 Sewer district heavy equipment replacement
11/1/2016	G.O. Bond	381,000	BPL50 Rehab 10 West Main Hastings
3/13/2020	G.O. Bond	380,000	BLR2D New and replacement equipment for Labs - public health, forensic, toxicology, medical examiner lab
3/13/2020	G.O. Bond	380,000	RB198 Rehab of .25 miles of roadway from Route 22 to Byram Lake Road in North Castle
1/14/2019	G.O. Bond	375,000	RB188 Rehab of roadway - Cedar Lane to McKesson Hill Road
3/31/2014	G.O. Bond	375,000	RMS02 Merestead Park / Site Development in Town of Bedford
5/22/2017	G.O. Bond	370,000	RKP02 Cost of Planning Recreational Area Improvements at Kingsland Point Park - Tarry Town
5/5/2014	G.O. Bond	369,991	B015C Interior infrastructure repairs, White Plains Complex (2011-2015)
6/11/2018	G.O. Bond	365,430	RB03C Rehabilitation of bridge fascia - June Road Over Titicus River
4/22/2020	G.O. Bond	365,000	RMF02 Cost of tennis courts construction at Memorial Field, Mt Vernon
9/10/2012	G.O. Bond	363,769	BIT28 Cost of new computerized probation criminal court offender management system
7/22/2014	G.O. Bond	354,887	RB140 Reconstruction of Bloomer Road, CR 39, North Salem
6/11/2020	G.O. Bond	350,000	SNR95 Cost to repair & replace electrical duct banks that serves Glen Island Pumping Station, New Rochelle
2/14/2020	G.O. Bond	350,000	T0069 Purchase and installation of new diesel exhaust storage tank dispenser system at Cerrato bus garage
1/14/2019	G.O. Bond	350,000	B0108 Improvements to Christopher Ridley Plaza at 85 Court Street in White Plains
4/4/2016	G.O. Bond	350,000	BIT37 Replacement of mental health case management billing system
3/23/2015	G.O. Bond	350,000	RGM01 Mohansic maintenance facility
4/9/2013	G.O. Bond	350,000	RGC12 Dunwoodie Golf course, Yonkers facility improvements
3/27/2013	G.O. Bond	350,000	RGP04 County-wide boiler replacement
9/16/2014	G.O. Bond	343,934	SY024 Yonkers Jolint Wastewater treatment plant - secondary system rehab
4/23/2019	G.O. Bond	340,743	BLR2D Acquisition of new and replacement equipment for the Department of Labs and Research
3/31/2014	G.O. Bond	340,000	RM101 Nature Center renovations at Marshlands Building
12/12/2013	G.O. Bond	340,000	RCL01 Cranberry Lake - Nature Center and other associated site work
8/8/2011	G.O. Bond	334,974	RB03E Design constructions management for Greenacres Avenue over Bronx River
7/18/2016	G.O. Bond	334,443	T0040 County-owned transit facilities and passengers on transit vehicles Security and Safety Imprv
6/23/2014	G.O. Bond	325,000	BIT33 Radio Site infrastructure improvements at various WC facilities
6/2/2014	G.O. Bond	320,737	RGIP5 General Infrastructure - roofing systems at various Parks locations
4/7/2014	G.O. Bond	320,000	B077A Mount Vernon District Office building - partial reconstruction
2/8/2013	G.O. Bond	320,000	RB03B Buttrville Road over Croton River, Somers
9/19/2011	G.O. Bond	313,423	WCC65 Partial reconstruction of various buildings at WCC
7/17/2017	G.O. Bond	310,000	BCR55 Replacement of the Security Window and Associated Masonry and Façade in the G Block of Jail

County of Westchester
Schedule A Part 2
9/30/2020

<u>Date of Authorization</u>	<u>Type of Obligation</u>	<u>Amount to be Issued</u>	<u>Object or Purpose for which Authorized</u>
11/14/2016	G.O. Bond	310,000	RMAC3 Planning Recreational Area Improvements
9/8/2014	G.O. Bond	309,832	B0109 Salt storage shed at Public Works Operations are in Valhalla
9/14/2014	G.O. Bond	308,392	RCC10 County Center Site Work - Preliminary/ detailed plans and survey preparations
9/25/2019	G.O. Bond	306,000	BPL30 Finance the purchase of real property, including three unit rental building at 162 Lincoln
10/7/2014	G.O. Bond	301,640	BPL50 Construction and improvements for 425 Saw Mill River Road
10/18/2019	G.O. Bond	301,000	WCC79 WCC roof replacements - various locations
5/28/2020	G.O. Bond	300,000	RD017 Cost of study to evaluate all building systems and equipments at Material Recovery Facility, Yonkers
10/18/2019	G.O. Bond	300,000	SNR85 Sewer System Rehabilitation - New Rochelle Sanitary Sewer District
6/3/2019	G.O. Bond	300,000	BPL26 Initial design of project to mitigate flooding along the Hutchinson River
3/23/2015	G.O. Bond	300,000	RB03W Quaker Bridge Road over Croton River
10/15/2014	G.O. Bond	300,000	RGI11 General Infrastructure - Parks Dams & Bridges II
9/21/2015	G.O. Bond	298,562	B0082 Low rise building renovation, White Plains
4/21/2014	G.O. Bond	298,000	SM016 Mamaroneck Wastewater treatment plant, primary, secondary, heating and chemical handling upgrd
3/26/2012	G.O. Bond	296,352	B014C Finance cost of farade repairs at MOB in WP
3/23/2015	G.O. Bond	280,000	RB03I Tuckahoe Road bridge over Bronx River, Yonkers and Tuckahoe
9/25/2017	G.O. Bond	278,671	BPS27 Roof Replacement and Exterior Improvements of the Automotive Garage at Hawthorne
5/21/2018	G.O. Bond	277,553	RGC02 Maple Moor Golf Course - construction of new maintenance facility
11/30/2015	G.O. Bond	275,967	RB03S Fulton Ave Bridge
12/12/2013	G.O. Bond	275,934	RBR02 Scout Field recreational improvements on Bronx River reservation in Mount Vernon and Yonkers
1/14/2019	G.O. Bond	275,000	RB191 Rehab of roadway - Mamaroneck Ave to West Street
12/12/2011	G.O. Bond	270,490	RMUS6 Muscoot Farm, Somers area improvements for planning recreational area
1/23/2017	G.O. Bond	269,183	RLEN1 Contrsuction Improvement to Lenoir Preserve
2/28/2020	G.O. Bond	268,000	SOS85 Design, construction management, & costs for sewer lines & manholes in Ossining Sewer District
6/6/2016	G.O. Bond	266,273	BPL50 22 Pierce Street Town of Cortlandt - Acquisition
4/7/2014	G.O. Bond	265,890	B077A Peekskill District Office building - partial reconstruction
7/17/2017	G.O. Bond	265,000	BPS28 Replacement of Emergency Generator and Associated Work for Dpt of Public Safety
10/18/2019	G.O. Bond	263,973	RWW03 Design in connection with planning playground improvements to Willson's Woods Park
5/2/2016	G.O. Bond	256,049	T0051 New Bus Exhaust System
10/30/2017	G.O. Bond	255,940	BIT38 Acquisition of Capital Improvements for The Country's Critical Communication Logging System
5/20/2013	G.O. Bond	255,449	BPL50 Infrastructure Route 6 & Clayton - Somers
9/21/2015	G.O. Bond	253,541	BIT33 Radio site infractructure
6/24/2020	G.O. Bond	250,000	BIT36 Cost of purchasing equipments & software necessary for data storage and backup system
5/28/2020	G.O. Bond	250,000	RD019 Acquisition of custom-designed tractor trailer for food scrap recovery
5/28/2020	G.O. Bond	250,000	RGP12 Cost of feasibility study of automated parking payment system for Parks Dept
3/13/2020	G.O. Bond	250,000	RB205 Rehab of .11 miles of roadway from east end of I684 bridge overpass to Daniel Road in North Salen
5/6/2019	G.O. Bond	250,000	BIT36 Purchasing necessary software for Department of Information technology

County of Westchester
Schedule A Part 2
9/30/2020

<u>Date of Authorization</u>	<u>Type of Obligation</u>	<u>Amount to be Issued</u>	<u>Object or Purpose for which Authorized</u>
6/19/2017	G.O. Bond	250,000	BIT34 Cost of Phase IV of The Video Surveillance Equipment Upgrade Program
6/5/2017	G.O. Bond	250,000	RCL02 Cost of Planning Recreational Area Improvements to Cranberry Lake Preserve, North White Plains
9/12/2016	G.O. Bond	250,000	BPL50 41,42 Old Bedford Rd Lewisboro
9/21/2015	G.O. Bond	250,000	B0104 Low rise building improvements, White Plains (2015-2019)
11/9/2015	G.O. Bond	249,624	RB123 Roadway lightning
12/2/2011	G.O. Bond	245,156	SM087 North Castle Quarry Heights sewer system design and construction management
8/7/2017	G.O. Bond	241,575	BLR2D Acquisition of Lab Equipment for Department of Labs and Research
4/9/2018	G.O. Bond	237,226	RB150 Harding Avenue - Cost of design, construction management, and construction for rehab
8/31/2015	G.O. Bond	228,764	RB160 Additional funding for Wilmot Road CR 113, Eastchester
9/23/2013	G.O. Bond	228,401	BPL01 Winbrook Phase I Affordable Housing Development in White Plains
6/1/2015	G.O. Bond	227,595	RCC12 County Center seating
5/22/2017	G.O. Bond	225,000	RGE04 Cost of Preparation for Water and Electric Upgrades at Georges Island
5/22/2017	G.O. Bond	225,000	RWPR4 Cost of Planning Recreational Area Improvements at Ward Pound Ridge Reservation
11/18/2019	G.O. Bond	223,054	WCC82 WCC construction of parking lot facilities and related site improvements
4/24/2012	G.O. Bond	222,859	SH075 Pump station rehabilitation program for Hutchinson Sewer District
8/1/2016	G.O. Bond	220,000	BLR11 Alternative Energy Systems - Design w/ Installation of Internal Heat Recovery System at Labs & Re:
4/18/2016	G.O. Bond	219,050	BPL30 18 Minkel Rd Ossining
5/23/2011	G.O. Bond	211,715	RKL01 Design & Rehab of Tarrytown Lighthouse
10/30/2017	G.O. Bond	209,658	BIT30 Acquisition of E-911 Replacement Equipment
1/14/2019	G.O. Bond	209,353	RB193 Rehab of roadway - Orchard Drive to Beech Street
7/17/2013	G.O. Bond	201,985	BIT29 Data Center upgrades - for County's two primary data centers
6/24/2020	G.O. Bond	200,000	B0121 Cost of study to evaluate long term needs of Hilltop Hanover Farm, Yorktown Heights
9/4/2018	G.O. Bond	200,000	BIT9A DOIT enterprise GIS hardware & software, consulting, data development, mobile tech & applications
9/25/2017	G.O. Bond	200,000	BPS30 Cost of Improvements at Public Safety HQ in Hawthorne
6/6/2016	G.O. Bond	200,000	SY028 Yonkers Joint Treatment Plant - Evaluation of Plant Electric and Lighting Systems
3/7/2016	G.O. Bond	200,000	BLR09 Rehabilitation of staff lounge facility
9/16/2015	G.O. Bond	200,000	BPL50 FAH 19 Park Avenue, Goldens Bridge in Town of Lewisboro
4/28/2014	G.O. Bond	200,000	SW007 Aerial photos and digital mapping - NYS Capacity Assurance, Management, Operation & Maint. Pro
5/2/2018	G.O. Bond	197,811	RGC18 Maple Moor Golf Course - construction of complete rehabilitation of the cart path system
12/11/2017	G.O. Bond	196,820	RB128 Improvements to Pleasantville Rd and Adjacent Turn Lane Enhancements
3/3/2014	G.O. Bond	187,813	BPL40 Stormwater management - both sides of Bronx River by Town of Eastchester and City of Yonkers
10/15/2012	G.O. Bond	184,651	BIT27 Upgrade for the computerized jail management system
10/17/2016	G.O. Bond	180,000	BPL50 2906 Old Yorktown Rd, Yorktown
10/17/2011	G.O. Bond	179,719	BLR2B Labs and Research equipment acquisition for forensic and toxicology labs (2006-2010)
7/15/2019	G.O. Bond	175,000	BCC07 Acquisition of a community outreach mobile office truck for use by County Clerk
6/2/2014	G.O. Bond	173,990	A066C Msc Airport rehab

County of Westchester
Schedule A Part 2
9/30/2020

<u>Date of Authorization</u>	<u>Type of Obligation</u>	<u>Amount to be Issued</u>	<u>Object or Purpose for which Authorized</u>
3/3/2014	G.O. Bond	173,150	BPL23 Stormwater management - restoration of floodplain and wetlands in Mamaroneck and Harrison
12/16/2013	G.O. Bond	169,771	RB121 Broad Street Viaduct, CR 132, Yonkers roadway rehabilitation and bridge improvements
8/7/2017	G.O. Bond	162,301	RSP03 Construction of Main Pool (Pool#2) at Sprain Ridge Facility
2/8/2013	G.O. Bond	161,996	P0023 BRP Service bridge over Bronx River, Yonkers
4/3/2017	G.O. Bond	160,840	BIT9A GIS Hardware, Software, Consulting, and Data Products
3/23/2015	G.O. Bond	160,000	RMUS7 Muscoot Farm site work
4/27/2015	G.O. Bond	159,771	WD103 County water district no. 1 improvements
7/18/2016	G.O. Bond	159,361	RB124 North Street and Hammond Rd CR73 Harrison and Rye Rehabilitation
8/14/2014	G.O. Bond	155,657	RB142 Reconstruction of South Division Street - Crompond Road CR 24, Peekskill
5/11/2015	G.O. Bond	155,141	BES05 DES - Main Facility Renovation located in Valhalla
6/8/2015	G.O. Bond	153,997	T035A Maintenance equipment upgrades II
5/21/2018	G.O. Bond	150,018	RGC17 Design, Construction & Rehab at Maple Moor Golf Course
5/2/2016	G.O. Bond	150,000	BIT7A Computer Hardware & Software
2/11/2014	G.O. Bond	150,000	BIT7A Global positioning systems - Phase II - including automated vehicle locator
6/11/2013	G.O. Bond	150,000	RKD02 Kensico Dam Plaza - new maintenance building and outside storage area
11/17/2010	G.O. Bond	149,940	BPL10 Fair and Affordable Housing in Cortlandt
4/18/2016	G.O. Bond	148,554	BPL40 Restore Waterway & Banks-Confluence of Brx Riv & Sprain Br in Bnxsile & Yonk
8/8/2015	G.O. Bond	145,000	T034A Maintenance facility upgrades II
8/22/2011	G.O. Bond	141,934	T001T Acquisition of equipment for rehabilitation of central bus maint. Facil. in Yonkers
7/28/2014	G.O. Bond	141,469	RMAC3 V.E. Macy Park Site Work
7/14/2014	G.O. Bond	140,858	RB122 Reconstruction of West Lake Drive, C.R. 144, Mount Pleasant
5/21/2018	G.O. Bond	140,252	RGC14 Planning and Construction of a new water irrigation system at Maple Moor Golf Course
5/21/2018	G.O. Bond	138,969	RGMM1 Rehabilitation of the maintenance garage at Maple Moor Golf Course
11/14/2016	G.O. Bond	137,957	WCC76 Tech Upgrade Phase III
2/23/2015	G.O. Bond	137,736	RWW02 Wilson's Woods Pool Modernization, Mt Vernon
11/1/2016	G.O. Bond	137,344	B077B Security Upgrades - Peekskill D.O.
4/4/2016	G.O. Bond	136,023	BIT34 Video surveillance security upgrade
9/25/2017	G.O. Bond	134,343	T001W Acquisition of Hydride Energy Storage System for Hybrid Buses
3/23/2015	G.O. Bond	131,716	RB165 Union Avenue, CR 94, Harrison
2/9/2015	G.O. Bond	128,742	BPL10 Land acquisition 125-129 5th St. for affordable housing
2/25/2019	G.O. Bond	127,291	RMH01 Construction associated with restoration of the farmhouse & other structures at Miller House
7/17/2017	G.O. Bond	125,000	T034A Reconstruction of the Floor in the Central Maintenance Facility in Yonkers
3/7/2016	G.O. Bond	124,909	T0044 Dynamometer
10/3/2011	G.O. Bond	124,546	WCC67 Health Sciences building renovations and improvements for partial reconstruction
5/27/2015	G.O. Bond	124,527	BIT30 E911 Replacement equipment phase II
2/25/2019	G.O. Bond	123,656	BDA01 Technology upgrades for the District Attorney's Office at various locations

County of Westchester
Schedule A Part 2
9/30/2020

<u>Date of Authorization</u>	<u>Type of Obligation</u>	<u>Amount to be Issued</u>	<u>Object or Purpose for which Authorized</u>
10/17/2016	G.O. Bond	120,000	BPL50 41 Church St Bedford
3/11/2013	G.O. Bond	118,948	BCR24 Telecorrections Video
4/27/2015	G.O. Bond	118,854	SY030 Yonkers joint treatment plant - roof replacement
9/10/2012	G.O. Bond	118,678	RGIP7 Recreational area improvements to Wilson Woods Park in Mount Vernon
9/26/2011	G.O. Bond	117,222	SY030 Yonkers Joint treatment plant roof repairs and replacement
10/19/2015	G.O. Bond	116,836	WD001 Eastview distribution chamber
9/24/2012	G.O. Bond	115,875	RKD01 Kensico Dam Plaza recreational area improvements - electrical infrastructure
11/29/2010	G.O. Bond	114,337	BPL01 Infrastructure Improvements for State Street affordable housing in Ossining
7/17/2017	G.O. Bond	112,304	T0066 Acquisition of Portable Bus Lifts
6/11/2018	G.O. Bond	109,468	BES16 Replacement supervisory vehicles for Department of Emergency Services
4/21/2014	G.O. Bond	108,477	SBB30 Blind Brook Wastewater Treatment Plant - roof replacements
6/5/2017	G.O. Bond	108,217	BIT40 Update County Wide Digital Base Map
6/15/2015	G.O. Bond	107,777	SM003 Structural rehabilitation of administration building roof and tower
5/2/2016	G.O. Bond	107,095	BIT38 Acquire capital Improvements - logging System
11/1/2016	G.O. Bond	106,540	T016B Maintenance & Supervisory Vehicles III
6/11/2018	G.O. Bond	105,000	BPR01 Replacement supervisory vehicles for Department of Emergency Services
5/22/2017	G.O. Bond	104,583	RSP01 Cost of Recreation Area Improvement to Sprain Ridge Park - Yonkers
3/18/2014	G.O. Bond	104,493	B0086 Equipment and control systems upgrades, central heating plant, Valhalla Campus
10/17/2011	G.O. Bond	103,066	BPL12 Recreational area improvements for new Tarrytown-Kensico trailway in Mount Pleasant and Greenb
6/29/2015	G.O. Bond	100,000	SW013 Energy Management Program for DEF Facilities
3/27/2013	G.O. Bond	100,000	RMUS3 Preparation of surveys and preliminary plans for Muscoot Farm Infrastructure
1/22/2013	G.O. Bond	100,000	BIT15 E-911 emergency communication system equipment and software
9/26/2011	G.O. Bond	100,000	SPC37 Preliminary costs for Byram River study
9/26/2011	G.O. Bond	100,000	SPS37 Preliminary costs for reconstruction along Hudson River behind North Yonkers pump station
2/19/2014	G.O. Bond	96,804	B0011 Replacement of Gas Mains, Valhalla campus
11/8/2010	G.O. Bond	91,313	RGIP8 Bridge Repair in Kingsland Point Park, Ward Pound Ridge and Maple Moor
5/21/2013	G.O. Bond	90,476	SY075 Replacement costs of internal piping and station forcemain at Ludlow Street Pumping Station in Saw Mill SSD
4/18/2016	G.O. Bond	89,903	BPL10 land 57 Route 6 Baldwin Place 10.54 acres
8/6/2012	G.O. Bond	87,546	RGC20 Golf Course rehabilitation for Mohansic in Yorktown, Sprain in Yonkers and Dunwoodie in Yonkers
8/4/2014	G.O. Bond	86,394	RB169 Bridge and Road Urgent Rehabilitation Program (2014 - 2018)
4/27/2015	G.O. Bond	82,017	BLR08 Uninterrupted power supply unit
6/19/2017	G.O. Bond	80,069	SW015 Upgrade the Alarm Notification System at Wastewater Pumping and Retention Facility No Yonkers
8/21/2012	G.O. Bond	80,000	SPK08 Highland Avenue pump station rehabilitation and upgrading for Peekskill sanitary sewer district
5/6/2012	G.O. Bond	80,000	BSS13 Planning for partial reconstruction of Vaughn Glanton employment residence at Vernon Plaza
4/5/2012	G.O. Bond	76,011	RGC05 Mohansic golf course rehabilitation - design of bathroom facility and new cart storage building
7/14/2014	G.O. Bond	74,960	RB139 Reconstruction of Tuckahoe Road, CR 36, Yonkers

County of Westchester
Schedule A Part 2
9/30/2020

<u>Date of Authorization</u>	<u>Type of Obligation</u>	<u>Amount to be Issued</u>	<u>Object or Purpose for which Authorized</u>
11/29/2010	G.O. Bond	72,643	BPL10 Affordable Housing in Ossining / New Homes Land Acquisition
5/2/2016	G.O. Bond	72,416	RP028 Arcades at Playland
5/6/2019	G.O. Bond	72,241	RGP09 General Infrastructure Vehicle Acquisition for Parks
2/24/2014	G.O. Bond	71,022	RB03K Access ramp to the Northbound Saw Mill River Parkway at Ashford Avenue Bridge, Ardsley
10/9/2018	G.O. Bond	70,000	BPL26 Funding for an engineering study to develop a solution for flooding in Rye Brook, Avon Circle area
11/13/2012	G.O. Bond	67,908	BIT4A Employee Productivity Systems 2008 - 2012 for Countywide software upgrades
1/14/2019	G.O. Bond	67,616	RB187 Rehab of roadway - Grasslands Rd to Saw Mill Parkway southbound entrance ramp
6/11/2018	G.O. Bond	66,683	T034A Upgrade public address system at Cerrato garage in Valhalla
11/14/2016	G.O. Bond	65,967	WD104 County Water District #1 Shaft 22 & Kensico Dam Performance Maintenance
12/6/2010	G.O. Bond	65,132	RB162 Improvements to Ashford Avenue in Village of Dobbs Ferry
6/3/2019	G.O. Bond	65,000	T034A Various Improvements at CMF in Yonkers and Bus Facility at Valhalla campus
3/4/2016	G.O. Bond	62,268	BPL30 104 Pine Street, Cortlandt- Cost of acquisition
5/16/2016	G.O. Bond	60,874	T009G Acquisition of Paratransit Vehicles and Associated Equipment For Use County Wide
10/30/2017	G.O. Bond	59,897	RD016 Design Construction of Gas Collector and Storm Water Trench Croton Point
11/9/2015	G.O. Bond	56,631	RCC13 County Center informational boards
4/16/2013	G.O. Bond	53,584	BIT7A Global positioning systems - Phase II
5/2/2016	G.O. Bond	53,514	T0058 Fire Detection System Central Maint Yonkers
4/23/2018	G.O. Bond	52,985	B0098 Mechanical equipment upgrade, White Plains Complex
1/11/2011	G.O. Bond	52,647	BPL10 New Homes land Acquisition Palmer Ave in Village of Larchmont
5/11/2015	G.O. Bond	51,411	BPS23 Vehicle acquisition and replacement
10/18/2019	G.O. Bond	50,000	WCC75 WCC Technology Upgrades (PCs, Laptops, printers, scanners and phone replacements)
2/19/2014	G.O. Bond	48,046	BPS22 Emergency Response Vehicle for Hazardous Devices Unit
6/3/2013	G.O. Bond	46,883	BPS11 Firearms training simulator and a personnel transport vehicle for Dept. Public Safety
9/25/2017	G.O. Bond	45,525	T034A Diesel Exhaust Fuel Storage Tank and Dispenser at Central Maintenance Facility in Yonkers
9/18/2018	G.O. Bond	45,292	RGP09 Acquisition of existing heavy equipment including pickup trucks, sanitation vehicles, tractors etc
12/14/2015	G.O. Bond	44,566	BPL50 Rehabilitation cost of 437 Saw Mill River Road, Millwood
4/4/2016	G.O. Bond	44,369	WCC76 Technology upgrade on campus - 2011/12-2015/16
4/16/2018	G.O. Bond	43,794	WCC76 Technology Upgrade on Campus - WCC
11/5/2018	G.O. Bond	43,355	BCR51 Replacement of aging motor pool vehicles with excessive mileage/rust/deterioration
5/21/2018	G.O. Bond	42,694	BLR2D Acquisition of new and replacement equipment for Dept of Labs & Research
12/12/2011	G.O. Bond	41,205	BLA01 Acquisition of Golbert Property in towns of Yorktown and New Castle under Westchester Legacy prc
10/16/2017	G.O. Bond	40,040	BDA01 Acquisition of Information Technology Equipment, Software and Systems for DA's Office
5/16/2016	G.O. Bond	40,000	BPL50 3271 Lincoln Drive Yorktown
4/22/2014	G.O. Bond	39,915	SW010 Asset Management Program for DEF Facilities
6/11/2018	G.O. Bond	39,201	T034A Replacement of the computer room HVAC system at Cerrato garage in Valhalla
1/22/2013	G.O. Bond	39,086	BCR5B Design & construct infrastructure improvmts. at Corrections

County of Westchester
Schedule A Part 2
9/30/2020

<u>Date of Authorization</u>	<u>Type of Obligation</u>	<u>Amount to be Issued</u>	<u>Object or Purpose for which Authorized</u>
6/11/2018	G.O. Bond	37,786	T009G Purchase of replacement paratransit vehicles and associated equipment
4/18/2016	G.O. Bond	34,991	BPL50 Property Aquisition
12/12/2013	G.O. Bond	32,859	RGC14 Dunwoodie Golf Course water irrigation system replacement
4/27/2015	G.O. Bond	31,456	WCC79 Roof replacement various buildings for WCC (phase I & II)
4/16/2018	G.O. Bond	31,132	WCC73 Technology Infrastructure Improvements - WCC
11/27/2017	G.O. Bond	30,601	WCC75 Phase IV of Technology Upgrade Program at Westchester Community College-Off Campus
7/17/2017	G.O. Bond	29,994	T035A Acquisition of Bus Jack Stands
12/10/2013	G.O. Bond	29,603	RPA01 Pathways and trails rehab. including Saxon Woods, Twin Lakes Park, and Nature Study Woods
5/16/2016	G.O. Bond	29,400	BPL50 5 Liberty Way
6/20/2016	G.O. Bond	29,330	RB163 Harney Road (CR 2) Eastchester Rehabilitation Amending Bond Act (198-2013)
6/19/2017	G.O. Bond	28,575	B035D Acquisition of Equipment for Dept of Public Works and Transportation
8/1/2016	G.O. Bond	28,000	BPL50 3841 Valleyview Street North Castle - PMC
10/17/2016	G.O. Bond	27,479	BPL50 108 Nottingham Rd, Unit G, Bedford Acquisition and Settlement Cost
11/27/2017	G.O. Bond	27,467	BPR01 Acquisition of Vehicles for the Department of Probation
9/12/2016	G.O. Bond	27,200	BPL50 Property Aquisition
5/8/2017	G.O. Bond	27,080	RSW01 Saxon Woods Park Site Work - Playground and Safety Surface
5/6/2013	G.O. Bond	27,064	BIT6B Purchase of computer equipment 2011-2015
6/6/2016	G.O. Bond	26,000	BPL50 3758 Old Jefferson Valley Road Town of Yorktown - Settlement & Property Management Cost
2/13/2012	G.O. Bond	25,315	BPS11 Acquisition of new Patrol Boat for Pub. Safety
5/16/2016	G.O. Bond	24,300	BPL50 3271 Lincoln Drive Yorktown
8/1/2016	G.O. Bond	24,150	BPL50 142 Stone Meadow Court North Castle - PMC
10/17/2016	G.O. Bond	24,100	BPL50 41 Church St Bedford
6/6/2016	G.O. Bond	24,000	BPL50 1633 Strawberry Road Town of Yorktown - Marketing & Property Mgmt
11/9/2015	G.O. Bond	23,314	BPL50 Construction improvements 80 Bowman Avenue, Village of Rye Brook
11/14/2016	G.O. Bond	23,043	BCR54 Laundry Equipment - Corrections
9/16/2016	G.O. Bond	22,776	BPL50 Property Aquisition
12/8/2014	G.O. Bond	22,689	B042B Roof replacement program (2012 - 2017)
1/9/2017	G.O. Bond	22,652	BDA01 IT Upgrade to District Attorney's Office
8/1/2016	G.O. Bond	22,600	BPL50 3 Maryland Avenue North Castle Property Mngmt Cost
6/6/2016	G.O. Bond	22,100	BPL50 23 General Health Avenue Town of North Castle - Settlement & Property Management Cost
9/3/2013	G.O. Bond	22,024	B035C Heavy equipment replacement program (2012 - 2016)
10/17/2016	G.O. Bond	22,000	BPL50 280 Bleakley, Buchanan
6/6/2016	G.O. Bond	22,000	BPL50 914 Wynnewood Road Village of Pelham Manor - Settlement & Property Management Cost
2/24/2014	G.O. Bond	21,916	BLR2C Labs and Research Equipment Acquisition (2011 - 2015)
3/7/2016	G.O. Bond	21,825	BPL50 104 Pine Street, Cortlandt - Property management, maintenance and utilities
4/27/2015	G.O. Bond	21,804	BLR2C Labs and Research equipment acquisition (2011-2015)

County of Westchester
Schedule A Part 2
9/30/2020

<u>Date of Authorization</u>	<u>Type of Obligation</u>	<u>Amount to be Issued</u>	<u>Object or Purpose for which Authorized</u>
4/9/2018	G.O. Bond	21,696	P0025 Rehabilitation of Bronx River Parkway Northbound Ramp over BRP to Cross County Parkway West
4/18/2016	G.O. Bond	21,588	BPL50 17 Broadway Unit 2c Harrison
7/31/2012	G.O. Bond	21,579	RGC18 Maple Moor Golf Course - construction of complete rehabilitation of the cart path system
4/18/2016	G.O. Bond	21,513	BPL30 112 Village Rd Yorktown
12/6/2010	G.O. Bond	21,109	WD103 County District #1 Feasability Study
2/25/2013	G.O. Bond	21,005	BPL23 Stormwater management - restoration of wetland and river bank in Town of Greenburgh
10/17/2016	G.O. Bond	21,000	BPL50 208 Centre St, Buchanan
10/17/2016	G.O. Bond	21,000	BPL50 108 Nottingham Rd, Unit G, Bedford Marketing and Management Cost
4/18/2016	G.O. Bond	20,806	BPL50 Property Aquisition
5/11/2015	G.O. Bond	20,382	BIT6B Purchase of computer equipment (2011 - 2015)
11/9/2015	G.O. Bond	20,358	BPL50 Acquisition of 580 Bedford Road, Pleasantville
5/2/2016	G.O. Bond	20,233	T0064 In Ground Bus Lifts
6/6/2016	G.O. Bond	20,000	BPL50 7 Ridge Street Town of Bedford - Marketing & Property Mgmt
11/9/2015	G.O. Bond	20,000	BPL50 Acquisition of 580 Bedford Road, Pleasantville
4/18/2016	G.O. Bond	19,711	BPL50 Property Aquisition
3/7/2016	G.O. Bond	19,320	BPL50 164 Phyllis Court, Yorktown - Property management, maintenance and utilities
7/18/2016	G.O. Bond	19,000	BPL50 Acquisition at 124 Lake Kitchawan Drive Lewisboro Settlement & Property Management Cost
5/6/2013	G.O. Bond	18,950	BIT4B Employee productivity systems 2013-2017 - acquisition of reusable software components
3/7/2016	G.O. Bond	18,306	BPL50 27 Walden Court, Unit #M Yorktown - Property management, maintenance and utilities
2/19/2014	G.O. Bond	18,247	BPS18 Armory/Public Safety Academy upgrade
4/22/2013	G.O. Bond	17,523	RLAS3 New maintenance facility at Lasdon Park and Aboretum
11/9/2015	G.O. Bond	17,468	BSS14 Infrastructure improvements to shelter facilities - Coachman family center
9/26/2016	G.O. Bond	17,320	BES16 Replacement Vehicles for DES
9/12/2016	G.O. Bond	16,600	BPL50 6 Myrtle Drive Somers
11/9/2015	G.O. Bond	16,211	BPL50 Acquisition of 25 Oak Street, Montrose, Town of Cortlandt
4/21/2015	G.O. Bond	16,002	BES05 DES main facility rehabilitation
3/21/2016	G.O. Bond	15,930	BIT4B Employee productivity systems 2013 - 2017
6/6/2016	G.O. Bond	15,200	BPL50 194 Ketchum Avenue Village of Buchanan - Marketing & Property Mgmt
10/18/2010	G.O. Bond	15,000	BLA01 Conservation Easement-600 Milton Rd, Rye - Bird Homestead
9/12/2016	G.O. Bond	14,000	BPL50 Property Aquisition
3/24/2014	G.O. Bond	13,941	B035C Heavy equipment replacement program (2012-2016)
1/5/2015	G.O. Bond	13,825	RGP09 General Infrastructure Vehicle Replacment II
5/16/2016	G.O. Bond	13,815	BPL50 3271 Lincoln Drive Yorktown
4/4/2016	G.O. Bond	13,252	BIT6C Purchase of computer equipment (2016 - 2020)
6/8/2016	G.O. Bond	13,000	BPL50 22 Pierce Street Town of Cortlandt - Property Mgmt Cost
5/16/2016	G.O. Bond	12,500	BPL50 Property Aquisition

County of Westchester
Schedule A Part 2
9/30/2020

<u>Date of Authorization</u>	<u>Type of Obligation</u>	<u>Amount to be Issued</u>	<u>Object or Purpose for which Authorized</u>
5/6/2013	G.O. Bond	12,488	BIT3B Desktop / laptop systems 2012-2016
1/14/2019	G.O. Bond	12,290	RB03H Rehab of Bridge- Midland Avenue over Bronx River Parkway, Yonkers
6/6/2016	G.O. Bond	12,261	BPL50 3 Fowler Avenue Town of Cortlandt - Construction Rehab
10/27/2014	G.O. Bond	12,137	RB167 Halstead Avenue, CR80A Harrison
8/6/2012	G.O. Bond	12,019	RB03R Construction and construction management to surrounding structures at Ashford Avenue Bridge
9/26/2016	G.O. Bond	12,017	BCR51 Fleet Vehicle Replacement
7/17/2017	G.O. Bond	11,968	BCR51 Cost of Replacement of Motor Pool Vehicles for Corrections Department
4/18/2016	G.O. Bond	11,679	BPL30 9 Watson St-Cortlandt
7/17/2017	G.O. Bond	11,217	T009G Acquisition of Paratransit Vehicles and Associated Equipment
11/9/2015	G.O. Bond	10,706	RGP09 General infrastructure vehicle replacement II
5/28/2013	G.O. Bond	10,529	SSM74 Pump station rehabilitation - Saw Mill SSD
3/28/2011	G.O. Bond	10,174	RGI03 Glen Island bridge rehab & construction management for Bridge repair
5/8/2017	G.O. Bond	10,088	BES16 Vehicle Replacement Dpt Emergency Services
8/1/2016	G.O. Bond	10,000	BPL50 3841 Valleyview Street North Castle - Settlement Construction
6/20/2016	G.O. Bond	10,000	BPL30 200 Reader's Digest Road Chappaqua Affirmatively Furthering Affordable Housing Amend
5/16/2016	G.O. Bond	10,000	BPL50 Property Acquisition
11/9/2015	G.O. Bond	10,000	BPL30 Acquisition of 80 Bowman Avenue, Village of Rye Brook
11/24/2014	G.O. Bond	10,000	BPL50 Acquisition and improvements to 54 Hunts Place, New Castle
4/28/2014	G.O. Bond	9,973	BIT32 Radio system replacement - Phase I & II of County Wireless system
2/24/2014	G.O. Bond	9,575	BIT17 Network Infrastructure Upgrade - acquisition and installation of network equipment
11/9/2015	G.O. Bond	9,462	BPL50 Acquisition of 11 Westview Avenue, North Salem
7/18/2016	G.O. Bond	9,411	T0045 Installation of a Bus Wash System at Cerrato Maintenance Facility
5/5/2014	G.O. Bond	9,179	BIT4B Employee Productivity Systems - 2013 - 2017
7/17/2017	G.O. Bond	9,089	BPS25 Cost of Installation of New Building Management System for Public Safety
10/4/2010	G.O. Bond	9,062	WCC68 Admin Bldg Renovation for WCC
5/6/2013	G.O. Bond	8,861	BIT17 Network infrastructure upgrade - including network equipment , management and security software
4/4/2016	G.O. Bond	8,652	BIT9A Geographic information systems (2015 - 2019)
5/11/2015	G.O. Bond	8,630	BIT35 Network infrastructure upgrade (2015 - 2019)
5/11/2015	G.O. Bond	8,408	BIT34 Video surveillance security upgrade
4/27/2015	G.O. Bond	8,270	RB03S Fulton Avenue Bridge Span Drives, power and control system upgrades
6/6/2016	G.O. Bond	8,011	BIT39 Telephone & Associated Equipment - Acquisition
7/18/2016	G.O. Bond	7,615	BPL50 Acquisition at 124 Lake Kitchawan Drive Lewisboro
8/31/2015	G.O. Bond	7,496	RB128 Pleasantville Road, C.R. 40I, 40II and 106, Briarcliff Manor, Mount Pleasant and Pleasantville
9/4/2018	G.O. Bond	7,439	BIT42 DOIT purchase & installation of new and replacement equipment for print/mailling system
6/6/2011	G.O. Bond	7,154	BPL10 Acquisition of 7 rental apartments at 37 Wildwid Road Hamlet Katonah
4/5/2012	G.O. Bond	7,110	RGC18 Design - Rehabilitation of cart paths at Maple Moor golf course

County of Westchester
Schedule A Part 2
9/30/2020

<u>Date of Authorization</u>	<u>Type of Obligation</u>	<u>Amount to be Issued</u>	<u>Object or Purpose for which Authorized</u>
11/3/2014	G.O. Bond	6,992	B077D Infrastructure rehabilitation Valhalla campus (2014 - 2018)
3/12/2012	G.O. Bond	6,959	BPL50 Infrastructure only 445 North State Rd Village of Briarcliff Manor
8/21/2012	G.O. Bond	6,800	SM075 East Basin, West Basin and Edgewater Point pump for Mamaroneck Sanitary Sewer District
5/7/2013	G.O. Bond	6,787	BIT15 E-911 emergency communication system equipment and software
2/22/2016	G.O. Bond	6,775	BLR2D Labs and Resaearch equipment acquisition (2016 - 2020)
9/4/2018	G.O. Bond	6,709	BIT35 DOIT fund network equipment & wiring, Implementation services, network mgmt & security software
10/15/2012	G.O. Bond	6,263	BIT3B Cost of desktop and laptop computers in District Attorney's Office
5/14/2018	G.O. Bond	5,992	B0118 Replacement of aging motor pool vehicles with excessive mileage/rust/deterioration
3/21/2013	G.O. Bond	5,706	BCR5B Video conference
11/25/2013	G.O. Bond	5,589	RB117 Design and construction of Mill Road, CR, 82, Eastchester and New Rochelle
10/19/2015	G.O. Bond	5,494	BPL1A 147, 165 and 175 Railroad Avenue, Bedford Hills Infrastructure improvements
3/7/2016	G.O. Bond	5,341	BPL30 27 Walden Court, Unit #M Yorktown - Cost of acquisition
9/26/2016	G.O. Bond	5,316	B077C Upgrade door hardware at MV DO
11/9/2015	G.O. Bond	5,165	BPL50 Acquisition of 25 Oak Street, Montrose, Town of Cortlandt
5/16/2016	G.O. Bond	5,000	BPL50 Property Aquisition
8/4/2014	G.O. Bond	4,917	RB111 Reconstruction of North Division Street, CR 63II, Peekskill
4/16/2012	G.O. Bond	4,845	BIT17 Computer network replacements, implementation services, and network management / security soft
11/9/2015	G.O. Bond	4,642	BPL50 Acquisition of 580 Bedford Road, Pleasantville
4/7/2014	G.O. Bond	4,429	B0085 Rehabilitation of MOB Elevator Infrastructure
4/20/2015	G.O. Bond	4,320	B035C Heavy equipment replacement program (2012-2016)
8/1/2016	G.O. Bond	4,260	BPL50 142 Stone Meadow Court North Castle - Acquisition
6/3/2013	G.O. Bond	4,037	BPS21 Acquisition of replacement patrol vehicles as well as in-car computer/video systems
12/12/2013	G.O. Bond	4,005	RLEN1 Lenox Preserve, Yonkers infrastructure and site work improvements
4/4/2016	G.O. Bond	3,992	WCC75 Technology upgrade off campus - 2011/12-2015/16
9/18/2012	G.O. Bond	3,913	BPL50 Property Aquisition
3/12/2018	G.O. Bond	3,833	BPS22 Acquisition Tactical Rook Ballistic Barrier Shield Pub Safety
4/16/2012	G.O. Bond	3,676	BIT6B Installation of countywide computer equipment
6/1/2015	G.O. Bond	3,626	T0059 Replace three sets of Steril-Kont portable lifts
6/6/2016	G.O. Bond	3,338	BPL50 3758 Old Jefferson Valley Road Town of Yorktown - Acquisition
6/2/2014	G.O. Bond	3,320	BIT3B Desktop Systems 2012 - 2016
11/14/2016	G.O. Bond	3,265	BPL50 214 Hillside Place Eastchester
11/1/2016	G.O. Bond	3,201	BPL50 10 West Main Hastings
11/13/2012	G.O. Bond	3,171	RGIP5 General Infrastructure - Roof replacement/construction at various locations
11/29/2012	G.O. Bond	2,967	RLAS1 Lasdon Infrastructure and site work - Lasdon Park and arboretum In Town of Somers
1/10/2013	G.O. Bond	2,925	BCR49 Repair and reconstruction of roads and fencing at County Corrections facility, Valhalla campus
5/5/2014	G.O. Bond	2,914	B015C Interior infrastructure repairs, White Plains Complex

County of Westchester
Schedule A Part 2
9/30/2020

<u>Date of Authorization</u>	<u>Type of Obligation</u>	<u>Amount to be Issued</u>	<u>Object or Purpose for which Authorized</u>
6/8/2015	G.O. Bond	2,830	T0049 Replacement of body shop / paint booth air control systems
6/13/2016	G.O. Bond	2,481	BPL50 256 Westchester Avenue Town of Pound Ridge - Acquisition
6/1/2015	G.O. Bond	2,394	B0107 Fleet vehicle replacement - acquisition
6/6/2016	G.O. Bond	2,247	BPL50 23 General Health Avenue Town of North Castle - Acquisition
6/6/2016	G.O. Bond	2,238	BPL50 7 Ridge Street Town of Bedford - Acquisition
10/17/2016	G.O. Bond	2,095	BPL50 2906 Old Yorktown Rd, Yorktown
11/10/2014	G.O. Bond	2,001	B0107 Fleet vehicle replacement
2/25/2019	G.O. Bond	1,933	B035D Equipment Replacement Program under Department of Public Works and Transportation
10/21/2014	G.O. Bond	1,891	T0054 On-board passenger counters for Bee-Line buses
9/12/2016	G.O. Bond	1,881	BPL50 70 West St Unit A8 Harrison
9/10/2012	G.O. Bond	1,859	B035B Heavy Equipment Replacement Program (2006 - 2010) - replacement of two dump trucks
7/18/2016	G.O. Bond	1,859	B035C Heavy Equipment Replacement - Acquisition
5/27/2015	G.O. Bond	1,830	BCR51 Fleet Vehicle Replacement
5/22/2017	G.O. Bond	1,784	RGP09 Acquisition of vehicles & equipment for Parks Dept
6/6/2016	G.O. Bond	1,615	BPL50 914 Wynnewood Road Village of Pelham Manor - Acquisition
3/11/2014	G.O. Bond	1,558	BDA01 Information Technology installation and improvements for DA Office facilities
5/2/2016	G.O. Bond	1,539	T0057 Install new centralized Vacuum System
9/12/2016	G.O. Bond	1,511	BPL50 30 Grove Rd N.W.P.
4/18/2016	G.O. Bond	1,510	BPL50 Acquisition and financing of 72 Croton Ave Cortlandt
10/19/2015	G.O. Bond	1,054	BCC05 Licensing division IT upgrades
8/31/2015	G.O. Bond	1,032	BDA01 Information Technology upgrades at District Attorney's Office
10/17/2016	G.O. Bond	1,026	BPL50 280 Bleakley, Buchanan
4/18/2016	G.O. Bond	1,001	BPL50 17 Broadway Unit 2c Harrison
4/18/2016	G.O. Bond	1,001	BPL50 FAH 491 Franklin Street, Village of Rye Brook
4/18/2016	G.O. Bond	1,001	BPL50 Land acquisition at 240 Halstead Ave, Town/Village of Harrison
5/2/2016	G.O. Bond	1,001	BPL50 20 Oregon Ave, Bronxville
4/18/2016	G.O. Bond	1,001	BPL50 Acquisition and financing of 208 Harrison Road, Bedford Hills, in Town of Bedford
4/18/2016	G.O. Bond	1,000	BPL50 106 Lake Kitchawan Dr, Lewisboro
4/18/2016	G.O. Bond	1,000	BPL50 Acquisition and financing of 60 Oregon Avenue, Town of Eastchester
4/18/2016	G.O. Bond	1,000	BPL50 Property Acquisition
8/1/2016	G.O. Bond	1,000	BPL50 Improvements for a Project Located at 57 Route 6 Baldwin Place
6/6/2016	G.O. Bond	1,000	BPL50 734 Warren Avenue Town of Mount Pleasant - Acquisition
6/6/2016	G.O. Bond	1,000	BPL50 1633 Strawberry Road Town of Yorktown - Acquisition
5/16/2016	G.O. Bond	1,000	BPL50 20 Tigh Rd Somers
4/18/2016	G.O. Bond	1,000	BPL50 Unit A-17 70 West Street Harrison
4/18/2016	G.O. Bond	1,000	BPL50 Acquisition of 176 Henry Street, Village of Buchanan

County of Westchester
Schedule A Part 2
9/30/2020

<u>Date of Authorization</u>	<u>Type of Obligation</u>	<u>Amount to be Issued</u>	<u>Object or Purpose for which Authorized</u>
4/18/2016	G.O. Bond	1,000 BPL50	Acquisition of 106 Nottingham Road, Unit #B, Bedford Hills
12/14/2015	G.O. Bond	1,000 BPL50	Acquisition and settlement cost of 437 Saw Mill River Road, Millwood
11/9/2015	G.O. Bond	1,000 BPL50	Acquisition of 11 Westview Avenue, North Salem
9/8/2015	G.O. Bond	1,000 BPL50	Acquisition and financing of 100 Cedar Street in Village of Dobbs Ferry
8/3/2015	G.O. Bond	1,000 BPL50	Land acquisition and financing at 300 King St Town of New Castle
6/2/2014	G.O. Bond	1,000 WCC78	Safety and security improvements - Campus Wide Phase III - WCC
12/10/2012	G.O. Bond	999 SY043	Reconstruction and Rehabilitation
8/1/2016	G.O. Bond	982 BPL50	3 Maryland Avenue North Castle Acquisition
9/10/2012	G.O. Bond	936 B035C	Skid-Steer and Plow Truck
5/12/2014	G.O. Bond	921 BES13	Fire training site improvements phase II
10/9/2018	G.O. Bond	872 BIT46	Purchase of software, hardware, network, and other services needed to upgrade POS system at Pl
4/23/2018	G.O. Bond	837 B035D	Acquisition of equipment associated with maintenance of roads
5/23/2011	G.O. Bond	703 B014C	White Plains Complex Bldg exterior rehab and repairs 2011-2015
2/9/2015	G.O. Bond	648 RCC11	County Center Interior rehabilitation - City of White Plains
4/10/2012	G.O. Bond	566 BIT3A	Desktop Systems 2007 - 2011
9/12/2016	G.O. Bond	531 BPL50	Property Acquisition
6/2/2014	G.O. Bond	525 WCC76	Technology upgrade on Campus (Phase 1) WCC
9/18/2018	G.O. Bond	503 RB143	Design & construction for rehab of roadway from Grandview Ave to Greeley Ave
6/5/2017	G.O. Bond	500 BES17	Cost of Acquisition of a Rescue Pumper Vehicle for Emergency Services
4/18/2016	G.O. Bond	500 BPL50	34 Lockwood Rd, Cortlandt
4/27/2015	G.O. Bond	481 RB2UU	Fulton Avenue Bridge over Hutchinson River, Mount Vernon and Pelham Manor
4/3/2017	G.O. Bond	458 BIT35	Purchase & Installation of Network Equipment
4/24/2017	G.O. Bond	453 BIT4B	Employee Productivity Systems 2013-2017
3/7/2016	G.O. Bond	425 BIT3B	Desktop Systems - 2012 - 2016
10/15/2012	G.O. Bond	416 BPL50	Cost of acquisition for land improvements at 525 Ellendale Avenue in Village of Rye Brook
1/23/2017	G.O. Bond	377 B0107	Replacement Vehicles for Motor Pool
5/5/2014	G.O. Bond	375 BPS23	ACCESSORIES INCL 8 IN CAR COMPUTER/VIDEO SYSTEMS
4/9/2018	G.O. Bond	368 T001S	Acquisition of various articulated buses for the Non-Central Ave routes
4/18/2016	G.O. Bond	280 BPL50	106 Lake Kitchawan Dr, Lewisboro
5/16/2016	G.O. Bond	279 BPL50	Property Acquisition
9/19/2011	G.O. Bond	270 WCC78	Phase 2 costs of security improvements at WCC
3/10/2014	G.O. Bond	264 BIT34	Video surveillance equipment for various county facilities
10/3/2011	G.O. Bond	240 WCC63	improvements at WCC including parking walkways and kiosks
5/5/2014	G.O. Bond	231 BPS23	Vehicle Acquisition and replacement for Dept of Public Safety
5/14/2018	G.O. Bond	216 B0107	Replacement of aging motor pool vehicles with excessive mileage/rust/deterioration
7/15/2019	G.O. Bond	197 RP006	Rehab historical sites

County of Westchester
Schedule A Part 2
9/30/2020

<u>Date of Authorization</u>	<u>Type of Obligation</u>	<u>Amount to be Issued</u>	<u>Object or Purpose for which Authorized</u>
9/14/2014	G.O. Bond	196	RCC10 County Center Site Work - Construction
3/3/2014	G.O. Bond	164	BIT6B Purchase of Computer Equipment - 2011-2015
5/6/2013	G.O. Bond	148	BES12 County public access - automated external defibrillation (AED) equipment
1/23/2013	G.O. Bond	143	BIT6B Purchase of computer equipment - 2011-2015
10/20/2014	G.O. Bond	130	BES10 Smoke House repairs for DES in Grasslands
3/20/2012	G.O. Bond	128	RB108 Improvements to CR #87, North Broadway, White Plains
8/22/2011	G.O. Bond	125	T0034 Lift System improvements to central bus - maintenance facilities infrastructure
6/2/2014	G.O. Bond	85	WCC75 Technology upgrade Off Campus 2011/12-2015/16 - WCC
6/20/2016	G.O. Bond	81	RB03J Halstead Avenue Bridge Mamroneck Reconstruction Amending Bond Act (214-2013)
4/4/2016	G.O. Bond	74	BIT35 Network Infrastructure upgrade (2015 - 2019)
5/16/2016	G.O. Bond	72	BPL50 5 Liberty Way-Somers
10/22/2012	G.O. Bond	57	RD016 Study and design for existing gas extration wells at Croton Point Park
5/11/2015	G.O. Bond	40	BIT9A Geographic Information systems (2015 - 2019)
9/26/2016	G.O. Bond	28	RGSL1 Replace Irrigation - Sprain Lake Golf
6/7/2011	G.O. Bond	27	RMH01 Capital Projects for Miller Farmhouse
7/17/2017	G.O. Bond	17	BPS24 Acquisition of Body Cameras for County Police
8/31/2015	G.O. Bond	16	T009G ParaTransit vehicles
5/11/2015	G.O. Bond	4	BES05 DES main facility rehabilitation - partial reconstruction
6/27/2011	G.O. Bond	4	BIT26 Improvements of existing County wide digital base map
9/26/2016	G.O. Bond	1	BPS23 Vehicle Aquisition & Replacement
9/26/2016	G.O. Bond	1	RGP09 General Infrastructure Vehicle Replacement II
11/9/2015	G.O. Bond	1	BPL50 Construction improvements of 200 Reader's Digest Road, Chappaqua

Exhibit "J"

DRAFT

2020 City and Town Tax Rates

7/8/2020

Municipality	SWIS Code	Parcels	Unincorporated Taxable Assessed Value	Unincorporated Tax Rate Per \$1,000	General Taxable Assessed Value	General Tax Rate per \$1,000
City of Mount Vernon	5 5 0 8 0 0	11,189			\$148,153,163	410.799620
City of New Rochelle	5 5 1 0 0 0	15,762			\$264,405,752	235.432000
City of Peekskill*	5 5 1 2 0 0	6,418			\$63,069,658	273.665670
* There is also a Library Tax		6,418			\$67,033,536	17.573290
City of Rye	5 5 1 4 0 0	4,947			\$140,700,295	180.060000
City of White Plains	5 5 1 7 0 0	14,075			\$283,769,745	224.520000
City of Yonkers	5 5 1 8 0 0	34,619			\$456,610,034	300.860000
Town of Bedford	5 5 2 0 0 0	6,296			\$591,460,491	39.467600
Town of Cortlandt**	5 5 2 2 0 0	15,364	\$81,703,141	183.83000	\$109,364,779	31.200000
** There is also a town library tax, which applies to the entire town except Village of Croton-on-Hudson		12,065			\$88,633,512	7.170000
Town of Eastchester	5 5 2 4 0 0	9,329	\$54,416,150	306.29990	\$101,100,414	36.885100
Town of Greenburgh	5 5 2 6 0 0	29,104	\$10,442,661,606	6.12112	\$20,593,448,296	0.452432
Town of Harrison	5 5 2 8 0 0	7,030			\$128,671,768	370.782747
Town of Lewisboro	5 5 3 0 0 0	5,870			\$294,084,865	23.940000
Town of Mamaroneck	5 5 3 2 0 0	8,981	\$4,294,625,309	2.30231	\$9,749,092,921	0.493872
Town of Mount Kisco	5 5 5 6 0 0	2,797	See Village Tax Rate Table	See Village Tax Rate Table	See Village Tax Rate Table	See Village Tax Rate Table
Town of Mount Pleasant	5 5 3 4 0 0	14,291	\$105,528,963	79.208125	\$143,637,384	8.796471
Town of New Castle	5 5 3 6 0 0	6,732			\$1,065,217,953	15.708382
Town of North Castle	5 5 3 8 0 0	4,815			\$120,980,532	171.840284
Town of North Salem	5 5 4 0 0 0	2,461			\$1,392,891,115	4.615451
Town of Ossining	5 5 4 2 0 0	10,176	\$963,603,662	5.442916	\$5,000,985,062	0.759922

Town of Pelham – Homestead	5 5 4 4 0 0	3,254			\$2,972,608,121	0.453680
Town of Pelham – Non-Homestead	5 5 4 4 0 0	450			\$464,063,307	0.611000
Town of Pound Ridge	5 5 4 6 0 0	2,488			\$376,543,750	14.739500
Town of Rye – Homestead	5 5 4 8 0 0	9,535			\$5,620,203,088	0.092613
Town of Rye – Non-Homestead	5 5 4 8 0 0	1,781			\$1,734,613,493	0.140742
Town of Scarsdale	5 5 5 0 0 0	5,949	See Village Tax Rate Table	See Village Tax Rate Table	See Village Tax Rate Table	See Village Tax Rates
Town of Somers	5 5 5 2 0 0	9,323			\$498,912,953	15.139732
Town of Yorktown	5 5 5 4 0 0	14,403			\$129,403,013	168.347881

Please note that the general town tax rate is charged throughout a town including villages, if any. The unincorporated tax rate is charged in town areas outside of villages in towns that have villages. Therefore, if you live in the unincorporated area of a town that has villages you must add the two rates together to compute your total tax rate. In Green burgh the general town rate is charged only in the villages and the unincorporated rate is charged only outside the villages.

2020 Municipal County Tax Rates for the County General Levy

6/9/2020

Municipality	SWIS Code	Parcels	Taxable Assessed Value	Tax Rate Per \$1,000
City of Mount Vernon	5 5 0 8 0 0	11,189	\$147,436,643	121.011096
City of New Rochelle	5 5 1 0 0 0	16,085	\$264,529,775	125.986000
City of Peekskill	5 5 1 2 0 0	6,418	\$63,101,258	96.796500
City of Rye	5 5 1 4 0 0	4,947	\$140,622,000	198.249207
City of White Plains	5 5 1 7 0 0	14,066	\$283,769,745	122.080000
City of Yonkers	5 5 1 8 0 0	36,542	\$462,713,772	136.760000
Town of Bedford	5 5 2 0 0 0	6,296	\$591,129,291	27.818698
Town of Cortlandt	5 5 2 2 0 0	15,364	\$109,397,076	200.190000
Town of Eastchester	5 5 2 4 0 0	9,329	\$101,100,414	278.700300
Town of Greenburgh	5 5 2 6 0 0	29,104	\$20,637,704,296	3.095230
Town of Harrison	5 5 2 8 0 0	7,030	\$126,907,207	215.448859
Town of Lewisboro	5 5 3 0 0 0	5,870	\$294,084,865	32.157616
Town of Mamaroneck	5 5 3 2 0 0	8,981	\$9,748,244,585	3.093281
Town of Mount Kisco	5 5 5 6 0 0	2,797	\$291,695,578	19.668388
Town of Mount Pleasant	5 5 3 4 0 0	14,291	\$143,297,178	220.468468
Town of New Castle	5 5 3 6 0 0	6,732	\$1,065,080,953	16.214100
Town of North Castle	5 5 3 8 0 0	4,815	\$120,858,834	134.191722
Town of North Salem	5 5 4 0 0 0	2,461	\$1,392,500,525	3.082666
Town of Ossining	5 5 4 2 0 0	10,176	\$4,975,664,841	3.087031
Town of Pelham	5 5 4 4 0 0	3,704	\$3,407,480,398	2.923780
Town of Pound Ridge	5 5 4 6 0 0	2,488	\$376,543,750	16.732800
Town of Rye	5 5 4 8 0 0	11,316	\$7,311,347,727	3.174954
Town of Scarsdale	5 5 5 0 0 0	5,949	\$8,910,902,479	3.332113
Town of Somers	5 5 5 2 0 0	9,323	\$498,694,818	25.712603
Town of Yorktown	5 5 5 4 0 0	14,403	\$129,602,228	137.998854

2020/2021 Village Tax Rates

Town	Village	S W I S Code	Total Parcels	Taxable Assessed Value	Tax Rate per \$1,000
Town of Cortlandt	Village of Buchanan	5 5 2 2 0 1	836	\$7,145,444	449.50
	Village of Croton-on-Hudson	5 5 2 2 0 3	3,311	\$45,062,239	264.731
Town of Eastchester	Village of Bronxville	5 5 2 4 0 1	1,728	\$3,124,976,279	3.3907
	Village of Tuckahoe	5 5 2 4 0 3	1,996	\$14,344,503	580.262600
Town of Green burgh	Village of Ardsley	5 5 2 6 0 1	1,684	\$1,124,335,968	9.941855
	Village of Dobbs Ferry	5 5 2 6 0 3	2,894	\$2,084,100,419	6.915010
	Village of Elmsford	5 5 2 6 0 5	1,460	\$956,647,805	10.832000
	Village of Hastings on Hudson	5 5 2 6 0 7	2,688	\$1,921,047,085	6.034394
	Village of Irvington	5 5 2 6 0 9	1,992	\$1,932,772,659	7.814700
	Village of Tarrytown	5 5 2 6 1 1	3,421	\$2,153,947,713	8.295400
Town/Village of Harrison	Village of Harrison	5 5 2 8 0 0	7,035	\$128,671,768	370.78275
Town of Mamaroneck (Village of Mamaroneck is also partially in Town of Rye)	Village of Larchmont	5 5 3 2 0 1	1,851	\$2,835,706,221	5.280000
	Village of Mamaroneck - Library	5 5 3 2 0 3	5,289	\$4,281,920,577	0.665300
	Village of Mamaroneck - Village	5 5 3 2 0 3	5,289	\$4,281,920,577	6.119520
Town/Village of Mount Kisco	Village of Mount Kisco	5 5 5 6 0 1	2,797	\$291,702,898	105.0675
Town of Mount Pleasant	Village of Briarcliff Manor	5 5 3 4 0 5	264	\$2,557,081	393.080800
	Village of Pleasantville	5 5 3 4 0 3	2,605	\$120,468,208	96.7924
	Village of Sleepy Hollow (Homestead)	5 5 3 4 0 1	1,542	\$209,468,424	35.632600
	Village of Sleepy Hollow (Non- Homestead)	5 5 3 4 0 1	416	\$57,371,012	88.496600
Town of Ossining	Village of Briarcliff Manor	5 5 4 2 0 1	2,531	\$1,928,481,955	5.503100

Town of Ossining (Continued)	Village of Ossining	5 5 4 2 0 3	5,469	\$2,109,379,276	10.849200
Town of Pelham	Village of Pelham (Homestead)	5 5 4 4 0 3	1,689	\$1,356,301,962	7.1126
	Village of Pelham (Non-Homestead)	5 5 4 4 0 3	225	\$197,920,006	9.8831
	Village of Pelham Manor (Homestead)	5 5 4 4 0 5	1,565	\$1,623,751,325	6.5532
	Village of Pelham Manor (Non-Homestead)	5 5 4 4 0 5	225	\$266,398,725	9.4659
Town of Rye	Village of Mamaroneck	See Under "Town of Mamaroneck"			
	Village of Port Chester (Homestead)	5 5 4 8 0 1	4,306	\$1,844,742,217	8.431205
	Village of Port Chester (Non-Homestead)	5 5 4 8 0 1	1,149	\$1,121,720,632	11.31964
	Village of Rye Brook (Homestead)	5 5 4 8 0 5	3,217	\$2,475,749,184	5.361105
	Village of Rye Brook (Non-Homestead)	5 5 4 8 0 5	238	\$472,788,999	8.530673
Town/Village of Scarsdale	Village of Scarsdale	5 5 5 0 0 0	5,949	\$8,877,795,415	4.709486

2020 Special District Tax Rates (Cities and Towns)

Municipality	District Code	Special District name	Parcels	Taxable Assessed Value (\$) or Units	Tax Rate Per \$1,000 or charge per Unit
City of Mount Vernon	CS001	Hutchinson Valley County Sewer District	8,541	149,242,961	Unable to Obtain
	CS002	Bronx River County Sewer District	2,632	41,060,165	Unable to Obtain
	RF001	County Refuse Disposal District #1	11,173	155,690,866	9.920000
City of New Rochelle	CR001	County Refuse District	16,069	285,212,028	10.572000
	CS000	New Rochelle Sewer District	11,819	252,471,725	51.214000
	CS001	Mamaroneck Sewer District	1,789	32,849,305	23.729000
	CS002	Hutchinson Valley Sewer District	2,462	38,199,941	17.012000
	BID01	Business Improvement District	554	13,678,275	19.023000
City of Peekskill	SD001	Peekskill County Sewer District	6,363	122,524,371	21.447330
	CW001	County Refuse Disposal District #1	6,249	70,231,367	8.107960
	PP002	Parking District 2 (BID)	158	388,077	25.719660
City of Rye	TXREF	County Refuse Disposal District #1	4,941	141,975,369	16.590533
	TXBBS	Blind Brook County Sewer District	4,331	141,438,611	34.870280
	TXMVS	Mamaroneck Valley County Sewer District	610	19,635,808	37.163940
City of White Plains	GA174	County Refuse Disposal District #1	14,073	290,764,400	9.590000
	SB171	Bronx Valley County Sewer District	8,235	225,766,960	16.510000
	SM172	Mamaroneck Valley County Sewer District	5,866	171,604,697	21.600000
City of Yonkers	CW001	County Refuse Disposal District #1	35,912	543,367,636	11.330000
	CS001	Bronx Valley Sewer District #1	19,521	371,040,383	19.510000
	CS002	South Yonkers County Sewer District #2	3,614	87,644,179	13.190000
	CS003	Central Yonkers Sewer District #3	3,598	70,855,389	13.590000
	CS004	North Yonkers County Sewer District #4	4,074	66,789,591	23.250000
	CS005	Saw Mill Valley County Sewer District #5	5,158	85,007,913	21.800000
Town of Bedford	AM001	Paramedic District No. 1	6,258	596,344,597	0.572395
	FD030	Bedford Village Fire District	2,065	231,690,592	4.377825
	PD011	Bedford Village Park District	2,068	232,047,945	3.458409
	LT010	Bedford Village Lighting Dist.	502	42,365,627	0.361557

Town of Bedford (Continued)	FD031	Bedford Hills Fire District	1,968	176,762,543	12.762008
	PD012	Bedford Hills Park District	2,035	191,644,112	4.227716
	LT011	Bedford Hills Light	941	49,029,662	0.732068
	FD032	Katonah Fire District	2,225	172,777,160	7.710369
	PD013	Katonah Park District	2,225	172,785,000	4.375534
	LT012	Katonah Light District	937	52,443,850	0.562335
	WD039	Cedar Downs Water District	91	3,432,104	5.472736
	WD040	Consolidated Water District	2,463	131,372,980	9.262702
	WD042	Farms Water District (Cap)	106	6,424,374	0.000000
	WD041	Farms Water District (O&M)	102	5,573,360	0.000000
	WD043	Old Post Road Water District	83	6,048,422	5.129437
	FD033	Fire Protection District No. 1	90	15,252,355	7.385911
Town of Cortlandt	SD472	Ossining Sanitary Sewer	2,676	18,732,494	47.710000
	SD473	Peekskill Sanitary Sewer	1,555	14,830,571	44.400000
	CW495	County Refuse Disposal #1	14,824	113,861,451	16.560000
	FD411	Montrose Fire District	1,880	16,336,816	49.270000
	FD412	Verplank Fire District	860	4,306,682	50.310000
	FD413	Mohegan Fire District	6,652	48,112,535	94.720000
	WD430	Montrose Water District	865	5,681,844	26.930000
	PK481	Cortlandt Cont. Village Park	252	1,336,290	42.680000
	FD415	Furnace Dock Road Fire Protection	164	1,353,573	40.970000
	FD416	Mt. Airy Quaker Br. Rd. Fire Protection	655	6,632,679	62.360000
	FD418	Continental Village Fire Protection	605	3,142,997	54.540000
	WD457	Cortlandt Consolidated Water District	9,148	65,583,111	15.500000
	LT460	Montrose Lighting District	865	5,715,029	14.010000
	LT 461	Verplank Lighting District	853	3,786,157	7.930000
	LT462	North Cortlandt Lighting District	20	895,599	0.000000
	SD470	Fawn Ridge Sewer District	264	3,865,569	2.590000
	SD478	Skytop Sewer District	98	284,614	7.030000
	SD477	Conklin Park Sewer District	38	167,166	5.990000
	SD498	Lafayette Sewer District	145	1,166,997	7.290000
	SD496	Country Woods Sewer District	56	421,212	3.570000

Town of Cortlandt (Continued)	SD493	Waterbury Manor Sewer District	178	1,081,639	1.850000
	SD492	Hollowbrook Sewer District	51	205,939	14.570000
	SD480	Conklin Park East Sewer District	100	462,070	6.500000
	SD501	Red Oaks Sewer District	83	942,087	26.540000
	SD482	Emery Ridge Sewer District	64	587,774	17.020000
	DD475	Washington Acres Drainage	47	469,205	6.400000
	PK490	Mohegan Lake Improvement	261	1,516,302	11.380000
	DD485	Ridgevale Drainage	38	501,686	19.940000
	DD486	Westchester Mall Drain.	11	1,764,642	5.670000
	DD497	Lafayette Drainage	144	1,156,305	1.300000
	DD491	Hollowbrook Drainage	43	131,622	22.800000
	DD509	Sunset Ridge Drainage	6	54,350	9.200000
	AD 499	Cortlandt Ambulance	2,851	24,193,766	12.610000
	AD501	Cortlandt A.L.S. #2	1,451	6,925,100	12.570000
	AD502	Cortlandt A.L.S. #3	6,646	47,801,736	8.370000
Town of Eastchester	BV024	Bronx Valley Sanitary Sewer District	6,535	88,833,266	40.161200
	HV024	Hutchinson Valley Sanitary Sewer District	2,919	32,973,748	37.466900
	CW024	County Refuse District #1	9,329	102,879,379	23.291600
	TS024	Town Sewer District (Town Outside)	5,554	55,383,052	7.262800
	FD024	Eastchester Fire District (Town Wide)	9,324	102,515,854	172.612400
	GR024	Garth Road Parking District	66	3,757,550	50.002600
Town of Green burgh	FD010	Chauncey Fire Protection District	18	13,831,614	1.843603
	FD006	Donald Park Fire Protection District	479	233,587,210	2.482647
	FD002	East Irvington Fire Protection District	524	245,476,220	0.481920
	FD004	Glenville Fire Protection District	648	407,383,869	0.900625
	FD003	North Elmsford Fire Protection District	1,168	1,255,757,025	0.692859
	FD007	South Ardsley Fire Protection District	719	465,567,905	1.065580
	FD005	West Elmsford Fire Protection District	339	344,567,651	0.769950
	FD701	Fairview Fire District	4,305	2,833,203,738	4.869795
	FD009	Greenville Fire District	2,585	2,655,549,709	3.687584
	FD008	Hartsdale Fire District	3,721	2,208,432,639	5.558349
	WR001	Refuse Disposal District #1	28,223	21,101,582,200	0.258550

Town of Green burgh (Continued)	BV001	Bronx Valley County Sewer District	11,051	8,753,687,736	0.446447
	NY001	North Yonkers County Sewer District	6,003	5,885,645,481	0.530641
	SM001	Saw Mill Valley County Sewer District	12,679	9,376,381,067	0.497381
	CM001	Consolidated Sewer Maintenance	12,846	9,034,539,200	0.231070
	PD001	Greenridge Park District	124	122,031,105	0.003483
	PD002	Consolidated Cotwold Park District	180	230,107,900	0.040416
	SD069	F.A. #4 Sprn. Valley Rd-High Pt.	86	82.40 units	124.745146
	SD070	F.A. #7 South Ardsley	67	66.10 units	49.773071
	SD031	F.A. #9 Orchard Hill	95	95 units	62.263158
	SD071	F.A. #11 Ardsley Rd/Sprn Rd	52	50.20 units	31.414343
	SD029	F.A. # 17 Blueberry Hill Road	8	8.00 units	80.875000
	SD823	F.A. # 18 Hartsdale Lawns-Milt	8	8.00 units	39.625000
	SD091	Sheldon Brook Drainage Dist.	1,326	417.47 units	8.285625
Town of Harrison	CS282	Mamaroneck Valley County Sewer District	6,000	111,665,845	40.183791
	CS281	Blind Brook County Sewer District	880	32,765,782	38.267117
	CS283	Upper Bronx Valley County Sewer District	49	429,142	28.787674
	DD281	County Refuse Disposal Dist. No. 1	7,012	129,532,344	18.021939
	SF281	Water #1 F.P.	1,932	25,191,788	37.038498
	SF282	Water #2 F.P.	3,837	62,988,161	81.507412
	SF283	Water #3 F.P.	142	3,649,480	8.843178
	SF285	Water #5 F.P.	108	1,010,268	38.180958
	SF284	Fire Dist. #4	885	39,887,977	47.693118
	SP027	Meadow Lane Drainage	9	361,470	88.015603

Town of Harrison (Continued)	SP030	Pilgrim Road Drainage	22	677,590	57.105329
	SP031	Lincoln Lane Drainage	10	651,950	47.385536
		Pleasant Ridge Road Sewer #5	3	Special Assessment	107.666667
		Old Well Rd Sewer	17	Special Assessment	1,359.882352
		Century Trail Sewer	2	Special Assessment	3,847.500000
		Woods End Sewer	6	Special Assessment	4,387.000000
Town of Lewisboro	A	Goldens Bdge Fire District	1,358	74,448,403	13.710000
	C	South Salem Fire District	2,671	154,018,058	4.830000
	D	Vista Fire District	1,828	70,441,641	14.160000
	B	Wild Oaks Fire Zone	329	7,614,200	0.590000
	B	Wild Oaks Lighting	329	7,667,796	1.880000
	B	Wild Oaks Sewer District	329	7,921,996	17.670000
	E	Oakridge Sewer District (Operational)	430	6,734,600	33.570000
	E	Oakridge Sewer District (Bond)	430	6,734,600	40.520000
Town of Mamaroneck	SW322	Mamaroneck Valley Sewer	6,168	6,963,868,618	0.579330
	SW323	New Rochelle Sewer	2,810	3,468,474,489	1.253286
	AD001	Ambulance District	8,981	9,836,668,541	0.060958
	FD321	Fire District #1	4,019	4,327,051,466	0.961555
	GB321	Garbage District #1	4,019	4,327,051,466	0.508453
	LT321	Street Lighting District #1	4,019	4,327,051,466	0.070319

Town of Mamaroneck (Continued)	RF321	County Refuse Disposal District #1	8,981	9,846,289,733	0.258713
Town of Mount Kisco	DD561	County Refuse District	2,777	297,608,515	1.644341
Town of Mount Pleasant	FD026	So. Hardscrabble Fire Protection District	158	1,850,223	40.113003
	FD013	Bear Ridge Fire Protection District	185	1,721,444	39.649852
	FD024	W. Pleasantville Fire Protection District #1	90	506,527	39.024573
	FD025	W. Pleasantville Fire Protection District #2	28	74,632	35.065388
	FD018	E. Bear Ridge Fire Protection District	157	2,052,442	39.662997
	FD010	No. East Briarcliff Fire Protection District	165	2,424,107	28.943854
	FD012	E. Briarcliff Fire Protection District	72	703,061	44.442801
	FD011	Hardscrabble Fire Protection District	298	4,442,675	19.811938
	FD016	King Street Fire Protection District	69	731,314	74.010617
	FD017	Mt. Pleasant #7 Fire Protection District	79	426,570	145.120379
	FD014	Thornwood Fire Protection District #1	2,153	684,136	39.939427
	AD001	Valhalla Ambulance	1,924	35,529,371	8.705220
	AD002	Pleasantville Ambulance	6,819	64,043,382	10.204692
	AD003	Mt. Pleasant West	274	5,098,916	10.783468
	AD004	Hawthorne Ambulance	1,857	20,047,915	7.608322
	AD005	Sleepy Hollow Ambulance	1,806	16,184,150	7.903226
	WD016	Meadowbrook Water	34	180,590	76.875796
	WD015	Old Farm Hill Water	544	7,392,133	85.414724

Town of Mount Pleasant (Continued)	WD018	Pocantico Hills Water Capital	150	3,110,052	115.119297
	WD025	Pocantico Hills Water O&M	106	1,731,885	155.640242
	WD017	Apple Hill Water	70	316,747	116.547276
	WD019	Pleasantville Ext. 1	25	124,919	85.319287
	WD021	Pleasant Ridge Water	39	175,287	98.575479
	WD022	Northeast Pleasantville Water	37	186,981	25.836850
	WD024	Mill River Water	40	224,743	110.917804
	WD026	Kensico Water O&M	5,745	60,419,832	56.099264
	WD027	Kensico Water Capital	29	3,442,910	60.687592
	FD028	Thornwood Fire District	46	18,813,804	41.191863
	FD020	Pocantico Hills Fire District	326	4,289,377	79.131147
	FD015	Hawthorne Fire District	1,857	19,904,446	99.243734
	FD023	Valhalla Fire District	1,919	35,175,879	39.822226
	FD021	Scarborough Fire District	158	2,917,996	73.906887
	FD019	Pleasantville Fire District	3,750	31,481,711	35.954828
	FD027	New Castle Fire District #1	54	334,584	34.105038
	GB001	Valhalla Refuse District	1,718	18,584,147	26.486715
	GB002	Mount Pleasant Refuse District	7,083	89,390,904	21.510332
	LB001	Mount Pleasant Special Library District	8,788	107,978,989	17.493672

Town of Mount Pleasant (Continued)	LT005	Mount Pleasant Special Consolidated Lighting	8,786	107,965,889	6.244250
	CW004	Refuse Disposal District #1	13,378	147,374,515	18.432990
	SD005	Saw Mill Sewer	10,629	153,462,125	35.557392
	SD007	Saw Mill Sewer-Briar Manor	209	2,656,795	42.810605
	SD008	Ossining Sewer	86	969,472	57.977951
	SD010	Upper Bronx Valley Sewer	2,321	29,498,191	29.815794
Town of New Castle	SW004	Saw Mill Sanitary Sewer	1,982	283,295,320	2.609344
	SW008	Ossining Sewer	1	517,875	3.902486
	ALS01	Advanced Life Support (ALS)	5,967	1,013,344,091	0.326776
	OVAC1	Ossining Ambulance	685	87,406,642	0.613146
	DR002	Brevoort Drainage	65	5,589,930	1.821848
	FD005	New Castle Fire	3,156	546,686,556	2.588160
	FD006	Millwood Fire	2,011	313,544,829	5.688434
	FD007	Northern Fire	1,385	208,617,238	4.075919
	LT004	Consolidated Lighting	2,953	498,080,591	0.200771
	PK002	New Castle Parking	55	8,584,142	15.516985
	SW001	Sewer District 1 Maintenance	113	14,147,617	4.387382
	SW002	Sewer District 2 Maintenance	219	13,485,050	1.125469
	SW003	Sewer District 3	104	17,489,807	1.188692

Town of New Castle (Continued)	SW005	Sewer District 2 Maintenance-Ext	167	15,603,091	1.328262
	WD008	Two Castles Water	23	4,932,520	2.175561
	WK361	Sidewalk District #1 - King Street	444	75,113,002	0.399398
	WD010	New Castle Stanwood Water	6,111	999,896,729	0.991841
	WD019	High Ridge Water	34	5,220,337	2.858053
	DD361	New Castle Refuse	5,693	5622.5 units	440.000000
	CR001	Commercial Refuse District	14	2,585,575	0.000000
	CR002	So. Greeley Comm. Refuse Dist.	12	3,430,910	1.224165
	KG001	King/Greeley Improv - L	403	611.6 units	42.280482
	KG002	King/Greeley Improv and Mnt - I	385	3049 units	21.428910
	KG003	King/Greeley Cap Improv - L	22	164.5 units	22.445494
	KG004	King-Greeley Cap.Improv - I	18	310 units	12.163384
Town of North Castle	AD381	Advanced Life Support #1	884	15,396,600	6.949586
	AD382	Advanced Life Support #2	3,912	114,039,956	2.240960
	CS381	Upper Bronx Valley Sewer	818	14,525,186	18.149000
	CS382	Mamaroneck Valley Sewer	59	300,094	25.112132
	CS383	Blind Brook Sewer	27	4,442,040	23.572953
	FD381	Fire District #1	882	14,719,192	40.695169
	FD382	Fire District #2	3,012	89,907,729	18.345920

Town of North Castle (Continued)	FD383	Fire Protection #3 Banksville	912	24,187,208	19.811919
	LT381	Light District #1 NWP	843	14,516,470	4.436340
	LT382	Light District #2 Armonk	1,177	32,245,020	2.738407
	LT383	Light District #3 King Street	29	2,008,864	1.742278
	SD380	Sewer District #2 Lot A IBM		user units	all IBM charge
	SD380	Sewer District #2 Lot B IBM		user units	all IBM charge
	SD381	Sewer District #1 NWP	767	13,410,158	7.009686
	S381B	Sewer District #1 Quarry Heights	79	457,659	51.348000
	SD382	Sewer District #2 O & M		1367.06 units	307.110000
	SD382	Sewer District #2 Capital Bond	749	24,823,373	9.891900
	SD382	Sewer District #2 Zoning Units		1442.56 units	167.851000
	SD383	Sewer District #3 Rte. 120	15	By Units	464.330756
	SD385	Sewer District #4 Orchard Street	12	1,135,117	20.965200
	WD381	Special Tax Levy Cap Project #1 NWP	756	8,676,350	18.563790
	WD382	Special Tax Levy Cap Project #2 ARM	388	391 units	1,829.173913
	WD385	Water District #4 Cap	429	19,294,820	
	WD386	Water District #5 Debt Service	116	116 parcels	282.720000
	WD386	Water District #5 New Castle		17 parcels	282.710000
	WD388	Water District #7 Mac & Wampus	46	By units	Charge by unit

Town of North Salem	LT015	Croton Falls Lighting District	218	72,168,027	0.110853
	LT016	Purdys Lighting District	113	136,927,938	0.029213
	FD035	Croton Falls Fire District	2,436	1,483,003,249	0.898468
	FD036	Goldens Bridge Fire District	38	8,041,751	1.161941
	WD045	Croton Falls Water District	102	29,477,684	1.933667
	WD046	Sunset Ridge Water District-users	98	53,107,718	1.237972
	WD047	Sunset Ridge Water District-non-users	24	2,489,061	0.123800
	WD048	Candlewood Park Water District-users	55	23,719,500	1.508335
	WD049	Candlewood Park Water District-non-users	12	1,478,825	0.150834
	SD001	Peach Lake Sewer District	386	771	varies by number of bedrooms
	PD100	Candlewood Park Improvement District	55	23,719,500	0.269821
Town of Ossining	AD001	Ambulance District	7,322	3,176,196,335	0.210429
	TD001	Refuse/Light/Fire	2,120	973,241,735	1.518174
	WD100	Townwide Water	2,156	1,076,640,435	0.031102
	SD100	Townwide Sewer	1,600	922,347,925	0.304104
	SD072	No. State Rd. Sewer	138	144,315,110	0.275467
	SD057	Ossining Sewer-Oss	7,180	3,281,423,535	0.730160
	SD056	Saw Mill Sewer-Oss	130	107,592,800	0.535073
	SD058	Ossining Sewer-BM	976	811,153,496	0.624881
	SD059	Saw Mill Sewer-BM	1,272	950,905,704	0.624881

Town of Ossining (Continued)	CW002	Westchester County Solid Waste	9,741	5,093,261,525	0.258273
Town of Pelham	CW003	Refuse Disposal District #1	3,703	3,434,657,638	0.257850
	SW001	Hutch. Valley Sanitary Sewer Dist.	2,765	3,572,598,212	0.638250
	SW002	New Rochelle Sanitary Sewer Dist.	962	3,572,598,212	0.638250
Town of Pound Ridge	FD004	Fire District	2,485	381,350,268	2.121500
	LB001	Library District	2,485	381,350,268	2.030400
	PK001	Parking District	23	2,398,500	18.307300
Town of Rye	SW481	County Refuse Disposal District #1	11,070	7,707,883,796	0.264324
	CS481	Blind Brook County Sewer	3,257	3,063,233,388	0.573843
	CS483	Mamaroneck Valley County Sewer District	2,232	1,723,593,345	0.563738
	CS482	Port Chester County Sewer District	5,720	3,366,019,063	0.901822
Town of Scarsdale	BS501	Bronx Valley County Sewer District	3,627	6,142,706,505	0.472562
	CW501	County Refuse Disposal District #1	5,947	8,988,920,848	0.273947
	HS501	Hutchinson Valley County Sewer District	300	259,274,852	0.437408
	MS501	Scarsdale Mamaroneck Valley Sewer	2,067	3,321,010,789	0.613690
Town of Somers	FR001	Somers Fire District	9,315	508,211,924	6.135673
	LT001	Shenorock Lights	807	27,105,963	0.774184
	LT002	Croton Falls Lights	22	838,527	2.259916
	FR002	Goldens Bridge Fire	17	1,327,100	10.966017
	WT001	Amawalk/Shenorock WT	1,173	61,688,589	6.446565
	WT005	Route 6/EXT #1	10	1,850,400	3.713792

Town of Somers (Continued)	WT008	Horton Estates/EXT # 4	54	2,335,400	12.666667
	SW002	Peekskill Sewer Dist # 1	324	29,054,990	5.676650
	SW004	Act 14 2010 Peekskill	8	37,800	1.000000
	SW005	Act 185 2015 Peekskill	45	8,027	1.000000
Town of Yorktown	G0084	Westchester County Garbage	13,841	132,446,805	11.034709
	F0062	Yorktown Heights Fire District	7,258	79,863,656	18.036527
	F0061	Mohegan Lake Fire District	6,604	52,668,699	65.943246
	K0072	Amazon Park District	50	350,150	34.268027
	K0078	Bethel Acres Park	17	195,900	10.209290
	K0071	Mohegan Beach Park District	308	2,039,822	26.963137
	K0077	Mohegan Highland Improvement District	82	543,950	53.313724
	K0073	Shrub Oak Community Association	104	696,350	91.333381
	K0070	Shrub Oak Lake Estates	143	881,545	9.642162
	K0075	Mohegan Lake Improvement District	1,546	7,851,719	9.492571
	G0083	Yorktown Refuse	12,139	11193.44 units	381.714320
	P0065	Emergency Medical Services	13,843	132,212,578	4.813082
	S0058	Westchester County Peekskill Sewer	5,933	52,848,486	27.052052
	S004Q	WC Peekskill Sewer Act 205-2009	2	18,600	14.569892
	S004S	WC Peekskill Sewer Act 129-2010	2	12,800	12.890625

Town of Yorktown (Continued)	S004T	WC Peekskill Sewer Act 101-2011	1	11,850	15.021097
	S004U	WC Peekskill Sewer Act 132-2011	1	8,450	15.029586
	S004V	WC Peekskill Sewer Act 125-2014	2	5,050	5.346535
	S004W	WC Peekskill Sewer Act 189-2014	1	12,700	18.425197
	S004X	WC Peekskill Sewer Act 269-2015	14	15,648	1.000000
	S004Y	WC Peekskill Sewer Act 34-2017	12	96,900	32.714138
	W0019	Kitchawan Water District	68	2,033,157	2.705153
	W0100	Yorktown Consolidated Water	12,712	112,738,864	14.692886
	S0091	Hallock Mill Sewage Treatment	3,694	4164 units	573.148175
	S0042	Hunterbrook Sewer	378	877.10 units	0.000000
	S0046	Hunterbrook Sewer	245	863.77 units	0.000000
	S0038	Osceola/Lateral Sewage Operating	2,644	2649.19 units	15.193450
	S0043	Bonnie & Jill Sewer District	30	61.42 units	240.149788
	S0064	Clover Road Sewer District	31	0	0.000000
	S0060	Mohegan East Sewer District	416	647.46 units	349.674111
	S0030	Mohegan West Sewer District	159	318.32 units	195.322317
	S0044	Oakside Sewer	107	221.8 units	261.045987
	S0048	Hunterbrook Sewer 202 Ext	35	444.37 units	6.976169
	S0051	Suncrest Sewer	29	58.37 units	752.526986

Town of Yorktown (Continued)	S0047	Gomer Street Sewer	38	83.87 units	472.612376
	S0050	Overlook Sewer	69	135.38 units	593.071355

2020/2021 School District Tax Rates

10/20/2020

MUNICIPALITY	SCHOOL DISTRICT S W I S CODE	SCHOOL DISTRICT NAME	NUMBER OF PARCELS	TAXABLE ASSESSED VALUE	TAX RATE PER \$1,000
City of Mount Vernon	550800	Mount Vernon City School District	11,189	197,500,203	910.646659
	550800	Mount Vernon Library	11,189	197,500,203	33.217300
City of New Rochelle	551000	New Rochelle City School District	16,090	264,854,215	806.186000
	551000	New Rochelle Library	16,090	264,854,215	21.448000
City of Peekskill	551200	Peekskill City School District	6,058	57,614,768	734.670200
	552203	Hendrick Hudson CSD	360	6,035,665	531.327900
	552203	Hendrick Hudson Library	360	6,065,095	12.352300
City of Rye	551400	Rye City School District	4,507	128,814,207	652.477201
	554801	Rye Neck UFSD - Homestead	415	11,686,765	1,014.732563
	554801	Rye Neck UFSD - Non-Homestead	25	278,660	1,293.518984
City of White Plains	551700	White Plains City School District	13,667	285,199,084	683.170000
City of Yonkers	551800	Yonkers City School District	36,504	459,794,925	551.610000
Town of Bedford	552002	Bedford CSD	4,208	464,515,826	143.128700
	552001	Katonah-Lewisboro UFSD	2,048	194,228,641	183.171800
	553801	Byram Hills CSD	40	3,153,864	146.108100
Town of Cortlandt	552202	Croton-Harmon SD	3,828	31,922,960	1,233.220000
	552202	Croton-Harmon Library	3,808	32,067,215	22.870000
	552203	Hendrick Hudson CSD	5,313	39,823,870	1,082.950000
	552203	Hendrick Hudson Library	5,266	40,085,780	25.620000
	555401	Lakeland CSD	5,592	35,336,534	1,490.610000
	552803	Putnam Valley CSD	510	2,486,702	1,641.230000
	555402	Yorktown CSD	120	1,081,930	1,423.510000
Town of Eastchester	552401	Eastchester UFSD	5288	50,045,400	1,525.991000
	552402	Tuckahoe UFSD	2349	18,220,835	1,596.334200
	552403	Bronxville UFSD	1,704	3,129,288,025	14.012900
Town of Greenburgh	552601	UFSD of the Tarrytowns	3,234	1,681,540,953	21.851500
	552602	Irvington UFSD	2,918	2,911,332,632	20.098500
	552603	Dobbs Ferry UFSD	2,540	1,793,835,643	22.189500
	552604	Hastings-On-Hudson UFSD	3,009	2,050,664,459	21.377100
	552605	Ardsley UFSD	3,903	2,625,599,225	22.210200
	552606	Edgemont UFSD	2,506	2,666,841,175	20.608100
	552607	Greenburgh Central 7 SD	6,856	3,870,827,028	15.928700
	552609	Elmsford UFSD	2,746	1,588,065,570	20.454000
	553402	Pocantico Hills CSD	571	1,052,745,465	9.240700
Town of Harrison	553405	Valhalla UFSD	821	534,975,565	20.221100
	552801	Harrison CSD	7,030	128,916,315	838.282374
Town of Lewisboro	553000	Katonah-Lewisboro UFSD	5,870	295,440,058	211.225426
Town of Mamaroneck	553201	Mamaroneck UFSD	8714	9,378,795,165	13.757288
	555001	Scarsdale UFSD	269	404,935,212	15.371024
Town of Mount Kisco	556000	Bedford CSD	2,797	115,188,836	101.083400
Town of Mount Pleasant	552601	UFSD of the Tarrytowns	1,912	15,256,121	1,561.330000
	553401	Mount Pleasant CSD	4,762	40,346,837	1,388.830000
	553402	Pocantico Hills CSD	622	23,843,390	660.020000
	553405	Valhalla UFSD	1,501	14,803,875	1,444.180000

Town of Mount Pleasant (Continued)	5 5 3 4 0 9	Pleasantville UFSD	3,395	25,147,879	1,522.690000
	5 5 3 6 0 4	Chappaqua CSD	536	6,771,381	1,561.800000
	5 5 3 6 0 4	Chappaqua Library	536	6,771,381	44.540000
	5 5 3 8 0 1	Bryam Hills CSD	790	9,695,574	1,155.270000
	5 5 4 2 0 2	Briarcliff Manor UFSD	765	8,000,959	1,528.890000
Town of New Castle	5 5 3 6 0 4	Chappaqua CSD	5553	909,266,667	111.502027
	5 5 3 6 0 4	Chappaqua Library	5553	909,266,667	3.276329
	5 5 2 0 0 2	Bedford CSD	394	69,452,978	83.205765
	5 5 4 2 0 1	Ossining UFSD	626	68,931,800	132.198515
	5 5 4 2 0 1	Ossining Library	626	68,931,800	5.327719
	5 5 5 4 0 2	Yorktown CSD	111	11,035,287	116.570960
	5 5 3 8 0 1	Byram Hills CSD	44	5,383,425	84.903941
	5 5 3 4 0 9	Pleasantville CSD	6	448,443	111.911659
Town of North Castle	5 5 2 0 0 2	Bedford CSD	215	4,013,310	689.160800
	5 5 3 8 0 1	Byram Hills CSD	3,699	101,928,754	703.226700
	5 5 3 4 0 5	Valhalla CSD	880	13,899,295	879.210000
	5 5 2 8 0 1	Harrison CSD	9	45,363	549.658561
	5 5 3 4 0 1	Mount Pleasant CSD	15	1,406,709	845.560000
Town of North Salem	5 5 2 0 0 1	Katonah-Lewisboro UFSD	139	47,926,710	20.274400
	5 5 4 0 0 1	North Salem CSD	2,322	1,349,865,969	20.676748
Town of Ossining	5 5 4 2 0 1	Ossining CSD	8,434	3,608,666	25.184193
	5 5 4 2 0 1	Ossining Library	8,434	3,608,666	1.014945
	5 5 4 2 0 2	Briarcliff Manor UFSD	1,742	1,439,981,975	21.404648
Town of Pelham	5 5 4 4 0 1	Pelham UFSD - Homestead	3,254	2,976,677,575	18.220111
	5 5 4 4 0 1	Pelham UFSD -Non- Homestead	450	464,124,700	24.590000
Town of Pound Ridge	5 5 2 0 0 2	Bedford CSD	2,342	358,036,850	85.865100
	5 5 2 0 0 1	Katonah-Lewisboro UFSD	146	19,281,562	109.844400
Town of Rye	5 5 4 8 0 5	Blind Brook Rye UFSD - Homestead	2,396	2,076,063,158	17.995779
	5 5 4 8 0 5	Blind Brook Rye UFSD - Non-Homestead	90	225,414,525	29.584546
	5 5 4 8 0 1	Port Chester UFSD - Homestead	5,127	2,270,865,895	16.377604
	5 5 4 8 0 1	Port Chester UFSD -Non- Homestead	1,385	1,358,951,818	22.928148
	5 5 4 8 0 3	Rye Neck UFSD - Homestead	1,973	1,491,263,130	16.014530
	5 5 4 8 0 3	Rye Neck UFSD - Non- Homestead	271	192,458,106	20.194818
	5 5 2 8 0 1	Harrison CSD	12	10,968,739	12.642148
Town of Scarsdale	5 5 5 0 0 1	Scarsdale UFSD	5,948	8,995,454,490	16.222717
Town of Somers	5 5 5 2 0 1	Somers CSD	8,929	478,020,792	169.617977
	5 5 4 0 0 1	North Salem CSD	342	20,940,428	173.067135
	5 5 5 4 0 1	Lakeland CSD	52	4,207,949	193.410376
Town of Yorktown	5 5 5 4 0 2	Yorktown CSD	7,474	77,750,723	991.373299
	5 5 5 4 0 1	Lakeland CSD	6,634	48,874,923	1,033.596861
	5 5 4 2 0 1	Ossining UFSD	149	3,608,840,166	1,124.297615
	5 5 4 2 0 1	Ossining Library	149	3,608,840,166	45.310194
	5 5 2 2 0 2	Croton-Harmon SD	148	1,450,441	859.377000
	5 5 2 2 0 2	Croton-Harmon Library	148	1,451,441	18.298252

*Note: Bronxville school district taxes are levied on the Bronxville Village roll. All other school taxes are levied on the appropriate City or Town assessment roll.

Exhibit "K"

DRAFT

WESTCHESTER COUNTY
TOP TEN PROPERTY TAXPAYERS

Fiscal Year 2001		
<u>Taxpayer</u>	<u>Equalized Full Value</u>	<u>Percentage of County Equalized Full Value</u>
Con Edison	\$ 3,696,933,233	4.41%
City of New York Water	717,100,565	0.86%
New York Telephone	394,018,783	0.47%
IBM	360,474,920	0.43%
Cali/Robert Martin	283,965,120	0.34%
Eastridge Properties	188,868,444	0.23%
Pepsico	183,241,843	0.22%
Fashion Mall Partners	154,330,413	0.21%
Marx Realty & Improvement	128,490,113	0.15%
Metropolitan Life Ins.	103,382,979	0.12%
Total:	\$ 6,210,806,413	7.44%
Westchester County	\$ 83,791,204,647	100%

Fiscal Year 2002		
<u>Taxpayer</u>	<u>Equalized Full Value</u>	<u>Percentage of County Equalized Full Value</u>
Con Edison	\$ 4,009,440,416	4.37%
City of New York Water	851,501,993	0.93%
Cali/Robert Martin	670,625,532	0.73%
NY Telephone/Verizon/NYNEX	320,829,133	0.36%
Reckson Operating	299,271,541	0.34%
Westchester Mall LLC	257,409,428	0.28%
NYC Aqueduct	254,009,692	0.28%
White Plains Galleria LP	241,055,289	0.26%
Brooks Shopping Center	234,816,154	0.26%
United Water of New Rochelle	214,339,492	0.23%
Total:	\$ 7,353,298,670	8.01%
Westchester County	\$ 91,745,357,988	100%

Exhibit “L”

State Lands of the County

DRAFT

Count	HouseNum	StreetName	MunName	PropAddress	PropCity	PropZip	OwnerName
1		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State of New York
2		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State of New York
3		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
4		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
5	385	Grassy Sprain	Yonkers	385 East Grassy Sprain Rd	YONKERS	10710	State Of New York
6		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State Of New York
7	974	Grassy Sprain	Yonkers	974 East Grassy Sprain Rd	YONKERS	10710	State Of New York
8	56	Tuckahoe	Yonkers	56 Tuckahoe Rd	YONKERS	10710	New York State
9	1131	Saw Mill Rvr	Yonkers	1131 Saw Mill Rvr Rd	YONKERS	10710	State Of New York
10		Arterial	Yonkers	Arterial	YONKERS	10710	State of New York
11		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State Of New York
12	50	Tuckahoe	Yonkers	50 Tuckahoe Rd	YONKERS	10710	New York State - D.o.t.
13		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
14		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
15		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
16		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
17	19	Quincy	Yonkers	19 Quincy Pl	YONKERS	10701	State Of New York
18	17	Quincy	Yonkers	17 Quincy Pl	YONKERS	10701	State Of New York
19		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State Of New York
20		Old Croton	Yonkers	Old Croton Trl	YONKERS	10701	State Of New York
21		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
22		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
23		Arterial	Yonkers	Arterial	BRONXVILLE	10708	State Of New York
24	196	Riverdale	Yonkers	196 Riverdale Ave	YONKERS	10705	State Of New York
25		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
26		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
27		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
28		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
29		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
30		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
31		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
32		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
33		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
34		Central Park	Yonkers	Central Park Ave	BRONXVILLE	10708	State Of New York
35		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
36		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
37	2	Moore	Yonkers	2 Moore Rd	BRONXVILLE	10708	State Of New York
38		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
39		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
40		Palmer	Yonkers	Palmer Rd	YONKERS	10701	State Of New York
41	200	Riverdale	Yonkers	200 Riverdale Ave	YONKERS	10705	N.Y.S. Dot
42		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
43	136	Warburton	Yonkers	136 Warburton Ave	YONKERS	10701	State Of New York
44		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
45	116	Warburton	Yonkers	116 Warburton Ave	YONKERS	10701	State Of New York
46		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York

Count	HouseNum	StreetName	MunName	PropAddress	PropCity	PropZip	OwnerName
47		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
48		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
49		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
50		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
51		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
52		Cross Cnty	Yonkers	Cross Cnty Pkwy	YONKERS	10704	State Of New York
53		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
54		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
55 279		Riverdale	Yonkers	279 Riverdale Ave	YONKERS	10705	N.Y.S. Dot
56 63		Yonkers	Yonkers	63 Yonkers Ave	YONKERS	10701	State Of New York
57 341		Riverdale	Yonkers	341 Riverdale Ave	YONKERS	10705	State Of New York
58 130		Warburton	Yonkers	130 Warburton Ave	YONKERS	10701	State Of New York
59		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
60 99		Summit	Yonkers	99 Summit St	YONKERS	10701	State Of New York
61		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
62		Cross Cnty	Yonkers	Cross Cnty Pkwy	BRONXVILLE	10708	State Of New York
63		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
64		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
65		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
66		Bronx River	Yonkers	Bronx River Pkwy	BRONXVILLE	10708	State Of New York
67		Cross Cnty	Yonkers	Cross Cnty Pkwy	YONKERS	10704	State Of New York
68		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
69		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
70		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
71 311		Riverdale	Yonkers	311 Riverdale Ave	YONKERS	10705	New York State
72		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
73 204		Riverdale	Yonkers	204 Riverdale Ave	YONKERS	10705	State Of New York
74 232		Riverdale	Yonkers	232 Riverdale Ave	YONKERS	10705	N.Y.S. Dot
75 285		Riverdale	Yonkers	285 Riverdale Ave	YONKERS	10705	State Of New York
76		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
77		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
78		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
79		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
80		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
81		Arterial	Yonkers	Arterial	BRONXVILLE	10708	State Of New York
82		Arterial	Yonkers	Arterial	BRONXVILLE	10708	State Of New York
83		Nepperhan	Yonkers	Nepperhan Ave	YONKERS	10701	State Of New York
84		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
85		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
86		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
87		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
88		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
89		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
90		GRACE CHURCH	Rye City	GRACE CHURCH ST	PORT CHESTER	10573	ST NY THRUWAY AUTHORITY
91 362		MAMARONECK	Scarsdale	362 MAMARONECK RD	SCARSDALE	10583	STATE OF NEW YORK -
92		HUTCHINSON	Mount Vernon	HUTCHINSON BLVD	PELHAM	10803	STATE OF NEW YORK

Count	HouseNum	StreetName	MunName	PropAddress	PropCity	PropZip	OwnerName
93		EUCLID	Mount Vernon	EUCLID AV	MOUNT VERNON	10552	STATE OF NEW YORK
94		HUTCHINSON	Mount Vernon	HUTCHINSON BLVD	MOUNT VERNON	10552	STATE OF NEW YORK
95		HUTCHINSON	Mount Vernon	HUTCHINSON BLVD	PELHAM	10803	STATE OF NEW YORK
96		HUTCHINSON	Mount Vernon	HUTCHINSON AV	MOUNT VERNON	10553	STATE OF NEW YORK
97		HIGHLAND	Mount Vernon	HIGHLAND AV	MOUNT VERNON	10553	STATE OF NEW YORK
98		PENNSYLVANIA	Mount Vernon	PENNSYLVANIA AV	MOUNT VERNON	10552	STATE OF NEW YORK
99		BUCKHOUT	Briarcliff Manor	BUCKHOUT RD	BRIARCLIFF MANOR	10510	STATE OF NY
100		PLEASANTVILLE	Briarcliff Manor	PLEASANTVILLE RD	BRIARCLIFF MANOR	10510	STATE OF NY
101 200		BRADHURST	Mount Pleasant	200 BRADHURST AVE	HAWTHORNE	10532	NYS DEPT OF TRANS
102		SAW MILL RIVER	Mount Pleasant	SAW MILL RIVER RD	HAWTHORNE	10532	STATE OF NY
103		COMMERCE	Mount Pleasant	COMMERCE ST	HAWTHORNE	10532	STATE OF NY
104		BROADWAY	Mount Pleasant	BROADWAY	BRIARCLIFF MANOR	10510	STATE OF NY
105			Mount Pleasant		BRIARCLIFF MANOR	10510	STATE OF NY
106		BEDFORD	Pleasantville	BEDFORD RD	PLEASANTVILLE	10570	STATE OF NY
107 21		Sickles	New Rochelle	21 Sickles Ave	NEW ROCHELLE	10801	New York State Of -
108		Main	New Rochelle	Main St	NEW ROCHELLE	10805	New York State Of -
109 1		Lexington	Mount Kisco	1 Lexington Ave	MOUNT KISCO	10549	People Of The State of NY
110		Burling La.	New Rochelle	Burling La.	NEW ROCHELLE	10801	New York State- Dept of Trans -
111		& Burling La.	New Rochelle	North & Burling La.	NEW ROCHELLE	10801	New York State Dept of Trans -
112		of River	Pelham	Northwest of River Ave	MOUNT VERNON	10552	New York State -
113		Mill To Wilmot Rds.	New Rochelle	Mill To Wilmot Rds.	NEW ROCHELLE	10804	New York State Of -
114		Palmer Ave. Ext.	New Rochelle	Palmer Ave. Ext.	NEW ROCHELLE	10801	New York State Of -
115		PKWY West Of Chestnut	Pelham	PKWY West Of Chestnut Ave	PELHAM	10803	New York State -
116		PKWY 1st	Pelham	PKWY 1st Ave	PELHAM	10803	New York State -
117		Hutchinson River	Pelham Manor	Hutchinson River Pkwy	PELHAM	10803	New York State -
118		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State Of New York
119 1181		Saw Mill Rvr	Yonkers	1181 Saw Mill Rvr Rd	YONKERS	10710	State Of New York
120		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State Of New York
121		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
122		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
123		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
124		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
125 333		Riverdale	Yonkers	333 Riverdale Ave	YONKERS	10705	New York State
126		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
127		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
128		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State Of New York
129		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
130		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
131		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
132 69		Desmond	Yonkers	69 Desmond Ave	BRONXVILLE	10708	State Of New York
133		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
134 1313		Central Park	Yonkers	1313 Central Park Ave	YONKERS	10710	State Of New York
135		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
136		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
137		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
138		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York

Count	HouseNum	StreetName	MunName	PropAddress	PropCity	PropZip	OwnerName
139		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
140		Cross Cnty	Yonkers	Cross Cnty Pkwy	BRONXVILLE	10708	State Of New York
141		Cross Cnty	Yonkers	Cross Cnty Pkwy	BRONXVILLE	10708	State Of New York
142		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
143		Woodruff	Yonkers	Woodruff Ave	BRONXVILLE	10708	State Of New York
144		Nepperhan	Yonkers	Nepperhan Ave	YONKERS	10701	State Of New York
145		Arterial	Yonkers	Arterial	BRONXVILLE	10708	State Of New York
146		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
147		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
148		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
149 315		Riverdale	Yonkers	315 Riverdale Ave	YONKERS	10705	State Of New York
150		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
151		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
152		Midland	Yonkers	Midland Ave	BRONXVILLE	10708	State Of New York
153 35		Illinois	Yonkers	35 Illinois Ave	BRONXVILLE	10708	State Of New York
154 126		Warburton	Yonkers	126 Warburton Ave	YONKERS	10701	State Of New York
155		Cross Cnty	Yonkers	Cross Cnty Pkwy	BRONXVILLE	10708	State Of New York
156		Desmond	Yonkers	Desmond Ave	BRONXVILLE	10708	State Of New York
157		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
158		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
159		Cross Cnty	Yonkers	Cross Cnty Pkwy	YONKERS	10701	N.Y.S. Dot
160		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
161		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
162		Yonkers	Yonkers	Yonkers Ave	YONKERS	10701	State Of New York
163		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
164		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
165		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
166 263		Riverdale	Yonkers	263 Riverdale Ave	YONKERS	10705	State Of New York
167		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
168		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
169 218		Riverdale	Yonkers	218 Riverdale Ave	YONKERS	10705	New York State
170		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
171 228		Riverdale	Yonkers	228 Riverdale Ave	YONKERS	10705	State Of New York
172		Yonkers	Yonkers	Yonkers Ave	YONKERS	10704	State Of Ny
173		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
174		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
175 222		Riverdale	Yonkers	222 Riverdale Ave	YONKERS	10705	State Of New York
176 230		Riverdale	Yonkers	230 Riverdale Ave	YONKERS	10705	New York State
177 244		Riverdale	Yonkers	244 Riverdale Ave	YONKERS	10705	State Of New York
178 291		Riverdale	Yonkers	291 Riverdale Ave	YONKERS	10705	State Of New York
179		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
180		Old Croton	Yonkers	Old Croton Trl	YONKERS	10705	State Of New York
181 367		Riverdale	Yonkers	367 Riverdale Ave	YONKERS	10705	State Of New York
182		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
183 183		Forest	Yonkers	183 Forest Ave	YONKERS	10705	State Of New York
184		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York

Count	HouseNum	StreetName	MunName	PropAddress	PropCity	PropZip	OwnerName
185		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
186		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State Of New York
187		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State of New York
188		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
189		FORSTER	Mount Vernon	FORSTER PKY	MOUNT VERNON	10552	STATE OF NEW YORK
190		STUYVESANT	Mount Vernon	STUYVESANT PLAZA	MOUNT VERNON	10552	STATE OF NEW YORK
191		HILLCREST	Mount Vernon	HILLCREST RD	MOUNT VERNON	10552	STATE OF NEW YORK
192		HUTCHINSON	Mount Vernon	HUTCHINSON BLVD	MOUNT VERNON	10552	STATE OF NEW YORK
193		NEW ROCHELLE	Mount Vernon	NEW ROCHELLE RD	MOUNT VERNON	10552	STATE OF NEW YORK
194		MAPLE	Mount Vernon	MAPLE AV	MOUNT VERNON	10553	STATE OF NEW YORK
195		BROAD	Mount Vernon	BROAD ST ,W	MOUNT VERNON	10552	STATE OF NEW YORK
196		MAC QUESTEN	Mount Vernon	MAC QUESTEN PKY ,N	MOUNT VERNON	10552	STATE OF NEW YORK
197		FULTON	Mount Vernon	FULTON AV ,N	MOUNT VERNON	10552	STATE OF NEW YORK
198		WARWICK	Mount Vernon	WARWICK AV	MOUNT VERNON	10553	STATE OF NEW YORK
199		PENNSYLVANIA	Mount Vernon	PENNSYLVANIA AV	MOUNT VERNON	10552	STATE OF NEW YORK
200		RTE 9	Briarcliff Manor	RTE 9	BRIARCLIFF MANOR	10510	STATE OF NY
201		SAW MILL RIVER	Mount Pleasant	SAW MILL RIVER RD	HAWTHORNE	10532	STATE OF NY
202		OLD CHAPPAQUA	Mount Pleasant	OLD CHAPPAQUA RD	BRIARCLIFF MANOR	10510	PEOPLE OF THE STATE OF
203		OLD CHAPPAQUA	Mount Pleasant	OLD CHAPPAQUA RD	BRIARCLIFF MANOR	10510	STATE OF NY
204		TACONIC STATE	Mount Pleasant	TACONIC STATE PKWY	PLEASANTVILLE	10570	STATE OF NY
205		BRONX RIVER	Mount Pleasant	BRONX RIVER PARKWAY	HAWTHORNE	10532	NYS DEPT OF TRANS
206		BEDFORD	Pleasantville	BEDFORD RD	PLEASANTVILLE	10570	STATE OF NY
207		Burling La.	New Rochelle	Burling La.	NEW ROCHELLE	10801	New York State Dept of Trans -
208		Lundy	Mount Kisco	Lundy Ln	MOUNT KISCO	10549	Village Of Mount Kisco
209		Hutchinson River	Pelham	Hutchinson River Pkwy	PELHAM	10803	New York State
210		Hutchinson River	Pelham Manor	Hutchinson River Pkwy	PELHAM	10803	New York State -
211		Wilmot Rd & Turner	New Rochelle	Wilmot Rd & Turner Dr	NEW ROCHELLE	10804	City Of New Rochelle -
212 31		Terrace Park	New Rochelle	31 Terrace Park Ln	NEW ROCHELLE	10805	Pelham Bay LLC -
213		THRUWAY Forest	Pelham Manor	THRUWAY Forest Rd	PELHAM	10803	New York State -
214		Hutchinson River	Pelham Manor	Hutchinson River Pkwy	PELHAM	10803	New York State -
215		Hutchinson River	Pelham Manor	Hutchinson River Pkwy	PELHAM	10803	New York State - East Hudson Pkwy
216		Stephenson	New Rochelle	Stephenson Blvd	NEW ROCHELLE	10801	New York State Of -
217 1		Lex Ave-Smith	Mount Kisco	1 Lex Ave-Smith Ave	MOUNT KISCO	10549	People of the State of NY
218		PKWY 1st St & 1St	Pelham	PKWY 1st St & 1St Ave	MOUNT VERNON	10553	New York State -
219		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State of New York
220		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State Of New York
221		Sprain Brook	Yonkers	Sprain Brook Pkwy	YONKERS	10710	Westchester County
222		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State Of New York
223		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State of New York
224		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
225		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
226		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State Of New York
227 125		Grassy Sprain	Yonkers	125 Grassy Sprain Rd	YONKERS	10710	State Of New York
228 405		Tuckahoe	Yonkers	405 Tuckahoe Rd	YONKERS	10710	State Of New York
229		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
230		Saw Mill River	Yonkers	Saw Mill River Pkwy	YONKERS	10703	Westchester County

Count	HouseNum	StreetName	MunName	PropAddress	PropCity	PropZip	OwnerName
231		Arterial	Yonkers	Arterial	BRONXVILLE	10708	State Of New York
232		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
233		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State Of New York
234		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State Of New York
235		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State Of New York
236		Bronx River	Yonkers	Bronx River Pkwy	YONKERS	10710	Westchester County
237		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
238		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
239		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State Of New York
240		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
241		Arterial	Yonkers	Arterial	BRONXVILLE	10708	State Of New York
242		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
243		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
244		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
245		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
246		Cross Cnty	Yonkers	Cross Cnty Pkwy	BRONXVILLE	10708	State Of New York
247 118		Warburton	Yonkers	118 Warburton Ave	YONKERS	10701	State Of New York
248		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
249		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
250		Old Croton	Yonkers	Old Croton Trl	YONKERS	10701	State Of New York
251		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
252		Odell	Yonkers	Odell Pkwy	BRONXVILLE	10708	State Of New York
253		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
254		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
255 150		Riverdale	Yonkers	150 Riverdale Ave	YONKERS	10701	State Of New York
256		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
257 319		Riverdale	Yonkers	319 Riverdale Ave	YONKERS	10705	State Of New York
258		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
259		Old Croton	Yonkers	Old Croton Trl	YONKERS	10701	State Of New York
260 63		Yonkers	Yonkers	63 Yonkers Ave	YONKERS	10701	State Of New York
261		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
262 287		Riverdale	Yonkers	287 Riverdale Ave	YONKERS	10705	State Of New York
263		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
264		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
265 389		Riverdale	Yonkers	389 Riverdale Ave	YONKERS	10705	State Of New York
266		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
267		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
268		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
269 194		Riverdale	Yonkers	194 Riverdale Ave	YONKERS	10705	State Of New York
270		Nepperhan	Yonkers	Nepperhan Ave	YONKERS	10701	State Of New York
271 212		Riverdale	Yonkers	212 Riverdale Ave	YONKERS	10705	State Of New York
272		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
273		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
274		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
275		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
276 192		Riverdale	Yonkers	192 Riverdale Ave	YONKERS	10705	State Of New York

Count	HouseNum	StreetName	MunName	PropAddress	PropCity	PropZip	OwnerName
277		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
278	275	Riverdale	Yonkers	275 Riverdale Ave	YONKERS	10705	State Of New York
279	242	Riverdale	Yonkers	242 Riverdale Ave	YONKERS	10705	New York State
280		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
281		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
282		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
283		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
284		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
285		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
286		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
287		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
288		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
289		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
290		Arterial	Yonkers	Arterial	BRONXVILLE	10708	State Of New York
291		Arterial	Yonkers	Arterial	BRONXVILLE	10708	State Of New York
292		Arterial	Yonkers	Arterial	BRONXVILLE	10708	State Of New York
293		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State Of New York
294		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State Of New York
295		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State Of New York
296		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
297		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
298		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
299		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State Of New York
300		HUTCHINSON	Mount Vernon	HUTCHINSON BLVD	PELHAM	10803	STATE OF NEW YORK
301		AUDUBON	Mount Vernon	AUDUBON AV	MOUNT VERNON	10552	STATE OF NEW YORK
302		PENNSYLVANIA	Mount Vernon	PENNSYLVANIA AV	MOUNT VERNON	10552	STATE OF NEW YORK
303		HAMILTON	Mount Vernon	HAMILTON AV	MOUNT VERNON	10552	STATE OF NEW YORK
304	31	BROAD	Mount Vernon	31 BROAD ST, W	MOUNT VERNON	10552	STATE OF NEW YORK
305		AUDUBON	Mount Vernon	AUDUBON AV	MOUNT VERNON	10552	STATE OF NEW YORK
306		SANDFORD	Mount Vernon	SANDFORD BLVD ,E	MOUNT VERNON	10550	STATE OF NEW YORK
307		HAMILTON	Mount Vernon	HAMILTON AV	MOUNT VERNON	10552	STATE OF NEW YORK
308		CALIFORNIA	Mount Vernon	CALIFORNIA RD	MOUNT VERNON	10552	STATE OF NEW YORK
309		BRADLEY	Mount Vernon	BRADLEY AV	MOUNT VERNON	10552	STATE OF NEW YORK
310		CALIFORNIA	Mount Vernon	CALIFORNIA RD	MOUNT VERNON	10552	STATE OF NEW YORK
311		CHAPPAQUA	Briarcliff Manor	CHAPPAQUA RD	BRIARCLIFF MANOR	10510	STATE OF NY
312		SAW MILL RIVER	Mount Pleasant	SAW MILL RIVER RD	HAWTHORNE	10532	STATE OF NY
313		BRADHURST	Mount Pleasant	BRADHURST AVE	HAWTHORNE	10532	STATE OF NY
314		OLD SAW MILL RIVER	Mount Pleasant	OLD SAW MILL RIVER RD	HAWTHORNE	10532	STATE OF NY
315		BRADHURST	Mount Pleasant	BRADHURST AVE	VALHALLA	10595	STATE OF NY
316		BROADWAY	Mount Pleasant	BROADWAY	BRIARCLIFF MANOR	10510	STATE OF NY
317	200	BRADHURST	Mount Pleasant	200 BRADHURST AVE	HAWTHORNE	10532	NYS DEPT OF TRANS
318		NEPERAN	Pleasantville	NEPERAN TERR	PLEASANTVILLE	10570	STATE OF NY
319		WASHINGTON	Pleasantville	WASHINGTON AVE	PLEASANTVILLE	10570	STATE OF NY
320			Eastchester		EASTCHESTER	10709	E HUDSON PARKWAY AUTH -
321		Ridge	Rye Brook	N Ridge St	PORT CHESTER	10573	State of New York - NYS Dept of Transport
322		The Serpentine	New Rochelle	The Serpentine	NEW ROCHELLE	10801	New York State Of -

Count	HouseNum	StreetName	MunName	PropAddress	PropCity	PropZip	OwnerName
323		Hutchinson River	Pelham Manor	Hutchinson River Pkwy	PELHAM	10803	New York State - East Hudson Pkwy
324		Weaver To Pinebrook	New Rochelle	Weaver To Pinebrook	NEW ROCHELLE	10804	New York State Of -
325		PKWY River	Pelham	PKWY River Ave	PELHAM	10803	New York State -
326		Rochelle Park To Portman	New Rochelle	Rochelle Park To Portman	NEW ROCHELLE	10801	New York State Of -
327		PKWY West Of River	Pelham	PKWY West Of River Ave	PELHAM	10803	New York State -
328		Thruway	Pelham Manor	Thruway	PELHAM	10803	New York State -
329		PKWY Pelhamdale	Pelham	PKWY Pelhamdale Ave	PELHAM	10803	New York State -
330		Hutchinson River	Pelham	Hutchinson River Pkwy	PELHAM	10803	New York State -
331	3593	OLD YORKTOWN	Yorktown	3593 OLD YORKTOWN RD.	YORKTOWN HEIGHTS	10598	STATE OF NEW YORK
332		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State Of New York
333		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
334		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
335		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
336		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State Of New York
337	1165	Arterial (Saw Mill River)	Yonkers	1165 Arterial (Saw Mill River) Rd	YONKERS	10710	State Of New York
338		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
339		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
340		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State Of New York
341		Tuckahoe	Yonkers	Tuckahoe Rd	YONKERS	10710	State Of New York
342		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
343		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State Of New York
344	88	Falcon	Yonkers	88 Falcon Rd	YONKERS	10701	State Of New York
345		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
346		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
347		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
348		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
349	63	Yonkers	Yonkers	63 Yonkers Ave	YONKERS	10701	State Of New York
350		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State Of New York
351		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
352		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
353		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
354		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
355		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
356		Old Croton	Yonkers	Old Croton Tri	YONKERS	10701	State Of New York
357		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
358		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
359		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
360		Nepperhan	Yonkers	Nepperhan Ave	YONKERS	10701	State Of New York
361		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
362		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
363		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
364		Cross Cnty	Yonkers	Cross Cnty Pkwy	YONKERS	10701	State Of New York
365		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
366		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
367		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
368		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York

Count	HouseNum	StreetName	MunName	PropAddress	PropCity	PropZip	OwnerName
369		Cross Cnty	Yonkers	Cross Cnty Pkwy	BRONXVILLE	10708	People Of The State Of New York
370		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
371		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
372		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
373 259		Riverdale	Yonkers	259 Riverdale Ave	YONKERS	10705	New York State
374		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
375 321		Riverdale	Yonkers	321 Riverdale Ave	YONKERS	10705	State Of New York
376		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
377		Cross Cnty	Yonkers	Cross Cnty Pkwy	YONKERS	10704	N.Y.S. Dot
378		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
379		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
380		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
381 198		Riverdale	Yonkers	198 Riverdale Ave	YONKERS	10705	New York State
382 371		Riverdale	Yonkers	371 Riverdale Ave	YONKERS	10705	State Of New York
383		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
384		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
385 331		Riverdale	Yonkers	331 Riverdale Ave	YONKERS	10705	New York State
386 236		Riverdale	Yonkers	236 Riverdale Ave	YONKERS	10705	State Of New York
387 170		Riverdale	Yonkers	170 Riverdale Ave	YONKERS	10705	New York State
388 270		Riverdale	Yonkers	270 Riverdale Ave	YONKERS	10705	State Of New York
389		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
390		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
391 345		Riverdale	Yonkers	345 Riverdale Ave	YONKERS	10705	State Of New York
392		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
393		Yonkers	Yonkers	Yonkers Ave	YONKERS	10704	State Of New York
394 401		Riverdale	Yonkers	401 Riverdale Ave	YONKERS	10705	State Of New York
395		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
396		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
397		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
398		Arterial	Yonkers	Arterial	BRONXVILLE	10708	State Of New York
399		Arterial	Yonkers	Arterial	BRONXVILLE	10708	State Of New York
400		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State of New York
401		Arterial	Yonkers	Arterial	YONKERS	10710	State of New York
402		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
403 5		HARDSCRABBLE	North Salem	5 HARDSCRABBLE RD	NORTH SALEM	10560	NYS DEPT TRANSPORTATION
404		POST	Scarsdale	POST RD	SCARSDALE	10583	STATE OF NEW YORK -
405		TACONIC STATE	Briarcliff Manor	TACONIC STATE PKWY	BRIARCLIFF MANOR	10510	STATE OF NY
406		Trailway	Ossining Town	Trailway	OSSINING	10562	Taconic State Park Comm -
407 1		Highland	Ossining Village	1 North Highland Ave	OSSINING	10562	New York State Dept Of - Transportation
408		Highland	Ossining Village	North Highland Ave	OSSINING	10562	New York State Dept Of - Transportation
409		Regional Office	Ossining Village	Regional Office #8	OSSINING	10562	New York State DOT
410		NEW ROCHELLE	Mount Vernon	NEW ROCHELLE RD	MOUNT VERNON	10552	STATE OF NEW YORK
411		HARDING	Mount Vernon	HARDING PKY	MOUNT VERNON	10552	STATE OF NEW YORK
412		MAC QUESTEN	Mount Vernon	MAC QUESTEN PKY ,N	MOUNT VERNON	10552	STATE OF NEW YORK
413		HILLCREST	Mount Vernon	HILLCREST RD	MOUNT VERNON	10552	STATE OF NEW YORK
414		BROAD	Mount Vernon	BROAD ST ,W	MOUNT VERNON	10552	STATE OF NEW YORK

Count	HouseNum	StreetName	MunName	PropAddress	PropCity	PropZip	OwnerName
415		PENNSYLVANIA	Mount Vernon	PENNSYLVANIA AV	MOUNT VERNON	10552	STATE OF NEW YORK
416		GRASSLANDS	Mount Pleasant	GRASSLANDS RD	HAWTHORNE	10532	STATE OF NY
417		BRONX RIVER	Mount Pleasant	BRONX RIVER PARKWAY	VALHALLA	10595	NYS DEPT OF TRANS
418		RTE 9	Mount Pleasant	RTE 9	PLEASANTVILLE	10570	STATE OF NY
419		DEWITT	Bronxville	DEWITT AVE	BRONXVILLE	10708	TACONIC STATE PARK -
420		TAXTER RD (ROCK AREA)	Greenburgh	TAXTER RD (ROCK AREA)	IRVINGTON	10533	PEOPLE OF THE STATE OF NY
421 1700		PURCHASE	Harrison	1700 PURCHASE ST	PURCHASE	10577	STATE OF NEW YORK -
422		HARRISON	Harrison	HARRISON AVE	HARRISON	10528	STATE OF NEW YORK -
423		Hutchinson River	Pelham Manor	Hutchinson River Pkwy	PELHAM	10803	New York State -
424		Hutchinson	New Rochelle	Hutchinson Riv	NEW ROCHELLE	10804	New York State Of -
425 53		Lockwood	New Rochelle	53 Lockwood Ave	NEW ROCHELLE	10801	New York State Of -
426		Lincoln	New Rochelle	Lincoln Ave	NEW ROCHELLE	10801	New York State Of -
427		Main St To Kings	New Rochelle	Main St To Kings Hwy	NEW ROCHELLE	10801	New York State Of -
428		Croton Lake	Mount Kisco	Croton Lake Rd	MOUNT KISCO	10549	NYS Dept of Transportation
429		Wilmot	New Rochelle	Wilmot Rd	NEW ROCHELLE	10804	New York State Of -
430		Wilmot To Kewanee	New Rochelle	Wilmot To Kewanee Rd	NEW ROCHELLE	10804	New York State Of -
431		Garden St. To Manhattan	New Rochelle	Garden St. To Manhattan	NEW ROCHELLE	10801	New York State Of -
432		Hutchinson River	Pelham Manor	Hutchinson River Pkwy	PELHAM	10803	New York State -
433		Arterial	Yonkers	Arterial	YONKERS	10710	State of New York
434		Arterial	Yonkers	Arterial	YONKERS	10710	State of New York
435		Arterial	Yonkers	Arterial	YONKERS	10710	State of New York
436		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
437 100		Tuckahoe	Yonkers	100 Tuckahoe Rd	YONKERS	10710	New York State
438		Arterial	Yonkers	Arterial	YONKERS	10710	State of New York
439		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State Of New York
440 77		Tuckahoe	Yonkers	77 Tuckahoe Rd	YONKERS	10710	New York State -Dot
441		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
442		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State of New York
443		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State Of New York
444		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
445		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
446		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
447		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State of New York
448		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State Of New York
449 21		Quincy	Yonkers	21 Quincy Pl	YONKERS	10701	State Of New York
450		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
451		Arterial	Yonkers	Arterial	BRONXVILLE	10708	State Of New York
452 108		Herriot	Yonkers	108 Herriot St	YONKERS	10701	State Of New York
453		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State Of New York
454		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
455		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
456		Cross Cnty	Yonkers	Cross Cnty Pkwy	BRONXVILLE	10708	State Of New York
457		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
458		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
459		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
460 213		Riverdale	Yonkers	213 Riverdale Ave	YONKERS	10705	State Of New York

Count	HouseNum	StreetName	MunName	PropAddress	PropCity	PropZip	OwnerName
461		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
462		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
463		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
464		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
465		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
466		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
467		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
468		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
469		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
470		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
471		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
472		Cross Cnty	Yonkers	Cross Cnty Pkwy	BRONXVILLE	10708	State Of New York
473 862		Bronx River	Yonkers	862 Bronx River Rd	BRONXVILLE	10708	State Of New York
474 67		Vark	Yonkers	67 Vark St	YONKERS	10701	State Of New York
475		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
476		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
477		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
478 224		Riverdale	Yonkers	224 Riverdale Ave	YONKERS	10705	State Of New York
479 363		Yonkers	Yonkers	363 Yonkers Ave	YONKERS	10701	State Of New York
480		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
481		Nepperhan	Yonkers	Nepperhan Ave	YONKERS	10701	State Of New York
482		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
483 293		Riverdale	Yonkers	293 Riverdale Ave	YONKERS	10705	State Of New York
484 137		Cross Cnty	Yonkers	137 Cross Cnty Pkwy	YONKERS	10704	State Of New York
485		Cross Cnty	Yonkers	Cross Cnty Pkwy	YONKERS	10704	State Of New York
486		Cross Cnty	Yonkers	Cross Cnty Pkwy	YONKERS	10704	State Of New York
487 327		Riverdale	Yonkers	327 Riverdale Ave	YONKERS	10705	State Of New York
488		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
489		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
490 216		Riverdale	Yonkers	216 Riverdale Ave	YONKERS	10705	State Of New York
491 325		Riverdale	Yonkers	325 Riverdale Ave	YONKERS	10705	New York State
492		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
493		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
494		Yonkers	Yonkers	Yonkers Ave	YONKERS	10701	State of New York
495 403		Riverdale	Yonkers	403 Riverdale Ave	YONKERS	10705	New York State
496		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
497		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
498		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
499		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
500		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
501		Arterial	Yonkers	Arterial	YONKERS	10710	State of New York
502		Arterial	Yonkers	Arterial	YONKERS	10710	State of New York
503		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
504		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
505		TARRYTOWN	White Plains	TARRYTOWN RD	WHITE PLAINS	10606	PEOPLE OF THE STATE OF NY -
506		HEATHCOTE	Scarsdale	HEATHCOTE RD	SCARSDALE	10583	STATE OF NEW YORK -

Count	HouseNum	StreetName	MunName	PropAddress	PropCity	PropZip	OwnerName
507			Briarcliff Manor		BRIARCLIFF MANOR	10510	STATE OF NY
508	HILLCREST		Mount Vernon	HILLCREST RD	MOUNT VERNON	10552	STATE OF NEW YORK
509	WARWICK		Mount Vernon	WARWICK AV	MOUNT VERNON	10553	STATE OF NEW YORK
510	EUCLID		Mount Vernon	EUCLID AV	MOUNT VERNON	10552	STATE OF NEW YORK
511	BATEMAN		Mount Vernon	BATEMAN PL	MOUNT VERNON	10552	STATE OF NEW YORK
512	HUTCHINSON		Mount Vernon	HUTCHINSON BLVD	PELHAM	10803	STATE OF NEW YORK
513	AUDUBON		Mount Vernon	AUDUBON AV	MOUNT VERNON	10552	STATE OF NEW YORK
514	BRADHURST		Mount Pleasant	BRADHURST AVE	HAWTHORNE	10532	STATE OF NY
515	SAW MILL RIVER		Mount Pleasant	SAW MILL RIVER RD	PLEASANTVILLE	10570	STATE OF NY
516	TACONIC STATE		Mount Pleasant	TACONIC STATE PKWY	PLEASANTVILLE	10570	STATE OF NY
517	PARKWAY		Bronxville	PARKWAY RD	BRONXVILLE	10708	TACONIC STATE PARK COMM. -
518	THRUWAY Grant		Pelham Manor	THRUWAY Grant Ave	PELHAM	10803	New York State -
519	Pinebrook Blvd. Rear		New Rochelle	Pinebrook Blvd. Rear	NEW ROCHELLE	10804	New York State Of -
520	Webster To Beechwood		New Rochelle	Webster To Beechwood Ave	NEW ROCHELLE	10801	New York State Of -
521 1	Lex Ave-Moore		Mount Kisco	1 Lex Ave-Moore Ave	MOUNT KISCO	10549	People of the State of NY
522	Central Park		Yonkers	Central Park Ave	YONKERS	10710	State Of New York
523	Arterial		Yonkers	Arterial	YONKERS	10710	State of New York
524	Central Park		Yonkers	Central Park Ave	YONKERS	10710	State of New York
525	Arterial		Yonkers	Arterial	YONKERS	10710	State of New York
526	Central Park		Yonkers	Central Park Ave	YONKERS	10710	State Of New York
527	Arterial		Yonkers	Arterial	YONKERS	10710	State Of New York
528 65	Tuckahoe		Yonkers	65 Tuckahoe Rd	YONKERS	10710	New York State
529	Central Park		Yonkers	Central Park Ave	YONKERS	10710	State of New York
530	Arterial		Yonkers	Arterial	BRONXVILLE	10708	State Of New York
531 80	Falcon		Yonkers	80 Falcon Rd	YONKERS	10701	State Of New York
532	Central Park		Yonkers	Central Park Ave	YONKERS	10710	State of New York
533	Arterial		Yonkers	Arterial	YONKERS	10710	State Of New York
534 54	Tuckahoe		Yonkers	54 Tuckahoe Rd	YONKERS	10710	N.Y.S. Dot
535	Central Park		Yonkers	Central Park Ave	YONKERS	10710	State Of New York
536	Arterial		Yonkers	Arterial	YONKERS	10701	State Of New York
537	Central Park		Yonkers	Central Park Ave	YONKERS	10710	State of New York
538	Arterial		Yonkers	Arterial	YONKERS	10710	State Of New York
539 99	Tuckahoe		Yonkers	99 Tuckahoe Rd	YONKERS	10710	New York State
540	Arterial		Yonkers	Arterial	YONKERS	10710	State of New York
541	Arterial		Yonkers	Arterial	YONKERS	10701	State Of New York
542	Arterial		Yonkers	Arterial	YONKERS	10710	State Of New York
543	Arterial		Yonkers	Arterial	YONKERS	10701	State Of New York
544	Arterial		Yonkers	Arterial	YONKERS	10701	State Of New York
545	Arterial		Yonkers	Arterial	YONKERS	10703	State Of New York
546 5	Crystal		Yonkers	5 Crystal PI	YONKERS	10701	New York State -D.o.t.
547 166	Riverdale		Yonkers	166 Riverdale Ave	YONKERS	10701	State Of New York
548	Arterial		Yonkers	Arterial	YONKERS	10701	State Of New York
549	Arterial		Yonkers	Arterial	YONKERS	10703	State Of New York
550	Arterial		Yonkers	Arterial	YONKERS	10710	State Of New York
551	Arterial		Yonkers	Arterial	YONKERS	10703	State Of New York
552	Arterial		Yonkers	Arterial	YONKERS	10704	State Of New York

Count	HouseNum	StreetName	MunName	PropAddress	PropCity	PropZip	OwnerName
553		Old Croton	Yonkers	Old Croton Trl	YONKERS	10701	State Of New York
554	272	Nepperhan	Yonkers	272 Nepperhan Ave	YONKERS	10701	State Of New York
555		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
556		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
557	3	Archer	Yonkers	3 Archer St	YONKERS	10701	New York State
558		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
559		Old Croton	Yonkers	Old Croton Trl	YONKERS	10701	State Of New York
560		Woodruff	Yonkers	Woodruff Ave	BRONXVILLE	10708	State Of New York
561		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
562		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
563	224	Riverdale	Yonkers	224 Riverdale Ave	YONKERS	10705	State Of New York
564	202	Riverdale	Yonkers	202 Riverdale Ave	YONKERS	10705	State Of New York
565		Cross Cnty	Yonkers	Cross Cnty Pkwy	BRONXVILLE	10708	State Of New York
566		Cross Cnty	Yonkers	Cross Cnty Pkwy	BRONXVILLE	10708	State Of New York
567		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
568		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
569		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
570		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
571		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
572	122	Warburton	Yonkers	122 Warburton Ave	YONKERS	10701	State Of New York
573	174	Riverdale	Yonkers	174 Riverdale Ave	YONKERS	10705	State Of New York
574		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
575		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
576		Yonkers	Yonkers	Yonkers Ave	YONKERS	10701	State Of New York
577		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
578		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
579		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
580		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
581		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
582		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
583		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
584		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
585	238	Riverdale	Yonkers	238 Riverdale Ave	YONKERS	10705	New York State
586		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
587		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
588		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
589	339	Riverdale	Yonkers	339 Riverdale Ave	YONKERS	10705	State Of New York
590	391	Riverdale	Yonkers	391 Riverdale Ave	YONKERS	10705	State Of New York
591	1019	Yonkers	Yonkers	1019 Yonkers Ave	YONKERS	10704	State Of New York
592		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
593		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
594		Arterial	Yonkers	Arterial	BRONXVILLE	10708	State Of New York
595		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
596		Cross Cnty	Yonkers	Cross Cnty Pkwy	YONKERS	10704	State Of New York
597		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
598		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State of New York

Count	HouseNum	StreetName	MunName	PropAddress	PropCity	PropZip	OwnerName
599		Arterial	Yonkers	Arterial	YONKERS	10710	State of New York
600		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State Of New York
601		Arterial	Yonkers	Arterial	YONKERS	10710	State of New York
602		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State of New York
603		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
604		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
605		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
606			North Salem		PURDYS	10578	NYS DEPT TRANSPORTATION
607		NE	Rye City	NE THRUWAY	RYE	10580	ST NY THRUWAY AUTHORITY
608		HUTCHINSON	Mount Vernon	HUTCHINSON BLVD	MOUNT VERNON	10552	STATE OF NEW YORK
609		BRADFORD	Mount Vernon	BRADFORD RD	PELHAM	10803	STATE OF NEW YORK
610		SHERMAN	Mount Vernon	SHERMAN AV	MOUNT VERNON	10552	STATE OF NEW YORK
611		SAW MILL RIVER	Mount Pleasant	SAW MILL RIVER RD	PLEASANTVILLE	10570	STATE OF NY
612		SAW MILL RIVER	Mount Pleasant	SAW MILL RIVER RD	PLEASANTVILLE	10570	STATE OF NY TACONIC STATE
613		SAW MILL RIVER	Mount Pleasant	SAW MILL RIVER RD	HAWTHORNE	10532	STATE OF NY
614		TACONIC STATE	Mount Pleasant	TACONIC STATE PKWY	PLEASANTVILLE	10570	STATE OF NY
615		TACONIC STATE	Mount Pleasant	TACONIC STATE PKWY	BRIARCLIFF MANOR	10510	STATE OF NY
616		NEPERAN	Pleasantville	NEPERAN TERR	PLEASANTVILLE	10570	STATE OF NY
617		MANHATTAN AVE (WIRE EASE)	Greenburgh	MANHATTAN AVE (WIRE EASE)	WHITE PLAINS	10603	PEOPLE OF THE STATE OF NY
618 1777		PURCHASE	Harrison	1777 PURCHASE ST	PURCHASE	10577	STATE OF NEW YORK -
619		BOWMAN	Harrison	BOWMAN AVE	RYE	10580	STATE OF NEW YORK -
620		Potter Ave & Portman	New Rochelle	Potter Ave & Portman Rd	NEW ROCHELLE	10801	New York State Of -
621 1		Lex Ave-Moore	Mount Kisco	1 Lex Ave-Moore Ave	MOUNT KISCO	10549	People Of The State of NY
622		Portman To Mam	New Rochelle	Portman To Mam	NEW ROCHELLE	10801	New York State Of -
623 1		Lex Ave-Smith	Mount Kisco	1 Lex Ave-Smith Ave	MOUNT KISCO	10549	People of the State of NY
624		Parkway	Pelham	Parkway	PELHAM	10803	New York State -
625		Chestnut	Pelham	Chestnut Ave	PELHAM	10803	New York State -
626		Hutchinson	New Rochelle	Hutchinson Riv	NEW ROCHELLE	10804	New York State Of -
627		Hutchinson	New Rochelle	Hutchinson Riv	NEW ROCHELLE	10804	New York State Of -
628		North Ave & Mill	New Rochelle	North Ave & Mill Rd	NEW ROCHELLE	10804	New York State Of -
629		RTE 6	Somers	RTE 6	YORKTOWN HEIGHTS	10598	NEW YORK STATE
630		Brundage Ridge	Bedford	Brundage Ridge Rd	BEDFORD	10506	Town Of Bedford
631		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
632		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
633		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
634		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York - Arterial
635		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State Of New York
636		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
637		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
638		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
639		Arterial	Yonkers	Arterial	BRONXVILLE	10708	State Of New York
640		Central Park	Yonkers	Central Park Ave	BRONXVILLE	10708	State Of New York
641		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
642		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
643		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
644		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York

Count	HouseNum	StreetName	MunName	PropAddress	PropCity	PropZip	OwnerName
645		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
646	65	Moquette	Yonkers	65 Moquette Row	YONKERS	10703	State Of New York
647	154	Tuckahoe	Yonkers	154 Tuckahoe Rd	YONKERS	10701	N.Y.S. Dot
648		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
649		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
650		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
651		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
652		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
653		Odell	Yonkers	Odell Pkwy	BRONXVILLE	10708	State Of New York
654		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
655		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
656		Old Croton	Yonkers	Old Croton Trl	YONKERS	10701	State Of New York
657	234	Riverdale	Yonkers	234 Riverdale Ave	YONKERS	10705	State Of New York
658		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
659		Yonkers	Yonkers	Yonkers Ave	YONKERS	10704	State Of New York
660		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
661		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
662		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
663		Old Croton	Yonkers	Old Croton Trl	YONKERS	10705	State Of New York
664		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
665		Yonkers	Yonkers	Yonkers Ave	YONKERS	10704	State Of New York
666		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
667		Cross Cnty	Yonkers	Cross Cnty Pkwy	BRONXVILLE	10708	State Of New York
668		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
669		Cross Cnty	Yonkers	Cross Cnty Pkwy	BRONXVILLE	10708	People Of The State Of New York
670	226	Riverdale	Yonkers	226 Riverdale Ave	YONKERS	10705	State Of New York
671		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
672		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
673		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
674	337	Riverdale	Yonkers	337 Riverdale Ave	YONKERS	10705	State Of New York
675		Yonkers	Yonkers	Yonkers Ave	YONKERS	10701	State of New York
676		Nepperhan	Yonkers	Nepperhan Ave	YONKERS	10701	State Of New York
677		Cross Cnty	Yonkers	Cross Cnty Pkwy	YONKERS	10704	State Of New York
678	247	Riverdale	Yonkers	247 Riverdale Ave	YONKERS	10705	State Of New York
679		Old Croton	Yonkers	Old Croton Trl	YONKERS	10705	State Of New York
680	269	Riverdale	Yonkers	269 Riverdale Ave	YONKERS	10705	State Of New York
681		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
682		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
683	249	Riverdale	Yonkers	249 Riverdale Ave	YONKERS	10705	State Of New York
684		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
685	239	Riverdale	Yonkers	239 Riverdale Ave	YONKERS	10705	State Of New York
686	267	Riverdale	Yonkers	267 Riverdale Ave	YONKERS	10705	New York State
687		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
688	387	Riverdale	Yonkers	387 Riverdale Ave	YONKERS	10705	State Of New York
689		Yonkers	Yonkers	Yonkers Ave	YONKERS	10704	State Of Ny
690	395	Riverdale	Yonkers	395 Riverdale Ave	YONKERS	10705	New York State

Count	HouseNum	StreetName	MunName	PropAddress	PropCity	PropZip	OwnerName
691	373	Riverdale	Yonkers	373 Riverdale Ave	YONKERS	10705	State Of New York
692		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
693		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
694		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
695		Arterial	Yonkers	Arterial	BRONXVILLE	10708	State Of New York
696		Cross Cnty	Yonkers	Cross Cnty Pkwy	YONKERS	10704	State Of New York
697		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State Of New York
698		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
699		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
700		NE	Rye City	NE THRUWAY	RYE	10580	ST NY THRUWAY AUTHORITY
701		TARRYTOWN	White Plains	TARRYTOWN RD	WHITE PLAINS	10606	PEOPLE OF THE STATE OF NY -
702			Briarcliff Manor		BRIARCLIFF MANOR	10510	STATE OF NY
703		TAMERTON	Mount Vernon	TAMERTON ST	MOUNT VERNON	10552	STATE OF NEW YORK
704		BROOKSIDE	Mount Vernon	BROOKSIDE AV	MOUNT VERNON	10553	STATE OF NEW YORK
705		PELHAMDALE	Mount Vernon	PELHAMDALE AV	MOUNT VERNON	10553	STATE OF NEW YORK
706	369	COLUMBUS	Mount Vernon	369 COLUMBUS AV ,N	MOUNT VERNON	10552	STATE OF NEW YORK
707		LINCOLN	Mount Vernon	LINCOLN AV ,E	MOUNT VERNON	10553	COUNTY OF WESTCHESTER
708		BRADLEY	Mount Vernon	BRADLEY AV	MOUNT VERNON	10552	STATE OF NEW YORK
709		HUTCHINSON	Mount Vernon	HUTCHINSON BLVD	MOUNT VERNON	10552	STATE OF NEW YORK
710		AUDUBON	Mount Vernon	AUDUBON AV	MOUNT VERNON	10552	STATE OF NEW YORK
711		AUDUBON	Mount Vernon	AUDUBON AV	MOUNT VERNON	10552	STATE OF NEW YORK
712		PLEASANTVILLE	Briarcliff Manor	PLEASANTVILLE RD	BRIARCLIFF MANOR	10510	STATE OF NY
713		SAW MILL RIVER	Mount Pleasant	SAW MILL RIVER RD	HAWTHORNE	10532	STATE OF NY
714		TACONIC STATE	Mount Pleasant	TACONIC STATE PKWY	PLEASANTVILLE	10570	STATE OF NY
715		BROADWAY	Mount Pleasant	BROADWAY	TARRYTOWN	10591	STATE OF NY
716		NY CENTRAL RR	Pleasantville	NY CENTRAL RR	PLEASANTVILLE	10570	STATE OF NY
717		The	New Rochelle	The Court	NEW ROCHELLE	10801	New York State Of -
718		PKWY 1st St & 1St	Pelham	PKWY 1st St & 1St Ave	PELHAM	10803	New York State
719		Flandreau & Argyll	New Rochelle	Flandreau & Argyll Ave	NEW ROCHELLE	10804	Westchester County Of -
720		PKWY 1st	Pelham	PKWY 1st Ave	PELHAM	10803	New York State -
721		PKWY West Of First	Pelham	PKWY West Of First Ave	MOUNT VERNON	10553	New York State -
722		North	New Rochelle	North Ave	NEW ROCHELLE	10801	New York State Of -
723	139	Tuckahoe	Yonkers	139 Tuckahoe Rd	YONKERS	10710	New York State
724		Arterial	Yonkers	Arterial	YONKERS	10710	State of New York
725		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State Of New York
726		Arterial	Yonkers	Arterial	BRONXVILLE	10708	State Of New York
727		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
728		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State of New York
729	141	Tuckahoe	Yonkers	141 Tuckahoe Rd	YONKERS	10710	New York State
730		Central Park	Yonkers	Central Park Ave	YONKERS	10710	State Of New York
731		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
732	101	Tuckahoe	Yonkers	101 Tuckahoe Rd	YONKERS	10710	New York State
733		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
734		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
735		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
736		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York

Count	HouseNum	StrestName	MunName	PropAddress	PropCity	PropZip	OwnerName
737	142	Tuckahoe	Yonkers	142 Tuckahoe Rd	YONKERS	10710	New York State - D.o.I.
738		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
739		Bryn Mawr	Yonkers	Bryn Mawr Pkwy	BRONXVILLE	10708	State Of New York
740		Arterial	Yonkers	Arterial	YONKERS	10710	State Of New York
741		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
742		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
743		Palmer	Yonkers	Palmer Rd	YONKERS	10701	State Of New York
744		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
745		Cross Cnty	Yonkers	Cross Cnty Pkwy	BRONXVILLE	10708	State Of New York
746		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
747		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
748		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
749		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
750		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
751		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
752		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
753		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
754		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
755		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
756		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
757		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
758		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
759		Arterial	Yonkers	Arterial	YONKERS	10703	State Of New York
760		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
761		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
762		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
763		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
764	255	Riverdale	Yonkers	255 Riverdale Ave	YONKERS	10705	State Of New York
765		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
766		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
767		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
768		Arterial	Yonkers	Arterial	YONKERS	10701	State Of New York
769		Cross Cnty	Yonkers	Cross Cnty Pkwy	YONKERS	10704	State Of New York
770		Nepperhan	Yonkers	Nepperhan Ave	YONKERS	10701	State Of New York
771		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
772	208	Riverdale	Yonkers	208 Riverdale Ave	YONKERS	10705	New York State
773		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
774	309	Riverdale	Yonkers	309 Riverdale Ave	YONKERS	10705	State Of New York
775	210	Riverdale	Yonkers	210 Riverdale Ave	YONKERS	10705	State Of New York
776	214	Riverdale	Yonkers	214 Riverdale Ave	YONKERS	10705	State Of New York
777		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
778	289	Riverdale	Yonkers	289 Riverdale Ave	YONKERS	10705	State Of New York
779		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
780		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York
781	353	Riverdale	Yonkers	353 Riverdale Ave	YONKERS	10705	State Of New York
782		Arterial	Yonkers	Arterial	YONKERS	10704	State Of New York

Count	HouseNum	StreetName	MunName	PropAddress	PropCity	PropZip	OwnerName
783	Yonkers		Yonkers	Yonkers Ave	YONKERS	10704	State Of Ny
784	Arterial		Yonkers	Arterial	YONKERS	10704	State Of New York
785	Arterial		Yonkers	Arterial	YONKERS	10704	State Of New York
786	Arterial		Yonkers	Arterial	BRONXVILLE	10708	State Of New York
787	Arterial		Yonkers	Arterial	YONKERS	10710	State of New York
788	Arterial		Yonkers	Arterial	YONKERS	10710	State of New York
789	Central Park		Yonkers	Central Park Ave	YONKERS	10710	State of New York
790	Arterial		Yonkers	Arterial	YONKERS	10701	State Of New York
791	Central Park		Yonkers	Central Park Ave	YONKERS	10710	State Of New York
792	NE		Rye City	NE THRUWAY	RYE	10580	N Y THRUWAY & EXPRESSWAY
793	BANK		White Plains	BANK ST	WHITE PLAINS	10606	PEOPLE OF THE STATE OF NY -
794	HUTCHINSON		Mount Vernon	HUTCHINSON BLVD	PELHAM	10803	STATE OF NEW YORK
795	RICH		Mount Vernon	RICH AV	MOUNT VERNON	10552	STATE OF NEW YORK
796	WESTCHESTER		Mount Vernon	WESTCHESTER AV	MOUNT VERNON	10552	STATE OF NEW YORK
797	CALIFORNIA		Mount Vernon	CALIFORNIA RD	BRONXVILLE	10708	STATE OF NEW YORK
798	BRADFORD		Mount Vernon	BRADFORD RD	MOUNT VERNON	10553	STATE OF NEW YORK
799	LINCOLN		Mount Vernon	LINCOLN AV ,E	MOUNT VERNON	10553	STATE OF NEW YORK
800	PLEASANTVILLE		Briarcliff Manor	PLEASANTVILLE RD	BRIARCLIFF MANOR	10510	STATE OF NY
801	RTE 117		Mount Pleasant	RTE 117	TARRYTOWN	10591	STATE OF NY
802	SPRAIN BROOK		Mount Pleasant	SPRAIN BROOK PKWY	HAWTHORNE	10532	STATE OF NY
803	SAW MILL RIVER		Mount Pleasant	SAW MILL RIVER RD	PLEASANTVILLE	10570	STATE OF NY
804	BEDFORD		Mount Pleasant	BEDFORD RD	PLEASANTVILLE	10570	STATE OF NY
805	BEDFORD		Mount Pleasant	BEDFORD RD	BRIARCLIFF MANOR	10510	STATE OF NY
806	TACONIC STATE		Mount Pleasant	TACONIC STATE PKWY	BRIARCLIFF MANOR	10510	STATE OF NY
807	TACONIC STATE		Mount Pleasant	TACONIC STATE PKWY	PLEASANTVILLE	10570	STATE OF NY
808	VANDERBILT		Pleasantville	VANDERBILT AVE	PLEASANTVILLE	10570	STATE OF NY
809	NY CENTRAL RR		Pleasantville	NY CENTRAL RR	PLEASANTVILLE	10570	STATE OF NY
810	NY CENTRAL RR		Pleasantville	NY CENTRAL RR	PLEASANTVILLE	10570	STATE OF NY
811	DEWITT		Bronxville	DEWITT AVE	BRONXVILLE	10708	TACONIC PARK STATE COMM. -
812	North Ave & Garden		New Rochelle	North Ave & Garden St	NEW ROCHELLE	10801	New York State Of -
813	Lemke		New Rochelle	Lemke Pl	NEW ROCHELLE	10801	New York State Of -
814	Division St To		New Rochelle	Division St To Cres	NEW ROCHELLE	10801	New York State Of -
815	Croton Lake		Mount Kisco	Croton Lake Rd	MOUNT KISCO	10549	NYS Dept of Transportation
816 1	Lex Ave-Columbus		Mount Kisco	1 Lex Ave-Columbus Ave	MOUNT KISCO	10549	People Of The State of NY
817	Division St To North		New Rochelle	Division St To North Ave	NEW ROCHELLE	10801	State Of New York -

Exhibit "M"

The population of the County which will bear the costs of the improvements is 967,506.

DRAFT

ACT NO. _____ - 2021

BOND ACT DATED _____, 2021.

A BOND ACT OF THE COUNTY OF WESTCHESTER, NEW YORK, AMENDING BOND ACT NO. 193-2015, WHICH PROVIDED FOR THE ISSUANCE OF \$500,000 BONDS TO PAY THE DESIGN AND CONSTRUCTION MANAGEMENT COST FOR REHABILITATION AND CAPITAL MAINTENANCE OF THE EXISTING ONE AND ONE-HALF MILLION GALLON WATER STORAGE TOWER FOR THE GRASSLANDS RESERVATION, IN AND FOR COUNTY WATER DISTRICT NO. 3, TO EXPAND THE PURPOSES FINANCED TO INCLUDE CONSTRUCTION AND VARIOUS OTHER SITE IMPROVEMENTS, TO INCREASE THE PERIOD OF PROBABLE USEFULNESS TO FORTY YEARS, TO INCREASE THE MAXIMUM ESTIMATED COST TO \$9,800,000 AND TO PROVIDE AN ADDITIONAL \$9,300,000 BONDS FOR SAID PURPOSE.

WHEREAS, this Board has previously authorized the issuance of \$500,000 bonds to finance design and construction management costs for the rehabilitation and capital maintenance of the existing one and one-half million gallon water storage tower for the Grasslands Reservation, in and for County Water District No. 3, pursuant to Bond Act No. 193-2015;

WHEREAS, no obligations have been issued under Bond Act No. 193-2015;

WHEREAS, it has now been determined (i) that the aforesaid specific object or purpose should now be expanded to include design, construction management and construction costs for the rehabilitation and capital maintenance of the water storage tower for the Grasslands Reservation and various site improvements, including paving of the service roadway, drainage improvements, fencing, electrical improvements, piping and valve work and instrumentation, in and for the benefit of the County Water District No. 3 (ii) that the period of probable usefulness of the specific object or purpose described in Bond Act No. 193-2015 should be increased to forty years pursuant to subdivision one of paragraph a of Section 11.00 of the Local Finance Law, and (iii) that the maximum estimated cost thereof is now \$9,800,000;

WHEREAS, \$9,800,000 has been appropriated in the Capital Budget of the County for the aforesaid specific object or purpose;

WHEREAS, the cost of said specific object or purpose shall be specially assessed against properties in the County Water District No. 3, which are specially benefitted by said specific object or purpose;and

WHEREAS, the aforesaid class of objects or purposes cannot be undertaken unless and until the County determines that said class of objects or purposes is in the public interest and the consent of the State Comptroller is obtained under Section 268 of the County Law;

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER, NEW YORK (by the affirmative vote of not less than two-thirds of the voting strength of said Board), as follows:

Section (A): The bond act duly adopted by this Board entitled

ACT NO. 193-2015.

A BOND ACT AUTHORIZING THE ISSUANCE OF \$500,000 BONDS OF THE COUNTY OF WESTCHESTER, NEW YORK, TO PAY THE COSTS OF DESIGN AND CONSTRUCTION MANAGEMENT FOR THE REHABILITATION AND CAPITAL MAINTENANCE OF THE EXISTING ONE AND ONE-HALF MILLION GALLON WATER STORAGE TOWER FOR THE GRASSLANDS RESERVATION, IN AND FOR COUNTY WATER DISTRICT NO. 3.

is hereby amended to read as follows:

A BOND ACT AUTHORIZING THE ISSUANCE OF \$9,800,000 BONDS OF THE COUNTY OF WESTCHESTER, NEW YORK, TO PAY THE COSTS OF DESIGN, CONSTRUCTION MANAGEMENT AND CONSTRUCTION FOR THE REHABILITATION AND CAPITAL MAINTENANCE OF THE WATER STORAGE TOWER FOR THE GRASSLANDS RESERVATION AND VARIOUS OTHER SITE IMPROVEMENTS, IN AND FOR COUNTY WATER DISTRICT NO. 3.

WHEREAS, the capital project hereinafter described has been duly approved in the adopted capital budget for the current fiscal year;

WHEREAS, the plan for the financing of the maximum estimated cost of such capital project, as hereinafter set forth in this Bond Act, is in conformity with such capital budget;

WHEREAS, the aforesaid class of objects or purposes cannot be undertaken unless and until the County determines that said class of objects or purposes is in the public interest and the consent of the State Comptroller is obtained under Section 268 of the County Law;

WHEREAS, all other conditions precedent to the financing of the capital project hereinafter described, and compliance with the provisions of the State Environmental Quality Review Act, to the extent required, have been performed;

WHEREAS, it is now desired to authorize the financing of the costs of such capital project allocable to the County Water District No. 3; NOW, THEREFORE,

BE IT ENACTED, by the Board of Legislators of the County of Westchester, New York, by the affirmative vote of not less than two thirds of the entire voting strength thereof, as follows:

Section 1. There are hereby authorized to be issued \$9,800,000 bonds of the County of Westchester to finance the design, construction management and construction costs for the rehabilitation and capital maintenance of the existing water storage tower for the Grasslands Reservation, which shall include, but not be limited to, painting and coating of the interior and exterior of the storage tower, paving of the existing service roadways and area surrounding the tank, required drainage remediation work, housekeeping site work, electrical and control instrumentation improvements, and piping and valve work, and incidental expenses in connection therewith, which is a specific object or purpose, in and for the benefit of the County Water District No. 3. To the extent that the details of the aforesaid specific object or purpose set forth in this act are inconsistent with any details set forth in the current Capital Budget of the County, such Budget shall be deemed and is hereby amended to the extent inconsistent herewith.

Section 2. It is hereby determined that the maximum estimated cost of the aforesaid specific object or purpose is \$9,800,000, and that the plan for the financing thereof is by the issuance of the \$9,800,000 bonds of said County authorized to be issued pursuant to this Bond Act.

Section 3. It is hereby determined that the period of probable usefulness of the aforesaid specific object or purpose is forty years pursuant to subdivision one of paragraph a of Section 11.00 of the Local Finance Law.

Section 4. Subject to the provisions of the Local Finance Law, the power to authorize the issuance of and to sell bond anticipation notes in anticipation of the issuance and sale of the bonds herein authorized, including renewals of such notes, is hereby delegated to the Commissioner of Finance, the chief fiscal officer. Such notes shall be of such terms, form and contents, and shall be sold in such manner, as may be prescribed by said Commissioner of Finance, consistent with the provisions of the Local Finance Law.

Section 5. The faith and credit of the County of Westchester, New York, are hereby irrevocably pledged for the payment of the principal of and interest on such obligations as the same respectively become due and payable. An annual appropriation shall be made in each year sufficient to pay the principal of and interest on such obligations becoming due and payable in such year. To the extent not paid from the assessment of properties assessable for this purpose in County Water District No. 3, or other sources, there shall annually be levied on all the taxable real property of said County a tax sufficient to pay the principal of and interest on such obligations as the same become due and payable.

Section 6. Such bonds shall be in fully registered form and shall be signed in the name of the County of Westchester, New York, by the manual or facsimile signature of the Commissioner of Finance and a facsimile of the corporate seal shall be imprinted or impressed thereon and may be attested by the manual or facsimile signature of the County Clerk.

Section 7. The powers and duties of advertising such bonds for sale, conducting the sale and awarding the bonds, are hereby delegated to the Commissioner of Finance, who shall advertise such bonds for sale, conduct the sale, and award the bonds in such manner as said Commissioner of Finance shall deem best for the interests of the County; including, but not limited

to, the power to sell said bonds to the New York State Environmental Facilities Corporation; provided, however, that in the exercise of these delegated powers, the Commissioner of Finance shall comply fully with the provisions of the Local Finance Law and any order or rule of the State Comptroller applicable to the sale of municipal bonds. The receipt of the Commissioner of Finance shall be a full acquittance to the purchaser of such bonds, who shall not be obliged to see to the application of the purchase money.

Section 8. The Commissioner of Finance is hereby further delegated the power to authorize the sale and issuance of the bonds authorized pursuant to this Bond Act (a) at a discount in the manner authorized by paragraphs e and f of Section 57.00 of the Local Finance Law, (b) at private sale pursuant to the applicable provisions of the Local Finance Law and any regulations of the New York State Comptroller appertaining thereto, including the private sale of bonds at a premium, (c) as capital appreciation bonds or term bonds at public sale or private sale pursuant to the applicable provisions of the Local Finance Law and any regulations of the New York State Comptroller appertaining thereto, and (d) at a variable rate of interest in the manner authorized by Section 54.90 of the Local Finance Law, including notes issued in anticipation thereof. The Commissioner of Finance is hereby authorized to enter into such agreements as said Commissioner of Finance shall determine reasonable and necessary to facilitate the issuance, sale, resale and, or repurchase of such bonds or notes pursuant to the provisions of Section 54.90 of the Local Finance Law. Such bonds and, or notes shall be of such terms, form and contents, and shall be sold in such manner, as may be prescribed by said Commissioner of Finance.

Section 9. The power to issue and sell notes to the New York State Environmental Facilities Corporation pursuant to Section 169.00 of the Local Finance Law is hereby delegated to the Commissioner of Finance. Such notes shall be of such terms, form and contents as may be prescribed by said Commissioner of Finance consistent with the provisions of the Local Finance Law.

Section 10. The Commissioner of Finance is hereby further authorized, at his or her sole discretion, to execute a project financing and loan agreement, and any other agreements with the New York State Department of Environmental Conservation and/or the New York State Environmental Facilities Corporation, including amendments thereto, and including any instruments (or amendments thereto) in the effectuation thereof, in order to effect the financing or refinancing of the specific object or purpose described in Section 1 hereof, or a portion thereof, by a bond, and, or note issue of said County in the event of the sale of same to the New York State Environmental Facilities Corporation.

Section 11. The intent of this Bond Act is to give the Commissioner of Finance sufficient authority to execute those applications, agreements, instruments or to do any similar acts necessary to effect the issuance of the aforesaid serial bonds and, or notes without resorting to further action of this Board of Legislators, provided, however, that no such bonds or notes shall be issued prior to the determination that the aforesaid class of objects or purposes is in the public interest and the consent of the State Comptroller is obtained under Section 268 of the County Law.

Section 12. All other matters, except as provided herein relating to such bonds, including determining whether to issue such bonds having substantially level or declining annual debt service and all matters related thereto, prescribing whether manual or facsimile signatures shall appear on said bonds, prescribing the method for the recording of ownership of said bonds, appointing the fiscal agent or agents for said bonds, providing for the printing and delivery of said bonds (and if said bonds are to be executed in the name of the County by the facsimile signature of the Commissioner of Finance and, providing for the manual countersignature of a fiscal agent or of a designated official of the County), the date, denominations, maturities and interest payment dates, place or places of payment, and also including the consolidation with other issues, shall be determined by the Commissioner of Finance. It is hereby determined that it is to the financial advantage of the County not to impose and collect from registered owners of such bonds any

charges for mailing, shipping and insuring bonds transferred or exchanged by the fiscal agent, and, accordingly, pursuant to paragraph c of Section 70.00 of the Local Finance Law, no such charges shall be so collected by the fiscal agent. Such bonds shall contain substantially the recital of validity clause provided for in Section 52.00 of the Local Finance Law and shall otherwise be in such form and contain such recitals in addition to those required by Section 52.00 of the Local Finance Law, as the Commissioner of Finance shall determine.

Section 13. The validity of such bonds and bond anticipation notes may be contested only if:

- 1) Such obligations are authorized for an object or purpose for which said County is not authorized to expend money, or
- 2) The provisions of law which should be complied with at the date of publication of this Bond Act are not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or
- 3) Such obligations are authorized in violation of the provisions of the Constitution.

Section 14. This Bond Act shall constitute a statement of official intent for purposes of Treasury Regulations Section 1.150 - 2. Other than as specified in this Bond Act, no moneys are, or are reasonably expected to be, reserved, allocated on a long-term basis, or otherwise set aside with respect to the permanent funding of the object or purpose described herein.

Section 15. This Bond Act, which shall take effect immediately in accordance with the provisions of Section 33.10 of the Local Finance Law and as provided in Section 107.71 of the Westchester County Charter, shall be published in summary form in the official newspaper of said County for purposes of this Bond Act, together with a notice of the Clerk and Chief Administrative

Officer of the County Board of Legislators in substantially the form provided in Section 81.00 of the Local Finance Law.

Section (B). The amendments of the bond act set forth in Section (A) of this act shall in no way affect the validity of the liabilities incurred, obligations issued, or action taken pursuant to said bond act, and all such liabilities incurred, obligations issued, or action taken shall be deemed to have been incurred, issued or taken pursuant to said bond act, as so amended.

Section (C). This Act shall take effect immediately upon approval by the County Executive.

The foregoing Bond Act was duly put to a vote which resulted as follows:

AYES:

NOES:

ABSENT:

The Bond Act was thereupon declared duly adopted.

* * *

APPROVED BY THE COUNTY EXECUTIVE

Date: _____, 2021

STATE OF NEW YORK)
) ss.:
COUNTY OF WESTCHESTER)

I, the undersigned Clerk and Chief Administrative Officer of the County Board of Legislators of the County of Westchester, New York, DO HEREBY CERTIFY:

That I have compared the annexed extract of the minutes of the meeting of the Board of Legislators of said County, including the Bond Act contained therein, held on _____, 2021, with the original thereof on file in my office, and that the same is a true and correct transcript therefrom and of the whole of said original so far as the same relates to the subject matters therein referred to.

I FURTHER CERTIFY that all members of said Board had due notice of said meeting.

I FURTHER CERTIFY that, pursuant to Section 103 of the Public Officers Law (Open Meetings Law), said meeting was open to the general public.

I FURTHER CERTIFY that, PRIOR to the time of said meeting, I duly caused a public notice of the time and place of said meeting to be given to the following newspapers and/or other news media as follows:

Newspaper and/or Other News Media

Date Given

I FURTHER CERTIFY that PRIOR to the time of said meeting, I duly caused public notice of the time and place of said meeting to be conspicuously posted in the following designated public location(s) on the following dates:

Designated Location(s) of Posted Notices

Date of Posting

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said County Board of Legislators on _____, 2021.

Clerk and Chief Administrative Officer of the County Board of Legislators
of the County of Westchester, New York

(CORPORATE
SEAL)

LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on _____, 2021 and approved by the County Executive on _____, 2021 and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk and Chief Administrative Officer of the County Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. _____-2021

A BOND ACT OF THE COUNTY OF WESTCHESTER, NEW YORK, AMENDING BOND ACT NO. 193-2015, WHICH PROVIDED FOR THE ISSUANCE OF \$500,000 BONDS TO PAY THE DESIGN AND CONSTRUCTION MANAGEMENT COST FOR REHABILITATION AND CAPITAL MAINTENANCE OF THE EXISTING ONE AND ONE-HALF MILLION GALLON WATER STORAGE TOWER FOR THE GRASSLANDS RESERVATION, IN AND FOR COUNTY WATER DISTRICT NO. 3, TO EXPAND THE PURPOSES FINANCED TO INCLUDE CONSTRUCTION AND VARIOUS OTHER SITE IMPROVEMENTS, TO INCREASE THE PERIOD OF PROBABLE USEFULNESS TO FORTY YEARS, TO INCREASE THE MAXIMUM ESTIMATED COST TO \$9,800,000 AND TO PROVIDE AN ADDITIONAL \$9,300,000 BONDS FOR SAID PURPOSE.

Specific object or purpose:	to finance design, construction management and construction costs for the rehabilitation and capital maintenance of the existing one and one-half million gallon water storage tower for the Grasslands Reservation, which shall include, but not be limited to, painting and coating of the interior and exterior of the storage tower, paving of the existing service roadways and area surrounding the tank, required drainage remediation work, housekeeping site work, electrical and control instrumentation improvements, and piping and valve work, and incidental expenses in connection therewith, in and for the benefit of the County Water District No. 3.
-----------------------------	---

period of probable usefulness:	forty years
--------------------------------	-------------

amount of obligations to be issued:	\$9,800,000
-------------------------------------	-------------

Dated: _____, 2021
White Plains, New York

Clerk and Chief Administrative Officer of the County Board of Legislators of the County of Westchester, New York

Project ID:*

WD309

☐ CBA**Fact Sheet Date:***

01-04-2021

Fact Sheet Year:*

2021

Project Title:*WATER STORAGE FACILITIES AND
MAINTENANCE PROGRAM**Legislative District ID:**

3, 12, 8,

Category*

SEWER AND WATER DISTRICTS

Department:*

ENVIRONMENTAL FACILITIES

CP Unique ID:

1609

Overall Project Description

This project will fund the maintenance, including but not limited to the inspection, cleaning, repair, re-coating and painting, of the existing 1.5 million gallon water storage tower for the Grasslands Reservation in Water District No. 3. In addition to the water storage tower rehabilitation and maintenance, other work shall include but not be limited to, asphalt paving of the existing service roadway and required drainage remediation work, housekeeping site work, electrical improvements, piping and valving work, and instrumentation.

☐ Best Management Practices☐ Energy Efficiencies☒ Infrastructure☒ Life Safety☐ Project Labor Agreement☐ Revenue☐ Security☐ Other**FIVE-YEAR CAPITAL PROGRAM (in thousands)**

	Estimated Ultimate Total Cost	Appropriated	2021	2022	2023	2024	2025	Under Review
Gross	9,800	9,800	0	0	0	0	0	0
Less Non-County Shares	0	0	0	0	0	0	0	0
Net	9,800	9,800	0	0	0	0	0	0

Expended/Obligated Amount (in thousands) as of : 500

Current Bond Description: Construction funding for the rehabilitation and repainting of the Water District No. 3 water tower serving the Grasslands Campus located in Valhalla. Work will also include paving of the existing service roadway, miscellaneous site improvements such as fencing and asphalt, electrical improvements, instrumentation and controls, and piping and valve work.

Financing Plan for Current Request:

Non-County Shares:	\$ 0
Bonds/Notes:	9,300,000
Cash:	0
Total:	\$ 9,300,000

SEQR Classification:

UNLISTED

Amount Requested:

9,300,000

Comments:

Area to be disturbed will be less than 1 acre. This request will amend Bond Act 193-15 for a new total Not-to-Exceed cost of \$9,800,000.

Energy Efficiencies:

NONE

Year	Amount	Description
2015	500,000	DESIGN AND CONSTRUCTION MANAGEMENT
2016	2,800,000	CONSTRUCTION
2019	6,500,000	ADDITIONAL CONSTRUCTION

Total Appropriation History:

9,800,000

Financing History:

Year	Bond Act #	Amount	Issued	Description
15	193	500,000	0	WATER STORAGE FACILITIES AND MAINTENANCE PROGRAM

Total Financing History:

500,000

Recommended By:

Department of Planning

WBB4

Date

01-26-2021

Department of Public Works

RJB4

Date

01-28-2021

Budget Department

LMY1

Date

01-29-2021

Requesting Department

CJGA

Date

01-29-2021

WATER STORAGE FACILITIES AND MAINTENANCE PROGRAM (WD309)

User Department : Environmental Facilities

Managing Department(s) : Environmental Facilities ;

Estimated Completion Date: TBD

Planning Board Recommendation: Project approved in concept but subject to subsequent staff review.

FIVE YEAR CAPITAL PROGRAM (in thousands)

	Est Ulf Cost	Appropriated	Exp / Obl	2021	2022	2023	2024	2025	Under Review
Gross	9,800	9,800	500						
Non County Share									
Total	9,800	9,800	500						

Project Description

This project will fund the maintenance, including but not limited to the inspection, cleaning, repair, re-coating and painting, of the existing 1.5 million gallon water storage tower for the Grasslands Reservation in Water District No. 3. In addition to the water storage tower rehabilitation and maintenance, other work shall include but not be limited to, asphalt paving of the existing service roadway and required drainage remediation work, housekeeping site work, electrical improvements, piping and valving work, instrumentation and Division I requirements.

Current Year Description

Impact on Operating Budget

The impact on the District Operating Budget is the debt service associated with the issuance of bonds.

Appropriation History

Year	Amount	Description	Status
2015	500,000	Design and construction management	DESIGN
2016	2,800,000	Construction	AWAITING BOND AUTHORIZATION
2019	6,500,000	Additional construction	AWAITING BOND AUTHORIZATION
Total	9,800,000		

Prior Appropriations

	Appropriated	Collected	Uncollected
Bond Proceeds	9,800,000		9,800,000
Total	9,800,000		9,800,000

Bonds Authorized

Bond Act	Amount	Date Sold	Amount Sold	Balance
193 15	500,000			500,000
Total	500,000			500,000

ACT NO. _____ - 2021

AN ACT to authorize the Chairman of the Board of Legislators or his authorized designee to execute all instruments and take all actions reasonable, necessary and appropriate to petition the Comptroller of the State of New York pursuant to Section 268 of Article 5-A of the New York State County Law for an order giving permission for the County's issuance of bonds in an amount not-to-exceed \$9,800,000 for an increase and improvement of the facilities of County Water District No.3

NOW, THEREFORE, BE IT ENACTED by the members of the Board of Legislators of the County of Westchester as follows:

Section 1. This Board found, after holding a public hearing pursuant to Section 268 of Article 5-A of the New York County Law, that the proposed increase and improvement to Westchester County Water District No. 3 (the "District"), described as capital project WD309 – Water Storage Facilities and Maintenance Program as set forth in the District Report of the Department of Environmental Facilities dated January 5, 2021 (the "District Report"), at a maximum estimated cost of NINE MILLION EIGHT HUNDRED THOUSAND (\$9,800,000) DOLLARS, is in the public interest as it is necessary and desirable for the proper maintenance and service of District facilities and to ensure the District's continued effective operation to provide a continuous, uninterrupted supply of compliant water. Accordingly, Act No. _____ - 2021 was duly adopted by this Board on _____, 2021.

§2. This Board finds that pursuant to Section 268 of Article 5-A of the New York County Law, in order to proceed with the proposed increase and improvement to the District facilities, permission of the Comptroller of the State of New York is required.

§3. The Chairman of the Board of Legislators or his authorized designee is hereby directed and empowered to execute all instruments and take all actions reasonable, necessary and appropriate to petition the Comptroller of the State of New York pursuant to Section 268 of Article 5-A of the New York County Law for an order giving permission for the County's issuance of bonds in an amount not-to-exceed NINE MILLION EIGHT HUNDRED THOUSAND (\$9,800,000) DOLLARS for the proposed increase and improvement of District facilities substantially in accordance with the District Report.

§4. This Act shall take effect immediately.

RESOLUTION NO. ____ – 2021

WHEREAS, the Westchester County Board of Legislators (the "Board of Legislators") duly established Water District No. 3 (the "District") in the County of Westchester, New York; and

WHEREAS, by Act No. ____-2021, which was duly adopted by this Honorable Board on _____, 2021, pursuant to the requirements of Section 268 of the New York County Law, this Board found that the proposed increase and improvement of facilities of Westchester County Water District No.3 (the "District") described as capital project WD309 – Water Storage Facilities and Maintenance Program as set forth in the report of the Department of Environmental Facilities dated January 5, 2021 (the "District Report"), is in the public interest, as it is necessary and desirable for the proper maintenance and service of District facilities and to ensure the District's continued effective operation in order to provide a continuous, uninterrupted supply of drinking water in compliance with federal, state and local regulatory requirements; and

WHEREAS, pursuant to Article 5-A of the New York County Law, in order to proceed with the proposed increase and improvement of the facilities of the District, permission of the Comptroller of the State of New York (the "State Comptroller") is required; and

WHEREAS, by Act No. ____-2021, the Chairman of the Board of Legislators or his authorized designee, was directed to make a petition to the State Comptroller for an order giving permission for the expenditure for the increase and improvement of District facilities, which is to be financed by the issuance of bonds in an amount not-to-exceed NINE MILLION EIGHT HUNDRED THOUSAND (\$9,800,000) DOLLARS; and

WHEREAS, the regulations of the State Comptroller require that additional determinations be made by this Board with respect to the Application to the State Comptroller.

NOW, THEREFORE, BE IT

RESOLVED, that by Act No. ____-2021, which was duly adopted by this Honorable Board on _____, 2021, this Honorable Board, directed the preparation of the Application to the State Comptroller; and it is further

RESOLVED, that this Honorable Board, believes that the contents of the Application to the State Comptroller, which has been submitted to this Board for review, are accurate; and it is further

RESOLVED, that this Honorable Board, having adopted Act No. ____-2021, which found that the improvements proposed in the District Report were in the public interest, and now, having reviewed the Application to the Comptroller, reaffirms its earlier determination and further finds that the proposed improvements will not constitute an undue burden on the property which will bear the cost thereof and to the extent that the cost of the proposed improvements will be assessed in whole or in part against benefited property, that all real property to be so assessed will be benefited by the proposed improvements and that no benefited property will be excluded; and be it further

RESOLVED, that this Resolution shall take effect immediately.

Benjamin Boykin II

Chairman of the Board

Legislator, 5th District



March 15, 2021

Ms. Sunday Vanderberg
Clerk of the Board
Westchester County Board of Legislators
148 Martine Avenue, 8th Floor
White Plains, NY 10601

Re: Suspension of Rules

Dear Madame Clerk:

Pursuant to Rule Twenty-four of the Rules of the Westchester County Board of Legislators, this letter serves as written notice of my intent to make a motion to suspend County Board Rule 4.1 for the Monday, March 22, 2021 regular meeting of the Westchester County Board of Legislators.

Sincerely,

Benjamin Boykin II
Chairman of the Board

Benjamin Boykin II

Chairman of the Board

Legislator, 5th District



TO: Tyrae Woodson-Samuels, Committee on Appointments

Sunday Vanderberg, Clerk of the Board of Legislators

FROM: Ben Boykin, Chairman of the Board of Legislators

DATE: March 15, 2021

RE: Appointment of Legislator MaryJane Shimsky to the Storm Water Advisory Board

I hereby appoint Legislator MaryJane Shimsky as the Board of Legislators ex-officio member of the Westchester County Storm Water Advisory Board for a term to commence on January 1, 2021 and to expire on December 31, 2021.

This appointment is in accordance with Section 241.259 of the Laws of Westchester County and is not subject to a vote by the Board of Legislators.

Please place this memo on the March 22, 2021 Westchester County Board of Legislators meeting agenda for referral to the Appointments Committee for record keeping purposes.

Thank you.

LOCAL LAW INTRO. NO. -2021

A LOCAL LAW amending Local Law No. 11-2018 relating to applications for prospective purchasers of stock in Cooperative Housing Corporations..

BE IT ENACTED by the County Board of the County of Westchester as follows:

SECTION 1: Section 1 of Local Law 2018-11 is hereby amended to read as follows:

Section 1. Chapter 700 of the Laws of Westchester County is hereby amended to include a new section 700.21-a as follows:

Section 700.21-a. Applications to purchase shares of stock in cooperative housing corporations.

A. The governing board of any Cooperative Housing Corporation, incorporated as such in the State of New York that exercises control over real property located within the County of Westchester, shall provide prospective purchasers with an application to purchase shares of the corporation's stock.

B. Within fifteen days of the receipt of a purchaser's application, such a governing board shall either acknowledge to the prospective purchaser that it is in receipt of a properly completed application or inform the prospective purchaser of any defect in an application. Where the governing board has informed a prospective purchaser of a defect in an application, upon resubmission of the application the governing board shall have fifteen days to either acknowledge to the prospective purchaser that it is in receipt of a properly completed application or inform the prospective purchaser of any uncured defect in the resubmitted application.

C. Within sixty days of its receipt of a properly completed application, such a governing board shall either reject or approve an application to purchase shares of its stock

and shall provide written notice thereof. In the case of a rejection, [a copy of the written notice shall be sent by] the governing board shall provide to the Human Rights Commission notice of the rejection. This notice shall be in a form promulgated by the Executive Director of the Human Rights Commission, and published on the Human Rights Commission website. The form shall, at a minimum, require the following information:

1. the full legal name and address of the Cooperative Housing Corporation;
2. the full address and unit number of the unit that had been applied for;
3. the full names, addresses, telephone numbers, and e-mail addresses (if available) for the denied applicant(s) and seller(s);
4. the full names, addresses, telephone numbers and e-mail addresses (if available) for all legal counsel and real estate brokers involved in the rejected transaction;
5. the date of receipt of the initial application;
6. the date of receipt of the completed application;
7. the date of rejection; and
8. the reason for rejection.

The completed notice of rejection form shall be transmitted to the Human Rights Commission within fifteen days of the notice being provided to the prospective purchaser. The Human Rights Commission shall include instructions on how it may be transmitted to the Human Rights Commission.

D. Compliance or non-compliance with any of the requirements set forth in this section may be considered and received into evidence in any investigation or proceeding commenced pursuant to this Chapter. Furthermore, non-compliance with [the requirement that a copy of the rejection notice be provided to the Human Rights Commission] any of the requirements set forth in this section shall be an independent violation of this Chapter, and

shall be punishable by a fine of \$1,000 for the first offense, \$1,500 for the second offense, and \$2,000 for the third and any subsequent offense. Any such violation shall be brought within one year of the violation, except that any violation initiated by the Executive Director shall be brought within one year of when the Human Rights Commission first learned of the violation.

SECTION 2: Section 2 of Local Law 2018-11 is hereby amended to read as follows:

Section 2. This Local Law shall take effect immediately. [, and shall expire and be deemed repealed three (3) years subsequent thereto].

SECTION 3: This Local Law shall take effect immediately.

Catherine Borgia**Legislator, 9th District**

Chair, Budget & Appropriations Committee

**Committee Assignments:**

Appointments
Environment & Health
Intergovernmental Services
Labor & Housing
Legislation
Planning, Economic Development & Energy
Social Services

To: Ben Boykin, Chairman of the Board of Legislators
Sunday Vanderberg, Clerk of the Board of Legislators

From: Catherine Borgia, 9th District

Date: March 17, 2021

Re: Amendments to the Co-op Disclosure Law

Please refer the attached Local Law amending the Co-op Disclosure Law, to the appropriate committees on the March 22, 2021 Westchester County Board of Legislators agenda.

TO: BOARD OF LEGISLATORS
COUNTY OF WESTCHESTER

Your Committee has reviewed “A LOCAL LAW amending Local Law No. 11-2018 relating to applications for prospective purchasers of stock in Cooperative Housing Corporations.”

Westchester County’s Fair Housing Law, Article II of Chapter 700 of the Laws of Westchester County, is aimed at preventing and addressing discrimination in relation to housing practices. Among the housing practices regulated by the Fair Housing Law is the sale and transfer of shares of stock in cooperative housing corporations. Under the Fair Housing Law, it is an unlawful discriminatory practice for the governing board of a cooperative housing corporation to refuse to permit the sale or transfer of stock to a person on the basis of the group identity of the prospective purchaser(s) as defined in Section 700.21(H) of the Fair Housing Law.

In 2018, this Honorable Board enacted Local Law No. 11-2018, which added a new Section 700.21-a to the Fair Housing Law, which set forth time limits for cooperative housing corporations to inform prospective purchasers of any deficiencies in an application and act on completed applications. This law also requires the cooperative housing corporation to provide notice of the rejection to the Human Rights Commission.

However, in reviewing the law with the Human Rights Commission, your Committee has learned that the Commission does not always receive sufficient information upon which

it can properly investigate potential discriminatory practices. Further, while failing to report to the Human Rights Commission is an independent violation of the Fair Housing Law, failing to comply with the time frames for dealing with applicants is not. This undercuts the effectiveness of the law, as cooperative housing corporations can still sit on applications for an extended period of time.

In order to remedy these deficiencies, your Committee recommends adoption of the attached Local Law, which would amend Section 700.21-a to require that the notice of rejection sent to the Commission contain certain information necessary to allow for a proper review and investigation of any potentially discriminatory acts. The amendments would also make a failure to comply with the deadlines to act on an application an independent violation of the Fair Housing Law, punishable by fines. The proposed amendment also makes the statute of limitations for these violations clear, and removes the sunset provision from this law.

Your Committee is aware that this Honorable Board must comply with the requirements of the State Environmental Quality Review Act (“SEQRA”) and its implementing regulations. *See* Title 6, Part 617 of the New York Code Rules and Regulations (N.Y.C.R.R.). The Department of Planning has reviewed the applicable SEQRA regulations, and has concluded that this proposed Local Law is not an action which requires any environmental review. Your Committee concurs in this conclusion.

In light of all of the foregoing, your Committee recommends the adoption of this Local Law in order to deter discriminatory housing practices.

Dated: 2021
White Plains, New York

COMMITTEE ON

RESOLUTION NO. ____ – 2021

RESOLVED, that this Board hold a public hearing pursuant to Section 209.141(4) of the Laws of Westchester County on Local Law Intro. No. ____ - 2021, entitled “A LOCAL LAW amending Local Law No. 11-2018 relating to applications for prospective purchasers of stock in Cooperative Housing Corporations.” The public hearing will be held at __.m. on the ____ day of _____, 2021 in the Chambers of the Board of Legislators, 8th Floor, Michaelian Office Building, White Plains, New York. The Clerk of the Board shall cause notice of the time and date of such hearing to be published at least once in one or more newspapers published in the County of Westchester and selected by the Clerk of the Board for that purpose in the manner and time required by law.

TO: HONORABLE BOARD OF LEGISLATORS
COUNTY OF WESTCHESTER

Your Committee has reviewed "A LOCAL LAW amending the Laws of Westchester with respect to the advancement of ethics within all levels of county government."

Your Committee notes that Article 18 of the General Municipal Law prohibits the officers and employees of a County from having certain conflicts of interest, and Section 806 of the General Municipal Law requires the governing body of each county to adopt a code of ethics that sets forth standards of conduct expected of its officers and employees. The code of ethics adopted by this Board must set forth standards of conduct for the guidance of the officers and employees of the county with respect to disclosure of interests in legislation before the local governing body, holding of investments in conflict with official duties, private employment in conflict with official duties, future employment, and such other standards as may be deemed advisable.

Your Committee, having reviewed Chapters 192 and 883 of the Laws of Westchester County, which, respectively, create the Board of Ethics and contain the County's Code of Ethics, and having conferred with the Westchester County Board of Ethics, believes that it is appropriate at this time to repeal and replace Chapters 192 and 883 with this new Code, which sets forth a clear and comprehensive code of ethics in order to ensure both the reality and the appearance of integrity in County government, and to thereby foster public confidence in County government.

As you know, this Honorable Board must comply with the requirements of the State Environmental Quality Review Act ("SEQRA"). Your Committee is advised that the Department of Planning has reviewed the applicable SEQRA regulations, and has concluded that this proposed Local

Law is not an action. Your Committee concurs with that conclusion.

Your Committee, after careful consideration, recommends the adoption of this Local Law.

Dated: March 8, 2021

White Plains, New York

K. Stovall

Colleen

Benjamin Boyfint

Nancy Bar

Legislation

K. Stovall

Benjamin Boyfint

Nancy Bar







Law & Major Contracts

COMMITTEES ON

Dated: March 8, 2021
White Plains, New York

The following members attended the meeting remotely, as per Governor Cuomo's Executive Order 202.1 and approved this item out of Committee with an affirmative vote. Their electronic signature was authorized and is below.

COMMITTEES ON

Legislation

Law & Major Contracts

TO: Justin Adin
Deputy County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM
Director of Environmental Planning



DATE: March 8, 2021, 2020

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR ETHICS CODE
AMENDMENTS**

PROJECT/ACTION: The action involves a local law to amend chapters 192 and 883 of the Laws of Westchester County, which pertain to the Board of Ethics and Code of Ethics. The proposed amendments provide additional standards for the ethical conduct of County officers and employees, as well as make certain modifications pertaining to membership, powers and duties of the Board of Ethics.

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required because the project/action:

☒ **DOES NOT MEET THE DEFINITION OF AN “ACTION” AS DEFINED UNDER SECTION 617.2(b)**

☐ **MAY BE CLASSIFIED AS TYPE II PURSUANT TO SECTION 617.5(c)():**

COMMENTS: None

cc: Andrew Ferris, Chief of Staff
Paula Friedman, Assistant to the County Executive
Tami Altschiller, Assistant Chief Deputy County Attorney
Norma Drummond, Commissioner
Claudia Maxwell, Associate Environmental Planner

FISCAL IMPACT STATEMENT

SUBJECT: New Code of Ethics

☐ NO FISCAL IMPACT PROJECTED

OPERATING BUDGET IMPACT

To Be Completed by Submitting Department and Reviewed by Budget

SECTION A - FUND

☒ GENERAL FUND

☐ AIRPORT FUND

☐ SPECIAL DISTRICTS FUND

SECTION B - EXPENSES AND REVENUES

Total Current Year Expense \$ -

Total Current Year Revenue \$ -

Source of Funds (check one): ☒ Current Appropriations ☐ Transfer of Existing Appropriations

☐ Additional Appropriations

☐ Other (explain)

Identify Accounts: 101-52-2062-5100

Potential Related Operating Budget Expenses: Annual Amount \$ -

Describe: Minimal marginal costs of enforcement.

Potential Related Operating Budget Revenues: Annual Amount \$ -

Describe: _____

Anticipated Savings to County and/or Impact on Department Operations:

Current Year: _____

Next Four Years: _____

Prepared by: Gideon Grande

Title: Deputy Director

Department: Budget

Date: March 16, 2021

Reviewed By: 

Budget Director

Date: 3/16/21

LOCAL LAW NO. -2021

A LOCAL LAW amending the Laws of Westchester with respect to the advancement of ethics within all levels of county government

BE IT ENACTED by the County Board of the County of Westchester as follows:

Section 1. Chapter 192 and Chapter 883 of the Laws of Westchester County are hereby repealed.

Section 2. A new Chapter 883 is hereby added to the Laws of Westchester County to read as follows:

CHAPTER 883 CODE OF ETHICS

ARTICLE I

GENERAL PROVISIONS

<u>Section</u>	<u>883.101</u>	<u>Purpose</u>
	<u>883.102</u>	<u>Definitions</u>
	<u>883.103</u>	<u>Applicability</u>

Section 883.101. Purpose. Officers and employees of the County hold their positions to serve and benefit the public; not to obtain unwarranted personal or private gain in the exercise and performance of their official powers and duties. The County recognizes that, in furtherance of this fundamental principle, there is a need for clear and reasonable standards of ethical conduct. This Code of Ethics establishes those standards.

Section 883.102. Definitions.

- a. “Interest” means a direct or indirect financial or material benefit, but does not include any benefit arising from the provision or receipt of any services generally available to the residents or taxpayers of the County or an area of the County, or a lawful class of such residents or taxpayers. A County officer or employee is deemed to have an interest in any private organization when he or she, his or her spouse, or dependent, is an owner, partner, member,

director, officer, employee, or directly or indirectly owns or controls more than 5% of the organization's equity or debt, whether in the form of outstanding stock or otherwise.

- b. "Relative" means a spouse, registered domestic partner, child, stepchild, parent, stepparent, grandparent, sibling or stepsibling of a County officer or employee.

Section 883.103. Applicability.

- a. This Code of Ethics applies to all officers and employees of the County, whether paid or unpaid, including the members of any County department, agency, board or commission and the members and employees of the County Legislature.
- b. Section 883.207 (Future Employment) of this Code of Ethics applies to current and former officers and employees of the County.
- c. Section 883.208 (Independent Contractors) of this Code of Ethics applies to independent contractors of the County.
- d. Section 883.209 (Personal Representations and Claims Permitted), Section 883.215 (Inducement of Others) and Section 883.402 (Powers and Duties of Board of Ethics) of this Code of Ethics apply to officers and employees of the County and independent contractors of the County.
- e. Section 883.303 (Applicant Disclosure in Land Use Applications) of this Code of Ethics applies to applicants, petitioners or parties requesting approval of a zoning referral, plat, exemption from a plat or official map, license or permit, pursuant to any local law, rule or regulation constituting the planning and land use regulations of the County.
- f. The provisions of this Code of Ethics shall supplement all applicable State and local laws relating to conflicts of interest and municipal ethics including, but not limited to, Article 18 of the General Municipal Law and all related rules, regulations, policies and procedures of the County.

- g. The termination of an officer's or employee's term of office or employment with the County shall not affect the jurisdiction of the Board of Ethics with respect to the requirements imposed by this Code of Ethics on the former officer or employee for his or her actions or interests while a County officer or employee, nor with respect to the post-employment restrictions imposed by this Code of Ethics.

ARTICLE II CODE OF CONDUCT

<u>Section</u>	<u>883.201</u>	<u>Use of County Position for Personal or Private Gain</u>
	<u>883.202</u>	<u>Prohibited Interests in Contracts.</u>
	<u>883.203</u>	<u>Recusal.</u>
	<u>883.204</u>	<u>Prohibition Inapplicable; Recusal and Disclosure Not Required.</u>
	<u>883.205</u>	<u>Investments in Conflict with Official Duties.</u>
	<u>883.206</u>	<u>Private Employment in Conflict with Official Duties</u>
	<u>883.207</u>	<u>Future Employment</u>
	<u>883.208</u>	<u>Independent Contractors</u>
	<u>883.209</u>	<u>Personal Representations and Claims Permitted</u>
	<u>883.210</u>	<u>Use of County Resources</u>
	<u>883.211</u>	<u>Nepotism</u>
	<u>883.212</u>	<u>Political Solicitations</u>
	<u>883.213</u>	<u>Confidential Information</u>
	<u>883.214</u>	<u>Gifts, Tips and other Benefits</u>
	<u>883.215</u>	<u>Inducement of Others</u>
	<u>883.216</u>	<u>Criminal Convictions</u>

Section 883.201. Use of County Position for Personal or Private Gain.

No County officer or employee shall use his or her County position or official powers and duties to secure a material benefit, whether financial or otherwise, for

- a. Himself or herself;
- b. A relative, dependent or individual residing in his or her household;
- c. Any private organization in which he or she has an interest;
- d. A client or customer from which the officer or employee knows that he or she, and/or a private organization in which he or she has an interest derived income in excess of five thousand dollars (\$5,000), directly or indirectly, during the previous twenty four months; or

- e. A person from whom the officer or employee has received a private loan or loans, or a gift or gifts, having an aggregate value of seventy five dollars or more during the previous twelve months.

Section 883.202. Prohibited Interests in Contracts.

- a. No County officer or employee shall have an interest in any contract with the County, when such officer or employee, individually or as a member of a board or commission, has the power or duty, whether or not exercised, to:
- (1) Negotiate, prepare, authorize or approve the contract or authorize or approve payment thereunder;
 - (2) Audit bills or claims under the contract, or
 - (3) Appoint an officer or employee who has any of the foregoing powers or duties.
- b. For the purposes of this section, the term "contract" means any claim, account or demand against or agreement with the County, express or implied.
- c. Notwithstanding the foregoing, for the purposes of this section, the term "contract" shall not include:
- (1) The designation of a bank or trust company as a depository, paying agent, registration agent or for investment of County funds except when the chief fiscal officer, or his deputy or employee, has an interest in such bank or trust company; provided, however, that where designation of a bank or trust company outside the County would be required because of the foregoing restriction, a bank or trust company within the County may nevertheless be so designated;
 - (2) A contract with a person, firm, corporation or association in which a County officer or employee has an interest which is prohibited solely by reason of his or her status as an officer or employee thereof, if the compensation from such employment will not

be directly affected as a result of the contract and the duties of such employment do not directly involve the procurement, preparation or performance of any part of the contract;

- (3) The designation of a newspaper, including but not limited to an official newspaper, for the publication of any notice, resolution, act or other proceeding where such publication is required or authorized by law;
- (4) The purchase by the County of real property or an interest therein, provided the purchase and the consideration therefor is approved by an order of the Supreme Court upon petition of the County Legislature;
- (5) The acquisition of real property or an interest therein, through condemnation proceedings according to law;
- (6) A contract with a membership corporation or other voluntary nonprofit corporation or association;
- (7) The sale of bonds and notes pursuant to Section 60.10 of the New York Local Finance Law;
- (8) A contract in which a County officer or employee has an interest if such contract was entered into prior to the time he or she was elected or appointed as such officer or employee, but this paragraph shall in no event authorize a renewal of any such contract;
- (9) A contract with a corporation in which a County officer or employee has an interest by reason of stockholdings when less than five per cent of the outstanding stock of the corporation is owned or controlled directly or indirectly by such officer or employee;
- (10) A contract for the furnishing of public utility services at rates or charges that are fixed

or regulated by the public service commission;

(11) A contract for the payment of a reasonable rental of a room or rooms owned or leased by a County officer or employee, used in the performance of his or her official duties, and designated as an office or chamber;

(12) A contract for the payment of a portion of the compensation of a private employee of an officer when such employee performs part time service in the official duties of the office;

(13) A contract in which a County officer or employee has an interest if the total consideration payable thereunder, when added to the aggregate amount of all consideration payable under contracts in which such person had an interest during the fiscal year, does not exceed the sum of seven hundred fifty dollars; or

(14) A contract with a member of a private industry council established in accordance with the federal job training partnership act or any firm, corporation or association in which such member holds an interest, provided the member discloses such interest to the council and the member does not vote on the contract.

Section 883.203. Recusal.

a. No County officer or employee shall participate in any decision or take any official action requiring the exercise of discretion, including discussing, deliberating or voting on a matter, when he or she knows or has reason to know that the action may confer a direct or indirect financial or other material benefit on a person or entity specified in Section 883.201 of this Chapter.

b. Contribution of money or other valuable thing permitted under Section 833.214(d) of this Chapter shall not be a disqualifying benefit for purposes of this section and does not require recusal hereunder, except that members of the Board of Ethics shall recuse themselves to the

extent required by Section 883.401(b) of this Chapter.

Section 883.204. Prohibition Inapplicable; Recusal and Disclosure Not Required.

a. The requirements relating to recusal set forth in Section 883.203 of this Code of Ethics, and the disclosure requirements set forth in Article III Disclosures of this Code of Ethics, shall not apply with respect to the following matters:

- (1) Adoption of the County's annual budgets;
- (2) Any matter requiring the exercise of discretion that directly affects any of the following groups of people or a similarly situated class of such people:
 - (i) All or substantially all County officers or employees;
 - (ii) All or substantially all residents or taxpayers of the County or an area of the County; or
 - (iii) The general public.
- (3) Any ministerial matter or matter that does not require the exercise of discretion;
- (4) Uncompensated participation by an elected County official, or by a member of his or her staff on behalf of such elected County official, in public advocacy whether or not on behalf of a constituent;
- (5) Appearance by a County employee before a County department, agency, board or commission in a representative capacity on behalf of an employee organization in any matter where such appearance is duly authorized by the employee organization; and
- (6) Uncompensated participation in public advocacy by a County officer or employee who serves as a political committee chairperson.

b. Recusal shall not be required, but disclosure pursuant to Article III of this Code of Ethics shall

be required, with respect to any matter:

- (1) Which comes before a County board or commission when a majority of the entire membership of the board or commission would otherwise be prohibited from acting;
or
- (2) Which comes before a County officer when the officer would be prohibited from acting and the matter cannot be lawfully delegated to another person.

Section 883.205. Investments in Conflict with Official Duties.

- a. No County officer or employee shall acquire or maintain any investment:
 - (1) The ownership of which requires that the County officer or employee frequently and inevitably recuse himself or herself; or
 - (2) That would impair his or her independence of judgment in the exercise or performance of his or her official powers and duties.
- b. The preceding sub-section shall not prohibit a County officer or employee from acquiring or maintaining the following:
 - (1) Real property located within the County and used as his or her personal residence;
 - (2) Less than five percent of the stock of a publicly traded corporation; or
 - (3) Bonds or notes issued by the County and acquired more than one year after the date on which the bonds or notes were originally issued.
- c. No officer, employee or agent of the County shall, except on behalf of the County, directly or indirectly place a bid for, or have an interest in, the sale of a tax lien certificate, or have a financial interest in property acquired by the County in a tax collection procedure, or have an interest in any condemnation proceeding in which the County or a municipality within the County is a party.

Section 883.206. Private Employment in Conflict with Official Duties.

- a. No elected County official or County employee serving as staff to the County Legislature or to a member of the County Legislature, shall receive or agree to receive, directly or indirectly, any compensation for consulting or advisory services in connection with any proposed local law, act, or resolution of the County Legislature.
- b. No County officer or employee shall ask for, pursue or accept a private secondary employment opportunity with any person or organization that has a matter requiring the exercise of discretion pending before the County officer or employee, either individually or as a member of a board or commission, while the matter is pending or within the 90 days following final disposition of the matter.
- c. No County officer or employee, during his or her tenure as a County officer or employee, shall engage in any secondary employment, or engage in any business, commercial, or professional activity, when the secondary employment or business, commercial or professional activity:
 - (1) Involves duties that are incompatible with those of the official duties of the County officer or employee;
 - (2) May be reasonably expected to require frequent and inevitable recusal;
 - (3) May be reasonably expected to require disclosure or personal use of confidential information gained by reason of serving as a County officer or employee;
 - (4) Pursuant to which the officer or employee will receive, or enter into any agreement, express or implied, to receive compensation for services to be rendered in connection with any matter before any County department, agency, board or commission of which he or she is an officer, member or employee or of any County department, agency, board or commission over which he or she has jurisdiction or to which he has the

power to appoint any member, officer or employee;

(5) Pursuant to which the officer or employee will receive, or enter into any agreement, express or implied, to receive compensation for services to be rendered in connection with any matter before any County department, agency, board or commission, whereby his compensation is to be dependent or contingent upon any action by such department, agency, board or commission with respect to such matter, provided that this paragraph shall not prohibit the fixing at any time of fees based upon the reasonable value of the services rendered; or

(6) Involves the representation of a person or organization other than the County, or pursuant to which the officer or employee will receive, or enter into any agreement, express or implied, to receive compensation for services rendered in connection with any application, request, claim or proposal before any County department, agency, board or commission, or any litigation, negotiations or matter requiring the exercise of discretion to which the County is a party. Notwithstanding the foregoing, a person serving the County or any agency thereof without compensation shall not be subject to the prohibitions set forth in this subsection (c)(6).

d. No elected County officer shall otherwise be employed by the County or hold any other elected office.

Section 883.207. Future Employment.

a. No County officer or employee shall seek, negotiate, pursue or accept a private post-government employment opportunity with any person or organization that has a matter requiring the exercise of discretion pending before the County officer or employee, either individually or as a member of a board or commission, while the matter is pending or within

the 90 days following final disposition of the matter.

- b. No County officer or employee, for a one-year period after serving as a County officer or employee, shall appear before or communicate in any form with the County office, board, department or comparable organizational unit for which he or she served in connection with any case, proceeding, application or other proposed or pending matter, except (i) on behalf of the Federal Government, the State or a political subdivision or instrumentality thereof, or (ii) pursuant to a waiver granted or deemed to be granted in accordance with the procedures set forth at Section 883.402(g).
- c. No County officer or employee, at any time after serving as a County officer or employee, shall represent or render services to a private person or organization in connection with any particular matter in which he or she personally and substantially participated while serving as a County officer or employee, except (i) on behalf of the Federal Government, the State or a political subdivision or instrumentality thereof, or (ii) pursuant to a waiver granted or deemed to be granted in accordance with the procedures set forth at Section 883.402(g).

Section 883.208. Independent Contractors. No independent contractor or employee of an independent contractor of the County shall exert undue influence on behalf of a private interest, directly or indirectly, in a matter before any County department, agency, board or commission. A violation of this Section shall be grounds for termination of the independent contractor's contract with the County and shall be grounds for holding that contractor not responsible for purposes of entering into future contracts, subject to applicable requirements of New York State law.

Section 883.209. Personal Representations and Claims Permitted.

This Code of Ethics shall not be construed as prohibiting a County officer or employee or an

independent contractor of the County from:

- a. Seeking or accepting County services, benefits, or the use of County facilities, on the same terms and conditions as are available to County residents or a class of similarly situated County residents.
- b. Asserting a claim against the County on his or her own behalf, or on behalf of a relative or member of his or her household, unless the claim is prohibited by Section 883.202 of this Code of Ethics, or by Section 801 of the New York General Municipal Law.

Section 883.210. Use of County Resources.

- a. County resources shall be used only for lawful County purposes. County resources include, but are not limited to, County personnel, compensated time, money, vehicles, equipment, data and telecommunication systems, letterhead, materials, supplies, or other property.
- b. No County officer or employee shall use or permit the use of County resources for personal or private purposes, but this provision shall not be construed as prohibiting:
 - (1) Any use of County resources authorized by law, County policy or collective bargaining agreement to which the County is a party;
 - (2) The use of County resources for personal or private purposes when provided to a County officer or employee as part of his or her compensation; or
 - (3) The occasional and incidental use of County resources for reasonably necessary personal, non-business matters.
- c. No County officer or employee shall cause the County to spend more than is reasonably necessary for transportation, meals or lodging in connection with official travel. Transportation, meal, and lodging expenses shall be presumptively reasonable if they are in accordance with the County's travel policy and/or the applicable provisions of any collective

bargaining agreement.

Section 883.211. Nepotism.

Except as otherwise required by law:

- a. No County officer or employee, either individually or as a member of a County board or commission, shall participate in any decision to appoint, hire or promote a relative or a member of his or her household to a position of county employment compensated at a rate of seven thousand, five hundred dollars (\$7,500) or more per annum.
- b. No County officer or employee, either individually or as a member of a County board or commission, shall participate in any decision to discipline or discharge a relative or a member of his or her household employed by the county and compensated at a rate of seven thousand, five hundred dollars (\$7,500) or more per annum.
- c. No County officer or employee shall directly supervise the performance of the official duties of a relative or member of his or her household employed by the county and compensated at a rate of seven thousand, five hundred dollars (\$7,500) or more per annum.

Section 883.212. Political Solicitations.

- a. No County officer or employee shall directly or indirectly use his or her authority or official influence to compel or induce a subordinate County officer or employee to make, or promise to make, any political contribution, whether by gift of money, service or other thing of value.
- b. No County officer or employee shall act or decline to act in relation to appointing, hiring or promoting, discharging, disciplining, or in any manner changing the official rank, status or compensation of any County officer or employee, or an applicant for a position as a County officer or employee, on the basis of the giving or withholding or neglecting to make any

contribution of money or service or any other valuable thing for any political purpose.

Section 883.213. Confidential Information.

- a. No current or former County officer or employee, including County Legislators or employees of the County Legislature, any of its caucuses or individual County Legislators, shall disclose confidential information concerning the property, government or affairs of the County or any other confidential information of an official character obtained as a result of County employment except when disclosure is required by law or when such information is otherwise available to the public, nor shall he or she use such information to advance the financial or other private interest of himself or herself or others.
- b. Confidential information is information in any format that is either prohibited from disclosure to the public by federal or state law, or is withheld from public disclosure in the lawful exercise of the discretion afforded to the authorized information officer by federal or state law.

Section 883.214. Gifts, Tips and other Benefits.

- a. No County officer or employee shall solicit, accept or agree to accept any gift, tip or other benefit for having engaged in official conduct which he or she was required or authorized to perform, and for which he or she was not entitled to any special or additional compensation.
- b. No County officer or employee shall accept or receive any gift or other benefit, or multiple gifts or other benefits from the same donor in a twelve month period, having an aggregate value of seventy-five dollars or more when:
 - (1) the gift or other benefit would reasonably appear to be intended to influence the officer or employee in the exercise or performance of his or her official duties;

- (2) the gift or other benefit would reasonably be expected to influence the officer or employee in the exercise or performance of his or her official duties; or
 - (3) the gift or other benefit would reasonably appear to be intended as a reward for any official action on the part of the officer or employee.
- c. For purposes of this Section, the terms "gift", "tip" and "other benefit" include anything of value, whether in the form of money, service, loan, travel, entertainment, hospitality, thing or promise, or in any other form. The value of a gift, tip or other benefit is its fair market value, determined by the retail cost of the item or a comparable item. The fair market value of a ticket entitling the holder to food, refreshments, entertainment, or any other benefit is the face value of the ticket, or the actual cost to the donor, whichever is greater.
- d. Notwithstanding the foregoing, this Section shall not prohibit:
 - (1) Gifts made to the County;
 - (2) Gifts from a person with a family or personal relationship with the officer or employee when it is reasonable to conclude that the personal relationship, rather than the recipient's status as a County officer or employee, is the primary motivating factor for the gift;
 - (3) Gifts given on non-recurring special occasions, such as marriage, illness, or retirement, which are reasonable and customary;
 - (4) Unsolicited advertising or promotional material of little intrinsic value, such as pens, pencils, note pads, and calendars;
 - (5) Awards and plaques having a value of seventy-five dollars or less which are publicly presented in recognition of service as a County officer or employee, or other service to the community; or
 - (6) Incidental meals and refreshments provided when a county officer or employee is a

speaker or participant at a job-related professional, charitable, educational, or community conference, program or event;

(7) Gifts or benefits having a value of one hundred (\$100.00) dollars or less that are received by a County officer or employee serving in a capacity listed in Section 11 of the Domestic Relations Law for the solemnization of a marriage by the officer or employee at a place other than his or her normal public place of business or at a time other than his or her normal hours of business.

(8) Contributions made in accordance with federal or state election law.

Section 883.215. Inducement of Others. No County officer, employee or independent contractor shall induce or attempt to induce a County officer, employee or independent contractor to violate, nor aid a County officer, employee or independent contractor in violating, any of the provisions of this Code of Ethics.

Section 883.216. Criminal Convictions.

- a. No person convicted of a crime involving a violation of his or her oath of office, whether a felony or misdemeanor, shall hold County elective office unless such conviction shall have been reversed, vacated or expunged, or said person has obtained a certificate of relief from civil disabilities.
- b. No appointed officer of the County shall continue in service after having been convicted of a felony, or a misdemeanor involving a violation of his or her oath of office, except as provided herein.
- c. No employee of the County shall continue in service after having been convicted of a crime that is directly related to the employment held by the individual, or that would involve an unreasonable risk to property or to the safety or welfare of specific individuals or the general

public, as determined by the appointing authority upon consideration of the factors set forth in N.Y. Corrections Law §753.

d. No independent contractor of the County shall continue in service after having been convicted of a crime that is directly related to the County engagement, or that would involve an unreasonable risk to property or to the safety or welfare of specific individuals or the general public, as determined by the appointing authority upon consideration of the factors set forth in N.Y. Corrections Law §753.

e. A former employee of the County convicted of a crime that is directly related to the employment held by the individual, or that would involve an unreasonable risk to property or to the safety or welfare of specific individuals or the general public shall be eligible for reinstatement if such conviction shall have been reversed, vacated or expunged, or upon consideration of the factors set forth in N.Y. Corrections Law §753.

f. A former independent contractor of the County convicted of a crime that is directly related to the employment held by the individual, or that would involve an unreasonable risk to property or to the safety or welfare of specific individuals or the general public shall, in the discretion of the appointing authority, be eligible for reinstatement if such conviction shall have been reversed, vacated or expunged, or upon receipt of a certificate of relief from civil disabilities.

Article III Disclosure

<u>Section</u>	<u>883.301</u>	<u>Particular Matter Disclosure</u>
	<u>883.302</u>	<u>Disclosure of Interests in County Contracts</u>
	<u>883.303</u>	<u>Applicant Disclosure in Land Use Applications.</u>
	<u>883.304</u>	<u>Annual Financial Disclosure</u>

Section 883.301, Particular Matter Disclosure. Whenever a County officer or employee is required

to recuse himself or herself under this Code of Ethics, he or she shall promptly inform his or her immediate supervisor, if any, in writing disclosing the reason for recusal or, if a member of a board or commission that maintains a public record of its proceedings, shall promptly state that information upon the public record of the board or commission.

Section 883.302. Disclosure of Interests in County Contracts.

- a. Where a County officer or employee, or his or her spouse, knows that he or she has or will have an interest in any actual or proposed contract, purchase agreement, lease agreement, or other agreement, including oral agreements, with the County, the officer or employee shall publicly disclose the nature and extent of that interest in writing to his or her immediate supervisor and to the Board of Ethics as soon as he or she has knowledge of the actual or prospective interest.
- b. For purposes of this disclosure requirement:
 - (1) The term “contract” shall mean any claim, account or demand against or agreement with the County, express or implied; and
 - (2) A County officer or employee shall be deemed to have an interest in the contract of his or her relative as defined in Section 883.102 of this Code of Ethics, dependent, household member, and any private organization when he or she, or his or her relative is an owner, partner, member, director, officer, employee or directly or indirectly owns or controls more than 5% of the organization’s equity or debt, whether in the form of outstanding stock or otherwise.

Section 883.303. Applicant Disclosure in Land Use Applications.

- a. Every application, petition, or request submitted for approval of a zoning referral, plat,

exemption from a plat or official map, license or permit, pursuant to the provisions of any local law, rule or regulation constituting the planning and land use regulations of the County shall state the name, residence, and the nature and extent of the interest of any officer of the state, or any officer or employee of the County in the person, partnership or association making the application, petition or request to the extent known.

b. For the purpose of this disclosure requirement, an officer or employee shall be deemed to have an interest in the applicant when he or she, his or her relative, or the spouse of any of them:

(1) Is the applicant, or

(2) Is an officer, director, partner, or employee of the applicant, or

(3) Legally or beneficially owns or controls stock of a corporate applicant or is a member of a limited liability company, partnership, or association applicant (except that ownership of less than five percent of the stock of a publicly traded corporation shall not constitute an interest for the purposes of this disclosure requirement); or

(4) Is a party to an agreement with such an applicant, express or implied, whereby he or she will receive any payment or other benefit, whether or not for such services rendered, dependent or contingent upon the favorable approval of such application, petition or request.

Section 883.304. Annual Financial Disclosure.

a. The following County officers and employees and candidates for elective County office (individually and collectively, "Reporting Person") shall file an annual statement of financial disclosure with the Board of Ethics:

(1) Elected County officials;

- (2) Department heads, deputy department heads, and division heads;
- (3) County officers and employees holding titles set forth in a schedule adopted or amended by the County Legislature either by Local Law or Act;
- (4) Candidates for elective County office;
- (5) Political party county chairpersons; and
- (6) County officers or employees with substantial policy discretion as determined annually by their respective appointing authority.

b. Policymaking positions. For the purposes of this Section, a person shall be considered to hold a policymaking position if he or she exercises responsibilities of a broad scope in the formulation of plans for the implementation of goals or policy for a County agency or acts as an advisor to an individual in such a position. In determining whether a person holds a policymaking position, the following factors shall be considered, applied to the powers and duties of the position as set forth in the job description or any applicable law or regulation as well as the actual duties performed by the person:

- (1) Whether the position permits effective or substantial influence in the governmental decision-making process on issues where there is room for principled disagreement on goals or their implementation;
- (2) Whether the powers and duties of the position are broadly defined and require more than the exercise of simple ministerial competence;
- (3) Whether the position permits the person to exercise control over other officers or employees;
- (4) Whether the position involves the establishment of priorities or the development of programs;
- (5) Whether the position requires or authorizes the conducting of studies or entails a

significant degree of involvement in the preparation of budgets or budget requests for a local agency or municipality;

(6) Whether the position authorizes the person to speak on behalf of local elected officials or other policymakers;

(7) Whether the position entails frequent contact with local elected officials or their principal deputies.

c. No later than the last day of March of each year, the Commissioner of Human Resources shall:

(i) cause to be filed with the Board of Ethics a list of the names and offices or positions of all officers and employees of the County, and all political party county chairpersons required to file annual disclosure statements pursuant to this Code of Ethics; and (ii) notify all such officers and employees of their obligation to file an annual disclosure statement.

d. Upon the election or appointment after the last day of March of the year of election or appointment, of a County officer or employee required to file annual disclosure statements pursuant to this Code of Ethics, the Commissioner of Human Resources shall: (i) cause to be filed with the Board of Ethics a list of the names and offices or positions of such newly elected or appointed officers and employees of the County required to file annual disclosure statements pursuant to this Code of Ethics; and (ii) notify all such officers and employees of their obligation to file an annual disclosure statement.

e. Upon the nomination or designation pursuant to the applicable provisions of the New York Election Law of a candidate or candidates for County elective office, the Board of Elections shall: (i) cause to be filed with the Board of Ethics a list of the names of such candidates for County elective office; and (ii) notify all such candidates of their obligation to file an annual disclosure statement.

f. Form of statement.

(1) All Reporting Persons shall file a disclosure statement in the form adopted or amended by the County Legislature by Local Law or Act.

(2) The Board of Ethics shall recommend any amendments to the forms of financial disclosure statement that it deems warranted or that may be required by law, and shall submit such recommended amendments to the County Executive for submission to the County Legislature.

g. Time for filing.

(1) Reporting Persons other than candidates for elected County office shall file financial disclosure statements with the Board of Ethics on or before May 15 of each year.

(2) Reporting Persons other than candidates for elected County office elected or appointed after May 15 of the year of their election or appointment shall file financial disclosure statements with the Board of Ethics within thirty days of the commencement of their elected term or period of employment.

(3) Candidates for County elective office shall file financial disclosure statements with the Board of Ethics within thirty days of nomination or designation pursuant to the applicable provisions of the New York Election Law.

h. Any person designated as a person required to file an annual disclosure statement solely by reason of having substantial policy discretion as that term is used in this Code of Ethics, may apply to the Board of Ethics for reconsideration of his or her filing status and the Board of Ethics may grant an exemption from filing based upon the criteria set forth herein.

i. All statements filed with the Board of Ethics shall be available for public inspection and copying; except that:

(1) The Board of Ethics may, on its own initiative, to the extent permitted by Article 6 of the New York Public Officers Law (the Freedom of Information Law) withhold from

public disclosure particular information, the disclosure of which would constitute an unwarranted invasion of personal privacy; or

- (2) A Reporting Person may request that such information be withheld from public disclosure, and the Board of Ethics, in its discretion, may grant such request to the extent permitted by Article 6 of the New York Public Officers Law (the Freedom of Information Law).

j. Review:

- (1) The Board of Ethics shall review:

(i) The lists of officers and employees required to file annual disclosure statements pursuant to this Code of Ethics, to determine whether the lists are complete and accurate. The Board of Ethics shall, based on the criteria set forth in the foregoing Section 883.304(b), recommend to the County Executive for submission to the County Legislature, the title of any other position which the Board of Ethics determines should appear on the list and the removal of the title of any position which the Board of Ethics determines should not appear on the list.

(ii) All annual disclosure statements to determine whether any person required to file such a statement has failed to file it, has filed a deficient statement, or has filed a statement that reveals a possible or potential violation of this Code of Ethics.

(iii) All transactional disclosure statements.

(iv) All applicant disclosure statements.

- (2) If the Board of Ethics determines that an annual disclosure statement, a transactional disclosure statement, or an applicant disclosure statement is deficient or reveals a

possible or potential violation of this Code of Ethics, the Board of Ethics shall notify the person in writing of the deficiency, or possible or potential violation and of the penalties for failure to comply with this Code of Ethics.

Article IV. Board of Ethics

883.401 Board of Ethics

883.402 Powers and Duties of the Board of Ethics

Section 883.401. Board of Ethics.

- a. The Board of Ethics shall consist of seven members, five of whom shall be appointed by the County Executive, and two of whom shall be appointed by the Chair of the Board of Legislators. The members appointed by the County Executive shall be subject to confirmation by the Board of Legislators. The members appointed by the Chair of the Board of Legislators shall be subject to approval by Act of the Board of Legislators. One of the members appointed by the County Executive shall be an elected or appointed officer or employee of the county. No other member of the Board of Ethics shall otherwise be an elected or appointed officer or employee of the county. The members of the Board of Ethics shall reside in the County.
- b. No more than three members of the Board of Ethics shall be enrolled members of the same political party.
- c. The members of the Board of Ethics shall receive no salary or compensation for their services as members of the Board of Ethics, but shall be reimbursed for reasonable expenses incurred in the performance of their official duties.
- d. The members of the Board of Ethics appointed by the County Executive shall serve for fixed, staggered terms of five years. The members of the Board of Ethics appointed by the Chair of the Board of Legislators shall serve for fixed, staggered terms of two years.

- e. Any vacancy occurring on the Board of Ethics other than by expiration of a term shall be filled by nomination of a successor within sixty (60) days of the creation of the vacancy. The County Legislature shall act on such nomination within sixty (60) days of the filing of the appointing resolution in the Office of the Clerk of the Legislature.
- f. The Board of Ethics shall meet at least once each quarter.
- g. The Board of Ethics shall have the confidential advice of special counsel employed by the County Attorney or, if none, the County Attorney.
- h. No member of the Board of Ethics shall participate in the discussions, deliberations or vote in a matter involving a person to whose campaign for election to public office the Board of Ethics member has contributed goods or services valued at one hundred and fifty dollars (\$150) or more in the then most recent election cycle.

Section 883.402. Powers and Duties of the Board of Ethics.

- a. The Board of Ethics shall have the following powers and duties:
 - (1) To prescribe and promulgate rules of procedure for the discharge of its duties including, but not limited to, rules of procedure for conducting adjudicatory proceedings in the investigation and determination of complaints;
 - (2) To review, index, and maintain on file, and make available for public inspection and copying, lists of officers and employees required to file annual disclosure statements, particular matter disclosure statements, applicant disclosure statements, and annual disclosure statements filed with the Board of Ethics pursuant to this Code of Ethics;
 - (3) To grant exemptions from filing annual statements of financial disclosure from persons designated as policymakers based on the criteria set forth in the Code of Ethics;

- (4) To grant exemptions from disclosure of identifying client or customer information to the extent permitted by Article 6 of the New York Public Officers Law (the Freedom of Information Law;
- (5) To review, index, maintain on file, and dispose of sworn complaints and to make notifications and conduct investigations pursuant to this Code of Ethics;
- (6) To conduct hearings, recommend disciplinary action, assess penalties, make referrals, and initiate appropriate actions and proceedings pursuant to this Code of Ethics;
- (7) To grant waivers pursuant to Section 883.402(g) of this Code of Ethics;
- (8) To render, index, and maintain on file advisory opinions rendered, and waivers granted pursuant to this Code of Ethics;
- (9) To prepare a plain language guide to this Code of Ethics and related authorities;
- (10) To provide ethics training and education to County officers and employees;
- (11) To prepare an annual report and recommend changes to this Code of Ethics; and
- (12) To provide for public inspection and copying of its records, subject to the terms and conditions set forth in Article 6 of the New York Public Officers Law (the Freedom of Information Law).

b. Investigations.

- (1) Complaints. Upon receipt of a complaint by any person alleging that any County officer or employee, independent contractor or person required to file an Annual Statement of Financial Disclosure has violated this Code of Ethics, any applicable State or Local Law relating to conflicts of interest and municipal ethics including, but not limited to, Article 18 of the General Municipal Law, or any related rule, regulation, policy or procedure of the County, or upon determining on its own initiative that any such violation may exist, the Board of Ethics shall have the power and duty to conduct

such investigation as it deems necessary or appropriate to carry out the provisions of this Code of Ethics;

- (2) The Board of Ethics shall acknowledge receipt of all complaints that it receives, and shall proceed with reasonable promptness to conduct such investigations thereof as it deems necessary or appropriate;
- (3) In conducting investigations, the Board of Ethics may administer oaths or affirmations, subpoena witnesses, compel their attendance, and require the production of books or records that it deems relevant and material;
- (4) Complainants shall be afforded such whistleblower protections as may be provided by law, including but not limited to the protections set forth in New York Civil Service Law §75-b and New York Labor Law §740, to the extent applicable;
- (5) The Board of Ethics shall state in writing the disposition of every complaint it receives and of every investigation it conducts and shall set forth the reasons for the disposition. All such statements and all complaints shall be indexed and maintained on file by the Board of Ethics;
- (6) Any person filing a complaint with the Board of Ethics shall be notified in writing of the disposition of the complaint, to the extent permitted by law;
- (7) All documents and hearings relating to the investigation and hearing of any alleged violation of this Chapter shall be confidential and not available for public inspection or open to the public, except as otherwise required by this Chapter or by the Freedom of Information Law (Article 6 of the New York Public Officers Law. All dispositions, including negotiated dispositions, in which the Board of Ethics finds a violation of this Chapter shall be available for public inspection and copying.

c. Assessment of penalties; referral for prosecution.

- (1) Civil fine. In its discretion after a hearing, the Board of Ethics may assess a civil fine, not to exceed ten thousand (\$10,000) dollars for each violation, upon any County officer, employee, independent contractor, former County officer or employee, or reporting person as defined by Section 883.304(a), found by the Board of Ethics to have violated this Code of Ethics. The civil fine shall be payable to the County.
 - (2) Referral to Prosecutor. The Board of Ethics may, at any time, refer to the appropriate prosecutor any matter that, in the judgment of the Board of Ethics, might involve criminal misconduct. Nothing contained in this Code of Ethics shall be construed to restrict the authority of any prosecutor or the attorney general to prosecute a violation of this Code of Ethics or of any other law. If such a referral is made, the Board of Ethics may defer taking any further action in the matter pending advice from the prosecutor that the matter will or will not result in a prosecution.
- d. Recommendation of other sanctions. In its discretion, within thirty calendar days after a hearing, and subject to any applicable provisions of law and collective bargaining agreements, the Board of Ethics may recommend one or more of the following sanctions:
- (1) Disciplinary action. The Board of Ethics may recommend that the employee or officer's appointing authority impose appropriate disciplinary action.
 - (2) Damages. The Board of Ethics may recommend to the Board of Legislators that the County initiate an action in the appropriate court to obtain monetary damages. The recommendation of the Board of Ethics will be accepted, accepted with modification, or rejected by the Board of Legislators within thirty calendar days of receipt.
 - (3) Civil forfeiture. The Board of Ethics may recommend to the Board of Legislators that the County initiate an action or special proceeding in the appropriate court to obtain civil forfeiture.

- (4) Debarment. The Board of Ethics may recommend to the Board of Legislators that the County initiate an action or special proceeding in the appropriate court for an order of debarment.
- (5) Injunctive relief. The Board of Ethics may recommend to the Board of Legislators that the County initiate an action or special proceeding in the appropriate court for injunctive relief to enjoin a violation of this Code of Ethics or to compel compliance with this Code of Ethics or, if either the Chair of the Board of Legislators or the Board of Ethics believes there to be an emergency as defined by Charter Section 158(11)(4), they may, respectively, make such recommendation to the County Executive.
- e. Nothing in this Section shall be construed to permit the Board of Ethics to take any action with respect to any alleged violation of this Code of Ethics, or of any other law, by the Board of Ethics or by any member or staff member thereof.
- f. Nothing in this Section shall be construed to permit the Board of Ethics to take any action which would violate the terms of any collective bargaining agreement to which the County is a party.
- g. Waivers.
- (1) Upon written application by a current or former County officer, employee or independent contractor, and upon written approval by his or her department or agency head, the Board of Ethics may grant the applicant, or his or her private employer or business, a waiver of any of the provisions of Sections 883.203 (Recusal), 883.205 (Investments in conflict with official duties), 883.206 (a) through (c) (Private employment in conflict with official duties), 883.207 (Future employment), 883.210 (Use of County position for personal or private gain), 883.211 (Nepotism), and 883.214(b) and (d) (Gifts) of this Code of Ethics, where the Board of Ethics finds that

waiving such provision would not be in conflict with the purposes and interest of the County, provided, however, that no such waiver shall permit any conduct or interest otherwise prohibited by Article 18 of the General Municipal Law.

- (2) The application shall be filed by the applicant simultaneously with the Board of Ethics and with the Clerk of the Board of Legislators.
- (3) Waivers shall be in writing, shall state with reasonable particularity the grounds upon which they are granted, and shall be available for public inspection and copying. All applications, decisions, and other records and proceedings relating to waivers shall be indexed and maintained on file by the Board of Ethics.
- (4) The application for a waiver shall be deemed granted if, within ten business days of its receipt of the application, the Board of Ethics does not grant, grant in part, grant subject to conditions, or deny the application.
- (5) On the first business day following the issuance of a decision by the Board of Ethics, it shall file with the Clerk of the Board of Legislators a copy of its decision, if any, and all records and proceedings relating to the application for a waiver. In any event, this filing shall be made no more than ten business days after receipt of the application.
- (6) Any determination made or deemed to be made by the Board of Ethics upon an application for a waiver made pursuant to this section shall be subject to review by the Board of Legislators at its discretion. If the Board of Legislators chooses to review a determination of the Board of Ethics, it may, by Resolution, affirm, reverse, or otherwise modify the determination. If the Board of Legislators does not affirm, reverse, or otherwise modify the determination within ten business days following its first scheduled meeting after the filing with the Clerk pursuant to paragraph (5) of this subsection, the determination shall be deemed affirmed by the Board of Legislators.

h. Advisory opinions.

- (1) Upon the written request of any current or former County officer, employee or independent contractor inquiring about himself or herself, or upon the request of the head of a County department, agency, board or commission inquiring about a person subject to his or her supervision, the Board of Ethics shall render a written advisory opinion with respect to the interpretation or application of this Code of Ethics, any applicable State and Local Laws relating to conflicts of interest and municipal ethics including, but not limited to, Article 18 of the General Municipal Law, and all related rules, regulations, policies and procedures of the County, to the specific future or continuing conduct or interests of such County officer, employee, independent contractor or his or her outside employer or business.
- (2) Advisory opinions and requests for advisory opinions shall be indexed and maintained on file by the Board of Ethics. The Board of Ethics shall publish such of its advisory opinions as it believes will provide guidance to other County officers or employees, provided, however, that the publicly available copy of such opinions shall contain such deletions and redactions as may be necessary to prevent disclosure of the identity of the involved officers and employees, and as are permitted by Article 6 of the New York Public Officers Law (the Freedom of Information Law).

i. Training and education.

- (1) The Board of Ethics:
 - (i) Shall make information concerning this Code of Ethics available to the officers, employees and independent contractors of the County, to the public, and to persons interested in doing business with the County;

- (ii) Shall develop educational materials and an educational program on the provisions of this Code of Ethics for the officers, employees and independent contractors of the County, for the public, and for persons interested in doing business with the County.
- (2) The County Executive shall assist the Board of Ethics in the publication, posting, and distribution of a plain language guide and other ethics information and educational materials, including but not limited to posting such ethics information and educational material on the County website, and in the development and presentation of ethics educational programs.
- (3) All County officers and employees shall receive a copy of this Code of Ethics, and ethics training, in such form as determined by the Board of Ethics, within six months of the effective date of this Code of Ethics or within six months of his or her election or appointment, whichever is later. Thereafter, all persons holding elective County office, department heads, deputy department heads, and persons employed by the County as attorneys shall receive in-person ethics training at least biennially; all other County officers and employees shall receive ethics training, in such form as determined by the Board of Ethics, at least biennially.
- j. Annual reports; review of ethics laws.
 - (1) The Board of Ethics shall prepare and submit an annual report to the County Executive and the Clerk of the County Legislature summarizing the activities of the Board of Ethics. The report may also recommend amendments to this Code of Ethics.
 - (2) The Board of Ethics shall periodically review this Code of Ethics and the administrative procedures promulgated by the Board of Ethics, to determine whether they promote integrity, public confidence, and participation in County government

and whether they set forth clear, reasonable and enforceable standards of conduct.

Article V. Miscellaneous

Section 883.501. Existing Rights and Remedies. No existing right or remedy shall be lost, impaired, or affected by reason of this Code of Ethics.

Section 883.502. Posting and Distribution.

- (a) The County Executive and the Chair of the Board of Legislators shall promptly cause a copy of this Code of Ethics, and a copy of any amendment to this Code of Ethics, to be posted publicly and conspicuously in each building under the County's control and upon the County's website. This Code of Ethics shall be posted within ten days following the date on which this Code of Ethics takes effect. Any amendment to this Code of Ethics shall be posted within ten days following the date on which the amendment takes effect.
- (b) The County Executive and the Chair of the Board of Legislators shall promptly cause a copy of this Code of Ethics, including any amendments to the code, to be distributed to every person who is or becomes an officer, employee or independent contractor of the County.
- (c) The failure to post this Code of Ethics or any amendment to the code does not affect either the applicability or enforceability of the code or the amendment. The failure of a County officer or employee to receive a copy of this Code of Ethics or an amendment to this Code of Ethics shall not affect either the applicability or enforceability of the code of ethics or amendment to the code.

Section 3. The Code of Ethics enacted by this Local Law may be cited as the Westchester County Code of Ethics or the Code of Ethics without reference to it being a Chapter of the County Charter and may be published separately, both as a booklet and online. Any reference to a County Code of Ethics in this Charter, the Administrative Code, any Local Law, any act or any resolution which cites a section number or numbers different from the numbering assigned by this Local Law, shall be deemed to reference the Code of Ethics enacted by this Local Law. Any publication of the Code of Ethics shall include, either as an appendix, hyperlink or reference, such schedules, forms and lists or other items which are annexed to this Local Law or authorized by Act.

Section 4. Annexed hereto as Appendix A and made a part hereof is a Schedule of Titles the holders of which are required to file financial disclosure forms pursuant to Section 883.304(a)(3) of the Code of Ethics. The Schedule of Titles may be amended by Act. The Schedule of Titles shall indicate, in a parenthetical at the end, the number of this Local Law and the number of any Act amending it.

Section 5. Annexed hereto as Appendix B and made a part hereof is the Annual Statement of Financial Disclosure form to be used by persons required to file financial disclosure pursuant to Section 883.304 of the Code of Ethics. The Annual Statement of Financial Disclosure form may be amended by Act. The Annual Statement of Financial Disclosure form shall indicate in a parenthetical at the end, the number of this Local Law and the number of any Act amending it.

Section 6. This Local Law shall not affect the service and terms of office of any person serving on the Board of Ethics as established by a previously enacted Local Law except as provided for in this Section. The County Executive may, for the purpose of maintaining the staggered five-year terms office required by the Code of Ethics, appoint or reappoint members for definite terms of less than five years.

Section 7. Severability. If any clause, sentence, paragraph, subdivision, Section or other part

of this local law shall for any reason be adjudged by any court of competent jurisdiction to be unconstitutional or otherwise invalid, such judgment shall not affect, impair or invalidate the remainder of this local law, and it shall be construed to have been the legislative intent to enact this local law without such unconstitutional or invalid parts therein.

Section 8. This Local Law is subject to a mandatory referendum and shall be presented at the general election to be held on November 2, 2021.

Section 9. If approved by a majority of the votes cast on the question of the approval or disapproval of this Local Law at the general election to be held on November 2, 2012, this Local Law shall take effect on January 1, 2022.

APPENDIX A

Schedule of Titles

Pursuant to Section 883.304(a)(3) of the Code of Ethics, County officers or employees holding the following titles shall file annual statements of financial disclosure with the Board of Ethics:

Board of Elections:

Commissioner of Elections

Deputy Commissioner of Elections

Board of Ethics:

All Members

Board of Health:

All Members

Board of Legislators:

Assistant to the Chairman and Legislative Officer-County Board

Chief Advisor to the Chair of the Board of Legislators

Clerk of the County Board of Legislators and Chief of Staff

Communications Officer-Board of Legislators

County Legislator

Deputy Clerk to the County Board of Legislators and Deputy Chief of Staff

Director of Fiscal Affairs-BOL

Legislative Counsel

Budget Department:

Assistant Budget Director

Associate Budget Director

Budget Director

First Deputy Budget Director

Department of Community Mental Health:

Commissioner of Community Mental Health

Deputy Commissioner of Community Mental Health
Director of Administrative Services (Management Operations)
Director of Alcohol and Substance Abuse Services
Director of Developmental Disabilities Services
Director-Employee Assistance Program

Department of Correction:

Associate Warden
Commissioner of Correction
Deputy Commissioner of Correction
Director of Administrative Services (Management Operations)
Second Deputy Commissioner of Correction
Special Assistant to the Commissioner of Correction
Warden

Office of the County Clerk:

Assistant to the County Clerk
Chief Deputy County Clerk (Legal and Land Records)
County Clerk
Deputy County Clerk (General)
Director of Program Development II (Trades Licensing)
Executive Deputy County Clerk

Office of the County Executive:

Assistant to the County Executive I
Assistant to the County Executive II
Assistant to the County Executive III
Assistant to the County Executive IV
Chief Advisor to the County Executive
Chief of Staff-County Executive
Coordinator of Veterans Affairs

Counsel to the County Executive
County Executive
County Fire Coordinator
Deputy County Executive
Deputy Director of Economic Development
Director of Communications
Director of Economic Development
Director of Intergovernmental Relations — County Executive
Director of Real Estate
Director of Research and Economic Development
Director of Tourism
Director-Office for the Disabled
Director-Office for Women
Director-Office of Criminal Justice Planning
Director-Youth Bureau
Executive Assistant for Office Management-County Executive
LGBT Community Liaison
Program Administrator (Minority Affairs-Spanish Speaking)
Research Assistant-County Executive
Senior Assistant to the County Executive I
Senior Assistant to the County Executive II

Deferred Compensation Board:

All Members

Office of the District Attorney:

Chief Administrator-District Attorney
Chief of Bureau-District Attorney
Deputy District Attorney
Director of Public Affairs

District Attorney

First Deputy District Attorney

Second Deputy District Attorney

Electrical Licensing Board:

All Members

Department of Emergency Services:

Commissioner of Emergency Services

Deputy Commissioner of Emergency Services

Department of Environmental Facilities:

Assistant Commissioner of Solid Waste

Associate Director of Fiscal Services (Environmental Facilities)

Commissioner of Environmental Facilities

Deputy Commissioner of Environmental Facilities

Deputy Commissioner of Solid Waste

Deputy Director-Wastewater Treatment

Director of Administrative Services (Management Operations)

Director of Program Development II (Personnel)

Director-Wastewater Treatment

Director-Water Agency

Second Deputy Commissioner of Environmental Facilities

Fair Housing Board:

All Members

Department of Finance:

Commissioner of Finance

Deputy Commissioner of Finance

Deputy Purchasing Agent

Director-Fiscal Operations

First Deputy Commissioner of Finance

Manager of Accounting Services

Manager of Payroll and Benefits

Purchasing Agent

Department of Health:

Assistant Commissioner of Health (Environmental Quality)

Assistant Commissioner of Health (Nursing Services and Community Health)

Assistant Commissioner of Health (Planning and Evaluation)

Assistant Commissioner of Health (Public Health Protection)

Assistant Commissioner of Health (Services for Children with Disabilities)

Associate Director of Division (Community Health Services)

Chief of Section (Clinical Services)

Chief of Section (Communicable Diseases)

Chief of Section (Dental)

Commissioner of Health

Deputy Commissioner of Health

Deputy Commissioner of Health for Disease Control

Director of Administrative Services (Management Operations)

Director of Division (Health Services)

Director-Fiscal Operations

First Deputy Commissioner of Health

Second Deputy Commissioner of Health

Department of Human Resources:

Commissioner of Human Resources

Deputy Commissioner of Human Resources

Deputy Director-Human Rights Commission.

Director of Labor Relations

EEO Coordinator

Executive Director-Human Rights Commission

Human Rights Commission:

All Members

Department of Information Technology:

Assistant Chief Information Officer

Assistant Chief Information Officer-Geographic Information Systems

Assistant Director of Telecommunications

Chief Information Officer

Deputy Chief Information Officer

Director of Application Support

Director of Knowledge Management and Archives

Director of Program Development II (Information Technology Management Operations)

Director of Systems Development and Application

Director of Telecommunications

Manager of Data Communications

Manager of Geographic Information Systems

Manager of Network Engineering

Manager of Software Architecture

Manager of Web Design

Manager of Web Development

Manager of Workstation Engineering

Second Deputy Chief Information Officer

Department of Laboratories and Research:

Chief of Environmental Laboratory Services

Chief of Microbiology Services

Director of Administrative Services (Management Operations)

Director of Forensic Science Services

Director of Toxicology

Pathologist — Deputy Medical Examiner

Pathologist — Medical Examiner

Lab and Research Board of Managers:

All Members

Department of Law — Office of the County Attorney:

Assistant Chief Deputy County Attorney

Associate County Attorney

Chief Deputy County Attorney

County Attorney

Deputy County Attorney

Director of Risk Management

Senior Assistant County Attorney

Special Assistant to the County Attorney

Department of Parks, Recreation & Conservation:

Assistant Director of Division (PRC)

Assistant Director-Playland

Assistant Manager-County Center Operations

Assistant Manager-County Center Sales and Marketing

Commissioner of Parks, Recreation and Conservation

Controller-Playland

Deputy Commissioner of Parks, Recreation and Conservation

Director of Administrative Services (Management Operations)

Director of Maintenance-PRC

Director of Operations (Maintenance-PRC)

Director of Operations (Marketing-PRC)

Director of Operations (PRC)

Director of Park Facilities-PRC

Director of Program Development II (Capital Projects-Playland)

Director of Program Development II (Community Relations)
Director of Program Development II (Concessions)
Director of Program Development II (Golf)
Director of Program Development II (Historic and Interpretive Sites)
Director of Program Development II (Naturalist)
Director of Program Development II (Parks)
Director of Program Development II (Personnel)
Director-Playland
Director-Programs and Services — PRC
District Park Director
First Deputy Commissioner of Parks, Recreation and Conservation
Golf Course Superintendent
Program Administrator (Conservation)
Program Administrator (Contract Management)
Program Administrator (Event Coordinator-County Center)
Program Administrator (Park Management)
Program Administrator (Sales and Marketing)
Program Administrator (Special Services)
Program Coordinator (Golf)
Program Coordinator (Natural Resource Management)

Parks, Recreation and Conservation Board:

All Members

Department of Planning:

Assistant Commissioner of Planning
Chief Planner
Commissioner of Planning
Deputy Commissioner of Planning
Deputy Commissioner of Planning for Housing and Community

Development

Director of Management Operations

Director of Natural Resource Planning

Director of Operations (Urban Design)

Director of Program Development II (Housing and Planning)

Director of Program Development II (Research and Planning)

Program Administrator (Building Rehabilitation Services)

Program Administrator (Contract Management)

Program Administrator (Housing and Community Development)

Senior Management Analyst

Planning Board:

All Members

Plumbing Examiners Board:

All Members

Police Advisory Board:

All Members

Department of Probation:

Assistant Commissioner-Probation

Assistant Director-Probation

Chief of Planning, Research, Training and Staff Development-Probation

Commissioner of Probation

Deputy Commissioner-Probation

Director of Program Development II (Probation)

Program Administrator (Probation Staff Development)

Professional Prequalification Board:

All Members

Professional Selection Board:

All Members

Public Administrator:

Public Administrator

Department of Public Safety:

Chairman-Westchester County Taxi and Limousine Commission

Commissioner/Sheriff

Deputy Commissioner of Public Safety

Director of Administrative Services (Management Operations)

Director of Intelligence, Security and Counterterrorism

Director-Office of Criminal Justice Services

Department of Public Works and Transportation:

Commissioner of Public Works and Transportation

Deputy Commissioner of Public Works and Transportation

Director of Administrative Services (Management Operations)

Director of Design Coordination

Director of Operations (Public Works and Transportation)

First Deputy Commissioner of Public Works and Transportation

Principal Engineer (Field)

Department of Senior Programs and Services:

Commissioner of Senior Programs and Services

Deputy Commissioner of Senior Programs and Services

Director of Operations (Senior Programs and Services)

Department of Social Services:

Assistant Commissioner of Social Services.

Assistant Director of Division (Case Management Development)

Associate Commissioner of Social Services

Child Welfare Manager III

Commissioner of Social Services

Coordinator of Veterans Affairs

Deputy Commissioner of Social Services (General)
Director of Child Welfare
Director of Operations (Commissioner's Office-Social Services)
Director of Program Development II (Child Support Enforcement)
Director of Program Development II (Staff Development)
Director of Temporary Assistance
Director-Fiscal Operations
Director-Office of Employment and Training
First Deputy Commissioner of Social Services
Manager III
Manager-Fiscal Operations
Personnel Director-Social Services
Temporary Assistance Manager III

Soil and Water Conservation Board:

All Members

Solid Waste Licensing Commission:

Deputy Director of Solid Waste Licensing
Executive Director of Solid Waste Licensing
All Members

Stream Control Board of Appeals:

All Members

Tax Commission:

All Members
Executive Director of Tax Commission
Program Administrator (Real Property Tax Services)

Taxi and Limousine Commission:

All Members

Transportation Board:

All Members

Department of Weights and Measures:

Deputy County Sealer of Weights and Measures

Deputy Director of Consumer Protection and Sealer of Weights and Measures

Director of Consumer Protection

Westchester Community College:

Assistant Dean (WCC)

Associate Dean (Business Administration and Public Services)

Associate Dean (Community Services and Corporate Education)

Associate Dean (Distance Learning, Learning Resources and Instructional Technology)

Associate Dean (Educational Opportunity Center)

Associate Dean (Institutional Research)

Associate Dean (Instructional and Learning Resources)

Associate Dean (Math, Physical and Engineering Sciences and Medical Technology)

Associate Dean (Natural and Health Sciences)

Associate Dean (Student Personnel Services)

Board of Trustees (All members)

Director of Program Development I (Institutional Advancement)

Director-Fiscal Operations

President-Community College

Vice President and Dean of Academic Affairs-WCC

Vice President and Dean of Administrative Services-WCC

Vice President and Dean of Community Services and Corporate Education

Vice President and Dean of Educational Opportunity Center/Community Services

Vice President and Dean of External Relations

Vice President and Dean of Student Personnel Services-WCC

APPENDIX B

**COUNTY OF WESTCHESTER
ANNUAL STATEMENT OF FINANCIAL DISCLOSURE**

REPORTING PERIOD: CALENDAR YEAR 20_____

ALL QUESTIONS MUST BE COMPLETED.

1. NAME AND ADDRESS.

Last Name Middle Initial First Name

Title

Department or Agency

Department or Agency Address Telephone No.

Residence Address Telephone No.

2. SPOUSE AND CHILDREN.

Provide the name of your spouse (if married) and the names of any dependent children: If none, place a check mark in the following box.

☐ none.

Spouse

☐ none.

Child/Age

Child/Age

Child/Age

Child/Age

NOTE: FOR QUESTIONS 3 TO 6. DO NOT REPORT EXACT DOLLAR AMOUNTS. INSTEAD, REPORT CATEGORIES OF AMOUNTS, USING THE FOLLOWING:

CATEGORY A: UNDER \$5,000

CATEGORY B: \$5,001 TO UNDER \$10,000

CATEGORY C: \$10,001 TO UNDER \$25,000

CATEGORY D: \$25,001 TO UNDER \$50,000

CATEGORY E: \$50,001 TO UNDER \$100,000

CATEGORY F: OVER \$100,000

3. FINANCIAL INTERESTS.

a. *Business Positions.* List any office, trusteeship, directorship, partnership, or other position in any business, association, proprietary, or not-for-profit organization held by you and your spouse or your dependent children, if any, and indicate whether, to your knowledge, during the reporting period, these entities had any application, request, claim or interest in any proposal before a county department, agency, board or commission, or any litigation, negotiations or matter requiring the exercise of discretion to which the County is a party. If none, place a check mark in the following box.

☐ none

Name of Family Member	Position	Organization	County Department Agency and Nature or Involvement
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

b. *Outside Employment.* Describe any outside occupation, employment, trade, business, or profession providing more than \$1,000 per year for you and your spouse and dependent children, if any, and indicate whether such activities are regulated by any state or local agency. If none, place a check mark in the following box.

☐ none

Name of Family Member	Position	Name, Address, and Description of Organization	State or Local Agency	Category of Amount
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

c. *Clients and Customers Doing Business with the County.* Identify any client or customer: (i) from which you know that you, your outside employer, firm, limited liability company, partnership, association, or corporation in which you are the owner of more than five percent of the outstanding shares of corporate stock, derived income in excess of five thousand dollars (\$5,000), and (ii) that you know, during the reporting period, had any application, request, claim or interest in any proposal before a County department, agency, board or commission, or any litigation, negotiations or matter requiring the exercise of discretion to which the County is a party.

Do not identify any client or customer that received medical, pharmaceutical or dental services, or mental health services.

Do not identify any client or customer that received residential real estate services, other than services rendered in connection with a land use application.

Do not identify any client or customer represented in connection with an investigation or prosecution by law enforcement authorities, bankruptcy, family court, estate planning, or domestic relations matters.

Do not identify any client or customer represented pursuant to an insurance policy, but identify the source of compensation paid to you or the firm.

Do not disclose information prohibited from disclosure by federal or state law, such as information governed by the Family Court Act or the identity of any minor client or customer.

You may seek other exemptions from the Board of Ethics in connection with the disclosure of identifying client or customer information.

If none, place a check mark in the following box.

☐ none

Client or Customer	County Application Claim, Request or Proposal	Amount of Income by Category
_____	_____	_____
_____	_____	_____
_____	_____	_____

d. *Future Employment.* Describe any contract, promise, or other agreement between you and anyone else with respect to your employment after leaving your County office or position. If none, place a check mark in the following box.

☐ none

e. *Past Employment.* Identify the source and nature of any income in excess of \$1,000 per year from any prior employer, including deferred income, contributions to a pension or retirement fund, profit sharing plan, severance pay, or payments under a buy-out agreement. If none, place a check mark in the following box.

☐ none

Name and Address of Income Source	Description of Income (i.e., pension, deferred, etc.)	Category of Amount
<hr/>	<hr/>	<hr/>
<hr/>	<hr/>	<hr/>
<hr/>	<hr/>	<hr/>

f. *Investments.* Itemize and describe all investments of you, your spouse, and your dependent children, if any, which have a value in excess of \$5,000, or that constitute five percent or more of the debt or equity of any business, limited liability company, partnership, association, or corporation. Include stocks, bonds, loans, pledged collateral, and other investments. Investments in publicly traded stocks and bonds amounting to less than five percent of the debt or equity of the issuer entity may be listed in the aggregate (e.g., in lieu of listing each stock individually, you can provide the name of the Bank/Brokerage Account and category of value for the total amount invested). List the location of all real estate within the County of Westchester or within five hundred feet of a boundary of the County, in which you, your spouse, or your dependent children, if any, have an interest, regardless of its value. If none, place a check mark in the following box.

☐ none

Name of Family Member	Name and Address of Business or Real Estate of Investment	Description of Investment	Category of Amount
<hr/>	<hr/>	<hr/>	<hr/>
<hr/>	<hr/>	<hr/>	<hr/>

g. Trusts. Identify each interest of you, your spouse, and your dependent children in a trust or estate or similar beneficial interest in any assets in excess of \$2,000. Do not list IRS eligible retirement plans or interests in an estate or trust of a spouse, child, stepchild, dependent, parent, stepparent, sibling or stepsibling. If none, place a check mark in the following box.

☐ none

Name of Family Member	Trustee/Executor	Description Trust/Estate	Category of Amount
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

h. Other Income. Identify the source and nature of any other income in excess of \$1,000 per year from any source not described above, including fiduciary positions, teaching income, lecture fees, consultant fees, contractual income, rents or other income of any nature, or you, your spouse and your dependent children, if any. Income from real estate rents derived from real property located in the County of Westchester, or within five hundred feet of a boundary of the County should be identified by the property address. Do not list maintenance, alimony or child support. If none, place a check mark in the following box.

☐ none

Name of Family Member	Name and Address of Income Source	Nature of Income	Category of Amount
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

4. GIFTS AND HONORARIUMS.

List the source of all gifts aggregating in excess of \$250 received during the last year by you, your spouse or dependent child, excluding gifts from a Relative. The term "gifts" includes gifts of cash, property, personal items, payments to third parties on your behalf, forgiveness of debt, honorariums, and any other payments that are not reportable as income. If none, place a check mark in the following box.

☐ none

Name of Family Member	Name and Address of Donor	Category of Amount
_____	_____	_____
_____	_____	_____
_____	_____	_____

5. THIRD-PARTY REIMBURSEMENTS.

Identify and describe the source of any third-party reimbursement for travel-related expenditures in excess of \$250 for any matter that relates to your official duties. The term "reimbursement" includes any travel-related expenses provided by anyone other than the County of Westchester for speaking engagements, conferences, or fact-finding events that relate to your official duties. If none, place a check mark in the following box.

☐ none

Source	Description	Category of Amount
_____	_____	_____
_____	_____	_____
_____	_____	_____

6. DEBTS.

Describe all debts of you, your spouse, and your dependent children in excess of \$5,000. Do not list any obligation to pay maintenance, alimony or child support. Do not list any loan issued in the ordinary course of business by a financial institution to finance educational costs, the cost of home purchase or improvements for a primary or secondary residence, or purchase of a personally owned motor vehicle, household furniture or appliances. Do not list credit card debt. If none, place a check mark in the following box.

☐ none

Name of Family Member	Name and Address of Creditor	Category of Amount
_____	_____	_____
_____	_____	_____
_____	_____	_____

7. INTEREST IN CONTRACTS.

Describe any interest of you, your spouse, or your dependent children in any contract involving the County of Westchester or any municipality located within the County. If none, place a check mark in the following box.

☐ none

Name of Family Member	Contract Description
_____	_____
_____	_____
_____	_____

8. POLITICAL PARTIES.

List any position you held within the last five years as an officer of any political party, political committee, or political organization. The term "political organization" includes any independent body or any organization that is affiliated with or a subsidiary of a political party. If none, place a check mark in the following box.

☐ none

8. DISCLOSURE BY LICENSED PROFESSIONALS AND LOBBYISTS.

a. If you were licensed to practice law, worked as a licensed real estate broker or agent, practiced a profession licensed by the New York State Education Department, or worked as a member or employee of a firm required by law to register as a lobbyist, give a general description of the principal subject areas of matters that you handled during the reporting period, the compensated services that you performed, and whether you personally provided services directly to clients. If none, place a check mark in the following box. Do not report services provided as an employee of the County.

☐ none

b. If you were licensed to practice law, worked as a licensed real estate broker or agent, practiced a profession licensed by the New York State Education Department, or worked as a member or employee of a firm required by law to register as a lobbyist, and are a partner or shareholder in the firm or corporation that engaged in such activities, give a general description of the principal subject areas of matters that the firm or corporation handled during the reporting period. If none, place a check mark in the following box. Do not report services provided as an employee of the County.

☐ none

I have received and read a copy of the County of Westchester Code of Ethics.

Signature

Date

DO YOU HAVE QUESTIONS ABOUT THE CODE OF ETHICS? For a confidential advisory opinion, contact the Board of Ethics at the following address, or as provided on the County's web site:

SECRETARY TO BOARD OF ETHICS
148 Martine Avenue
White Plains, New York 10601
(914) 995-XXXX
XXXX@westchestergov.com

BOARD OF LEGISLATORS
COUNTY OF WESTCHESTER

Your Committee is in receipt of a transmittal from the County Attorney, pursuant to Section 158.11(5) of the Westchester County Charter for the adoption of an Act which, if adopted by this Board, would authorize the Westchester County Attorney to settle the claims of E.E. Cruz & Company, Inc. (“Cruz”) against the County of Westchester (the “County”) for additional compensation under Contract No. 11-503-REV (the “Contract”) for Rehabilitation of the Fulton Avenue Bridge over Hutchinson River (BIN 3348220), City of Mount Vernon and Village of Pelham Manor (the “Project”).

By a resolution approved on May 12, 2016, the Board of Acquisition and Contract awarded the Contract for the Project to Cruz for the sum of \$14,816,000.00. The scope of work for the Project included the removal and replacement of the steel bridge deck, stringers and bracing, sidewalk panels and various secondary members of the Fulton Avenue Bridge (the “Bridge”), a bascule movable bridge over the Hutchinson River.

On or about February 2, 2020, Cruz submitted to the Commissioner of the Department of Public Works and Transportation (the “Commissioner”) a verified statement in the form of a “Final Application for Payment,” along with accompanying materials for this consideration, listing the following two (2) separate claims for additional compensation with respect to work performed by Cruz on the Project seeking additional payment under Contract Item Nos. 589.01, 800.23 and 800.34 for the total sum of \$1,744,719.00 (the “Claims”):

1. Removal of Existing Steel – Contract Item 589.01.

This claim concerns the removal of existing steel from the Bridge. For Contract Item 589.01, the County’s engineering consultant, Henningson, Durham & Richardson Architecture and Engineering, in association with HDR Engineering Inc. (“HDR”), provided the approximate

quantity of 178,684 pounds of existing steel to be removed from the Bridge with the “Pay Unit” being measured in pounds. In its bid for the Project, Cruz provided a “Unit Bid Price” of \$8.00 per pound resulting in an “Amount Bid” of \$1,429,472.00 for the removal of existing steel from the Bridge. To date, Cruz has been paid \$1,429,472.00 for the removal of 178,684 pounds of steel; however, Cruz seeks an additional payment of \$1,292,000.00 from the County, claiming that it removed a total of 340,184 pounds of existing steel from the Bridge, or 161,500 pounds over and above the amount approximated by HDR.

2. Floorbeam 5 Interferences – Item Nos. 800.23 and 800.34.

This claim concerns in-field modifications along the upper and lower flanges of the Bridge’s Floorbeam 5. The in-field modifications were required when the upper and lower flanges made contact with separate joints during test operations on November 13, 2017 and February 15, 2018, respectively. Cruz claims that the interferences were the result of errors in the design documents prepared by HDR, and claims that it is entitled to additional compensation in the total sum of \$452,718.00 for the resulting in-field modifications.

Your committee has been informed that the Commissioner issued a determination (the “Determination”) with respect to the Claims for additional compensation under Contract Item No. 589.01, 800.23 and 800.34 on August 27, 2020, finding as follows:

1. Removal of Existing Steel – Item 589.01.

The total amount of existing steel Cruz removed from the Bridge was 311,402.2 total pounds—an overrun of 132,718.2 pounds from the original estimate provided by HDR. Applying the \$8.00 per pound figure bid by Cruz, Cruz is entitled to additional payment of \$1,061,745.60 for the removal of existing steel from the Bridge pursuant to the terms of the Contract.

2. Floorbeam 5 Interferences – Item Nos. 800.23 and 800.34.

Cruz is not entitled to additional compensation for the in-field modifications performed by Cruz with respect to Contract Item Nos. 800.23 and 800.34.

3. Disincentive Assessment – Item No. 698.93940015.

Under Contract Item No. 698.93940015, substantial completion of the project was required on or before November 22, 2017. The work was not substantially complete until May 24, 2018—183 days beyond the substantial completion date. Accordingly, pursuant to a Special Notice annexed to the Project’s bid specification, a disincentive assessment of \$2,000.00 per day must be applied, reducing the sum due Cruz under Contract Item No. 589.01 to \$695,745.60 (183 days x \$2,000/day).

Cruz, thereafter, advised that it disagrees with the Commissioner’s determination and intends to challenge the determination via an Article 78 proceeding.

The Department of Law, the Department of Public Works, and the principals of Cruz have engaged in negotiations in order to avoid the potential additional expense of litigation. These negotiations have resulted in a proposed agreement (the “Settlement Agreement”) to settle Cruz’s Claims, conditioned on this Honorable Board’s approval. Pursuant to the proposed Settlement Agreement, the County is to pay Cruz the sum of \$850,000.00 in full and final satisfaction of its Claims. The County’s engineer for the Project, HDR, is to contribute \$154,254.40 to the \$850,000.00 settlement amount with Cruz via direct payment to the County pursuant to a separate agreement with the County, which is the subject of separate legislation being submitted to your Honorable Board for approval simultaneously with this legislative package. In consideration of the \$850,000.00 payment to Cruz, Cruz and the County will release each other from all claims

related to Cruz's Claims, and the County will rescind and retract the disincentive assessment made within the Commissioner's Determination, identified as Item No. 698.93940015.

Your Committee has come to the determination that entering into the Settlement Agreement, without incurring the potential additional expense of further litigation, hearing, or adjudication of any issues of fact or law, is in the best interest of the County.

Your Committee recommends that this Board approve the accompanying Act authorizing the County to settle the Claims of Cruz.

An affirmative vote of a majority of the Board is required to pass this legislation.

Dated: White Plains, New York

March 15, 2021

Benjamin Bayless

Benjamin Bayless II
Calvin E

Committee On
Law & Major Contracts

Budget & Appropriations

Dated: March 15, 2021
White Plains, New York

The following members attended the meeting remotely, as per Governor Cuomo's Executive Order 202.1 and approved this item out of Committee with an affirmative vote. Their electronic signature was authorized and is below.

Committee(s) on:

Law & Major Contracts

Nancy E. Pan

Mary Jane Skimsky

Colin J. [Signature]

Vedat Zadin

[Signature]

[Signature]

Budget & Appropriations

Vedat Zadin

Margaret A. Cunjio

Nancy E. Pan

Catherine F. Parker

David A. Iulio

Alfreda Willis

Klauson R. Maher

[Signature]

Ruth Walker

[Signature]

FISCAL IMPACT STATEMENT

SUBJECT: COW v EE Cruz

☐ NO FISCAL IMPACT PROJECTED

OPERATING BUDGET IMPACT

To Be Completed by Submitting Department and Reviewed by Budget

SECTION A - FUND

☒ GENERAL FUND

☐ AIRPORT FUND☐ SPECIAL DISTRICTS FUND

SECTION B - EXPENSES AND REVENUES

Total Current Year Expense	\$ 850,000
-----------------------------------	-------------------

Total Current Year Revenue

Source of Funds (check one): ☒ Current Appropriations ☐ Transfer of Existing Appropriations

☐ Additional Appropriations ☐ Other (explain)

Identify Accounts: 356-46-RB2UU-00-6210 : \$296,000 ;

366-46-RB035-01-6210: \$281,000 ;

101-46-6000-4310: \$273,000

[illegible]

Describe: _____

Potential Related Operating Budget Revenues:	Annual Amount
--	---------------

Describe: _____

Anticipated Savings to County and/or Impact on Department Operations:

Current Year: _____

Next Four Years: _____

Prepared by: Michael Dunn

Title: Assistant Budget Analyst

Department: Budget

Date: March 5, 2021

Reviewed By:

Budget Director

Date:

$$\frac{2}{5} \div \frac{3}{5}$$

ACT NO.: -2021

AN ACT authorizing the County Attorney to settle on behalf of the County of Westchester the claims of E.E. Cruz & Company, Inc. against the County for additional compensation under Contract No. 11-503-REV for Rehabilitation of the Fulton Avenue Bridge over Hutchinson River (BIN 3348220), City of Mount Vernon and Village of Pelham Manor, New York.

BE IT ENACTED by the Board of Legislators of the County of Westchester as follows:

Section 1. The County Attorney is hereby authorized to settle the claims of E.E. Cruz & Company, Inc. for additional compensation under Contract No. 11-503-REV for Rehabilitation of the Fulton Avenue Bridge over Hutchinson River (BIN 3348220), City of Mount Vernon and Village of Pelham Manor, New York, (the "Contract") alleged by Cruz in its verified statement in the form of a "Final Application for Payment," dated February 2, 2020, revised July 2, 2020, with respect to Contract Item Nos. 589.01, 800.23 and 800.34 (the "Claims"), by the payment of \$850,000.00 in full and final satisfaction of the Claims, with the County's engineer for the Project, Henningson, Durham & Richardson Architecture and Engineering, in association with HDR Engineering, Inc., contributing \$154,254.40 of the \$850,000.000 payment, and Cruz and the County releasing each other from all claims related to Cruz's Claims, and the County rescinding and retracting the disincentive assessment made in the Commissioner of Public Works and Transportation's Determination, dated August 27, 2020, identified as Item No. 698.93940015.

§2. The County Attorney, or his designee, is hereby authorized to execute and deliver all documents and take such actions as the he deems necessary and desirable to accomplish the purposes hereof.

§3. This Act shall take effect immediately.

-----X
In the Matter of the Claim of:

**COMMISSIONER'S
DETERMINATION**

*E.E. Cruz & Company, Inc. for Additional Compensation
under Westchester County Contract No. 11-503-REV
for Rehabilitation of the Fulton Avenue Bridge
Over Hutchinson River (BIN 3348220), City of
Mount Vernon and Village of Pelham Manor, New York.*

-----X

I. AUTHORITY

By a resolution duly approved on May 12, 2016, the Westchester County Board of Acquisition and Contract (the "County Board") awarded Contract No. 11-503-Rev. for Rehabilitation of the Fulton Avenue Bridge over Hutchinson River (BIN 3348220), City of Mount Vernon and Village of Pelham Manor, New York, to E.E. Cruz & Company, Inc. in the sum of \$14,816,000.00.

Pursuant to the May 12th Resolution, the County of Westchester (the "County") executed Contract 11-503-REV with E.E. Cruz & Company, Inc., which included the following: (i) the Agreement, dated May 12, 2016 (the "Agreement"), (ii) Information for Bidders, (iii) General Clauses, (iv) Special Clauses, (v) Specifications, (vi) Itemized Proposal, and (vii) Plans and issued Addenda (collectively referred to as the "Contract").

As a condition precedent to receiving final payment under the Contract, the Contractor is required to submit a supplementary verified statement that includes all claims that accrued between substantial completion and final completion of the project. *Information for Bidders*, § 22(B). Only claims particularly identified on the Contractor's supplementary verified statement would be preserved; all other claims of whatever nature would be deemed waived and released. *Id.*

The Contractor submitted a Contractor's Certificate for Final Application for Payment, sworn to on February 2, 2020, along with accompanying materials, in support of its final claim for payment ("Final Application for Payment"). A copy of the Final Application for Payment is annexed as Exhibit "A." In paragraph 4 of the Final Application for Payment, the Contractor listed the following unpaid bills and liabilities:

<u>Claims No.</u>	<u>Name of Claimant</u>	<u>Purposes</u>	<u>Amount</u>
1.	E.E. Cruz	589.01-Removal of existing steel	\$1,292,000.
2.	E.E. Cruz	FB 5 Interferences	\$452,718.
3.	E.E. Cruz	Final Retainage Release	\$148,160.
		TOTAL	\$1,892,878.

Accompanying the Final Application for Payment, the Contractor submitted the following materials in support of Claim Nos. (1) and (2):

1. Letter Log No L-022, dated February 12, 2020, re: Contract No. 11-503-REV, Rehabilitation of Fulton Avenue Bridge over Hutchinson River Item 589.01- Removal of Existing Steel- Additional Quantities, a copy of which is annexed as Exhibit "B" ("Letter Log No. L-022").
2. Letter Log No. L-23, dated February 12, 2020, re: Contract No. 11-503-REV, Rehabilitation of Fulton Avenue Bridge over Hutchinson River- 800.23 – Floor Beam 5 Upper Flange Interference and 800.34 – Floor Beam 5 Bottom Flange Interference, a copy of which is annexed as Exhibit "C" ("Letter Log No. L-23").

The Commissioner of the Department of Public Works and Transportation (the "Commissioner") is authorized to render a full and final determination as to any and all disputes pursuant the following provision in the Contract:

"[S]hould any dispute arise respecting the true construction, interpretation or meaning of the Contract plans, specifications or conditions herein, or the measurement for the payment thereunder, same shall be referred to and decided by the said Commissioner and his decision hereon shall be final and conclusive upon the parties hereto and may not be challenged except in a proceeding commenced pursuant to Article 78 of the Civil Practice Law and Rules. This provision shall also apply to the true value of any duly authorized extra work or any work permitted by agreement in case any work shall be ordered performed, or any work called for shall be so omitted under and upon the direction of said Commissioner."

Agreement, p. 8 (emphasis added).

In accordance with the authority granted to the Commissioner pursuant to the Contract, I have fully reviewed the claims submitted by E.E. Cruz & Company, Inc. (the "Contractor"). After careful consideration, the following constitutes my full and final determination with respect to the Contractor's Claim Nos. 1 and 2; a separate determination shall be rendered with respect to the Contractor's Claim No. 3.

II. FACTS

By the May 12th Resolution, the County Board awarded the Contract to the Contractor for the sum of \$14,816,000.00. The scope of work for the rehabilitation of the Fulton Avenue bridge (the "Bridge"), a bascule movable bridge, over the Hutchinson River (the "Project") included the removal and replacement of the Bridge's "steel bridge deck, stringers and bracing, sidewalk panels and various secondary members...[and] both approach spans...." *General Requirements to the Contract*, para. 1.

Upon its submission of the Contractor's Final Application for Payment and Letter Log Nos. L-022 and L-023, the Contractor seeks an additional payment under Contract Item Nos. 589.01 (Claim No.1), as well as 800.23 and 800.34 (Claim No. 2) for the total sum of \$1,744,718.30.

a. Claim No. 1 - Item No. 589.01 – Removal of Existing Steel.

Item No. 589.01 concerns the removal of existing steel from the Bridge. For Item No. 589.01, the County provided the "approximate" quantity of 178,684 pounds of existing steel with the "Pay Unit" being measured in pounds. In its bid for the Project, the Contractor provided a "Unit Bid Price" of \$8.00 per pound resulting in an "Amount Bid" of \$1,429,472.00 for the removal of the 178,684 pounds of existing steel approximated by the County's engineering consultant, HDR Engineering Inc. (the "Engineer").

To date, the Contractor has been paid \$1,429,472.00 for the removal of 178,684 pounds of steel.

The Contractor seeks an additional payment of \$1,292,000.00 from the County for the removal of existing steel under Item No. 589.01 and seeks a determination with respect to same by submission of Letter Log No. L-022. Specifically, the Contractor claims that it removed a total of 340,184 pounds of existing steel from the Bridge, or 161,500 pounds over and above that which was approximated by the Engineer. Notwithstanding its estimation, the Contractor claims that the County acknowledged a total existing steel removal quantity of 320,263 pounds and requests a minimum payment of \$1,132,632.00 under Item No. 589.01, calculated as an additional 141,579 pounds at the Unit Price of \$8.00/pound. The Contractor has not annexed any support for its 340,184 pound estimation, or any support for its claim that the County has acknowledged and agreed that 320,263 pounds of steel were removed, to its application for additional payment under Item No. 589.01.

The County, in turn, does not deny that at the point in time the 178,684 pounds of steel was removed that amount represented only part of the amount of the steel that needed to be removed, and the removal of the existing steel had not been completed. However, the County disputes the quantity of additional existing steel the Contractor claims it removed.

The Engineer calculates that the Contractor removed a total of 311,402.2 total pounds of steel from the Bridge—an overrun of 132,718.2 pounds from the original estimate provided by the County. The County furthermore claims that it never acknowledged and/or agreed that 320,263 pounds of steel were removed from the Bridge. Rather, the County agrees that it discussed the 320,263 quantity number with the Contractor, but that this was done prior to the Engineer fully examining the item in detail and analyzing each component of the quantity of steel involved. The County refers to a September 16, 2019 email to the Contractor, a copy of which is annexed as Exhibit "D", in which the Project Engineer provides the basis for its calculation that the total quantity of steel removed was 311,402.2 pounds. The County claims that the 311,402.2 poundage was determined by using balancing calculations provided by the Contractor, and then deducting quantities not payable per the Project specifications (i.e.,

concrete, welds, bolts, etc.). The County, by the Engineer, offers a detailed estimation resulting in the quantity alleged, a copy of which is annexed hereto as Exhibit "E".

b. Claim No. 2 - Item Nos. 800.23 and 800.34 – Floor Beam 5. Upper and Bottom Flange Interference.

Item Nos. 800.23 and 800.34 concern in-field modifications along the upper and lower flanges of the Bridge's Floorbeam 5 for which the Contractor claims it is due payment under the Contract. Upon its submission of Letter Log No. L-023, the Contractor seeks payment under Item Nos. 800.23 and 800.34 of \$61,280.03 and \$391,438.27, respectively.

i. Item No. 800.23 - Floor Beam 5 – Upper Flange Interference.

This claim concerns a constructability interference, which occurred when the Contractor attempted to raise the Bridge to prepare for an incoming barge on November 13, 2017. Specifically, upon attempting to raise the Bridge, it was discovered that a floor beam flange on both the East and West spans of the Bridge were coming into contact with a joint requiring the Contractor to cut the floor beam flange to enable the Bridge to continue to raise. The Contractor claims that the design documents of the Engineer were not clear on the measurements and are the cause of the interference, and subsequent additional work required. The County, however, claims that the Contractor is at fault because the Contractor was required to conduct contractually required surveys and confirm all measurements prior to fabricating the replacement portions of the Bridge and attempting to raise the Bridge. The County claims that if the Contractor conducted the required survey(s) and confirmed the measurements in advance as required, it would have discovered the issue, it could have requested prior clarification, and it could have avoided any costs associated with the interference.

ii. Item No. 800.34 – Floor Beam 5 - Bottom Flange Interference.

This claim concerns a constructability interference, which occurred during a test operation of the Bridge on February 15, 2018. Specifically, the bottom flange of Floorbeam 5 on both the East and West spans of the Bridge interfered with the counter-weight slab armor joint, preventing same from opening to its predetermined seventy (70) degree mark; thus, requiring the Contractor to cut three (3) inches of steel from each corresponding flange as both the Pelham Manor span and Mount Vernon Span of the Bridge were affected. This, however, affected the integrity of the flange as a whole, requiring the Contractor to drill steel on the other side of the flange in order to reinforce the flange and the beam. The Contractor again claims that the interference stems from a flaw in the designs provided by the Engineer. The County again claims that the Contractor is at fault because the Contractor was required to conduct contractually required surveys and confirm all measurements prior to fabricating the replacement portions of the Bridge and attempting to raise the Bridge, which would have thus discovered the issue in advance, could have requested prior clarification, and avoided the subsequent costs associated with the interference claimed by the Contractor.

c. Item No. 698.93940015 – Disincentive Assessment.

There is another Item No. that affects the County's ability to make any payments to the Contractor under the Contract that that must be analyzed.

Item No. 698.93940015 concerns "incentive payments/disincentive assessments for work subject to the Special Note 'Incentive/Disincentive Clause.'" *Itemized Proposal*, p. 6.

The Contract provides that "[l]ate completion of I/D work will result in a disincentive assessment which will be deducted from money due to the contractor." *Special Notice*, p. 2.

I/D Work is defined to include "all work relating to the closure of the Fulton Avenue Bridge to two-way vehicular and pedestrian traffic as detailed in the reference contract plans" (the "I/D Work"). *Id.*

The Contract provides for an assessment in the sum of \$2,000.00 per day (the "Daily Cost") beginning the calendar day subsequent to Wednesday November 22, 2017 and continuing each day thereafter until the I/D Work is substantially completed to the satisfaction of the Engineer. *Id.* at p.1. Substantial completion is defined as the date upon which the Bridge is "successfully opened 9 out of 10 times, under normal operating conditions from the new operator's house, within a 4-hour window." *Id.* at p. 2-3. The Engineer is the sole authority in determining when the work is substantially complete. *Id.* at p. 3.

Moreover, the Contract provides:

"Failure to substantially complete any I/D work within the number of consecutive calendar days specified will result in the daily cost specified for that work in the special note "DESCRIPTION OF I/D WORK" being assessed for every calendar day in excess of the number of consecutive calendar days specified, up to the time when the work is substantially complete. **THERE IS NO LIMIT ON THE AMOUNT OF DISINCENTIVE ASSESSMENT.**"

Id. at p. 3 (*emphasis in the original*).

III. DISCUSSION

The Commissioner's determination is ultimately guided by the terms of the Contract itself, which includes the drawings, plans and specifications.

a. Claim No. 1 - Item No. 589.01 – Removal of Existing Steel.

The general rule is that unit price contracts entitle a contractor to payment for work completed, at the agreed-upon unit price, even in circumstances in which the amount of work is considerably in excess of the estimates. In such a case, the contractor is entitled to the unit price bid, but not to any unforeseen damages, lost profit or additional costs or materials.

Here, the Contract is subject to the Contractor's "Itemized Proposal" with unit prices bid for each work item. As such, it is a unit price contract subject to the following covenants as set forth in the Contract:

The County covenants and agrees with the said Contractor, in consideration of the covenants and agreements herein being strictly and in all respects complied with by the said Contractor as specified, that it will well and truly pay unto said Contractor the unit prices set forth in the Proposal for the various items included in the Contract. *Agreement*, p. 2.

The Contractor will accept the unit prices named in the proposal for all additions to or deductions from the original quantities as given in the specifications. It is agreed that the Commissioner will make estimates of the value for the work completed as provided in the specifications and the final estimate will be made accordingly. *Agreement*, p. 4.

If the various parts of the work have been divided into classes and/or items to enable the bidder to bid for different portions of the work in accordance with its estimate of their costs, in the event of any increase or decrease in the quantity will be paid for at the price bid for that particular item. The sum of the amount for each class or item, obtained by multiplying the approximate quantity by the unit price, shall constitute the total sum bid. *Information to Bidders*, § 13.

Pursuant to the terms of the Contract, the Contractor is entitled to payment for the removal of quantities of steel over and above the approximate quantity provided by the County under Item 589.01 at the "Unit Bid Price" of \$8.00 per pound.

Notwithstanding the foregoing, the Contractor failed to provide any support whatsoever in its application for additional payment to substantiate its claim that it removed 340,184 pounds of steel. Nor has the Contractor provided any support for its claim that the County acknowledged a quantity of 320,263. Indeed, the only support annexed to its application for payment were excerpts of Contract provisions supporting its claim for additional payment at the Unit Bid Price of \$8.00/pound; nothing to support the actual estimate that it alleges.

The County, on the other hand, supports its claim with a communication to the Contractor advising of its 311,402.2 estimation as well as a detailed analysis of how it reached said estimation. As such, it is my determination that the Contractor is entitled to additional payment of \$1,061,745.60 under Item 589.01, calculated as an additional 132,718.2 pounds of steel removed at a Unit Bid Price of \$8.00/pound.

b. Claim No. 2 - Item Nos. 800.23 and 800.34 – Floor Beam 5 – Upper and Bottom Flange Interference.

The terms of the Contract are clear; to wit: the relevant terms of the Contract are as follows:

Agreement, p. 2:

The Contractor acknowledges receipt of the "Information for Bidders, General and Special Clauses, Specification, Proposal and Plans" relating to this Contract, as well as all issued Addenda thereto, all of which are expressly incorporated in this Contract as if fully set forth herein.

Agreement, p. 9:

The Contractor by the submitting of bids and execution of this Contract hereby covenants and agrees that he has examined the plans, specifications and the site work, as to local conditions, difficulties and accuracy of approximate estimate quantities and does hereby further covenant and agree that he will not make any claim for damages by reason of any such local conditions, difficulties or variation of approximate estimate of quantities.

Special Clauses, para. 34:

The detail plans and specifications for the contract have been prepared with care and intended to show as clearly as is practicable the work required to be done. The contractor must realize however, that construction details cannot always be accurately anticipated and that in executing the work, field conditions may require reasonable modifications in the details of the plans and quantities of work involved. Work under all items in the contract must be carried out to meet these field conditions to the satisfaction of the Engineer and in accordance with his instructions and the contract specifications.

Drawing No. S-03, Sheet No. 14 of 159, Note 16 (emphasis added):

These contract documents have been prepared based on field inspections and original contract plans. Actual field conditions may require modifications to construction details and work quantities. The Contractor shall perform work in accordance with field conditions. Bidders shall visit the site of the Project before submitting a proposal to ascertain the work extents.

Drawing No. G-03, Sheet No. 3 of 159, Notes 3 and 4 (emphasis added):

Note 3: The Contractor shall verify dimensions necessary for the proper fit of concrete and steel elements prior to the fabrication of the steel. The cost of field verifying dimensions shall be included in the price bid for structural steel items.

Note 4: Horizontal, vertical, and detail dimensions and elevations shown on these plans have been obtained from the available drawings of the existing structures, and from other sources. The Contractor shall perform a field survey to establish base lines and control points and to verify all existing dimensions affecting fabrication and construction. Submit this field survey to the Engineer before shop and construction drawings are started. The Contractor shall fabricate all materials

in accordance with their own measurements and be responsible for proper fit of all work. The Engineer's approval of shop drawings shall not relieve the Contractor of this responsibility.

In accordance with the unambiguous terms of the Contract cited above, the Contractor's claims for additional compensation under Item Nos. 800.23 and 800.34, respectively, is without merit.

As described above, the primary guide in determining whether a contractor is entitled to receive additional compensation is the contract itself. Here, the Contractor agreed that the Contract, and its obligations pursuant to same, consisted of its adherence to the terms and conditions stated in all specifications and plans. Pursuant to the Project plans and specifications cited above, it was incumbent upon the Contractor to verify all dimensions prior to fabrication; it was furthermore incumbent upon the Contractor to include the cost of same in the Amount Bid, and to conduct all necessary and proper surveys to verify field conditions. These Contract provisions clearly and unambiguously establish that the parties intended for the Contractor to rely upon its own personal investigation, which included verifying conditions and dimensions which affect the Project. It necessarily follows then that the interferences to the upper and lower flange of Floorbeam 5 occurring during on November 13, 2017 and February 15, 2018 were either known or should have been known by the Contractor.

As such, the Contractor's claim for additional compensation under Item Nos. 800.23 and 800.34 is denied.

c. Item No. 698.93940015 – Disincentive Assessment.

Under Item No. 698.93940015, substantial completion of all I/D Work was required on or before November 22, 2017. The work was not substantially complete until May 24, 2018 - 183 days beyond the required substantial completion date. Therefore, pursuant to the Special Notice section of the Contract, a disincentive assessment in the sum of \$366,000.00 (183 days x \$2,000/day) must be deducted from money due to the Contractor. The County offers the email, dated May 25, 2018, attached hereto as Exhibit "G" in support of the May 24, 2018 substantial completion date.


IV. CONCLUSION

Claim No. 1. - Deducting the \$366,000.00 disincentive assessment under Item No. 698.93940015 from the sum of \$1,061,745.60 due the Contractor under Item 589.01, it is the final determination of the Commissioner that the Contractor is entitled to a payment of \$695,745.60 under and pursuant to the terms of the Contract for Claim No. 1.

Claim No. 2 - It is the determination of the Commissioner that the Contractor is due no payment under Claim No. 2 - Item Nos. 800.23 and 800.34.

Dated: White Plains, New York

August 27 2020



Hugh J. Greechan, Jr., P.E.
Commissioner
Department of Public Works and Transportation

EXHIBIT A

DEPARTMENT OF PUBLIC WORKS - DIV. OF ENGINEERING
COUNTY OF WESTCHESTER

CONTRACTOR'S CERTIFICATE
Final Application for Payment

I, Paul Marino Treasurer
(Name of Officer or Principal) (Title)
of EE Cruz Co. Inc.
(Contractor)
32 Avenue of Americas, 13th Floor, New York, NY 10013
Under Contract No. 11-503 Rev (Address of Contractor) Rehabilitation of the Fulton
Avenue Bridge over Hutchinson River (Title of Contract)

said Contract having been made between the said Contractor and the County of Westchester, do hereby certify and state with respect to work performed under said Contract through and including Final Estimate No. 24 dated October 28, 2019 (incorporated by reference) as follows:

1. That pursuant to Section 220-a, 220-b, 220-c of the Labor Law, I do hereby certify and state that the names and addresses of all approved subcontractors who performed work under this Contract are as follows:

See attached list

I further state that all of the above said subcontractors have been paid in full except for those listed in No. 2 below.

2. That pursuant to Section 220-a, 220-b, 220-c of the Labor Law, I do hereby certify and state that the following subcontractors who performed work under this final estimate number and who have not been paid in full are:

Name	Amount
Verde Electric	In discussion with subcontractor

I further state that all of the above subcontractors will be paid under this final estimate.

Contract No. 11-503 Rev
Estimate No. 24

3. That the following is a complete list of all amounts now due and owing from said Contractor to any and all laborers for daily or weekly wages or supplements on account of said contract through and including this final estimate.

<u>Name</u>	<u>Amount</u>
N/A	0

4. That the following is a full and true statement of all unpaid bills and liabilities incurred on this contract covering work performed up to and including the above described final estimate.

<u>Name of Claimant</u>	<u>Purposes</u>	<u>Amount</u>
EE Cruz	589.01-Removal of existing steel	\$1,292,000
EE Cruz	FB5 Interferences	\$452,718
EE Cruz	Final Retainage release	\$148,160
		TOTAL: \$1,892,878

5. That the Contractor submits this Certificate and accompanying material in support of his final claim for payment and the Contractor states that it has no other outstanding claims against the County in regard to the above-captioned contract.

CONTRACTOR FIRM NAME: EE Cruz Co. Inc.
SIGNATURE: *Paul Marino*
TITLE: Treasurer

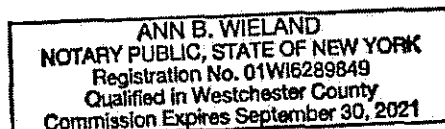
STATE OF NEW YORK)
COUNTY OF WESTCHESTER) ss.:
CITY OF New York)

Paul Marino being duly sworn, deposes and says that he is the
Treasurer of the
(Title)

Contractor named in the foregoing Certificate and Statement and the person who executed the same; that he is duly authorized to execute said Certificate and Statement on behalf of said Contractor; that (s)he has read such Certificate and Statement subscribed by him (her) and knows the contents thereof; and that the same is true of his (her) own knowledge.

Subscribed and sworn to before me
This 11 day of February, ~~200~~ 2020

Ann B. Wieland
Notary Public, Westchester County



Rehabilitation of the Fulton Ave Bridge over Hutchinson River

Contract No. 11-503

No.	Firm Name
1	50 STATES
2	ABATEMENT UNLTD
3	CHAMPION PAINTING
4	CUSTOM EXTERIOR
5	CUSTOM MARINE
6	GIBRALTER
7	GRESHAM
8	JC MACHINE WORKS
9	M&H CONTRACTING
10	MENGLER
11	VERDE ELECTRIC

EXHIBIT B

February 12, 2020
Letter Log No L-022

Mr. Hugh J. Greechan, Jr. P.E.
County of Westchester
Department of Public Works and Transportation
148 Martine Ave., Rm. 518
White Plains, NY 10601

Re: Contract No. 11-503-REV, Rehabilitation of the Fulton Avenue Bridge Over Hutchinson River
Item 589.01 – Removal of Existing Steel – Additional Quantities

Dear Mr. Greechan:

Further to our numerous meetings on this subject and in connection with the referenced contract, E.E. Cruz & Company, Inc. ("E.E. Cruz") disputes the County of Westchester's refusal to issue payment for additional quantities of item 589.01 – Removal of Existing Steel at the unit price indicated in E.E. Cruz's proposal. Pursuant to the contract executed between the County of Westchester and E.E. Cruz on May 12, 2016, the County explicitly agreed to issue payment at the unit prices set forth in the proposal for all additions to the original quantities. Moreover, E.E. Cruz is required to accept such unit prices for additional quantities. Specific reference is made to page four of the contract (Exhibit 1) which states;

"...between the parties to this Contract that the Contractor will accept the unit prices named in the proposal for all additions to or deductions from the original quantities as given in the specifications. It is agreed that the Commissioner will make estimates of the value for the work completed as provided in the specifications and the final estimate will be made accordingly."

Furthermore, paragraph 10 of the Proposal Requirements (Exhibit 2) states;

"...undersigned does hereby agree to accept their indicated lump sum price for the total work and/or their indicated unit prices for various items of the work as the sole basis in determination of the value of addition to, or deduction from the specified scope of contract work"

Information for Bidders, Article 19 – Increase or Decrease of Quantities; Elimination of Items (Exhibit 3) states;

"...the Contractor agrees that quantities shown on the Proposal Pages opposite items of the work for which unit prices have been requested are approximate estimated quantities, and during the progress of work the County may find it advisable and shall have the right to...increase and decrease the shown approximate estimated quantities..."

The Contractor shall make no claim for anticipated profits or loss of profits, because of any difference between the quantities of various classes of work actually done..."

E.E. Cruz is merely seeking exactly what the contract requires: payment of additional quantities at the unit price bid. There simply is no contractual basis for denying payment of the additional quantities under item 589.01 at the unit prices set forth in E.E. Cruz's proposal.

It has been over 2 years since EE Cruz have removed existing steel identified on contract drawings and requested payment under 589.01 – Removal of Existing Steel bid item. Contract quantity for this bid item is 178,684 lbs and Westchester County has paid EE Cruz up to this bid quantity.

EE Cruz removed 340,184 lbs of existing steel and requested an additional payment under the referenced bid item for 161,500 lbs @ \$8/lb = \$1,292,000. Westchester County has acknowledged total existing steel removal quantity as 320,263 lbs, but only paid EE Cruz up to the contract quantity.

Pursuant to the contract requirements stated above, we hereby request immediate payment for this bid item at a minimum up to the undisputed quantity of 320,263 lbs as acknowledged by Westchester County for a total of **\$1,132,632**

EE Cruz reserves all of its rights not only to payment for additional quantities under this bid item, but also for the interest charges for over two years for monies withheld unfairly despite crystal clear contract language.

If you have any questions regarding our request herein, please do not hesitate to call Kadir Ozbek at 917-335 2388.

Very truly yours,



Paul Marino
Treasurer
E.E. Cruz and Company, Inc.

CC: R. Donnelly, K. Roseman (WC)
JohnPaul Cunningham (HDR)
J. Sheehan, Bill Riley (EEC)
P. Monte, J. Egan, Esq.

EXHIBIT C

February 12, 2020
Letter Log No L-023

Mr. Hugh J. Greechan, Jr. P.E.
County of Westchester
Department of Public Works and Transportation
148 Martine Ave., Rm. 518
White Plains, NY 10601

Re: Contract No. 11-503-REV, Rehabilitation of the Fulton Avenue Bridge Over Hutchinson River -
800.23 - Floor Beam 5 Upper Flange Interference
800.34 - Floor Beam 5 Bottom Flange Interference

Dear Mr. Greechan:

Further to our numerous meetings on this subject and in connection with the referenced contract, E.E. Cruz & Company, Inc. ("EEC") disputes the County of Westchester's (WC) refusal to issue payment for additional cost incurred due to in-field modifications required along the top and bottom flanges of Floorbeam 5 (FB5). Below we remind you of the time line of events on this subject and the fact that WC was given due notice as detailed on the attached Exhibits.

Time line of events:

- 11/13/2017 – EEC discovered multiple constructability interferences during the operation of the bridge.
- 11/16/2017 – EEC put HDR/WC on notice regarding constructability interferences related to FB5 and requested a change order (Exhibit 1).
- 11/22 thru 12/07/17 – EEC incurred costs with respect to these interferences and remedy work that was necessary to make the bridge operational for barge traffic. These additional costs were documented on T&M sheets and submitted to WC.
- 12/7/2017 – EEC submitted its letter L-016 and informed HDR/WC of costs it had incurred between 11/22 and 12/07 and requested compensation (Exhibit 2)
- On 02/15/2018 via an email, EEC informed HDR/WC of further interferences related to FB5 stating "...during final balancing of the Mount Vernon Leaf (West Side) we noticed that at 53 degrees the bottom flange of FB5W was bidding against the top flange of counter weight slab armored joint. We couldn't raise the leaf any further in order to reach the 70 degree required per spec..." (Exhibit 3)
- On or about 4/9/2018, HDR issued a DRAFT drawing showing Upper Flange Interference (previously removed between 11/22 and 12/7) and contemplated removal of the bottom flange to address recent interference issue (Exhibit 4).
- 4/11/2018 – EEC submitted its letter L-018 and informed HDR/WC of the direct and indirect (time related) costs of this FB5 bottom flange interference (Exhibit 5).
- 6/4/2018 – HDR issued its findings related to FB5 interference (Exhibit 6). These findings can be summarized as follows:

- Referred to the complicated nature of the problem and several site visits and surveys conducted by 50 States (EEC's survey sub), M.J. Engineering (HDR sub) and HDR itself
 - Acknowledged the FB5 interference and the necessity to cut bottom flange by 3 7/8"
 - HDR was able to confirm that the top of deck at the trunnion location is approximately 2' – 6 1/2" above the centerline of trunnion, which HDR claimed to be consistent with the trunnion location identified in the 1971 as-built plans and the dimension HDR utilized in the original design plans.
 - HDR provided a model depicting how the leaf would have cleared FB5 based on these dimensions (which they believed are to be correct)
 - HDR concluded its letter by putting blame on EEC for not performing condition surveys in two stages and contemplated that this issue would have been recognized and mitigated ahead of time
 - 6/12/2018 – EEC issued a detailed response to HDR's letter (Exhibit 7):
 - Compared As-Built drawings and Contract drawings and clearly and unarguably showed that HDR's new design reduced available distance between existing FB5 and newly constructed fixed edge of the deck joint by 2 9/16"
 - Pointed out to two issues represented on HDR's letter:
 1. HDR's model used the deck joint at the location shown on the current contract drawings, which is not the case as proven by as-built drawings
 2. HDR's flawed model still only yielded to a 1/8" clearance between FB5 bottom flange and fixed end joint which by no means is adequate or practical for a moveable bridge
 - Pointed out that per contract requirements FB5, FB6 and main girder trunnions were to remain as per original contract scope of work.
 - Concluded that:
 1. During the design, HDR changed the location of the entire deck joint assembly, which led to the interference between FB5 and the fixed part of deck joint
 2. There were no contractual requirements for pre and post survey of existing members
 3. HDR should have performed these surveys during the design phase considering that HDR had changed the location of deck joint,
 - 6/13/2018 – HDR replied to EEC's letter, but instead of providing explanation on the reasons behind moving the entire joint assembly, restated positions from their original letter dated 6/4/2018. Furthermore directed EEC to keep T&M forms tracking cost (Exhibit 8)
 - 6/15/2018 – EEC replied to HDR's letter stating that work will be performed under protest and EEC will keep daily T&M sheets (Exhibit 9).
 - 6/18 thru 6/29/18 – EEC proceed with clearing out the interference as per details provided by HDR. These additional costs were documented on T&M sheets and submitted to WC.
 - Throughout 2018 and 2019, EEC, HDR and WC conducted several technical and change order negotiation meetings for FB5 interference issue. At the end of these meetings, HDR/WC agreed with EEC's position that HDR's new design moved the joint closer to the operation of the bascule span and EEC is entitled for compensation. However, HDR/WC insisted on their position that pre deck demolition and post deck demolition as-built surveys would have caught this problem ahead of time and resulted in a more cost efficient fix.
-

Conclusion

Pursuant to the contract executed between the WC and EEC on May 12, 2016, both parties agreed that EEC was to provide the final product exactly as it was laid out in the plans, specifications and drawings that had been previously reviewed and approved by WC.

Page one of the contract (Exhibit 10) explicitly states:

"Said Contractor, shall and will... provide all manner and kind of materials... necessary for the due and proper performance of this Contract... in conformity with said plans and specification without any alteration, deviation, additions, or omissions therefrom except upon due request and under the written direction of said Commissioner."

In other words, EEC was contractually obligated to construct the bridge according to the information provided by the WC. Thus, EEC is not responsible for extra costs resulting from inherent flaws with, or discrepancies between, the as-built condition and proposed design.

FB5 interference issues are a direct result of relocation of the joint assembly (moving it closer to the swing of the bascule span) during the design phase.

Contract had no pre deck demolition and post deck demolition survey provisions

Even if EEC had performed these pre and post deck demolition surveys, it would have still followed the contract documents and constructed the deck joint exactly as shown on the contract drawings. EEC would have no reason to doubt that HDR had a design mistake with the location of the deck joint.

Only a pre-construction full design review of contract drawings would have allowed the project team to identify this design mistake ahead of time. A full design review was not a contract requirement.

EEC cannot be held responsible for time and cost implications of FB5 interference.

Quantum:

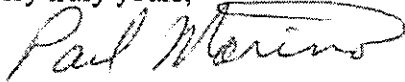
EEC submitted its associated cost to WC for values of \$53,570.80 and \$415,000.00 for modifications required along the top and bottom flanges of FB5 respectively. Upon a more detailed review of the work required and backup information subsequently submitted by EEC's subcontractors, EEC hereby revises its proposals for change order 800.23 to **\$61,280.03** for modifications to the top flange of FB5 (Exhibit 11), and for change order 800.34 to **\$391,438.27** for modifications to the bottom flange of FB5 (Exhibit 12).

We hereby request a fair evaluation of these outstanding change orders and an expedited payment for this work totaling **\$452,718.3**

EEC reserves all of its rights for the interest charges for over two years for monies withheld unfairly despite crystal clear contract language.

If you have any questions regarding our request herein, please do not hesitate to call Kadir Ozbek at 917-335 2388.

Very truly yours,



Paul Marino
Treasurer
E.E. Cruz and Company, Inc.

CC: R. Donnelly, K. Roseman (WC)
JohnPaul Cunningham (HDR)
J. Sheehan, Bill Riley (EEC)
P. Monte, J. Egan, Esq.

Attachments: Exhibits 1 thru 12

EXHIBIT D

From: Cunningham, JohnPaul
Sent: Monday, September 16, 2019 11:39 AM
To: Ozbek, Kadir <KOzbek@eecruz.com>
Cc: Roseman, Kevin <kmr5@westchestergov.com>; Hajjeh, Khaled <Khaled.Hajjeh@hdrinc.com>
Subject: Item 589.01 (Steel Removal) quantity total

Kadir,

I just wanted to let you know that we further investigated the Steel Removal (Item 589.01) and checked our calculation numbers from a couple of different angles.

One of the ways we did this was by using the balancing calculations that you provided. We took the tables and deducted out the quantities that were not payable per the specification. With these deductions the payment quantities for the two bascule spans are 132,667.1 on the Mount Vernon spreadsheet and 133,824.7 on Pelham Manor spreadsheet. For your information the largest of the deductions was for Concrete that was included in the calculation. As an example, 43,377.4 lbs were deducted (from the original 187,902.3) for the concrete weight on the Mount Vernon spreadsheet. Other deductions included welds, bolts, etc. Utilizing these numbers, a total of 266,491.8 pounds were removed on the Bascule spans.

As for the counterweight spans, we utilized the project plans and confirmed our previous estimate (including reviewing photos, emails and previous spreadsheets) of 44,910.4 lbs. Please note that the steel removed at the counterweight spans was significantly lighter than the steel that was subsequently installed.

Therefore, the total quantity for item 589.01 to be paid is 266,491.8 lbs plus 44,910.4 lbs or 311,402.2 lbs. With the original contract value of 178,684 lbs this represents an overrun of 132,718.2 lbs.

John Paul

John Paul Cunningham Jr., PE, CCM
Hudson Valley Area Manager

HDR
711 Westchester Ave. Suite 103
White Plains, NY 10604
D 914.993.2004 M 914.290.3108
johnpaul.cunningham@hdrinc.com

hdrinc.com/follow-us

EXHIBIT E

EXHIBIT E

ITEM 589.01	
Total Quantity Removed (lbs)	
Mount Vernon	132,667.1 lbs
Pelham Manor	133,824.7 lbs
Counterweight Spans (Both)	44,910.4 lbs
Total Steel Removed	311,402.2 lbs
Contract Quantity	178,684.0 lbs
Overrun	132,718.2 lbs

DETAILED ANALYSIS OF BALANCE PROGRESS

FULTON AVE. BASCULE BRIDGE

Leaf: Mount Vernon (West)

Date: 06/01/20

Time: 3:37 PM

Group	Subgroup	Element Description Design Drawings	Mark Shop drawings	Location	Installed 1=Yes 0=No	Date Installed	HDR Weight (lb)	Gresham Weight	X (ft) Horiz. Arm	Y (ft) Vert. Arm	Z (ft) Trans. Arm	Wx (ft-lb)	Wy (ft-lb)	Wz (ft-lb)
1	REMOVALS													
	1.1	BASCULE STEEL												
	1.1.1	Bay 3 Steel (FB1 to FB2)												
		Sidewalk Support Plate	Girders	FB1-FB2	1		171.8	171.8	52.584	3.994	0.000	9,035.9	686.3	0.0
		Bevelled Fill Plate	Girders	FB1-FB2	1		204.1	204.1	52.584	4.039	0.000	10,730.1	824.2	0.0
		5/16" Weld	Girders	FB1-FB2	1			13.5	52.584	3.994	0.000	0.0	0.0	0.0
		Sidewalk Stringers-12WF31	Stringers	FB1-FB2	1		1,038.5	1,038.5	52.584	3.413	0.000	54,608.5	3,544.4	0.0
		7/16" Shims	Stringers	FB1-FB2	1		29.0	29.0	52.584	2.895	0.000	1,526.5	84.0	0.0
		Bolts in Bottom Flange	Stringers	FB1-FB2	1			6.5	52.584	2.895	0.000	0.0	0.0	0.0
		Roadway Stringers	Stringers	FB1-FB2	1		4,690.0	4,690.0	52.584	2.272	1.000	246,619.0	10,655.7	4,690.0
		Cope in Top Flange	Stringers	FB1-FB2	1		-57.8	-57.8	52.584	3.005	1.000	-3,041.8	-173.8	-57.8
		Cope in Web	Stringers	FB1-FB2	1		-23.8	-23.8	52.584	2.841	1.000	-1,252.5	-67.7	-23.8
		3X3 Connection Angles	Stringers	FB1-FB2	1		300.8	300.8	52.584	2.189	1.000	15,817.3	658.5	300.8
		7/8" Bolts	Stringers	FB1-FB2	1			116.6	52.584	2.189	1.000	0.0	0.0	0.0
		Roadway Stringers	Stringers (R & S)	FB1-FB2	1		2,931.3	2,931.3	52.584	2.272	-1.600	154,136.9	6,659.8	-4,690.0
		Cope in Top Flange	Stringers (R & S)	FB1-FB2	1		-36.2	-36.2	52.584	3.005	-1.600	-1,901.2	-108.6	57.8
		Cope in Web	Stringers (R & S)	FB1-FB2	1		-14.9	-14.9	52.584	2.841	-1.600	-782.8	-42.3	23.8
		3X3 Connection Angles	Stringers (R & S)	FB1-FB2	1		188.0	188.0	52.584	2.189	-1.600	9,885.8	411.5	-300.8
		7/8" Bolts	Stringers (R & S)	FB1-FB2	1			72.9	52.584	2.189	-1.600	0.0	0.0	0.0
		Roadway Stringers S3, S8, S13	Stringers To Remain	FB1-FB2	1			-1,758.8	52.584	2.272	0.000	0.0	0.0	0.0
		Cope in Top Flange	Stringers To Remain	FB1-FB2	1			21.7	52.584	3.005	0.000	0.0	0.0	0.0
		Cope in Web	Stringers To Remain	FB1-FB2	1			8.9	52.584	2.841	0.000	0.0	0.0	0.0
		3X3 Connection Angles	Stringers To Remain	FB1-FB2	1			-112.8	52.584	2.189	0.000	0.0	0.0	0.0
		7/8" Bolts	Stringers To Remain	FB1-FB2	1			-43.7	52.584	2.189	0.000	0.0	0.0	0.0
		Channel 10 C25	Sidewalk Channels	FB1-FB2	1		841.7	841.7	52.584	3.439	0.000	44,257.3	2,894.4	0.0
		7/8" Bolts	Sidewalk Channels	FB1-FB2	1			55.1	52.584	3.022	0.000	0.0	0.0	0.0
		Bracing Type 1 (Under Sidewalk)	Lateral Bracing	FB1-FB2	0		430.2	430.2	52.584	1.422	0.000	0.0	0.0	0.0
		Bracing Type 2 (Stringer S3-S11-13)	Lateral Bracing	FB1-FB2	0		360.8	360.8	52.584	1.422	0.000	0.0	0.0	0.0
		Bracing Type 3 (Stringer S5-S8-S11)	Lateral Bracing	FB1-FB2	0		464.3	464.3	52.584	1.422	0.000	0.0	0.0	0.0
		Gusset Plate at Main Girders	Type 1 Bracing	FB1-FB2	0		76.6	76.6	52.584	1.507	0.000	0.0	0.0	0.0
		4x4 x1/2 Angle	Type 1 Bracing	FB1-FB2	0		38.4	38.4	52.584	1.422	0.000	0.0	0.0	0.0
		Bolts in Angle	Type 1 Bracing	FB1-FB2	0			9.7	52.584	1.422	0.000	0.0	0.0	0.0
		Plate 9x4 x1/2	Type 1 Bracing	FB1-FB2	0		20.4	20.4	52.584	1.422	0.000	0.0	0.0	0.0
		Bolts in Plate	Type 1 Bracing	FB1-FB2	0			9.7	52.584	1.422	0.000	0.0	0.0	0.0
		Bolts in Bracing Type 1	Type 1 Bracing	FB1-FB2	0			45.4	52.584	1.507	0.000	0.0	0.0	0.0
		Gusset Plate Interior (@S3, S8, S13)	Type 1 & 2 Bracing	FB1-FB2	0		229.7	229.7	52.584	1.507	0.000	0.0	0.0	0.0
		Bolts in Gusset Plate	Type 1 & 2 Bracing	FB1-FB2	0			58.3	52.584	1.507	0.000	0.0	0.0	0.0
		Gusset Plate at FB1, FB2 (@S5, S11)	Type 3 Bracing	FB1-FB2	0		153.1	153.1	52.584	1.507	0.000	0.0	0.0	0.0
		4x4 Support Angles	Type 3 Bracing	FB1-FB2	0		76.8	76.8	52.584	1.422	0.000	0.0	0.0	0.0
		Bolts in Gusset Plate	Type 3 Bracing	FB1-FB2	0			77.8	52.584	1.507	0.000	0.0	0.0	0.0
		Main Bars	Roadway Grid	FB1-FB2	1		7,934.3	7,934.3	52.584	3.204	0.000	417,216.4	25,421.4	0.0
		Cross Bars	Roadway Grid	FB1-FB2	1		5,556.9	5,556.9	52.584	3.356	0.000	292,206.6	18,649.1	0.0

DETAILED ANALYSIS OF BALANCE PROGRESS

FULTON AVE. BASCULE BRIDGE

Leaf: Mount Vernon (West)

Date: 06/01/20

Time: 3:37 PM

Group	Subgroup	Element Description	Mark	Location	Installed	Date	HDR	Gresham	X (ft)	Y (ft)	Z (ft)	Wx (ft-lb)	Wy (ft-lb)	Wz (ft-lb)
		Design Drawings	Shop drawings		1=Yes 0=No	Installed	Weight (lb)	Weight	Horiz. Arm	Vert. Arm	Trans. Arm			
		Supplemental Bars	Roadway Grid	FB1-FB2	1		1,168.7	1,168.7	52.584	3.397	0.000	61,452.3	3,969.9	0.0
		Diagonal Bars	Roadway Grid	FB1-FB2	1		3,203.9	3,203.9	52.584	3.397	0.000	168,471.5	10,883.5	0.0
		Edge Bars at Curbs	Roadway Grid	FB1-FB2	1		61.3	61.3	52.584	3.356	0.000	3,224.3	205.8	0.0
		Opening in Main Bars at Cross Bars	Roadway Grid	FB1-FB2	1		-305.1	-305.1	52.584	3.356	0.000	-16,042.7	-1,023.9	0.0
		Opening in Cross Bars at Main Bars	Roadway Grid	FB1-FB2	1		-152.5	-152.5	52.584	3.397	0.000	-8,021.4	-518.2	0.0
		Opening in Cross Bars at Supp Bars	Roadway Grid	FB1-FB2	1		-73.5	-73.5	52.584	3.397	0.000	-3,867.4	-249.8	0.0
		Welding	Roadway Grid	FB1-FB2	1			869.7	52.584	3.356	0.000	0.0	0.0	0.0
		M Bars	Sdwk Panels L-AH	FB1-FB2	1		755.1	755.1	59.154	4.142	0.000	44,667.8	3,127.7	0.0
		C Bars	Sdwk Panels L-AH	FB1-FB2	1		53.6	53.6	59.154	4.252	0.000	3,171.4	228.0	0.0
		Boltdown Plate bdp34	Sdwk Panels L-AH	FB1-FB2	1		60.2	60.2	59.154	3.994	0.000	3,560.2	240.4	0.0
		Bolts in bdp34	Sdwk Panels L-AH	FB1-FB2	1			6.5	59.154	3.990	0.000	0.0	0.0	0.0
		Boltdown Plate bdp2	Sdwk Panels L-AH	FB1-FB2	1		129.2	129.2	59.154	3.994	0.000	7,640.8	515.9	0.0
		Bolts in bdp2	Sdwk Panels L-AH	FB1-FB2	1			6.5	59.154	3.994	0.000	0.0	0.0	0.0
		Boltdown Plate bdp9	Sdwk Panels L-AH	FB1-FB2	1		75.3	75.3	59.154	3.990	0.000	4,457.1	300.6	0.0
		Bolts in bdp9	Sdwk Panels L-AH	FB1-FB2	1			6.5	59.154	3.990	0.000	0.0	0.0	0.0
		Plate p1	Sdwk Panels L-AH	FB1-FB2	1		607.1	607.1	59.154	4.278	0.000	35,910.5	2,597.0	0.0
		Weld M Bars to Plate p1	Sdwk Panels L-AH	FB1-FB2	1			3.9	59.154	4.267	0.000	0.0	0.0	0.0
		Trim Bar b1	Sdwk Panels L-AH	FB1-FB2	1		36.0	36.0	61.250	4.145	0.000	2,202.4	149.0	0.0
		Trim Bar b8	Sdwk Panels L-AH	FB1-FB2	1		21.5	21.5	59.154	4.142	0.000	1,273.5	89.2	0.0
		Trim Bar b23	Sdwk Panels L-AH	FB1-FB2	1		20.1	20.1	59.154	4.142	0.000	1,186.7	83.1	0.0
		Weld at M Bars with Trim Bars	Sdwk Panels L-AH	FB1-FB2	1			2.6	59.154	4.142	0.000	0.0	0.0	0.0
		Cut in Plate p1	Sdwk Panels L-AH	FB1-FB2	1		-1.7	-1.7	61.000	4.278	0.000	-101.9	-7.1	0.0
		M Bars	Sdwk Panels K-AG	FB1-FB2	1		755.1	755.1	55.104	4.132	0.000	41,609.6	3,120.1	0.0
		C Bars	Sdwk Panels K-AG	FB1-FB2	1		53.6	53.6	55.104	4.242	0.000	2,954.2	227.4	0.0
		Boltdown Plates bdp32, bdp33	Sdwk Panels K-AG	FB1-FB2	1		62.9	62.9	55.104	3.994	0.000	3,465.8	251.2	0.0
		Bolts in bdp32, bdp33	Sdwk Panels K-AG	FB1-FB2	1			6.5	55.104	3.994	0.000	0.0	0.0	0.0
		Boltdown Plate bdp2	Sdwk Panels K-AG	FB1-FB2	1		129.2	129.2	55.104	3.984	0.000	7,117.7	514.6	0.0
		Bolts in bdp2	Sdwk Panels K-AG	FB1-FB2	1			6.5	55.104	3.984	0.000	0.0	0.0	0.0
		Boltdown Plate bdp9	Sdwk Panels K-AG	FB1-FB2	1		75.3	75.3	55.104	3.980	0.000	4,152.0	299.9	0.0
		Bolts in bdp9	Sdwk Panels K-AG	FB1-FB2	1			6.5	55.104	3.980	0.000	0.0	0.0	0.0
		Plate pk	Sdwk Panels K-AG	FB1-FB2	1		607.1	607.1	55.104	4.268	0.000	33,451.9	2,591.0	0.0
		Weld M Bars to Plate pk	Sdwk Panels K-AG	FB1-FB2	1			3.9	55.104	4.257	0.000	0.0	0.0	0.0
		Trim Bar b8	Sdwk Panels K-AG	FB1-FB2	1		21.5	21.5	55.104	4.132	0.000	1,186.3	89.0	0.0
		Weld at M Bars with Trim Bars	Sdwk Panels K-AG	FB1-FB2	1			2.6	55.104	4.132	0.000	0.0	0.0	0.0
		Trim Bars b21, b22	Sdwk Panels K-AG	FB1-FB2	1		21.0	21.0	55.104	4.132	0.000	1,155.3	86.6	0.0
		Cut in Plate pk	Sdwk Panels K-AG	FB1-FB2	1		-1.7	-1.7	55.104	4.268	0.000	-92.1	-7.1	0.0
		M Bars	Sdwk Panels J-AF	FB1-FB2	1		755.1	755.1	51.052	4.114	0.000	38,549.9	3,106.5	0.0
		C Bars	Sdwk Panels J-AF	FB1-FB2	1		53.6	53.6	51.052	4.224	0.000	2,737.0	226.5	0.0
		Boltdown Plates bdp32, bdp33	Sdwk Panels J-AF	FB1-FB2	1		62.9	62.9	51.052	3.976	0.000	3,211.0	250.1	0.0
		Bolts in bdp32, bdp33	Sdwk Panels J-AF	FB1-FB2	1			6.5	51.052	3.976	0.000	0.0	0.0	0.0
		Boltdown Plate bdp2	Sdwk Panels J-AF	FB1-FB2	1		129.2	129.2	51.052	3.966	0.000	6,594.3	512.3	0.0
		Bolts in bdp2	Sdwk Panels J-AF	FB1-FB2	1			6.5	51.052	3.966	0.000	0.0	0.0	0.0

DETAILED ANALYSIS OF BALANCE PROGRESS
FULTON AVE. BASCULE BRIDGE

Leaf: Mount Vernon (West)
Date: 06/01/20
Time: 3:37 PM

Group	Subgroup	Element Description	Mark	Location	Installed 1=Yes 0=No	Date Installed	HDR Weight (lb)	Gresham Weight	X (ft) Horiz. Arm	Y (ft) Vert. Arm	Z (ft) Trans. Arm	Wx (ft-lb)	Wy (ft-lb)	Wz (ft-lb)
		Design Drawings	Shop drawings											
		Bolt-down Plate bdp0	Sdwk Panels J, AF	FB1-FB2	1		75.3	75.3	51.052	3.962	0.000	3,846.7	298.5	0.0
		Bolts in bdp0	Sdwk Panels J, AF	FB1-FB2	1			6.5	51.052	3.962	0.000	0.0	0.0	0.0
		Plate pk	Sdwk Panels J, AF	FB1-FB2	1		607.1	607.1	51.052	4.250	0.000	30,992.0	2,580.0	0.0
		Weld M Bars to Plate pk	Sdwk Panels J, AF	FB1-FB2	1			3.9	51.052	4.239	0.000	0.0	0.0	0.0
		Trim Bars b0	Sdwk Panels J, AF	FB1-FB2	1		21.5	21.5	51.052	4.114	0.000	1,099.0	88.6	0.0
		Weld at M Bars with Trim Bars	Sdwk Panels J, AF	FB1-FB2	1			2.6	51.052	4.114	0.000	0.0	0.0	0.0
		Trim Bars b21, b22	Sdwk Panels J, AF	FB1-FB2	1		21.0	21.0	51.052	4.114	0.000	1,070.3	86.3	0.0
		Cut in Plate pk	Sdwk Panels J, AF	FB1-FB2	1		-1.7	-1.7	51.052	4.250	0.000	-85.3	-7.1	0.0
		M Bars	Sdwk Panels C3, C9	FB1-FB2	1		755.1	755.1	47.000	4.089	0.000	35,490.2	3,087.6	0.0
		C Bars	Sdwk Panels C3, C9	FB1-FB2	1		53.6	53.6	47.000	4.199	0.000	2,519.8	225.1	0.0
		Bolt-down Plates: bdp32, bdp33	Sdwk Panels C3, C9	FB1-FB2	1		62.9	62.9	47.000	3.951	0.000	2,956.1	248.5	0.0
		Bolts in bdp32, bdp33	Sdwk Panels C3, C9	FB1-FB2	1			6.5	47.000	3.951	0.000	0.0	0.0	0.0
		Bolt-down Plate bdp2	Sdwk Panels C3, C9	FB1-FB2	1		129.2	129.2	47.000	3.941	0.000	6,070.9	509.1	0.0
		Bolts in bdp2	Sdwk Panels C3, C9	FB1-FB2	1			6.5	47.000	3.941	0.000	0.0	0.0	0.0
		Bolt-down Plate bdp0	Sdwk Panels C3, C9	FB1-FB2	1		75.3	75.3	47.000	3.937	0.000	3,541.4	296.6	0.0
		Bolts in bdp0	Sdwk Panels C3, C9	FB1-FB2	1			6.5	47.000	3.937	0.000	0.0	0.0	0.0
		Plate pk	Sdwk Panels C3, C9	FB1-FB2	1		607.1	607.1	47.000	4.225	0.000	28,532.2	2,564.9	0.0
		Weld M Bars to Plate pk	Sdwk Panels C3, C9	FB1-FB2	1			3.9	47.000	4.214	0.000	0.0	0.0	0.0
		Trim Bars b0	Sdwk Panels C3, C9	FB1-FB2	1		21.5	21.5	47.000	4.089	0.000	1,011.8	88.0	0.0
		Weld at M Bars with Trim Bars	Sdwk Panels C3, C9	FB1-FB2	1			2.6	47.000	4.089	0.000	0.0	0.0	0.0
		Trim Bars b21, b22	Sdwk Panels C3, C9	FB1-FB2	1		21.0	21.0	47.000	4.089	0.000	985.4	85.7	0.0
		10" Roadway Grid Stub	At FB1	FB1-FB2	1		-1,125.5	-1,125.5	60.688	3.249	0.000	-68,304.3	-3,656.7	0.0
		10" Stringer Stub	At FB1	FB1-FB2	1		-262.5	-262.5	60.583	2.228	0.000	-15,903.0	-84.9	0.0
		Cope in Top Flange	At FB1	FB1-FB2	1		-72.3	72.3	60.583	3.005	0.000	-4,380.7	-217.3	0.0
		Cope in Web	At FB1	FB1-FB2	1		-29.8	29.8	60.583	2.841	0.000	-1,803.7	-84.6	0.0
		10" Roadway Grid Stub	At FB2	FB1-FB2	1		-900.4	-900.4	44.583	3.171	0.000	-40,142.5	-2,855.2	0.0
		10" Stringer Stub	At FB2	FB1-FB2	1		-262.5	-262.5	44.583	2.150	0.000	-11,703.0	-564.4	0.0
		Cope in Top Flange	At FB2	FB1-FB2	1		-72.3	72.3	44.583	3.005	0.000	-3,223.8	-217.3	0.0
		Cope in Web	At FB2	FB1-FB2	1		-29.8	29.8	44.583	2.841	0.000	-1,327.4	-84.6	0.0
	Subtotal	Bay 3 Steel (FB1 to FB2)					33,681.7	33,644.5	49.748	3.083	0.000	1,675,581.6	103,842.9	0.0
	1.1.2 Bay 2 Steel (FB2 to FB3)													
		Sidewalk Support Plate	Girders	FB2-FB3	1		171.8	171.8	35.751	3.847	0.000	6,143.3	661.1	0.0
		Beveled End Plate	Girders	FB2-FB3	1		204.1	204.1	35.751	3.893	0.000	7,295.2	794.4	0.0
		5/16" Weld	Girders	FB2-FB3	1			13.5	35.751	3.847	0.000	0.0	0.0	0.0
		Sidewalk Stringers	Stringers	FB2-FB3	1		1,038.5	1,038.5	35.751	3.267	0.000	37,127.4	3,392.8	0.0
		7/16" Shims	Stringers	FB2-FB3	1		29.0	29.0	35.751	2.767	0.000	1,037.9	80.3	0.0
		Bolts in Bottom Flange	Stringers	FB2-FB3	1			6.5	35.751	2.767	0.000	0.0	0.0	0.0
		Roadway Stringers W/ 18 B 35	Stringers	FB2-FB3	1		5,862.5	5,862.5	35.751	2.126	0.800	209,590.2	12,463.7	4,690.0
		Cope in Top Flange	Stringers	FB2-FB3	1		-72.3	-72.3	35.751	2.858	0.800	-2,585.1	-206.7	-57.8
		Cope in Web	Stringers	FB2-FB3	1		-29.8	-29.8	35.571	2.695	0.800	-1,059.1	-80.2	-23.8
		3X3 Connection Angles	Stringers	FB2-FB3	1		376.0	376.0	35.571	2.043	0.800	13,374.7	768.2	300.8

DETAILED ANALYSIS OF BALANCE PROGRESS

FULTON AVE. BASCULE BRIDGE

Leaf: Mount Vernon (West)

Date: 06/01/20

Time: 3:37 PM

Group	Subgroup	Element Description	Mark	Location	Installed	Date	HDR	Gresham	X (ft)	Y (ft)	Z (ft)	Wx (ft-lb)	Wy (ft-lb)	Wz (ft-lb)
		Design Drawings	Shop drawings		1=Yes 0=No	Installed	Weight (lb)	Weight	Horiz. Arm	Vert. Arm	Trans. Arm			
		7/8" Bolts	Stringers	FB2-FB3	1			48.6	35.571	2.043	0.000	0.0	0.0	0.0
		Roadway Stringers W 18 B135	Stringers (R & S)	FB2-FB3	1		1,758.8	1,758.8	35.751	2.126	-2.667	62,877.1	3,739.1	-4,690.6
		Cope in Top Flange	Stringers (R & S)	FB2-FB3	1		-21.7	-21.7	35.751	2.858	-2.667	-775.5	-62.0	57.9
		Cope in Web	Stringers (R & S)	FB2-FB3	1		-8.9	-8.9	35.571	2.695	-2.667	-317.7	-24.1	23.8
		3 X 3 Connection Angles	Stringers (R & S)	FB2-FB3	1		112.8	112.8	35.571	2.043	-2.667	4,012.4	230.5	-300.8
		7/8" Bolts	Stringers (R & S)	FB2-FB3	1			14.6	35.571	2.043	-2.667	0.0	0.0	0.0
		Roadway Stringers S3, S8, S13	Stringers To Remain	FB2-FB3	1			-1,758.8	35.751	2.126	0.000	0.0	0.0	0.0
		Cope in Top Flange	Stringers To Remain	FB2-FB3	1			21.7	35.751	2.858	0.000	0.0	0.0	0.0
		Cope in Web	Stringers To Remain	FB2-FB3	1			8.9	35.571	2.695	0.000	0.0	0.0	0.0
		3 X 3 Connection Angles	Stringers To Remain	FB2-FB3	1			-112.8	35.571	2.043	0.000	0.0	0.0	0.0
		7/8" Bolts	Stringers To Remain	FB2-FB3	1			-14.6	35.571	2.043	0.000	0.0	0.0	0.0
		Channel 10 C 25	Sidewalk Channels	FB2-FB3	1		841.7	841.7	35.751	3.293	0.000	30,089.8	2,771.6	0.0
		7/8" Bolts	Sidewalk Channels	FB2-FB3	1			55.1	35.751	2.876	0.000	0.0	0.0	0.0
		Bracing Type 1 (Under Sidewalk)	Lateral Bracing	FB2-FB3	0		430.2	430.2	35.751	1.276	0.000	0.0	0.0	0.0
		Bracing Type 2 (Stringer S3-S5, S11-13)	Lateral Bracing	FB2-FB3	0		380.8	360.8	35.751	1.276	0.000	0.0	0.0	0.0
		Bracing Type 3 (Stringer S5-S8, S8-11)	Lateral Bracing	FB2-FB3	0		464.3	464.3	35.751	1.276	0.000	0.0	0.0	0.0
		Gusset Plate at Main Girders	Type 1 Bracing	FB2-FB3	0		76.6	76.6	35.751	1.361	0.000	0.0	0.0	0.0
		4 x 4 x 1/2 Angle	Type 1 Bracing	FB2-FB3	0		38.4	38.4	35.751	1.276	0.000	0.0	0.0	0.0
		Bolts in Angle	Type 1 Bracing	FB2-FB3	0			9.7	35.751	1.276	0.000	0.0	0.0	0.0
		Plate 9 x 4 x 1/2	Type 1 Bracing	FB2-FB3	0		20.4	20.4	35.751	1.276	0.000	0.0	0.0	0.0
		Bolts in Plate	Type 1 Bracing	FB2-FB3	0			9.7	35.751	1.276	0.000	0.0	0.0	0.0
		Bolts in Bracing Type 1	Type 1 Bracing	FB2-FB3	0			45.4	35.751	1.361	0.000	0.0	0.0	0.0
		Gusset Plate Interior (@S3, S8, S13)	Type 1 & 2 Bracing	FB2-FB3	0		229.7	229.7	35.751	1.361	0.000	0.0	0.0	0.0
		Bolts in Gusset Plate	Type 1 & 2 Bracing	FB2-FB3	0			58.3	35.751	1.361	0.000	0.0	0.0	0.0
		Gusset Plate at FB1, FB2 (@S5, S11)	Type 3 Bracing	FB2-FB3	0		153.1	153.1	35.751	1.361	0.000	0.0	0.0	0.0
		4 x 4 Support Angles	Type 3 Bracing	FB2-FB3	0		76.8	76.8	35.751	1.276	0.000	0.0	0.0	0.0
		Bolts in Gusset Plate	Type 3 Bracing	FB2-FB3	0			77.8	35.751	1.361	0.000	0.0	0.0	0.0
		Main Bars	Roadway Grid	FB2-FB3	1		7,934.3	7,934.3	35.751	3.058	0.000	283,658.6	24,263.0	0.0
		Cross Bars	Roadway Grid	FB2-FB3	1		5,502.4	5,502.4	35.751	3.210	0.000	196,714.9	17,662.6	0.0
		Supplemental Bars	Roadway Grid	FB2-FB3	1		1,168.7	1,168.7	35.751	3.251	0.000	41,780.4	3,799.3	0.0
		Diagonal Bars	Roadway Grid	FB2-FB3	1		3,203.9	3,203.9	35.751	3.251	0.000	114,541.0	10,415.7	0.0
		Edge Bars at Curbs	Roadway Grid	FB2-FB3	1		60.7	60.7	35.751	3.210	0.000	2,170.7	194.9	0.0
		Opening in Main Bars at Cross Bars	Roadway Grid	FB2-FB3	1		-305.1	-305.1	35.751	3.210	0.000	-10,907.2	-979.3	0.0
		Opening in Cross Bars at Main Bars	Roadway Grid	FB2-FB3	1		-152.5	-152.5	35.751	3.251	0.000	-5,453.6	-495.9	0.0
		Opening in Cross Bars at Supp Bars	Roadway Grid	FB2-FB3	1		-73.5	-73.5	35.751	3.251	0.000	-2,629.4	-239.1	0.0
		Welding	Roadway Grid	FB2-FB3	1			866.9	35.751	3.210	0.000	0.0	0.0	0.0
		M Bars	Sdwlk Panels H, AE	FB2-FB3	1		755.1	755.1	42.948	4.055	0.000	32,430.5	3,062.0	0.0
		C Bars	Sdwlk Panels H, AE	FB2-FB3	1		53.6	53.6	42.948	4.165	0.000	2,302.5	223.3	0.0
		Boltdown Plates, bdp32, bdp33	Sdwlk Panels H, AE	FB2-FB3	1		62.9	62.9	42.948	3.917	0.000	2,701.3	246.4	0.0
		Bolts in bdp32, bdp33	Sdwlk Panels H, AE	FB2-FB3	1		6.5	6.5	42.948	3.917	0.000	279.2	25.5	0.0
		Boltdown Plate bdp2	Sdwlk Panels H, AE	FB2-FB3	1		129.2	129.2	42.948	3.907	0.000	5,547.5	504.7	0.0
		Bolts in bdp2	Sdwlk Panels H, AE	FB2-FB3	1			6.5	42.948	3.907	0.000	0.0	0.0	0.0

DETAILED ANALYSIS OF BALANCE PROGRESS

FULTON AVE. BASCULE BRIDGE

Leaf: Mount Vernon (West)

Date: 06/01/20

Time: 3:37 PM

Group	Subgroup	Element Description	Mark	Location	Installed	Date	HDR	Gresham	X (ft)	Y (ft)	Z (ft)	Wx (ft-lb)	Wy (ft-lb)	Wz (ft-lb)
		Design Drawings	Shop drawings		1=Yes 0=No	Installed	Weight (lb)	Weight	Horiz. Arm	Vert. Arm	Trans. Arm			
		Boltdown Plate bdp9	Sdwk Panels H, AE	FB2-FB3	1		75.3	75.3	42.948	3.903	0.000	3,236.0	294.1	0.0
		Bolts in bdp9	Sdwk Panels H, AE	FB2-FB3	1			6.5	42.948	3.903	0.000	0.0	0.0	0.0
		Plate pk	Sdwk Panels H, AE	FB2-FB3	1		607.1	607.1	42.948	4.191	0.000	26,072.4	2,544.2	0.0
		Weld M Bars to Plate pk	Sdwk Panels H, AE	FB2-FB3	1			3.9	42.948	4.180	0.000	0.0	0.0	0.0
		Trim Bar b8	Sdwk Panels H, AE	FB2-FB3	1		21.5	21.5	42.948	4.055	0.000	924.6	87.3	0.0
		Weld at M Bars with Trim Bars	Sdwk Panels H, AE	FB2-FB3	1			2.6	42.948	4.055	0.000	0.0	0.0	0.0
		Trim Bars b21, b22	Sdwk Panels H, AE	FB2-FB3	1		21.0	21.0	42.948	4.055	0.000	900.4	85.0	0.0
		Cut in Plate pk	Sdwk Panels H, AE	FB2-FB3	1		-1.7	-1.7	42.948	4.191	0.000	-71.8	-7.0	0.0
		M Bars	Sdwk Panels G, AD	FB2-FB3	1		755.1	755.1	38.896	4.014	0.000	29,370.8	3,031.0	0.0
		C Bars	Sdwk Panels G, AD	FB2-FB3	1		53.6	53.6	38.896	4.124	0.000	2,085.3	221.1	0.0
		Boltdown Plates bdp32, bdp33	Sdwk Panels G, AD	FB2-FB3	1		62.9	62.9	38.896	3.876	0.000	2,446.4	243.8	0.0
		Bolts in bdp32, bdp33	Sdwk Panels G, AD	FB2-FB3	1			6.5	38.896	3.876	0.000	0.0	0.0	0.0
		Boltdown Plate bdp2	Sdwk Panels G, AD	FB2-FB3	1		129.2	129.2	38.896	3.866	0.000	5,024.1	499.4	0.0
		Bolts in bdp2	Sdwk Panels G, AD	FB2-FB3	1			6.5	38.896	3.866	0.000	0.0	0.0	0.0
		Boltdown Plate bdp9	Sdwk Panels G, AD	FB2-FB3	1		75.3	75.3	38.896	3.862	0.000	2,930.7	291.0	0.0
		Bolts in bdp9	Sdwk Panels G, AD	FB2-FB3	1			6.5	38.896	3.862	0.000	0.0	0.0	0.0
		Plate pk	Sdwk Panels G, AD	FB2-FB3	1		607.1	607.1	38.896	4.150	0.000	23,612.5	2,519.3	0.0
		Weld M Bars to Plate pk	Sdwk Panels G, AD	FB2-FB3	1			3.9	38.896	4.139	0.000	0.0	0.0	0.0
		Trim Bar b8	Sdwk Panels G, AD	FB2-FB3	1		21.5	21.5	38.896	4.014	0.000	837.4	86.4	0.0
		Weld at M Bars with Trim Bars	Sdwk Panels G, AD	FB2-FB3	1			2.6	38.896	4.014	0.000	0.0	0.0	0.0
		Trim Bars b21, b22	Sdwk Panels G, AD	FB2-FB3	1		21.0	21.0	38.896	4.014	0.000	815.5	84.2	0.0
		Cut in Plate pk	Sdwk Panels G, AD	FB2-FB3	1		-1.7	-1.7	38.896	4.150	0.000	-65.0	-6.9	0.0
		M Bars	Sdwk Panels F, AC	FB2-FB3	1		755.1	755.1	34.844	3.964	0.000	26,311.1	2,993.3	0.0
		C Bars	Sdwk Panels F, AC	FB2-FB3	1		53.6	53.6	34.844	4.074	0.000	1,868.1	218.4	0.0
		Boltdown Plates bdp32, bdp33	Sdwk Panels F, AC	FB2-FB3	1		62.9	62.9	34.844	3.826	0.000	2,191.5	240.6	0.0
		Bolts in bdp32, bdp33	Sdwk Panels F, AC	FB2-FB3	1			6.5	34.844	3.826	0.000	0.0	0.0	0.0
		Boltdown Plate bdp2	Sdwk Panels F, AC	FB2-FB3	1		129.2	129.2	34.844	3.816	0.000	4,500.7	492.9	0.0
		Bolts in bdp2	Sdwk Panels F, AC	FB2-FB3	1			6.5	34.844	3.816	0.000	0.0	0.0	0.0
		Boltdown Plate bdp9	Sdwk Panels F, AC	FB2-FB3	1		75.3	75.3	34.844	3.812	0.000	2,625.4	287.2	0.0
		Bolts in bdp9	Sdwk Panels F, AC	FB2-FB3	1			6.5	34.844	3.812	0.000	0.0	0.0	0.0
		Plate pk	Sdwk Panels F, AC	FB2-FB3	1		607.1	607.1	34.844	4.100	0.000	21,152.7	2,489.0	0.0
		Weld M Bars to Plate pk	Sdwk Panels F, AC	FB2-FB3	1			3.9	34.844	4.089	0.000	0.0	0.0	0.0
		Trim Bar b8	Sdwk Panels F, AC	FB2-FB3	1		21.5	21.5	34.844	3.964	0.000	750.1	85.3	0.0
		Weld at M Bars with Trim Bars	Sdwk Panels F, AC	FB2-FB3	1			2.6	34.844	3.964	0.000	0.0	0.0	0.0
		Trim Bars b21, b22	Sdwk Panels F, AC	FB2-FB3	1		21.0	21.0	34.844	3.964	0.000	730.5	83.1	0.0
		Cut in Plate pk	Sdwk Panels F, AC	FB2-FB3	1		-1.7	-1.7	34.844	4.100	0.000	-58.2	-6.9	0.0
		M Bars	Sdwk Panels C2, C8	FB2-FB3	1		755.1	755.1	30.792	3.907	0.000	23,251.3	2,950.2	0.0
		C Bars	Sdwk Panels C2, C8	FB2-FB3	1		53.6	53.6	30.792	4.017	0.000	1,650.8	215.4	0.0
		Boltdown Plates bdp32, bdp33	Sdwk Panels C2, C8	FB2-FB3	1		62.9	62.9	30.792	3.769	0.000	1,936.7	237.1	0.0
		Bolts in bdp32, bdp33	Sdwk Panels C2, C8	FB2-FB3	1			6.5	30.792	3.769	0.000	0.0	0.0	0.0
		Boltdown Plate bdp2	Sdwk Panels C2, C8	FB2-FB3	1		129.2	129.2	30.792	3.759	0.000	3,977.3	485.5	0.0
		Bolts in bdp2	Sdwk Panels C2, C8	FB2-FB3	1			6.5	30.792	3.759	0.000	0.0	0.0	0.0

DETAILED ANALYSIS OF BALANCE PROGRESS
FULTON AVE. BASCULE BRIDGE

Leaf: Mount Vernon (West)
Date: 06/01/20
Time: 3:37 PM

Group	Subgroup	Element Description	Mark	Location	Installed	Date	HDR Weight (lb)	Gresham Weight	X (ft)	Y (ft)	Z (ft)	Wx (ft-lb)	Wy (ft-lb)	Wz (ft-lb)
		Design Drawings	Shop drawings		1=Yes 0=No	Installed			Horiz. Arm	Vert. Arm	Trans. Arm			
		Boildown Plate bdp0	Sdwk Panels C2, C8	FB2-FB3	1		75.3	75.3	30.792	3.755	0.000	2,320.1	282.9	0.0
		Bolts in bdp0	Sdwk Panels C2, C8	FB2-FB3	1			6.5	30.792	3.755	0.000	0.0	0.0	0.0
		Plate pk	Sdwk Panels C2, C8	FB2-FB3	1		607.1	607.1	30.792	4.043	0.000	18,692.8	2,454.4	0.0
		Weld M Bars to Plate pk	Sdwk Panels C2, C8	FB2-FB3	1			3.9	30.792	4.032	0.000	0.0	0.0	0.0
		Trim Bar b8	Sdwk Panels C2, C8	FB2-FB3	1		21.5	21.5	30.792	3.907	0.000	662.9	84.1	0.0
		Weld at M Bars with Trim Bars	Sdwk Panels C2, C8	FB2-FB3	1			2.6	30.792	3.907	0.000	0.0	0.0	0.0
		Trim Bars b21/b22	Sdwk Panels C2, C8	FB2-FB3	1		21.0	21.0	30.792	3.907	0.000	645.6	81.9	0.0
		10" Roadway Grid Stub	Al FB2	FB2-FB3	1		-900.4	-900.4	43.749	3.171	0.000	-39,391.6	-2,855.2	0.0
		10" Stringer Stubs	Al FB2	FB2-FB3	1		-262.5	-262.5	43.749	2.150	0.000	-11,484.1	-564.4	0.0
		Cope in Top Flange	Al FB2	FB2-FB3	1		-72.3	72.3	43.749	2.858	0.000	-3,163.4	-206.7	0.0
		Cope in Web	Al FB2	FB2-FB3	1		-29.8	29.8	43.749	2.695	0.000	-1,302.5	-80.2	0.0
		10" Roadway Grid Stub	Al FB3	FB2-FB3	1		-900.4	-900.4	27.750	2.956	0.000	-24,986.1	-2,661.6	0.0
		10" Stringer Stubs	Al FB3	FB2-FB3	1		-262.5	-262.5	27.750	2.956	0.000	-7,284.4	-776.0	0.0
		Cope in Top Flange	Al FB3	FB2-FB3	1		-72.3	72.3	27.750	2.858	0.000	-2,006.6	-208.7	0.0
		Cope in Web	Al FB3	FB2-FB3	1		-29.8	29.8	27.750	2.695	0.000	-826.2	-80.2	0.0
	Subtotal	Bay 2 Steel (FB2 to FB3)					33,825.7	33,682.1	34.022	2.939	0.000	1,150,830.7	99,427.9	-0.6
	1.1.3 Main Girder Top Flange Plates													
		Steel Plates			1			10,373.0	-11.420	6.830	0.000	0.0	0.0	0.0
	Subtotal	Main Girder Top Flange Plates					0.0	10,373.0	0.000	0.000	0.000	0.0	0.0	0.0
	1.1.4 Bay 1 Steel (FB3 to FB4)													
		Sidewalk Support Plate	Girders	FB3-FB4	1		171.8	171.8	18.917	3.501	0.000	3,250.6	601.6	0.0
		Bevelled Fill Plate	Girders	FB3-FB4	1		204.1	204.1	18.917	3.546	0.000	3,860.1	723.8	0.0
		5/16" Weld	Girders	FB3-FB4	1			13.5	18.917	3.501	0.000	0.0	0.0	0.0
		9/16" Stiffeners at FB4	Girders	FB4	1		244.0	244.0	10.500	3.040	0.000	2,562.5	741.9	0.0
		Sidewalk Stringers	Stringers	FB3-FB4	1		1,038.5	1,038.5	18.917	2.983	0.000	19,645.3	3,097.8	0.0
		7/16" Shims	Stringers	FB3-FB4	1		29.0	29.0	18.917	2.483	0.000	549.2	72.1	0.0
		Bolts in Bottom Flange	Stringers	FB3-FB4	1			6.5	18.917	2.483	0.000	0.0	0.0	0.0
		Roadway Stringers W/18 B 35	Stringers	FB3-FB4	1		5,862.5	5,862.5	18.917	1.842	4.000	110,900.9	10,798.7	23,450.0
		Cope in Top Flange	Stringers	FB3-FB4	1		-72.3	-72.3	18.917	2.574	4.000	-1,367.9	-186.1	-289.2
		Cope in Web	Stringers	FB3-FB4	1		-29.8	-29.8	18.917	2.411	4.000	-563.2	-71.8	-119.1
		3 X 3 Connection Angles	Stringers	FB3-FB4	1		376.0	376.0	18.917	1.759	4.000	7,112.8	661.4	1,504.0
		7/8" Bolts	Stringers	FB3-FB4	1			145.8	18.917	1.759	4.000	0.0	0.0	0.0
		Roadway Stringers W/18 B 35	Stringers (R & S)	FB3-FB4	1		1,758.8	1,758.8	18.917	1.842	-13.333	33,270.3	3,239.6	-23,449.4
		Cope in Top Flange	Stringers (R & S)	FB3-FB4	1		-21.7	-21.7	18.917	2.574	-13.333	-410.4	-55.8	289.2
		Cope in Web	Stringers (R & S)	FB3-FB4	1		-8.9	-8.9	18.917	2.411	-13.333	-169.0	-21.5	119.1
		3 X 3 Connection Angles	Stringers (R & S)	FB3-FB4	1		112.8	112.8	18.917	1.759	-13.333	2,133.8	198.4	-1,504.0
		7/8" Bolts	Stringers (R & S)	FB3-FB4	1			43.7	18.917	1.759	-13.333	0.0	0.0	0.0
		Channel 10" C 25	Sidewalk Channels	FB3-FB4	1		841.7	841.7	18.917	3.009	0.000	15,921.5	2,532.5	0.0
		7/8" Bolts	Sidewalk Channels	FB3-FB4	1			55.1	18.917	2.592	0.000	0.0	0.0	0.0
		Bracing Type 1 (Under Sidewalk)	Lateral Bracing	FB3-FB4	1		430.2	430.2	18.917	0.992	0.000	8,138.1	426.8	0.0

DETAILED ANALYSIS OF BALANCE PROGRESS
FULTON AVE. BASCULE BRIDGE

Leaf: Mount Vernon (West)
 Date: 06/01/20
 Time: 3:37 PM

Group	Subgroup	Element Description	Mark	Location	Installed 1=Yes 0=No	Date Installed	HDR Weight (lb)	Gresham Weight	X (ft) Horiz. Arm	Y (ft) Vert. Arm	Z (ft) Trans. Arm	Wx (ft-lb)	Wy (ft-lb)	Wz (ft-lb)
		Design Drawings	Shop drawings											
		Bracing Type 2 (Stringer S3-S5, S11-13)	Lateral Bracing	FB3-FB4	1		360.8	360.8	18.917	0.992	0.000	6,825.4	357.9	0.0
		Bracing Type 3 (Stringer S5-S8, S8-11)	Lateral Bracing	FB3-FB4	1		464.3	464.3	18.917	0.992	0.000	8,783.9	460.6	0.0
		Gusset Plate at Main Girders	Type 1 Bracing	FB3-FB4	1		76.6	76.6	18.917	1.077	0.000	1,448.3	82.5	0.0
		4 x 4 x 1/2 Angle	Type 1 Bracing	FB3-FB4	1		38.4	38.4	18.917	0.992	0.000	726.4	38.1	0.0
		Bolts in Angle	Type 1 Bracing	FB3-FB4	1			9.7	18.917	0.992	0.000	0.0	0.0	0.0
		Plate 9 x 4 x 1/2	Type 1 Bracing	FB3-FB4	1		20.4	20.4	18.917	0.992	0.000	386.2	20.3	0.0
		Bolts in Plate	Type 1 Bracing	FB3-FB4	1			9.7	18.917	0.992	0.000	0.0	0.0	0.0
		Bolts in Bracing Type 1	Type 1 Bracing	FB3-FB4	1			45.4	18.917	1.077	0.000	0.0	0.0	0.0
		Gusset Plate Interior (@S3, S8, S13)	Type 1 & 2 Bracing	FB3-FB4	1		229.7	229.7	18.917	1.077	0.000	4,345.0	247.4	0.0
		Bolts in Gusset Plate	Type 1 & 2 Bracing	FB3-FB4	1			58.3	18.917	1.077	0.000	0.0	0.0	0.0
		Gusset Plate at FB1, FB2 (@S5, S11)	Type 3 Bracing	FB3-FB4	1		153.1	153.1	18.917	1.077	0.000	2,896.7	164.9	0.0
		4 x 4 Support Angles	Type 3 Bracing	FB3-FB4	1		76.8	76.8	18.917	0.992	0.000	1,452.8	76.2	0.0
		Bolts in Gusset Plate	Type 3 Bracing	FB3-FB4	1			77.8	18.917	1.077	0.000	0.0	0.0	0.0
		Main Bars	Roadway Grid	FB3-FB4	1		7,934.3	7,934.3	18.917	2.774	0.000	150,092.9	22,009.7	0.0
		Cross Bars	Roadway Grid	FB3-FB4	1		5,556.9	5,556.9	18.917	2.926	0.000	105,120.8	16,259.6	0.0
		Supplemental Bars	Roadway Grid	FB3-FB4	1		1,168.7	1,168.7	18.917	2.967	0.000	22,107.4	3,467.4	0.0
		Diagonal Bars	Roadway Grid	FB3-FB4	1		3,203.9	3,203.9	18.917	2.967	0.000	60,607.3	9,505.8	0.0
		Edge Bars at Curbs	Roadway Grid	FB3-FB4	1		61.3	61.3	18.917	2.926	0.000	1,160.0	179.4	0.0
		Opening in Main Bars at Cross Bars	Roadway Grid	FB3-FB4	1		-305.1	-305.1	18.917	2.926	0.000	-5,771.3	-892.7	0.0
		Opening in Cross Bars at Main Bars	Roadway Grid	FB3-FB4	1		-152.5	-152.5	18.917	2.967	0.000	-2,885.7	-452.6	0.0
		Opening in Cross Bars at Supp Bars	Roadway Grid	FB3-FB4	1		-73.5	-73.5	18.917	2.967	0.000	-1,391.3	-218.2	0.0
		Welding	Roadway Grid	FB3-FB4	1			869.7	18.917	2.926	0.000	0.0	0.0	0.0
		M Bars	SoWk Panels E, AB	FB3-FB4	1		755.1	755.1	26.740	3.841	0.000	20,191.6	2,900.4	0.0
		C Bars	SoWk Panels E, AB	FB3-FB4	1		53.6	53.6	26.740	3.951	0.000	1,433.6	211.8	0.0
		Bottomdown Plates, bdp32, bdp33	SoWk Panels E, AB	FB3-FB4	1		62.9	62.9	26.740	3.703	0.000	1,681.8	232.9	0.0
		Bolts in bdp32, bdp33	SoWk Panels E, AB	FB3-FB4	1			6.5	26.740	3.703	0.000	0.0	0.0	0.0
		Bottomdown Plate bdp2	SoWk Panels E, AB	FB3-FB4	1		129.2	129.2	26.740	3.693	0.000	3,454.0	477.0	0.0
		Bolts in bdp2	SoWk Panels E, AB	FB3-FB4	1			6.5	26.740	3.693	0.000	0.0	0.0	0.0
		Bottomdown Plate bdp0	SoWk Panels E, AB	FB3-FB4	1		75.3	75.3	26.740	3.689	0.000	2,014.8	278.0	0.0
		Bolts in bdp0	SoWk Panels E, AB	FB3-FB4	1			6.5	26.740	3.689	0.000	0.0	0.0	0.0
		Plate pk	SoWk Panels E, AB	FB3-FB4	1		607.1	607.1	26.740	3.977	0.000	16,233.0	2,414.3	0.0
		Weld M Bars to Plate pk	SoWk Panels E, AB	FB3-FB4	1			3.9	26.740	3.966	0.000	0.0	0.0	0.0
		Trim Bar b5	SoWk Panels E, AB	FB3-FB4	1		21.5	21.5	26.740	3.841	0.000	575.7	82.7	0.0
		Weld at M Bars with Trim Bars	SoWk Panels E, AB	FB3-FB4	1			2.6	26.740	3.841	0.000	0.0	0.0	0.0
		Trim Bars b21, b22	SoWk Panels E, AB	FB3-FB4	1		21.0	21.0	26.740	3.841	0.000	560.6	80.5	0.0
		Cut in Plate pk	SoWk Panels E, AB	FB3-FB4	1		-1.7	-1.7	26.740	3.977	0.000	-44.7	-6.6	0.0
		M Bars	SoWk Panels D, AA	FB3-FB4	1		755.1	755.1	22.688	3.767	0.000	17,131.9	2,844.5	0.0
		C Bars	SoWk Panels D, AA	FB3-FB4	1		53.6	53.6	22.688	3.877	0.000	1,216.3	207.9	0.0
		Bottomdown Plates, bdp32, bdp33	SoWk Panels D, AA	FB3-FB4	1		62.9	62.9	22.688	3.629	0.000	1,427.0	228.2	0.0
		Bolts in bdp32, bdp33	SoWk Panels D, AA	FB3-FB4	1			6.5	22.688	3.629	0.000	0.0	0.0	0.0
		Bottomdown Plate bdp2	SoWk Panels D, AA	FB3-FB4	1		129.2	129.2	22.688	3.619	0.000	2,930.6	467.5	0.0
		Bolts in bdp2	SoWk Panels D, AA	FB3-FB4	1			6.5	22.688	3.619	0.000	0.0	0.0	0.0

DETAILED ANALYSIS OF BALANCE PROGRESS

FULTON AVE. BASCULE BRIDGE

Leaf: Mount Vernon (West)

Date: 06/01/20

Time: 3:37 PM

Group	Subgroup	Element Description	Mark	Location	Installed	Date	HDR	Gresham	X (ft)	Y (ft)	Z (ft)	Wx (ft-lb)	Wy (ft-lb)	Wz (ft-lb)
		Design Drawings	Shop drawings		1=Yes 0=No	Installed	Weight (lb)	Weight	Horiz. Arm	Vert. Arm	Trans. Arm			
		Boltdown Plate bdp9	Sdwk Panels D, AA	FB3-FB4	1		75.3	75.3	22.688	3.615	0.000	1,709.5	272.4	0.0
		Bolts in bdp9	Sdwk Panels D, AA	FB3-FB4	1			6.5	22.688	3.615	0.000	0.0	0.0	0.0
		Plate pk	Sdwk Panels D, AA	FB3-FB4	1		607.1	607.1	22.688	3.903	0.000	13,773.2	2,369.4	0.0
		Weld M Bars to Plate pk	Sdwk Panels D, AA	FB3-FB4	1			3.9	22.688	3.892	0.000	0.0	0.0	0.0
		Trim Bar b8	Sdwk Panels D, AA	FB3-FB4	1		21.5	21.5	22.688	3.767	0.000	488.4	81.1	0.0
		Weld at M Bars with Trim Bars	Sdwk Panels D, AA	FB3-FB4	1			2.6	22.688	3.767	0.000	0.0	0.0	0.0
		Trim Bars b21, b22	Sdwk Panels D, AA	FB3-FB4	1		21.0	21.0	22.688	3.767	0.000	475.7	79.0	0.0
		Cut in Plate pk	Sdwk Panels D, AA	FB3-FB4	1		-1.7	-1.7	22.688	3.903	0.000	-37.9	-6.5	0.0
		M Bars	Sdwk Panels C1, C7	FB3-FB4	1		755.1	755.1	18.636	3.686	0.000	14,072.2	2,783.3	0.0
		C Bars	Sdwk Panels C1, C7	FB3-FB4	1		53.6	53.6	18.636	3.796	0.000	999.1	203.5	0.0
		Boltdown Plates, bdp32, bdp33	Sdwk Panels C1, C7	FB3-FB4	1		62.9	62.9	18.636	3.548	0.000	1,172.1	223.2	0.0
		Bolts in bdp32, bdp33	Sdwk Panels C1, C7	FB3-FB4	1			6.5	18.636	3.548	0.000	0.0	0.0	0.0
		Boltdown Plate bdp2	Sdwk Panels C1, C7	FB3-FB4	1		129.2	129.2	18.636	3.538	0.000	2,407.2	457.0	0.0
		Bolts in bdp2	Sdwk Panels C1, C7	FB3-FB4	1			6.5	18.636	3.538	0.000	0.0	0.0	0.0
		Boltdown Plate bdp9	Sdwk Panels C1, C7	FB3-FB4	1		75.3	75.3	18.636	3.534	0.000	1,404.2	266.3	0.0
		Bolts in bdp9	Sdwk Panels C1, C7	FB3-FB4	1			6.5	18.636	3.534	0.000	0.0	0.0	0.0
		Plate pk	Sdwk Panels C1, C7	FB3-FB4	1		607.1	607.1	18.636	3.822	0.000	11,313.3	2,320.2	0.0
		Weld M Bars to Plate pk	Sdwk Panels C1, C7	FB3-FB4	1			3.9	18.636	3.811	0.000	0.0	0.0	0.0
		Trim Bar b8	Sdwk Panels C1, C7	FB3-FB4	1		21.5	21.5	18.636	3.686	0.000	401.2	79.4	0.0
		Weld at M Bars with Trim Bars	Sdwk Panels C1, C7	FB3-FB4	1			2.6	18.636	3.686	0.000	0.0	0.0	0.0
		Trim Bars b21, b22	Sdwk Panels C1, C7	FB3-FB4	1		21.0	21.0	18.636	3.686	0.000	390.7	77.3	0.0
		M Bars	Sdwk Panels B, Z	FB3-FB4	1		755.1	755.1	14.584	3.596	0.000	11,012.5	2,715.4	0.0
		C Bars	Sdwk Panels B, Z	FB3-FB4	1		53.6	53.6	14.584	3.706	0.000	781.9	198.7	0.0
		Boltdown Plates, bdp32, bdp33	Sdwk Panels B, Z	FB3-FB4	1		62.9	62.9	14.584	3.458	0.000	917.3	217.5	0.0
		Bolts in bdp32, bdp33	Sdwk Panels B, Z	FB3-FB4	1			6.5	14.584	3.458	0.000	0.0	0.0	0.0
		Boltdown Plate bdp2	Sdwk Panels B, Z	FB3-FB4	1		129.2	129.2	14.584	3.448	0.000	1,883.8	445.4	0.0
		Bolts in bdp2	Sdwk Panels B, Z	FB3-FB4	1			6.5	14.584	3.448	0.000	0.0	0.0	0.0
		Boltdown Plate bdp9	Sdwk Panels B, Z	FB3-FB4	1		75.3	75.3	14.584	3.444	0.000	1,098.9	259.5	0.0
		Bolts in bdp9	Sdwk Panels B, Z	FB3-FB4	1			6.5	14.584	3.444	0.000	0.0	0.0	0.0
		Plate pk	Sdwk Panels B, Z	FB3-FB4	1		607.1	607.1	14.584	3.732	0.000	8,853.5	2,265.6	0.0
		Weld M Bars to Plate pk	Sdwk Panels B, Z	FB3-FB4	1			3.9	14.584	3.721	0.000	0.0	0.0	0.0
		Trim Bar b8	Sdwk Panels B, Z	FB3-FB4	1		21.5	21.5	14.584	3.596	0.000	314.0	77.4	0.0
		Weld at M Bars with Trim Bars	Sdwk Panels B, Z	FB3-FB4	1			2.6	14.584	3.596	0.000	0.0	0.0	0.0
		Trim Bars b21, b22	Sdwk Panels B, Z	FB3-FB4	1		21.0	21.0	14.584	3.596	0.000	305.8	75.4	0.0
		Cut in Plate pk	Sdwk Panels B, Z	FB3-FB4	1		-1.7	-1.7	14.584	3.732	0.000	-24.4	-6.2	0.0
		M Bars	Sdwk Panels A, Y	FB3-FB4	1		503.4	503.4	11.196	3.516	0.000	5,636.1	1,770.0	0.0
		C Bars	Sdwk Panels A, Y	FB3-FB4	1		35.3	35.3	11.196	3.626	0.000	395.5	128.1	0.0
		Boltdown Plates, bdp92, bdp17	Sdwk Panels A, Y	FB3-FB4	1		41.4	41.4	11.196	3.368	0.000	463.8	139.5	0.0
		Bolts in bdp92, bdp17	Sdwk Panels A, Y	FB3-FB4	1			4.9	11.196	3.368	0.000	0.0	0.0	0.0
		Boltdown Plate bdp1	Sdwk Panels A, Y	FB3-FB4	1		86.2	86.2	11.196	3.368	0.000	965.3	290.4	0.0
		Bolts in bdp1	Sdwk Panels A, Y	FB3-FB4	1			6.5	11.196	3.368	0.000	0.0	0.0	0.0
		Boltdown Plate bdp7	Sdwk Panels A, Y	FB3-FB4	1		50.3	50.3	11.196	3.364	0.000	563.1	169.2	0.0

DETAILED ANALYSIS OF BALANCE PROGRESS

FULTON AVE. BASCULE BRIDGE

Leaf: Mount Vernon (West)

Date: 06/01/20

Time: 3:37 PM

Group	Subgroup	Element Description	Mark	Location	Installed	Date	HDR Weight (lb)	Gresham Weight	X (ft) Horiz. Arm	Y (ft) Vert. Arm	Z (ft) Trans. Arm	Wx (ft-lb)	Wy (ft-lb)	Wz (ft-lb)
		Design Drawings	Shop drawings		1=Yes 0=No	Installed								
		Bolts in bdp7	Sdwk Panels A, Y	FB3-FB4	1			6.5	11.196	3.364	0.000	0.0	0.0	0.0
		Plate pa	Sdwk Panels A, Y	FB3-FB4	1		408.4	408.4	11.196	3.652	0.000	4,572.4	1,491.5	0.0
		Weld M Bars to Plate pa	Sdwk Panels A, Y	FB3-FB4	1			2.6	11.196	3.641	0.000	0.0	0.0	0.0
		Trim Bar b1	Sdwk Panels A, Y	FB3-FB4	1		36.0	36.0	9.834	3.483	0.000	353.6	125.2	0.0
		Trim Bars b21, b22	Sdwk Panels A, Y	FB3-FB4	1		13.8	13.8	11.196	3.516	0.000	154.6	48.5	0.0
		Weld at M Bars with Trim Bars	Sdwk Panels A, Y	FB3-FB4	1			1.8	11.196	3.516	0.000	0.0	0.0	0.0
		Trim Bars b4	Sdwk Panels A, Y	FB3-FB4	1		14.4	14.4	11.196	3.516	0.000	160.9	50.5	0.0
		Cut in Plate pa	Sdwk Panels A, Y	FB3-FB4	1		-1.7	-1.7	11.196	3.652	0.000	-18.7	-6.1	0.0
		10" Roadway Grid Stub	At FB3	FB3-FB4	1		-900.4	-900.4	26.916	2.956	0.000	-24,235.2	-2,661.6	0.0
		10" Stringer Stub	At FB3	FB3-FB4	1		-262.5	-262.5	26.916	1.935	0.000	-7,065.5	-507.9	0.0
		Cope in Top Flange	At FB3	FB3-FB4	1		-72.3	72.3	26.916	2.574	0.000	-1,946.3	-186.1	0.0
		Cope in Web	At FB3	FB3-FB4	1		-29.8	29.8	26.916	2.411	0.000	-801.4	-71.8	0.0
	Subtotal	Bay 1 Steel (FB3 to FB4)					36,571.0	38,236.7	18.661	2.754	0.000	682,456.0	100,717.9	0.6
	1.1.5 Floor Beam FB-4W													
		Floorbeam 36WPF300		FB4	1		18,387.5	18,387.5	10.500	0.739	0.000	193,068.8	13,588.4	0.0
		Cut in Flange at Girder Connection		FB4	1		-317.4	-317.4	10.500	0.739	0.000	-3,332.4	-234.5	0.0
		Top Cover Plate		FB4	1		5,145.0	5,145.0	10.500	2.342	0.000	54,022.5	12,049.6	0.0
		Bottom Cover Plate		FB4	1		4,501.9	4,501.9	10.500	-0.719	0.000	47,269.7	-3,236.8	0.0
		Haunch at FB4		FB4	1			10,897.4	8.333	2.499	0.000	0.0	0.0	0.0
		Concrete Deck FB4 to FB5		FB4	1			27,840.0	6.833	2.424	0.000	0.0	0.0	0.0
		Haunch at FB5		FB4	1			4,640.0	4.333	1.850	0.000	0.0	0.0	0.0
	Subtotal	Floor Beam FB-4W					27,717.0	71,094.4	10.500	0.800	0.000	291,028.6	22,166.6	0.0
	SUBTOTAL	BASCULE STEEL					131,795.4	187,030.6	28.832	2.475	0.000	3,799,896.9	326,155.3	0.0

DETAILED ANALYSIS OF BALANCE PROGRESS

FULTON AVE. BASCULE BRIDGE

Leaf: Mount Vernon (West)

Date: 06/01/20

Time: 3:37 PM

Time: 3:37 PM

Group	Subgroup	Element Description Design Drawings	Mark Shop drawings	Location	Installed 1=Yes 0=No	Date Installed	HDR Weight (lb)	Gresham Weight	X (ft) Horiz. Arm	Y (ft) Vert. Arm	Z (ft) Trans. Arm	Wx (ft-lb)	Wy (ft-lb)	Wz (ft-lb)	
1.2 SPAN LOCKS															
	1.2.1	Span Lock Machinery													
		Operator with Lock Bar	Roadway Center Line		0				59.313	1.790	-0.083	0.0	0.0	0.0	
		Receiver Support Plate	Girder		0				61.667	2.978	0.000	0.0	0.0	0.0	
		Bolts in Support Plate	Girder		0				61.667	2.978	0.000	0.0	0.0	0.0	
		1/2" Shims	Girder		0				61.667	2.978	0.000	0.0	0.0	0.0	
		1/2" Backer Plate	Girder		0				61.667	2.978	0.000	0.0	0.0	0.0	
		Vertical Plate	Girder		0				61.667	2.978	0.000	0.0	0.0	0.0	
		Stiffeners	Girder		0				61.667	2.978	0.000	0.0	0.0	0.0	
		Guide Shoe	Girder		0				61.667	2.978	0.000	0.0	0.0	0.0	
		Guide Support Plate	Roadway Center Line		0				61.667	1.790	-0.750	0.0	0.0	0.0	
		Bolts in Support Plate	Roadway Center Line		0				61.667	1.790	-0.750	0.0	0.0	0.0	
		1/2" Shims	Roadway Center Line		0				61.667	1.790	-0.750	0.0	0.0	0.0	
		Vertical Plate	Roadway Center Line		0				61.667	1.790	-0.750	0.0	0.0	0.0	
		Stiffeners	Roadway Center Line		0				61.667	1.790	-0.750	0.0	0.0	0.0	
		Guide Shoe	Roadway Center Line		0				61.667	1.790	-0.750	0.0	0.0	0.0	
	Subtotal	Span Lock Machinery						0.0	0.0	0.000	0.000	0.000	0.0	0.0	0.0
	1.2.2	Platform													
		Short Angle	Roadway Center Line		1		38.8	38.8	54.083	0.186	-2.000	2,096.9	7.2	-77.5	
		Bolts in Angle	Roadway Center Line		1		3.2	3.2	54.083	0.186	-2.000	175.2	0.6	-6.5	
		Long Angle	Roadway Center Line		1		136.9	136.9	57.500	0.186	-2.000	7,873.4	25.5	-273.9	
		Angle Posts	Roadway Center Line		1		87.3	87.3	57.500	0.666	-2.000	5,017.7	58.1	-174.5	
		Bolts in Angle	Roadway Center Line		1		25.9	25.9	57.500	0.666	-2.000	1,490.4	17.3	-51.8	
		Clip Angle	Roadway Center Line		1		41.2	41.2	57.500	1.249	-2.000	2,369.0	51.5	-82.4	
		Angle Bracket	Roadway Center Line		1		41.1	41.1	54.083	1.186	-2.000	2,224.4	48.8	-82.3	
		Bolts in Angle	Roadway Center Line		1		6.5	6.5	54.083	1.186	-2.000	350.5	7.7	-13.0	
		Steel Grating	Roadway Center Line		1		468.3	468.3	57.500	0.186	-2.000	26,925.2	87.1	-936.5	
		Connection Plates for Angle Brackets	Roadway Center Line		1		22.5	22.5	54.083	1.186	-2.000	1,216.9	26.7	-45.0	
	Subtotal	Platform						871.7	871.7	57.060	0.379	-2.000	49,739.5	330.4	-1,743.4
	SUBTOTAL	SPAN LOCKS						871.7	871.7	57.060	0.379	-2.000	49,739.5	330.4	-1,743.4
SUBTOTAL	1 REMOVALS							132,667.1	187,902.3	29.017	2.461	-0.013	3,849,636.4	326,485.7	-1,743.4

DETAILED ANALYSIS OF BALANCE PROGRESS

FULTON AVE. BASCULE BRIDGE

Leaf: Pelham Manor (East)

Date: 06/01/20

Time: 3:45 PM

Group	Subgroup	Element Description Design Drawings	Mark Shop drawings	Location	Installed 1=Yes 0=No	Date Installed	HDR Weight (lb)	X (ft) Horiz. Arm	Y (ft) Vert. Arm	Z (ft) Trans. Arm	Wx (ft-lb)	Wy (ft-lb)	Wz (ft-lb)
1 REMOVALS													
1.1 BASCULE STEEL													
1.1.1 Bay 4 Steel (FB1 to FB2)													
		Sidewalk Support Plate	Girders	FB1-FB2	1		171.8	52.584	3.994	0.000	9,035.9	686.3	0.0
		Bevelled Fill Plate	Girders	FB1-FB2	1		204.1	52.584	4.039	0.000	10,730.1	824.2	0.0
		5/16" Weld	Girders	FB1-FB2	1			52.584	3.994	0.000	0.0	0.0	0.0
		Sidewalk Stringers 12WF31	Stringers	FB1-FB2	1		1,038.5	52.584	3.413	0.000	54,608.5	3,544.4	0.0
		7/16" Shims	Stringers	FB1-FB2	1		29.0	52.584	2.895	0.000	1,526.5	84.0	0.0
		Bolts in Bottom Flange	Stringers	FB1-FB2	1			52.584	2.895	0.000	0.0	0.0	0.0
		Roadway Stringers 18 B 35	Stringers	FB1-FB2	1		4,690.0	52.584	2.272	-0.500	246,619.0	10,655.7	-2,345.0
		Cope in Top Flange	Stringers	FB1-FB2	1		-57.8	52.584	3.005	-0.500	-3,041.8	-173.8	28.9
		Cope in Web	Stringers	FB1-FB2	1		-23.8	52.584	2.841	-0.500	-1,252.5	-67.7	11.9
		3 X 3 Connection Angles	Stringers	FB1-FB2	1		300.8	52.584	2.189	-0.500	15,817.3	658.5	-150.4
		7/8" Bolts	Stringers	FB1-FB2	1			52.584	2.189	-0.500	0.0	0.0	0.0
		Roadway Stringers 18 B 35	Stringers (R & S)	FB1-FB2	1		2,931.3	52.584	2.272	0.800	154,136.9	6,659.8	2,345.0
		Cope in Top Flange	Stringers (R & S)	FB1-FB2	1		-36.2	52.584	3.005	0.800	-1,901.2	-108.6	-28.9
		Cope in Web	Stringers (R & S)	FB1-FB2	1		-14.9	52.584	2.841	0.800	-782.8	-42.3	-11.9
		3 X 3 Connection Angles	Stringers (R & S)	FB1-FB2	1		188.0	52.584	2.189	0.800	9,885.8	411.5	150.4
		7/8" Bolts	Stringers (R & S)	FB1-FB2	1			52.584	2.189	0.800	0.0	0.0	0.0
		Roadway Stringers S3, S8, S13	Stringers To Remain	FB1-FB2	1			52.584	2.272	0.000	0.0	0.0	0.0
		Cope in Top Flange	Stringers To Remain	FB1-FB2	1			52.584	3.005	0.000	0.0	0.0	0.0
		Cope in Web	Stringers To Remain	FB1-FB2	1			52.584	2.841	0.000	0.0	0.0	0.0
		3 X 3 Connection Angles	Stringers To Remain	FB1-FB2	1			52.584	2.189	0.000	0.0	0.0	0.0
		7/8" Bolts	Stringers To Remain	FB1-FB2	1			52.584	2.189	0.000	0.0	0.0	0.0
		Channel 10 C 25	Sidewalk Channels	FB1-FB2	1		841.7	52.584	3.439	0.000	44,257.3	2,894.4	0.0
		7/8" Bolts	Sidewalk Channels	FB1-FB2	1			52.584	3.022	0.000	0.0	0.0	0.0
		Bracing Type 1 (Under Sidewalk)	Lateral Bracing	FB1-FB2	0		430.2	52.584	1.422	0.000	0.0	0.0	0.0
		Bracing Type 2 (Stringer S3-S5, S11-13)	Lateral Bracing	FB1-FB2	0		360.8	52.584	1.422	0.000	0.0	0.0	0.0
		Bracing Type 3 (Stringer S5-S8, S8-11)	Lateral Bracing	FB1-FB2	0		464.3	52.584	1.422	0.000	0.0	0.0	0.0
		Gusset Plate at Main Girders	Type 1 Bracing	FB1-FB2	0		76.6	52.584	1.507	0.000	0.0	0.0	0.0
		4 x 4 x 1/2 Angle	Type 1 Bracing	FB1-FB2	0		38.4	52.584	1.422	0.000	0.0	0.0	0.0
		Bolts in Angle	Type 1 Bracing	FB1-FB2	0			52.584	1.422	0.000	0.0	0.0	0.0
		Plate 9 x 4 x 1/2	Type 1 Bracing	FB1-FB2	0		20.4	52.584	1.422	0.000	0.0	0.0	0.0
		Bolts in Plate	Type 1 Bracing	FB1-FB2	0			52.584	1.422	0.000	0.0	0.0	0.0
		Bolts in Bracing Type 1	Type 1 Bracing	FB1-FB2	0			52.584	1.507	0.000	0.0	0.0	0.0
		Gusset Plate Interior (@S3, S8, S13)	Type 1 & 2 Bracing	FB1-FB2	0		229.7	52.584	1.507	0.000	0.0	0.0	0.0
		Bolts in Gusset Plate	Type 1 & 2 Bracing	FB1-FB2	0			52.584	1.507	0.000	0.0	0.0	0.0
		Gusset Plate at FB1, FB2 (@S5, S11)	Type 3 Bracing	FB1-FB2	0		153.1	52.584	1.507	0.000	0.0	0.0	0.0
		4 x 4 Support Angles	Type 3 Bracing	FB1-FB2	0		76.8	52.584	1.422	0.000	0.0	0.0	0.0
		Bolts in Gusset Plate	Type 3 Bracing	FB1-FB2	0			52.584	1.507	0.000	0.0	0.0	0.0

Main Bars	Roadway Grid	FB1-FB2	1	7,934.3	52.584	3.204	0.000	417,216.4	25,421.4	0.0
Cross Bars	Roadway Grid	FB1-FB2	1	5,556.9	52.584	3.356	0.000	292,206.6	18,649.1	0.0
Supplemental Bars	Roadway Grid	FB1-FB2	1	1,168.7	52.584	3.397	0.000	61,452.3	3,969.9	0.0
Diagonal Bars	Roadway Grid	FB1-FB2	1	3,203.9	52.584	3.397	0.000	168,471.5	10,883.5	0.0
Edge Bars at Curbs	Roadway Grid	FB1-FB2	1	61.3	52.584	3.356	0.000	3,224.3	205.8	0.0
Opening in Main Bars at Cross Bars	Roadway Grid	FB1-FB2	1	-305.1	52.584	3.356	0.000	-16,042.7	-1,023.9	0.0
Opening in Cross Bars at Main Bars	Roadway Grid	FB1-FB2	1	-152.5	52.584	3.397	0.000	-8,021.4	-518.2	0.0
Opening in Cross Bars at Supp Bars	Roadway Grid	FB1-FB2	1	-73.5	52.584	3.397	0.000	-3,867.4	-249.8	0.0
Welding	Roadway Grid	FB1-FB2	1		52.584	3.356	0.000	0.0	0.0	0.0
M Bars	Sdww Panels M, AJ	FB1-FB2	1	755.1	59.154	4.142	0.000	44,667.8	3,127.7	0.0
C Bars	Sdww Panels M, AJ	FB1-FB2	1	53.6	59.154	4.252	0.000	3,171.4	228.0	0.0
Bottdown Plate bdp34	Sdww Panels M, AJ	FB1-FB2	1	60.2	59.154	3.994	0.000	3,560.2	240.4	0.0
Bolts in bdp34	Sdww Panels M, AJ	FB1-FB2	1		59.154	3.990	0.000	0.0	0.0	0.0
Bottdown Plate bdp2	Sdww Panels M, AJ	FB1-FB2	1	129.2	59.154	3.994	0.000	7,640.8	515.9	0.0
Bolts in bdp2	Sdww Panels M, AJ	FB1-FB2	1		59.154	3.994	0.000	0.0	0.0	0.0
Bottdown Plate bdp9	Sdww Panels M, AJ	FB1-FB2	1	75.3	59.154	3.990	0.000	4,457.1	300.6	0.0
Bolts in bdp9	Sdww Panels M, AJ	FB1-FB2	1		59.154	3.990	0.000	0.0	0.0	0.0
Plate p1	Sdww Panels M, AJ	FB1-FB2	1	607.1	59.154	4.278	0.000	35,910.5	2,597.0	0.0
Weld M Bars to Plate p1	Sdww Panels M, AJ	FB1-FB2	1		59.154	4.267	0.000	0.0	0.0	0.0
Trim Bar b1	Sdww Panels M, AJ	FB1-FB2	1	36.0	61.250	4.145	0.000	2,202.4	149.0	0.0
Trim Bar b3	Sdww Panels M, AJ	FB1-FB2	1	21.5	59.154	4.142	0.000	1,273.5	89.2	0.0
Trim Bar b23	Sdww Panels M, AJ	FB1-FB2	1	20.1	59.154	4.142	0.000	1,186.7	83.1	0.0
Weld at M Bars with Trim Bars	Sdww Panels M, AJ	FB1-FB2	1		59.154	4.142	0.000	0.0	0.0	0.0
Cut in Plate p1	Sdww Panels M, AJ	FB1-FB2	1	-1.7	61.000	4.278	0.000	-101.9	-7.1	0.0
M Bars	Sdww Panels N, AK	FB1-FB2	1	755.1	55.104	4.132	0.000	41,609.6	3,120.1	0.0
C Bars	Sdww Panels N, AK	FB1-FB2	1	53.6	55.104	4.242	0.000	2,954.2	227.4	0.0
Bottdown Plates bdp36, bdp37	Sdww Panels N, AK	FB1-FB2	1	36.3	55.104	3.994	0.000	1,998.1	144.8	0.0
Bolts in bdp36, bdp37	Sdww Panels N, AK	FB1-FB2	1		55.104	3.994	0.000	0.0	0.0	0.0
Bottdown Plate bdp94	Sdww Panels N, AK	FB1-FB2	1	75.9	55.104	3.994	0.000	4,184.0	303.3	0.0
Bolts in bdp2	Sdww Panels N, AK	FB1-FB2	1		55.104	3.984	0.000	0.0	0.0	0.0
Bottdown Plate bdp9	Sdww Panels N, AK	FB1-FB2	1	75.3	55.104	3.980	0.000	4,152.0	299.9	0.0
Bolts in bdp9	Sdww Panels N, AK	FB1-FB2	1		55.104	3.980	0.000	0.0	0.0	0.0
Plate pk	Sdww Panels N, AK	FB1-FB2	1	607.1	55.104	4.268	0.000	33,451.9	2,591.0	0.0
Weld M Bars to Plate pk	Sdww Panels N, AK	FB1-FB2	1		55.104	4.257	0.000	0.0	0.0	0.0
Trim Bar b8	Sdww Panels N, AK	FB1-FB2	1	21.5	55.104	4.132	0.000	1,186.3	89.0	0.0
Weld at M Bars with Trim Bars	Sdww Panels N, AK	FB1-FB2	1		55.104	4.132	0.000	0.0	0.0	0.0
Trim Bars b21, b22	Sdww Panels N, AK	FB1-FB2	1	21.0	55.104	4.132	0.000	1,155.3	86.6	0.0
Cut in Plate pk	Sdww Panels N, AK	FB1-FB2	1	-1.7	55.104	4.268	0.000	-92.1	-7.1	0.0
Cut in M Bars	Sdww Panels N, AK	FB1-FB2	1	-150.1	55.104	4.132	0.000	-8,272.4	-620.3	0.0
Cut in C Bars	Sdww Panels N, AK	FB1-FB2	1	-9.8	55.104	4.242	0.000	-541.8	-41.7	0.0
Cut in Plate pk	Sdww Panels N, AK	FB1-FB2	1	-113.2	55.104	4.268	0.000	-6,238.1	-483.2	0.0
M Bars	Sdww Panels P, AL	FB1-FB2	1	755.1	51.052	4.114	0.000	38,549.9	3,106.5	0.0
C Bars	Sdww Panels P, AL	FB1-FB2	1	53.6	51.052	4.224	0.000	2,737.0	226.5	0.0
Bottdown Plates bdp38, bdp39	Sdww Panels P, AL	FB1-FB2	1	41.7	51.052	4.216	0.000	2,127.9	175.7	0.0
Bolts in bdp38, bdp39	Sdww Panels P, AL	FB1-FB2	1		51.052	4.216	0.000	0.0	0.0	0.0
Bottdown Plate bdp95	Sdww Panels P, AL	FB1-FB2	1	86.7	51.052	4.216	0.000	4,428.3	365.7	0.0
Bolts in bdp95	Sdww Panels P, AL	FB1-FB2	1		51.052	4.216	0.000	0.0	0.0	0.0

Boltdown Plate bdp9	Sdwk Panels P, AL	FB1-FB2	1	75.3	51.052	3.962	0.000	3,846.7	298.5	0.0
Bolts in bdp9	Sdwk Panels P, AL	FB1-FB2	1		51.052	3.962	0.000	0.0	0.0	0.0
Plate pk	Sdwk Panels P, AL	FB1-FB2	1	607.1	51.052	4.250	0.000	30,992.0	2,580.0	0.0
Weld M Bars to Plate pk	Sdwk Panels P, AL	FB1-FB2	1		51.052	4.239	0.000	0.0	0.0	0.0
Trim Bar b8	Sdwk Panels P, AL	FB1-FB2	1	21.5	51.052	4.114	0.000	1,099.0	88.6	0.0
Weld at M Bars with Trim Bars	Sdwk Panels P, AL	FB1-FB2	1		51.052	4.114	0.000	0.0	0.0	0.0
Trim Bars b21, b22	Sdwk Panels P, AL	FB1-FB2	1	21.0	51.052	4.114	0.000	1,070.3	86.3	0.0
Cut in Plate pk	Sdwk Panels P, AL	FB1-FB2	1	-1.7	51.052	4.250	0.000	-85.3	-7.1	0.0
Cut in M Bars	Sdwk Panels P, AL	FB1-FB2	1	-116.8	51.052	4.114	0.000	-5,950.9	-480.4	0.0
Cut in C Bars	Sdwk Panels P, AL	FB1-FB2	1	-8.3	51.052	4.224	0.000	-423.7	-35.1	0.0
Cut in Plate pp	Sdwk Panels P, AL	FB1-FB2	1	-86.9	51.052	4.250	0.000	-4,434.9	-369.2	0.0
M Bars	Sdwk Panels C4, C10	FB1-FB2	1	755.1	47.000	4.089	0.000	35,490.2	3,087.6	0.0
C Bars	Sdwk Panels C4, C10	FB1-FB2	1	53.6	47.000	4.199	0.000	2,519.8	225.1	0.0
Boltdown Plates bdp32, bdp33	Sdwk Panels C4, C10	FB1-FB2	1	62.9	47.000	3.951	0.000	2,956.1	248.5	0.0
Bolts in bdp32, bdp33	Sdwk Panels C4, C10	FB1-FB2	1		47.000	3.951	0.000	0.0	0.0	0.0
Boltdown Plate bdp2	Sdwk Panels C4, C10	FB1-FB2	1	129.2	47.000	3.941	0.000	6,070.9	509.1	0.0
Bolts in bdp2	Sdwk Panels C4, C10	FB1-FB2	1		47.000	3.941	0.000	0.0	0.0	0.0
Boltdown Plate bdp9	Sdwk Panels C4, C10	FB1-FB2	1	75.3	47.000	3.937	0.000	3,541.4	296.6	0.0
Bolts in bdp9	Sdwk Panels C4, C10	FB1-FB2	1		47.000	3.937	0.000	0.0	0.0	0.0
Plate pk	Sdwk Panels C4, C10	FB1-FB2	1	607.1	47.000	4.225	0.000	28,532.2	2,564.9	0.0
Weld M Bars to Plate pk	Sdwk Panels C4, C10	FB1-FB2	1		47.000	4.214	0.000	0.0	0.0	0.0
Trim Bar b8	Sdwk Panels C4, C10	FB1-FB2	1	21.5	47.000	4.089	0.000	1,011.8	88.0	0.0
Weld at M Bars with Trim Bars	Sdwk Panels C4, C10	FB1-FB2	1		47.000	4.089	0.000	0.0	0.0	0.0
Trim Bars b21, b22	Sdwk Panels C4, C10	FB1-FB2	1	21.0	47.000	4.089	0.000	985.4	85.7	0.0
Angle 5x4x1/2	Hatch X	FB1-FB2	1	144.2	53.078	3.906	0.000	7,651.7	563.1	0.0
Angle 3x3	Hatch X	FB1-FB2	1	7.8	53.078	3.906	0.000	413.5	30.4	0.0
Bevelled Spacer, BS1	Hatch X	FB1-FB2	1	18.6	53.078	4.052	0.000	987.9	75.4	0.0
Spacer, SP1	Hatch X	FB1-FB2	1	13.5	53.078	4.052	0.000	717.9	54.8	0.0
Beam, W1	Hatch X	FB1-FB2	1	125.6	53.078	3.802	0.000	6,667.8	477.6	0.0
Bolts	Hatch X	FB1-FB2	1		53.078	4.052	0.000	0.0	0.0	0.0
Bilco Door	Hatch X	FB1-FB2	1	462.0	53.078	4.177	0.000	24,522.0	1,929.8	0.0
10" Roadway Grid/Stub	At FB1	FB1-FB2	1	-1,125.5	60.688	3.249	0.000	-68,304.3	-3,656.7	0.0
10" Stringer Stubs	At FB1	FB1-FB2	1	-262.5	60.583	2.228	0.000	-15,903.0	-584.9	0.0
Cope in Top Flange	At FB1	FB1-FB2	1	-72.3	60.583	3.005	0.000	-4,380.7	-217.3	0.0
Cope in Web	At FB1	FB1-FB2	1	-29.8	60.583	2.841	0.000	-1,803.7	-84.6	0.0
10" Roadway Grid/Stub	At FB2	FB1-FB2	1	-900.4	44.583	3.171	0.000	-40,142.5	-2,855.2	0.0
10" Stringer Stubs	At FB2	FB1-FB2	1	-262.5	44.583	2.150	0.000	-11,703.0	-564.4	0.0
Cope in Top Flange	At FB2	FB1-FB2	1	-72.3	44.583	3.005	0.000	-3,223.8	-217.3	0.0
Cope in Web	At FB2	FB1-FB2	1	-29.8	44.583	2.841	0.000	-1,327.4	-84.6	0.0
Subtotal	Bay 4 Steel (FB1 to FB2)			33,824.8	49.757	3.087	0.000	1,683,020.4	104,405.6	0.0

1.1.2 Bay 5 Steel (FB2 to FB3)

Sidewalk Support Plate	Girders	FB2-FB3	1	171.8	35.751	3.847	0.000	6,143.3	661.1	0.0
Bevelled Fill Plate	Girders	FB2-FB3	1	204.1	35.751	3.893	0.000	7,295.2	794.4	0.0
5/16" Weld	Girders	FB2-FB3	1		35.751	3.847	0.000	0.0	0.0	0.0
Sidewalk Stringers	Stringers	FB2-FB3	1	1,038.5	35.751	3.267	0.000	37,127.4	3,392.8	0.0
7/16" Shims	Stringers	FB2-FB3	1	29.0	35.751	2.767	0.000	1,037.9	80.3	0.0

Bolts in Bottom Flange	Stringers	FB2-FB3	1		35.751	2.767	0.000	0.0	0.0	0.0
Roadway Stringers W/18 B 35	Stringers	FB2-FB3	1	7,035.0	35.751	2.126	0.800	251,508.3	14,956.4	5,628.0
Cope in Top Flange	Stringers	FB2-FB3	1	-86.8	35.751	2.858	0.800	-3,102.2	-248.0	-69.4
Cope in Web	Stringers	FB2-FB3	1	-35.7	35.571	2.695	0.800	-1,270.9	-96.3	-28.6
3 X 3 Connection Angles	Stringers	FB2-FB3	1	451.2	35.571	2.043	0.800	16,049.6	921.8	361.0
7/8" Bolts	Stringers	FB2-FB3	1		35.571	2.043	0.800	0.0	0.0	0.0
Roadway Stringers W/18 B 35	Stringers (R & S)	FB2-FB3	1	586.3	35.751	2.126	0.000	20,959.0	1,246.4	0.0
Cope in Top Flange	Stringers (R & S)	FB2-FB3	1	-7.2	35.751	2.858	0.000	-258.5	-20.7	0.0
Cope in Web	Stringers (R & S)	FB2-FB3	1	-3.0	35.571	2.695	0.000	-105.9	-8.0	0.0
3 X 3 Connection Angles	Stringers (R & S)	FB2-FB3	1	37.6	35.571	2.043	0.000	1,337.5	76.8	0.0
7/8" Bolts	Stringers (R & S)	FB2-FB3	1		35.571	2.043	0.000	0.0	0.0	0.0
Roadway Stringers S3, S8, S13	Stringers To Remain	FB2-FB3	1		35.751	2.126	0.000	0.0	0.0	0.0
Cope in Top Flange	Stringers To Remain	FB2-FB3	1		35.751	2.858	0.000	0.0	0.0	0.0
Cope in Web	Stringers To Remain	FB2-FB3	1		35.571	2.695	0.000	0.0	0.0	0.0
3 X 3 Connection Angles	Stringers To Remain	FB2-FB3	1		35.571	2.043	0.000	0.0	0.0	0.0
7/8" Bolts	Stringers To Remain	FB2-FB3	1		35.571	2.043	0.000	0.0	0.0	0.0
Channel 10 C 25	Sidewalk Channels	FB2-FB3	1	841.7	35.751	3.293	0.000	30,089.8	2,771.6	0.0
7/8" Bolts	Sidewalk Channels	FB2-FB3	1		35.751	2.876	0.000	0.0	0.0	0.0
Bracing Type 1 (Under Sidewalk)	Lateral Bracing	FB2-FB3	0	430.2	35.751	1.276	0.000	0.0	0.0	0.0
Bracing Type 2 (Stringer S3-S, S11-13)	Lateral Bracing	FB2-FB3	0	360.8	35.751	1.276	0.000	0.0	0.0	0.0
Bracing Type 3 (Stringer S5-S, S8-11)	Lateral Bracing	FB2-FB3	0	464.3	35.751	1.276	0.000	0.0	0.0	0.0
Gusset Plate at Main Girders	Type 1 Bracing	FB2-FB3	0	76.6	35.751	1.361	0.000	0.0	0.0	0.0
4 x 4 x 1/2 Angle	Type 1 Bracing	FB2-FB3	0	38.4	35.751	1.276	0.000	0.0	0.0	0.0
Bolts in Angle	Type 1 Bracing	FB2-FB3	0		35.751	1.276	0.000	0.0	0.0	0.0
Plate 9 x 4 x 1/2	Type 1 Bracing	FB2-FB3	0	20.4	35.751	1.276	0.000	0.0	0.0	0.0
Bolts in Plate	Type 1 Bracing	FB2-FB3	0		35.751	1.276	0.000	0.0	0.0	0.0
Bolts in Bracing Type 1	Type 1 Bracing	FB2-FB3	0		35.751	1.361	0.000	0.0	0.0	0.0
Gusset Plate Interior (@S3, S8, S13)	Type 1 & 2 Bracing	FB2-FB3	0	229.7	35.751	1.361	0.000	0.0	0.0	0.0
Bolts in Gusset Plate	Type 1 & 2 Bracing	FB2-FB3	0		35.751	1.361	0.000	0.0	0.0	0.0
Gusset Plate at FB1, FB2 (@S5, S11)	Type 3 Bracing	FB2-FB3	0	153.1	35.751	1.361	0.000	0.0	0.0	0.0
4 x 4 Support Angles	Type 3 Bracing	FB2-FB3	0	76.8	35.751	1.276	0.000	0.0	0.0	0.0
Bolts in Gusset Plate	Type 3 Bracing	FB2-FB3	0		35.751	1.361	0.000	0.0	0.0	0.0
Main Bars	Roadway Grid	FB2-FB3	1	7,934.3	35.751	3.058	0.000	283,658.6	24,263.0	0.0
Cross Bars	Roadway Grid	FB2-FB3	1	5,502.4	35.751	3.210	0.000	196,714.9	17,662.6	0.0
Supplemental Bars	Roadway Grid	FB2-FB3	1	1,168.7	35.751	3.251	0.000	41,780.4	3,799.3	0.0
Diagonal Bars	Roadway Grid	FB2-FB3	1	3,203.9	35.751	3.251	0.000	114,541.0	10,415.7	0.0
Edge Bars at Curbs	Roadway Grid	FB2-FB3	1	60.7	35.751	3.210	0.000	2,170.7	194.9	0.0
Opening in Main Bars at Cross Bars	Roadway Grid	FB2-FB3	1	-305.1	35.751	3.210	0.000	-10,907.2	-979.3	0.0
Opening in Cross Bars at Main Bars	Roadway Grid	FB2-FB3	1	-152.5	35.751	3.251	0.000	-5,453.6	-495.9	0.0
Opening in Cross Bars at Supp Bars	Roadway Grid	FB2-FB3	1	-73.5	35.751	3.251	0.000	-2,629.4	-239.1	0.0
Welding	Roadway Grid	FB2-FB3	1		35.751	3.210	0.000	0.0	0.0	0.0
M Bars	Sdsk Panels H, AE	FB2-FB3	1	755.1	42.948	4.055	0.000	32,430.5	3,062.0	0.0
C Bars	Sdsk Panels H, AE	FB2-FB3	1	53.6	42.948	4.165	0.000	2,302.5	223.3	0.0
Boltdown Plates bdp32, bdp33	Sdsk Panels H, AE	FB2-FB3	1	62.9	42.948	3.917	0.000	2,701.3	246.4	0.0
Bolts in bdp32, bdp33	Sdsk Panels H, AE	FB2-FB3	1		42.948	3.917	0.000	0.0	0.0	0.0
Boltdown Plate bdp2	Sdsk Panels H, AE	FB2-FB3	1	129.2	42.948	3.907	0.000	5,547.5	504.7	0.0
Bolts in bdp2	Sdsk Panels H, AE	FB2-FB3	1		42.948	3.907	0.000	0.0	0.0	0.0

Boltdown Plate bdp9	Sdww Panels H, AE	FB2-FB3	1	75.3	42.948	3.903	0.000	3,236.0	294.1	0.0
Bolts in bdp9	Sdww Panels H, AE	FB2-FB3	1		42.948	3.903	0.000	0.0	0.0	0.0
Plate pk	Sdww Panels H, AE	FB2-FB3	1	607.1	42.948	4.191	0.000	26,072.4	2,544.2	0.0
Weld M Bars to Plate pk	Sdww Panels H, AE	FB2-FB3	1		42.948	4.180	0.000	0.0	0.0	0.0
Trim Bar b8	Sdww Panels H, AE	FB2-FB3	1	21.5	42.948	4.055	0.000	924.6	87.3	0.0
Weld at M Bars with Trim Bars	Sdww Panels H, AE	FB2-FB3	1		42.948	4.055	0.000	0.0	0.0	0.0
Trim Bars b21, b22	Sdww Panels H, AE	FB2-FB3	1	21.0	42.948	4.055	0.000	900.4	85.0	0.0
Cut in Plate pk	Sdww Panels H, AE	FB2-FB3	1	-1.7	42.948	4.191	0.000	-71.8	-7.0	0.0
M Bars	Sdww Panels G, AD	FB2-FB3	1	755.1	38.896	4.014	0.000	29,370.8	3,031.0	0.0
C Bars	Sdww Panels G, AD	FB2-FB3	1	53.6	38.896	4.124	0.000	2,085.3	221.1	0.0
Boltdown Plates bdp32, bdp33	Sdww Panels G, AD	FB2-FB3	1	62.9	38.896	3.876	0.000	2,446.4	243.8	0.0
Bolts in bdp32, bdp33	Sdww Panels G, AD	FB2-FB3	1		38.896	3.876	0.000	0.0	0.0	0.0
Boltdown Plate bdp2	Sdww Panels G, AD	FB2-FB3	1	129.2	38.896	3.866	0.000	5,024.1	499.4	0.0
Bolts in bdp2	Sdww Panels G, AD	FB2-FB3	1		38.896	3.866	0.000	0.0	0.0	0.0
Boltdown Plate bdp9	Sdww Panels G, AD	FB2-FB3	1	75.3	38.896	3.862	0.000	2,930.7	291.0	0.0
Bolts in bdp9	Sdww Panels G, AD	FB2-FB3	1		38.896	3.862	0.000	0.0	0.0	0.0
Plate pk	Sdww Panels G, AD	FB2-FB3	1	607.1	38.896	4.150	0.000	23,612.5	2,519.3	0.0
Weld M Bars to Plate pk	Sdww Panels G, AD	FB2-FB3	1		38.896	4.139	0.000	0.0	0.0	0.0
Trim Bar b8	Sdww Panels G, AD	FB2-FB3	1	21.5	38.896	4.014	0.000	837.4	86.4	0.0
Weld at M Bars with Trim Bars	Sdww Panels G, AD	FB2-FB3	1		38.896	4.014	0.000	0.0	0.0	0.0
Trim Bars b21, b22	Sdww Panels G, AD	FB2-FB3	1	21.0	38.896	4.014	0.000	815.5	84.2	0.0
Cut in Plate pk	Sdww Panels G, AD	FB2-FB3	1	-1.7	38.896	4.150	0.000	-65.0	-6.9	0.0
M Bars	Sdww Panels F, AC	FB2-FB3	1	755.1	34.844	3.964	0.000	26,311.1	2,993.3	0.0
C Bars	Sdww Panels F, AC	FB2-FB3	1	53.6	34.844	4.074	0.000	1,868.1	218.4	0.0
Boltdown Plates bdp32, bdp33	Sdww Panels F, AC	FB2-FB3	1	62.9	34.844	3.826	0.000	2,191.5	240.6	0.0
Bolts in bdp32, bdp33	Sdww Panels F, AC	FB2-FB3	1		34.844	3.826	0.000	0.0	0.0	0.0
Boltdown Plate bdp2	Sdww Panels F, AC	FB2-FB3	1	129.2	34.844	3.816	0.000	4,500.7	492.9	0.0
Bolts in bdp2	Sdww Panels F, AC	FB2-FB3	1		34.844	3.816	0.000	0.0	0.0	0.0
Boltdown Plate bdp9	Sdww Panels F, AC	FB2-FB3	1	75.3	34.844	3.812	0.000	2,625.4	287.2	0.0
Bolts in bdp9	Sdww Panels F, AC	FB2-FB3	1		34.844	3.812	0.000	0.0	0.0	0.0
Plate pk	Sdww Panels F, AC	FB2-FB3	1	607.1	34.844	4.100	0.000	21,152.7	2,489.0	0.0
Weld M Bars to Plate pk	Sdww Panels F, AC	FB2-FB3	1		34.844	4.089	0.000	0.0	0.0	0.0
Trim Bar b8	Sdww Panels F, AC	FB2-FB3	1	21.5	34.844	3.964	0.000	750.1	85.3	0.0
Weld at M Bars with Trim Bars	Sdww Panels F, AC	FB2-FB3	1		34.844	3.964	0.000	0.0	0.0	0.0
Trim Bars b21, b22	Sdww Panels F, AC	FB2-FB3	1	21.0	34.844	3.964	0.000	730.5	83.1	0.0
Cut in Plate pk	Sdww Panels F, AC	FB2-FB3	1	-1.7	34.844	4.100	0.000	-58.2	-6.9	0.0
M Bars	Sdww Panels C2, C8	FB2-FB3	1	755.1	30.792	3.907	0.000	23,251.3	2,950.2	0.0
C Bars	Sdww Panels C2, C8	FB2-FB3	1	53.6	30.792	4.017	0.000	1,650.8	215.4	0.0
Boltdown Plates bdp32, bdp33	Sdww Panels C2, C8	FB2-FB3	1	62.9	30.792	3.769	0.000	1,936.7	237.1	0.0
Bolts in bdp32, bdp33	Sdww Panels C2, C8	FB2-FB3	1		30.792	3.769	0.000	0.0	0.0	0.0
Boltdown Plate bdp2	Sdww Panels C2, C8	FB2-FB3	1	129.2	30.792	3.759	0.000	3,977.3	485.5	0.0
Bolts in bdp2	Sdww Panels C2, C8	FB2-FB3	1		30.792	3.759	0.000	0.0	0.0	0.0
Boltdown Plate bdp9	Sdww Panels C2, C8	FB2-FB3	1	75.3	30.792	3.755	0.000	2,320.1	282.9	0.0
Bolts in bdp9	Sdww Panels C2, C8	FB2-FB3	1		30.792	3.755	0.000	0.0	0.0	0.0
Plate pk	Sdww Panels C2, C8	FB2-FB3	1	607.1	30.792	4.043	0.000	18,692.8	2,454.4	0.0
Weld M Bars to Plate pk	Sdww Panels C2, C8	FB2-FB3	1		30.792	4.032	0.000	0.0	0.0	0.0
Trim Bar b8	Sdww Panels C2, C8	FB2-FB3	1	21.5	30.792	3.907	0.000	662.9	84.1	0.0

Weld at M Bars with Trim Bars	Sdwk Panels C2, C8	FB2-FB3	1		30.792	3.907	0.000	0.0	0.0	0.0
Trim Bars b21, b22	Sdwk Panels C2, C8	FB2-FB3	1	21.0	30.792	3.907	0.000	645.6	81.9	0.0
10" Roadway Grid Stub	At FB2	FB2-FB3	1	-900.4	43.749	3.171	0.000	-39,391.6	-2,855.2	0.0
10" Stringer Stubs	At FB2	FB2-FB3	1	-262.5	43.749	2.150	0.000	-11,484.1	-564.4	0.0
Cope In Top Flange	At FB2	FB2-FB3	1	-72.3	43.749	2.858	0.000	-3,163.4	-206.7	0.0
Cope In Web	At FB2	FB2-FB3	1	-29.8	43.749	2.695	0.000	-1,302.5	-80.2	0.0
10" Roadway Grid Stub	At FB3	FB2-FB3	1	-900.4	27.750	2.956	0.000	-24,986.1	-2,661.6	0.0
10" Stringer Stubs	At FB3	FB2-FB3	1	-262.5	27.750	2.956	0.000	-7,284.4	-776.0	0.0
Cope In Top Flange	At FB3	FB2-FB3	1	-72.3	27.750	2.858	0.000	-2,006.6	-206.7	0.0
Cope In Web	At FB3	FB2-FB3	1	-29.7	27.750	2.695	0.000	-825.1	-80.1	0.0
Subtotal	Bay 5 Steel (FB2 to FB3)			33,819.3	34.021	2.939	0.174	1,150,552.6	99,402.6	5,891.0

1.1.3 Main Girder Top Flange Plates										
Steel Plates			1		-11.420	6.830	0.000	0.0	0.0	0.0
Subtotal	Main Girder Top Flange Plates			0.0	0.000	0.000	0.000	0.0	0.0	0.0

1.1.4 Bay 6 Steel (FB3 to FB4)										
Sidewalk Support Plate	Girders	FB3-FB4	1	171.8	18.917	3.501	0.000	3,250.6	601.6	0.0
Bevelled Fill Plate	Girders	FB3-FB4	1	204.1	18.917	3.546	0.000	3,860.1	723.6	0.0
5/16" Weld	Girders	FB3-FB4	1		18.917	3.501	0.000	0.0	0.0	0.0
9/16" Stiffeners at FB4	Girders	FB4	1	244.0	10.500	3.040	0.000	2,562.5	741.9	0.0
Sidewalk Stringers	Stringers	FB3-FB4	1	1,038.5	18.917	2.983	0.000	19,645.3	3,097.8	0.0
7/16" Shims	Stringers	FB3-FB4	1	29.0	18.917	2.483	0.000	549.2	72.1	0.0
Bolts in Bottom Flange	Stringers	FB3-FB4	1		18.917	2.483	0.000	0.0	0.0	0.0
Roadway Stringers W/ 18 B 35	Stringers	FB3-FB4	1	2,931.3	18.917	1.842	-7.200	55,450.5	5,399.4	-21,105.0
Cope In Top Flange	Stringers	FB3-FB4	1	-36.2	18.917	2.574	-7.200	-683.9	-93.1	260.3
Cope In Web	Stringers	FB3-FB4	1	-14.9	18.917	2.411	-7.200	-281.6	-35.9	107.2
3 X 3 Connection Angles	Stringers	FB3-FB4	1	188.0	18.917	1.759	-7.200	3,556.4	330.7	-1,353.6
7/8" Bolts	Stringers	FB3-FB4	1		18.917	1.759	-7.200	0.0	0.0	0.0
Roadway Stringers W/ 18 B 35	Stringers (R&S)	FB3-FB4	1	4,690.0	18.917	1.842	4.500	88,720.7	8,639.0	21,105.0
Cope In Top Flange	Stringers (R&S)	FB3-FB4	1	-57.8	18.917	2.574	4.500	-1,094.3	-148.9	-260.3
Cope In Web	Stringers (R&S)	FB3-FB4	1	-23.8	18.917	2.411	4.500	-450.6	-57.4	-107.2
3 X 3 Connection Angles	Stringers (R&S)	FB3-FB4	1	300.8	18.917	1.759	4.500	5,690.2	529.1	1,353.6
7/8" Bolts	Stringers (R&S)	FB3-FB4	1		18.917	1.759	4.500	0.0	0.0	0.0
Channel 10 C 25	Sidewalk Channels	FB3-FB4	1	841.7	18.917	3.009	0.000	15,921.5	2,532.5	0.0
7/8" Bolts	Sidewalk Channels	FB3-FB4	1		18.917	2.592	0.000	0.0	0.0	0.0
Bracing Type 1 (Under Sidewalk)	Lateral Bracing	FB3-FB4	1	430.2	18.917	0.992	0.000	8,138.1	426.8	0.0
Bracing Type 2 (Stringer S3-S5, S11-S13)	Lateral Bracing	FB3-FB4	1	360.8	18.917	0.992	0.000	6,825.4	357.9	0.0
Bracing Type 3 (Stringer S5-S8, S8-11)	Lateral Bracing	FB3-FB4	1	464.3	18.917	0.992	0.000	8,783.9	460.6	0.0
Gusset Plate at Main Girders	Type 1 Bracing	FB3-FB4	1	76.6	18.917	1.077	0.000	1,448.3	82.5	0.0
4 x 4 x 1/2 Angle	Type 1 Bracing	FB3-FB4	1	38.4	18.917	0.992	0.000	726.4	38.1	0.0
Bolts in Angle	Type 1 Bracing	FB3-FB4	1		18.917	0.992	0.000	0.0	0.0	0.0
Plate 9 x 4 x 1/2	Type 1 Bracing	FB3-FB4	1	20.4	18.917	0.992	0.000	386.2	20.3	0.0
Bolts in Plate	Type 1 Bracing	FB3-FB4	1		18.917	0.992	0.000	0.0	0.0	0.0
Bolts in Bracing Type 1	Type 1 Bracing	FB3-FB4	1	45.4	18.917	1.077	0.000	858.1	48.9	0.0
Gusset Plate-Interior (@S3, S8, S13)	Type 1 & 2 Bracing	FB3-FB4	1	229.7	18.917	1.077	0.000	4,345.0	247.4	0.0
Bolts in Gusset Plate	Type 1 & 2 Bracing	FB3-FB4	1		18.917	1.077	0.000	0.0	0.0	0.0

Gusset Plate at FB1, FB2 (@S5, S11)	Type 3 Bracing	FB3-FB4	1	153.1	18.917	1.077	0.000	2,896.7	164.9	0.0
4 x 4 Support Angles	Type 3 Bracing	FB3-FB4	1	76.8	18.917	0.992	0.000	1,452.8	76.2	0.0
Bolts in Gusset Plate	Type 3 Bracing	FB3-FB4	1		18.917	1.077	0.000	0.0	0.0	0.0
Main Bars	Roadway Grid	FB3-FB4	1	7,934.3	18.917	2.774	0.000	150,092.9	22,009.7	0.0
Cross Bars	Roadway Grid	FB3-FB4	1	5,556.9	18.917	2.926	0.000	105,120.8	16,259.6	0.0
Supplemental Bars	Roadway Grid	FB3-FB4	1	1,168.7	18.917	2.967	0.000	22,107.4	3,467.4	0.0
Diagonal Bars	Roadway Grid	FB3-FB4	1	3,203.9	18.917	2.967	0.000	60,607.3	9,505.8	0.0
Edge Bars at Curbs	Roadway Grid	FB3-FB4	1	61.3	18.917	2.926	0.000	1,160.0	179.4	0.0
Opening in Main Bars at Cross Bars	Roadway Grid	FB3-FB4	1	-305.1	18.917	2.926	0.000	-5,771.3	-892.7	0.0
Opening in Cross Bars at Main Bars	Roadway Grid	FB3-FB4	1	-152.5	18.917	2.967	0.000	-2,885.7	-452.6	0.0
Opening in Cross Bars at Supp Bars	Roadway Grid	FB3-FB4	1	-73.5	18.917	2.967	0.000	-1,391.3	-218.2	0.0
Welding	Roadway Grid	FB3-FB4	1		18.917	2.926	0.000	0.0	0.0	0.0
M Bars	Sdwk Panels E, AB	FB3-FB4	1	755.1	26.740	3.841	0.000	20,191.6	2,900.4	0.0
C Bars	Sdwk Panels E, AB	FB3-FB4	1	53.6	26.740	3.951	0.000	1,433.6	211.8	0.0
Bolt-down Plates, bdp32, bdp33	Sdwk Panels E, AB	FB3-FB4	1	62.9	26.740	3.703	0.000	1,681.8	232.9	0.0
Bolts in bdp32, bdp33	Sdwk Panels E, AB	FB3-FB4	1		26.740	3.703	0.000	0.0	0.0	0.0
Bolt-down Plate bdp2	Sdwk Panels E, AB	FB3-FB4	1	129.2	26.740	3.693	0.000	3,454.0	477.0	0.0
Bolts in bdp2	Sdwk Panels E, AB	FB3-FB4	1		26.740	3.693	0.000	0.0	0.0	0.0
Bolt-down Plate bdp9	Sdwk Panels E, AB	FB3-FB4	1	75.3	26.740	3.689	0.000	2,014.8	278.0	0.0
Bolts in bdp9	Sdwk Panels E, AB	FB3-FB4	1		26.740	3.689	0.000	0.0	0.0	0.0
Plate pk	Sdwk Panels E, AB	FB3-FB4	1	607.1	26.740	3.977	0.000	16,233.0	2,414.3	0.0
Weld M Bars to Plate pk	Sdwk Panels E, AB	FB3-FB4	1		26.740	3.966	0.000	0.0	0.0	0.0
Trim Bar b8	Sdwk Panels E, AB	FB3-FB4	1	21.5	26.740	3.841	0.000	575.7	82.7	0.0
Weld at M Bars with Trim Bars	Sdwk Panels E, AB	FB3-FB4	1		26.740	3.841	0.000	0.0	0.0	0.0
Trim Bars b21, b22	Sdwk Panels E, AB	FB3-FB4	1	21.0	26.740	3.841	0.000	560.6	80.5	0.0
Cut in Plate pk	Sdwk Panels E, AB	FB3-FB4	1	-1.7	26.740	3.977	0.000	-44.7	-6.6	0.0
M Bars	Sdwk Panels D, AA	FB3-FB4	1	755.1	22.688	3.767	0.000	17,131.9	2,844.5	0.0
C Bars	Sdwk Panels D, AA	FB3-FB4	1	53.6	22.688	3.877	0.000	1,216.3	207.9	0.0
Bolt-down Plates, bdp32, bdp33	Sdwk Panels D, AA	FB3-FB4	1	62.9	22.688	3.629	0.000	1,427.0	228.2	0.0
Bolts in bdp32, bdp33	Sdwk Panels D, AA	FB3-FB4	1		22.688	3.829	0.000	0.0	0.0	0.0
Bolt-down Plate bdp2	Sdwk Panels D, AA	FB3-FB4	1	129.2	22.688	3.619	0.000	2,930.6	467.5	0.0
Bolts in bdp2	Sdwk Panels D, AA	FB3-FB4	1		22.688	3.619	0.000	0.0	0.0	0.0
Bolt-down Plate bdp9	Sdwk Panels D, AA	FB3-FB4	1	75.3	22.688	3.615	0.000	1,709.5	272.4	0.0
Bolts in bdp9	Sdwk Panels D, AA	FB3-FB4	1		22.688	3.615	0.000	0.0	0.0	0.0
Plate pk	Sdwk Panels D, AA	FB3-FB4	1	607.1	22.688	3.903	0.000	13,773.2	2,369.4	0.0
Weld M Bars to Plate pk	Sdwk Panels D, AA	FB3-FB4	1		22.688	3.892	0.000	0.0	0.0	0.0
Trim Bar b8	Sdwk Panels D, AA	FB3-FB4	1	21.5	22.688	3.767	0.000	488.4	81.1	0.0
Weld at M Bars with Trim Bars	Sdwk Panels D, AA	FB3-FB4	1		22.688	3.767	0.000	0.0	0.0	0.0
Trim Bars b21, b22	Sdwk Panels D, AA	FB3-FB4	1	21.0	22.688	3.767	0.000	475.7	79.0	0.0
Cut in Plate pk	Sdwk Panels D, AA	FB3-FB4	1	-1.7	22.688	3.903	0.000	-37.9	-6.5	0.0
M Bars	Sdwk Panels C1, C7	FB3-FB4	1	755.1	18.636	3.686	0.000	14,072.2	2,783.3	0.0
C Bars	Sdwk Panels C1, C7	FB3-FB4	1	53.6	18.636	3.796	0.000	999.1	203.5	0.0
Bolt-down Plates, bdp32, bdp33	Sdwk Panels C1, C7	FB3-FB4	1	62.9	18.636	3.548	0.000	1,172.1	223.2	0.0
Bolts in bdp32, bdp33	Sdwk Panels C1, C7	FB3-FB4	1		18.636	3.548	0.000	0.0	0.0	0.0
Bolt-down Plate bdp2	Sdwk Panels C1, C7	FB3-FB4	1	129.2	18.636	3.538	0.000	2,407.2	457.0	0.0
Bolts in bdp2	Sdwk Panels C1, C7	FB3-FB4	1		18.636	3.538	0.000	0.0	0.0	0.0
Bolt-down Plate bdp9	Sdwk Panels C1, C7	FB3-FB4	1	75.3	18.636	3.534	0.000	1,404.2	266.3	0.0

Bolts in bdp9	Sdwk Panels C1, C7	FB3-FB4	1		18.636	3.534	0.000	0.0	0.0	0.0
Plate pk	Sdwk Panels C1, C7	FB3-FB4	1	607.1	18.636	3.822	0.000	11,313.3	2,320.2	0.0
Weld M Bars to Plate pk	Sdwk Panels C1, C7	FB3-FB4	1		18.636	3.811	0.000	0.0	0.0	0.0
Trim Bar b8	Sdwk Panels C1, C7	FB3-FB4	1	21.5	18.636	3.686	0.000	401.2	79.4	0.0
Weld at M Bars with Trim Bars	Sdwk Panels C1, C7	FB3-FB4	1		18.636	3.686	0.000	0.0	0.0	0.0
Trim Bars b21, b22	Sdwk Panels C1, C7	FB3-FB4	1	21.0	18.636	3.686	0.000	390.7	77.3	0.0
M Bars	Sdwk Panels B, Z	FB3-FB4	1	755.1	14.584	3.596	0.000	11,012.5	2,715.4	0.0
C Bars	Sdwk Panels B, Z	FB3-FB4	1	53.6	14.584	3.706	0.000	781.9	198.7	0.0
Boltdown Plates, bdp32, bdp33	Sdwk Panels B, Z	FB3-FB4	1	62.9	14.584	3.458	0.000	917.3	217.5	0.0
Bolts in bdp32, bdp33	Sdwk Panels B, Z	FB3-FB4	1		14.584	3.458	0.000	0.0	0.0	0.0
Boltdown Plate bdp2	Sdwk Panels B, Z	FB3-FB4	1	129.2	14.584	3.448	0.000	1,883.8	445.4	0.0
Bolts in bdp2	Sdwk Panels B, Z	FB3-FB4	1		14.584	3.448	0.000	0.0	0.0	0.0
Boltdown Plate bdp9	Sdwk Panels B, Z	FB3-FB4	1	75.3	14.584	3.444	0.000	1,098.9	259.5	0.0
Bolts in bdp9	Sdwk Panels B, Z	FB3-FB4	1		14.584	3.444	0.000	0.0	0.0	0.0
Plate pk	Sdwk Panels B, Z	FB3-FB4	1	607.1	14.584	3.732	0.000	8,853.5	2,265.6	0.0
Weld M Bars to Plate pk	Sdwk Panels B, Z	FB3-FB4	1		14.584	3.721	0.000	0.0	0.0	0.0
Trim Bar b8	Sdwk Panels B, Z	FB3-FB4	1	21.5	14.584	3.596	0.000	314.0	77.4	0.0
Weld at M Bars with Trim Bars	Sdwk Panels B, Z	FB3-FB4	1		14.584	3.596	0.000	0.0	0.0	0.0
Trim Bars b21, b22	Sdwk Panels B, Z	FB3-FB4	1	21.0	14.584	3.596	0.000	305.8	75.4	0.0
Cut in Plate pk	Sdwk Panels B, Z	FB3-FB4	1	-1.7	14.584	3.732	0.000	-24.4	-6.2	0.0
M Bars	Sdwk Panels A, Y	FB3-FB4	1	503.4	11.196	3.516	0.000	5,636.1	1,770.0	0.0
C Bars	Sdwk Panels A, Y	FB3-FB4	1	35.3	11.196	3.626	0.000	395.5	128.1	0.0
Boltdown Plates, bdp92, bdp17	Sdwk Panels A, Y	FB3-FB4	1	41.4	11.196	3.368	0.000	463.8	139.5	0.0
Bolts in bdp92, bdp17	Sdwk Panels A, Y	FB3-FB4	1		11.196	3.368	0.000	0.0	0.0	0.0
Boltdown Plate bdp1	Sdwk Panels A, Y	FB3-FB4	1	86.2	11.196	3.368	0.000	965.3	290.4	0.0
Bolts in bdp1	Sdwk Panels A, Y	FB3-FB4	1		11.196	3.368	0.000	0.0	0.0	0.0
Boltdown Plate bdp7	Sdwk Panels A, Y	FB3-FB4	1	50.3	11.196	3.364	0.000	563.1	169.2	0.0
Bolts in bdp7	Sdwk Panels A, Y	FB3-FB4	1		11.196	3.364	0.000	0.0	0.0	0.0
Plate pa	Sdwk Panels A, Y	FB3-FB4	1	408.4	11.196	3.652	0.000	4,572.4	1,491.5	0.0
Weld M Bars to Plate pa	Sdwk Panels A, Y	FB3-FB4	1		11.196	3.641	0.000	0.0	0.0	0.0
Trim Bar b1	Sdwk Panels A, Y	FB3-FB4	1	38.0	9.834	3.483	0.000	353.6	125.2	0.0
Trim Bars b21, b22	Sdwk Panels A, Y	FB3-FB4	1	13.8	11.196	3.516	0.000	154.6	48.5	0.0
Weld at M Bars with Trim Bars	Sdwk Panels A, Y	FB3-FB4	1		11.196	3.516	0.000	0.0	0.0	0.0
Trim Bars b4	Sdwk Panels A, Y	FB3-FB4	1	14.4	11.196	3.516	0.000	160.9	50.5	0.0
Cut in Plate pa	Sdwk Panels A, Y	FB3-FB4	1	-1.7	11.196	3.652	0.000	-18.7	-6.1	0.0
10" Roadway Grid Stub	At FB3	FB3-FB4	1	-900.4	26.916	2.956	0.000	-24,235.2	-2,661.6	0.0
10" Stringer Stub	At FB3	FB3-FB4	1	-262.5	26.916	1.935	0.000	-7,065.5	-507.9	0.0
Cope in Top Flange	At FB3	FB3-FB4	1	-72.3	26.916	2.574	0.000	-1,946.3	-186.1	0.0
Cope in Web	At FB3	FB3-FB4	1	-29.7	26.916	2.411	0.000	-800.3	-71.7	0.0
Subtotal	Bay 6 Steel (FB3 to FB4)			36,616.4	18.661	2.752	0.000	683,315.2	100,766.8	0.0

1.1.5 Floor Beam FB-4E

Floorbeam 38WF300	FB4	1	18,387.5	10.500	0.739	0.000	193,068.8	13,588.4	0.0
Cut in Flange at Girder Connection	FB4	1	-317.4	10.500	0.739	0.000	-3,332.4	-234.5	0.0
Top Cover Plate	FB4	1	5,145.0	10.500	2.342	0.000	54,022.5	12,049.6	0.0
Bottom Cover Plate	FB4	1	4,501.9	10.500	-0.719	0.000	47,269.7	-3,236.8	0.0
Subtotal	Floor Beam FB-4E		27,717.0	10.500	0.800	0.000	291,028.6	22,166.6	0.0

SUBTOTAL		BASCULE STEEL		131,977.5	28.853	2.476	0.045	3,807,916.7	326,741.6	5,891.0
1.2 SPAN LOCKS										
		1.2.1 Span Lock Machinery								
	Operator with Lock Bar	Girder		59.313	2.978	0.000	0.0	0.0	0.0	0.0
	Guide Support Plate	Girder		61.667	2.978	0.000	0.0	0.0	0.0	0.0
	Bolts in Support Plate	Girder		61.667	2.978	0.000	0.0	0.0	0.0	0.0
	1/2" Shims	Girder		61.667	2.978	0.000	0.0	0.0	0.0	0.0
	1/2" Backer Plate	Girder		61.667	2.978	0.000	0.0	0.0	0.0	0.0
	Vertical Plate	Girder		61.667	2.978	0.000	0.0	0.0	0.0	0.0
	Stiffeners	Girder		61.667	2.978	0.000	0.0	0.0	0.0	0.0
	Guide Shoe	Girder		61.667	2.978	0.000	0.0	0.0	0.0	0.0
	Receiver Support Plate	Roadway Center Line		61.667	1.790	-0.750	0.0	0.0	0.0	0.0
	Bolts in Support Plate	Roadway Center Line		61.667	1.790	-0.750	0.0	0.0	0.0	0.0
	1/2" Shims	Roadway Center Line		61.667	1.790	-0.750	0.0	0.0	0.0	0.0
	Vertical Plate	Roadway Center Line		61.667	1.790	-0.750	0.0	0.0	0.0	0.0
	Stiffeners	Roadway Center Line		61.667	1.790	-0.750	0.0	0.0	0.0	0.0
	Guide Shoe	Roadway Center Line		61.667	1.790	-0.750	0.0	0.0	0.0	0.0
Subtotal	Span Lock Machinery			0.0	0.000	0.000	0.000	0.0	0.0	0.0
		1.2.2 Platform								
	Short Angle	Girder	1	67.6	52.000	0.096	0.000	3,516.2	6.5	0.0
	Bolts in Angle	Girder	1	6.5	52.000	0.096	0.000	337.0	0.6	0.0
	Long Angle	Girder	1	189.6	56.210	0.096	0.000	10,660.0	18.2	0.0
	Bolts in Angle	Girder	1	3.2	56.210	0.096	0.000	182.1	0.3	0.0
	Angle Posts	Girder	1	177.6	56.210	1.463	0.000	9,983.5	259.8	0.0
	Bolts in Angle	Girder	1	13.0	56.210	1.463	0.000	728.5	19.0	0.0
	Angle Handrail	Girder	1	189.6	56.210	2.829	0.000	10,660.0	536.5	0.0
	Weld for Handrail	Girder	1	4.8	56.210	2.989	0.000	269.2	14.3	0.0
	Angle Bracket	Girder	1	66.2	52.000	2.596	0.000	3,441.1	171.8	0.0
	Bolts in Angle	Girder	1	6.5	52.000	2.596	0.000	337.0	16.8	0.0
	Steel Grating	Girder	1	1,076.2	56.210	0.096	0.000	60,493.9	103.3	0.0
	Connection Plates for Angle Brackets	Girder	1	46.4	52.000	2.596	0.000	2,412.6	120.4	0.0
Subtotal	Platform			1,847.3	55.770	0.686	0.000	103,021.1	1,267.6	0.0
SUBTOTAL	SPAN LOCKS			1,847.3	55.770	0.686	0.000	103,021.1	1,267.6	0.0
SUBTOTAL	1 REMOVALS			133,824.7	29.224	2.451	0.044	3,910,937.9	328,009.2	5,891.0

Steel Removal - Item 589.01

Approach Spans (Both sides included in calculations as they were the same)

Stringer Removals	Type	#	Width (in)	Thickness (in)	Length (ft)	Unit Wt (pcf)	Wt (lbs)
Roadway Stringers	14WF68	18.00	---	---	26.00	68.00	31,824.00
							Σ 31,824.00

Diaphragm Removals	Type	#	Width (in)	Thickness (in)	Length (ft)	Unit Wt (pcf)	Wt (lbs)
Rear Diaphragms	10C30	16.00	---	---	6.50	30.00	3,120.00
Intermediate Diaphragms	12B16.5	16.00	---	---	6.50	16.50	1,716.00
Front Diaphragms	10WF33	16.00	---	---	6.50	33.00	3,432.00
							Σ 8,268.00

CWT Maintenance Platform Removal	Type	#	Width (in)	Thickness (in)	Length (ft)	Unit Wt (pcf)	Wt (lbs)
Outside Stringers	8C13.5	4.00	---	---	19.00	13.50	1,026.00
Center Stringer	8B18.4	2.00	---	---	19.00	18.40	699.20
Bottom Transverse Beams	8WF17	6.00	---	---	5.50	17.00	561.00
Tall Post	L3x3x3/8	6.00	---	---	12.00	7.20	518.40
Short Post	L3x3x3/8	8.00	---	---	6.50	7.20	374.40
Hand Railing	6x3 1/2x5/16	4.00	---	---	19.00	9.80	744.80
Bottom Diagonal	L3x3x3/8	4.00	---	---	8.52	7.20	245.38
Top Diagonal	L3x3x3/8	4.00	---	---	8.84	7.20	254.59
							Σ 4,423.77

Approach Hatch Framing Removal	Type	#	Width (in)	Thickness (in)	Length (ft)	Unit Wt (pcf)	Wt (lbs)
Outer Longitudinal Frame	10C15.3	2.00	---	---	2.67	15.30	81.60
Inner Longitudinal Frame	9C15	4.00	---	---	2.67	15.00	160.00
Transverse Frame	10C15.3	4.00	---	---	2.50	15.30	153.00
							Σ 394.60

Total Sum: 44,910.4

EXHIBIT F

From: Roseman, Kevin <kmr5@westchestergov.com>

Sent: Friday, May 25, 2018 8:40 AM

To: Maffei, Raymond Jeff <jmaffei@eecruz.com>; Nick Rahaniotis <nrahaniotis@verdeelectric.com>; Dupuy, Karl <KDupuy@eecruz.com>

Cc: Fatigate, Michael <MFatigate@eecruz.com>; 'Michael Sweeney' <msweeney@verdeelectric.com>; 'Khaled Hajjeh' <Khaled.Hajjeh@hdrinc.com>; 'JohnPaul Cunningham' <JohnPaul.cunningham@hdrinc.com>; Statini, James <jmsc@westchestergov.com>

Subject: FAB - Fulton Ave Bridge - 5/25/18 Successful Milestone Testing

After a bumpy start last night we achieved the Milestone of operating the bridge under normal conditions on the main motor from the operators house. We went 10 out of 10 in half the allotted time.

Next week (Tuesday - Thursday Nights), Jim's staff will do training with the goal of the County assuming operations by Friday June 1. We will allow traffic in between openings to create more realistic training.

This does not constitute final acceptance testing or assumption of maintenance. Operators will not have bypass keys and if there are any issues they will call EEC or Verde for help.

Prior to Tuesday night we request operation of the control house internal 3-way light dimmer, connection of the CCTV, marine radio and if Verizon shows telephone. The issue with the hot box leak also needs to be taken care with the water turned back on.

Thank you and congratulations to everyone involved.

Kevin

----- Original Message -----

From: "Maffei, Raymond Jeff"

Date: Thu, May 24, 2018 2:44 PM -0400

To: Nick Rahaniotis , "Dupuy, Karl"

CC: "Fatigate, Michael" , 'Michael Sweeney' , "Roseman, Kevin" , 'Khaled Hajjeh' , 'JohnPaul Cunningham'

Subject: RE: Verde 5935 - Fulton Ave Bridge - 5/23/18 Successful Main Bridge Operation

Nick

On behalf of EEC great news and we all look forward to a successful operation tonight.

Tonight please update us on the following:

1. Marine Radio
2. Horn, We do know it works
3. Fire Alarm-----proper phone #
4. CCTV
5. Police Phone #

Thanks Jeff

From: Nick Rahaniotis [<mailto:nrahaniotis@verdeelectric.com>]

Sent: Thursday, May 24, 2018 2:40 PM

To: Dupuy, Karl

Cc: Maffei, Raymond Jeff ; Fatigate, Michael ; 'Michael Sweeney' ; kmr5@westchestergov.com; 'Khaled Hajjeh' ; 'JohnPaul Cunningham'

Subject: Verde 5935 - Fulton Ave Bridge - 5/23/18 Successful Main Bridge Operation

All,

As you all may have heard already, after last night's testing procedures the bridge is in full main operation with all interlocks working. All limit switches are set/adjusted to proper settings (cams will be adjusted to final 70 degree settings when steel interference is resolved). To address the issue of brakes, there are/were NO issues with brakes locking/binding. All the brakes were inspected and cleaned of any debris. The covers remain off for anyone to inspect during tonight's demonstrations and will be put on after. The issue was with VFD parameter settings and relay timing. The brakes now smoothly engaged and disengage. We preliminarily ran the full sequence successfully 9 consecutive times with no faults. If anyone has any questions before tonight's shift please let me know. Thank you.

Nicholas Rahaniotis



89 Edison Ave

Mt. Vernon, N.Y 10550

Phone: (914) 664-7000

Cell: (914) 512-4097

www.verdeelectric.com

~~~ FLATIRON CONFIDENTIALITY STATEMENT ~~~ The information in this email is confidential and may be legally privileged. It is intended solely for the addressee. Access to this email by anyone else is unauthorized. If you receive this email in error, please reply to notify me immediately. Thank you.



RESOLUTION - 2021

TO THE COUNTY BOARD OF LEGISLATORS  
OF THE COUNTY OF WESTCHESTER, NEW YORK

**WHEREAS**, the County Executive having on the 10<sup>th</sup> day of November, 2020, recommended the appointment of James Young of White Plains, New York, as a member of the Westchester County LGBTQ Advisory Board, for a term to commence on November 10, 2020, and expire on December 31, 2023, in accordance with the terms and provisions of the Westchester County Charter, as amended, and subject to the confirmation of this Board; and

**WHEREAS**, the Committee on Appointments, having on the 9<sup>th</sup> day of March, 2021, approved the appointment of James Young as a member of the Westchester County LGBTQ Advisory Board; NOW THEREFORE BE IT

**RESOLVED**, that said appointment be and is hereby approved.

Dated: March 9, 2021  
White Plains, New York

A handwritten signature in blue ink, appearing to be 'J. U. B.', is written over the text of the resolution.


COMMITTEE ON APPOINTMENTS

Dated: March 9, 2021  
White Plains, New York

***The following members attended the meeting remotely, as per Governor Cuomo's Executive Order 202.1 and approved this item out of Committee with an affirmative vote. Their electronic signature was authorized and is below.***

Committee(s) on:

**APPOINTMENTS**

Handwritten signature of Manaf C. Bass in cursive script.

RESOLUTION - 2021

TO THE COUNTY BOARD OF LEGISLATORS  
OF THE COUNTY OF WESTCHESTER, NEW YORK

**WHEREAS**, the County Executive having on the 13<sup>th</sup> day of November, 2020, recommended the appointment of James F. Stenerson, Ph.D., of Yonkers, New York, as a member of the Westchester County LGBTQ Advisory Board, for a term to commence on November 13, 2020, and expire on December 31, 2024, in accordance with the terms and provisions of the Westchester County Charter, as amended, and subject to the confirmation of this Board; and

**WHEREAS**, the Committee on Appointments, having on the 16<sup>th</sup> day of March, 2021, approved the appointment of James F. Stenerson, Ph.D., to the Westchester County LGBTQ Advisory Board; NOW THEREFORE BE IT

**RESOLVED**, that said appointment be and is hereby approved.

Dated: March 16, 2021  
White Plains, New York



Benjamin Boynton

COMMITTEE ON APPOINTMENTS

Dated: March 16, 2021  
White Plains, New York

*The following members attended the meeting remotely, as per Governor Cuomo's Executive Order 202.1 and approved this item out of Committee with an affirmative vote. Their electronic signature was authorized and is below.*

Committee(s) on:

APPOINTMENTS

Manoel Pava  
Catherine By  
[Signature]  
Mary Jane Shively

RESOLUTION - 2021

TO THE COUNTY BOARD OF LEGISLATORS  
OF THE COUNTY OF WESTCHESTER, NEW YORK

**WHEREAS**, the County Executive having on the 1<sup>st</sup> day of January, 2021, recommended the appointment of Dr. Renée M. Howell of Tuckahoe, New York, as a member of the Westchester County Laboratories and Research Board of Managers, for a term to begin on January 1, 2021 and expire on December 31, 2025, in accordance with the terms and provisions of the Westchester County Charter, as amended, and subject to the confirmation of this Board; and

**WHEREAS**, the Committee on Appointments, having on the 9<sup>th</sup> day of March, 2021, approved the appointment of Dr. Renée M. Howell as a member of the Westchester County Laboratories and Research Board of Managers; NOW THEREFORE BE IT

**RESOLVED**, that said appointment be and is hereby approved.

Dated: March 9, 2021  
White Plains, New York

A handwritten signature in blue ink, appearing to be 'J. H. B.', is written over the text of the resolution.

COMMITTEE ON APPOINTMENTS

Dated: March 9, 2021  
White Plains, New York

***The following members attended the meeting remotely, as per Governor Cuomo's Executive Order 202.1 and approved this item out of Committee with an affirmative vote. Their electronic signature was authorized and is below.***

Committee(s) on:

**APPOINTMENTS**

The image shows two handwritten signatures. The top signature is in cursive and reads "Manaf C. Bass". Below it is a second, more stylized signature that appears to be "Tony" followed by a large flourish.

RESOLUTION - 2021

TO THE COUNTY BOARD OF LEGISLATORS  
OF THE COUNTY OF WESTCHESTER, NEW YORK

**WHEREAS**, the County Executive having on the 1<sup>st</sup> day of January, 2021, recommended the appointment of Dr. Lori Morton of Chappaqua, New York, as a member of the Westchester County Laboratories and Research Board of Managers, for a term to begin on January 1, 2021 and expire on December 31, 2025, in accordance with the terms and provisions of the Westchester County Charter, as amended, and subject to the confirmation of this Board; and

**WHEREAS**, the Committee on Appointments, having on the 9<sup>th</sup> day of March, 2021, approved the appointment of Dr. Lori Morton as a member of the Westchester County Laboratories and Research Board of Managers;  
NOW THEREFORE BE IT

**RESOLVED**, that said appointment be and is hereby approved.

Dated: March 9, 2021  
White Plains, New York

A handwritten signature in blue ink, appearing to be 'J. H. C.', is written over the printed name of the Committee on Appointments.

COMMITTEE ON APPOINTMENTS

Dated: March 9, 2021  
White Plains, New York

***The following members attended the meeting remotely, as per Governor Cuomo's Executive Order 202.1 and approved this item out of Committee with an affirmative vote. Their electronic signature was authorized and is below.***

Committee(s) on:

**APPOINTMENTS**

The image shows two handwritten signatures. The top signature is written in cursive and reads "Manaf C. Bass". Below it is a second, more stylized signature that appears to be "Tony" followed by a large, sweeping flourish.



RESOLUTION - 2021

TO THE COUNTY BOARD OF LEGISLATORS  
OF THE COUNTY OF WESTCHESTER, NEW YORK

**WHEREAS**, the County Executive having on the 13<sup>th</sup> day of January, 2021, recommended the appointment of Ke-Myra V.L. McCann of Mount Vernon, New York, as a member of the Westchester County African American Advisory Board, for a term to commence on January 13, 2021 and expire on December 31, 2022, in accordance with the terms and provisions of the Westchester County Charter, as amended, and subject to the confirmation of this Board; and

**WHEREAS**, the Committee on Appointments, having on the 9<sup>th</sup> day of March, 2021 approved the appointment of Ke-Myra V.L. McCann as a member of the Westchester County African American Advisory Board; NOW THEREFORE BE IT

**RESOLVED**, that said appointment be and is hereby approved.

Dated: March 9, 2021  
White Plains, New York

A handwritten signature in blue ink, appearing to be 'J. H. C.', is written over the text of the resolution.

COMMITTEE ON APPOINTMENTS

Dated: March 9, 2021  
White Plains, New York

***The following members attended the meeting remotely, as per Governor Cuomo's Executive Order 202.1 and approved this item out of Committee with an affirmative vote. Their electronic signature was authorized and is below.***

Committee(s) on:

**APPOINTMENTS**

The image shows a handwritten signature in cursive script. The name "Manaf C. Bass" is clearly legible. Below the name, there is a large, stylized flourish or scribble that extends to the right.

RESOLUTION - 2021

TO THE COUNTY BOARD OF LEGISLATORS  
OF THE COUNTY OF WESTCHESTER, NEW YORK

**WHEREAS**, the County Executive having on the 6<sup>th</sup> day of January, 2021, recommended the appointment of Paul J. Goldfeder of Yonkers, New York, as a member of the Westchester County Fire Advisory Board, for a term to commence on January 6, 2021 and expire on December 31, 2023, in accordance with the terms and provisions of the Westchester County Charter, as amended, and subject to the confirmation of this Board; and

**WHEREAS**, the Committee on Appointments, having on the 9<sup>th</sup> day of March, 2021, approved the appointment of Paul J. Goldfeder as a member of the Westchester County Fire Advisory Board; NOW THEREFORE BE IT

**RESOLVED**, that said appointment be and is hereby approved.

Dated: March 9, 2021  
White Plains, New York

A handwritten signature in blue ink, appearing to be 'J. H. S.', is written over the text of the resolution.

COMMITTEE ON APPOINTMENTS

Dated: March 9, 2021  
White Plains, New York

***The following members attended the meeting remotely, as per Governor Cuomo's Executive Order 202.1 and approved this item out of Committee with an affirmative vote. Their electronic signature was authorized and is below.***

Committee(s) on:

**APPOINTMENTS**

The image shows two handwritten signatures. The top signature is written in cursive and reads "Manaf C. Bass". Below it is a second, more stylized signature that appears to be "Tony" followed by a large flourish.

RESOLUTION - 2021

TO THE COUNTY BOARD OF LEGISLATORS  
OF THE COUNTY OF WESTCHESTER, NEW YORK

**WHEREAS**, the County Executive having on the 6<sup>th</sup> day of January, 2021, recommended the appointment of Judith Watson of North White Plains, New York, as a member of the Westchester County Local Development Corporation, to serve at the pleasure of the County Executive for a term to commence January 6, 2021, in accordance with the terms and provisions of the Westchester County Charter, as amended, and subject to the confirmation of this Board; and

**WHEREAS**, the Committee on Appointments, having on the 16<sup>th</sup> day of March, 2021, approved the appointment of Judith Watson as a member of the Westchester County Local Development Corporation; NOW THEREFORE BE IT

**RESOLVED**, that said appointment be and is hereby approved.

Dated: March 16, 2021  
White Plains, New York



Benjamin Bayliss

COMMITTEE ON APPOINTMENTS

Dated: March 16, 2021  
White Plains, New York

*The following members attended the meeting remotely, as per Governor Cuomo's Executive Order 202.1 and approved this item out of Committee with an affirmative vote. Their electronic signature was authorized and is below.*

Committee(s) on:

APPOINTMENTS

Manoel Barr  
Catherine By  
[Signature]  
Marg Jane Skimich

RESOLUTION - 2021

TO THE COUNTY BOARD OF LEGISLATORS  
OF THE COUNTY OF WESTCHESTER, NEW YORK

**WHEREAS**, the County Executive having on the 8<sup>th</sup> day of January, 2021, recommended the appointment of Jeanhee Chung of Mamaroneck, New York, as a member of the Westchester County Asian American Advisory Board, for a term to commence on January 8, 2021 and expire on December 31, 2022, in accordance with the terms and provisions of the Westchester County Charter, as amended, and subject to the confirmation of this Board; and

**WHEREAS**, the Committee on Appointments, having on the 16<sup>th</sup> day of March, 2021, approved the appointment of Jeanhee Chung as a member of the Westchester County Asian American Advisory Board; NOW THEREFORE BE IT

**RESOLVED**, that said appointment be and is hereby approved.

Dated: March 16, 2021  
White Plains, New York

A handwritten signature in blue ink, which appears to read "Benjamin Boyfman". Above the signature are three distinct, stylized blue ink marks that look like initials or a set of initials.

COMMITTEE ON APPOINTMENTS

Dated: March 16, 2021  
White Plains, New York

*The following members attended the meeting remotely, as per Governor Cuomo's Executive Order 202.1 and approved this item out of Committee with an affirmative vote. Their electronic signature was authorized and is below.*

Committee(s) on:

APPOINTMENTS

Manapt. Parr  
Catherine By  
[Signature]  
Mary Jane Skimich



RESOLUTION - 2021

TO THE COUNTY BOARD OF LEGISLATORS  
OF THE COUNTY OF WESTCHESTER, NEW YORK

**WHEREAS**, the County Executive having on the 1<sup>st</sup> day of January, 2021, recommended the reappointment of Stuart Cotton of Purchase, New York, as a member of the Westchester County Board of Ethics for a term to commence on January 1, 2021 and expire on December 31, 2023, in accordance with the terms and provisions of the Westchester County Charter, as amended, and subject to the confirmation of this Board; and

**WHEREAS**, the Committee on Appointments, having on the 16<sup>th</sup> day of March, 2021, approved the reappointment of Stuart Cotton as a member of the Westchester County Board of Ethics; NOW THEREFORE BE IT

**RESOLVED**, that said reappointment be and is hereby approved.

Dated: March 16, 2021  
White Plains, New York

A handwritten signature in blue ink, appearing to read "Benjamin Boykin", is written over a faint, larger signature that also appears to be "Benjamin Boykin".

COMMITTEE ON APPOINTMENTS

Dated: March 16, 2021  
White Plains, New York

*The following members attended the meeting remotely, as per Governor Cuomo's Executive Order 202.1 and approved this item out of Committee with an affirmative vote. Their electronic signature was authorized and is below.*

Committee(s) on:

APPOINTMENTS

*Manoel Pava*

*Carlton By*

*Tony*

*Mary Jane Skimich*

RESOLUTION - 2021

TO THE COUNTY BOARD OF LEGISLATORS  
OF THE COUNTY OF WESTCHESTER, NEW YORK

**WHEREAS**, the County Executive having on the 1<sup>st</sup> day of January, 2021, recommended the reappointment of Rev. Doris Dalton of White Plains, New York, as a member of the Westchester County Human Rights Commission for a term to commence on January 1, 2021 and expire on December 31, 2023, in accordance with the terms and provisions of the Westchester County Charter, as amended, and subject to the confirmation of this Board; and

**WHEREAS**, the Committee on Appointments, having on the 16<sup>th</sup> day of March, 2021, approved the reappointment of Rev. Doris Dalton as a member of the Westchester County Human Rights Commission; NOW THEREFORE BE IT

**RESOLVED**, that said reappointment be and is hereby approved.

Dated: March 16, 2021  
White Plains, New York

A handwritten signature in blue ink, appearing to read "Benjamin Boyfman", is written over a printed name of the same. The signature is fluid and cursive.

COMMITTEE ON APPOINTMENTS

Dated: March 16, 2021  
White Plains, New York

*The following members attended the meeting remotely, as per Governor Cuomo's Executive Order 202.1 and approved this item out of Committee with an affirmative vote. Their electronic signature was authorized and is below.*

Committee(s) on:

**APPOINTMENTS**

*Manoel P. Barr*

*Catherine By*

*[Signature]*

*Mary Jane Skimsky*

**RESOLUTION NO. - 2021**

**CALLING ON NEW YORK STATE TO ESTABLISH A CHILD PROTECTIVE  
SERVICES CITIZEN REVIEW PANEL SUBCOMMITTEE FOR THE LOWER  
HUDSON VALLEY REGION**

**WHEREAS**, the Federal Child Abuse Prevention and Treatment Act of 1996 (hereafter “CAPTA”) and subsequent amendments require states to establish Citizen Review Panels (hereafter “CRPs”) made up of citizen volunteers to evaluate the extent that local agencies are effectively discharging their child protection responsibilities; and

**WHEREAS**, the federal statute requires that CRPs make evaluations by examining, and when necessary, investigating the policies, procedures, and practices of state and local agencies within their jurisdictions, maintain the strictest confidentiality about specific child protection cases, prepare and make available to the public an annual report containing a summary of their activities and recommendations, and provide for public outreach and comment to assess the impact of current procedures and practices upon children and families in the community; and

**WHEREAS**, CRPs are specifically tasked with evaluating the extent to which agencies are effectively discharging their child protection responsibilities in accordance with the State’s CAPTA Plan, child protection standards set forth New York State (hereafter “NYS”) Social Services Law, and any other criteria that the panel considers important to ensure the protection of children; and

**WHEREAS**, CRPs are further empowered to review the extent to which the NYS child protective services system is coordinated with the foster care and adoption programs established under part E of title IV of the Social Security Act, along with a review of child fatalities and near fatalities, and

**WHEREAS**, there are currently three panels in the New York State, each comprised of thirteen appointed members who broadly represent the community, including those who have expertise in the prevention and treatment of child abuse and neglect, with the Western Panel covering the seventeen counties in the western region of the state, the New York City Panel covering the five boroughs of New York City, and the Eastern Panel covering the remaining forty counties; and

**WHEREAS**, the state is mandated to support CRPs by providing access to information on cases, with staff assistance, respond to the panels’ annual report in writing within six months to the recommendations of the Panels, include a summary of the activities of the CRPs in their annual report to the federal government, and establish civil sanctions for a violation of confidentiality by a panel member; and

**WHEREAS**, one study estimated that at as many as one in three children living in the United States will go through at least one child abuse and maltreatment investigation during their lives, and in more than eighty percent of those cases, the investigation will conclude without a finding of child abuse or maltreatment, and

**WHEREAS**, Child Protective Services investigations are often lengthy, invasive affairs that can sometimes be maliciously used to inflict social and emotional harm, and even when reports turn out to be unsubstantiated, the process itself can cause lasting trauma to the family and permanently affect their relationships and standing in the community; and

**WHEREAS**, a disproportionate division of counties amongst the three CRPs has resulted in the Eastern Panel overseeing forty counties, and widespread over reporting of alleged incidents of child abuse, has caused a strain on resources for the region and the caseworkers tasked with investigating these reports; and

**WHEREAS**, the New York City Panel has a subcommittee dedicated to each of its boroughs to help residents address their concerns with child protection services, and generally serving to assist its parent's panel in fulfilling its mandate; and

**WHEREAS**, the establishment of a similar panel subcommittee for the Lower Hudson Valley Region comprised of Westchester, Rockland, Putnam, Sullivan, Ulster, Dutchess, Orange, Nassau, Suffolk Counties would likely help to eliminate many of the problems that families and employees involved with child protection services currently face; now therefore

**BE IT RESOLVED**, that the Westchester County Board of Legislators, hereby call on New York State to establish a Child Protective Services Citizen Review Panel subcommittee for the Lower Hudson Valley Region and any other appropriate region at the earliest practicable opportunity; and

**BE IT FURTHER RESOLVED**, that copies of this resolution be distributed to the Governor, Senator Stewart-Cousins, Leader of the New York State Senate, Assembly Member Carl Heastie, Speaker of the New York State Assembly, and the members of the Westchester State Delegation.

Dated: 3/9/2021

A handwritten signature in blue ink, appearing to read "Benjamin Boykin II". The signature is stylized with a large initial "B" and "B".

Dated: March 9, 2021  
White Plains, New York

***The following members attended the meeting remotely, as per Governor Cuomo's Executive Order 202.1 and approved this item out of Committee with an affirmative vote. Their electronic signature was authorized and is below.***

Committee(s) on:

**Social Services**

*Manoel P. Barr*

*Catherine Byrnes*

*John J. Hall*

*Mary Jane Skimich*

*Alfreda Willis*



BOARD OF LEGISLATORS  
COUNTY OF WESTCHESTER

Your Committee is in receipt of a proposed Act which, if enacted by your Board, would authorize the County of Westchester (the "County") to compromise its claim to be reimbursed for healthcare and wage benefits paid to or on behalf of a County employee, identified as "K.O." Consistent with prior practice in similar cases, the County Attorney has deleted the name of the employee to protect the individual's privacy. The name, of course, will be disclosed to the Board of Legislators if that is desired.

On June 11, 2019, K.O., an employee in the Westchester County Department of Parks, Recreation and Conservation (the "Department") fell down some steps at the Westchester Hills Golf Course located at 401 Ridgeway, White Plains, NY 10605. As a result of the accident, K.O. sustained injuries to her left hip and left leg and missed six weeks of work. She is currently back at work in full capacity.

K.O. filed a claim for her injury with the NYS Workers' Compensation Board, which was uncontroverted. On a parallel track to her Workers' Compensation claim, K.O. retained counsel in advance of commencing a personal injury action against Westchester Hills Golf Club, Inc. K.O.'s counsel is Kenneth A. Pryor, Esq. of THE PRYOR LAW FIRM, LLC, 666 Old County Road, Suite 305, Garden City, NY 11530.

On or about February 12, 2021, K.O. agreed in principle to settle her personal injury claim before litigation for one hundred twenty-five thousand and 00/100 dollars (\$125,000.00), pending the consent of the County. In connection with the proposed settlement, K.O.'s counsel notified this Office that he is waiving his costs and disbursements and that his legal fee totals forty-one thousand six hundred sixty-two and 50/100 dollars (\$41,662.50).



Between the date of the accident and the date of the proposed settlement, the County expended medical benefits pursuant to the NYS Workers' Compensation Law ("WCL") to or on K.O.'s behalf in the amount of fifteen thousand eight hundred twelve and 02/100 dollars (\$15,812.02) and indemnity (lost wage) benefits in the amount of sixteen thousand six hundred fifty-four and 68/100 dollars (\$16,654.68) for a total expenditure of thirty-two thousand four hundred sixty-six and 70/100 dollars (\$32,466.70).

Based upon a review of the facts and circumstances of this matter, this Office seeks the authority to compromise the County's claim for reimbursement by reducing its lien by 33.33%, equaling a dollar reduction of ten thousand eight hundred twenty-one and 15/100 dollars (\$10,821.15). The County would thereafter accept in satisfaction of its present lien a total of twenty-one thousand six hundred forty-five and 55/100 dollars (\$21,645.55). After the County is reimbursed and counsel fees are paid, K.O. would receive sixty-one thousand six hundred ninety-one and 95/100 (\$61,691.95).

*[Remainder of Page Intentionally Left Blank]*

Your Committee has carefully considered the matter and recommends authorizing the County Attorney, pursuant to Section 158.11 of the Westchester County Charter, to compromise the County's right to be reimbursed for health care and wage benefits paid to or on behalf of K.O. from the settlement of her legal action against a third-party tortfeasor. An affirmative vote of a majority of the Board is required to pass this legislation.

Dated: White Plains, New York  
March 15, 2021

Benjamin Boyfett

Benjamin Boyfett  
Catherine B.

Law & Major Contracts

Budget & Appropriations.

Dated: March 15, 2021  
White Plains, New York

*The following members attended the meeting remotely, as per Governor Cuomo's Executive Order 202.1 and approved this item out of Committee with an affirmative vote. Their electronic signature was authorized and is below.*

Committee(s) on:

**Law & Major Contracts**

Nancy E. Pan  
Mary Jane Skonieczny  
Colin J. Amato  
Vedat Galin  
Joe Plunk  
L. J. Carroll

**Budget & Appropriations**

Vedat Galin  
Margaret A. Curcio  
Nancy E. Pan  
Catherine F. Parker  
David A. Iuliano  
Alfred Willis  
Wasson R. Maher  
Christy  
Ruth Walker  
Ty H. [Signature]

ACT NO. 2021

AN ACT authorizing the County of Westchester to compromise its right to be reimbursed for health care and wage benefits paid to or on behalf of a County employee from a settlement of her legal action against a third-party tortfeasor.

BE IT ENACTED by the Board of Legislators of the County of Westchester as follows:

1. The County of Westchester is hereby authorized to compromise its right to be reimbursed for health care and wage benefits paid or owing to or on behalf of a County employee, identified as "K.O.", from a settlement of her legal action against a third party. The County's reimbursement is \$21,645.55, representing a 33.33% reduction of its lien, with full reservation of the County's right to set off K.O.'s net recovery against any future compensation in accordance with the provisions of New York State Workers' Compensation Law.
2. The County Attorney or his designee is hereby authorized to execute and deliver all documents and take such actions as the County Attorney deems necessary or desirable to accomplish the purpose hereof.
3. This Act shall take effect immediately.

# FISCAL IMPACT STATEMENT

SUBJECT: Settlement of Workers Comp Lien (K.O.)

☐ NO FISCAL IMPACT PROJECTED

## OPERATING BUDGET IMPACT

(To be completed by operating department and reviewed by Budget Department)

A) ☒ GENERAL FUND ☐ AIRPORT ☐ SPECIAL REVENUE FUND (Districts)

### B) EXPENSES AND REVENUES

Total Current Year Cost \$ 0

Total Current Year Revenue \$ 21,645.55

Source of Funds (check one): ☐ Current Appropriations

☐ Transfer of Existing Appropriations

☐ Additional Appropriations

☒ Other (explain)

Identify Accounts: 613-57-0019-9289

Potential Related Operating Budget Expenses:

Annual Amount \$ \_\_\_\_\_

Describe: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Potential Related Revenues: Annual Amount \$ \_\_\_\_\_

Describe: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Anticipated Savings to County and/or Impact on Department Operations:

Current Year: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Next Four years: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Prepared by: Sean T. Carey

Title: Sr. Assistant County Attorney

Department: Law

Reviewed By: 

Budget Department

3/5/21

If you need more space, please attach additional sheets.

BOARD OF LEGISLATORS  
COUNTY OF WESTCHESTER

Your Committee is in receipt of a transmittal from the County Attorney pursuant to Section 158.11(5) of the Westchester County Charter for the adoption of an Act which, if adopted by this Board, would authorize the Westchester County Attorney to settle the claim of the County of Westchester (the “County”) against Henningson, Durham & Richardson Architecture and Engineering, P.C. in association with HDR Engineering, Inc. (“HDR”) for defense and indemnification with respect to the claims of EE Cruz & Company, Inc. (“Cruz”) for additional compensation under Contract No. 11-503-REV, for the public improvement project known as the “Rehabilitation of the Fulton Avenue Bridge over Hutchinson River (BIN 3348220), City of Mount Vernon and Village of Pelham Manor, New York” (the “Project”).

By written agreement, dated May 14, 2010, and the amendments thereto, dated April 30, 2014 and July 13, 2017, respectively (collectively, the Agreement”), HDR agreed to provide the County with engineering design and construction administration services for the Project. Pursuant to Paragraph Eighth of the Agreement, HDR agreed to indemnify and hold harmless the County from and against any and all liability, damage, claims, demands, costs, judgments, fees, attorneys’ fees or loss arising directly or indirectly out of HDR’s performance or failure to perform under the Agreement.

On or about May 12, 2016, the County entered into Contract No. 11-503-REV with Cruz for the construction of the Project. On or about February 2, 2020, Cruz submitted to the Commissioner of the Department of Public Works and Transportation a verified statement in the form of a “Final Application for Payment,” asserting claims for additional compensation under Contract No. 11-503-REV, Contract Item Nos. 589.01, 800.23 and 800.34 (the “Cruz Claims”).

The Cruz Claims demand additional compensation in the total sum of \$1,744,719.00 and assert that said compensation stems from alleged design flaws and/or miscalculations in design documents prepared by HDR for the Project.

Your committee is informed that, by letter dated, September 25, 2020, the County, acting by and through the County Attorney's Office, demanded that HDR indemnify and hold harmless the County, pursuant to Paragraph Eighth of the Agreement, with respect to the Cruz Claims. In addition, in an effort to avoid the potential cost of litigation, negotiations between the Department of Law, the Department of Public Works and Transportation, and the principals of HDR have resulted in a proposed agreement (the "Settlement Agreement") to settle the County's claim for defense and indemnification with respect to the Cruz Claims, condition on your Honorable Board's approval. Pursuant to the proposed Settlement Agreement, HDR is to pay to the County the total sum of \$154,254.40 as contribution to a proposed \$850,000.00 settlement between the County and Cruz for the Cruz Claims, and the County and HDR will release each other with regard to the Cruz Claims.

Your Committee has come to the determination that entering into the proposed Settlement Agreement with HDR, without incurring the potential additional expense of further litigation, hearing, or adjudication of any issues of fact or law, is in the best interest of the County.

Your Committee recommends that this Board approve the accompanying Act authorizing the Westchester County Attorney to settle the County's claim for defense and indemnification against HDR associated with the Cruz Claims.

An affirmative vote of a majority of the Board is required to pass this legislation.

Dated: March 15, 2021

White Plains, New York

**COMMITTEE ON**

Benjamin Boynton

Alvin B.

Benjamin Boynton

**Budget & Appropriations**

**Law & Major Contracts**



Dated: March 15, 2021  
White Plains, New York

*The following members attended the meeting remotely, as per Governor Cuomo's Executive Order 202.1 and approved this item out of Committee with an affirmative vote. Their electronic signature was authorized and is below.*

Committee(s) on:

**Law & Major Contracts**

*Nancy E. Pan*  
*Mary Jane Skimich*  
*Colin J. Smith*  
*Vedat Galin*  
*Joe Almond*  
*Jeffery Correll*

**Budget & Appropriations**

*Vedat Galin*  
*Margaret A. Cunzio*  
*Nancy E. Pan*  
*Catherine F. Parker*  
*Doris A. Juriot*  
*Alfreda Wilkin*  
*Clasmon R. Maher*  
*Cheryl*  
*Ruth Walter*  
*Tyler*

ACT NO.: -2021

AN ACT authorizing the County Attorney to settle a claim on behalf of the County of Westchester against Henningson, Durham & Richardson Architecture and Engineering, P.C. in association with HDR Engineering, Inc., for defense and indemnification in connection with the claims of EE Cruz & Company, Inc. for additional compensation under Contract No. 11-503-REV for Rehabilitation of the Fulton Avenue Bridge over Hutchinson River (BIN 3348220), City of Mount Vernon and Village of Pelham Manor, New York.

BE IT ENACTED by the Board of Legislators of the County of Westchester as follows:

Section 1. The Westchester County Attorney is hereby authorized to settle the claim of Westchester County (the "County") for defense and indemnification against Henningson, Durham & Richardson Architecture and Engineering, P.C. in association with HDR Engineering, Inc. in connection with the claims of EE Cruz & Company, Inc. ("Cruz") for additional compensation under Contract No. 11-503-REV for Rehabilitation of the Fulton Avenue Bridge over Hutchinson River (BIN 3348220), City of Mount Vernon and Village of Pelham Manor, New York under Contract Item Nos. 589.01, 800.23 and 800.34 (the "Cruz Claims"). Pursuant to the proposed settlement with HDR, HDR is to pay the County the total sum of \$154,254.40 as contribution to a proposed \$850,000.00 settlement between the County and Cruz for the Cruz Claims, and the County and HDR will release each other with regard to the Cruz Claims.

§2. The County Attorney, or his designee, is hereby authorized to execute and deliver all documents and take such actions as the he deems necessary and desirable to accomplish the purposes hereof.

§3. This Act shall take effect immediately.

References BDA02  
 BIT4C  
 BIT6D  
 BIT47  
 BIT49  
 BIT54  
 BIT45  
 BIT40  
 T0045  
 BLR2E  
 B015E

**HONORABLE BOARD OF LEGISLATORS  
 THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmittal from the County Executive recommending approval of a bond act (the "Bond Act") in the total aggregate amount of \$10,425,000 to authorize the County of Westchester (the "County") to finance the following capital projects for 2021:

- BDA02 – Information Technology (2020-2024)
- BIT4C – Employee Productivity Systems (2018-2022)
- BIT6D – Purchase of Computer Equipment (2021-2025)
- BIT47 – Network and Security Infrastructure Upgrades (2020 – 2024)
- BIT49 – Police Record Management System Upgrade
- BIT54 – Critical Communication Logging System Replacement (2021-2025)
- BIT45 – Building Access Control and Video Surveillance Systems Upgrade
- BIT40 – Westchester County Basemap Update – Phase 2
- T0045 – Replace Bus Wash at the Cerrato Maintenance Facility
- BLR2E – Labs and Research Equipment Acquisition (2021-2025)
- B015E – Interior Infrastructure Repairs, White Plains Complex (2021-2025)

Your Committee is advised that the Bond Act, prepared by the law firm of Harris Beach, PLLC, would authorize the County to issue bonds in the total amount of \$10,425,000 to finance the cost of acquisition of equipment and furnishings for various departments in connection with the above-referenced capital projects.

The requesting Departments have advised that the anticipated cost estimates, estimated project timelines, and additional information including whether the design is anticipated to be undertaken in-house or by consultants for each capital project is set forth below:

| Cap ID | Project or Equipment                      | Anticipated Amount | Request | Design Assignment | Time Frame (Months) |                              |
|--------|-------------------------------------------|--------------------|---------|-------------------|---------------------|------------------------------|
|        |                                           |                    |         |                   | Design              | Const                        |
| BDA02  | Information Technology (2020-2024)        | \$710,000          |         |                   |                     | 4 <sup>th</sup> Quarter 2021 |
| BIT4C  | Employee Productivity Systems (2018-2022) | \$1,000,000        |         |                   |                     |                              |

|       |                                                                                                                                |             |             |       |                  |               |
|-------|--------------------------------------------------------------------------------------------------------------------------------|-------------|-------------|-------|------------------|---------------|
|       | (Software systems acquisition)                                                                                                 |             |             |       |                  |               |
| BIT6D | Purchase of Computer Equipment (2021-2025)<br><br>(Data storage equipment)                                                     | \$1,000,000 |             |       |                  |               |
| BIT47 | Network and Security Infrastructure Upgrades (2020 – 2024)<br><br>(MOB Data Center upgrades)                                   | \$750,000   |             |       |                  |               |
| BIT49 | Police Record Management System Upgrade                                                                                        | \$750,000   |             |       | 6-9 months       | RFP dependent |
| BIT54 | Critical Communication Logging System Replacement (2021-2025)                                                                  | \$250,000   |             |       |                  | 6+ months     |
| BIT45 | Building Access Control and Video Surveillance Systems Upgrade                                                                 | \$1,000,000 |             |       |                  | 6-24 months   |
| BIT40 | Westchester County Basemap Update – Phase 2                                                                                    | \$150,000   |             |       |                  | 6-18 months   |
| T0045 | Replace Bus Wash at the Cerrato Maintenance Facility                                                                           | \$2,700,000 | Design/CM/C | Cnslt | 9                | 12            |
| BLR2E | Environmental- High Pressure Liquid Chromatograph (HPLC)<br><br>(Replacement-used for analyzing drinking water)                | \$60,000    |             |       | July/August 2021 |               |
| BLR2E | Environmental-Gas Chromatograph/Mass Spectrophotometer<br><br>(Replacement-used for sewage treatment plant samples)            | \$125,000   |             |       | May 2021         |               |
| BLR2E | Environmental-Mercury Analyzer<br><br>(Replacement- used for analyzing Mercury in drinking water)                              | \$75,000    |             |       | July/August 2021 |               |
| BLR2E | Toxicology-Gas Chromatograph/ Mass Spectrophotometer<br><br>(Replacement-used for drug screening for DWI and Medical Examiner) | \$180,000   |             |       | June 2021        |               |
| BLR2E | Toxicology-Spectrophotometer<br>(Replacement-used for carbon monoxide testing in Medical Examiner cases)                       | \$50,000    |             |       | June 2021        |               |
| BLR2E | Forensics-Micro Ultra-Violet/Visible Spectrophotometer                                                                         | \$280,000   |             |       | June 2021        |               |

|       |                                                                                                                    |             |             |       |                |   |
|-------|--------------------------------------------------------------------------------------------------------------------|-------------|-------------|-------|----------------|---|
|       | (Replacement-used in comparisons for trace evidence)                                                               |             |             |       |                |   |
| BLR2E | Portable filtrated Hood<br><br>(Additional hood needed for large submission of dangerous Opioids such as Fentanyl) | \$70,000    |             |       | April/May 2021 |   |
| B015E | Interior Infrastructure Repairs, White Plains Complex (2021-2025)                                                  | \$1,275,000 | Design/CM/C | Cnslt | 3              | 6 |

**Total Request: \$10,425,000**

In addition, the Department of Public Works and Transportation has advised that the current bus wash was constructed as part of the original Cerrato Bus Garage in Valhalla which was built in 1994. The bus wash is 27 years old, and is beyond its useful life and requires frequent maintenance and repair. The Cerrato garage houses 86 buses all of which are washed every day. A new bus wash will be more energy efficient and would use less water.

The Department of Public Works and Transportation has advised that the proposed work replaces the existing courtroom doors which are original to the building. Due to HVAC issues within the space, the doors have warped and therefore no longer function correctly.

The Departments of Information Technology and Laboratories and Research have advised that the requested equipment and systems replacements are required as current equipment and systems have reached the end of their useful life.

Your Committee is advised that in a departure from the County's past practice, the County Budget Department is submitting this Bond Act to your Honorable Board simultaneously with the proposed 2021 Capital Budget. It is the County's goal to submit this Bond Act now so that the referenced projects will be ready to proceed without delay in early 2021. In addition, please note that the proposed Bond Act authorizes financing for like objects or purposes in connection with multiple capital projects. The attached Bond Act combines projects for the acquisition of equipment, IT systems, and furnishings into a single act as each would be assigned a period of probable usefulness of five years pursuant to New York State Local Finance Law. It is hoped that by combining projects that have the same objects and purposes into a single bond act, the County can streamline and reduce the cost of the debt authorization process, and dramatically reduce the amount of bond legislation that your Honorable Board would be required to review, thereby expediting the approval process and avoiding backlogs.

The Planning Department has advised your Committee that based on its review, the above-referenced capital projects have been classified as a Type "II" action pursuant to the State Environmental Quality Review Act ("SEQR") and its implementing regulations, 6 NYCRR Part 617. Therefore, no further environmental review is required. Your Committee has reviewed the annexed SEQR documentation and concurs with this conclusion.

It should be noted that an affirmative vote of two-thirds of the members of your Honorable Board is required in order to adopt the Bond Act. Your Committee recommends the adoption of the proposed Bond Act.

Dated: March 22, 2021  
White Plains, New York

*Benjamin Boylston*

*Benjamin Boylston*  
*Adrian A.*

*Public Works & Transportation* | *Budget & Appropriations*

COMMITTEE ON

Dated: March 22, 2021  
White Plains, New York

*The following members attended the meeting remotely, as per Governor Cuomo's Executive Order 202.1 and approved this item out of Committee with an affirmative vote. Their electronic signature was authorized and is below.*

Committee(s) on:

**Budget & Appropriations**

*Nancy E. Dunn*  
*Debra J. Galt*  
*Margaret A. Cunzio*  
*Klaus R. Maher*  
*Catherine F. Parker*  
*Alfreda Willis*  
*Doris A. Jablonski*  
*Ruth Walker*  
*Cheryl*

**Public Works & Transportation**

*Debra J. Galt*  
*Mary Jane Skomsky*  
*Cheryl*  
*Catherine F. Parker*  
*Doris A. Jablonski*  
*Ruth Walker*  
*Cheryl*



# FISCAL IMPACT STATEMENT

PROJECT CLASS: Equip. & Furnishings - LFL 89

☐ NO FISCAL IMPACT PROJECTED

## SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

☒ GENERAL FUND

☐ AIRPORT FUND

☐ SPECIAL DISTRICTS FUND

Source of County Funds (check one):

☒ Current Appropriations

☐ Capital Budget Amendment

Capital IDs: BDA02; BIT4C, 6D, 47, 49, 54, 45, 40; T0045; BLR2E; B015E

## SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 10,425,000 PPU 5 Anticipated Interest Rate 0.27%

Anticipated Annual Cost (Principal and Interest): \$ 2,101,751

Total Debt Service (Annual Cost x Term): \$ 10,508,754

Finance Department: Interest from Bond Buyer 2/17/21 - maab

## SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): \$ -

Potential Related Revenues (Annual): \$ -

Anticipated savings to County and/or impact of department operations  
(describe in detail for current and next four years):

## SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded: 113

## SECTION E - EXPECTED DESIGN WORK PROVIDER

☒ County Staff

☒ Consultant

☐ Not Applicable

Prepared by: Robert Abbamont

Title: Program Coord (Capital Planning)

Department: Public Works / Transportation

Date: 2/18/21

Reviewed By:




Deputy Budget Director

Date:

2/18/21

TO: Michelle Greenbaum, Assistant County Attorney  
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM   
Director of Environmental Planning

DATE: October 7, 2020

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:  
BDA02 INFORMATION TECHNOLOGY (2020-2024)**

---

**PROJECT/ACTION:** Per Capital Project Fact Sheet as approved by the Planning Department on 09-22-2020 (Unique ID: 1498)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(31):** purchase or sale of furnishings, equipment or supplies, including surplus government property, other than the following: land, radioactive material, pesticides, herbicides, or other hazardous materials.


---

**COMMENTS:** None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff  
Paula Friedman, Assistant to the County Executive  
Tami Altschiller, Assistant Chief Deputy County Attorney  
Gideon Grande, Deputy Budget Director  
Lorraine Yazzetta, Associate Budget Director  
Anthony Zaino, Assistant Commissioner  
William Brady, Chief Planner  
Michael Lipkin, Associate Planner  
Claudia Maxwell, Associate Environmental Planner

TO: Michelle Greenbaum, Assistant County Attorney  
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM   
Director of Environmental Planning

DATE: October 8, 2020

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:  
BIT4C EMPLOYEE PRODUCTIVITY SYSTEMS 2018-2022**

---

**PROJECT/ACTION:** Per Capital Project Fact Sheet as approved by the Planning Department on  
09-22-2020 (Unique ID: 1510)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(31):** purchase or sale of furnishings, equipment or supplies, including surplus government property, other than the following: land, radioactive material, pesticides, herbicides, or other hazardous materials.


---

**COMMENTS:** None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff  
Paula Friedman, Assistant to the County Executive  
Tami Altschiller, Assistant Chief Deputy County Attorney  
Gideon Grande, Deputy Budget Director  
Lorraine Yazzetta, Associate Budget Director  
Anthony Zaino, Assistant Commissioner  
William Brady, Chief Planner  
Michael Lipkin, Associate Planner  
Claudia Maxwell, Associate Environmental Planner

TO: Michelle Greenbaum, Assistant County Attorney  
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM   
Director of Environmental Planning

DATE: October 8, 2020

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:  
BIT6D PURCHASE OF COMPUTER EQUIPMENT 2021-2025**

---

**PROJECT/ACTION:** Per Capital Project Fact Sheet as approved by the Planning Department on  
09-22-2020 (Unique ID: 1511)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(31):** purchase or sale of furnishings, equipment or supplies, including surplus government property, other than the following: land, radioactive material, pesticides, herbicides, or other hazardous materials.


---

**COMMENTS:** None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff  
Paula Friedman, Assistant to the County Executive  
Tami Altschiller, Assistant Chief Deputy County Attorney  
Gideon Grande, Deputy Budget Director  
Lorraine Yazzetta, Associate Budget Director  
Anthony Zaino, Assistant Commissioner  
William Brady, Chief Planner  
Michael Lipkin, Associate Planner  
Claudia Maxwell, Associate Environmental Planner

TO: Michelle Greenbaum, Assistant County Attorney  
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM   
Director of Environmental Planning

DATE: October 8, 2020

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:  
BIT47 Network and Security Infrastructure Upgrades 2020-2024**

---

**PROJECT/ACTION:** Per Capital Project Fact Sheet as approved by the Planning Department on  
09-22-2020 (Unique ID: 1513)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(31):** purchase or sale of furnishings, equipment or supplies, including surplus government property, other than the following: land, radioactive material, pesticides, herbicides, or other hazardous materials.


---

**COMMENTS:** None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff  
Paula Friedman, Assistant to the County Executive  
Tami Altschiller, Assistant Chief Deputy County Attorney  
Gideon Grande, Deputy Budget Director  
Lorraine Yazzetta, Associate Budget Director  
Anthony Zaino, Assistant Commissioner  
William Brady, Chief Planner  
Michael Lipkin, Associate Planner  
Claudia Maxwell, Associate Environmental Planner

TO: Michelle Greenbaum, Assistant County Attorney  
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM   
Director of Environmental Planning

DATE: October 8, 2020

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:  
BIT49 POLICE RECORD MANAGEMENT SYSTEM UPGRADE**

---

**PROJECT/ACTION:** Per Capital Project Fact Sheet as approved by the Planning Department on  
09-22-2020 (Unique ID: 1514)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(31):** purchase or sale of furnishings, equipment or supplies, including surplus government property, other than the following: land, radioactive material, pesticides, herbicides, or other hazardous materials.


---

**COMMENTS:** None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff  
Paula Friedman, Assistant to the County Executive  
Tami Altschiller, Assistant Chief Deputy County Attorney  
Gideon Grande, Deputy Budget Director  
Lorraine Yazzetta, Associate Budget Director  
Anthony Zaino, Assistant Commissioner  
William Brady, Chief Planner  
Michael Lipkin, Associate Planner  
Claudia Maxwell, Associate Environmental Planner

TO: Michelle Greenbaum, Assistant County Attorney  
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM   
Director of Environmental Planning

DATE: October 8, 2020

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:  
BIT54 Critical Communication Logging System Replacement 2021-2025**

---

**PROJECT/ACTION:** Per Capital Project Fact Sheet as approved by the Planning Department on  
09-22-2020 (Unique ID: 1515)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(31):** purchase or sale of furnishings, equipment or supplies, including surplus government property, other than the following: land, radioactive material, pesticides, herbicides, or other hazardous materials.


---

**COMMENTS:** None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff  
Paula Friedman, Assistant to the County Executive  
Tami Altschiller, Assistant Chief Deputy County Attorney  
Gideon Grande, Deputy Budget Director  
Lorraine Yazzetta, Associate Budget Director  
Anthony Zaino, Assistant Commissioner  
William Brady, Chief Planner  
Michael Lipkin, Associate Planner  
Claudia Maxwell, Associate Environmental Planner

TO: Michelle Greenbaum, Assistant County Attorney  
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM   
Director of Environmental Planning

DATE: October 8, 2020

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:  
BIT45 Building Access Control And Video Surveillance Systems Upgrade**

---

**PROJECT/ACTION:** Per Capital Project Fact Sheet as approved by the Planning Department on  
09-22-2020 (Unique ID: 1520)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(31):** purchase or sale of furnishings, equipment or supplies, including surplus government property, other than the following: land, radioactive material, pesticides, herbicides, or other hazardous materials.

---


**COMMENTS:** None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff  
Paula Friedman, Assistant to the County Executive  
Tami Altschiller, Assistant Chief Deputy County Attorney  
Gideon Grande, Deputy Budget Director  
Lorraine Yazzetta, Associate Budget Director  
Anthony Zaino, Assistant Commissioner  
William Brady, Chief Planner  
Michael Lipkin, Associate Planner  
Claudia Maxwell, Associate Environmental Planner



TO: Michelle Greenbaum, Assistant County Attorney  
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM   
Director of Environmental Planning

DATE: October 8, 2020

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:  
BIT40 WESTCHESTER COUNTY BASEMAP UPDATE – PHASE 2**

---

**PROJECT/ACTION:** Per Capital Project Fact Sheet as approved by the Planning Department on  
09-23-2020 (Unique ID: 1566)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(24):** information collection including basic data collection and research, water quality and pollution studies, traffic counts, engineering studies, surveys, subsurface investigations and soils studies that do not commit the agency to undertake, fund or approve any Type I or Unlisted action.


---

**COMMENTS:** None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff  
Paula Friedman, Assistant to the County Executive  
Tami Altschiller, Assistant Chief Deputy County Attorney  
Gideon Grande, Deputy Budget Director  
Lorraine Yazzetta, Associate Budget Director  
Anthony Zaino, Assistant Commissioner  
William Brady, Chief Planner  
Michael Lipkin, Associate Planner  
Claudia Maxwell, Associate Environmental Planner

TO: Michelle Greenbaum, Assistant County Attorney  
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM   
Director of Environmental Planning

DATE: October 7, 2020

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:  
T0045 Replace Bus Wash at the Cerrato Maintenance Facility**

---

**PROJECT/ACTION:** Per Capital Project Fact Sheet as approved by the Planning Department on  
09-22-2020 (Unique ID: 1495)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(2):** replacement, rehabilitation or reconstruction of a structure or facility, in kind, on the same site, including upgrading buildings to meet building, energy, or fire codes unless such action meets or exceeds any of the thresholds in section 617.4 of this Part.
- **617.5(c)(31):** purchase or sale of furnishings, equipment or supplies, including surplus government property, other than the following: land, radioactive material, pesticides, herbicides, or other hazardous materials.


---

**COMMENTS:** None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff  
Paula Friedman, Assistant to the County Executive  
Tami Altschiller, Assistant Chief Deputy County Attorney  
Gideon Grande, Deputy Budget Director  
Lorraine Yazzetta, Associate Budget Director  
Anthony Zaino, Assistant Commissioner  
William Brady, Chief Planner  
Michael Lipkin, Associate Planner  
Claudia Maxwell, Associate Environmental Planner

TO: Michelle Greenbaum, Assistant County Attorney  
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM   
Director of Environmental Planning

DATE: October 7, 2020

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:  
BLR2E LABS AND RESEARCH EQUIPMENT ACQUISITION (2021-2025)**

---

**PROJECT/ACTION:** Per Capital Project Fact Sheet as approved by the Planning Department on 09-22-2020 (Unique ID: 1457)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(31):** purchase or sale of furnishings, equipment or supplies, including surplus government property, other than the following: land, radioactive material, pesticides, herbicides, or other hazardous materials.


---

**COMMENTS:** None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff  
Paula Friedman, Assistant to the County Executive  
Tami Altschiller, Assistant Chief Deputy County Attorney  
Gideon Grande, Deputy Budget Director  
Lorraine Yazzetta, Associate Budget Director  
Anthony Zaino, Assistant Commissioner  
William Brady, Chief Planner  
Michael Lipkin, Associate Planner  
Claudia Maxwell, Associate Environmental Planner

TO: Michelle Greenbaum, Assistant County Attorney  
Jeffrey Goldman, Senior Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM   
Director of Environmental Planning

DATE: October 8, 2020

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:  
B015E Interior Infrastructure Repairs, White Plains Complex (2021-2025)**

---

**PROJECT/ACTION:** Per Capital Project Fact Sheet as approved by the Planning Department on  
10-02-2020 (Unique ID: 1579)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested may be classified as a **TYPE II action** pursuant to section(s):

- **617.5(c)(2):** replacement, rehabilitation or reconstruction of a structure or facility, in kind, on the same site, including upgrading buildings to meet building, energy, or fire codes unless such action meets or exceeds any of the thresholds in section 617.4 of this Part.—
- **617.5(c)(31):** purchase or sale of furnishings, equipment or supplies, including surplus government property, other than the following: land, radioactive material, pesticides, herbicides, or other hazardous materials.

---

**COMMENTS:** None.

DSK/dvw

cc: Andrew Ferris, Chief of Staff  
Paula Friedman, Assistant to the County Executive  
Tami Altschiller, Assistant Chief Deputy County Attorney  
Gideon Grande, Deputy Budget Director  
Lorraine Yazzetta, Associate Budget Director  
Anthony Zaino, Assistant Commissioner  
William Brady, Chief Planner  
Michael Lipkin, Associate Planner  
Claudia Maxwell, Associate Environmental Planner

REFERENCES BDA02, BIT4C, BIT6D, BIT47, BIT49,  
BIT54, BIT45, BIT40; T0045, BLR2E and B015E

ACT NO. -20\_\_

BOND ACT AUTHORIZING THE ISSUANCE OF \$10,425,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF ACQUISITION OF EQUIPMENT AND FURNISHINGS FOR VARIOUS DEPARTMENTS; STATING THE ESTIMATED TOTAL MAXIMUM COST THEREOF IS \$10,425,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$10,425,000 BONDS HEREIN AUTHORIZED TO FINANCE SUCH COST; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted , 20\_\_)

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER, NEW YORK (by the affirmative vote of not less than two-thirds of the voting strength of said Board), AS FOLLOWS:

Section 1. Pursuant to the provisions of the Local Finance Law, constituting Chapter 33-a of the Consolidated Laws of the State of New York (the "Law"), the Westchester County Administrative Code, being Chapter 852 of the Laws of 1948, as amended, to the provisions of other laws applicable thereto, \$10,425,000 bonds of the County, or so much thereof as may be necessary, are hereby authorized to be issued to finance the cost of the acquisition of equipment and furnishings for various departments comprised of the following capital projects: BIT4C, BIT6D, BIT47, BIT49, BIT54, BIT45, BDA02, BIT40; T0045, BLR2E and B015E, all as set forth in the County's current year Capital Budget, as amended. The total estimated maximum

314682\4816-4960-0972\ v1

cost of said objects or purposes, including preliminary costs and costs incidental thereto and the financing thereof is \$10,425,000. The plan of financing includes the issuance of \$10,425,000 bonds herein authorized, and any bond anticipation notes issued in anticipation of the sale of such bonds, and the levy of a tax to pay the principal of and interest on said bonds.

Section 2. The period of probable usefulness applicable to the objects or purposes for which the bonds authorized by this Act are to be issued, within the limitations of Section 11.00 a. 89 of the Law, is five (5) years.

Section 3. Current funds are not required to be provided as a down payment pursuant to Section 107.00 d. 9. of the Law prior to issuance of the bonds authorized herein, or any bond anticipation notes issued in anticipation of the sale of such bonds. The County intends to finance, on an interim basis, the costs or a portion of the costs of said improvements for which bonds are herein authorized, which costs are reasonably expected to be reimbursed with the proceeds of debt to be incurred by the County, pursuant to this Act, in the maximum amount of \$10,425,000. This Act is a declaration of official intent adopted pursuant to the requirements of Treasury Regulation Section 1.150-2.

Section 4. Subject to the provisions of this Act and of the Law, and pursuant to the provisions of §30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals thereof, and of §§50.00, 56.00 to 60.00 and 168.00 of said Law, the powers and duties of the County Board of Legislators relative to authorizing the issuance of any notes in anticipation of the sale of the bonds herein authorized, or the renewals thereof, relative to providing for substantially level or declining annual debt service, relative to prescribing the terms, form and contents and as to the sale and issuance of the respective amounts of bonds herein authorized, and

314682\4816-4960-0972\ v1

of any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and relative to executing agreements for credit enhancement, are hereby delegated to the Commissioner of Finance of the County, as the chief fiscal officer of the County.

Section 5. Each of the bonds authorized by this Act and any bond anticipation notes issued in anticipation of the sale thereof shall contain the recital of validity prescribed by §52.00 of said Local Finance Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County of Westchester, payable as to both principal and interest by general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and provision shall be made annually in the budgets of the County by appropriation for (a) the amortization and redemption of the notes and bonds to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 6. The validity of the bonds authorized by this Act and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

(a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or

(b) the provisions of law which should be complied with at the date of the publication of this Act or a summary hereof, are not substantially complied with,

and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or

(c) such obligations are authorized in violation of the provisions of the Constitution.

Section 7. This Act shall take effect not earlier than January 1, 2021 and in accordance with Section 107.71 of the Westchester County Charter.

\* \* \*



STATE OF NEW YORK )

: ss.:

COUNTY OF WESTCHESTER )

I HEREBY CERTIFY that I have compared the foregoing Act No. -20\_\_ with the original on file in my office, and that the same is a correct transcript therefrom and of the whole of the said original Act, which was duly adopted by the County Board of Legislators of the County of Westchester on , 20\_\_ and approved by the County Executive on , 20\_\_.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said County Board of Legislators this day of , 20\_\_.

(SEAL)

The Clerk and Chief Administrative Officer of the  
County Board of Legislators  
County of Westchester, New York

## LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on \_\_\_\_\_, 20\_\_ and approved by the County Executive on \_\_\_\_\_, 20\_\_ and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. \_\_\_\_\_-20\_\_

BOND ACT AUTHORIZING THE ISSUANCE OF \$10,425,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF ACQUISITION OF EQUIPMENT AND FURNISHINGS FOR VARIOUS DEPARTMENTS; STATING THE ESTIMATED TOTAL MAXIMUM COST THEREOF IS \$10,425,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$10,425,000 BONDS HEREIN AUTHORIZED TO FINANCE SUCH COST; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted \_\_\_\_\_, 20\_\_)

object or purpose: to finance the cost of acquisition of equipment and furnishings for various departments comprised of the following capital projects: BIT4C, BIT6D, BIT47, BIT49, BIT54, BIT45, BDA02, BIT40; T0045, BLR2E and B015E; all as set forth in the County's current year Capital Budget, as amended.

amount of obligations to be issued:  
and period of probable usefulness: \$10,425,000, five years

Dated: \_\_\_\_\_, 20\_\_  
White Plains, New York

\_\_\_\_\_  
Clerk and Chief Administrative Officer of the  
County Board of Legislators of the County of  
Westchester, New York

# CAPITAL PROJECT FACT SHEET

Project ID:\*  
BDA02

☐ CBA

Fact Sheet Date:\*  
01-04-2021

Fact Sheet Year:\*  
2021

Project Title:\*  
INFORMATION TECHNOLOGY (2020-2024)

Legislative District ID:

Category\*  
BUILDINGS, LAND & MISCELLANEOUS

Department:\*  
DISTRICT ATTORNEY

CP Unique ID:  
1498

## Overall Project Description

This project will fund the purchase, upgrade and maintenance of equipment, software and systems. This project includes, but is not limited to the upgrade of hardware and software for forensics, surveillance, security systems, server and storage equipment, networking equipment, desktops systems, off the shelf and proprietary software applications, peripherals and hand-held devices.

☒ Best Management Practices

☐ Energy Efficiencies

☒ Infrastructure

☐ Life Safety

☐ Project Labor Agreement

☐ Revenue

☐ Security

☐ Other

## FIVE-YEAR CAPITAL PROGRAM (in thousands)

|                        | Estimated Ultimate Total Cost | Appropriated | 2021 | 2022 | 2023 | 2024 | 2025 | Under Review |
|------------------------|-------------------------------|--------------|------|------|------|------|------|--------------|
| Gross                  | 2,480                         | 500          | 710  | 355  | 375  | 540  | 0    | 0            |
| Less Non-County Shares | 0                             | 0            | 0    | 0    | 0    | 0    | 0    | 0            |
| Net                    | 2,480                         | 500          | 710  | 355  | 375  | 540  | 0    | 0            |

Expended/Obligated Amount (in thousands) as of : 130

**Current Bond Description:** The request for \$710k represents the second phase of this project and will allow the DA's Office to continue to purchase, upgrade and maintain equipment, software and systems to support the needs of the District Attorney's offices located throughout the County.

### Financing Plan for Current Request:

|                    |            |
|--------------------|------------|
| Non-County Shares: | \$ 0       |
| Bonds/Notes:       | 710,000    |
| Cash:              | 0          |
| Total:             | \$ 710,000 |

### SEQR Classification:

TYPE II

### Amount Requested:

710,000

### Comments:

### Energy Efficiencies:

### Appropriation History:

| Year | Amount  | Description                                                                              |
|------|---------|------------------------------------------------------------------------------------------|
| 2020 | 500,000 | UPGRADE OF THE EMAIL SYSTEM, SERVERS, FORENSIC, SURVEILLANCE EQUIPMENT AND STORAGE SPACE |

### Total Appropriation History:

500,000

**Financing History:**

| Year | Bond Act # | Amount  | Issued | Description                                                                            |
|------|------------|---------|--------|----------------------------------------------------------------------------------------|
| 20   | 14         | 500,000 | 0      | FIRST PHASE OF UPGRADES TO TECHNOLOGY EQUIPMENT, SOFTWARE, AND SYSTEMS FOR DA'S OFFICE |

**Total Financing History:**

500,000

**Recommended By:****Department of Planning**  
WBB4**Date**  
09-22-2020**Department of Public Works**  
RJB4**Date**  
09-22-2020**Budget Department**  
GKGA**Date**  
09-23-2020**Requesting Department**  
SVV7**Date**  
09-23-2020

# CAPITAL PROJECT FACT SHEET

**Project ID:\***

BIT4C

☐ CBA

**Fact Sheet Date:\***

01-04-2021

**Fact Sheet Year:\***

2021

**Project Title:\***

EMPLOYEE PRODUCTIVITY  
SYSTEMS 2018-2022

**Legislative District ID:**

**Category\***

BUILDINGS, LAND &  
MISCELLANEOUS

**Department:\***

INFORMATION TECHNOLOGY

**CP Unique ID:**

1510

## Overall Project Description

This project will fund reusable software components that can be assembled to meet the particular requirements of a user rather than buying a single "one-size-fits-all".

☐ Best Management Practices

☐ Energy Efficiencies

☐ Infrastructure

☐ Life Safety

☐ Project Labor Agreement

☐ Revenue

☐ Security

☐ Other

## FIVE-YEAR CAPITAL PROGRAM (in thousands)

|                        | Estimated<br>Ultimate<br>Total Cost | Appropriated | 2021  | 2022 | 2023 | 2024 | 2025 | Under<br>Review |
|------------------------|-------------------------------------|--------------|-------|------|------|------|------|-----------------|
| Gross                  | 4,000                               | 2,500        | 1,000 | 500  | 0    | 0    | 0    | 0               |
| Less Non-County Shares | 0                                   | 0            | 0     | 0    | 0    | 0    | 0    | 0               |
| Net                    | 4,000                               | 2,500        | 1,000 | 500  | 0    | 0    | 0    | 0               |

Expended/Obligated Amount (in thousands) as of : 679

**Current Bond Description:** TO FUND THE PURCHASE OF SOFTWARE AND APPLIANCES, EQUIPMENT, INSTALLATION AND IMPLEMENTING SERVICES

### Financing Plan for Current Request:

|                    |              |
|--------------------|--------------|
| Non-County Shares: | \$ 0         |
| Bonds/Notes:       | 1,000,000    |
| Cash:              | 0            |
| Total:             | \$ 1,000,000 |

**SEQR Classification:**

TYPE II

**Amount Requested:**

1,000,000

### Comments:

### Energy Efficiencies:

### Appropriation History:

| Year | Amount    | Description                                                                                                                              |
|------|-----------|------------------------------------------------------------------------------------------------------------------------------------------|
| 2018 | 1,000,000 | FUNDS SECURITY SOFTWARE, APPLIANCES, INSTALLATION/IMPLEMENTATION SERVICES AND ACQUISITION, LICENSING AND ASSOCIATED DEVELOPMENT SOFTWARE |
| 2019 | 500,000   | CONTINUATION FOR THIS PROJECT                                                                                                            |
| 2020 | 1,000,000 | CONTINUATION OF THIS PROJECT                                                                                                             |

**Total Appropriation History:**

2,500,000

**Financing History:**

| Year | Bond Act # | Amount    | Issued  | Description                                                                                 |
|------|------------|-----------|---------|---------------------------------------------------------------------------------------------|
| 19   | 113        | 1,000,000 | 149,820 | ACQUISITION AND INSTALLATION OF SOFTWARE AND APPLIANCES FOR IT                              |
| 20   | 106        | 500,000   | 0       | COST OF PURCHASING AND INSTALLING SOFTWARE AND APPLIANCES FOR EMPLOYEE PRODUCTIVITY SYSTEMS |

**Total Financing History:**

1,500,000

**Recommended By:**Department of Planning  
WBB4Date  
09-22-2020Department of Public Works  
RJB4Date  
09-22-2020Budget Department  
GKGADate  
09-23-2020Requesting Department  
DDMKDate  
09-23-2020

# CAPITAL PROJECT FACT SHEET

**Project ID:\***

BIT6D

☐ CBA

**Fact Sheet Date:\***

01-04-2021

**Fact Sheet Year:\***

2021

**Project Title:\***

PURCHASE OF COMPUTER  
EQUIPMENT 2021-2025

**Legislative District ID:**

**Category\***

BUILDINGS, LAND &  
MISCELLANEOUS

**Department:\***

INFORMATION TECHNOLOGY

**CP Unique ID:**

1511

**Overall Project Description**

This project funds the purchase of servers, related equipment and services.

☐ Best Management Practices

☐ Energy Efficiencies

☐ Infrastructure

☐ Life Safety

☐ Project Labor Agreement

☐ Revenue

☐ Security

☐ Other

**FIVE-YEAR CAPITAL PROGRAM (in thousands)**

|                        | Estimated<br>Ultimate<br>Total Cost | Appropriated | 2021  | 2022 | 2023 | 2024 | 2025 | Under<br>Review |
|------------------------|-------------------------------------|--------------|-------|------|------|------|------|-----------------|
| Gross                  | 3,250                               | 0            | 1,000 | 750  | 750  | 750  | 0    | 0               |
| Less Non-County Shares | 0                                   | 0            | 0     | 0    | 0    | 0    | 0    | 0               |
| Net                    | 3,250                               | 0            | 1,000 | 750  | 750  | 750  | 0    | 0               |

**Expended/Obligated Amount (in thousands) as of:** 0

**Current Bond Description:** Bonding is requested for the purchase of equipment, software and related services for the overhaul of the entire storage system which includes hardware, for tiered storage implementation

**Financing Plan for Current Request:**

|                    |                     |
|--------------------|---------------------|
| Non-County Shares: | \$ 0                |
| Bonds/Notes:       | 1,000,000           |
| Cash:              | 0                   |
| <b>Total:</b>      | <b>\$ 1,000,000</b> |

**SEQR Classification:**

TYPE II

**Amount Requested:**

1,000,000

**Comments:**

**Energy Efficiencies:**

**Total Financing History:**

0

**Recommended By:**

**Department of Planning**  
WBB4

**Date**  
09-22-2020

**Department of Public Works**  
RJB4

**Date**  
09-22-2020

**Budget Department**  
GKGA

**Date**  
09-23-2020

**Requesting Department**  
DDMK

**Date**  
09-23-2020



# CAPITAL PROJECT FACT SHEET

**Project ID:\***

BIT47

☐ CBA

**Fact Sheet Date:\***

01-04-2021

**Fact Sheet Year:\***

2021

**Project Title:\***

NETWORK AND SECURITY  
INFRASTRUCTURE UPGRADES 2020-  
2024

**Legislative District ID:**

**Category\***

BUILDINGS, LAND &  
MISCELLANEOUS

**Department:\***

INFORMATION TECHNOLOGY

**CP Unique ID:**

1513

**Overall Project Description**

This project will fund the continuation of Network and Security Infrastructure Upgrades with the replacement of obsolete hardware, software and related services.

☐ Best Management Practices

☐ Energy Efficiencies

☐ Infrastructure

☐ Life Safety

☐ Project Labor Agreement

☐ Revenue

☐ Security

☐ Other

**FIVE-YEAR CAPITAL PROGRAM (in thousands)**

|                        | Estimated<br>Ultimate<br>Total Cost | Appropriated | 2021 | 2022 | 2023 | 2024 | 2025 | Under<br>Review |
|------------------------|-------------------------------------|--------------|------|------|------|------|------|-----------------|
| Gross                  | 3,950                               | 650          | 750  | 800  | 850  | 900  | 0    | 0               |
| Less Non-County Shares | 0                                   | 0            | 0    | 0    | 0    | 0    | 0    | 0               |
| Net                    | 3,950                               | 650          | 750  | 800  | 850  | 900  | 0    | 0               |

Expended/Obligated Amount (in thousands) as of : 96

**Current Bond Description:** The funds will be used to purchase hardware, software, peripherals, electrical, cabling and implementing services required to upgrade the MOB Data Center. Also to fund the replacement of Network switches at Yonkers I-Park, the Correction Campus upgrades, Yonkers Water Treatment Plant

**Financing Plan for Current Request:**

Non-County Shares: \$ 0

Bonds/Notes: 750,000

Cash: 0

Total: \$ 750,000

**SEQR Classification:**

TYPE II

**Amount Requested:**

750,000

**Comments:**

**Energy Efficiencies:**

**Appropriation History:**

| Year | Amount  | Description                                      |
|------|---------|--------------------------------------------------|
| 2020 | 650,000 | UPGRADE OF THE NETWORK & CYBERSECURITY PLATFORMS |

**Total Appropriation History:**

650,000

**Financing History:**

| Year | Bond Act # | Amount  | Issued | Description                                                                                 |
|------|------------|---------|--------|---------------------------------------------------------------------------------------------|
| 20   | 109        | 650,000 | 0      | COST OF NETWORK & SECURITY INFRASTRUCTURE UPGRADES IN VARIOUS COUNTY DEPARTMENT'S LOCATIONS |

**Total Financing History:**

650,000

**Recommended By:**

Department of Planning  
WBB4

Date  
09-22-2020

Department of Public Works  
RJB4

Date  
09-22-2020

Budget Department  
GKGA

Date  
09-23-2020

Requesting Department  
DDMK

Date  
09-23-2020

# CAPITAL PROJECT FACT SHEET

**Project ID:\***

BIT49

☐ CBA

**Fact Sheet Date:\***

01-04-2021

**Fact Sheet Year:\***

2021

**Project Title:\***

POLICE RECORD MANAGEMENT  
SYSTEM UPGRADE

**Legislative District ID:**

**Category\***

BUILDINGS, LAND &  
MISCELLANEOUS

**Department:\***

INFORMATION TECHNOLOGY

**CP Unique ID:**

1514

## Overall Project Description

This project will fund the purchase of software, hardware and related services to upgrade and expand the capabilities of this system.

☐ Best Management Practices

☐ Energy Efficiencies

☐ Infrastructure

☐ Life Safety

☐ Project Labor Agreement

☐ Revenue

☐ Security

☐ Other

## FIVE-YEAR CAPITAL PROGRAM (in thousands)

|                        | Estimated<br>Ultimate<br>Total Cost | Appropriated | 2021 | 2022  | 2023 | 2024 | 2025 | Under<br>Review |
|------------------------|-------------------------------------|--------------|------|-------|------|------|------|-----------------|
| Gross                  | 2,250                               | 250          | 750  | 1,250 | 0    | 0    | 0    | 0               |
| Less Non-County Shares | 0                                   | 0            | 0    | 0     | 0    | 0    | 0    | 0               |
| Net                    | 2,250                               | 250          | 750  | 1,250 | 0    | 0    | 0    | 0               |

Expended/Obligated Amount (in thousands) as of : 0

**Current Bond Description:** The funding will be used to purchase software, hardware and related services to upgrade and expand the capabilities of the Police Record Management System.

### Financing Plan for Current Request:

|                    |                   |
|--------------------|-------------------|
| Non-County Shares: | \$ 0              |
| Bonds/Notes:       | 750,000           |
| Cash:              | 0                 |
| <b>Total:</b>      | <b>\$ 750,000</b> |

**SEQR Classification:**

TYPE II

**Amount Requested:**

750,000

**Comments:**

**Energy Efficiencies:**

**Appropriation History:**

| Year | Amount  | Description       |
|------|---------|-------------------|
| 2020 | 250,000 | FUNDING FOR STUDY |

**Total Appropriation History:**

250,000

**Total Financing History:**

0

**Recommended By:**

**Department of Planning**  
WBB4

**Date**  
09-22-2020

**Department of Public Works**  
RJB4

**Date**  
09-22-2020

**Budget Department**  
GKGA

**Date**  
09-23-2020

**Requesting Department**  
DDMK

**Date**  
09-23-2020

# CAPITAL PROJECT FACT SHEET

**Project ID:\***

BIT54

☐ CBA

**Fact Sheet Date:\***

01-04-2021

**Fact Sheet Year:\***

2021

**Project Title:\***

CRITICAL COMMUNICATION  
LOGGING SYSTEM REPLACEMENT  
2021-2025

**Legislative District ID:**

**Category\***

BUILDINGS, LAND &  
MISCELLANEOUS

**Department:\***

INFORMATION TECHNOLOGY

**CP Unique ID:**

1515

## Overall Project Description

This project will fund the procurement of equipment, servers, data storage, software and services required to replace the existing "Eventide" and "Calabrio Systems with a solution technologically able to meet and exceed the County's Critical Communication Logging Systems needs.

☒ Best Management Practices

☐ Energy Efficiencies

☐ Infrastructure

☐ Life Safety

☐ Project Labor Agreement

☐ Revenue

☐ Security

☐ Other

## FIVE-YEAR CAPITAL PROGRAM (in thousands)

|                        | Estimated<br>Ultimate<br>Total Cost | Appropriated | 2021 | 2022 | 2023 | 2024 | 2025 | Under<br>Review |
|------------------------|-------------------------------------|--------------|------|------|------|------|------|-----------------|
| Gross                  | 1,250                               | 0            | 250  | 250  | 250  | 250  | 250  | 0               |
| Less Non-County Shares | 0                                   | 0            | 0    | 0    | 0    | 0    | 0    | 0               |
| Net                    | 1,250                               | 0            | 250  | 250  | 250  | 250  | 250  | 0               |

Expended/Obligated Amount (in thousands) as of : 0

**Current Bond Description:** This funds will be used to purchase equipment, servers, data storage, software and services required to replace the existing "Eventide" and "Calabrio" Systems.

### Financing Plan for Current Request:

|                    |            |
|--------------------|------------|
| Non-County Shares: | \$ 0       |
| Bonds/Notes:       | 250,000    |
| Cash:              | 0          |
| Total:             | \$ 250,000 |

**SEQR Classification:**

TYPE II

**Amount Requested:**

250,000

**Comments:**

**Energy Efficiencies:**

**Total Financing History:**

0

**Recommended By:**

**Department of Planning**

WBB4

**Date**

09-22-2020

**Department of Public Works**

RJB4

**Date**

09-22-2020

**Budget Department**

GKGA

**Date**

09-23-2020

**Requesting Department**

DDMK

**Date**

09-23-2020

# CAPITAL PROJECT FACT SHEET

**Project ID:\***

BIT45

☐ CBA

**Fact Sheet Date:\***

01-04-2021

**Fact Sheet Year:\***

2021

**Project Title:\***

BUILDING ACCESS CONTROL AND  
VIDEO SURVEILLANCE SYSTEMS  
UPGRADE

**Legislative District ID:**

**Category\***

BUILDINGS, LAND &  
MISCELLANEOUS

**Department:\***

INFORMATION TECHNOLOGY

**CP Unique ID:**

1520

## Overall Project Description

This project will fund the replacement and upgrade of Building Access Control and Video surveillance systems which include software, hardware, network infrastructure, training and implementation services. These systems will help to manage building access and monitor surveillance at various County Office Buildings.

☐ Best Management Practices

☐ Energy Efficiencies

☐ Infrastructure

☐ Life Safety

☐ Project Labor Agreement

☐ Revenue

☐ Security

☐ Other

## FIVE-YEAR CAPITAL PROGRAM (In thousands)

|                               | Estimated<br>Ultimate<br>Total Cost | Appropriated | 2021  | 2022 | 2023 | 2024 | 2025 | Under<br>Review |
|-------------------------------|-------------------------------------|--------------|-------|------|------|------|------|-----------------|
| <b>Gross</b>                  | 3,000                               | 2,000        | 1,000 | 0    | 0    | 0    | 0    | 0               |
| <b>Less Non-County Shares</b> | 0                                   | 0            | 0     | 0    | 0    | 0    | 0    | 0               |
| <b>Net</b>                    | 3,000                               | 2,000        | 1,000 | 0    | 0    | 0    | 0    | 0               |

Expended/Obligated Amount (In thousands) as of : 509

**Current Bond Description:** The funds will be used to purchase surveillance equipment, software and implementing services for the upgrade of the access control and surveillance systems at various County Office Buildings. The equipment will include but not limited to IP cameras, NVRs, iStars, POE switches, other network infrastructure

### Financing Plan for Current Request:

|                    |                     |
|--------------------|---------------------|
| Non-County Shares: | \$ 0                |
| Bonds/Notes:       | 1,000,000           |
| Cash:              | 0                   |
| <b>Total:</b>      | <b>\$ 1,000,000</b> |

### SEQR Classification:

TYPE II

### Amount Requested:

1,000,000

### Comments:

### Energy Efficiencies:

### Appropriation History:

| Year | Amount    | Description                   |
|------|-----------|-------------------------------|
| 2019 | 1,000,000 | FUNDS THE REPLACEMENT/UPGRADE |
| 2020 | 1,000,000 | CONTINUATION OF THIS PROJECT  |

### Total Appropriation History:

2,000,000

**Financing History:**

| Year | Bond Act # | Amount    | Issued  | Description                                                                                         |
|------|------------|-----------|---------|-----------------------------------------------------------------------------------------------------|
| 19   | 90         | 1,000,000 | 177,753 | PURCHASE OF EQUIPMENT AND OTHER SERVICES FOR UPGRADE OF SURVEILLANCE SYSTEMS AT WC OFFICE BUILDINGS |

**Total Financing History:**

1,000,000

**Recommended By:**

Department of Planning  
WBB4

Date  
09-22-2020

Department of Public Works  
RJB4

Date  
09-22-2020

Budget Department  
GKGA

Date  
09-23-2020

Requesting Department  
DDMK

Date  
09-23-2020



# CAPITAL PROJECT FACT SHEET

|                                                        |                                                                          |                                        |
|--------------------------------------------------------|--------------------------------------------------------------------------|----------------------------------------|
| <b>Project ID:*</b><br><b>BIT40</b>                    | <input type="checkbox"/> CBA                                             | <b>Fact Sheet Date:*</b><br>01-04-2021 |
| <b>Fact Sheet Year:*</b><br>2021                       | <b>Project Title:*</b><br>WESTCHESTER COUNTY BASEMAP<br>UPDATE - PHASE 2 | <b>Legislative District ID:</b>        |
| <b>Category*</b><br>BUILDINGS, LAND &<br>MISCELLANEOUS | <b>Department:*</b><br>INFORMATION TECHNOLOGY                            | <b>CP Unique ID:</b><br>1566           |

## Overall Project Description

This project will fund professional and photogrammetric services required to update the existing County-wide digital base map.

- |                                                    |                                                  |                                                    |
|----------------------------------------------------|--------------------------------------------------|----------------------------------------------------|
| <input type="checkbox"/> Best Management Practices | <input type="checkbox"/> Energy Efficiencies     | <input checked="" type="checkbox"/> Infrastructure |
| <input type="checkbox"/> Life Safety               | <input type="checkbox"/> Project Labor Agreement | <input type="checkbox"/> Revenue                   |
| <input type="checkbox"/> Security                  | <input type="checkbox"/> Other                   |                                                    |

## FIVE-YEAR CAPITAL PROGRAM (in thousands)

|                               | Estimated<br>Ultimate<br>Total Cost | Appropriated | 2021 | 2022 | 2023 | 2024 | 2025 | Under<br>Review |
|-------------------------------|-------------------------------------|--------------|------|------|------|------|------|-----------------|
| <b>Gross</b>                  | 650                                 | 500          | 0    | 0    | 0    | 0    | 0    | 0               |
| <b>Less Non-County Shares</b> | 0                                   | 0            | 0    | 0    | 0    | 0    | 0    | 0               |
| <b>Net</b>                    | 500                                 | 500          | 0    | 0    | 0    | 0    | 0    | 0               |

Expended/Obligated Amount (in thousands) as of : 272

**Current Bond Description:** The requested funds will be utilized for professional and photogrammetric services required to update the existing County-wide digital base map.

### Financing Plan for Current Request:

|                    |                   |
|--------------------|-------------------|
| Non-County Shares: | \$ 0              |
| Bonds/Notes:       | 150,000           |
| Cash:              | 0                 |
| <b>Total:</b>      | <b>\$ 150,000</b> |

## SEQR Classification:

### Amount Requested:

150,000

### Comments:

### Energy Efficiencies:

### Appropriation History:

| Year | Amount  | Description                       |
|------|---------|-----------------------------------|
| 2017 | 350,000 | IMPLEMENTATION OF THIS PROJECT    |
| 2018 | 150,000 | FUNDS COMPLETION OF THIS PROJECT. |

### Total Appropriation History:

500,000

### Financing History:

| Year | Bond Act # | Amount  | Issued  | Description                            |
|------|------------|---------|---------|----------------------------------------|
| 17   | 101        | 350,000 | 241,783 | UPDATE COUNTY WIDE DIGITAL<br>BASE MAP |

### Total Financing History:

350,000

**Recommended By:**

**Department of Planning**

WBB4

**Date**

09-23-2020

**Department of Public Works**

RJB4

**Date**

09-23-2020

**Budget Department**

GKGA

**Date**

09-23-2020

**Requesting Department**

DDMK

**Date**

09-23-2020

# CAPITAL PROJECT FACT SHEET

**Project ID:\***

T0045

☐ CBA

**Fact Sheet Date:\***

01-04-2021

**Fact Sheet Year:\***

2021

**Project Title:\***

REPLACE BUS WASH AT THE  
CERRATO MAINTENANCE FACILITY

**Legislative District ID:**

**Category\***

TRANSPORTATION

**Department:\***

AIRPORT/DOT

**CP Unique ID:**

1495

**Overall Project Description**

This project funds the purchase of new bus wash components and equipment. The current components and equipment have reached the end of their useful life.

☒ Best Management Practices

☒ Energy Efficiencies

☒ Infrastructure

☐ Life Safety

☐ Project Labor Agreement

☐ Revenue

☐ Security

☐ Other

**FIVE-YEAR CAPITAL PROGRAM (in thousands)**

|                               | Estimated<br>Ultimate<br>Total Cost | Appropriated | 2021  | 2022 | 2023 | 2024 | 2025 | Under<br>Review |
|-------------------------------|-------------------------------------|--------------|-------|------|------|------|------|-----------------|
| <b>Gross</b>                  | 3,225                               | 525          | 2,700 | 0    | 0    | 0    | 0    | 0               |
| <b>Less Non-County Shares</b> | 0                                   | 0            | 0     | 0    | 0    | 0    | 0    | 0               |
| <b>Net</b>                    | 3,225                               | 525          | 2,700 | 0    | 0    | 0    | 0    | 0               |

**Expended/Obligated Amount (in thousands) as of :** 151

**Current Bond Description:**

Requested funds will be used to replace the existing bus wash system.

**Financing Plan for Current Request:**

|                    |                     |
|--------------------|---------------------|
| Non-County Shares: | \$ 0                |
| Bonds/Notes:       | 2,700,000           |
| Cash:              | 0                   |
| <b>Total:</b>      | <b>\$ 2,700,000</b> |

**SEQR Classification:**

TYPE II

**Amount Requested:**

2,700,000

**Comments:**

**Energy Efficiencies:**

A NEW BUS WASH WILL BE MORE ENERGY EFFICIENT.

**Appropriation History:**

| Year | Amount  | Description               |
|------|---------|---------------------------|
| 2016 | 455,000 | PURCHASE AND INSTALLATION |
| 2019 | 70,000  | COST ESCALATION           |

**Total Appropriation History:**

525,000

**Financing History:**

| Year | Bond Act # | Amount  | Issued  | Description                                                             |
|------|------------|---------|---------|-------------------------------------------------------------------------|
| 16   | 240        | 455,000 | 445,589 | INSTALLATION OF A BUS WASH<br>SYSTEM AT CERRATO<br>MAINTENANCE FACILITY |

**Total Financing History:**  
455,000

**Recommended By:**

**Department of Planning**  
WBB4

**Date**  
09-22-2020

**Department of Public Works**  
RJB4

**Date**  
09-22-2020

**Budget Department**  
GKGA

**Date**  
09-23-2020

**Requesting Department**  
RJB4

**Date**  
09-24-2020

# CAPITAL PROJECT FACT SHEET

**Project ID:\***

BLR2E

☐ CBA

**Fact Sheet Date:\***

01-04-2021

**Fact Sheet Year:\***

2021

**Project Title:\***

LABS AND RESEARCH EQUIPMENT  
ACQUISITION (2021-2025)

**Legislative District ID:**

**Category\***

BUILDINGS, LAND &  
MISCELLANEOUS

**Department:\***

LABS AND RESEARCH

**CP Unique ID:**

1457

**Overall Project Description**

This project fund the replacement and acquisition of equipment to support this department's programs.

☒ Best Management Practices

☒ Energy Efficiencies

☐ Infrastructure

☒ Life Safety

☐ Project Labor Agreement

☐ Revenue

☒ Security

☐ Other

**FIVE-YEAR CAPITAL PROGRAM (in thousands)**

|                        | Estimated<br>Ultimate<br>Total Cost | Appropriated | 2021 | 2022 | 2023  | 2024 | 2025 | Under<br>Review |
|------------------------|-------------------------------------|--------------|------|------|-------|------|------|-----------------|
| Gross                  | 3,365                               | 0            | 840  | 825  | 1,050 | 650  | 0    | 0               |
| Less Non-County Shares | 0                                   | 0            | 0    | 0    | 0     | 0    | 0    | 0               |
| Net                    | 3,365                               | 0            | 840  | 825  | 1,050 | 650  | 0    | 0               |

Expended/Obligated Amount (in thousands) as of: 0

**Current Bond Description:** Bonding is requested to purchase equipment such as; Gas Chromatograph/Mass Spectrometer, Spectrophotometer, High Performance Liquid Chromatograph, Mercury Analyzer

**Financing Plan for Current Request:**

|                    |            |
|--------------------|------------|
| Non-County Shares: | \$ 0       |
| Bonds/Notes:       | 840,000    |
| Cash:              | 0          |
| Total:             | \$ 840,000 |

**SEQR Classification:**

TYPE II

**Amount Requested:**

840,000

**Comments:**

**Energy Efficiencies:**

**Total Financing History:**

0

**Recommended By:**

**Department of Planning**  
WBB4

**Date**  
09-22-2020

**Department of Public Works**  
RJB4

**Date**  
09-22-2020

**Budget Department**  
GKGA

**Date**  
09-23-2020

**Requesting Department**  
PSS3

**Date**  
09-25-2020

# CAPITAL PROJECT FACT SHEET

**Project ID:\***  
B015E

☐ CBA

**Fact Sheet Date:\***  
01-04-2021

**Fact Sheet Year:\***  
2021

**Project Title:\***  
INTERIOR INFRASTRUCTURE  
REPAIRS, WHITE PLAINS COMPLEX  
(2021-2025)

**Legislative District ID:**  
8,

**Category\***  
BUILDINGS, LAND &  
MISCELLANEOUS

**Department:\***  
PUBLIC WORKS

**CP Unique ID:**  
1579

**Overall Project Description**

This project funds the rehabilitation of building interiors and infrastructure.

☒ Best Management Practices

☐ Energy Efficiencies

☒ Infrastructure

☐ Life Safety

☐ Project Labor Agreement

☐ Revenue

☐ Security

☐ Other

**FIVE-YEAR CAPITAL PROGRAM (in thousands)**

|                               | Estimated<br>Ultimate<br>Total Cost | Appropriated | 2021  | 2022  | 2023 | 2024 | 2025 | Under<br>Review |
|-------------------------------|-------------------------------------|--------------|-------|-------|------|------|------|-----------------|
| <b>Gross</b>                  | 2,750                               | 0            | 1,750 | 1,000 | 0    | 0    | 0    | 0               |
| <b>Less Non-County Shares</b> | 0                                   | 0            | 0     | 0     | 0    | 0    | 0    | 0               |
| <b>Net</b>                    | 2,750                               | 0            | 1,750 | 1,000 | 0    | 0    | 0    | 0               |

**Expended/Obligated Amount (in thousands) as of:** 0

**Current Bond Description:** Funding is requested for design, construction management and construction associated with the replacement of the courtroom doors and associated work at the the Richard J. Daronco Courthouse Annex.

**Financing Plan for Current Request:**

|                    |                     |
|--------------------|---------------------|
| Non-County Shares: | \$ 0                |
| Bonds/Notes:       | 1,275,000           |
| Cash:              | 0                   |
| <b>Total:</b>      | <b>\$ 1,275,000</b> |

**SEQR Classification:**  
TYPE II

**Amount Requested:**  
1,275,000

**Comments:**

**Energy Efficiencies:**

**Total Financing History:**  
0

**Recommended By:**

**Department of Planning**  
WBB4

**Date**  
10-02-2020

**Department of Public Works**  
RJB4

**Date**  
10-02-2020

**Budget Department**  
GKGA

**Date**  
10-05-2020

**Requesting Department**  
RJB4

**Date**  
10-05-2020



## INFORMATION TECHNOLOGY (2020-2024) ( BDA02 )

User Department : District Attorney

Managing Department(s) : District Attorney ;

Estimated Completion Date: TBD

Planning Board Recommendation: Project without physical planning aspects of concern to the Westchester County Planning Board.

### FIVE YEAR CAPITAL PROGRAM (in thousands)

|                  | Est Ult Cost | Appropriated | Exp / Obl | 2021 | 2022 | 2023 | 2024 | 2025 | Under Review |
|------------------|--------------|--------------|-----------|------|------|------|------|------|--------------|
| Gross            | 2,480        | 500          | 189       | 710  | 355  | 375  | 540  |      |              |
| Non County Share |              |              |           |      |      |      |      |      |              |
| Total            | 2,480        | 500          | 189       | 710  | 355  | 375  | 540  |      |              |

### Project Description

This project will fund the purchase, upgrade and maintenance of equipment, software and systems. This project includes, but is not limited to the upgrade of hardware and software for forensics, surveillance, security systems, server and storage equipment, networking equipment, desktops systems, off the shelf and proprietary software applications, peripherals and hand-held devices.

### Current Year Description

The current year request funds the following equipment: license plate reader storage and backup systems.

### Current Year Financing Plan

| Year | Bonds   | Cash | Non County Shares | Total   |
|------|---------|------|-------------------|---------|
| 2021 | 710,000 |      |                   | 710,000 |

### Impact on Operating Budget

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

### Appropriation History

| Year  | Amount  | Description                                                                              | Status      |
|-------|---------|------------------------------------------------------------------------------------------|-------------|
| 2020  | 500,000 | Upgrade of the email system, servers, forensic, surveillance equipment and storage space | IN PROGRESS |
| Total | 500,000 |                                                                                          |             |

### Prior Appropriations

|               | Appropriated | Collected | Uncollected |
|---------------|--------------|-----------|-------------|
| Bond Proceeds | 500,000      |           | 500,000     |
| Total         | 500,000      |           | 500,000     |

### Bonds Authorized

| Bond Act | Amount  | Date Sold | Amount Sold | Balance |
|----------|---------|-----------|-------------|---------|
| 14 20    | 500,000 |           |             | 500,000 |
| Total    | 500,000 |           |             | 500,000 |

## EMPLOYEE PRODUCTIVITY SYSTEMS 2018-2022 ( BIT4C )

**User Department :** Information Technology

**Managing Department(s) :** Information Technology ;

**Estimated Completion Date:** TBD

**Planning Board Recommendation:** Project without physical planning aspects of concern to the Westchester County Planning Board.

### **FIVE YEAR CAPITAL PROGRAM (in thousands)**

|                  | Est Ult Cost | Appropriated | Exp / Obl | 2021  | 2022 | 2023 | 2024 | 2025 | Under Review |
|------------------|--------------|--------------|-----------|-------|------|------|------|------|--------------|
| Gross            | 4,000        | 2,500        | 679       | 1,000 | 500  |      |      |      |              |
| Non County Share |              |              |           |       |      |      |      |      |              |
| Total            | 4,000        | 2,500        | 679       | 1,000 | 500  |      |      |      |              |

### **Project Description**

This project will fund reusable software components that can be assembled to meet the particular requirements of a user rather than buying a single "one-size-fits-all".

### **Current Year Description**

The current year request funds the continuation of this project.

### **Current Year Financing Plan**

| Year | Bonds     | Cash | Non County Shares | Total     |
|------|-----------|------|-------------------|-----------|
| 2021 | 1,000,000 |      |                   | 1,000,000 |

### **Impact on Operating Budget**

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

### **Appropriation History**

| Year  | Amount    | Description                                                                                                                              | Status                      |
|-------|-----------|------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|
| 2018  | 1,000,000 | Funds security software, appliances, installation/implementation services and acquisition, licensing and associated development software | IN PROGRESS                 |
| 2019  | 500,000   | Continuation for this project                                                                                                            | IN PROGRESS                 |
| 2020  | 1,000,000 | Continuation of this project                                                                                                             | AWAITING BOND AUTHORIZATION |
| Total | 2,500,000 |                                                                                                                                          |                             |

### **Prior Appropriations**

|               | Appropriated | Collected | Uncollected |
|---------------|--------------|-----------|-------------|
| Bond Proceeds | 2,500,000    | 149,820   | 2,350,180   |
| Total         | 2,500,000    | 149,820   | 2,350,180   |

### **Bonds Authorized**

| Bond Act | Amount    | Date Sold | Amount Sold | Balance   |
|----------|-----------|-----------|-------------|-----------|
| 113 19   | 1,000,000 | 12/10/19  | 50,105      | 850,180   |
|          |           | 12/10/19  | 9,895       |           |
|          |           | 04/30/20  | 89,820      |           |
| 106 20   | 500,000   |           |             | 500,000   |
| Total    | 1,500,000 |           | 149,820     | 1,350,180 |

## PURCHASE OF COMPUTER EQUIPMENT 2021-2025 ( BIT6D )

**User Department :** Information Technology

**Managing Department(s) :** Information Technology ;

**Estimated Completion Date:** TBD

**Planning Board Recommendation:** Project without physical planning aspects of concern to the Westchester County Planning Board.

### **FIVE YEAR CAPITAL PROGRAM (in thousands)**

|                  | Est Ult Cost | Appropriated | Exp / Obl | 2021  | 2022 | 2023 | 2024 | 2025 Under Review |
|------------------|--------------|--------------|-----------|-------|------|------|------|-------------------|
| Gross            | 3,250        |              |           | 1,000 | 750  | 750  | 750  |                   |
| Non County Share |              |              |           |       |      |      |      |                   |
| Total            | 3,250        |              |           | 1,000 | 750  | 750  | 750  |                   |

### **Project Description**

This project funds the purchase of servers, related equipment and services.

### **Current Year Description**

The current request funds new equipment for Server 2008 migrations and Microsoft Exchange Servers.

### **Current Year Financing Plan**

| Year | Bonds     | Cash | Non County Shares | Total     |
|------|-----------|------|-------------------|-----------|
| 2021 | 1,000,000 |      |                   | 1,000,000 |

### **Impact on Operating Budget**

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

## NETWORK AND SECURITY INFRASTRUCTURE UPGRADES 2020-2024 ( BIT47 )

**User Department :** Information Technology

**Managing Department(s) :** Information Technology ;

**Estimated Completion Date:** TBD

**Planning Board Recommendation:** Project without physical planning aspects of concern to the Westchester County Planning Board.

### **FIVE YEAR CAPITAL PROGRAM (in thousands)**

|                         | Est Ult Cost | Appropriated | Exp / Obl  | 2021       | 2022       | 2023       | 2024       | 2025 | Under Review |
|-------------------------|--------------|--------------|------------|------------|------------|------------|------------|------|--------------|
| <b>Gross</b>            | <b>3,950</b> | <b>650</b>   | <b>264</b> | <b>750</b> | <b>800</b> | <b>850</b> | <b>900</b> |      |              |
| <b>Non County Share</b> |              |              |            |            |            |            |            |      |              |
| <b>Total</b>            | <b>3,950</b> | <b>650</b>   | <b>264</b> | <b>750</b> | <b>800</b> | <b>850</b> | <b>900</b> |      |              |

### **Project Description**

This project will fund the continuation of Network and Security Infrastructure Upgrades with the replacement of obsolete hardware, software and related services.

### **Current Year Description**

The current year request funds the continuation of this project.

### **Current Year Financing Plan**

| Year | Bonds   | Cash | Non County Shares | Total   |
|------|---------|------|-------------------|---------|
| 2021 | 750,000 |      |                   | 750,000 |

### **Impact on Operating Budget**

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

### **Appropriation History**

| Year         | Amount         | Description                                      | Status      |
|--------------|----------------|--------------------------------------------------|-------------|
| 2020         | 650,000        | Upgrade of the Network & Cybersecurity platforms | IN PROGRESS |
| <b>Total</b> | <b>650,000</b> |                                                  |             |

### **Prior Appropriations**

|                      | Appropriated   | Collected | Uncollected    |
|----------------------|----------------|-----------|----------------|
| <b>Bond Proceeds</b> | <b>650,000</b> |           | <b>650,000</b> |
| <b>Total</b>         | <b>650,000</b> |           | <b>650,000</b> |

### **Bonds Authorized**

| Bond Act     | Amount         | Date Sold | Amount Sold | Balance        |
|--------------|----------------|-----------|-------------|----------------|
| 109 20       | 650,000        |           |             | 650,000        |
| <b>Total</b> | <b>650,000</b> |           |             | <b>650,000</b> |

## POLICE RECORD MANAGEMENT SYSTEM UPGRADE ( BIT49 )

**User Department :** Information Technology

**Managing Department(s) :** Information Technology ; Public Safety ;

**Estimated Completion Date:** TBD

**Planning Board Recommendation:** Project without physical planning aspects of concern to the Westchester County Planning Board.

### FIVE YEAR CAPITAL PROGRAM (in thousands)

|                  | Est Ult Cost | Appropriated | Exp / Obl | 2021 | 2022  | 2023 | 2024 | 2025 | Under Review |
|------------------|--------------|--------------|-----------|------|-------|------|------|------|--------------|
| Gross            | 2,250        | 250          |           | 750  | 1,250 |      |      |      |              |
| Non County Share |              |              |           |      |       |      |      |      |              |
| Total            | 2,250        | 250          |           | 750  | 1,250 |      |      |      |              |

### Project Description

This project will fund the purchase of software, hardware and related services to upgrade and expand the capabilities of this system.

### Current Year Description

The current request funds the purchase of this system.

### Current Year Financing Plan

| Year | Bonds   | Cash | Non County Shares | Total   |
|------|---------|------|-------------------|---------|
| 2021 | 750,000 |      |                   | 750,000 |

### Impact on Operating Budget

The impact on the Operating Budget is the appropriation of Cash to Capital and the debt service associated with the issuance of bonds.

### Appropriation History

| Year  | Amount  | Description       | Status      |
|-------|---------|-------------------|-------------|
| 2020  | 250,000 | Funding for study | IN PROGRESS |
| Total | 250,000 |                   |             |

### Prior Appropriations

|               | Appropriated | Collected | Uncollected |
|---------------|--------------|-----------|-------------|
| Funds Revenue | 250,000      | 250,000   |             |
| Total         | 250,000      | 250,000   |             |

## CRITICAL COMMUNICATION LOGGING SYSTEM REPLACEMENT 2021-2025 ( BIT54 )

**User Department :** Information Technology

**Managing Department(s) :** Information Technology ;

**Estimated Completion Date:** TBD

**Planning Board Recommendation:** Project without physical planning aspects of concern to the Westchester County Planning Board.

### **FIVE YEAR CAPITAL PROGRAM (in thousands)**

|                  | Est Ult Cost | Appropriated | Exp / Obl | 2021       | 2022       | 2023       | 2024       | 2025       | Under Review |
|------------------|--------------|--------------|-----------|------------|------------|------------|------------|------------|--------------|
| Gross            | 1,250        |              |           | 250        | 250        | 250        | 250        | 250        |              |
| Non County Share |              |              |           |            |            |            |            |            |              |
| <b>Total</b>     | <b>1,250</b> |              |           | <b>250</b> | <b>250</b> | <b>250</b> | <b>250</b> | <b>250</b> |              |

### **Project Description**

This project will fund the procurement of equipment, servers, data storage, software and services required to replace the existing "Eventide" and "Calabrio Systems with a solution technologically able to meet and exceed the County's Critical Communication Logging Systems needs.

### **Current Year Description**

The current year request funds this project.

### **Current Year Financing Plan**

| Year | Bonds   | Cash | Non County Shares | Total   |
|------|---------|------|-------------------|---------|
| 2021 | 250,000 |      |                   | 250,000 |

### **Impact on Operating Budget**

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

## BUILDING ACCESS CONTROL AND VIDEO SURVEILLANCE SYSTEMS UPGRADE ( BIT45 )

**User Department :** Information Technology

**Managing Department(s) :** Information Technology ;

**Estimated Completion Date:** TBD

**Planning Board Recommendation:** Project without physical planning aspects of concern to the Westchester County Planning Board.

### **FIVE YEAR CAPITAL PROGRAM (in thousands)**

|                  | Est Ult Cost | Appropriated | Exp / Obl | 2021  | 2022 | 2023 | 2024 | 2025 | Under Review |
|------------------|--------------|--------------|-----------|-------|------|------|------|------|--------------|
| Gross            | 3,000        | 2,000        | 509       | 1,000 |      |      |      |      |              |
| Non County Share |              |              |           |       |      |      |      |      |              |
| Total            | 3,000        | 2,000        | 509       | 1,000 |      |      |      |      |              |

### **Project Description**

This project will fund the replacement and upgrade of Building Access Control and Video surveillance systems which include software, hardware, network infrastructure, training and implementation services. These systems will help to manage building access and monitor surveillance at various County Office Buildings.

### **Current Year Description**

The current year request funds the continuation of this project.

### **Current Year Financing Plan**

| Year | Bonds     | Cash | Non County Shares | Total     |
|------|-----------|------|-------------------|-----------|
| 2021 | 1,000,000 |      |                   | 1,000,000 |

### **Impact on Operating Budget**

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

### **Appropriation History**

| Year  | Amount    | Description                   | Status                      |
|-------|-----------|-------------------------------|-----------------------------|
| 2019  | 1,000,000 | Funds the replacement/upgrade | IN PROGRESS                 |
| 2020  | 1,000,000 | Continuation of this project  | AWAITING BOND AUTHORIZATION |
| Total | 2,000,000 |                               |                             |

### **Prior Appropriations**

|               | Appropriated | Collected | Uncollected |
|---------------|--------------|-----------|-------------|
| Bond Proceeds | 2,000,000    | 177,753   | 1,822,247   |
| Total         | 2,000,000    | 177,753   | 1,822,247   |

### **Bonds Authorized**

| Bond Act | Amount    | Date Sold | Amount Sold | Balance |
|----------|-----------|-----------|-------------|---------|
| 90 19    | 1,000,000 | 12/10/19  | 101,046     | 822,247 |
|          |           | 12/10/19  | 19,954      |         |
|          |           | 04/30/20  | 56,753      |         |
| Total    | 1,000,000 |           | 177,753     | 822,247 |

## WESTCHESTER COUNTY BASEMAP UPDATE - PHASE 2 ( BIT40 )

**User Department :** Information Technology

**Managing Department(s) :** Information Technology ;

**Estimated Completion Date:** TBD

**Planning Board Recommendation:** Project without physical planning aspects of concern to the Westchester County Planning Board.

### **FIVE-YEAR CAPITAL PROGRAM (in thousands)**

|                  | Est Ult Cost | Appropriated | Exp / Obl | 2021 | 2022 | 2023 | 2024 | 2025 | Under Review |
|------------------|--------------|--------------|-----------|------|------|------|------|------|--------------|
| Gross            | 500          | 500          | 272       |      |      |      |      |      |              |
| Non County Share |              |              |           |      |      |      |      |      |              |
| Total            | 500          | 500          | 272       |      |      |      |      |      |              |

### **Project Description**

This project will fund professional and photogrammetric services required to update the existing County-wide digital base map.

### **Current Year Description**

There is no current year request.

### **Impact on Operating Budget**

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

### **Appropriation History**

| Year  | Amount  | Description                       | Status                      |
|-------|---------|-----------------------------------|-----------------------------|
| 2017  | 350,000 | Implementation of this project    | IN PROGRESS                 |
| 2018  | 150,000 | Funds completion of this project. | AWAITING BOND AUTHORIZATION |
| Total | 500,000 |                                   |                             |

### **Prior Appropriations**

|               | Appropriated | Collected | Uncollected |
|---------------|--------------|-----------|-------------|
| Bond Proceeds | 500,000      | 241,783   | 258,217     |
| Total         | 500,000      | 241,783   | 258,217     |

### **Bonds Authorized**

| Bond Act | Amount  | Date Sold | Amount Sold | Balance |
|----------|---------|-----------|-------------|---------|
| 101 17   | 350,000 | 12/10/18  | 22,314      | 108,217 |
|          |         | 12/10/19  | 183,276     |         |
|          |         | 12/10/19  | 36,193      |         |
| Total    | 350,000 |           | 241,783     | 108,217 |



## REPLACE BUS WASH AT THE CERRATO MAINTENANCE FACILITY ( T0045 )

User Department : Airport/DOT

Managing Department(s) : Airport/DOT ;

Estimated Completion Date: TBD

Planning Board Recommendation: Project without physical planning aspects of concern to the Westchester County Planning Board.

### FIVE YEAR CAPITAL PROGRAM (in thousands)

|                  | Est Ult Cost | Appropriated | Exp / Obl | 2021  | 2022 | 2023 | 2024 | 2025 | Under Review |
|------------------|--------------|--------------|-----------|-------|------|------|------|------|--------------|
| Gross            | 3,225        | 525          | 151       | 2,700 |      |      |      |      |              |
| Non County Share |              |              |           |       |      |      |      |      |              |
| Total            | 3,225        | 525          | 151       | 2,700 |      |      |      |      |              |

### Project Description

This project funds the purchase of new bus wash components and equipment. The current components and equipment have reached the end of their useful life.

### Current Year Description

The current request funds a change in scope and a cost escalation.

### Current Year Financing Plan

| Year | Bonds     | Cash | Non County Shares | Total     |
|------|-----------|------|-------------------|-----------|
| 2021 | 2,700,000 |      |                   | 2,700,000 |

### Impact on Operating Budget

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

### Appropriation History

| Year  | Amount  | Description               | Status                      |
|-------|---------|---------------------------|-----------------------------|
| 2016  | 455,000 | Purchase and installation | DESIGN                      |
| 2019  | 70,000  | Cost escalation           | AWAITING BOND AUTHORIZATION |
| Total | 525,000 |                           |                             |

### Prior Appropriations

|               | Appropriated | Collected | Uncollected |
|---------------|--------------|-----------|-------------|
| Bond Proceeds | 525,000      | 445,589   | 79,411      |
| Total         | 525,000      | 445,589   | 79,411      |

### Bonds Authorized

| Bond Act | Amount  | Date Sold | Amount Sold | Balance |
|----------|---------|-----------|-------------|---------|
| 240 16   | 455,000 | 12/15/17  | 375,973     | 9,411   |
|          |         | 12/15/17  | 69,033      |         |
|          |         | 12/15/17  | 583         |         |
| Total    | 455,000 |           | 445,589     | 9,411   |

## LABS AND RESEARCH EQUIPMENT ACQUISITION (2016-2020) ( BLR2D )

User Department : Labs and Research

Managing Department(s) : Labs and Research ;

Estimated Completion Date: TBD

Planning Board Recommendation: Project without physical planning aspects of concern to the Westchester County Planning Board.

### **FIVE YEAR CAPITAL PROGRAM (in thousands)**

|                  | Est Ult Cost | Appropriated | Exp / Obl | 2021 | 2022 | 2023 | 2024 | 2025 | Under Review |
|------------------|--------------|--------------|-----------|------|------|------|------|------|--------------|
| Gross            | 3,609        | 3,609        | 3,194     |      |      |      |      |      |              |
| Non County Share |              |              |           |      |      |      |      |      |              |
| Total            | 3,609        | 3,609        | 3,194     |      |      |      |      |      |              |

### **Project Description**

This project funds for the replacement and acquisition of equipment to support this department's programs.

### **Current Year Description**

There is no current year request.

### **Impact on Operating Budget**

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

### **Appropriation History**

| Year  | Amount    | Description                  | Status      |
|-------|-----------|------------------------------|-------------|
| 2016  | 815,000   | Purchase of equipment        | COMPLETE    |
| 2017  | 795,000   | Purchase of equipment        | IN PROGRESS |
| 2018  | 769,000   | Purchase of equipment        | IN PROGRESS |
| 2019  | 850,000   | Purchase of equipment        | IN PROGRESS |
| 2020  | 380,000   | Continuation of this project | IN PROGRESS |
| Total | 3,609,000 |                              |             |

### **Prior Appropriations**

|               | Appropriated | Collected | Uncollected |
|---------------|--------------|-----------|-------------|
| Bond Proceeds | 3,609,000    | 2,597,213 | 1,011,787   |
| Total         | 3,609,000    | 2,597,213 | 1,011,787   |

# **LABS AND RESEARCH EQUIPMENT ACQUISITION (2016-2020)** **( BLR2D )**

| <b>Bonds Authorized</b> |                  |                  |                    |                  |  |
|-------------------------|------------------|------------------|--------------------|------------------|--|
| <b>Bond Act</b>         | <b>Amount</b>    | <b>Date Sold</b> | <b>Amount Sold</b> | <b>Balance</b>   |  |
| <b>12 16</b>            | <b>815,000</b>   | <b>12/15/16</b>  | <b>43,732</b>      | <b>6,775</b>     |  |
|                         |                  | <b>12/15/17</b>  | <b>571,532</b>     |                  |  |
|                         |                  | <b>12/15/17</b>  | <b>104,939</b>     |                  |  |
|                         |                  | <b>12/15/17</b>  | <b>887</b>         |                  |  |
|                         |                  | <b>12/10/18</b>  | <b>21,376</b>      |                  |  |
|                         |                  | <b>12/10/19</b>  | <b>17,176</b>      |                  |  |
|                         |                  | <b>12/10/19</b>  | <b>3,392</b>       |                  |  |
|                         |                  | <b>04/30/20</b>  | <b>45,191</b>      |                  |  |
| <b>148 17</b>           | <b>795,000</b>   | <b>12/10/18</b>  | <b>387,153</b>     | <b>241,575</b>   |  |
|                         |                  | <b>12/10/19</b>  | <b>138,852</b>     |                  |  |
|                         |                  | <b>12/10/19</b>  | <b>27,420</b>      |                  |  |
| <b>70 18</b>            | <b>769,000</b>   | <b>12/10/19</b>  | <b>606,531</b>     | <b>42,694</b>    |  |
|                         |                  | <b>12/10/19</b>  | <b>119,775</b>     |                  |  |
| <b>74 19</b>            | <b>850,000</b>   | <b>04/30/20</b>  | <b>509,257</b>     | <b>340,743</b>   |  |
| <b>21 20</b>            | <b>380,000</b>   |                  |                    | <b>380,000</b>   |  |
| <b>Total</b>            | <b>3,609,000</b> |                  | <b>2,597,213</b>   | <b>1,011,787</b> |  |

## INTERIOR INFRASTRUCTURE REPAIRS, WHITE PLAINS COMPLEX (2021-2025) ( B015E )

User Department : Public Works

Managing Department(s) : Public Works ;

Estimated Completion Date: TBD

Planning Board Recommendation: Project without physical planning aspects of concern to the Westchester County Planning Board.

### **FIVE-YEAR CAPITAL PROGRAM (in thousands)**

|                  | Est Ult Cost | Appropriated | Exp / Obl | 2021  | 2022  | 2023 | 2024 | 2025 | Under Review |
|------------------|--------------|--------------|-----------|-------|-------|------|------|------|--------------|
| Gross            | 2,750        |              |           | 1,750 | 1,000 |      |      |      |              |
| Non County Share |              |              |           |       |       |      |      |      |              |
| Total            | 2,750        |              |           | 1,750 | 1,000 |      |      |      |              |

### **Project Description**

This project funds the rehabilitation of building interiors and infrastructure.

### **Current Year Description**

The current year request funds replacement of courtroom doors and upgrades to courtroom return air systems.

### **Current Year Financing Plan**

| Year | Bonds     | Cash | Non County<br>Shares | Total     |
|------|-----------|------|----------------------|-----------|
| 2021 | 1,750,000 |      |                      | 1,750,000 |

### **Impact on Operating Budget**

The impact on the Operating Budget is the debt service associated with the issuance of bonds.

**THE HONORABLE BOARD OF LEGISLATORS  
THE COUNTY OF WESTCHESTER**

Your Committee is in receipt of a communication from the County Executive recommending the approval of an Act which would authorize the County of Westchester (“County”), acting by and through its Department of Public Safety (“Department”), to enter into an intermunicipal agreement (“IMA”) with the cities of Yonkers, Mount Vernon and New Rochelle (the “Municipalities”) for the distribution to each of the Municipalities of grant funds received from the Edward Byrne Memorial Justice Assistance Grant for 2020 (“JAG”). The IMA will be for a four-year term from October 1, 2019 through September 30, 2023.

Pursuant to the IMA, the County will administer the distribution of funding from the U.S. Department of Justice provided under the Edward Byrne Memorial JAG program for fiscal year 2020. Under the IMA, the Department will receive funding to help cover overtime expenses. Of the \$103,345 in JAG funding to be provided to the County, the County will disburse an estimated aggregate total of \$77,509 for various law enforcement programs, as follows: The City of Yonkers will receive an estimated amount of \$45,805 of JAG funds; the City of Mt. Vernon will receive an estimated amount of \$23,229 of JAG funds; and the City of New Rochelle will receive an estimated amount of \$8,475 of JAG funds. The County will use the anticipated remainder of \$25,836 in JAG funds for the Department’s law enforcement programs. The County will disburse the JAG funds in accordance with the IMA terms and following receipt of all appropriate documentation from the Municipalities.

The JAG grant program allows local governments to support a broad range of activities to prevent and control crime based on local needs and conditions. The Department has applied for this grant as part of its continuing effort to pay for overtime expenses associated with the operation of the County’s Criminal and Family Court operations.

The Planning Department has advised that the activity undertaken pursuant to the proposed Agreement constitutes a Type II action under the State Environmental Quality Review Act (“SEQR”), and its implementing regulations, 6 NYCRR, Part 617, Section 617.5(c)(20), which is an action determined not to have a significant effect on the environment and therefore does not require further environmental review. Your Committee concurs with this conclusion.

Approval of the proposed IMA must be by an affirmative vote of not less than a majority of your Honorable Board. Based upon careful consideration of the foregoing, your Committee recommends the adoption of the aforementioned Act.

Date: March 22, 2021  
White Plains, New York

Benjamin Boyfren II  
Colin [Signature]

Benjamin Boyfren II  
Colin [Signature]

Public Safety

Budget & Appropriation

COMMITTEE ON

Dated: March 22, 2021  
White Plains, New York

*The following members attended the meeting remotely, as per Governor Cuomo's Executive Order 202.1 and approved this item out of Committee with an affirmative vote. Their electronic signature was authorized and is below.*

Committee(s) on:

**Budget & Appropriations**

*Nancy E. Dan*

*Margaret A. Cunzio*

*Debra J. Rubin*

*Klaus R. Maher*

*Catherine F. Parker*

*Doris A. Javits*

*Ruth Walker*

*Alfreda Willis*

*Christy*

**Public Safety**

*Margaret A. Cunzio*

*Mary Jane Skimish*

*Nancy E. Dan*

*Christy*

*John P. Hall*

# FISCAL IMPACT STATEMENT

SUBJECT: Edward Byrne Memorial JAG GRANT

☒ NO FISCAL IMPACT PROJECTED

## OPERATING BUDGET IMPACT

To Be Completed by Submitting Department and Reviewed by Budget

### SECTION A - FUND

☒ GENERAL FUND

☐ AIRPORT FUND

☐ SPECIAL DISTRICTS FUND

### SECTION B - EXPENSES AND REVENUES

Total Current Year Expense \_\_\_\_\_

Total Current Year Revenue \_\_\_\_\_

Source of Funds (check one): ☒ Current Appropriations ☐ Transfer of Existing Appropriations

☐ Additional Appropriations ☐ Other (explain)

Identify Accounts: G 029- 38-029W Source of Funds: BJA-COPS JAG GRANT

Potential Related Operating Budget Expenses: Annual Amount \_\_\_\_\_

Describe: N/A

Potential Related Operating Budget Revenues: Annual Amount \_\_\_\_\_

Describe: N/A

Anticipated Savings to County and/or Impact on Department Operations:

Current Year: \_\_\_\_\_

Next Four Years: 2019-2023 Expenses \$103,345 and Revenue \$103,345

Prepared by: Siva Gopalkrishna

Title: Supervisor-Fiscal Operations

Department: Public Safety

Date: January 29, 2021


Reviewed By: \_\_\_\_\_

Budget Director

Date: 2/25/21



TO: Thomas Gleason, Commissioner  
Department of Public Safety

FROM: David S. Kvinge, AICP, RLA, CFM   
Director of Environmental Planning

DATE: January 26, 2021

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR AGREEMENTS  
WITH YONKERS, MOUNT VERNON AND NEW ROCHELLE FOR  
DISTRIBUTION OF JUSTICE ASSISTANCE GRANT FUNDS**

---

**PROJECT/ACTION:** Intermunicipal agreement between the County, acting by and through the Department of Public Safety, and the cities of Yonkers, Mount Vernon and New Rochelle for the distribution of grant funds received from the Justice Assistance Grant for 2020. Grant funds will be used for additional staff time for investigative services, the purchase of equipment, and similar activities that do not affect the physical environment. The IMA will be for a four-year term from October 1, 2019 through September 30, 2023.

**With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, the Planning Department recommends that no further environmental review is required because the project/action:**

- ☐ **DOES NOT MEET THE DEFINITION OF AN "ACTION" AS DEFINED UNDER SECTION 617.2(b)**
- ☒ **MAY BE CLASSIFIED AS TYPE II PURSUANT TO SECTION 617.5(c)(31):**  
purchase or sale of furnishings, equipment or supplies, including surplus government property, other than the following: land, radioactive material, pesticides, herbicides, or other hazardous materials;

---

**COMMENTS:** None

cc: Andrew Ferris, Chief of Staff  
Paula Friedman, Assistant to the County Executive  
Norma Drummond, Commissioner  
Siva Gopalkrishna, Supervisor, Fiscal Operations, DPS  
Claudia Maxwell, Associate Environmental Planner

ACT NO. \_\_\_\_\_-20\_\_\_\_

AN ACT to authorize the County of Westchester to enter into a four-year intermunicipal agreement with the Cities of Yonkers, Mount Vernon and New Rochelle for the administration of the Edward Byrne Memorial Justice Assistance Grant for 2020.

**BE IT ENACTED** by the Board of Legislators of the County of Westchester as

follows:

**Section 1.** The County of Westchester ("County") is hereby authorized to enter into a four-year inter-municipal agreement ("IMA") for the period from October 1, 2019 through September 30, 2023 with the cities of Yonkers, Mount Vernon, and New Rochelle for the administration of the Edward Byrne Memorial Justice Assistance Grant ("JAG") for 2020. Of the \$103,345 in JAG funding to be provided to the County, the County shall disburse an estimated aggregate total of \$77,509 for various law enforcement programs, as follows: The City of Yonkers shall receive an estimated amount of \$45,805 of JAG funds; the City of Mt. Vernon shall receive an estimated amount of \$23,229 of JAG funds; and the City of New Rochelle shall receive an estimated amount of \$8,475 of JAG funds. The County shall use the anticipated remainder of \$25,836 in JAG funds for law enforcement programs. The County shall disburse the JAG funds in accordance with the IMA terms and following receipt of all appropriate documentation from the above municipal entities.

**§2.** The County Executive, or his duly authorized designee, is hereby authorized and empowered to take such actions and to execute and deliver such instruments as may be necessary and appropriate to accomplish the purposes hereof.

**§3.** This Act shall take effect immediately.

THE STATE OF NEW YORK  
KNOW ALL BY THESE PRESENT  
COUNTY OF WESTCHESTER

GRANT AGREEMENT

BY and AMONG the CITY of YONKERS, the COUNTY of WESTCHESTER,  
the CITY of MOUNT VERNON and the CITY of NEW ROCHELLE

EDWARD BYRNE MEMORIAL JUSTICE ASSISTANCE GRANT (JAG) PROGRAM:  
FY 2020 LOCAL SOLICITATION

This Agreement is made and entered into this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_ by and between:

**COUNTY OF WESTCHESTER** (the "County") a municipal corporation of the State of New York having offices at 148 Martine Avenue, 9<sup>th</sup> floor, White Plains, NY 10601;

**CITY OF YONKERS** ("Yonkers") a municipal corporation of the State of New York having offices at City Hall, 2<sup>nd</sup> floor, 40 South Broadway, Yonkers, NY 10701;

**CITY OF MOUNT VERNON** ("Mt. Vernon") a municipal corporation of the State of New York having offices at City Hall, Roosevelt Square, Mount Vernon, NY 10550; and

**CITY OF NEW ROCHELLE**, a municipal corporation of the State of New York having offices at City Hall, 515 North Avenue, New Rochelle, NY 10801 ("New Rochelle" and collectively with Yonkers, the County and Mt. Vernon, the "Parties").

**WITNESSETH:**

**WHEREAS**, this Agreement is made pursuant to the Edward Byrne Memorial Justice Assistance Grant ("JAG") Program; and

**WHEREAS**, the Parties acknowledge that performance of this Agreement is in the best interests of all parties, that the undertaking will benefit the public, and that the division of costs fairly compensates the performing party for the services or functions under this agreement; and

**WHEREAS**, the parties to this agreement believe it to be in their best interests to allocate the JAG funds as set forth below; and

**WHEREAS**, it is anticipated that the amount to be made available through the JAG Program is \$103,345

**NOW THEREFORE**, the Parties to this agreement agree as follows:

**Section 1.**

The Parties agree that the County shall be their pass-through agent for the JAG Program 2020 Local Solicitation for the term of the grant, which commenced effective 10/01/2019 and continues through September 30, 2023.

**Section 2.**

The Parties agree that should the County be provided with the \$103,345 in JAG funding, then the County will disburse an estimated aggregate total of \$77,509 for various law enforcement programs, as follows:

The City of Yonkers shall receive an estimated amount of \$45,805 of JAG funds; and  
The City of Mt. Vernon shall receive an estimated amount of \$23,229 of JAG funds; and  
The City of New Rochelle shall receive an estimated amount of \$8,475 of JAG funds; and

The County will disburse the JAG funds in accordance with the Grant terms and following receipt of all appropriate documentation from the above municipal entities.

**Section 3.**

The County agrees, assuming receipt thereof, to use the anticipated remainder of \$25,836 in JAG funds for law enforcement programs until the program's expiration date.

**Section 4.**

Each party to this agreement agrees that if these funds are placed in interest bearing accounts that the interest earned on these funds will be used items eligible under the JAG program.

**Section 5.**

Yonkers, Mt. Vernon and New Rochelle (collectively, the Grantees) agree that except for the amount, if any, of damage contributed to, caused by, or resulting from the negligence of the County, the Grantees shall defend, indemnify, and hold harmless the County, its officers, employees, agents, and elected officials from and against any and all liability, damage, claims, demands, costs, judgments, fees, attorney's fees or loss to the extent arising directly or indirectly out of the willful misconduct or negligent performance or failure to perform hereunder by the Grantees or third parties under their direction or control. In addition each of the Grantees agrees to provide defense for and defend, at its sole expense, any and all claims, demands or causes of action directly or indirectly arising out of this MOU and to bear all other costs and expenses related thereto.

The Grantees further agree to give immediate written notice to the County as to any notice it may receive with respect to any cause of action or claim that has been or may be initiated in connection herewith. The foregoing provisions will survive expiration, termination or other cancellation of this Agreement.

**Section 6.**

The parties to this agreement do not intend for any third party to obtain a right by virtue of this Agreement. By entering into this agreement, the parties do not intend to create any obligations express or implied other than those set out herein; further, this Agreement shall not create any rights in any party not a signatory hereto.

**Section 7.**

The Grantees each individually acknowledges and agrees that it has reviewed the applicable grant agreement and any other relevant documents (together the "Grant"). The Grantees agree to, and will cause any subcontractors or other agents under their control to agree to, comply with all applicable Grant requirements, including without limitation all rules and regulations promulgated in furtherance thereof. The Grantees further agree to supply such information and reports as the County may request. The Grantees will, upon request, provide access to the County to examine all relevant books, records, documents or electronic data of necessary to review compliance with the foregoing.

Any term or condition required by the Grant to be set forth herein, to the extent it is not set forth is deemed incorporated herein by reference. The Grantees each hereby certify that neither they, nor their respective subcontractor(s), are debarred, suspended, or otherwise excluded from or ineligible to receive said Grant funding.

**Section 8.**

This Agreement may be executed simultaneously in several counterparts, each of which shall be an original and all of which shall constitute but one and the same instrument. This Agreement shall be construed and enforced in accordance with the laws of the State of New York. In addition, the parties hereby agree that for any cause of action arising out of this MOU shall be brought in the County of Westchester.

**Section 9.**

All notices of any nature to be provided hereunder shall be in writing and either sent by registered or certified mail postage pre-paid, or delivered by hand or overnight courier, to the parties at the addresses first set forth above or to such other addresses as the respective parties hereto may designate in writing. A copy of any notice shall additionally be sent to the County Attorney and each of the Corporation Counsels.

[No Further Text This Page].

IN WITNESS WHEREOF, the Parties have caused this Agreement to be executed.

**CITY OF YONKERS**

\_\_\_\_\_  
By:

APPROVED AS TO FORM:

\_\_\_\_\_  
Corporation Counsel

**COUNTY OF WESTCHESTER**

\_\_\_\_\_  
By: County Executive George Latimer

APPROVED AS TO FORM:

\_\_\_\_\_  
County Attorney

**CITY OF MOUNT VERNON**

\_\_\_\_\_  
By:

APPROVED AS TO FORM:

\_\_\_\_\_  
Corporation Counsel

**CITY OF NEW ROCHELLE**

\_\_\_\_\_  
By:

APPROVED AS TO FORM:

\_\_\_\_\_  
Corporation Counsel

**HONORABLE BOARD OF LEGISLATORS  
THE COUNTY OF WESTCHESTER**

Your Committee is in receipt of a communication from the County Executive wherein he requests that your Honorable Board authorize the County of Westchester (the "County") to enter to enter into an intermunicipal agreement ("IMA") with the City of Yonkers ("City") whereby the County will contribute an amount not to exceed \$150,000.00 towards the construction and installation of the Enslaved Africans' Rain Garden (the "Project") being undertaken by the City on the real property (the "Open Space Parcel") located at 22 Water Grant Street, Yonkers, New York (Block 640, Lot 1 and a portion of Block 2600, Lot 7), which is owned by the Yonkers Industrial Development Agency (the "Yonkers IDA").

Your Committee is advised that, for the Project, the City is going to build a permanent outdoor sculpture exhibit dedicated to the legacy of enslaved Africans that once lived at nearby Philipse Manor Hall and were freed more than sixty (60) years before the Emancipation Proclamation. There will be five (5) life-size bronze sculptures placed on four (4) concrete pads along the Hudson River esplanade on the Open Space Parcel immediately west of the newly constructed Apex Apartments. The Project will be in an area that is approximately .4 acre within the Open Space Parcel and will include landscaping, custom seating at each of the four (4) concrete pad areas and a stone river bed meandering behind the sculptures.

Under the proposed IMA, the City will be responsible for all work on the Project, including the design, construction, and installation of the sculptures, in accordance with applicable laws.

The total cost for construction of the Project is estimated to be \$157,138.70. The County will reimburse the City an amount not to exceed \$150,000.00 to be used towards construction of the Project, including the cost of earth work, a faux gravel brook, foundations for the sculptures, landscaping, benches, native species plantings and lighting.

Pursuant to the IMA, the County's reimbursement will be subject to, among other things, the City providing the County with proof of the execution of the following two (2) agreements:

(1) an easement agreement between the City and the Yonkers IDA in which the Yonkers IDA will grant a permanent easement over the Open Space Parcel to the City to access, display and maintain the Project and to the general public to access and view the Project for pedestrian and passive viewing; and

(2) a license agreement between the City, the Yonkers IDA, Water Grant Street, LLC, and any other necessary persons or entities granting the City, its agents, contractors, subcontractors, and consultants the right to access the Project over the adjoining parcel identified as Block 643, Lot 1, which is owned by the Yonkers IDA and leased to Water Grant Street LLC, and over the Open Space Parcel for the purpose of constructing, installing and maintaining the Project.

Following construction, the City will be responsible to provide for the maintenance, repair and security of the Project at its own expense. The Project will be accessible to all County residents. The term of the IMA will be five (5) years commencing upon execution of the IMA and terminating five (5) years thereafter, unless terminated sooner in accordance with the provisions of this IMA.

As your Honorable Board is aware, no action may be taken with regard to the proposed legislation until the requirements of the State Environmental Quality Review Act and its implementing regulations, 6 NYCRR Part 617 ("SEQRA") have been met. The Planning Department advises that, pursuant to SEQRA, the Yonkers Community Development Agency (the "CDA") classified the Project as an Unlisted action. On January 21, 2021, the CDA issued a notice of intent to serve as lead agency and circulated Part 1 of Short Environmental Assessment Form. On February 22, 2021, the CDA issued a Negative Declaration for the Project. Since the CDA undertook a coordinated review, and the County was included as an involved agency, then, in accordance with section 617.6(b)(3) of SEQRA, no further environmental review is required by the County. Your Committee concurs with this conclusion.

It should be noted that approval of the Act authorizing the County to enter into this IMA requires the affirmative vote of a majority of the voting strength of your Honorable Board.



Your Committee believes that this IMA is in the best interest of the County and recommends adoption of the annexed Act.

Dated: March 22, 2021  
White Plains, New York

Benjamin Boyfunt

Benjamin Boyfunt  
Clerk

Public Works &  
Transportation COMMITTEE ON  
C/ran 3.2.2021

Budget & Appropriation

Dated: March 22, 2021  
White Plains, New York

*The following members attended the meeting remotely, as per Governor Cuomo's Executive Order 202.1 and approved this item out of Committee with an affirmative vote. Their electronic signature was authorized and is below.*

Committee(s) on:

**Budget & Appropriations**

*Nancy E. Barr*

*Debra J. Gellin*

*Margaret A. Cunzio*

*William R. Maher*

*Catherine F. Parker*

*Alfreda Willis*

*Doris A. Jabris*

*Ruth Walker*

*Cheryl*

**Public Works & Transportation**

*Debra J. Gellin*

*Mary Jane Skimich*

*Cheryl*

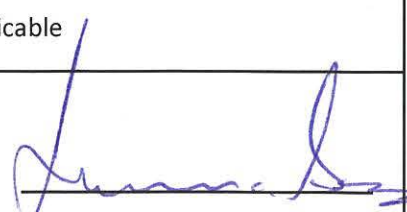
*Catherine F. Parker*

*Doris A. Jabris*


*Ruth Walker*

*Cheryl*

## FISCAL IMPACT STATEMENT

|                                                                                                                                              |                                       |                                                                                                                                                                                                |
|----------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| CAPITAL PROJECT #: <u>BPL32</u> CASH TO CAPITAL                                                                                              |                                       | <input type="checkbox"/> NO FISCAL IMPACT PROJECTED                                                                                                                                            |
| <b>SECTION A - CAPITAL BUDGET IMPACT</b><br>To Be Completed by Budget                                                                        |                                       |                                                                                                                                                                                                |
| <input checked="" type="checkbox"/> GENERAL FUND                                                                                             | <input type="checkbox"/> AIRPORT FUND | <input type="checkbox"/> SPECIAL DISTRICTS FUND                                                                                                                                                |
| Source of County Funds (check one):                                                                                                          |                                       | <input checked="" type="checkbox"/> Current Appropriations                                                                                                                                     |
|                                                                                                                                              |                                       | <input type="checkbox"/> Capital Budget Amendment                                                                                                                                              |
| <b>SECTION B - BONDING AUTHORIZATIONS</b><br>To Be Completed by Finance                                                                      |                                       |                                                                                                                                                                                                |
| Total Principal                                                                                                                              | \$ -                                  | PPU Anticipated Interest Rate                                                                                                                                                                  |
| Anticipated Annual Cost (Principal and Interest):                                                                                            |                                       |                                                                                                                                                                                                |
| Total Debt Service (Annual Cost x Term):                                                                                                     | \$ -                                  |                                                                                                                                                                                                |
| Finance Department:                                                                                                                          |                                       |                                                                                                                                                                                                |
| <b>SECTION C - IMPACT ON OPERATING BUDGET</b> (exclusive of debt service)<br>To Be Completed by Submitting Department and Reviewed by Budget |                                       |                                                                                                                                                                                                |
| Potential Related Expenses (Annual):                                                                                                         | \$ -                                  |                                                                                                                                                                                                |
| Potential Related Revenues (Annual):                                                                                                         | \$ -                                  |                                                                                                                                                                                                |
| Anticipated savings to County and/or impact of department operations<br>(describe in detail for current and next four years):                |                                       |                                                                                                                                                                                                |
| <hr/> <hr/>                                                                                                                                  |                                       |                                                                                                                                                                                                |
| <b>SECTION D - EMPLOYMENT</b><br>As per Federal guidelines each \$92,000 of appropriation funds one FTE Job                                  |                                       |                                                                                                                                                                                                |
| Number of Full Time Equivalent (FTE) Jobs Funded:                                                                                            |                                       |                                                                                                                                                                                                |
| <b>SECTION E - EXPECTED DESIGN WORK PROVIDER</b>                                                                                             |                                       |                                                                                                                                                                                                |
| <input type="checkbox"/> County Staff                                                                                                        | <input type="checkbox"/> Consultant   | <input checked="" type="checkbox"/> Not Applicable                                                                                                                                             |
| Prepared by:                                                                                                                                 | <u>Lorraine Yazzetta</u>              | <div style="text-align: right;"><br/>Reviewed By: _____<br/>Budget Director<br/>Date: <u>3/4/21</u></div> |
| Title:                                                                                                                                       | <u>Assoc Budget Director</u>          |                                                                                                                                                                                                |
| Department:                                                                                                                                  | <u>Budget</u>                         |                                                                                                                                                                                                |
| Date:                                                                                                                                        | <u>March 4, 2021</u>                  |                                                                                                                                                                                                |

TO: Rachel Noe, Associate County Attorney  
Department of Law

FROM: David S. Kvinge, AICP, RLA, CFM   
Director of Environmental Planning

DATE: March 1, 2021

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR  
ENSLAVED AFRICANS' RAIN GARDEN**

---

The Planning Department has reviewed the above referenced action with respect to the State Environmental Quality Review Act and its implementing regulations, 6 NYCRR Part 617 (SEQR).

The action involves an intermunicipal agreement with the City of Yonkers whereby the County will contribute funds toward the construction and installation of the "Enslaved Africans' Rain Garden" on a 0.4-acre site along the Hudson River Esplanade at 22 Water Grant Street in Yonkers. The project includes the construction of a meandering stone river bed, landscaping with native plants, park seating, and the installation of five bronze statues on four concrete pads.

Pursuant to SEQR, the Yonkers Community Development Agency (CDA) classified this project as a Unlisted action. On January 21, 2021, the CDA issued a notice of intent to serve as lead agency and circulated Part 1 of a Short Environmental Assessment Form. On February 22, 2021, the CDA issued a Negative Declaration for the project. Since the CDA undertook coordinated review and the County of Westchester was included as an involved agency, then, in accordance with section 617.6(b)(3), no further environmental review is required by the County.

Please do not hesitate to contact me if you have any questions regarding this matter.

DSK/cnm

cc: Andrew Ferris, Chief of Staff  
Paula Friedman, Assistant to the County Executive  
Norma Drummond, Commissioner  
Tami Altschiller, Assistant Chief Deputy County Attorney  
Anthony Zaino, Assistant Commissioner  
Claudia Maxwell, Associate Environmental Planner

ACT NO. \_\_\_\_ - 2021

AN ACT authorizing the County of Westchester to enter into an intermunicipal agreement with the City of Yonkers whereby the County will contribute funds toward the construction and installation of the Enslaved Africans' Rain Garden to be maintained by the City and accessible to all County residents.

NOW, THEREFORE, BE IT ENACTED by the Board of Legislators of the County of Westchester as follows:

BE IT ENACTED by the Board of Legislators of the County of Westchester as follows:

**Section 1.** The County of Westchester ("County") is hereby authorized to enter into an intermunicipal agreement ("IMA") with the City of Yonkers ("City") whereby the City will be responsible for all work, including the design, construction, and installation of a permanent outdoor sculpture exhibit dedicated to the legacy of enslaved Africans that once lived at nearby Philipse Manor Hall (the "Enslaved Africans' Rain Garden"). The Enslaved Africans' Rain Garden will be constructed on a portion of the real property (the "Open Space Parcel") located at 22 Water Street, Yonkers, New York (Block 640, Lot 1 and a portion Block 2600, Lot 7), which is owned by the Yonkers Industrial Development Agency (the "Yonkers IDA"). Pursuant to the IMA, the County will reimburse the City an amount not to exceed One Hundred and Fifty Thousand (\$150,000.00) Dollars towards the cost of earth work, faux gravel brook, foundations for the sculptures, pedestals for the sculptures, landscaping, benches, native species plantings and lighting for the Project.

**§2.** Pursuant to the IMA, the City will be responsible for all work on the Project, including the design, construction, and installation of the sculptures in accordance with applicable laws. The County's reimbursement will be subject to, among other things, the City providing the County with proof of the execution of the following two (2) agreements:

(1) an easement agreement between the City and the Yonkers IDA in which the Yonkers IDA will grant a permanent easement over the Open Space Parcel to the City to access, display and maintain the Project and to the general public to access and view the Project for pedestrian and passive viewing; and

(2) a license agreement between the City, the Yonkers IDA, Water Grant Street, LLC, and any other necessary persons or entities granting the City, its agents, contractors, subcontractors, and consultants the right to access the Project over the adjoining parcel identified as Block 643, Lot 1, which is owned by the Yonkers IDA and leased to Water Grant Street LLC, and over the Open Space Parcel for the purpose of constructing, installing and maintaining the Project.

§3. Following the construction and installation of the Project, the City will be responsible for the maintenance, repair, and security of the Project at its own expense, and the Project will be open to all Westchester County residents.

§4. The term of the IMA shall be five (5) years commencing upon execution of the IMA and terminating five (5) years thereafter, unless terminated sooner in accordance with the provisions of the IMA.

§5. The County Executive or his authorized designee is hereby authorized and empowered to execute all instruments and to take all action necessary and appropriate to effectuate the purposes hereof.

§6. This Act shall take effect immediately.

## INTER-MUNICIPAL AGREEMENT

**THIS AGREEMENT**, made the \_\_\_\_ day of \_\_\_\_\_, 2021 by and between

**THE COUNTY OF WESTCHESTER**, a municipal corporation of the State of New York, having an office and place of business in the Michaelian Office Building, 148 Martine Avenue, White Plains, New York 10601 (hereinafter referred to as the "County")

and

**THE CITY OF YONKERS**, a municipal corporation of the State of New York, having an office and place of business at 40 South Broadway, Yonkers, New York 10701 (hereinafter referred to as the "Municipality").

The "County" and "Municipality" are collectively referred to herein as the "Parties."

### **RECITALS**

**WHEREAS**, the Yonkers Industrial Development Agency (the "Yonkers IDA") is the owner of certain real property located along the Yonkers Waterfront, known as Block 640, Lot 1, and Block 643, Lot 1 and Block 2600, Lot 7 on the tax maps of the City of Yonkers (the "Agency Property"); and

**WHEREAS**, the Yonkers IDA leased a portion of the Agency Property, known as Block 643, Lot 1 (the "Development Parcel") to SFC H and I LLC ("SFC"), pursuant to that certain Amended and Restated Financing Lease Agreement, dated as of December 29, 2015, a memorandum of which is recorded in the office of the Westchester County Clerk at Control No. 552993210 ("Project Lease"); and

**WHEREAS**, SFC assigned all of its right, title and interest as lessee in, to and under the Project Lease to Water Grant Street, LLC, a limited liability company organized and existing under the laws of the State of Delaware located at c/o JRK Property Holdings, Inc., 11766 Wiltshire Boulevard, 15<sup>th</sup> Floor, Los Angeles, California 90025 (the "Company"), pursuant to that certain assignment and assumption agreement, dated as of December 29, 2015, a memorandum of which was recorded in the office of the Westchester County Clerk at Control No. 553563716; and

**WHEREAS**, Company has developed a multi-family apartment building with structural parking and other related improvements on the Development Parcel and a waterfront park, for the purpose of providing public access to the Hudson River for passive recreational opportunities on the Agency Property known as Block 640, Lot 1 and a portion of Block 2600, Lot 7 (the "Open Space Parcel") pursuant to an Open Space Construction and Maintenance Agreement, dated December 29, 2015, as amended by the First Amendment thereto, dated, April 2, 2019; and

**WHEREAS**, the Municipality desires to construct and install an Enslaved Africans' Rain Garden on a portion of the Open Space Parcel; and

**WHEREAS**, the County desires to assist the Municipality by contributing up to \$150,000 towards the construction of the Enslaved Africans' Rain Garden, namely earth work, faux gravel brook, foundations for the sculptures, pedestals for the sculptures, landscaping, benches, Native Plantings, and lighting; and

**NOW, THEREFORE**, in consideration of the mutual representations, covenants and agreements herein set forth, the County and the Municipality, each binding itself, its successors and assigns, do mutually promise, covenant and agree as follows:

## **ARTICLE I**

### **TERM**

**Section 1.0.** Except as provided for in this Agreement, the term of this Agreement shall be for a period of (5) five years, commencing upon execution of this Agreement and terminating five (5) years thereafter, unless terminated sooner in accordance with the provisions of this Agreement.

## **ARTICLE II**

### **IMPROVEMENTS TO THE PROPERTY**



**Section 2.0.** The Municipality shall design, construct and install an Enslaved Africans' Rain Garden, as more particularly described in the plans and specifications attached hereto in Schedule "A" (the "Art Project"). The Art Project shall be constructed and installed on a portion of the Open Space Parcel identified as the "Work Area" in Schedule "B", which is attached hereto and made a part hereof and is referred to in this Agreement as the "Property." The Art Project shall be designed, constructed and installed in accordance with all applicable laws, including but not limited to, those governing public bidding, and be responsible for all work on the Art Project, including design, permitting, inspection, construction, construction management and installation. The design, construction and installation of the Art Project shall be completed within twelve (12) months of execution of this Agreement.

The Municipality submitted and the County hereby approves the plans and specifications for one hundred percent (100%) completion stage for the Art Project, which plans and specifications are attached hereto and made a part hereof as Schedule "A" (the "Plans"). The Municipality shall submit within 30 days of execution of this Agreement the bid documents to be used by the Municipality to publicly bid the Art Project, to the Commissioner of Planning or her designee (the "Commissioner") for review, and said bid documents, shall be mutually approved by all parties. The Municipality shall not materially deviate from the approved Plans and bid documents without the prior written consent of the Commissioner. It is recognized and understood that the Municipality's compliance with those Plans is a critical element of this Agreement. However, the County will not be obliged to incur any additional expense beyond the amount set forth in Section 2:1 below. The County shall, at all times, have the right to inspect the work. If the County believes that the work is not substantially in compliance with the Plans, it shall notify the Municipality in writing within twenty (20) days after such inspection.

The City agrees that at all times during this Agreement, it will cause, in writing, its contractors and subcontractors to keep in force and effect insurance as required in the Standard Insurance Provisions as described in Schedule "C." The bid documents shall include the requirement that the successful bidder keep in force and effect insurance as required in the Standard Insurance Provisions as described in Schedule "C." References in Schedule "C" imposing an obligation for the Municipality to produce insurance is understood by the Parties as an obligation to provide the County with the successful bidder's and any successful bidder's sub-contractors' insurance in compliance with Schedule "C". The City's contractors and subcontractors may not self-insure, but must maintain traditional insurance as set

forth in this Agreement, including Schedule "C." The bid documents shall include the requirement that, prior to the successful bidder performing work on the Art Project, the successful bidder shall provide the County with a certificate or certificate(s) of insurance of the successful bidder, and any subcontractors of the bidder, naming the County and City as an additional insureds for approval by the County Director of Risk Management.

The Municipality must obtain from the contractor who is successfully awarded the bid a performance and payment bond, including without limitation, materials and labor covering one hundred percent (100%) of the work to be performed for the Art Project..

**Section 2.1.** In exchange for the Property and all improvements thereon being open to all County residents and subject to all of the terms set forth in this Agreement, the County agrees to contribute towards construction and installation of the Art Project an amount not to exceed ONE HUNDRED FIFTY THOUSAND DOLLARS (\$150,000.00) (the "County's Contribution") to reimburse the Municipality for the cost of earth work, faux gravel brook, foundations for the sculptures, pedestals for the sculptures, landscaping, benches, Native Plantings, and/or lighting for the Art Project ("Landscaping"), pursuant to the budget attached hereto and made a part hereof as Schedule "D" (the "Budget") payable within thirty (30) days following submission of properly executed payment vouchers as set forth herein, subject to the Municipality providing the County with proof that the agreements referred to in Section 3.0 have been fully executed and the easement agreement referred to in Section 3(c) has been recorded with the Westchester County Clerk. The County is not obligated to make any payment hereunder until such proof is provided to the County. The County's sole responsibility shall be to provide the County's Contribution. The Municipality shall be solely responsible for all costs and expenses relating to the Art Project.

The term "Native Plantings" shall mean native plants as described in the Westchester County Executive Order No. 10 of 2018 (as amended). The County will fund only Native Plantings. The Municipality shall fund all non-Native Plantings.

The Municipality may request in writing to the Commissioner a change in the Budget provided the total not to exceed amount under this Agreement does not exceed \$150,000. The Commissioner in

her discretion will notify the Municipality in writing whether the change in the Budget is approved, and, if approved, it shall be deemed a part of this Agreement.

The Municipality shall cause accurate records and books of accounts to be maintained in which shall be entered all matters relating to this Agreement, including all income, expenditures, assets and liabilities thereof and all income, expenditures, and payments to any and all contractors or subcontractors involved in the construction, installation, operation, management, maintenance, supervision, development, repair and security of the Art Project and Property. Such books and records shall be maintained in accordance with generally accepted accounting principles, consistently applied and shall be kept at a location within Westchester County. The County shall have the right to inspect, examine and copy such books and records of the Municipality at all reasonable times during normal business hours at the office of the Municipality.

The County's Contribution to be paid under this Agreement will be paid in accordance with the payment provisions set forth in this Agreement. The County will make such payments to the Municipality for expenses properly incurred in the performance of this Agreement only after submission by the Municipality of all documentation requested by the County concerning construction of the Art Project and after approval by the Commissioner, and subject to the audit that the County may, at its option, conduct as set forth herein. The County will not be liable for any costs or expenses incurred prior to the execution of this Agreement. The County will not be liable for any costs or expenses in excess of the County's Contribution incurred in connection herewith. The Municipality will promptly pay all agent(s), contractor(s) and subcontractor(s) for work performed in connection herewith in accordance with the terms of any agreement between the Municipality and said agents, subcontractors, or contractors. In the event the cost of constructing and installation the Art Project exceeds County's Contribution, the Municipality shall be solely liable to pay said excess.

Prior to the making of any payments hereunder, the County, may, at its option, audit such books and records of the Municipality as are reasonably pertinent to this Agreement to substantiate the basis for payment. The Municipality will, and will require any agent(s), contractor(s) and sub-contractor(s), to make their books and records available to the County for audit and inspection at reasonable times and upon reasonable notice. The County will not be restricted from withholding payment for cause found in

the course of such audit or because of failure of the Municipality to cooperate, or any agent(s), contractor(s) and sub-contractor(s) to cooperate with such audit. The County will, in addition, have the right to audit such books and records for a term of not less than seven (7) years subsequent to payment, noting that such records shall be retained for said period in accordance with State law.

County's Contribution will be expended solely and exclusively for the purchase of necessary materials, equipment and labor for the Landscaping for the Art Project in accordance with the Plans and the Budget.

Payments hereunder to the Municipality by the County will operate to release the County from any and all obligations or liabilities to the Municipality and its respective agent(s), contractor(s) and sub-contractor(s) in connection herewith. Notwithstanding the foregoing, the County expressly disclaims the existence of any third party beneficiary relationship between the County and any such agent(s), contractor(s) and sub-contractor(s).

The Municipality will furnish the County, whenever requested to do so, with satisfactory evidence showing that all monies already paid hereunder have been applied by the Municipality toward the costs of the Landscaping on the Art Project as required by the Plans and the Budget. Until such evidence is produced, at the option of the County, no further payments need be made by the County hereunder.

Notwithstanding anything herein contained to the contrary, should the Art Project fail to be fully constructed and installed in accordance with the terms of this Agreement, then the County shall have the right, at its sole option, to require repayment from the Municipality of all County's Contribution paid hereunder.

The County will make progress payments to the Municipality for expenses actually incurred in constructing and installing the Landscaping for Art Project in an amount not to exceed the County's Contribution, in accordance with the Budget, and subject to and in accordance with the terms and conditions set forth herein.

Any and all requests for payments to be made, including any partial payment made in proportion to the work completed, shall be submitted on properly executed payment vouchers of the County and paid within 30 days after approval by the Commissioner, subject to the terms hereof. All payment vouchers must be accompanied by a numbered invoice and must contain the invoice number where indicated. All invoices submitted during each calendar year shall utilize sequential numbering and be non-repeating. In no event shall payment be made to the Municipality prior to the completion of all work reflected in the payment voucher.

The County shall retain not more than five per centum (5%) of each payment which amount shall be held until final payment upon completion of the work to the satisfaction of the County.”

Both the Municipality and the County shall have the right to enter the Property to inspect construction in order to verify the work performed and approve the payment vouchers. However, both parties shall take all necessary safety precautions in doing so, shall conduct such inspections in such a way as to minimize any interference with the construction activity and shall comply with any agreements and easement governing access to the Property.

**Section 2.2.** The Municipality shall undertake the Art Project in accordance with all applicable laws, rules and regulations, including but not limited to, labor law and those laws governing public bidding.

**ARTICLE III**  
**AGREEMENTS TO CONSTRUCT, INSTALL, DISPLAY AND MAINTAIN THE ART**  
**PROJECT**

**Section 3.0.**

(a) The Municipality represents that it has a license agreement with the Yonkers IDA, the Company and any other necessary persons or entities granting the Municipality, its agents, contractors, subcontractors, and consultants the right to access the Property over the Development Parcel and Open

Space Parcel for the purpose of constructing, installing and maintaining the Art Project on the Property during the term of this Agreement.

(b) The Municipality represents that it has all rights from the artist(s) for the use, transfer and publicly display of the sculptures and Art Project. The Municipality shall be responsible for purchasing the sculptures for the Art Project and paying any and all fees or charges of any kind and nature whatsoever arising from the use, transfer or public display of the sculptures and Art Project.

(c) The Municipality represents that it has an easement agreement with the Yonkers IDA granting a permanent easement over the Open Space Parcel to (x) the City to access, display and maintain the Art Project and (y) the general public to access and view the Art Project for pedestrian and passive viewing, from sunrise to sunset or according to the hours set by the Municipality for all the parks in the City, in accordance with Schedule "E."

(d) With regard to the agreements referred to in Section 3.0(a) and (c) above, the Parties acknowledge that this Agreement has to be approved by the County Board of Legislators, and the Municipality may not have the agreements referred to in Section 3.0(a) and (c) executed at the time of approval of this Agreement by the County Board of Legislators, but the Municipality will have the agreements executed and will deliver fully executed copies of the agreements to the County in a form and substance acceptable to the County, along with proof that the easement agreement referred to in Section 3.0 (c) has been filed with the Westchester County Clerk's Division of Land Records, prior to the County having any obligation to make any payments under this Agreement.

(e) The City represents that it has the right to access the Art Project for maintenance purposes by crossing over the Open Space Parcel and other City parcels and City agency parcels.

**Section 3.1** The Municipality shall ensure that the Property is open and accessible to all residents of the County. This Section shall survive expiration or termination of this Agreement.

#### **ARTICLE IV**

#### **RIGHTS AND RESPONSIBILITIES OF THE MUNICIPALITY**

**Section 4.0.** In addition to, and not in limitation of the insurance requirements contained in Schedule "C" entitled "Standard Insurance Provisions", attached hereto and made a part hereof, the Municipality agrees that: except for the amount, if any, of damage contributed to, caused by or resulting from the sole negligence or intentional or willful misconduct of the County, its elected officials, officers, employees and agents:

(a) the Municipality shall indemnify and hold harmless the County, its officials, officers, employees and agents (the "Indemnitees") from and against any and all liability, damage, claims, demands, costs, judgments, fees, attorneys' fees or loss arising directly or indirectly out of the Art Project, the maintenance, operation, security and/or repair of the Property, this Agreement and the acts or omissions hereunder by the Municipality or third parties under the direction or control of the Municipality; and

(b) to provide defense for and defend, at its sole expense, any and all claims, demands or causes of action brought against the Indemnities directly or indirectly arising out of the Art Project, the maintenance, operation, security and/or repair of this Property and this Agreement and to bear all other costs and expenses related thereto; and

(c) the Municipality shall defend, indemnify and hold harmless the Indemnitees from and against, any and all liability, damage, claims, demands, costs, judgments, fees, attorney's fees or loss, that may be imposed upon or incurred by or asserted against any of the Indemnitees by reason of any of the following:

- (i) Work. Any construction, repair, alteration, addition, replacement, restoration or improvement work done by or on behalf of Municipality in, on or about the Property or any part thereof;
- (ii) Use. The use, access, occupation, condition, operation, maintenance, management, supervision or development of or providing security for all or any portion of the Property, or the affected portion thereof, by or on behalf of the Municipality, including without limitation, any liability with respect to the maintenance of streets or sidewalks adjoining the Property and any violations imposed by any governmental authorities in respect of any of the foregoing;

- (iii) Act or Failure to Act of Municipality. Any act performed by, or any failure to perform any act required to be performed by the Municipality, a third party under its direction or control, or any of the Municipality's officers, agents, contractors, servants, employees, lessees or invitees in connection with this Agreement or the Property;
- (iv) Accidents, Injury to Person or Property. Any accident, injury, (including death at any time resulting therefrom) or damage (a) to any person, including, without limitation, employees of the Municipality or any Indemnatee unless arising from the negligent, intentional or willful conduct of an Indemnatee or (b) to any property occurring in, on, or about the Property or any part thereof, or in, on or about any street, alley, sidewalk, curb, vault, passageway or space comprising a part thereof or adjacent thereto (for which the Municipality is a fee owner or occupant of the Property and has or would have responsibility pursuant to this Agreement or under applicable law); or
- (v) Breach of Municipality's Obligation. Any failure or refusal on the part of the Municipality to perform its obligations pursuant to this Agreement; or
- (vi) Municipality's Obligations. The Municipality's failure, within any applicable grace period, to perform or comply with any of the covenants, terms or conditions contained in this Agreement on the Municipality's part to be kept, observed, performed or complied with within any applicable grace period.
- (vii) Use of sculptures. The use, transfer or public display of the sculptures.

The Municipality shall promptly notify the County in writing of any claims made or any suits instituted against the Municipality of which it has knowledge arising from its performance hereunder or in connection with this Agreement or in connection with the Property.

**Section 4.1.** The Municipality shall be responsible for the maintenance, repair and security of the Art Project, at the Municipality's sole cost and expense. The Municipality shall have sole authority and control over the scheduling of the Art Project. The Property shall be operated as a Municipal park, but shall be available to all Westchester County residents in accordance with the terms and conditions of Schedule "E". This Section shall survive expiration or termination of this Agreement.

**Section 4.2.** The Municipality shall, at its sole cost and expense, be responsible to provide reasonable and adequate security and safety at the Property in the same manner as security and safety is provided at other Municipal parks



**Section 4.3.** The Property shall be available to the public as required by this Agreement although the Municipality shall have sole discretion to schedule the use of same as appropriate.

**Section 4.4.** The Municipality shall, at its own cost and expense, promptly comply with all statutes, ordinances, rules, orders, regulations, codes and requirements of the Federal, State, County and local governments and all insurance requirements applicable to the said Property or any part thereof or applicable to this Agreement.

**Section 4.5.** The Municipality shall acknowledge the County's Contribution toward improvement of the Property on any signs erected at the Property and on any other publications, documents, etc. mentioning the Property.

**Section 4.6.** In the event the Municipality does not comply with a provision in this Article, the County shall have the right to cure such noncompliance upon thirty (30) days' notice from the County to the Municipality, except in emergencies when such notice period in the County's sole and unreviewable judgment shall be shorter. The cost to cure such noncompliance shall be borne by the Municipality. The failure of the Municipality to reimburse the County for the cost to cure such compliance within thirty (30) days of a written notice demanding such reimbursement shall be deemed a material breach of this Agreement.

**Section 4.7.** It is understood and agreed between the parties that the Property is for the use and benefit of the public as a public park as set forth in this Agreement and not for revenue production purposes. In accordance with this principle, the Municipality shall not charge, impose or collect any fees for entrance into or for use of the Property. Reservations for the use of the Property, or any portion thereof, shall be made through the Municipality. All residents of the County shall have access to the Property.

**Section 4.8.** Except for the amount of funding to be provided by the County under Section 2.1 above, the Municipality shall be responsible for all costs in relation to the Art Project and this entire Agreement, and, under no circumstances or conditions, whether now existing or hereafter arising, or

whether beyond the present contemplation of the parties, shall the County be expected or required to make any payment of any kind whatsoever or be under any other obligation or liability hereunder except as herein otherwise expressly set forth.

**Section 4.9.** The Municipality shall pay any and all taxes, assessments, special assessments, personal property and intangible taxes, gross receipts, sales, use or occupancy taxes, water and sewer charges, rates and rents, charges for public utilities, excises, levies, license and permit fees and other charges, general and special, ordinary and extraordinary, foreseen and unforeseen, of any kind and nature whatsoever, arising from the use or ownership of the property which shall or may be assessed levied, charged, confirmed or imposed upon or become payable out of or become a lien on the Property or any part thereof.

**Section 4.10.** The Municipality shall act as the lead agency for meeting the requirements of the State Environmental Quality Review Act ("SEQRA") and its implementing regulations, 6 NYCRR Part 617 for any activity which requires SEQRA compliance, that is undertaken pursuant to this Agreement, unless otherwise directed by the County.

**Section 4.11.** The provisions of this Article IV shall survive termination or expiration of the Agreement.

## **ARTICLE V**

### **RESPONSIBILITIES OF THE COUNTY**

**Section 5.0.** The County shall have no responsibility hereunder other than that set forth above.

## **ARTICLE VI** **INSURANCE**

**Section 6.0.** The Municipality shall procure and maintain insurance coverage as set forth in Schedule "C" naming the County as additional insured for the term of this Agreement.

Notwithstanding anything in this Agreement to the contrary, the Municipality may self-insure its insurance obligations under this Agreement. The Municipality has delivered to the County a letter evidencing such self-insurance prior to the execution of this Agreement in the form attached hereto as Schedule F, and the County has agreed that such letter fulfills the Municipality's requirements under the Agreement to procure insurance described in Agreement.

Through its self-insurance, the Municipality agrees to provide the same insurance coverage to the County herein in amounts equivalent to \$2,000,000 in respect to bodily injury and \$500,000 in respect to property damage each occurrence or in aggregate for such exposure normally covered under a standard General liability Policy as would be written and available in New York State by an admitted and licensed carrier. The Municipality agrees that the limits of insurance set forth herein and any right to self-insure shall not be construed as limiting the indemnification, hold harmless and rights to defense provisions of Sections 4.0 hereof.

## **ARTICLE VII**

### **NOTICES**

**Section 7.0.** All notices of any nature referred to in this Agreement shall be in writing and either sent by registered or certified mail postage pre-paid, or sent by hand or overnight courier, or sent by facsimile (with acknowledgment received and a copy of the notice sent by overnight courier), to the respective addresses set forth below (except where this Agreement designates notice to a particular County Commissioner and then only to that Commissioner and a copy to the County Attorney) or to such other addresses as the respective parties hereto may designate in writing. Notice shall be effective on the date of receipt.

To the County:

Commissioner of Planning  
County of Westchester  
148 Martine Avenue, Room 528  
White Plains, New York 10601

with a copy to:

County Attorney

148 Martine Avenue, Room 600  
White Plains, New York 10601

To the Municipality:

City of Yonkers  
City Hall  
40 South Broadway  
Yonkers, New York 10701

With a copy to:

Corporation Counsel  
40 South Broadway, Room 300  
Yonkers, New York 10701

## **ARTICLE VIII**

### **MISCELLANEOUS**

**Section 8.0.** Any purported delegation of duties or assignment of rights by either party to this Agreement without the prior express written consent of the other party is void.

**Section 8.1.** In the event that the Municipality materially defaults in the performance of any term, condition or covenant herein contained, the County, at its option and in addition to any other remedy it may have to seek damages, judicial enforcement or any other lawful remedy, may terminate this Agreement upon ninety (90) days notice to the Municipality; provided, however, that the Municipality may defeat such notice by curing the default complained of within such notice period, or, if any such default is not curable within such notice period by promptly commencing to cure the default and diligently pursuing all necessary and appropriate action to effect such cure. In the event this Agreement is terminated, the Municipality shall have one hundred eighty (180) days from the effective termination date to pay the County, as liquidated damages, the full amount of actual costs paid by the County pursuant to this Agreement. The Municipality agrees that the liquidated damages set forth herein shall not be construed as limiting the indemnification, hold harmless and rights to defense provisions of Sections 4.0 hereof.

**Section 8.2.** It is mutually understood and agreed that the terms, covenants, conditions and agreements herein contained shall be binding upon the parties hereto and upon their respective successors, legal representatives and assigns. Nothing in this Agreement shall act to confer third-party beneficiary rights on any person or entity not a party to this Agreement.

**Section 8.3.** This Agreement and its attachments constitute the entire agreement between the parties hereto with respect to the subject matter hereof and shall supersede all previous negotiations, commitments and writings. This Agreement shall not be released, discharged, changed or modified except by an instrument in writing signed by a duly authorized representative of each of the parties, and approved by the Office of the County Attorney.

**Section 8.4** It is recognized and understood that the Municipality is not an agent of the County and in accordance with such status, the Municipality, its consultant(s), its subcontractor(s), and their respective officers, agents, employees, representatives and servants shall at all times during the term of this Agreement neither hold themselves out as, nor claim to be acting in the capacity of officers, employees, agents, representatives or servants of the County, nor make any claim, demand or application for any right or privilege applicable to the County, including without limitation, rights or privileges derived from workers compensation coverage, unemployment insurance benefits, social security coverage and retirement membership or credit.

**Section 8.5.** This Agreement shall not be enforceable until signed by both parties and approved by the Office of the County Attorney.

**Section 8.6.** In the event that any one or more provisions, sections, subsections, clauses or words of this Agreement are for any reason held to be illegal or invalid, such illegality or invalidity shall not affect any other provision of this Agreement, but this Agreement shall be construed and enforced as if such illegal or invalid section, subsection, clause or word has not been contained herein.

**Section 8.7.** The Municipality agrees to observe and obey any and all Federal, State and local laws, rules and regulations, and to require its officers, agents, employees, contractors, subcontractors and suppliers to observe and obey the same.

**Section 8.8.** This Agreement shall be deemed executory only to the extent of County's Contribution appropriated and made available for the purpose of this Agreement and no liability on account thereof shall be incurred by the County beyond the amount of such appropriated funds.

**Section 8.9.** All covenants, stipulations, promises, agreements and obligations of the Municipality and the County contained herein shall be deemed to be stipulations, promises, agreements and obligations of the Municipality and the County and not of any member, officer or employee of the Municipality or the County in his individual capacity and no recourse shall be had for any obligation or liability herein or any claim based thereon against any member, officer or employee of the Municipality or the County or any natural person executing this Agreement.

**Section 8.10.** The parties each agrees to execute and deliver such further instruments and to obtain such additional authority as may be required to carry out the intent and purpose of this Agreement.

**Section 8.11.** This Agreement may be executed in two or more counterparts and all counterparts so executed shall for all purposes constitute one agreement binding upon all the parties hereto.

**Section 8.12.** Failure of any party to insist upon strict performance of any term, condition or covenant of this Agreement shall not be deemed to constitute a waiver or relinquishment of such term, condition or covenant for the future right to insist upon and to enforce by injunction or by other legal or appropriate remedy strict compliance by any other party with such term, condition or covenant.

**Section 8.13.** Pursuant to Section 308.01 of the Laws of Westchester County, it is the goal of the County to use its best efforts to encourage, promote and increase the participation of business enterprises owned and controlled by persons of color or women in contracts and Art Projects funded by all departments of the County. Under this Agreement it is recognized and understood that the County encourages the Municipality to do similarly.

**Section 8.14.** The Municipality represents that it has all requisite power and authority to execute, deliver and perform this Agreement, and this Agreement has been duly authorized by all necessary parties. The County represents that this Agreement has been approved by the Board of Legislators of the County of Westchester on the \_\_\_\_ day of \_\_\_\_\_ 2021 by Act No. \_\_\_\_.

**Section 8.15.** The headings in this Agreement are for reference purposes only and shall not be used in construing the terms of this Agreement.

**IN WITNESS WHEREOF**, the County and the Municipality have caused this Agreement to be executed.

**THE COUNTY OF WESTCHESTER**

By \_\_\_\_\_

Norma Drummond  
Commissioner of Planning

**THE CITY OF YONKERS**

By \_\_\_\_\_

(Name and Title)

Approved by the Board of Legislators of the County of Westchester pursuant to Act No. \_\_\_\_\_.

Approved by Resolution of the City of Yonkers on the day of \_\_\_\_\_, 2021.

Approved as to form and  
manner of execution:

\_\_\_\_\_  
Associate County Attorney  
The County of Westchester  
S/noe/pln/rain garden/IMA Rain Garden

**MUNICIPALITY'S ACKNOWLEDGMENT**

STATE OF NEW YORK        )

) ss.:

COUNTY OF WESTCHESTER )

On this \_\_\_\_\_ day of \_\_\_\_\_ 2021, before me, the undersigned, personally appeared \_\_\_\_\_, personally known to me or proved to me on the basis of satisfactory evidence to be the individual(s) whose name(s) is (are) subscribed to the within instrument and acknowledged to me that he/she is the \_\_\_\_\_ of \_\_\_\_\_, the municipal corporation described in and which executed the within instrument, who being by me duly sworn did depose and say that he/she executed the same in his/her capacity, and that by his/her signature(s) on the instrument, the municipal corporation executed the instrument.

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
County



**CERTIFICATE OF AUTHORITY**  
**(Municipality)**

I, \_\_\_\_\_,  
*(Officer other than officer signing contract)*

certify that I am the \_\_\_\_\_ of the  
*(Title)*

\_\_\_\_\_  
*(Name of Municipality)*

(the "Municipality"), a corporation duly organized and in good standing under the

\_\_\_\_\_  
(Law under which organized, e.g., the New York Village Law, Town Law, General Municipal Law)

named in the foregoing agreement; that \_\_\_\_\_,

*(Person executing agreement)*

who signed said agreement on behalf of the Municipality was, at the time of execution

\_\_\_\_\_ of the Municipality, and that said

*(Title of such person),*

agreement was duly signed for and on behalf of said Municipality by authority of its

\_\_\_\_\_, thereunto duly authorized and

*(Town Board, Village Board, City Council)*

that such authority is in full force and effect at the date hereof.

\_\_\_\_\_  
*(Signature)*

STATE OF NEW YORK )

ss.:

COUNTY OF WESTCHESTER)

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me, the undersigned, personally appeared

\_\_\_\_\_, personally known to me or proved to me on the basis of

satisfactory evidence to be the individual whose name is subscribed to the above certificate and

acknowledged to me that he/she executed the above certificate in his/her capacity

as \_\_\_\_\_ of \_\_\_\_\_,

*(Title)*

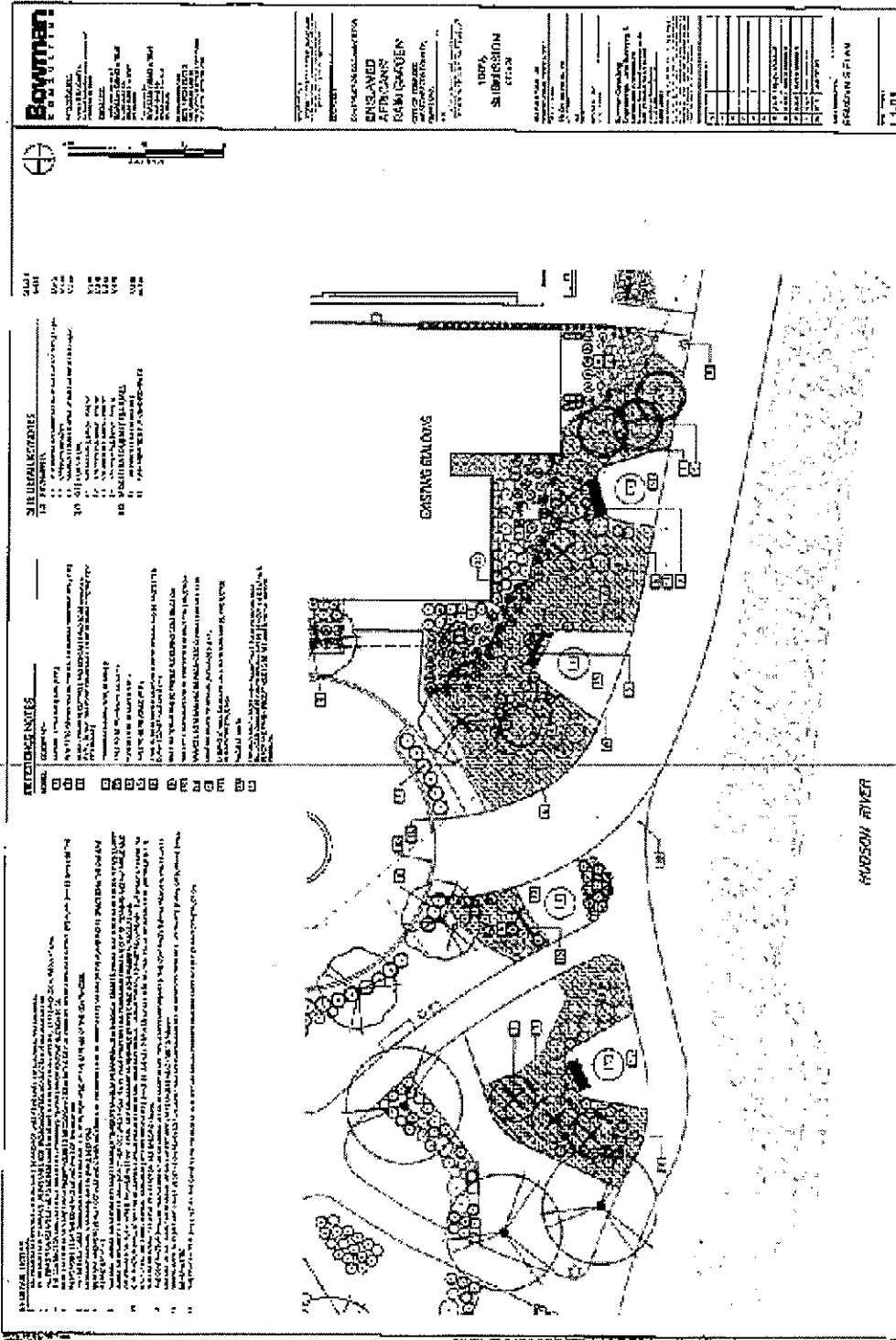
*(Municipality)*

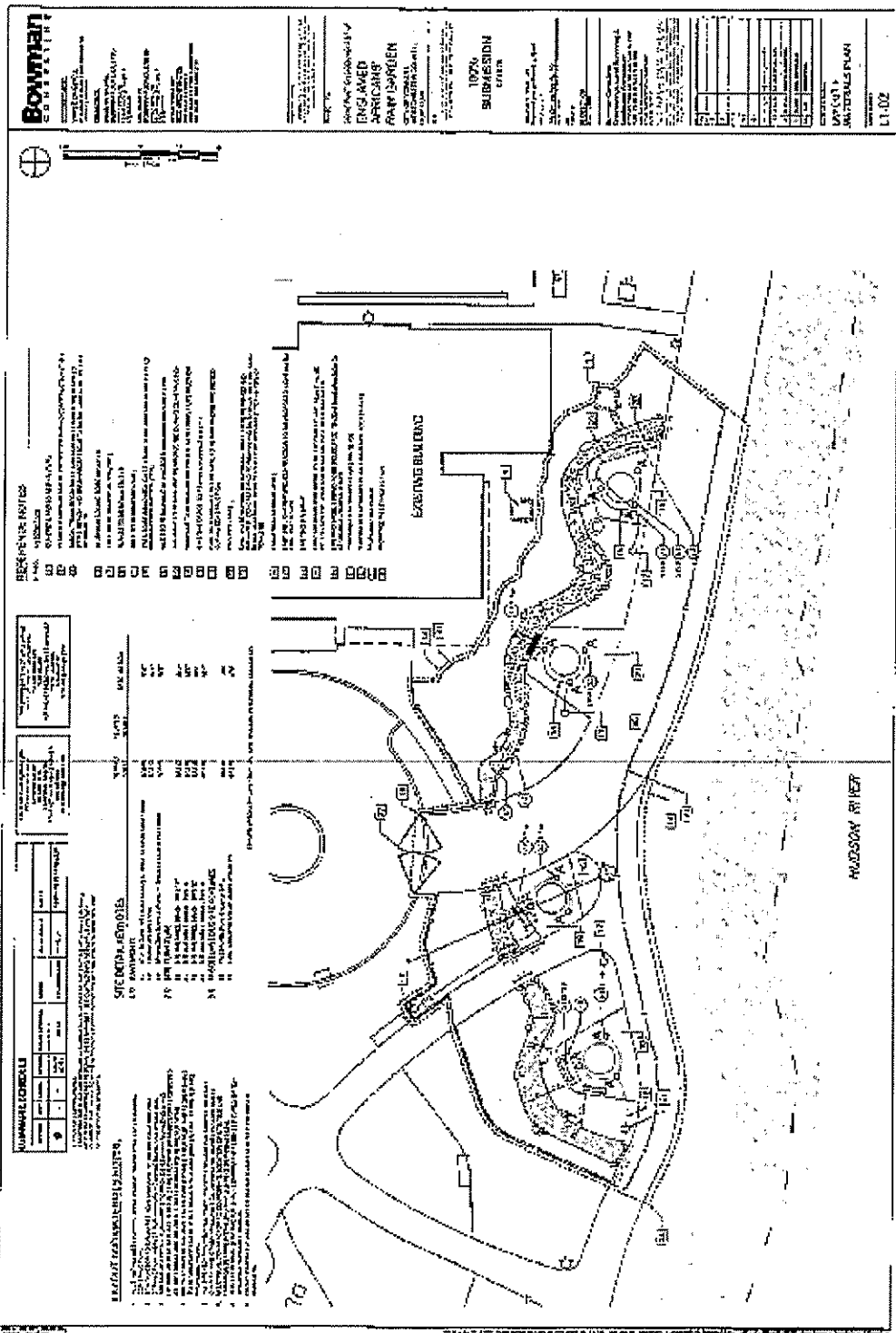
the municipal corporation described in and which executed the within instrument.

\_\_\_\_\_  
*Notary Public*

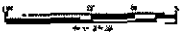
\_\_\_\_\_  
*County*

## 682



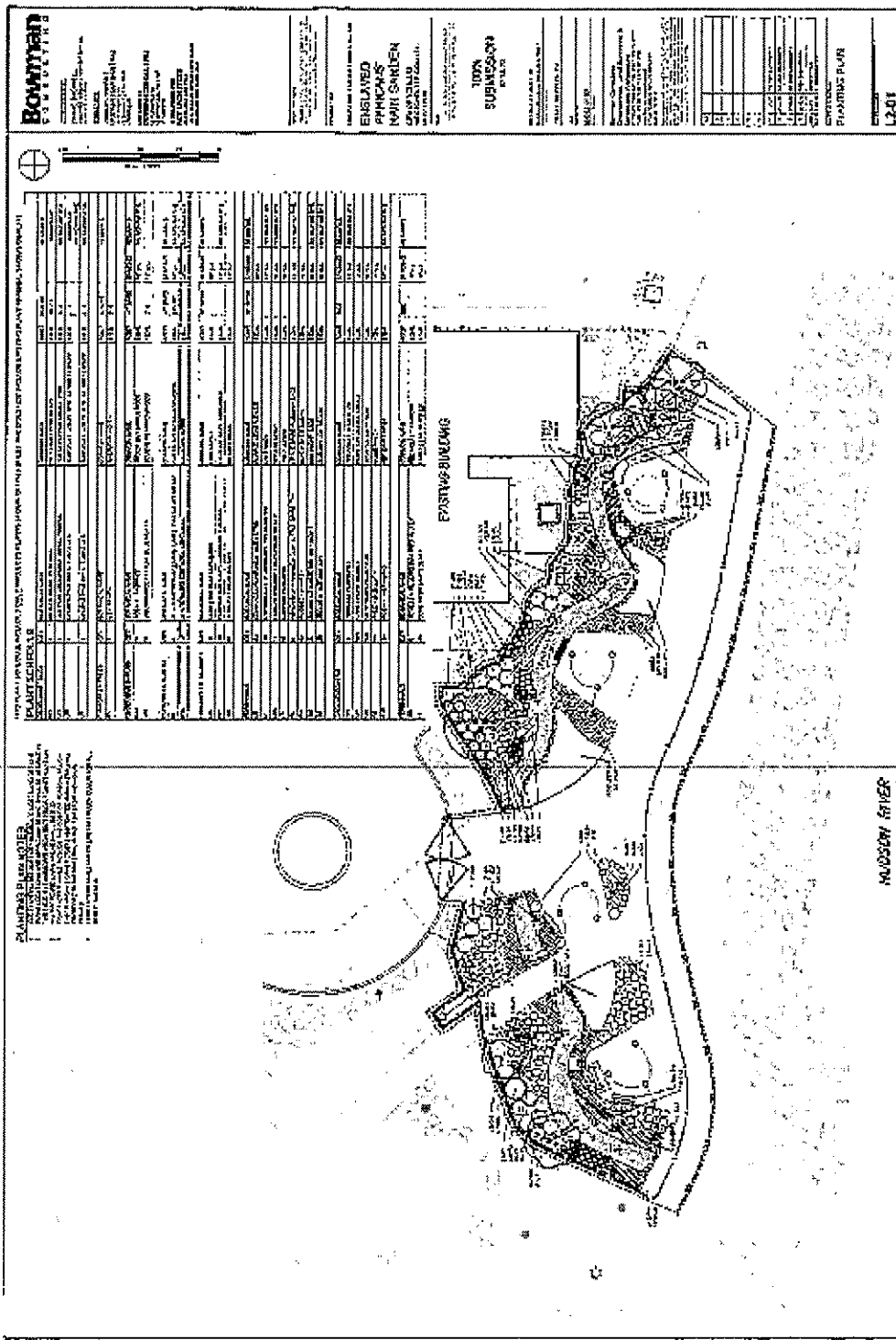




[illegible][illegible]

| Year | 1973 | 1974 | 1975 | 1976 | 1977 | 1978 | 1979 | 1980 | 1981 | 1982 | 1983 | 1984 | 1985 | 1986 | 1987 | 1988 | 1989 | 1990 | 1991 | 1992 | 1993 | 1994 | 1995 | 1996 | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 | 2005 | 2006 | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 | 2028 | 2029 | 2030 | 2031 | 2032 | 2033 | 2034 | 2035 | 2036 | 2037 | 2038 | 2039 | 2040 | 2041 | 2042 | 2043 | 2044 | 2045 | 2046 | 2047 | 2048 | 2049 | 2050 | 2051 | 2052 | 2053 | 2054 | 2055 | 2056 | 2057 | 2058 | 2059 | 2060 | 2061 | 2062 | 2063 | 2064 | 2065 | 2066 | 2067 | 2068 | 2069 | 2070 | 2071 | 2072 | 2073 | 2074 | 2075 | 2076 | 2077 | 2078 | 2079 | 2080 | 2081 | 2082 | 2083 | 2084 | 2085 | 2086 | 2087 | 2088 | 2089 | 2090 | 2091 | 2092 | 2093 | 2094 | 2095 | 2096 | 2097 | 2098 | 2099 | 2100 |
|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|
| 1973 | 1974 | 1975 | 1976 | 1977 | 1978 | 1979 | 1980 | 1981 | 1982 | 1983 | 1984 | 1985 | 1986 | 1987 | 1988 | 1989 | 1990 | 1991 | 1992 | 1993 | 1994 | 1995 | 1996 | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 | 2005 | 2006 | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 | 2028 | 2029 | 2030 | 2031 | 2032 | 2033 | 2034 | 2035 | 2036 | 2037 | 2038 | 2039 | 2040 | 2041 | 2042 | 2043 | 2044 | 2045 | 2046 | 2047 | 2048 | 2049 | 2050 | 2051 | 2052 | 2053 | 2054 | 2055 | 2056 | 2057 | 2058 | 2059 | 2060 | 2061 | 2062 | 2063 | 2064 | 2065 | 2066 | 2067 | 2068 | 2069 | 2070 | 2071 | 2072 | 2073 | 2074 | 2075 | 2076 | 2077 | 2078 | 2079 | 2080 | 2081 | 2082 | 2083 | 2084 | 2085 | 2086 | 2087 | 2088 | 2089 | 2090 | 2091 | 2092 | 2093 | 2094 | 2095 | 2096 | 2097 | 2098 | 2099 | 2100 |      |

4449524, 481077



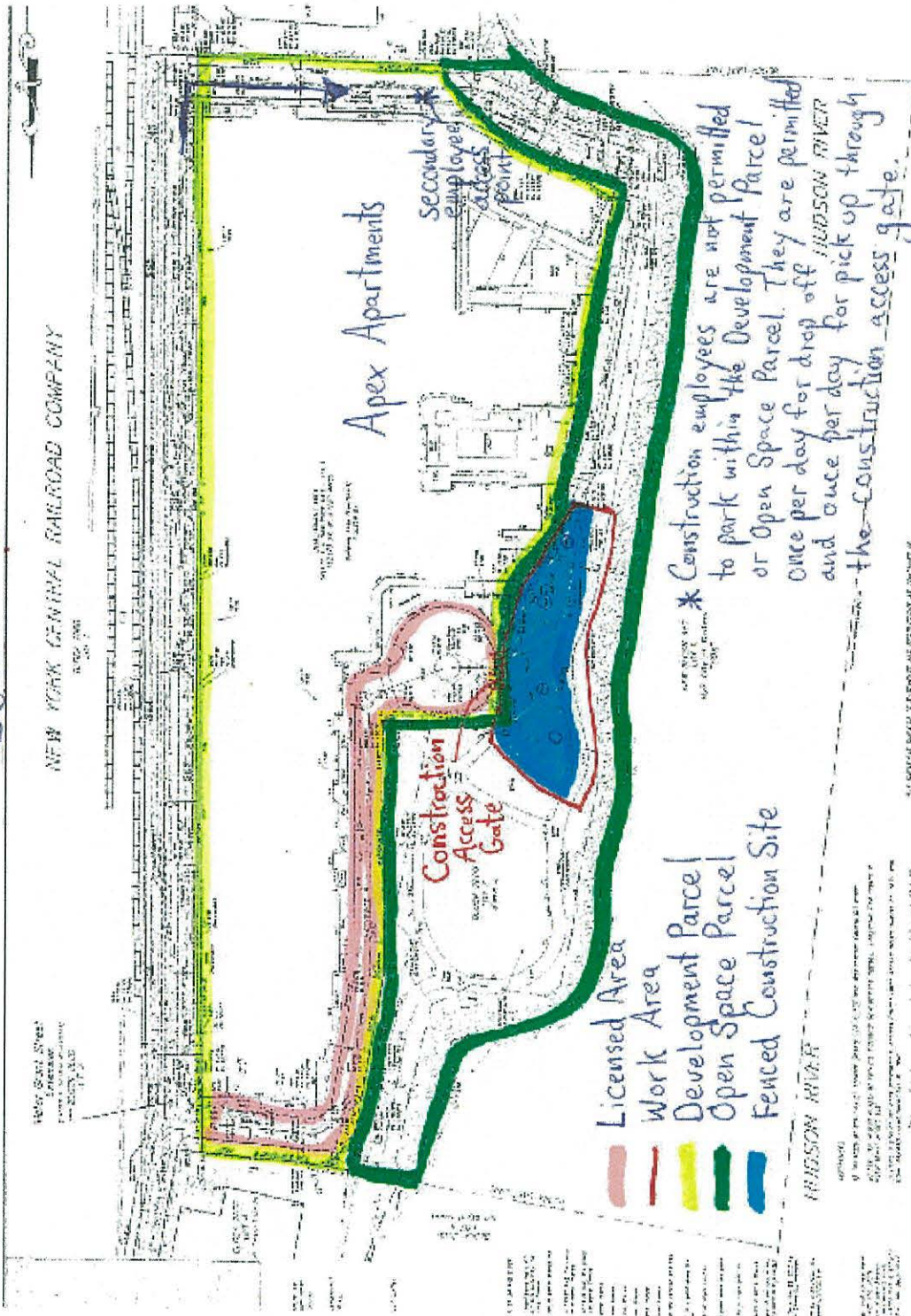




27

# SCHEDULE "B" - WORK AREA

Schedule B



**SCHEDULE "C"**  
**STANDARD INSURANCE PROVISIONS**  
**(MUNICIPALITY)**

1. Prior to commencing work, and throughout the term of the Agreement, the Municipality shall obtain at its own cost and expense the required insurance as delineated below from insurance companies licensed in the State of New York, carrying a Best's financial rating of A or better. Municipality shall provide evidence of such insurance to the County of Westchester ("County"), either by providing a copy of policies and/or certificates as may be required and approved by the Director of Risk Management of the County ("Director"). The policies or certificates thereof shall provide that ten (10) days prior to cancellation or material change in the policy, notices of same shall be given to the Director either by overnight mail or personal delivery for all of the following stated insurance policies. All notices shall name the Municipality and identify the Agreement.

If at any time any of the policies required herein shall be or become unsatisfactory to the Director, as to form or substance, or if a company issuing any such policy shall be or become unsatisfactory to the Director, the Municipality shall upon notice to that effect from the County, promptly obtain a new policy, and submit the policy or the certificate as requested by the Director to the Office of Risk Management of the County for approval by the Director. Upon failure of the Municipality to furnish, deliver and maintain such insurance, the Agreement, at the election of the County, may be declared suspended, discontinued or terminated.

Failure of the Municipality to take out, maintain, or the taking out or maintenance of any required insurance, shall not relieve the Municipality from any liability under the Agreement, nor shall the insurance requirements be construed to conflict with or otherwise limit the contractual obligations of the Municipality concerning indemnification.

All property losses shall be made payable to the "County of Westchester" and adjusted with the appropriate County personnel.

In the event that claims, for which the County may be liable, in excess of the insured amounts provided herein are filed by reason of Municipality's negligent acts or omissions under the Agreement or by virtue of the provisions of the labor law or other statute or any other reason, the amount of excess of such claims or any portion thereof, may be withheld from payment due or to become due the Municipality until such time as the Municipality shall furnish such additional security covering such claims in form satisfactory to the Director.

In the event of any loss, if the Municipality maintains broader coverage and/or higher limits than the minimums identified herein, the County shall be entitled to the broader coverage and/or higher limits maintained by the Municipality. Any available insurance proceeds in excess of the specified minimum limits of insurance and coverage shall be available to the County.

2 The Municipality shall provide proof of the following coverage (if additional coverage is required for a specific agreement, those requirements will be described in the Agreement):

- a) Workers' Compensation and Employer's Liability. Certificate form C-105.2 or State Fund Insurance Company form U-26.3 is required for proof of compliance with the New York State Workers' Compensation Law. State Workers' Compensation Board form DB-120.1 is required

for proof of compliance with the New York State Disability Benefits Law. Location of operation shall be "All locations in Westchester County, New York."

Where an applicant claims to not be required to carry either a Workers' Compensation Policy or Disability Benefits Policy, or both, the employer must complete NYS form CE-200, available to download at: <http://www.wcb.ny.gov>.

If the employer is self-insured for Workers' Compensation, he/she should present a certificate from the New York State Worker's Compensation Board evidencing that fact (Either SI-12, Certificate of Workers' Compensation Self-Insurance, or GSI-105.2, Certificate of Participation in Workers' Compensation Group Self-Insurance).

- b) Commercial General Liability Insurance with a combined single limit of \$1,000,000 (c.s.1) per occurrence and a \$2,000,000 aggregate limit naming the "County of Westchester" as an additional insured on a primary and non-contributory basis. This insurance shall include the following coverages:
- i. Premises - Operations.
  - ii. Broad Form Contractual.
  - iii. Independent Contractor and Sub-Contractor.
  - iv. Products and Completed Operations.

- c) Commercial Umbrella/Excess Insurance: \$2,000,000 each Occurrence and Aggregate naming the "County of Westchester" as additional insured, written on a "follow the form" basis.

NOTE: Additional insured status shall be provided by standard or other endorsement that extends coverage to the County of Westchester for both on-going and completed operations.

- d) Automobile Liability Insurance with a minimum limit of liability per occurrence of \$1,000,000 for bodily injury and a minimum limit of \$100,000 per occurrence for property damage or a combined single limit of \$1,000,000 unless otherwise indicated in the contract specifications. This insurance shall include for bodily injury and property damage the following coverages and name the "County of Westchester" as additional insured:
- (i) Owned automobiles.
  - (ii) Hired automobiles.
  - (iii) Non-owned automobiles.

3. All policies of the Municipality shall be endorsed to contain the following clauses:

(a) Insurers shall have no right to recovery or subrogation against the County (including its employees and other agents and agencies), it being the intention of the parties that the insurance policies so effected shall protect both parties and be primary coverage for any and all losses covered by the above-described insurance.

(b) The clause "other insurance provisions" in a policy in which the County is named as an insured, shall not apply to the County.

(c) The insurance companies issuing the policy or policies shall have no recourse against the County (including its agents and agencies as aforesaid) for payment of any premiums or for assessments under any form of policy.

(d) Any and all deductibles in the above described insurance policies shall be assumed by and be for the account of, and at the sole risk of, the Municipality.

DRAFT

## SCHEDULE "D"- BUDGET

### ESTIMATED PROBABLE COST OF CONSTRUCTION

DATE: 07/23/19

ENSLAVED AFRICANS RAIN GARDEN  
SECTION 1, BLOCK 640 LOT 1  
CITY OF YONKERS  
WESTCHESTER COUNTY, NEW YORK  
BCG # 081082-01-001

**Bowman**  
CONSULTING

OWNER/DEVELOPER:  
VINNE BAGWELL  
ENSLAVED AFRICANS' RAIN GARDEN, INC.  
YONKERS, NY 10701

| ITEM# | SECTION DESCRIPTION                                        | UNIT MEASURE | ESTIMATED QUANTITY | UNIT PRICE   | TOTAL PRICE  |
|-------|------------------------------------------------------------|--------------|--------------------|--------------|--------------|
| 1     | Mobilization                                               | LS           | 1                  | \$ 3,500.00  | \$ 3,500.00  |
| 2     | Soil Erosion and Sediment Control                          | LS           | 1                  | \$ 2,500.00  | \$ 2,500.00  |
| 3     | Demolition and Site Clearing                               | LS           | 1                  | \$ 4,500.00  | \$ 4,500.00  |
| 4     | Earthwork                                                  | LS           | 1                  | \$ 5,000.00  | \$ 5,000.00  |
| 5     | Bench - Type A                                             | UNIT         | 2                  | \$ 3,800.00  | \$ 7,600.00  |
| 6     | Bench - Type B                                             | UNIT         | 1                  | \$ 3,200.00  | \$ 3,200.00  |
| 7     | Bench - Type C                                             | UNIT         | 1                  | \$ 3,000.00  | \$ 3,000.00  |
| 8     | Bench - Type D                                             | UNIT         | 1                  | \$ 3,200.00  | \$ 3,200.00  |
| 9     | Ornamental Grasses, 3 Gallon                               | UNIT         | 63                 | \$ 35.00     | \$ 2,205.00  |
| 10    | Deciduous Shrubs, 24-30", B&B                              | UNIT         | 30                 | \$ 95.00     | \$ 2,850.00  |
| 11    | Evergreen Shrubs, 24-30", B&B                              | UNIT         | 21                 | \$ 110.00    | \$ 2,310.00  |
| 12    | Ornamental Trees, B&B (Including Transplants)              | UNIT         | 7                  | \$ 585.00    | \$ 4,095.00  |
| 13    | Perennials, 2 Gallon                                       | UNIT         | 338                | \$ 20.00     | \$ 6,760.00  |
| 14    | Groundcovers 1-3 Gallon                                    | UNIT         | 208                | \$ 20.00     | \$ 4,120.00  |
| 15    | Tree Trimming (If and where directed)                      | HRS          | 3                  | \$ 250.00    | \$ 750.00    |
| 16    | Lawn Area (Sod)                                            | SF           | 525                | \$ 1.50      | \$ 787.50    |
| 17    | Stabilized Crushed Stone, (If and where directed by owner) | SY           | 135                | \$ 40.00     | \$ 5,400.00  |
| 18    | Flag Sign                                                  | UNIT         | 1                  | \$ 750.00    | \$ 750.00    |
| 19    | 1-3" Black Riverstone, 6" Layer with Geotextile Fabric     | SY           | 110                | \$ 35.00     | \$ 4,000.00  |
| 20    | Boulders of Various Sizes                                  | LS           | 1                  | \$ 12,500.00 | \$ 12,500.00 |
| 21    | Flush Mounted Up Lights (Wiring and Setup)                 | LS           | 1                  | \$ 19,200.00 | \$ 19,200.00 |
| 22    | Granite Aprons (Mesabi Black) 4-1/16" Thick                | SF           | 256                | \$ 213.62    | \$ 54,661.12 |
| 23    | Granite Pedestal (Mesabi Black) 6" Thick                   | SF           | 16                 | \$ 261.88    | \$ 4,190.08  |

TOTAL BASE

\$ 157,138.70

## **SCHEDULE "E"**

### **Terms and Conditions of Park Operation**

Hours of operation, scheduling and supervision will be determined by the Municipality

At all times when the Property is utilized, the Municipality will be responsible for providing for the general supervision of the Property.

The Municipality will be responsible for providing for the maintenance of the Property and its related amenities.

The Property must be open to all Westchester County residents.

**Advertising & Signage:** The Municipality shall acknowledge the County's contribution toward improvement of the Property on any signs erected on the Property and on any other publications, documents, websites, etc. mentioning the Property.

**Security:** The Municipality shall, at its sole cost and expense, continuously throughout the term of this Agreement provide adequate security and safety at the Property in the manner as provided at other Municipal parks.

The Municipality is responsible for ensuring the payment of all utility costs associated with the Property.

The Municipality is responsible for ensuring for the litter refuse/removal and recycling at the Property.

Vandalism repair and/or replacement on the Property are the responsibility of the Municipality.

**SCHEDULE "F"**

**SELF INSURANCE LETTERS ATTACHED**

DRAFT



MIKE SPANO  
MAYOR

Matthew I. Gallagher  
CORPORATION COUNSEL

KAREN RAMOS  
DEPUTY CORPORATION COUNSEL



CITY OF YONKERS  
DEPARTMENT OF LAW

CITY HALL ROOM 300  
40 SOUTH BROADWAY  
YONKERS, NEW YORK 10701-3883  
(914) 377-6240

January 28, 2021

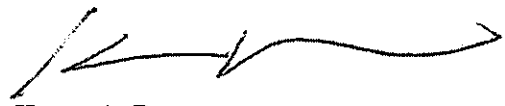
Mr. Glendon McLeary, Dir. & VP, Loans and Grants  
Empire State Development  
633 Third Avenue  
New York, NY 10017

Re: Letter of Self Insurance

Dear Mr. McLeary:

Please be advised that the City of Yonkers is a self-insured municipality. This letter will serve to satisfy any insurance requirements consistent with New York State Insurance Laws.

Very truly yours,

  
Karen A. Ramos  
Deputy Corporation Counsel



**Workers  
Compensation  
Board**

ANDREW M. CUOMO  
GOVERNOR

CLARISSA M. RODRIGUEZ  
CHAIR

**Office of the Secretary**  
Compliance With Workers' Compensation Law

I, Kim McCarroll, Secretary for the Workers' Compensation Board, DO HEREBY Certify that:

**Name:** Yonkers, City of

**WCB #:** W881007

**Tax ID #:** 13-6007340

**Qual Date:** 2/20/1918

has secured compensation to its employees as a self-insurer in the following manner:

Pursuant to Section 50, subdivisions 3 and 4 of the Workers' Compensation Law. (County, city, village, town, school district, fire district or other political subdivision)

The status of the self-insurer was effective as noted above and remains in full force.

IN WITNESS WHEREOF, I have hereunto set  
my hand and affixed the seal of the Workers'  
Compensation Board this 28th day of January  
2021.

KIM MCCARROLL  
SECRETARY

Status Confirmed By

Krystie Freedman

1/28/2021

TO: HONORABLE BOARD OF LEGISLATORS  
COUNTY OF WESTCHESTER

Your Committee recommends adoption of “An Act adopting the Westchester County Police Reform Plan developed by the Westchester County Police Reform and Reimagining Task Force.”

Your Committee is advised that the Westchester County Executive created the “Police Training Task Force” on June 1, 2020 in response to the killing of George Floyd in Minneapolis. The Task Force was renamed the “Westchester County Police Reform and Reimagining Task Force” following the issuance of Executive Order 203 by the New York State Governor, two weeks later. Executive Order 203 concluded that “...urgent and immediate action is needed to eliminate racial inequities in policing, to modify and modernize policing strategies, policies, procedures, and to develop practices to better address the particular needs of communities of color to promote public safety, improve community engagement, and foster trust.” The Executive Order created a collaborative process requiring all local governments and police departments in New York State to develop plans to modernize their policing strategies and strengthen relationships with their communities. These plans must be completed and certified by April 1, 2021 and failure to complete this process will result in loss of State funding.

Your Committee is further advised that the Executive Order required the County to perform a comprehensive review of current County police policies, procedures, and practices, and to develop a plan to improve such police policies, procedures, and practices, and promote

community engagement to foster trust and fairness, and address any racial bias and disproportionate policing of communities of color.

Your Committee notes that the Executive Order required the County to consult with stakeholders, including the Commissioner of the County Department of Public Safety, members of the community, interested non-profit and faith based community groups, the County District Attorney's Office and local elected officials to create a plan to adopt and implement the recommendations resulting from such plan. Such plan was to include any modifications, modernizations, and innovations to County policing deployments, strategies, policies, procedures, and practices, tailored to the specific needs of the Westchester community and to seek to promote improved police agency and community relationships based on trust, fairness, accountability and transparency, and to reduce any racial disparities in policing in the County.

Your Committee is advised that the Executive Order also required that the final plan consider policing strategies including but not limited to: "...use of force policies, procedural justice; any studies addressing systemic racial bias or racial justice in policing; implicit bias awareness training; de-escalation training and practices; law enforcement assisted diversion programs; restorative justice practices; community-based outreach and conflict resolution; problem-oriented policing; hot spots policing; focused deterrence; crime prevention through environmental design; violence prevention and reduction interventions; model policies and guidelines promulgated by the New York State Municipal Police Training Council; and standards promulgated by the New York State Law Enforcement Accreditation Program."

Your Committee notes that the Westchester County Police Reform and Reimagining Task Force was tasked with developing a document in response to Executive Order 203 following the Order's issuance on June 12, 2020 by the Westchester County Executive. The Task Force is comprised of thirty-eight members, which include County and local law enforcement professionals, clergy representatives, criminal justice and police reform advocates, human rights professionals, the Executive Director of the Westchester County Youth Bureau, the Executive Director of the Westchester County Human Rights Commission, the Westchester County Department of Public Safety Commissioner and Deputy Commissioner, representatives from the Westchester County District Attorney's office, three Westchester County Legislators, and other public servants. The Westchester County Executive additionally attached six staff liaisons to the Task Force in order to ensure that the group had access to all available resources and assistance.

Your Committee is aware that the County Executive's Task Force deliberated for seven months through the six working groups it formed: Community Engagement, Qualification and Recruitment, Training and Equipment, Policies and Procedures, Accountability and Transparency.

Your Committee further notes that in addition to requiring the establishment of the task force, Executive Order No. 203 required that Westchester County conduct a series of public

forums to solicit comments from residents regarding policing and reform. The County Executive's Task Force held three public forums and four public information sessions. These sessions were recorded and are available through Westchester County's website. The Task Force allowed the public to comment through open online meetings and five physical locations, which were held in public when possible during the COVID-19 Pandemic. The public were able to submit email, comment through video conferencing software, comment through the telephone, or by submitting written comments through email or postal mail. The Task Force received written comment from over 80 members of the Westchester County community. In addition, your Committee conducted one public forum during its review of the Task Force's plan, and held nine public committee meetings to discuss the Task Force and its findings. The minutes of the Committee meetings as well as the comments received by members of the public have been added to the report in order to best inform the public.

On considering the expressions of the public and after diligent review, the Westchester County Police Reform & Reimagining Task Force's report is now presented to this Honorable Board for certification through Act. This Committee finds that the recommendations of this report provide the valuable framework of a multi-faceted plan for equitable policing.

Adoption of this plan must be accomplished by April 1, 2021 in order to comply with the Governor of New York State's Executive Order. The Westchester County Police Reform & Reimagining Task Force's report contains fifty-one (51) recommendations developed by the County Executive's Task Force through the course of its efforts from June 2020 to January 2021, which if implemented, will promote equitable policing in Westchester County.

Your Committee also notes that additional information has been received from the Westchester County Department of Public Safety that details the recommendations made by the Task Force that the Department is in the process of implementing. The document provided by the Department has been added to the report to reflect that the County is already working to implement change.

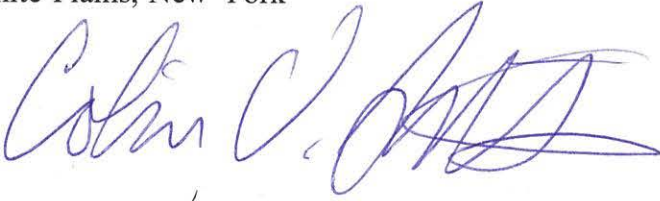
Your Committee has added one additional recommendation, developed by the Board of Legislators, to the report. Your Committee's recommendation, included within the report, is that the Westchester County Department of Public Safety implement a more stringent and explicit policy of screening police officers during recruitment and throughout their employment to prevent members of hate groups, such as hate groups with white supremacist affiliations, from becoming or remaining members of our law enforcement.

As you know, this Honorable Board must comply with the requirements of the State Environmental Quality Review Act ("SEQRA"). Your Committee is advised that the Department of Planning has reviewed the applicable SEQRA regulations, and has concluded that this proposed Act is not an action which requires any environmental review. Your Committee concurs with that conclusion.


In light of all the foregoing, your Committee recommends the adoption of this Act.

Dated: March 22, 2021

White Plains, New York







COMMITTEE ON PUBLIC SAFETY

MAM-3/22/21



Dated: March 22, 2021  
White Plains, New York

***The following members attended the meeting remotely, as per Governor Cuomo's Executive Order 202.1 and approved this item out of Committee with an affirmative vote. Their electronic signature was authorized and is below.***

Committee(s) on:

Public Safety

Margaret A. Cengio

Nancy E. Pan

Cheryl

Mary Jane Skimsky

**ACT NO.**

**-2021**

An Act adopting the  
Westchester County Police  
Reform Plan developed by  
the Westchester County  
Police Reform and  
Reimagining Task Force.

BE IT ENACTED by the County Board of the County of Westchester as follows:

**Section 1.** The Westchester County Executive directed the Westchester County Police Reform and Reimagining Task Force to develop a Plan pursuant to the issuance of Executive Order No. 203, on June 12, 2020, by the New York State Governor. The Task Force begin work on a Plan which comprehensively reviewed current police force deployments, strategies, policies, procedures, and practices and would serve as a plan to improve such operations, for the purposes of addressing the particular needs of communities served by the Westchester County Department of Public Safety and promoted community engagement in order to foster trust, fairness and legitimacy among members of the public, and addresses racial bias and the disproportionate policing of communities of color. The Task Force is a thirty-eight (38) member panel of a diverse group of professionals including County and local law enforcement professionals, clergy representatives, criminal justice and police reform advocates, human rights professionals, leaders of the LGBTQ community, the Executive Director of the Westchester County Youth Bureau, the Executive Director of the Westchester County Human Rights Commission, the Westchester

County Department of Public Safety Commissioner & Deputy Commissioner, representatives from the Westchester County District Attorney's office, three Westchester County Legislators, and other public servants. Six (6) staff liaisons serve to support the Task Force's efforts. Deliberation has occurred for seven (7) months through the six (6) Working Groups formed by the Task Force: Community Engagement, Qualification and Recruitment, Training and Equipment, Policies and Procedures, Accountability, and Transparency.

**Section 2.** The Westchester County Police Reform Task Force's Plan contains fifty-one (51) recommendations developed by the Westchester County Police Reform and Reimagining Task Force following seven (7) months of deliberation, three (3) public forums, four (4) public information sessions, six (6) general Task Force meetings and sixty-five (65) Working Group meetings. The Plan also includes an additional recommendation issued by the County Board of Legislators, the minutes of the nine (9) Public Safety Committee meetings held reviewing the report, comments received by the County Board of Legislators from members of the public during the Board's one (1) public hearing and significant contextual documents from County Legislators and members of the County Department of Public Safety. The recommendations contained in the Task Force's Plan are intended to promote equitable policing in Westchester County.

**Section 3.** The County Executive and the Board of Legislators will work together to evaluate such recommendations in the Plan and create a blueprint for feasible implementation.

**Section 4.** The Westchester County Police Reform Plan issued in February of 2021 by the County Executive is hereby adopted.

**Section 5.** This Act shall take effect immediately.

Mam-3/22/21

Cac-3/20/21